

# Novel continuous quantum Mott transitions

T. Senthil (MIT)

TS, in progress

TS, PR B 08.

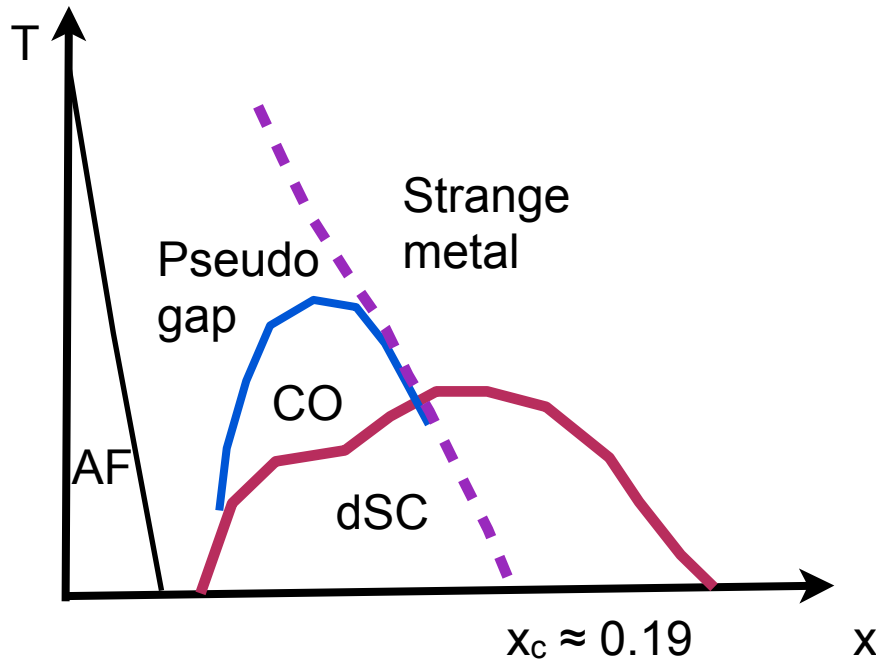
D. Podolsky, A. Paramekanti, Y.B. Kim, TS, PRL 09.

D. Mross and TS, PRB 11.

A. Potter, M. Barkeshli, J. McGreevy, TS, PRL 2012 .

W. Witczak-Krempa, P. Ghaemi, Y.B. Kim, TS, PR B 2012

# Cuprate phase diagram



CO: charge order

Most mysterious:  
strange metal  
regime

# Ideas on strange metal

Strange metal plausibly linked to quantum criticality

Increasing evidence for a quantum critical point around  $x_c \approx 0.19$  in “normal” state:

1. Termination of pseudogap crossover at  $T = 0$  (Tallon, Loram 2000)
2. Onset of charge order at  $T = 0$  (Keimer et al, 14).

# Some (likely) properties of the strange metal

1. Sharp gapless Fermi surface but no Landau quasiparticles
2. Critical charge order correlations.

# Some (likely) properties of the strange metal

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A (lowly) theoretical challenge:

Construct examples of such strange metal fixed points at finite density.

# A route to some examples

Focus on commensurate density  $x = 1/4$  or  $x = 1/5$  and study continuous Mott transitions from Fermi liquid to Mott insulator.

?Corresponding critical point - an example strange metal fixed point with a critical Fermi surface and critical charge order correlations ?

# Plan of talk

1. Theory of a continuous Mott metal-insulator transition in  $d = 2$  at  $x = 0$

Evolution from Fermi liquid to quantum spin liquid insulator:  
Predictions for transport experiments

2. Electronic Mott transition at filling  $1/q$  : Formulation

3. Warm-up: Superfluid-insulator transitions of bosons at commensurate filling  $1/q$  (a review)

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3. Warm-up: Superfluid-insulator transitions of bosons at commensurate filling  $1/q$  (a review)

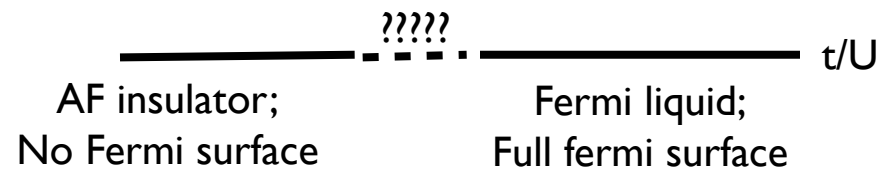


# The electronic Mott transition

Difficult old problem in quantum many body physics

How does a metal evolve into a Mott insulator?

Prototype: One band Hubbard model at half-filling on non-bipartite lattice



# Why hard?

1. No order parameter for the metal-insulator transition
2. Need to deal with gapless Fermi surface on metallic side
3. Complicated interplay between metal-insulator transition and magnetic phase transition

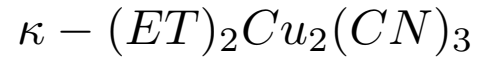
Typically in most materials the Mott transition is first order.

But (at least on frustrated lattices) transition is sometimes only weakly first order  
- fluctuation effects visible in approach to Mott insulator from metal.

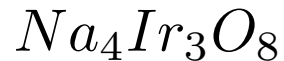
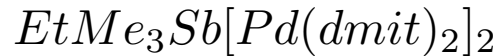
Quantum spin liquid Mott insulators:

Opportunity for progress on the Mott transition -  
study metal-insulator transition without complications of magnetism.

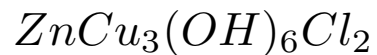
# Some candidate spin liquid materials



Quasi-2d, approximately isotropic triangular lattice; best studied candidate spin liquids



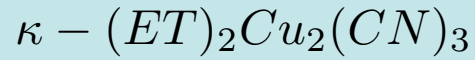
Three dimensional 'hyperkagome' lattice



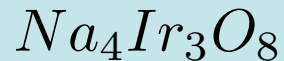
Volborthite, .....

2d Kagome lattice ('strong' Mott insulator)

# Some candidate materials

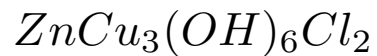


Quasi-2d, approximately isotropic triangular lattice; best studied candidate spin liquids



Three dimensional 'hyperkagome' lattice

Close to pressure driven Mott transition: 'weak' Mott insulators



Volborthite, .....

2d Kagome lattice ('strong' Mott insulator)

# Some phenomena in experiments

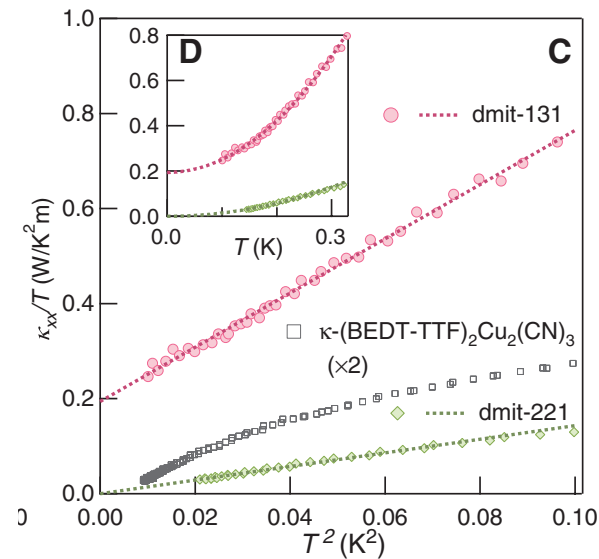
**ALL** candidate quantum spin liquid materials:

**Gapless** excitations down to  $T \ll J$ .

Most extensively studied in organic spin liquids with  $J \approx 250$  K.

Example: Thermal transport in dmit SL.

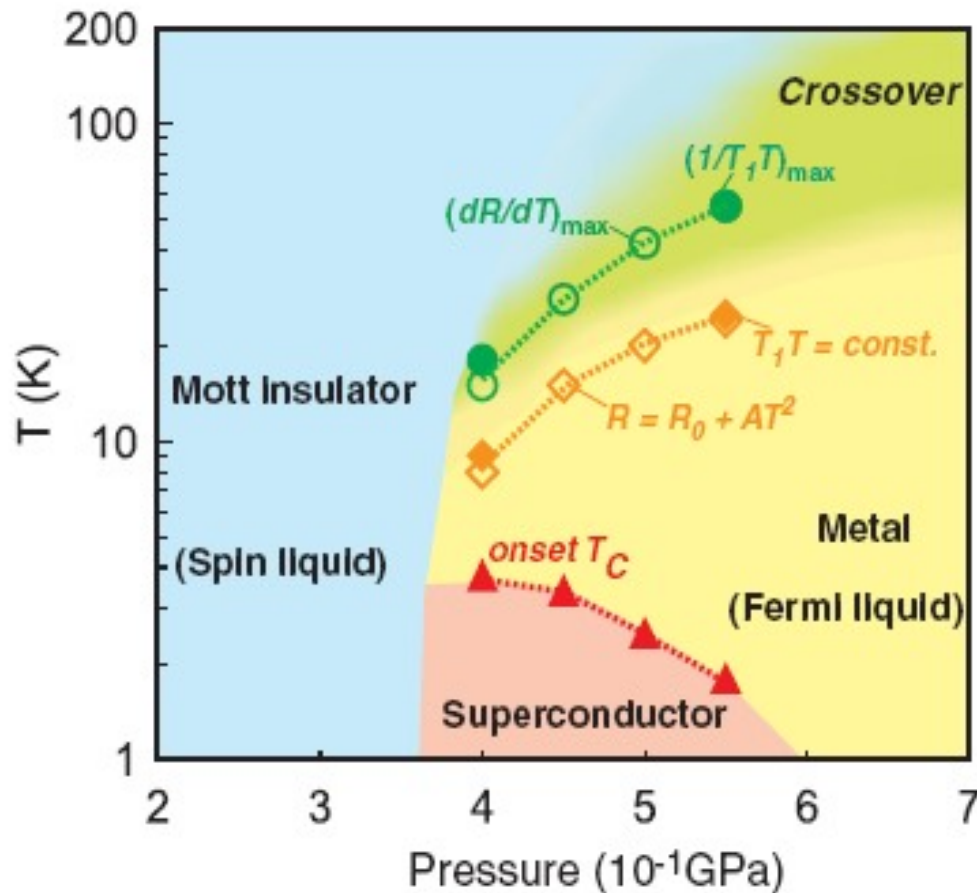
Electrical Mott insulator but thermal metal!



M. Yamashita et al, Science 2010.

# Possible experimental realization of a second order(?) Mott transition

Kanoda et al  
'03-'08

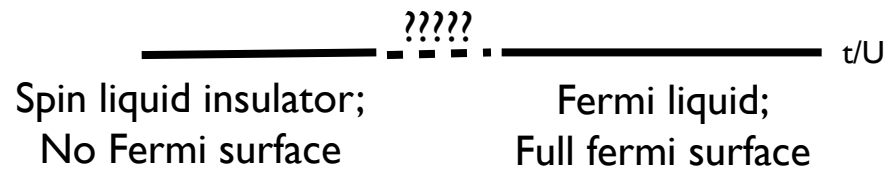


$K-(ET)_2Cu_2(CN)_3$   
Under pressure

# Quantum spin liquids and the Mott transition

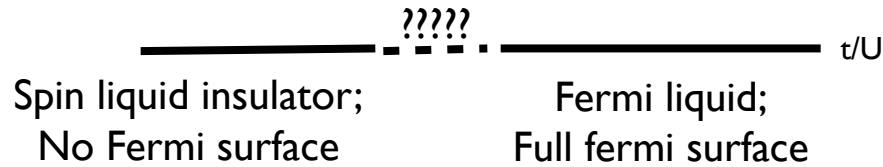
Some questions:

1. Can the Mott transition be continuous?
2. Fate of the electronic Fermi surface?





# Killing the Fermi surface



At half-filling, through out metallic phase,  
Luttinger theorem  $\Rightarrow$  size of Fermi surface is fixed.

Approach to Mott insulator: entire Fermi surface must  
die while maintaining size (cannot shrink to zero).

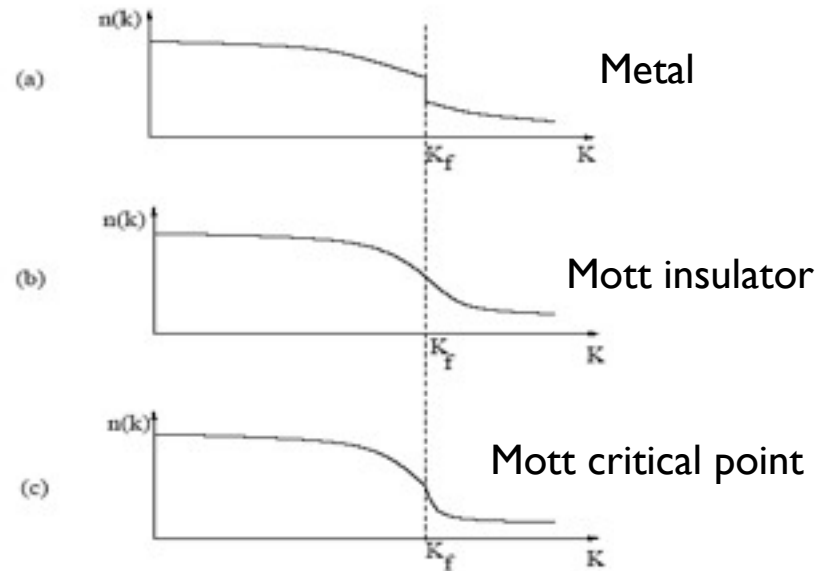
If Mott transition is second order, critical point necessarily very unusual.

“Fermi surface on brink of disappearing” - expect non-Fermi liquid physics.

Similar “killing of Fermi surface” also at Kondo breakdown transition  
in heavy fermion metals, and may be also around optimal doping in cuprates.

# How can a Fermi surface die continuously?

Continuous disappearance of Fermi surface if quasiparticle weight  $Z$  vanishes continuously everywhere on the Fermi surface (Brinkman, Rice, 1970).



Concrete examples: DMFT in infinite  $d$  (Vollhardt, Metzner, Kotliar, Georges 1990s), slave particle theories in  $d = 2$ ,  $d = 3$  (TS, Vojta, Sachdev 2003, TS 2008)

# Basic question for theory

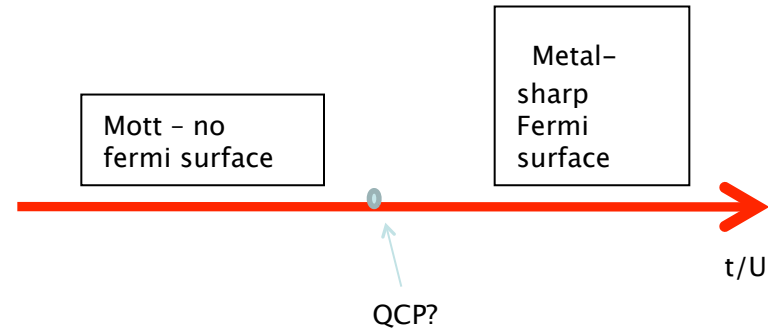
Crucial question: Nature of electronic excitations right at quantum critical point when  $Z = 0$ .

Claim: At critical point, Fermi surface remains sharply defined even though there is no Landau quasiparticle.

TS, 2008

“Critical Fermi surface”.

# Why a critical Fermi surface?



What is gap  $\Delta(\mathbf{K})$  to add electron at momentum  $\mathbf{K}$ ?

Fermi liquid:  $\Delta(\mathbf{K}) \in FS = 0$ .

Mott insulator: Sharp gap  $\Delta(\mathbf{K}) \neq 0$  for all  $\mathbf{K}$

# Evolution of single particle gap

Approach from Mott:

Second order transition to metal  $\Rightarrow \Delta(\mathbf{K})$  will close continuously.

Match to Fermi surface (FS) in metal  $\Rightarrow \Delta(\mathbf{K}) \rightarrow 0$  for all  $\mathbf{K} \in FS$ .

$\Rightarrow$  Fermi surface sharp at critical point.

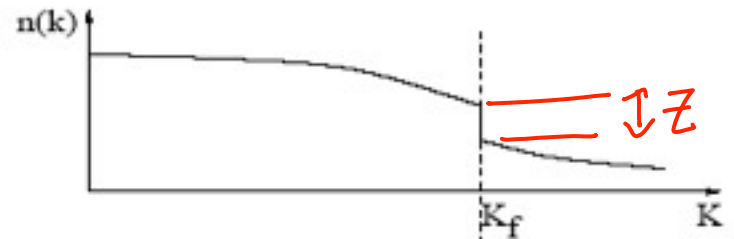
But as  $Z = 0$  no sharp quasiparticle.

Non-fermi liquid with sharp critical Fermi surface.

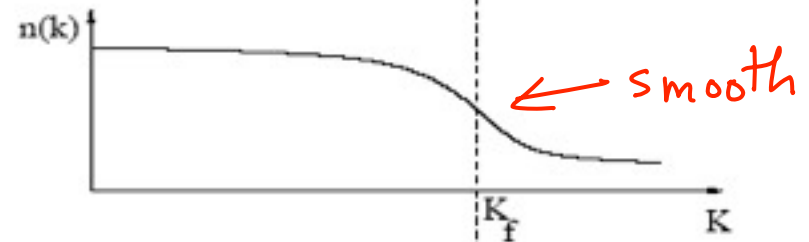
# Why a critical Fermi surface?

## Evolution of momentum distribution

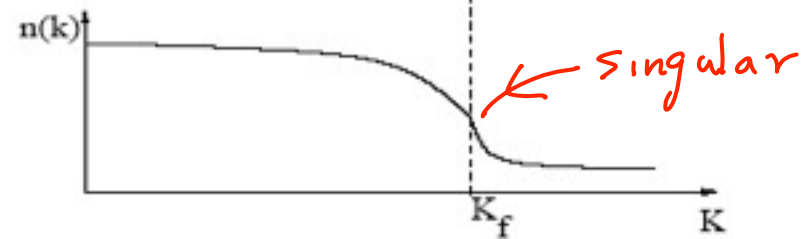
(a) Metal with Fermi surface



(b) Phase where Fermi surface has disappeared



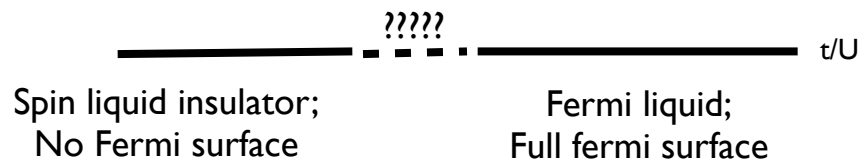
(c) Critical point  
 $n(k)$  continuous at  $k_F$   
but is singular



# Quantum spin liquids and the Mott transition

Some questions:

1. Can the Mott transition be continuous at  $T = 0$ ?
2. Fate of the electronic Fermi surface?



Only currently available theoretical framework to answer these questions is slave particle gauge theory.

(Mean field: Florens, Georges 2005;  
Spin liquid phase: Motrunich, 05, S.S. Lee, P.A. Lee, 05)

# Slave particle framework

Split electron operator

$$c_{r\sigma} = b_r f_{r\alpha}$$

Fermi liquid:  $\langle b \rangle \neq 0$

Mott insulator:  $b_r$  gapped

Mott transition:  $b_r$  critical

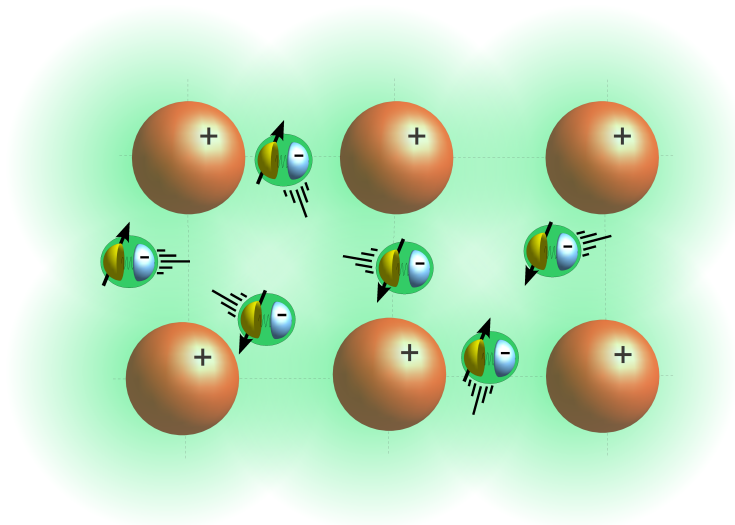
In all three cases  $f_{r\alpha}$  form a Fermi surface.

Low energy effective theory: Couple  $b, f$  to fluctuating  $U(1)$  gauge field.



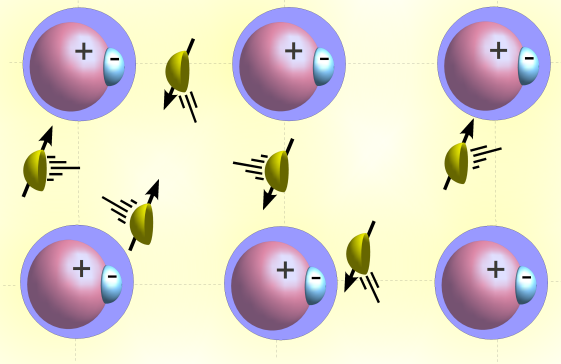
# Picture of Mott transition

Metal



Electrons swimming in sea of +vely charged ions

Mott spin liquid near metal



Electron charge gets pinned to ionic lattice while spins continue to swim freely.

# Quantum spin liquids and the Mott transition

1. Can the Mott transition be continuous?

2. Fate of the electronic Fermi surface?



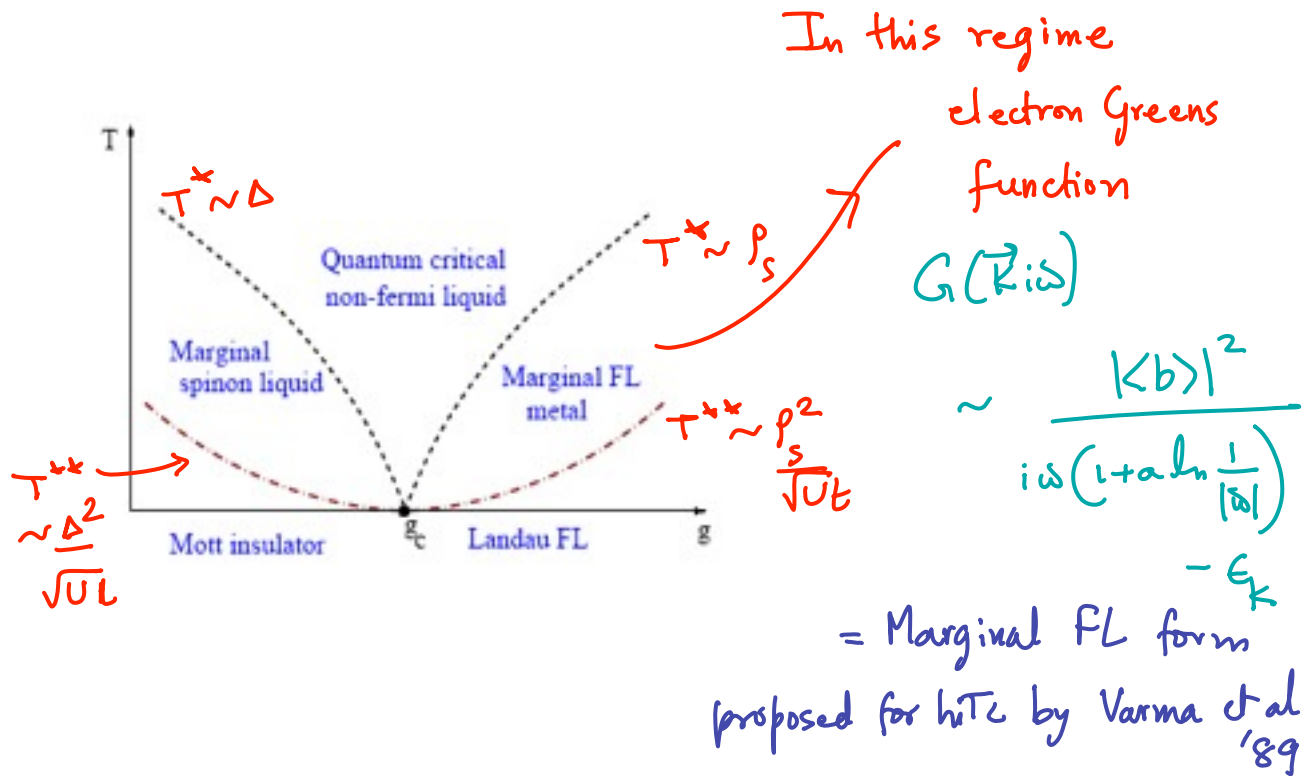
Analyse fluctuations: Concrete tractable theory of a continuous Mott transition (TS 2008); demonstrate critical Fermi surface at Mott transition;

Definite predictions for many quantities (TS, 2008, Witczak-Krempa, Ghaemi, Kim, TS, 2012).

- Universal jump of residual resistivity on approaching from metal
- Log divergent effective mass
- Two diverging time/length scales near transition
- Emergence of marginal fermi liquids

# Finite-T crossovers: emergence of a Marginal Fermi Liquid

TS, 2008



# Structure of critical theory

Field theory for critical point

$$S = S[b, a] + S[f_\alpha, a]$$

Gauge fluctuations are Landau damped by spinon Fermi surface:

$$S_{eff}[a] = \int_{\mathbf{q}, \omega} \left( K_F \frac{|\omega|}{|\mathbf{q}|} + \dots \right) |\mathbf{a}(\mathbf{q}, \omega)|^2$$

$\Rightarrow$  at low energies gauge field decouples from critical  $b$  fluctuations.  
Charge sector is described by  $S[b] =$  critical D = 2+1 XY model

## Structure of critical theory (cont'd)

Though boson criticality is not affected by the gauge fields, the gauge fields are affected by the bosonic criticality.

Effective gauge dynamics

$$S_{eff}[a] = \int_{q,\omega} \left( K_F \frac{|\omega|}{|\mathbf{q}|} + \sigma_0 \sqrt{\omega^2 + q^2} \right) |\mathbf{a}(\mathbf{q}, \omega)|^2$$

Second term: response of critical boson to the gauge field.

Anticipate that for fermions  $|\omega| \ll |\mathbf{q}|$ , replace by

$$S_{eff}[a] = \int_{q,\omega} \left( K_F \frac{|\omega|}{|\mathbf{q}|} + \sigma_0 |\mathbf{q}| \right) |\mathbf{a}(\mathbf{q}, \omega)|^2$$

Spinon Fermi surface coupled to Landau damped gauge field with  $z_b = 2$  (a well understood theory).

# Critical theory

Effective critical action

$$S_{eff} = S[b] + S[f, a]$$

$S[b]$ : critical  $D = 2+1$  XY model

$S[f]$ : spinon Fermi surface + Landau damped gauge field with  $z_b = 2$

Both individually understood.

## Non-zero temperature transport/dynamics

$$S_{eff}[a] = \int_{\mathbf{q}} \frac{1}{\beta} \sum_{\omega_n} \left( K_F \frac{|\omega_n|}{|\mathbf{q}|} + \dots \right) |\mathbf{a}(\mathbf{q}, \omega_n)|^2$$

Static gauge fluctuations ( $\omega_n = 0$ ) escape Landau damping, and do not decouple from critical bosons.

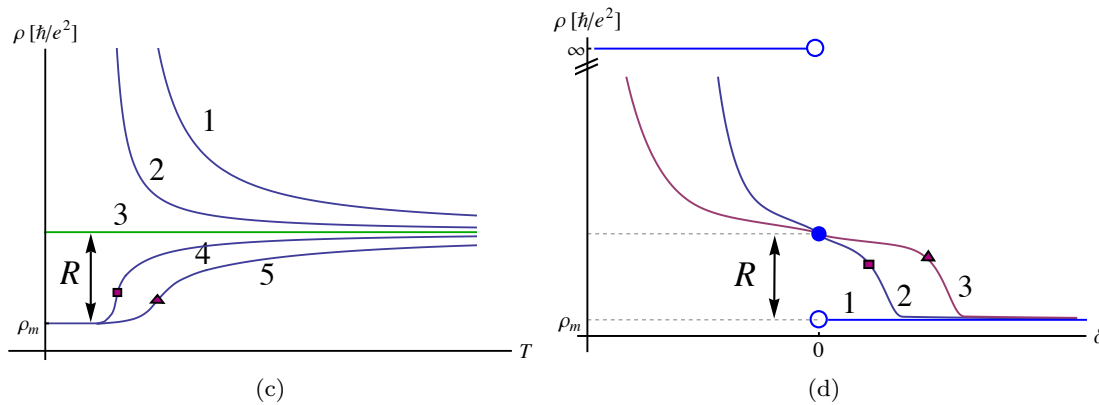
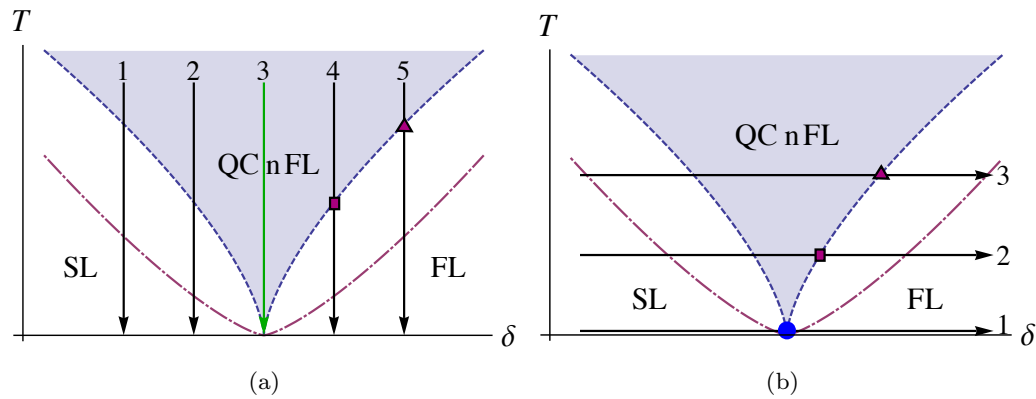
Universal transport in a large- $N$  approximation (Witzcak-Krempa, Ghaemi, TS, Y.B. Kim, 2012):

Gauge scattering reduces universal conductivity by factor of  $\approx 8$  from  $3D$  XY result (Damle, Sachdev '97).

Electronic Mott transition: Net resistivity  $\rho = \rho_b + \rho_f$

Universal resistivity jump =  $\rho_b$  enhanced by factor of  $\approx 8$ .

# Non-zero temperature transport



$$\rho - \rho_m = \frac{\hbar}{e^2} G \left( \frac{\delta z \nu}{T} \right)$$

$$z = 1, \nu \approx 0.672$$



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3. Warm-up: Superfluid-insulator transitions of bosons at commensurate filling  $1/q$  (a review)

# Mott transitions at commensurate filling on square lattice

Consider filling  $\nu = 1 - \frac{1}{q}$  for electrons on a square lattice.

Can drive a transition from a uniform Fermi liquid to a translation broken Mott insulator.

Address using slave bosons:

$$c_\alpha = b^\dagger f_\alpha$$

$b$  is at filling  $x = \frac{1}{q}$  and  $f_\alpha$  at  $1 - x$ .

As before  $\langle b \rangle \neq 0$  (and  $f_\alpha$  form Fermi surface) yields the Fermi liquid.

To get Mott insulator put  $b$  in a boson Mott insulator.

For  $q > 1$  this insulator will typically break translation symmetry.

# Mott transitions at commensurate filling on square lattice

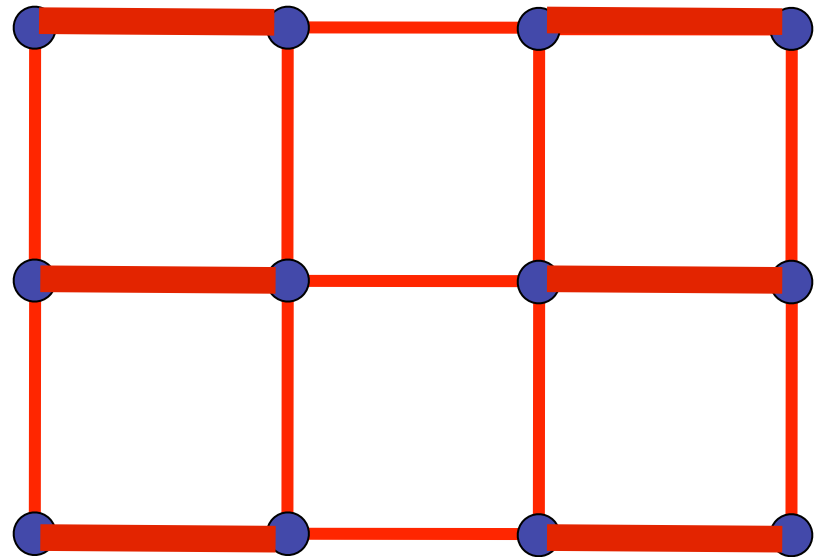
In this framework, the slave boson undergoes a transition from superfluid to a charge ordered insulator.

Such a transition could be second order, described by a deconfined quantum critical point.

Eg:  $q = 2$

Insulator could be a period-2 stripe.

This has both stripe and 'nematic' order (i.e break lattice rotation symmetry).



# ?? Continuous Mott transitions at commensurate filling ??

Strategy:

1. Understand theory of possible continuous superfluid - charge ordered Mott insulator transition of bosons at commensurate filling.
2. Couple this theory to spinon Fermi surface.

Many important couplings

- gauge fields of the slave boson decomposition (same as at  $x = 0$ )
- energy-energy coupling (same as at  $x = 0$ )
- charge order and nematic fluctuations

## ?? Continuous Mott transitions at commensurate filling ??

If the SF- charge ordered insulator transition of bosons is second order, it will be characterized by some critical exponents  $\nu, z, \eta, \eta_{CO}, \eta_{Nem}$ .

$\nu$ : correlation length exponent

$z$ : dynamical critical exponent (expect = 1; see later)

$\eta$ : anomalous dimension of  $b$

$\eta_{CO}$ : anomalous dimension of charge order.

$\eta_{Nem}$ : anomalous dimension of nematic order.

**Easy to derive criteria for when coupling to spinons does not change the bosonic criticality (as at  $x = 0$ ).**

# Coupling to spinon Fermi surface

1. Gauge field coupling: Similar to  $x = 0$  so long as boson sector is described by relativistic critical theory.

Gauge field decouples from boson but is affected by it.

2. Energy- energy coupling

$$\int_{\omega, \mathbf{q}} \frac{|\omega|}{q} |b|^2$$

Irrelevant if  $\nu > \frac{2}{3}$ .

3. Charge order fluctuations

$$\int_{\omega, \mathbf{q}} |\omega| |\rho_{CO}|^2$$

Irrelevant if  $\eta_{CO} > 1$ .

4. Nematic fluctuations

$$\int_{\omega, \mathbf{q}} \frac{|\omega|}{q} |N|^2$$

Irrelevant if  $\eta_{Nem} > 2$ .

# Possible critical theory

Effective critical action

$$S_{eff} = S[b] + S[f, a]$$

$S[b]$ : possible non-Landau critical theory for SF- CO insulator of bosons at filling  $1/q$ .

$S[f]$ : spinon Fermi surface + Landau damped gauge field with  $z_b = 2$

Need boson sector to be relativistic with  $\nu > \frac{2}{3}, \eta_{CO} > 1, \eta_{Nem} > 2$ .

If these are not satisfied critical theory will be more complicated.

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# Dual vortex theory of bosons at filling $1/q$

$q = 2$ : Lannert, Fisher, TS, 2000

General  $q$ : Balents, Bartosch, Burkov, Sachdev, Sengupta, 2005.


Dual description in terms of vortices very convenient to incorporate Berry phase effects of lattice filling.

## Conventional 2d bosons: charge-vortex duality

$$\mathcal{L}_d = \mathcal{L}[\Phi, a_\mu] + \frac{1}{2\pi} \epsilon_{\mu\nu\lambda} \partial_\nu a_\lambda A_\mu$$

Dasgupta, Halperin, '80  
Peskin, Stone, '80  
Fisher, Lee, '89

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Bosonic vortex

Dasgupta, Halperin, '80  
Peskin, Stone, '80  
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## Conventional 2d bosons: charge-vortex duality

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Bosonic vortex

Physical boson current

Dasgupta, Halperin, '80  
Peskin, Stone, '80  
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# Conventional 2d bosons: charge-vortex duality

$$\mathcal{L}_d = \mathcal{L}[\Phi, a_\mu] + \frac{1}{2\pi} \epsilon_{\mu\nu\lambda} \partial_\nu a_\lambda A_\mu$$

Bosonic vortex

Physical boson current

external probe gauge field

Boson superfluid = vortex insulator

Mott insulator = vortex condensate

Dasgupta, Halperin, '80  
Peskin, Stone, '80  
Fisher, Lee, '89

# Dual vortex theory of bosons at filling $1/q$

Bosons at filling  $1/q \Rightarrow$  Vortices see an average flux  $\frac{2\pi}{q}$ .

Non-zero flux: translations realized projectively for the vortices.

“Magnetic translations”: Vortex band structure  $q$ -fold degenerate.

Natural dual Landau-Ginzburg theory:  $q$ -species of vortex fields all coupled to same non-compact  $U(1)$  gauge field.

# Dual (possibly critical) field theory

Balents, Bartosch, Burkov,  
Sachdev, Sengupta 05

$$\mathcal{S}_0 = \int d^2r d\tau \left( \sum_{\ell=0}^{q-1} [ |(\partial_\mu - iA_\mu)\varphi_\ell|^2 + s|\varphi_\ell|^2 ] \right. \\ \left. + \frac{1}{2e^2} (\epsilon_{\mu\nu\lambda} \partial_\nu A_\lambda)^2 \right). \quad (2.19)$$

$$\mathcal{S}_1 = \int d^2r d\tau \left( \sum_{n=0}^{q/2} \sum_{m=0}^n \lambda_{nm} [ |\rho_{nm}|^2 + |\rho_{n,-m}|^2 + |\rho_{mn}|^2 \right. \\ \left. + |\rho_{m,-n}|^2 ] \right). \quad (2.20)$$

$\rho_{nm} = \varphi_n^* \varphi_m$  are gauge invariant charge ordering operators.

# Status

Unfortunately there seem to currently be no sensible analytic ways of deciding whether there may be such a non-Landau critical point or not (for  $q > 2$ ).

It may be possible in the future to study these theories numerically by simulating an  $SU(q)$  spin system with suitable anisotropies (challenge for Ribhu Kaul).



# Summary

Quantum spin liquids provide an opportunity for progress on classic old problems: Mott and other metal-insulator transitions.

Half-filling (organics, hyperkagome iridate):

Continuous Mott transition possible; several predictions for experiment (eg: universal resistivity jump in  $d = 2$ , resistivity peak in  $d = 3$ )

Other commensurate filling:

Criteria for certain kind of continuous Mott transition accompanied by charge order.

Other (not discussed in this talk):

Disordered limit (doped semiconductors Si:P, Si:B).

Do electrical and thermal metal-insulator transitions occur simultaneously?