

“Order-by-disorder” in the Hilbert space and anomalous thermalization in Rokhsar-Kivelson models

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- Banerjee and AS, Phys. Rev. Lett. 126, 220601 (2021)
- Biswas, Banerjee and AS, SciPost Phys. 12, 148 (2022)

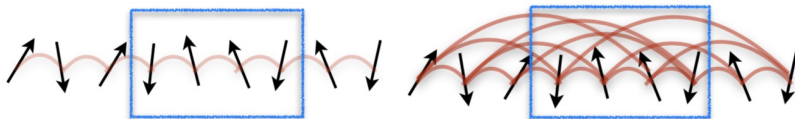
Mukherjee, Banerjee, Sengupta and AS, Phys. Rev. B 104, 155117 (2021) [PRB Editors' Suggestion]

Thermalization of macroscopic systems



- Non-equilibrium states go to unique **equilibrium state** when left on their own (i.e. become macroscopically indistinguishable)

How do macroscopic quantum systems self-thermalize?



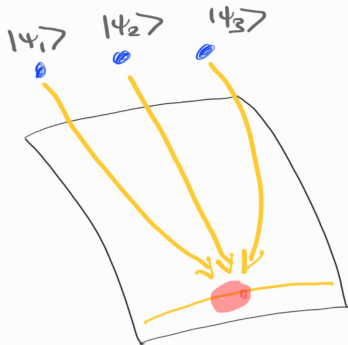
- $|\psi(t)\rangle = U(t)|\psi(0)\rangle = e^{-iHt}|\psi(0)\rangle$

Paradox: unitary evolution cannot erase quantum information

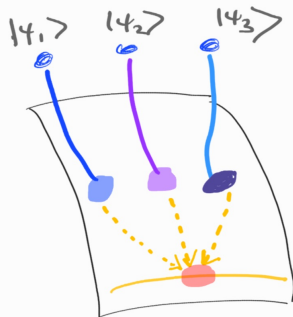
- Spreading of quantum entanglement moves information about the initial state to highly non-local operators

[Nandkishore, Huse, Annu. Rev. Condens. Matter Phys. (2015)]

Eigenstate Thermalization Hypothesis: Many-body eigenstates of local Hamiltonians with a finite energy density appear “thermal” for local operators [Deutsch (1991), Srednicki (1994), Rigol, Dunjko, Olshanii(2008)]

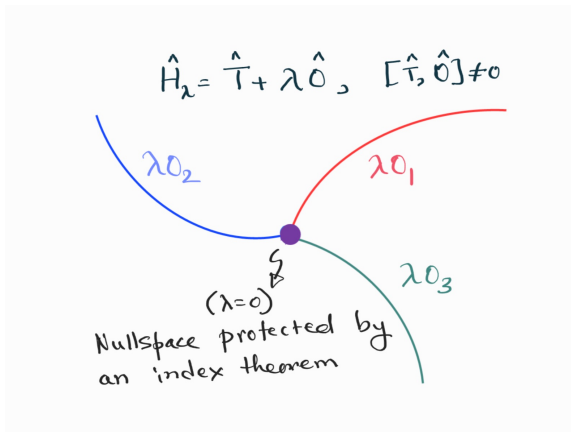


Quick thermalization



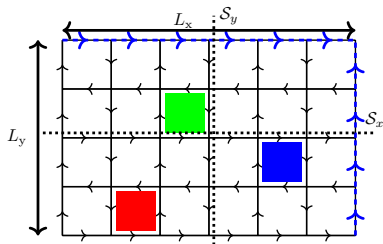
Slow/suppressed dynamics
(long/infinite time scale)

- Can thermalization be evaded in disorder-free systems?
Answer: YES. Focus on some paradigmatic models of quantum magnetism



- An index theorem protects a **macroscopic number** of exact zero modes at $\lambda = 0$ (the **mother point**)
- Adding suitable non-commuting interactions may provide a route to anomalous thermalization in such theories

Quantum link and dimer models



$$\mathcal{O}_{\text{kin}} \begin{array}{c} \text{---} \swarrow \searrow \text{---} \\ \nearrow \nwarrow \text{---} \end{array} = 0$$

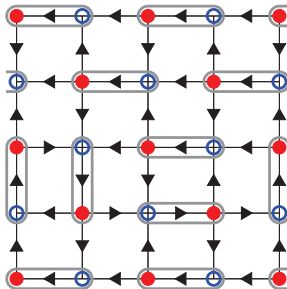
$$\mathcal{O}_{\text{kin}} \begin{array}{c} \text{---} \swarrow \searrow \text{---} \\ \nearrow \nwarrow \text{---} \end{array} = \begin{array}{c} \text{---} \swarrow \nwarrow \text{---} \\ \nearrow \searrow \text{---} \end{array}$$

$$\mathcal{O}_{\text{kin}} \begin{array}{c} \text{---} \swarrow \nwarrow \text{---} \\ \nearrow \searrow \text{---} \end{array} = \begin{array}{c} \text{---} \swarrow \searrow \text{---} \\ \nearrow \nwarrow \text{---} \end{array}$$

$$\mathcal{O}_{\text{pot}} \begin{array}{c} \text{---} \swarrow \searrow \text{---} \\ \nearrow \nwarrow \text{---} \end{array} = 0$$

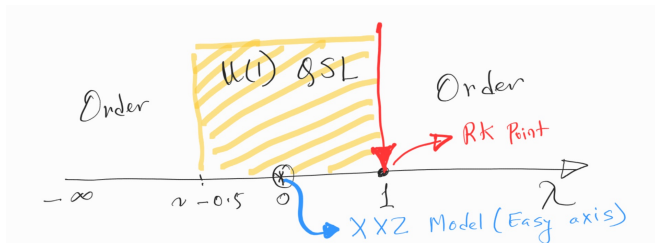
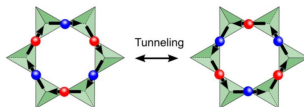
$$\mathcal{O}_{\text{pot}} \begin{array}{c} \text{---} \swarrow \nwarrow \text{---} \\ \nearrow \searrow \text{---} \end{array} = \begin{array}{c} \text{---} \swarrow \nwarrow \text{---} \\ \nearrow \searrow \text{---} \end{array}$$

$$\mathcal{O}_{\text{pot}} \begin{array}{c} \text{---} \swarrow \searrow \text{---} \\ \nearrow \nwarrow \text{---} \end{array} = \begin{array}{c} \text{---} \swarrow \searrow \text{---} \\ \nearrow \nwarrow \text{---} \end{array}$$



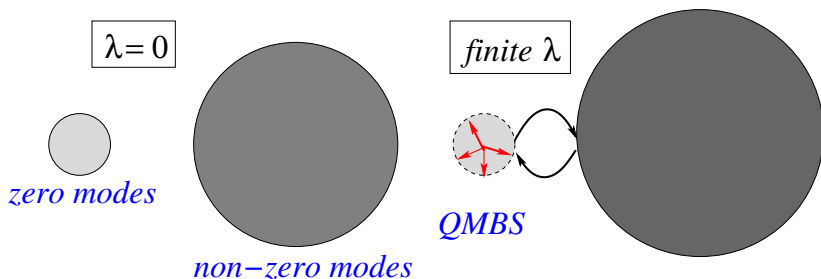
Rokhsar-Kivelson models

$$\mathcal{H}_{\text{RK}} = - \sum_{\text{plaq.}} [|\circ\rangle\langle\circ| + |\oslash\rangle\langle\oslash|] + \lambda \sum_{\text{plaq.}} [|\circ\rangle\langle\oslash| + |\oslash\rangle\langle\circ|]$$



[Banerjee, Isakov, Damle, Kim, PRL (2008); Shannon, Sikora, Pollmann, Penc, Fulde, PRL (2012)]

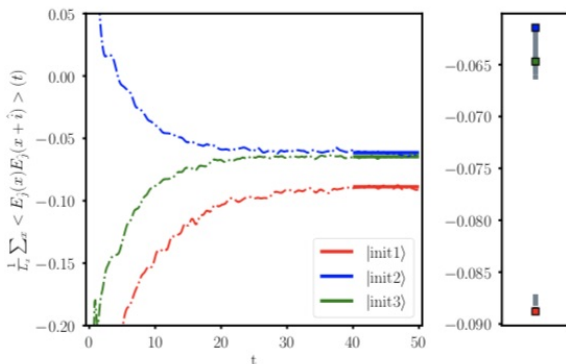
“Order-by-disorder” in the Hilbert space



- Some [$O(1)/O(V^a)/O(e^V)$] very special linear combinations of the zero modes also diagonalize \mathcal{O}_{pot} and hence \mathcal{H}_{RK} at any λ
- Such anomalous zero modes violate the **ETH** (also called **Quantum Many-Body Scars**) terminology of QMBS introduced in Turner et al., Nat Phys (2018)

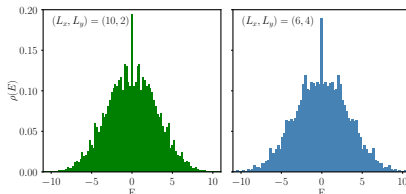
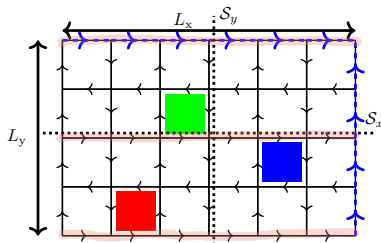
[Banerjee, AS, PRL (2021); Biswas, Banerjee, AS, SciPost Phys. (2022)]

Memory effect in unitary dynamics



- Out of **6433** initial states with average energy $\lambda N_p/2$, **18** have overlap with the **anomalous zero modes** for QLM with $(L_x, L_y) = (14, 2) = 56$ spins
- Gauss Law \rightarrow **4815738**, $(W_x, W_y) = (0, 0) \rightarrow$ **1232454**, $(k_x, k_y) = (0, 0) \rightarrow$ **44046**

$\lambda = 0$ (The mother point)



- H anticommutes with $\mathcal{C} = \prod_{\mathbf{r}, \hat{\mu}} E_{\mathbf{r}, \hat{\mu}} \Rightarrow \mathcal{C}|E\rangle = |-E\rangle$
- H also commutes with space reflections about certain axes
- This point-group symmetry $\mathcal{S}_{x,y}$ commutes with \mathcal{C}
- $\rho(E) = \alpha \delta(E = 0) + \rho_{\text{reg}}(E)$, $E = 0$ states protected by an index theorem [Schechter and Iadecola (PRB, 2018)]

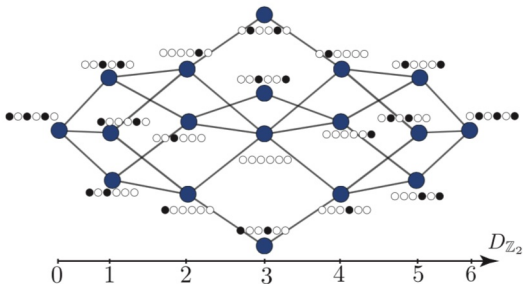
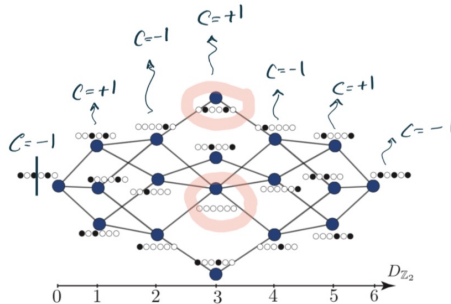


Fig. from Turner, Michailidis, Abanin, Serbyn, Papić, arXiv: 1806.10933 for PXP model

- Emergent **bipartite hopping structure** in Fock space with two “sublattices” ($\mathcal{C} = \pm 1$) due to $\{H, \mathcal{C}\} = 0$
- For any bipartite lattice s.t. $N_A \neq N_B$ where particle can only hop between A to B (assume zero onsite energy), # of zero modes $\geq |N_A - N_B|$ [Sutherland, PRB 34, 5208 (1986)]



- Let N_{PC} be the # of states with $P = \pm$ and $C = \pm$. Given $|\psi\rangle$, superpositions $|\psi\rangle \pm P|\psi\rangle$ have $P = \pm$
- Let \mathcal{N} be # of Fock states with $P = +$ (but without partner at $P = -$). Such states necessarily have $C = +$
- $N_{++} = N_{-+} + \mathcal{N}$ and $N_{+-} = N_{--}$ from which # of zero modes $|N_{++} - N_{+-}| + |N_{-+} - N_{--}| \geq \mathcal{N}$
($\mathcal{N} \sim \alpha^{V/2}$ if total HSD $\sim \alpha^V$)

- From ETH, a “typical” zero mode should resemble a featureless $T \rightarrow \infty$ state

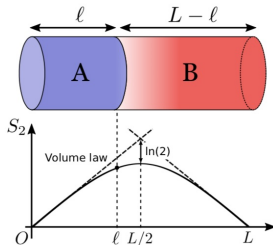
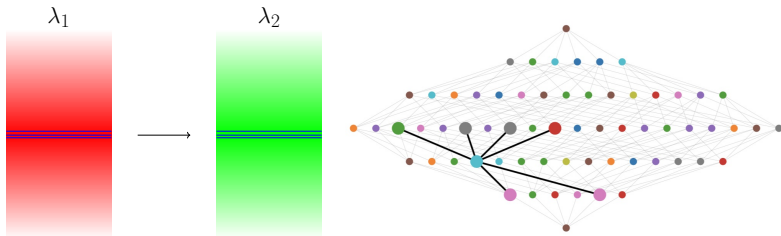


Fig. from Nakagawa, Watanabe, Fujita, Sugiura, arXiv: 1703.02993

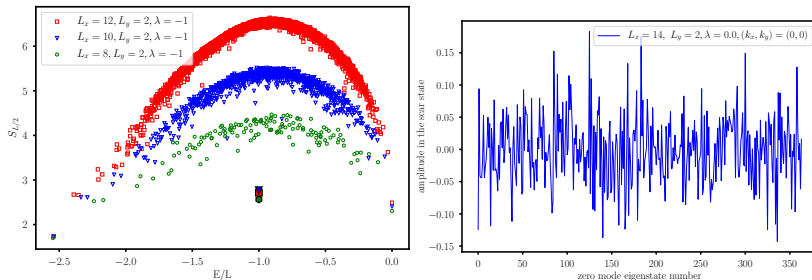
- $\rho_A = \text{Tr}_B |\Psi\rangle\langle\Psi| \Rightarrow S_{L/2} = -\text{Tr}[\rho_A \ln \rho_A]$
- Typical high-energy eigenstates follow volume law scaling for **entanglement entropy** unlike ground states (area law)

Anomalous Zero modes (Quantum many-body scars)



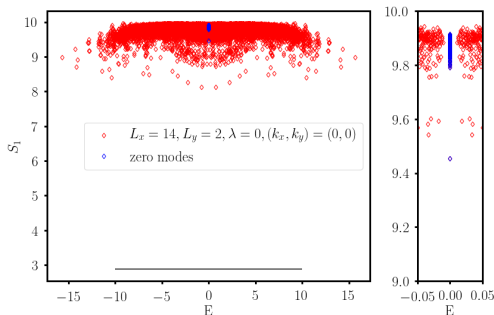
- $|\psi_{\text{QMBS}}\rangle = \sum_{\alpha} c_{\alpha} |\text{ZM}_{\alpha}\rangle,$
 $\mathcal{O}_{\text{kin}}|\psi_{\text{QMBS}}\rangle = 0, \mathcal{O}_{\text{pot}}|\psi_{\text{QMBS}}\rangle = N|\psi_{\text{QMBS}}\rangle \ (N \sim V)$
 $\mathcal{H}_{\text{RK}}(\lambda)|\psi_{\text{QMBS}}\rangle = \lambda N|\psi_{\text{QMBS}}\rangle$
- QMBS **localized** in the Hilbert space while *typical* zero modes are not

QMBS in ladders of width $L_y = 2$



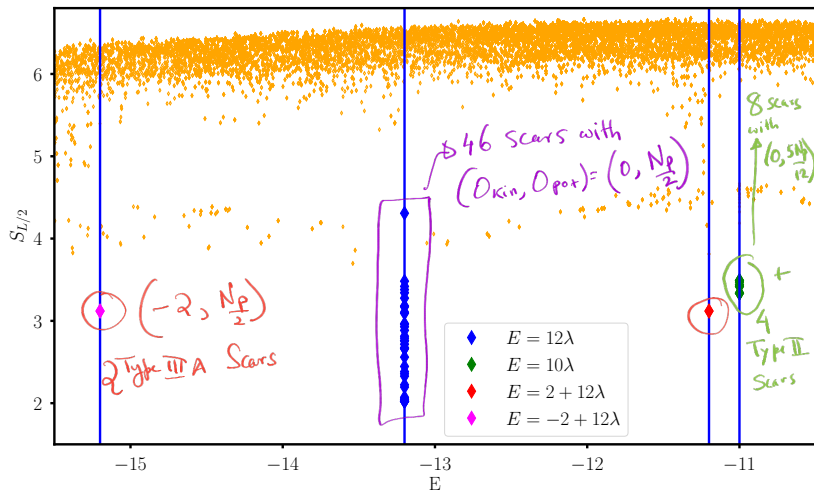
- **4 QMBS** each with $(N_p/2, 0)$ as eigenvalues of $(\mathcal{O}_{\text{pot}}, \mathcal{O}_{\text{kin}})$ where N_p equals total # of elementary plaquettes
- **QMBS resembles pseudo-random superposition of zero modes!**

Localization in the Hilbert space



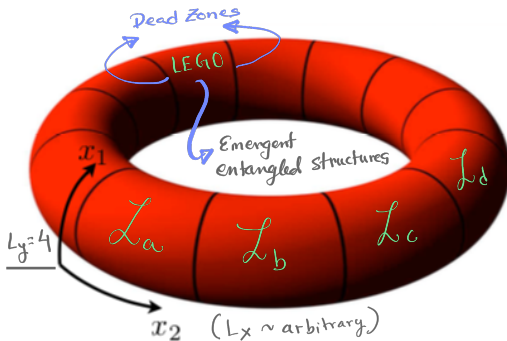
- Shannon entropy $S_1 = -\sum_{\alpha} |\psi_{\alpha}|^2 \ln |\psi_{\alpha}|^2$ where $|\Psi\rangle = \sum_{\alpha=1}^{\mathcal{N}_T} \psi_{\alpha} |\alpha\rangle$
- $S_1 \sim \ln(\mathcal{N}_T)$ for delocalized state and $S_1 \sim \text{const}$ for localized state
- The individual zero modes at $\lambda = 0$ have a much larger Shannon entropy (S_1) than the QMBS

Wider QLM ladders to approach 2D, more variety!

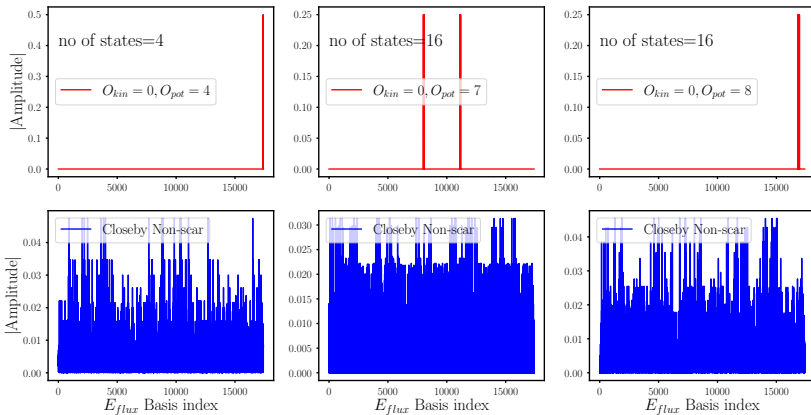


- 46 (106) scars with $\mathcal{O}_{\text{pot}} = \frac{N_p}{2}$, $\mathcal{O}_{\text{kin}} = 0$ for $L_y = 4$ and $L_x = 6$ (8)
- Data for $(L_x, L_y) = (6, 4)$ shown above

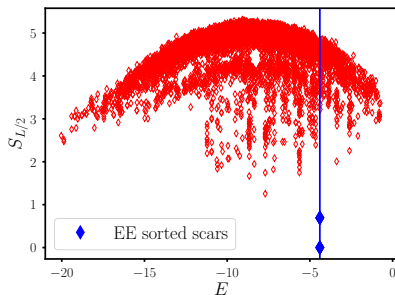
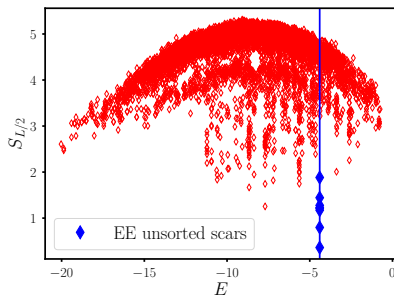
Exact “Lego Scars” in QDM in thin torus limit



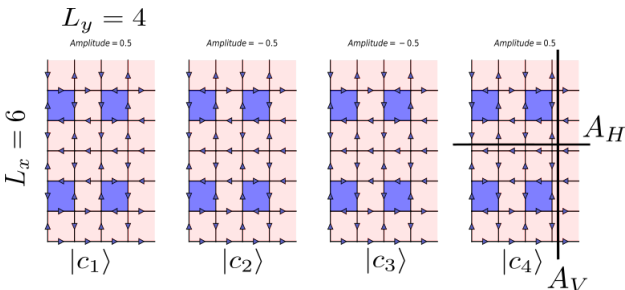
- $|\psi_L\rangle = |\mathcal{L}_i\rangle \otimes |\mathcal{L}_j\rangle \otimes \cdots \otimes |\mathcal{L}_k\rangle$ where we choose type of lego that can fit with each other at the boundaries
- Can be interpreted as states with excitations that exhibit **sub-dimensional motion** (reminiscent of **fractonic models**)
- Since legos come in multiple varieties, $\exp(L_x)$ lego scars when $L_x \gg 1$ with $L_y = 4$



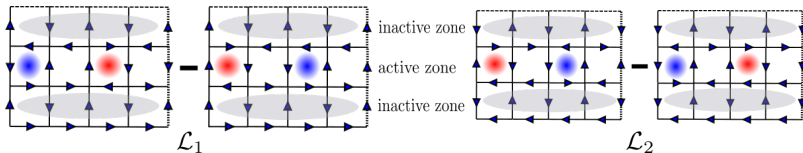
- QDM on ladder with dimension $(L_x, L_y) = (8, 4)$
- Scars with lowest EE (using a minimization algorithm introduced by [Reuvers \(2018\)](#)) shown in the basis of Fock states after using an EE minimization procedure



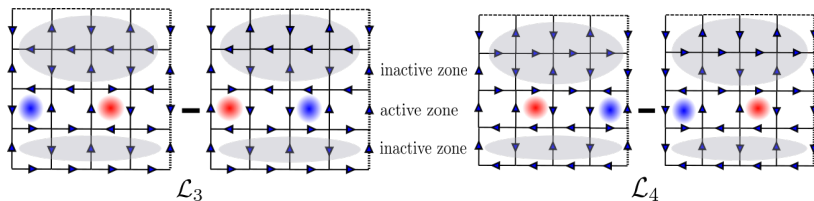
- Data for QDM in the $(W_x, W_y) = (1, 0)$ winding number sector with $\lambda = -1.1$ for $(L_x, L_y) = (8, 4)$
- The blue dots represent 8 quantum scars that have a well-defined $(\mathcal{O}_{\text{kin}}, \mathcal{O}_{\text{pot}}) = (0, 4)$



- $|\psi_L\rangle = |\mathcal{L}_1\rangle \otimes |\mathcal{L}_2\rangle$ gives this state for $L_x = 6$
- $(|\mathcal{L}_1\rangle \otimes |\mathcal{L}_2\rangle) \otimes (|\mathcal{L}_1\rangle \otimes |\mathcal{L}_2\rangle) \otimes \cdots (|\mathcal{L}_1\rangle \otimes |\mathcal{L}_2\rangle)$ for $L_x = 6n$
inactive zones



- The sign structure inside the legos crucial in giving rise to the inactive zones
- $\mathcal{O}_{\text{kin}} \left(\begin{bmatrix} \text{C} & \text{U} & \text{A} & \text{U} \end{bmatrix} - \begin{bmatrix} \text{A} & \text{U} & \text{C} & \text{U} \end{bmatrix} \right) =$
 $\left(\begin{bmatrix} \text{A} & \text{C} & \text{A} & \text{C} \end{bmatrix} + \begin{bmatrix} \text{C} & \text{U} & \text{C} & \text{U} \end{bmatrix} - \begin{bmatrix} \text{C} & \text{U} & \text{C} & \text{U} \end{bmatrix} - \begin{bmatrix} \text{A} & \text{C} & \text{A} & \text{C} \end{bmatrix} \right)$
 $= 0$
- $\mathcal{O}_{\text{kin}} \left(\begin{bmatrix} \text{A} & \text{C} & \text{A} & \text{C} \end{bmatrix} \right)$ would have taken the excitations through the inactive zones if these did not cancel due to the sign structure



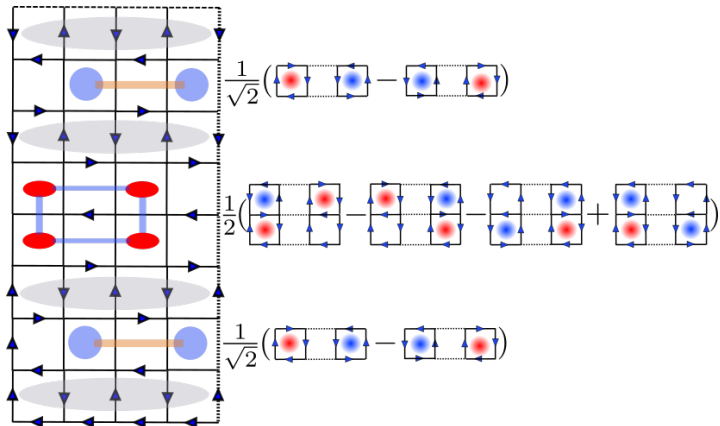
$$|\psi_{L1}\rangle = |\mathcal{L}_3\rangle \otimes |\mathcal{L}_4\rangle, \quad |\psi_{L2}\rangle = |\mathcal{L}_5\rangle \otimes |\mathcal{L}_5\rangle$$

The diagram illustrates the construction of states \mathcal{L}_1 and \mathcal{L}_2 from a 4x4 grid of particles. The grid is divided into three horizontal regions: an 'inactive zone' at the top and bottom, and an 'active zone' in the middle. The particles are represented by blue and red circles. The states \mathcal{L}_1 and \mathcal{L}_2 are shown as superpositions of configurations, indicated by the minus signs between the grids.

\mathcal{L}_1 is defined as the difference between two configurations: one with a red particle at (2,2) and a blue particle at (3,1), and another with a red particle at (3,3) and a blue particle at (2,2).

\mathcal{L}_2 is defined as the difference between two configurations: one with a red particle at (2,2) and a blue particle at (3,3), and another with a red particle at (3,2) and a blue particle at (2,3).

$$|\psi_{L3}\rangle = |\mathcal{L}_6\rangle$$

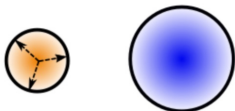


The full variety

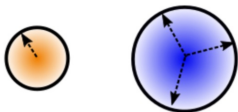
$$\lambda = 0$$

zero modes

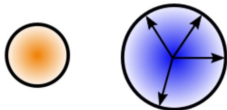
non-zero modes



Type-I

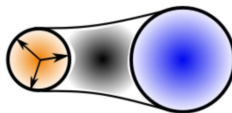


Type-II

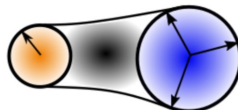


Type-III

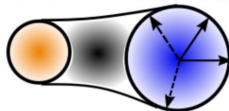
$$|\lambda| > 0$$



$$\mathcal{O}_{\text{kin}} = 0, \mathcal{O}_{\text{pot}} = \mathbb{Z}$$



$$|\Psi\rangle_Z + f(\lambda)|\Psi\rangle_{\bar{Z}}$$



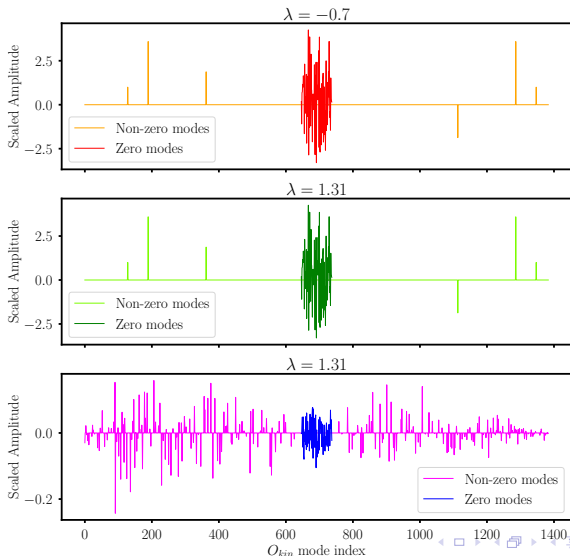
$$\text{III A} \rightarrow \mathcal{O}_{\text{kin}} = \mathbb{Z}, \mathcal{O}_{\text{pot}} = \mathbb{Z}$$

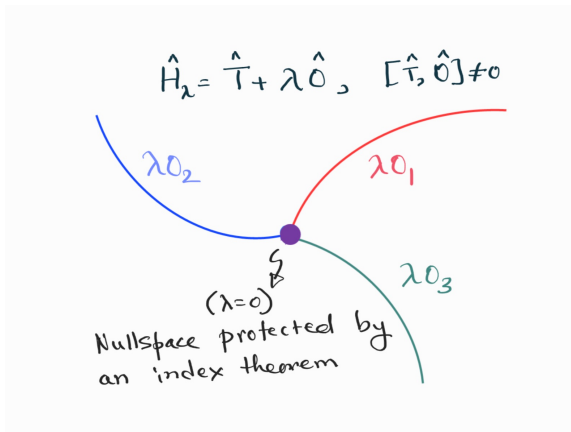
$$\text{III B} \rightarrow \mathcal{O}_{\text{kin}} = \mathbb{Z}$$

$$\text{III C} \rightarrow \mathcal{O}_{\text{kin}} = \text{simple irrationals}$$

Type II scars for QLM on $(L_x, L_y) = (6, 4)$

- $|\text{Scar}\rangle = |\psi_z\rangle + f(\lambda)|\psi_{nz}\rangle$ with $H(\lambda)|\text{Scar}\rangle = (5N_p/12)\lambda$
- $\langle \mathcal{O}_{\text{pot}} \rangle = 5N_p/12$ and $\langle \mathcal{O}_{\text{kin}} \rangle = 0$





- Several well-known LGTs and condensed matter systems harbor such points
- **Non-Abelian LGTs** and **fermionic models** with such index theorem points being explored