





# Introduction to density matrices: Application to semiclassical laser theory

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### Plan of lecture





- Pure and mixed states
  - Stern-Gerlach setup
  - Polarization
- Density matrices
  - Diagonal (population) and off-diagonal (coherence) elements
  - Examples
- Light-matter interaction
- Bloch equations and Poincare sphere
- Maxwell-Bloch equations
  - Steady states: dispersion and gain
- Rate equation picture
  - 3-level and 4-level systems
  - Adiabatic elimination and Laser classes
  - Reduction to Statz-deMars equations



# Spin and density matrix





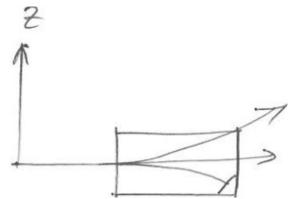
Pure spin states: Stern Gerlach Experiment

Consider a beam of spin  $\frac{1}{2}$  particles(hydrogen) passing through a SG setup.

Field gradient along z with respect to fixed coordinate system.

Beam splits vertically into two, each correspond to one of the two possible eigenvalues of the component  $S_z$  of the spin operator  $\vec{S}$   $(m = \pm \frac{1}{2})$ 

One of the beams is stopped (eliminated)



 $\Rightarrow$  emerging particles are in a state, which corresponds to only one of the eigenvalues. Here it is  $+\frac{1}{2}$ 



### State vector





If the state of a given beam is known to be pure, then the joint state of all particles can be represented in terms of one and the same state vector  $|\chi\rangle$  adi.

$$m = +\frac{1}{2} \quad \left| \frac{1}{2} \right\rangle \qquad \left| +\frac{1}{2} \right\rangle = \begin{pmatrix} 1\\0 \end{pmatrix} \qquad \left( 1 \quad 0 \right)$$

$$m = -\frac{1}{2} \quad \left| -\frac{1}{2} \right\rangle \qquad \left| -\frac{1}{2} \right\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \qquad \begin{pmatrix} 0 & 1 \end{pmatrix}$$

If SG magnet is along z'  $|\chi\rangle = |+\frac{1}{2}, z'\rangle$ 

A general spin state  $|\chi\rangle$  can always be written as  $|\chi\rangle = a_1| + \frac{1}{2}\rangle + a_2| - \frac{1}{2}\rangle$ 

In another representation, 
$$|\chi\rangle = \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$
,  $\langle \chi | = (a_1^*, a_2^*)$ 

The state  $|\chi\rangle$  is normalized  $\Rightarrow |a_1^2| + |a_2^2| = 1 = \langle \chi | \chi \rangle$ 



### Polarization vector





A pure spin state can be characterized either by specifying the polar angles or by  $(a_1, a_2)$ 

Example: Polarization vector  $\vec{P}$ 

 $P_i = \langle \sigma_i \rangle$  expectation value of the Pauli matrices.

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\langle \sigma_i \rangle = \langle \chi | \sigma_i | \chi \rangle$$

$$P_x = \begin{pmatrix} 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 0$$

$$P_y = (1 \quad 0) \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 0$$

$$P_z = \begin{pmatrix} 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = +1$$

For a beam of particles in state,  $|+\frac{1}{2}\rangle = \begin{pmatrix} 1\\0 \end{pmatrix},$   $P_x^2 + P_y^2 + P_z^2 = 1$ 

$$|\pm\frac{1}{2}\rangle$$
 States of opposite polzn.



## Polar representation





Consider now the general pure state  $\begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$ 

Let 
$$\begin{cases} a_1 = \cos \frac{\theta}{2} \\ a_2 = \sin \frac{\theta}{2} e^{i\delta}, & \delta \text{ is the relative phase} \end{cases}$$

——— Completely specified by two real numbers.

$$|\chi\rangle = \begin{pmatrix} \cos\frac{\theta}{2} \\ e^{i\delta}\sin\frac{\theta}{2} \end{pmatrix} \qquad P_x = (\cos\frac{\theta}{2}, e^{-i\delta}\sin\frac{\theta}{2}) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \cos\frac{\theta}{2} \\ e^{i\delta}\sin\frac{\theta}{2} \end{pmatrix}$$

$$P_x^2 + P_y^2 + P_z^2 = 1, \begin{cases} P_x = \sin \theta \cos \delta \\ P_y = \sin \theta \sin \delta \\ P_z = \cos \theta \end{cases}, \theta \to \text{polar angle}, \delta \to \text{azimuthal angle}$$



#### In another reference





A second coordinate system x', y', z' can be chosen such that z'- axis is parallel to  $\vec{P}$ . taking z' as quantization axis

$$P_{x'} = 0, P_{y'} = 0, P_{z'} = 1$$

- $\Rightarrow$  all particles have spin up with respect to z'
- $\Rightarrow$  The direction of the polarization vector is the direction along which all spins are pointing.

SG apparatus pointing along  $\vec{P}$  will allow all the particles to pass through.

allows explicit spin functions to be constructed.

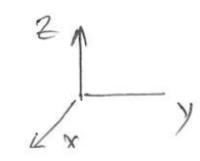


# Example: pure spin state along x





$$|+\frac{1}{2},x\rangle$$
  $|\chi\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\1 \end{pmatrix}$   $\theta = 90^{0}, \delta = 0$   
 $-x' \text{ direction } |\chi\rangle = |-\frac{1}{2},x\rangle$   
 $\theta = 90^{0}, \delta = 180^{0}, |\chi\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\-1 \end{pmatrix}$ 



$$|\chi\rangle = |\frac{1}{2}, y\rangle \quad \theta = 90^{\circ}, \delta = 90^{\circ}$$

$$= \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\ i \end{pmatrix} \qquad \text{th}$$

$$|-\frac{1}{2}, y\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\ -i \end{pmatrix} \qquad \text{sa}$$
di

Note that these four states are constructed using the superposition  $|+\frac{1}{2}\rangle$  and  $|-\frac{1}{2}\rangle$  states using same magnitudes  $|a_1| = |a_2| = \frac{1}{\sqrt{2}}$  but with different relative phases.



## Mixed spin states





Most general spin states for an ensemble of particles

Prepare two beams of particles independently one in pure  $|+\frac{1}{2}\rangle$  state, other in pure  $|-\frac{1}{2}\rangle$  states.

independent: no definite phase relation exist between the two.

Let in the first beam  $N_1$  part

second beam  $N_2$  part

Investigate the polarization state of the combined beam by a SG filter for various orientation.

It is not possible to find any orientation for which the combined beam passes through completely.

 $\Rightarrow$  the joint beam is not in a pure state.

<u>Definition</u>: States which are not pure are called mixed states or mixtures. <sub>a</sub>



#### How to describe a mixed state





(i) It is not possible to describe it by just one state vector  $|\chi\rangle \Rightarrow$  since associated with this state there is a direction along which all spins point  $\equiv$  direction of the polarization vector.

Whole beam would have passed through a SG apparatus.

(ii) Cannot be represented by a linear superposition of  $|+\frac{1}{2}\rangle$  and  $|-\frac{1}{2}\rangle$  of the two constituent beams.

For such superposition, we need to know magnitudes and relative phases  $\delta$ ,  $a_1$ ,  $a_2$ 

$$\begin{cases} |a_1^2| = W_1 \\ |a_2^2| = W_2 \end{cases}$$
 Probabilities of finding the particles in the states  $|+\frac{1}{2}\rangle$  or  $|-\frac{1}{2}\rangle$  respectively.



#### Statistical mixture





$$W_1 = \frac{N_1}{N}, W_2 = \frac{N_2}{N}, N = N_1 + N_2 \Rightarrow W_1 + W_2 = 1$$

Independently prepared  $\Rightarrow$  no definite phase relation

$$N_1$$
 particles prepared in state  $|+\frac{1}{2}\rangle$   
  $N_2$  particles prepared in state  $|-\frac{1}{2}\rangle$ 

Mixture to be prepared retaining maximum information.

 $\vec{P}$  of the total beam by the statistical average over the separate beams.

$$P_{i} = W_{1} |\langle \frac{1}{2} | \sigma_{i} | \frac{1}{2} \rangle + W_{2} |\langle -\frac{1}{2} | \sigma_{i} | -\frac{1}{2} \rangle$$

$$P_{x} = 0, P_{y} = 0, P_{z} = W_{1} - W_{2} = \frac{N_{1} - N_{2}}{N}$$

$$0 \le |\vec{P}| \le 1$$



### General treatment





Consider a quantum system denoted by  $|\chi\rangle$ : complete information: pure state.

Often  $\Rightarrow$  incomplete information

photon from natural light can have any polarization state with equal probability

system in thermal equilibrium at T has a probability  $\sim e^{-\frac{E_n}{kT}}$  of being in state  $E_n$ .

in state  $|\psi_1\rangle$  with probability  $p_1$ 

in state  $|\psi_2\rangle$  with probability  $p_2$ 

. . .

in state  $|\psi_n\rangle$  with probability  $p_n$ 

statistical mixture of states  $|\psi_1\rangle, |\psi_2\rangle, \dots$  with probabilities  $p_1, p_2, \dots$ 

$$p_1 + p_2 + \dots = \sum_k p_k = 1$$



### Superposition vs statistical mixture





single particle in coordinate space in a linear superposition state

$$\psi(r) = \sum_{k} c_k \psi_k(r)$$

Probability of finding the particle at  $r \Rightarrow |\psi(r)|^2 = |\sum_k c_k \psi_k(r)|^2 = P(r)$ 

$$= \sum_{k,k'} c_k c_{k'}^* \psi_k \psi_{k'}^*$$

interference

In a statistical mixture 
$$P(r) = \sum_{k} p_k |\psi_k(r)|^2 \implies \text{no interference}$$



## Density operator for pure states





Let the state vector of the system be perfectly known  $\Rightarrow$  all probabilities  $p_k = 0$  except one

Introduce the operator,  $P_{|u_n\rangle} = |u_n\rangle \langle u_n|$ 

Acting on an arbitrary vector  $|V\rangle$ ,  $P_{|u_n\rangle}|V\rangle = |u_n\rangle\langle u_n|V\rangle$ 

$$P_{|u_n\rangle}|V\rangle = |u_n\rangle\langle u_n|V\rangle$$

gives a vector aligned along  $|u_n\rangle$ 

Moreover, 
$$P_{|u_n\rangle}^2 = (|u_n\rangle\langle u_n|)(|u_n\rangle\langle u_n|)$$
  
 $= |u_n\rangle(\langle u_n|u_n\rangle)\langle u_n|$   
 $= |u_n\rangle\langle u_n|$   
 $= P_{|u_n\rangle}$ 

Thus,  $P_{|u_n\rangle}$  is a projection operator onto basis vector  $|u_n\rangle$ 

$$\sum_{n} P_{|u_n\rangle} = P_{|u_1\rangle} + P_{|u_2\rangle} + \dots = \sum_{n} |n\rangle\langle n| = 1$$



# Density operator for pure states contd.





Description by a density matrix

$$\langle A \rangle = \langle \psi | A | \psi \rangle = \sum_{nm} c_n^* c_m A_{nm}$$

matrix elements of the operator  $|\psi\rangle\langle\psi| \Leftrightarrow \text{Projection onto ket } |\psi\rangle$ 

$$|\psi\rangle = \sum_{n} c_{n} |u_{n}\rangle$$

$$|\psi\rangle = \sum_{n} c_{n} |u_{n}\rangle$$

$$|c_{n}^{*}\rangle = \langle \psi |u_{n}\rangle$$

$$|c_{n}^{*}\rangle = \langle \psi |u_{n}\rangle \langle u_{m} |\psi\rangle$$

$$|c_{n}^{*}\rangle = \langle \psi |u_{n}\rangle \langle \psi |u_{n}\rangle$$

$$|c_{n}^{*}\rangle = \langle \psi |u_{n}\rangle \langle \psi |u_{n}\rangle$$

Natural to introduce the density operator  $\rho = |\psi\rangle\langle\psi|$ 



#### Mean value of an observable





$$\langle A \rangle = \langle \psi | A | \psi \rangle$$

$$= \sum_{nm} c_n^* c_m A_{nm} = \sum_{mn} \rho_{mn} A_{nm} = Tr(\rho A)$$

$$= Tr(A\rho)$$

Mathematically  $|\psi\rangle\langle\psi|$  is projection operator.

$$P_{|\psi\rangle} = |\psi\rangle\langle\psi|, \quad \text{projection onto ket } |\psi\rangle$$

$$P_{|\psi\rangle}|V\rangle = |\psi\rangle(\langle\psi|V\rangle)$$

$$P_{|\psi\rangle}^{2} = |\psi\rangle\langle\psi|\psi\rangle\langle\psi|$$

$$= |\psi\rangle\langle\psi| = P_{|\psi\rangle}$$

$$\Rightarrow P_{|\psi\rangle}^{2} = P_{|\psi\rangle}, \text{ Projection optr.}$$



### Time evolution





$$\frac{d}{dt} \langle \psi | = -\frac{1}{i\hbar} \langle \psi | H^{\dagger} = -\frac{1}{i\hbar} \langle \psi | H$$

$$\frac{d}{dt} |\psi\rangle = \frac{1}{i\hbar} H |\psi\rangle$$

$$\begin{split} \frac{d}{dt}\rho &= \frac{d}{dt} |\psi\rangle \langle\psi| \\ &= \frac{d |\psi\rangle}{dt} \langle\psi| + |\psi\rangle \frac{d \langle\psi|}{dt} \\ &= \frac{1}{i\hbar} (H |\psi\rangle \langle\psi| - |\psi\rangle \langle\psi| \, H) = \frac{1}{i\hbar} (H\rho - \rho H) \end{split}$$



# A compendium for pure states





$$\frac{d}{dt}\rho = \frac{1}{i\hbar}[H,\rho]$$

Generalized Schrödinger equation

Properties of the density operator in case of pure state

$$\rho^{\dagger} = \rho$$

Tr 
$$\rho = 1$$

$$\langle A \rangle = \text{Tr} (\rho A) = \text{Tr} (A \rho)$$

These properties are general and hold also for mixed case

$$i\hbar \frac{d}{dt}\rho = [H, \rho]$$

In case of pure states: two specific properties

$$\rho^2 = \rho$$

These can be used to find out if a state is pure or not

$$Tr \rho^2 = 1$$



## Mixed case density operator





definition 
$$\rho = \sum_{k} p_k \rho_k = \sum_{k} p_k |\psi_k\rangle\langle\psi_k|$$

For mixed states:  $\rho^2 \neq \rho$ ,  $\rho$  is not a projection operator.

Hence, Tr 
$$(\rho^2) \neq \text{Tr }(\rho) = 1$$

For mixed states Tr  $\rho^2 < 1$ 



### Diagonal elements: interpretation





Express  $|\psi_k\rangle$  in basis  $|u_n\rangle$  as

$$|\psi_k\rangle = \sum_n c_n^{(k)} |u_n\rangle, \quad c_n^{(k)} = \langle u_n | \psi_k \rangle$$

$$\rho_{nn} = \sum_k p_k \left| c_n^{(k)} \right|^2 \implies +\text{ve real number}$$

$$\left| c_n^{(k)} \right|^2 - \text{probability of } |u_n\rangle \text{ in pure state } |\psi_k\rangle$$

 $\Rightarrow \rho_{nn}$  - probability of  $|u_n\rangle$  in state  $\rho$ 

Diagonal matrix elements are called population of the state  $|u_n\rangle$ 

Physically if N times the same experiment is carried out with the same initial conditions, (N is large) then  $\Rightarrow N\rho_{nn}$  systems will be found in the state  $|u_n\rangle$ 



# Off-diagonal elements: interpretation





$$\rho_{nm} = \langle u_n | \rho | u_m \rangle$$

$$= \sum_k p_k \langle u_n | \psi_k \rangle \langle \psi_k | u_m \rangle$$

$$= \sum_k p_k c_n^{(k)} c_m^{(k)*}$$

 $c_n^{(k)}c_m^{(k)*}$  is a cross term expressing interference between  $|u_n\rangle$  and  $|u_m\rangle$ . These appear when  $|\psi_k\rangle$  is a coherent linear superposition of these states.

 $\rho_{nm}$ - weighted average of these terms taken over all possible states of the mixture.

If  $\rho_{nm} = 0 \Rightarrow$  the statistical average has cancelled out any interference effects between  $|u_n\rangle$  and  $|u_m\rangle$ 

If it is non zero  $\Rightarrow$  certain coherence persists between  $|u_n\rangle$  and  $|u_m\rangle$   $\Rightarrow$  off diagonal terms  $\equiv$  called coherence.



### Basis dependence





'population' and 'coherence' depends on the choice of basis  $\{|u_n\rangle\}$ 

Since  $\rho$  is Hermitian: always possible to find an orthonormal basis  $\{|\chi_n\rangle\}$  in which  $\rho$  is diagonal.

 $\rho = \sum_{l} \pi_{l} |\chi_{l}\rangle \langle \chi_{l}| \Rightarrow \rho \text{ can thus be thought of as a statistical mixture of}$ orthonormal states  $|\chi_{n}\rangle$  with probability  $\pi_{n}$ .

 $\Rightarrow$  no coherence between states  $|\chi_n\rangle$ 

Tr  $\rho^2 = \sum_{l} \pi_l^2 \le \sum_{l} \pi_l = 1$  When one  $\pi_l$  equals 1, all others must be zero.

In that case  $\rho$  is a pure state, Tr  $\rho^2 = 1$ ; for mixed states Tr  $\rho^2 < 1$ 



### An important inequality





$$|\rho_{nn}\rho_{mm} \ge |\rho_{mn}|^2$$

Proof: LHS 
$$\Rightarrow \left(\sum_{k} p_{k} \left| c_{n}^{(k)} \right|^{2}\right) \left(\sum_{k} p_{k} \left| c_{m}^{(k)} \right|^{2}\right)$$

$$\geq \left(\sum_{k} p_{k} \left| c_{n}^{(k)} c_{m}^{(k)} \right|\right)^{2} \geq \left|\sum_{k} p_{k} c_{n}^{(k)} c_{m}^{(k)}\right|^{2} = |\rho_{nm}|^{2}$$

Consequence  $\Rightarrow \rho$  can have coherence only between states whose populations are not zero.

$$\operatorname{Tr} \rho^{2} = \sum_{mn} \rho_{mn} \rho_{nm} = \sum_{mn} |\rho_{mn}|^{2} \leq \sum_{nm} \rho_{nn} \rho_{mm}$$

$$= \sum_{m} \rho_{nn} \sum_{m} \rho_{mm} = 1$$



# Example: thermal equilibrium





$$\rho = Z^{-1}e^{-\frac{H}{kT}}, \qquad Z = \text{Tr } \left\{ e^{-\frac{H}{kT}} \right\}$$

Use basis vectors  $|u_n\rangle$  of H

$$\rho_{nn} = \frac{\langle n|e^{-\frac{H}{kT}}|n\rangle}{Z} = Z^{-1}e^{-\frac{E_n}{kT}}$$

$$\rho_{nm} = Z^{-1}\langle u_n|e^{-\frac{H}{kT}}|u_m\rangle = 0 \quad \text{for } n \neq m$$

 $\Rightarrow$  At thermal equilibrium population of the stationary states are exponentially decreasing functions of energy. Coherence between stationary states =0



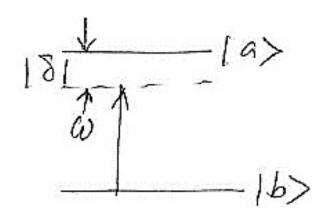
# Two-level system interacting with monochromatic field





Total Hamiltonian  $H = H_0 + H_I$ 

$$H_0 = \hbar\omega_a |a\rangle \langle a| + \hbar\omega_b |b\rangle \langle b|$$



$$H_{I} = -\vec{d} \cdot \vec{E} = -exE$$

$$= -e(|a\rangle \langle a| x_{aa} + |b\rangle \langle b| x_{bb} + |a\rangle \langle b| x_{ab} + |b\rangle \langle a| x_{ba}) E$$

$$= -e(|a\rangle \langle b| x_{ab} + |b\rangle \langle a| x_{ba}) E$$

$$= -(|a\rangle \langle b| + |b\rangle \langle a|) d_{x}E$$







Let 
$$E = E_0 \cos \omega t = \frac{1}{2} \left( e^{-i\omega t} + e^{i\omega t} \right) E_0$$

$$\Rightarrow H_{I} = -\frac{\hbar}{2} \frac{d_{x} E_{0}}{\hbar} (e^{-i\omega t} + e^{i\omega t}) (|a\rangle\langle b| + |b\rangle\langle a|)$$

$$= -\frac{\hbar}{2} \Omega (e^{-i\omega t} + e^{i\omega t}) (|a\rangle\langle b| + |b\rangle\langle a|)$$

$$= -\frac{\hbar}{2} \Omega (e^{-i\omega t} + e^{i\omega t}) (\sigma_{+} + \sigma_{-})$$

$$\sigma_{+} = \sigma_{ab} = |a\rangle \langle b|$$
  $\sigma_{+} |a\rangle = 0$   $\sigma_{-} = \sigma_{ba} = |b\rangle \langle a|$   $\sigma_{+} |b\rangle = |a\rangle$ 

In Heisenberg picture  $\sigma_{+} = |a\rangle\langle b|$ ,  $\sigma_{-} = |b\rangle\langle a|$  oscillates as  $e^{i\omega_{ab}t}$  and  $e^{-i\omega_{ab}t}$  respectively for a free atom

$$\langle \sigma_{-} \rangle = \text{Tr } \rho |b\rangle \langle a| = \langle a|\rho|b\rangle = \rho_{ab} = \rho_{ab}(0)e^{-i\omega_{ab}t}$$







 $|a\rangle\langle b|e^{i\omega t}$  and  $|b\rangle\langle a|e^{-i\omega t}$  vary quickly as  $e^{\pm i(\omega_{ab}+\omega)t}$ 

In contrast,  $|a\rangle\langle b|e^{-i\omega t}$  and  $|b\rangle\langle a|e^{i\omega t}$  vary slowly as  $e^{\pm i(\omega_{ab}-\omega)t}$ 

$$|a\rangle\langle b|\,e^{-i\omega t}\to e^{i(\omega_{ab}-\omega)t}$$

$$|a\rangle\langle b| e^{-i\omega t} \to e^{i(\omega_{ab} - \omega)t}$$
  
 $|b\rangle\langle a| e^{i\omega t} \to e^{-i(\omega_{ab} - \omega)t}$ 

Coming back to the interaction Hamiltonian,

$$H_{I} = -\frac{\hbar\Omega}{2} (\sigma_{+}e^{-i\omega t} + \sigma_{-}e^{+i\omega t})$$
$$= -\frac{\hbar\Omega}{2} (|a\rangle\langle b|e^{-i\omega t} + |b\rangle\langle a|e^{+i\omega t})$$



# Evolution equation without decay





$$\frac{d\rho}{dt} = \frac{1}{i\hbar} [H, \rho]$$

$$\text{Let } O = \frac{H\rho}{\hbar} \implies \frac{d\rho}{dt} = -i(O - O^{\dagger})$$

$$O = \frac{H\rho}{\hbar} = (\omega_a |a\rangle\langle a| + \omega_b |b\rangle\langle b|) \rho - \frac{\Omega}{2} (|a\rangle\langle b| e^{-i\omega t}\rho + |b\rangle\langle a| e^{i\omega t}\rho)$$

$$\Rightarrow \frac{d}{dt}\rho_{aa} = \frac{i\Omega}{2} \left( e^{-i\omega t}\rho_{ba} - e^{+i\omega t}\rho_{ab} \right) \Rightarrow \frac{d}{dt}\tilde{\rho}_{aa} = \frac{i\Omega}{2} \left( \tilde{\rho}_{ba} - \tilde{\rho}_{ab} \right)$$

$$\frac{d}{dt}\rho_{bb} = -\frac{i\Omega}{2} \left( e^{-i\omega t}\rho_{ba} - e^{+i\omega t}\rho_{ab} \right) \qquad \frac{d}{dt}\tilde{\rho}_{bb} = -\frac{i\Omega}{2} \left( \tilde{\rho}_{ba} - \tilde{\rho}_{ab} \right)$$

$$\frac{d}{dt}\rho_{ab} = -i\omega_{ab}\rho_{ab} - \frac{i\Omega}{2} e^{-i\omega t} \left( \rho_{aa} - \rho_{bb} \right) \qquad \frac{d}{dt}\tilde{\rho}_{ab} = i\delta\tilde{\rho}_{ab} - \frac{i\Omega}{2} \left( \tilde{\rho}_{aa} - \tilde{\rho}_{bb} \right)$$



# NMR Bloch equations without decay





$$a \to 2$$
,

$$b \to 1$$
, drop tilde

$$\omega_0$$
  $|2\rangle$   $|4\rangle$ 

$$u = \rho_{21} + \rho_{12}$$

$$v = -i(\rho_{12} - \rho_{21})$$

$$w = \rho_{22} - \rho_{11}$$

Let 
$$\vec{R} = (u, v, w)$$

$$\vec{M} = (-\Omega, 0, -\delta)$$

$$\frac{d\vec{R}}{dt} = \vec{M} \times \vec{R}$$



## Interpretation





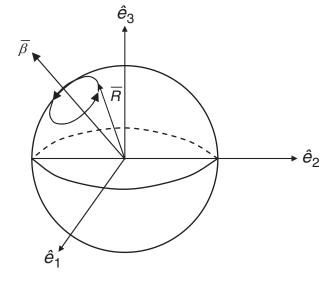
$$\frac{d\vec{R}^2}{dt} = 2\vec{R} \cdot \frac{d\vec{R}}{dt} = 2\vec{R} \cdot [\vec{M} \times \vec{R}] = 0 \quad \Rightarrow R^2 = \text{constant}$$

$$R^{2} = u^{2} + v^{2} + w^{2} = (\rho_{21} + \rho_{12})^{2} - (\rho_{21} - \rho_{12})^{2} + (\rho_{22} - \rho_{11})^{2}$$
$$= (c_{2}c_{2}^{*} + c_{1}c_{1}^{*})^{2} = 1$$

North pole: 
$$w = \rho_{22} - \rho_{11} = 1$$

$$\Rightarrow \rho_{22} = 1, \quad \rho_{11} = 0$$

South pole: 
$$w = -1, u = v = 0$$
  
 $\rho_{22} = 0, \quad \rho_{11} = 1$ 





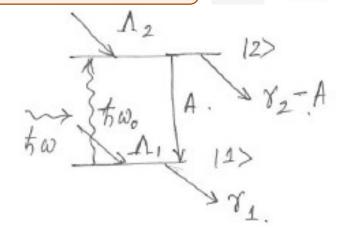
## Inclusion of relaxation and pumping





Introduce relaxation rates,

$$\gamma_1 = \frac{1}{\tau_1}, \ \gamma_2 = \frac{1}{\tau_2} \text{ for } \rho_{11} \text{ and } \rho_{22}.$$



Part of population decaying from  $2 \to 1$ , by spontaneous emission with rate A

Let  $\Gamma$  be the decay rate of coherence  $\rho_{12}$ . One has  $\Gamma \geq \frac{\gamma_1 + \gamma_2}{2}$ 

$$\frac{d\rho_{22}}{dt} = \Lambda_2 - \gamma_2 \rho_{22} - i \frac{\Omega}{2} (\sigma_{21} - \sigma_{12})$$

$$\frac{d\rho_{11}}{dt} = \Lambda_1 - \gamma_1 \rho_{11} + i \frac{\Omega}{2} (\sigma_{21} - \sigma_{12}) + A\rho_{22}$$

$$\frac{d\sigma_{21}}{dt} = -(\Gamma - i\delta)\sigma_{21} - i \frac{\Omega}{2} (\rho_{22} - \rho_{11})$$



### Steady state without the laser field





$$\Omega = 0$$

Label the solution with subscript 0.

$$\sigma_{21}^{(0)} = \sigma_{12}^{(0)} = 0$$

$$\rho_{22}^{(0)} - \rho_{11}^{(0)} = \frac{\Lambda_2}{\gamma_2} - \frac{\Lambda_1}{\gamma_1} - A \frac{\Lambda_2}{\gamma_1 \gamma_2} = \frac{\Lambda_2(\gamma_1 - A) - \Lambda_1 \gamma_2}{\gamma_1 \gamma_2}$$

For population inversion,  $\rho_{22} > \rho_{11}$ , one must have  $\gamma_1 > A$ .

$$\Lambda_2 \gamma_1 > \Lambda_1 \gamma_2$$

Upper level is pumped more efficiently than the lower level.



#### With laser field





$$\Omega \neq 0$$

$$\rho_{22} - \rho_{11} = \left(\rho_{22}^{(0)} - \rho_{11}^{(0)}\right) - \frac{i\Omega}{2\gamma_2\gamma_1} \left(\gamma_1 + \gamma_2 - A\right) \left(\sigma_{21} - \sigma_{12}\right)$$

$$\sigma_{21} - \sigma_{12} = \frac{\Omega}{2} (\rho_{22} - \rho_{11}) \left( -\frac{2i\Gamma}{\delta^2 + \Gamma^2} \right) = \left( -\frac{i\Omega\Gamma}{\delta^2 + \Gamma^2} \right) (\rho_{22} - \rho_{11})$$

$$\rho_{22} - \rho_{11} = \frac{\rho_{22}^{(0)} - \rho_{11}^{(0)}}{\left(1 + \frac{\gamma_1 + \gamma_2 - A}{\gamma_2 \gamma_1} \frac{\Omega^2}{2} \frac{\Gamma}{\delta^2 + \Gamma^2}\right)}$$



### Saturation





$$\vec{E} = \hat{e} \frac{E_0}{2} e^{-i\omega t} + c.c$$

$$u = \frac{1}{2} \left( \vec{E} \cdot \vec{D} + \vec{B} \cdot \vec{H} \right)$$

$$\vec{S} = \vec{E} \times \vec{H}$$

$$I = 2\epsilon_0 n_0 c_0 \frac{|E_0|^2}{4}$$

$$\Rightarrow \Omega^2 = \frac{d^2 E_0^2}{\hbar^2} = \frac{4d^2 I}{2\hbar^2 \epsilon_0 n_0 c_0}$$

Define  $I_{sat}$ 

$$I_{sat} = \frac{\epsilon_0 c_0 n_0 \hbar^2}{d^2 \Gamma} \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2 - A} \left( \Gamma^2 + \delta^2 \right)$$

$$\rho_{22} - \rho_{11} = \frac{\rho_{22}^{(0)} - \rho_{11}^{(0)}}{1 + \frac{I}{I_{sat}}}$$

 $I >> I_{sat}$ , system becomes transparent and no longer responds to incident wave.



#### Polarization





The incident wave creates a polarization in the atomic medium

$$P_{at,x} = n\langle d_x \rangle = n \operatorname{Tr} \{\rho \hat{d}_x\} = 2nd \operatorname{Re}(\rho_{21})$$

$$\begin{pmatrix} \rho_{11} & \rho_{12} \\ \rho_{21} & \rho_{22} \end{pmatrix} \begin{pmatrix} 0 & d_{12} \\ d_{21} & 0 \end{pmatrix} = \rho_{12}d_{21} + \rho_{21}d_{12} = 2d \operatorname{Re}(\rho_{21})$$

atoms embedded in the matrix

$$P_{mat,x} = \epsilon_0 \chi_{mat} \frac{E_0}{2} e^{-i\omega t} + c.c$$



## Susceptibility





$$\chi_{at} = \chi' + i\chi'' = \frac{2nd \ \sigma_{21}}{E_0 \epsilon_0}$$

$$\chi'_{at}(\delta) = \frac{nd^2}{\epsilon_0 \hbar} \frac{\rho_{22}^{(0)} - \rho_{11}^{(0)}}{1 + \frac{I}{I_{sat}}} \frac{\delta}{\delta^2 + \Gamma^2}$$

$$\chi''_{at}(\delta) = -\frac{nd^2}{\epsilon_0 \hbar} \frac{\rho_{22}^{(0)} - \rho_{11}^{(0)}}{1 + \frac{I}{I_{sat}}} \frac{\Gamma}{\delta^2 + \Gamma^2}$$



## Single-mode Lasers



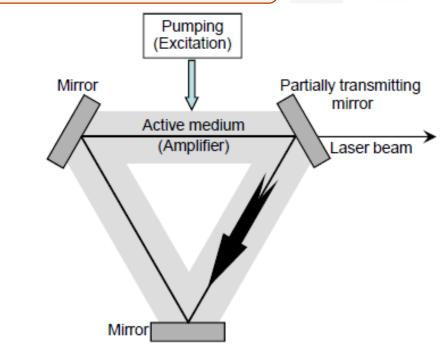


## Maxwell-Bloch Equations:

Start with Bloch eqns, describing the atom in the active medium.

### Assumptions

- (a) Active medium fills the whole cavity
- (b) Intracavity field can be treated as a plane wave.



Unidirectional ring laser cavity.

$$E(z,t) = A(z,t)e^{-i(\omega t - kz)} + c.c = 2\text{Re}[A(z,t)e^{-i(\omega t - kz)}]$$

- (c) Polarization is fixed  $\Rightarrow$  hence scalar expression
- (d) A(z,t) slowly varying function of both z, t.



## Equation for the evolution of polarization





$$P_{at}(z,t) = \left(P(z,t)e^{-i(\omega t - kz)} + c.c\right) = 2\operatorname{Re}\left[P(z,t)e^{-i(\omega t - kz)}\right]$$
$$P(z,t) = nd\sigma_{21}(z,t)$$

$$\frac{d\sigma_{21}}{dt} = -(\Gamma - i\delta)\sigma_{21} - i\frac{\Omega}{2}(\rho_{22} - \rho_{11})$$

$$\Omega = \frac{dE_0}{\hbar} = \frac{2dA}{\hbar}$$

$$\Delta n = n(\rho_{22} - \rho_{11})$$

$$\frac{dP}{dt} = -(\Gamma - i\delta)P - i\frac{d^2}{\hbar}\Delta nA$$

Now, A is no longer given and liable to change. Atoms can change the field.



## Evolution of population inversion

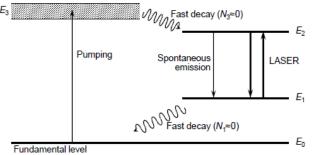




In order to have a simpler description, we restrict ourselves to '4-level' system

Lower level is not pumped  $\Lambda_1 \ll \Lambda_2$ ,  $\gamma_1 \gg \gamma_2$ 

We can assume that lower level is always empty  $\rho_{11} = 0$ 



Population inversion per unit volume is given by  $\Delta n = n_2 = \rho_{22}n$ 

$$\frac{d\rho_{22}}{dt} = \Lambda_2 - \gamma_2 \rho_{22} - \frac{i}{2} (\Omega^* \sigma_{21} - \Omega \sigma_{12})$$

$$\frac{d\Delta n}{dt} = -\frac{1}{\tau} (\Delta n - \Delta n_0) - \frac{in}{2} \left( \frac{2A^* d}{\hbar} \sigma_{21} - \frac{2Ad}{\hbar} \sigma_{21}^* \right)$$

$$\frac{d\Delta n}{dt} = -\frac{(\Delta n - \Delta n_0)}{\tau} - \frac{i}{\hbar} (A^* P - AP^*)$$
where  $\tau_1 = \tau_2 = \frac{1}{\gamma_2}$  and  $\Delta n_0 = n\Lambda_2 \tau$ 



#### **Evolution of field**





EM field must be solution of Maxwell's equations

$$\frac{\partial^2 E}{\partial z^2} - \epsilon_0 \mu_0 \frac{\partial^2 E}{\partial t^2} - \zeta \mu_0 \frac{\partial E}{\partial t} = \mu_0 \frac{\partial^2 P}{\partial t^2} \qquad P = P_{mat} + P_{at}$$
average conductivity  $\zeta$ 

Assume that the cavity has low loss in propagation

$$\Rightarrow$$
 we neglect  $\frac{c_0}{n_0} \left| \frac{\partial A}{\partial z} \right| << \frac{\partial A}{\partial t}$   $\frac{dA}{dt} = -\frac{1}{2\tau_{cav}} A + i \frac{\omega}{2\epsilon} P$   $\tau_{cav} = \frac{\epsilon}{\zeta}$ 

In presence of cavity detuning  $\delta_{cav} = \omega - \omega_q$ 

$$\Rightarrow \frac{dA}{dt} = -\left(\frac{1}{2\tau_{cav}} - i\delta_{cav}\right)A + i\frac{\omega}{2\epsilon}P$$



## Maxwell-Bloch equations





$$\frac{dA}{dt} = -\left(\frac{1}{2\tau_{cav}} - i\delta_{cav}\right) A + i\frac{\omega}{2\epsilon}P$$

$$\frac{dP}{dt} = -(\Gamma - i\delta)P - i\frac{d^2}{\hbar}A\Delta n$$

$$\frac{d\Delta n}{dt} = -\frac{1}{\tau}(\Delta n - \Delta n_0) - \frac{i}{\hbar}(A^*P - AP^*)$$

Contain a relaxation term with lifetime  $\tau_{cav}$ ,  $\tau$  or  $\Gamma^{-1}$ 



#### Adiabatic elimination and laser classes





<u>Class C laser</u>: All lifetimes: same order of magnitude.

Example: NH<sub>3</sub> Maser (far infrared) exhibits deterministic chaos.

Class B laser:  $\tau_{cav}$ ,  $\tau >> \Gamma^{-1}$  and  $\tau_{cav}$  comparable to  $\tau$   $\frac{dP}{dt} = 0$ . Eliminate P. Ex: CO<sub>2</sub> laser

Class A laser:  $\tau_{cav} >> \tau, \Gamma^{-1}$ Eliminate P and  $\Delta n$ . Ex: most gas and dye lasers



#### Adiabatic elimination of P





$$\frac{dP}{dt} = 0 \qquad \Rightarrow -(\Gamma - i\delta)P - i\frac{d^2}{\hbar}A\Delta n = 0$$
$$\Rightarrow P = -i\frac{d^2}{\hbar}\frac{1}{(\Gamma - i\delta)}A\Delta n$$

Substitute in eqn for  $\Delta n$ .  $\frac{d\Delta n}{dt} = -\frac{1}{\tau}(\Delta n - \Delta n_0) - \frac{i}{\hbar}(A^*P - AP^*)$ 

Use, 
$$I = 2\epsilon_0 n_0 c_0 |A|^2$$

$$I_{sat} = \frac{\epsilon_0 c_0 n_0 \hbar^2}{d^2 \Gamma} \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2 - A} (\Gamma^2 + \delta^2)$$



## Rate equation approximation





$$\frac{d\Delta n}{dt} = \frac{1}{\tau} \left( \Delta n_0 - \Delta n - \frac{I}{I_{sat}} \Delta n \right)$$

$$\frac{dI}{dt} = \frac{I}{\tau_{cav}} \left( \frac{\Delta n}{\Delta n_{th}} - 1 \right)$$

Number of photons (intercavity)  $F = \frac{I}{\hbar\omega} \frac{n_0 L_{cav}}{c_0} S$ 

$$F = \frac{I}{\hbar\omega} \frac{n_0 L_{cav}}{c_0} S$$

Population inversion  $\Delta N = V_{cav} \Delta n = L_{cav} S \Delta n$ 

$$\frac{dF}{dt} = -\frac{F}{\tau_{cav}} + \kappa F \Delta N$$

$$\frac{d}{dt} \Delta N = -\frac{1}{\tau} (\Delta N - \Delta N_0) - \kappa F \Delta N$$

$$\kappa = \frac{c_0}{n_0} \frac{\sigma}{V_{cav}}$$



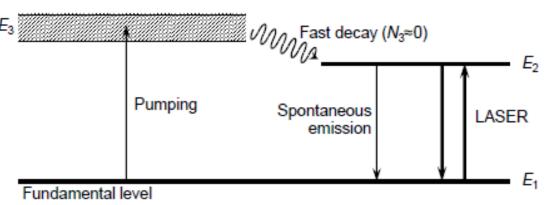
## Three level systems





Level 1- fundamental level of considered atom.

Level 2- often (but not always) the first excited level



Level 3- intermediate level to pump level 2.

3-level system closed.  $N_1 + N_2 = N$ 

 $W_p$ - pumping prob./unit time

A- spt. emsn. prob. / unit vol.

We suppose that decay of level 3 fast enough to make  $N_3 = 0$ .

$$\frac{dN_2}{dt} = W_p N_1 - AN_2 - \kappa F \Delta N \mid N_1 + N_2 = N \mid N_1 = \frac{N - \Delta N}{2}$$

$$\frac{dN_1}{dt} = -W_p N_1 + AN_2 + \kappa F \Delta N \mid N_1 - N_2 = -\Delta N \mid N_2 = \frac{N + \Delta N}{2}$$



## Four level system



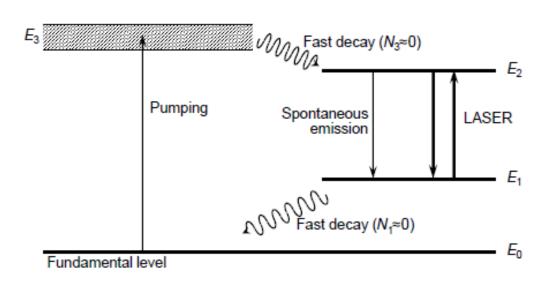


Assume: levels 3 and 1 decay fast enough  $N_1 \sim N_3 = 0$ 

System is closed:  $N_0 + N_2 = N$ 

$$\frac{dN_2}{dt} = W_p N_0 - AN_2 - \kappa F N_2$$

$$\frac{dN_0}{dt} = -W_p N_0 + AN_2 + \kappa F N_2$$



Both lead to Statz deMars equations



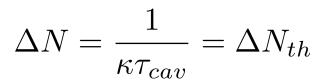
## Steady state



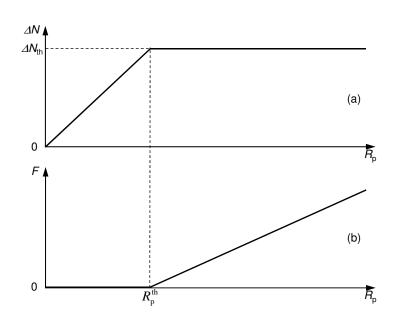


$$\frac{dF}{dt} = -\frac{F}{\tau_{cav}} + \kappa F \Delta N$$

$$\frac{d}{dt} \Delta N = -\frac{1}{\tau} (\Delta N - \Delta N_0) - \kappa F \Delta N$$



$$F = \frac{1}{\kappa \Delta N_{th}} [R_p - R_p^{th}], \quad R_p^{th} = \frac{1}{\kappa \tau \tau_{cav}}$$





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# Thank you

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