

# Confined active matter

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# 1: Fundamentals

- Systems, symmetries, dynamical regimes
- From equilibrium Langevin to active dynamics
- Active broken-symmetry hydrodynamics

## 2: Flocks in fluid

- **Slow flocks in bulk fluid and in fluid films**
- **Fast flocks in fluid**
  - flocking driven by fluid inertia
  - ordered and disordered “turbulence”

### 3: Motility in a medium of obstacles

- Interacting crawlers in a bead fluid
- Interacting crawlers in an elastic medium
- Trapping active particles

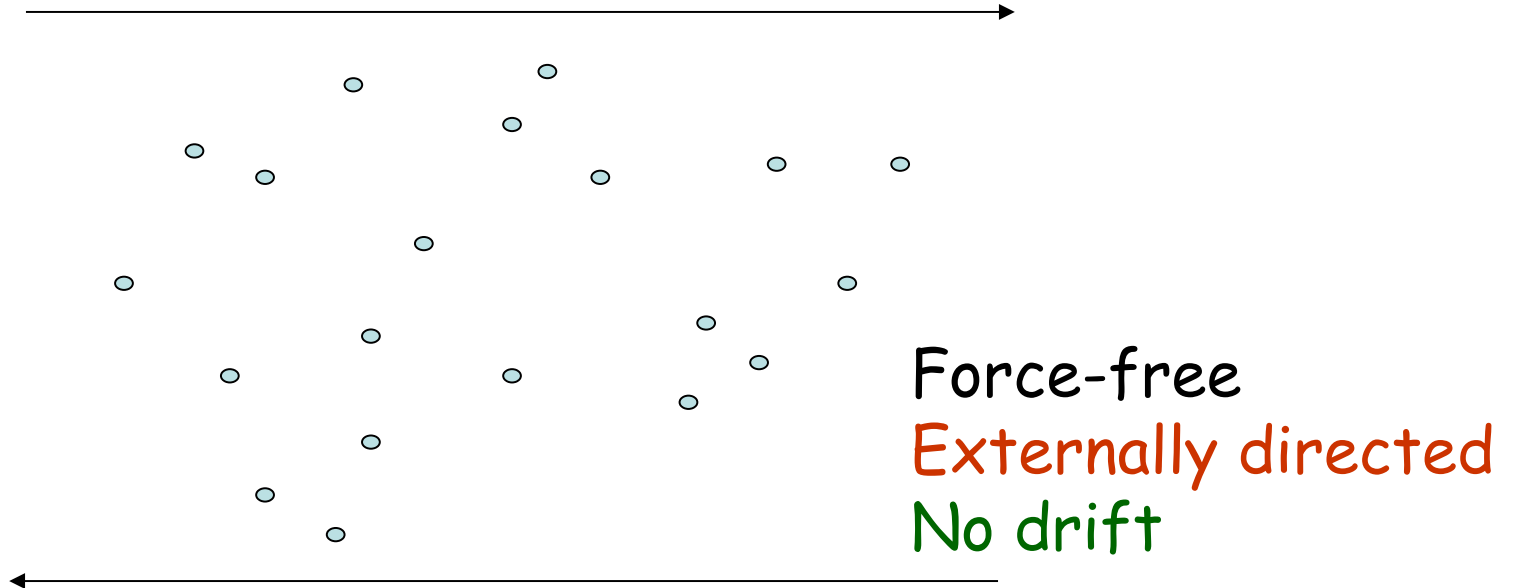
# Fundamentals and dry flocks

# INTRODUCTION

DRIVEN, ACTIVE, LIVING

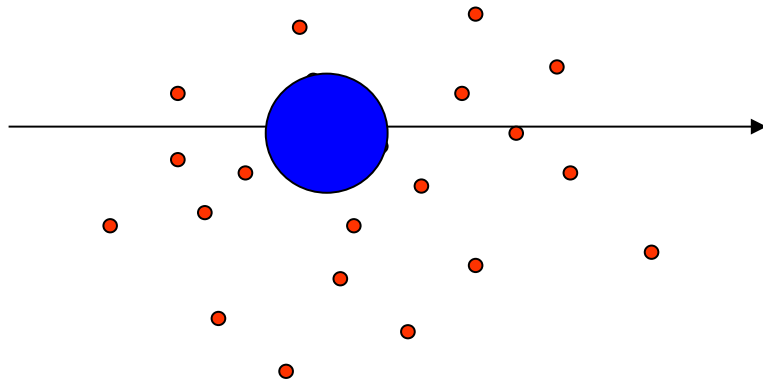
How to drive

shear: from boundary



# How to drive

electric field, etc - "phoresis": driven in bulk

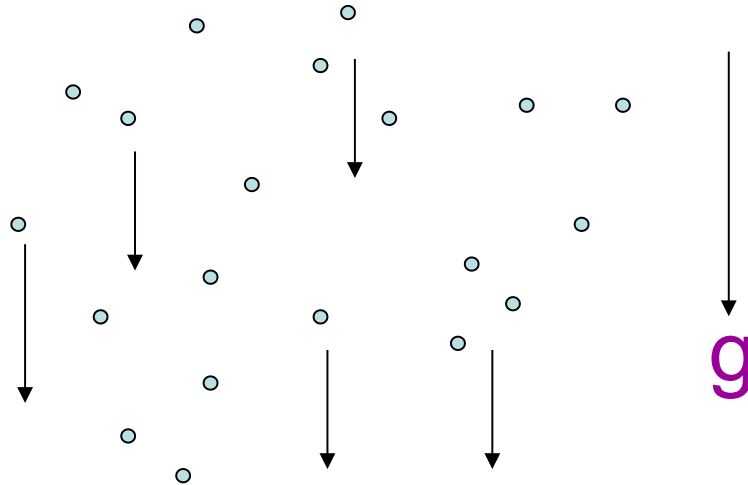


Force (co-ion + counterions) = 0

Force-free  
Externally directed  
Nonzero drift

# How to drive

## sedimentation: in bulk



bound orbit

scattering orbit

tumbling orbit

rocking orbit

sedimenting disc array

Chajwa, Menon, SR PRL 2018

Chajwa, Menon, SR, Govindarajan PRX 2020

Body force

Externally directed

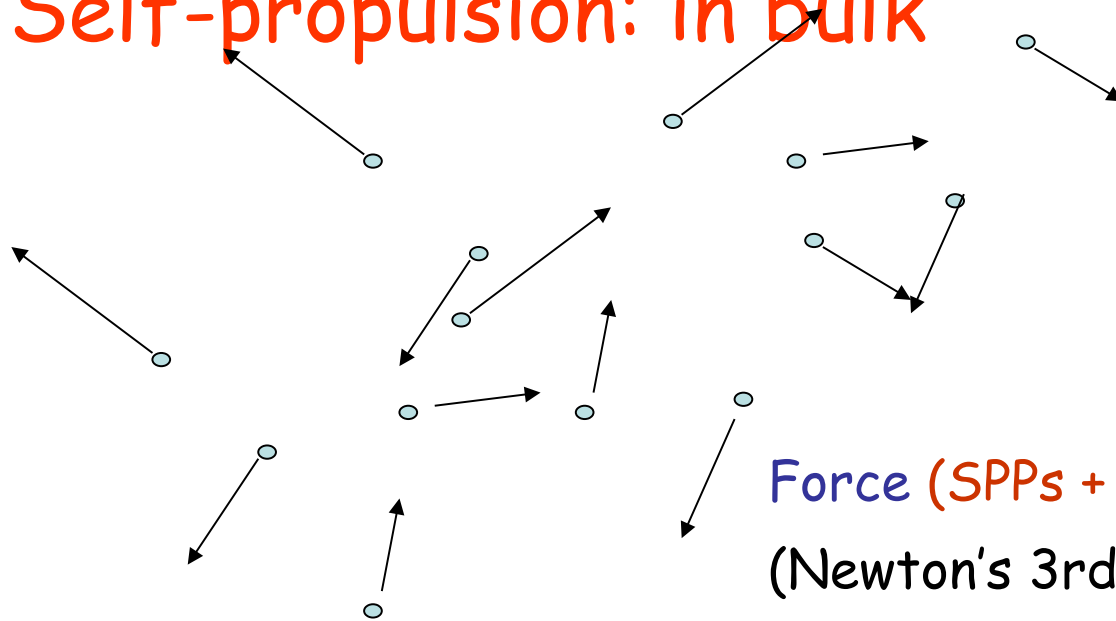
Nonzero drift



# How to drive

Living or active matter

## Self-propulsion: in bulk

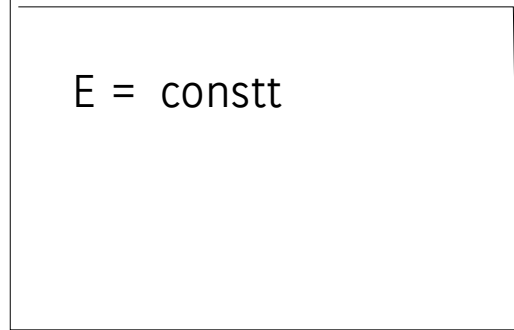


But force-free  
Internally directed  
Mean drift  
depends on state  
of order

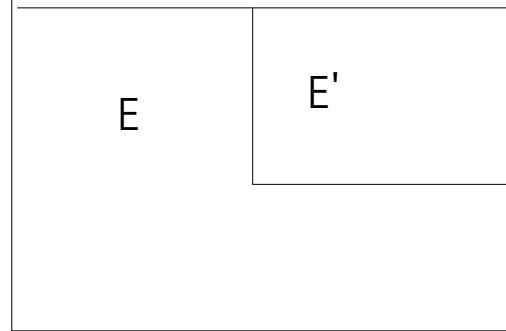
Force (SPPs + medium) = 0  
(Newton's 3rd Law)

Contrast with sedimentation, electrophoresis, shear

# Thermal equilibrium: “closed” systems



$$E = \text{constt}$$



$$E + E' = \text{constt}$$

$$\text{Temperature of subsystem} = \text{constt}$$

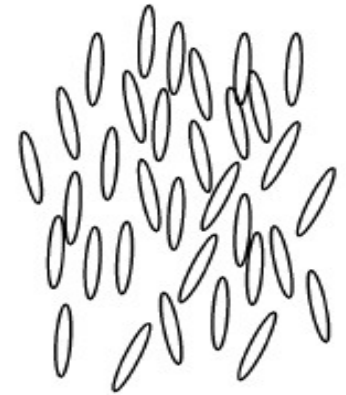
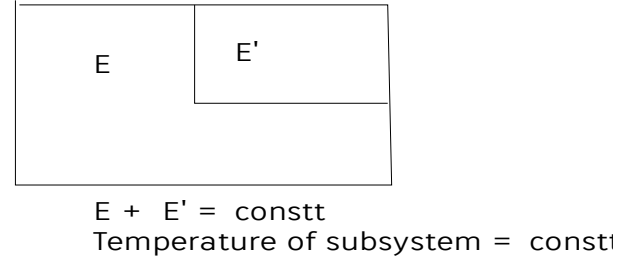
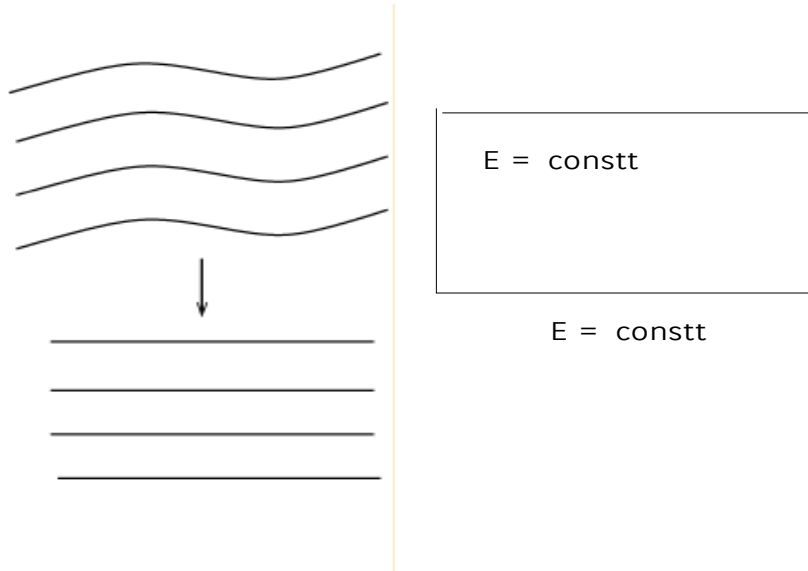
$$t \leftrightarrow -t$$

Know the rules

Isolated: probability uniform on constant-energy states

Almost isolated: probability  $\sim \exp(-E/k_B T)$

# Thermal equilibrium: “closed” systems

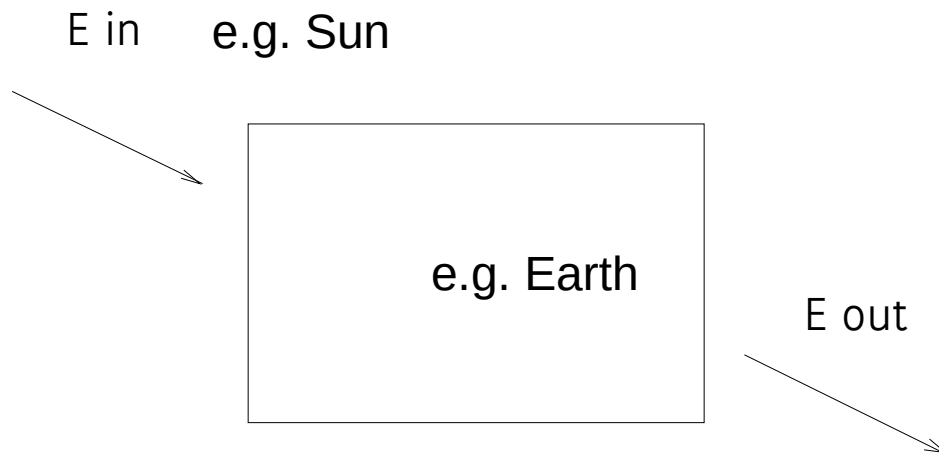


NEMATIC

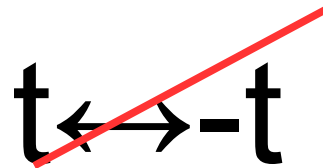
- **thermal equilibrium**
  - isolated: max entropy;  $E, N$  fixed
  - almost: fix  $\langle E \rangle$  and  $\langle N \rangle$
- temperature  $T$ , chem potl  $\mu$
- $\text{prob}(C) \sim \exp[-(E + \mu N)/k_B T]$

High density: order to *increase* entropy  
 Distortion lowers  $S$ : restoring force  
 Fluctuations:  $\text{Prob}(C) = \exp[\Delta S(C)]$   
 Dynamics: derivatives of  $S \rightarrow$  “forces”

# Driven, active, living open systems & open questions



What kinds of states can form?  
Don't know the general rules



## Active matter

- **Active particles are alive, or “alive”**
  - living systems; their components; artificial realisations
  - Time’s Arrow at particle scale
  - steadily dissipate energy and produce work
  - collectively: active matter

# Hydrodynamic description

- **Slow variables**

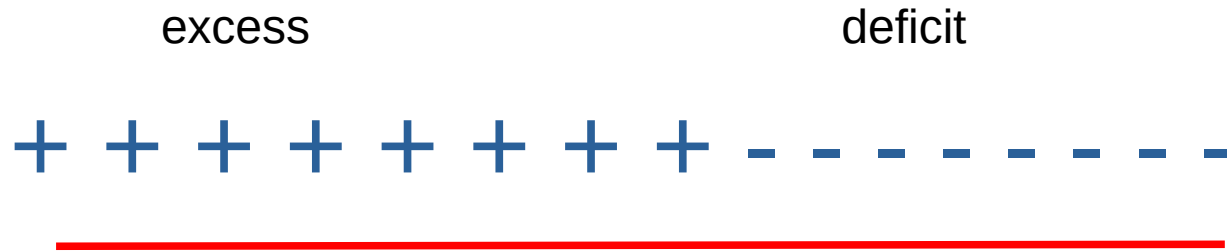
- timescale  $\rightarrow \infty$  as lengthscale  $\rightarrow \infty$
- densities of conserved quantities:  $k=0$  mode is the conserved qty
- Nambu-Goldstone modes\*: restoring force = 0 at zero wavenumber
- order parameter near onset if continuous (strictly), or in coarsening

\* includes height field of interface, Rouse modes of polymer or membrane

# Examples

- **Simple fluid/suspension**
  - mass, momentum, energy densities (+ species concentrations)
- **Orientationally ordered fluid, e.g., nematic**
  - and director field
- **Density wave (solid, smectic, columnar)**
  - and displacement field
- **Heisenberg antiferromagnet**
  - magnetisation (conserved), staggered magnetisation

# Spatial variation of density of conserved quantity



wavelength  $\lambda$

time to remix  $\rightarrow \infty$  as  $\lambda \rightarrow \infty$

That's “hydrodynamic”



# Hydrodynamic description of a simple fluid

Mass density  $\partial_t \rho = -\nabla \cdot \mathbf{g}$

Momentum density  $\partial_t g_j = -\nabla_i \Pi_{ij}$

energy density  $\partial_t \varepsilon = -\nabla \cdot \mathbf{J}_\varepsilon$

Express RHS in terms of variables on LHS by: microscopic theory or general principles

# Broken-symmetry modes



In ordered phase: rotor angle field “hydrodynamic”

Rotate all by same amount: no restoring torque



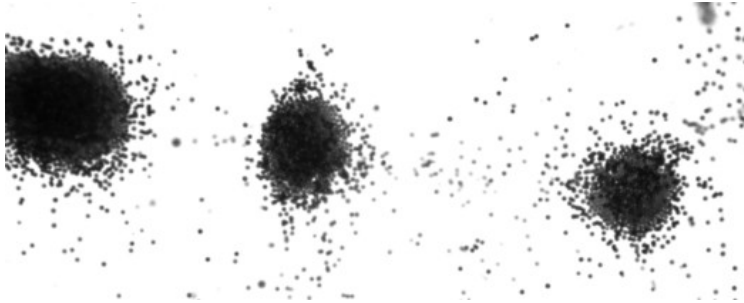
Relaxation rate  $\rightarrow 0$  as wavelength  $\rightarrow \infty$



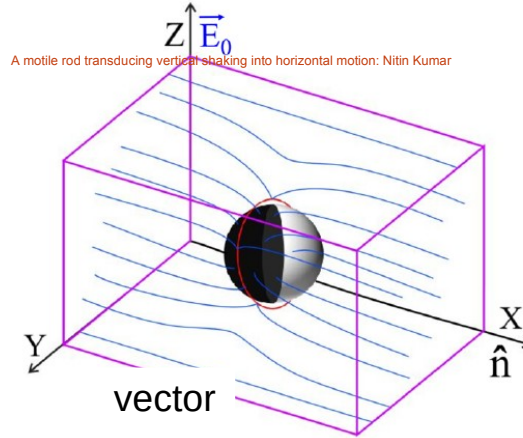
# SYSTEMS, SYMMETRIES, DYNAMICAL REGIMES

- **Active particles are alive, or “alive”**
  - each component powered; not wire + battery
  - each constituent carries dissipative Arrow of Time
  - steadily transduce free energy to movement
  - collectively: active matter

# Systems

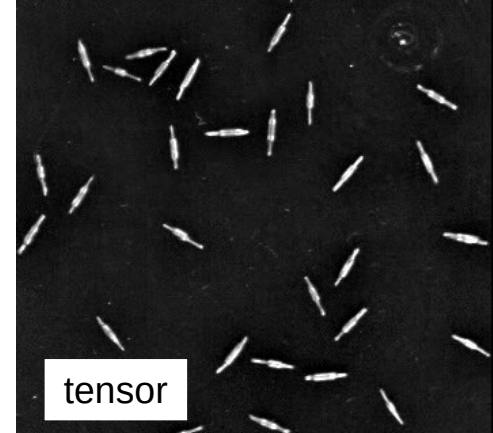


Scalar active matter  
Chemically propelled droplets  
S Thutupalli NCBS

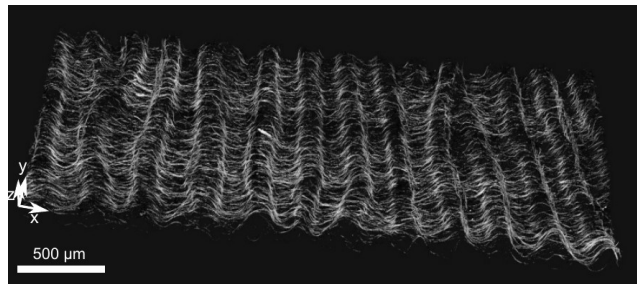


Sahu et al. 2020

a motile dimer: noise turned into directed movement



broken equipartition -- Vijay Narayan 2007



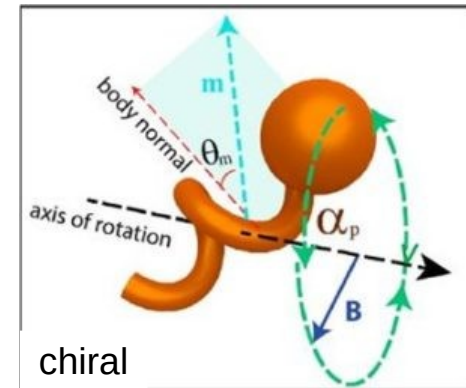
Extracts from a cell  
Senoussi et al 2019

## Zero-resistance states induced by electromagnetic-wave excitation in GaAs/AlGaAs heterostructures

Ramesh G. Mani<sup>†</sup>, Jürgen H. Smet<sup>‡</sup>, Klaus von Klitzing<sup>‡</sup>, Venkatesh Narayanamurti<sup>†,§</sup>, William B. Johnson<sup>§</sup> & Vladimir Umansky<sup>||</sup>

R.G. Mani et al. 2002  
Alicea et al. PRB 2005: "... connection of our work to the well-studied phenomenon of 'flocking'"

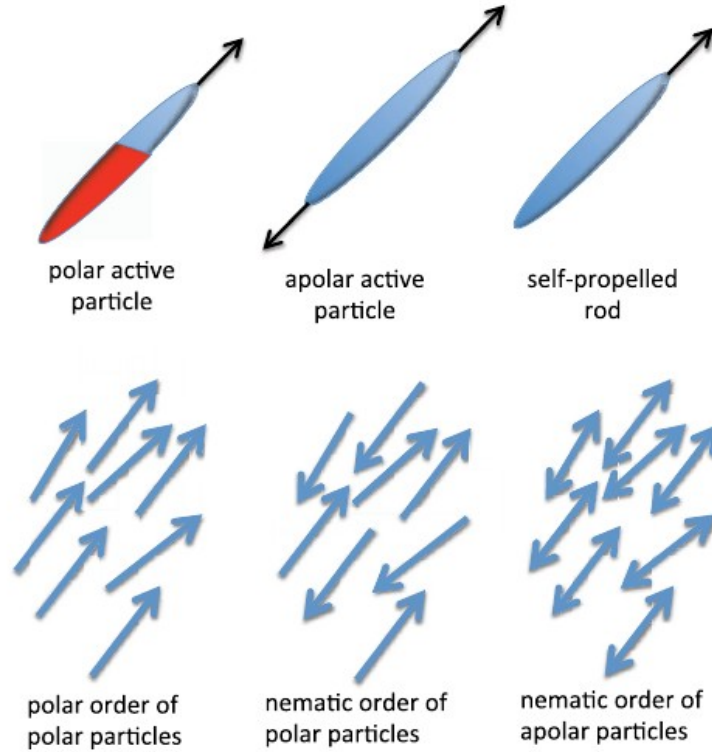
quantum



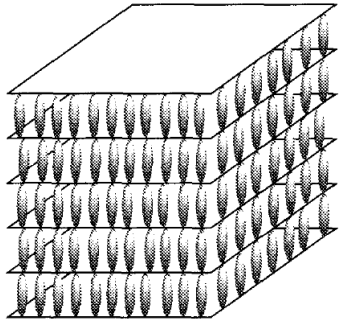
Magnetic nanopropellers: Ambarish Ghosh, IISc

# Broken symmetries: orientational

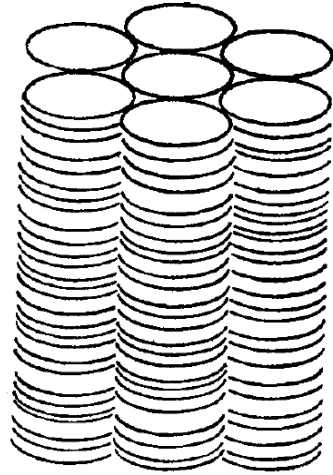
Polar and apolar uniaxial  
Particles and phases



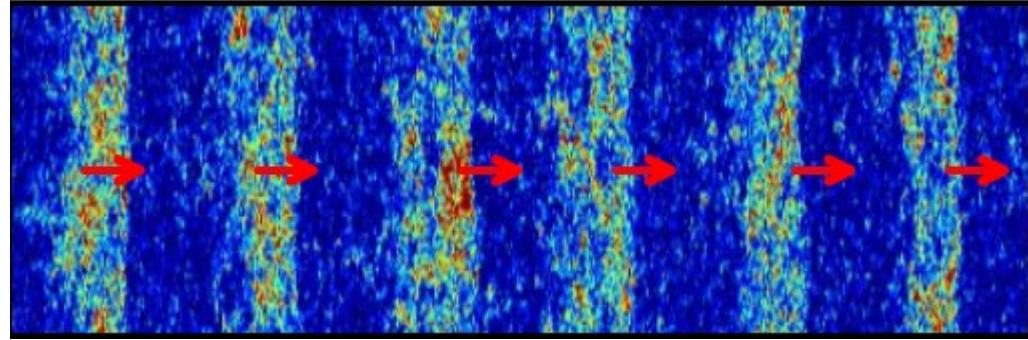
# Broken symmetries: translational



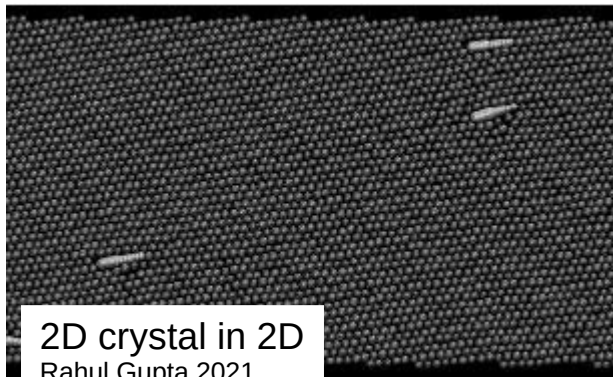
1D crystal in 3D  
Chaikin & Lubensky 1994



2D crystal in 3D  
Chandrasekhar, Sadashiva & Suresh 1977



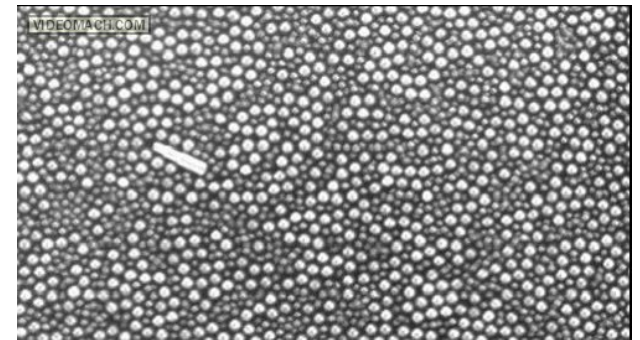
Moving 1D crystal in 2D  
Solon et al. 2015



2D crystal in 2D  
Rahul Gupta 2021



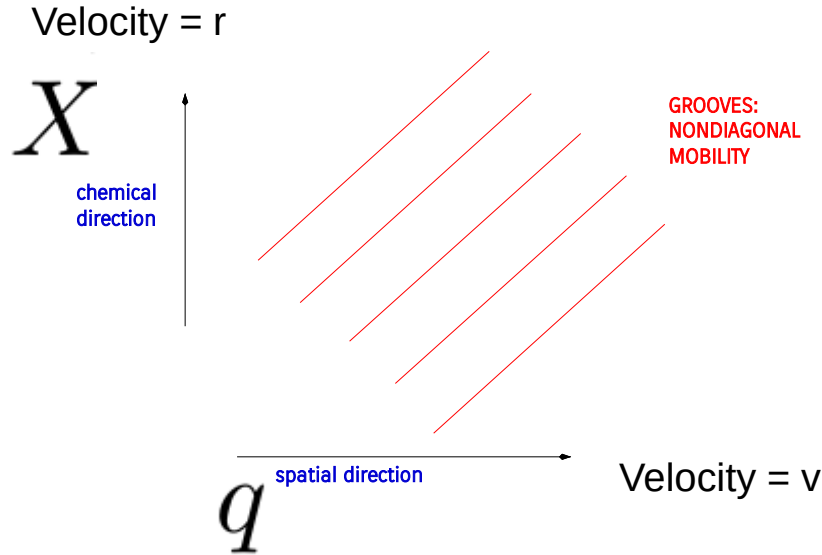
Chiral 1D crystal in 3D  
Whitfield et al. 2017



active amorph  
h

Active amorphous solid in 2D  
Nitin Kumar 2014

# FROM EQUILIBRIUM LANGEVIN TO ACTIVE DYNAMICS



SR JSTAT 2017  
Dadhichi, Maitra, SR JSTAT 2018  
Jülicher, Ajdari, Prost RMP Colloq 1993  
Marchetti et al. RMP 2013

**Motor: catalyst for fuel breakdown**

**Include chemical direction in configuration space**

**Driving force  $\Delta\mu = \mu_{\text{reactant}} - \mu_{\text{product}}$  in *chemical* direction**

**Mobility nondiagonal:  $\text{vel} = \text{Mob} * \text{Force}$  has *spatial* component**

Formalise this: build active dynamics; discover “new” terms

# From Langevin equations to active dynamics

SR JSTAT 2017

Dadhichi, Maitra, SR JSTAT 2018

Temperature  $T$ ; effective Hamiltonian  $H(q,p,X,\Pi)$

$q$  (time-rev even),  $p$  (odd);  $X, \Pi$ : extra coord, momentum

Off-diagonal  $q$ -dependent Onsager coefficients

$$\dot{q} = \partial_p H$$

$$\dot{p} + \Gamma_{11} \partial_p H + \Gamma_{12}(q) \partial_\Pi H = -\partial_q H + \eta$$

$$\dot{\Pi} + \Gamma_{21}(q) \partial_p H + \Gamma_{22} \partial_\Pi H = -\partial_X H + \xi$$

noises  $\eta, \xi$

$$\dot{X} = \partial_\Pi H$$

$$\langle \eta(0) \xi(t) \rangle = 2k_B T \Gamma_{12}(q) \delta(t)$$

eliminate  $\dot{X}$  from the  $p$  equation



# From Langevin equations to active dynamics

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eliminate  $\dot{X}$  from the  $p$  equation  $\dot{X} = \partial_\Pi H$

noises  $\eta, \xi$

$$\langle \eta(0) \xi(t) \rangle = 2k_B T \Gamma_{12}(q) \delta(t)$$

## From Langevin equations to active dynamics

$$\dot{q} = \partial_p H$$

$$\dot{p} + \Gamma \partial_p H - \frac{\Gamma_{12}(q)}{\Gamma_{22}} \partial_X H = -\partial_q H + f$$

$$\dot{X} + \frac{\Gamma_{12}(q)}{\Gamma_{22}} \partial_p H = -\frac{1}{\Gamma_{22}} \partial_X H + \frac{\xi}{\Gamma_{22}}$$

$$f \equiv \eta - (\Gamma_{12}/\Gamma_{22})\xi \quad \text{has variance} \propto \Gamma \equiv \Gamma_{11} - \Gamma_{12}^2(q)/\Gamma_{22}$$

Equilibrium:  $\partial_X H = 0$

simplest

Active? Hold  $-\partial_X H \equiv -\Delta\mu \neq 0$  fixed

# From Langevin equations to active dynamics

$$\dot{q} = \partial_p H$$

$$\dot{p} + \Gamma \partial_p H - \frac{\Gamma_{12}(q)}{\Gamma_{22}} \Delta\mu = -\partial_q H + f$$

“New” terms, ruled out in equilibrium dynamics.

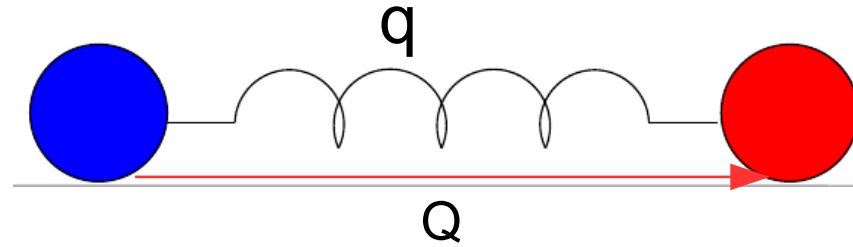
In general can't hide by redefining H, temperature....

$$\dot{q} + \Gamma^{-1} \partial_q H = \frac{\Delta\mu}{\Gamma_{22}\Gamma} \Gamma_{12}(q) + \Gamma^{-1} f$$

No inertia: q-only equation of motion

Build all(?) active-matter dynamics this way (SR JSTAT 2017, Dadhichi, Maitra, SR 2018)

# Active Brownian or active Ornstein-Uhlenbeck from dimers



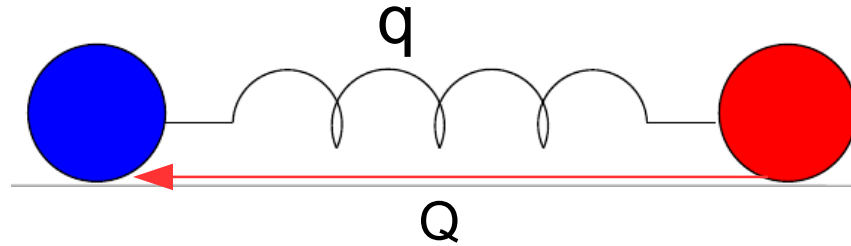
Joint & relative  $(q,p)$  &  $(Q,P)$ ; “chemical”  $(X,\Pi)$

$$\dot{p} + \gamma_{11}\partial_p H + \gamma_{12}(Q)\partial_\Pi H = -\partial_q H + \eta$$

$$\dot{\Pi} + \gamma_{21}(Q)\partial_p H + \gamma_{22}\partial_\Pi H = -\partial_X H + \xi$$

$$\dot{P} + \Gamma_{11}\partial_P H = -\partial_Q H + \bar{\eta}$$

# Active Brownian or active OU\* from dimers



Joint & relative  $(q,p)$  &  $(Q,P)$ ; “chemical”  $(X,\Pi)$

$$\dot{p} + \gamma_{11}\partial_p H + \gamma_{12}(Q)\partial_{\Pi} H = -\partial_q H + \eta$$

$$\dot{\Pi} + \gamma_{21}(Q)\partial_p H + \gamma_{22}\partial_{\Pi} H = -\partial_X H + \xi$$

$$\dot{P} + \Gamma_{11}\partial_P H = -\partial_Q H + \bar{\eta}$$

## Active Brownian or active OU from dimers

$$\dot{q} + \frac{\gamma_{22}}{\mathcal{D}} \partial_q H = \frac{\gamma_{12}(Q)}{\mathcal{D}} \Delta \mu + \frac{\gamma_{22}}{\mathcal{D}} \eta - \frac{\gamma_{12}(Q)}{\mathcal{D}} \xi$$

$$\dot{Q} + \frac{1}{\Gamma_{11}} \partial_Q H = \bar{\eta} / \Gamma_{11} \quad \mathcal{D} = \gamma_{11} \gamma_{22} - \gamma_{12}(Q)^2$$

$\gamma_{12}(Q) \propto Q$  propels particle

Active Brownian:  $H \sim -Q \cdot Q + (Q \cdot Q)^2$

Active OU:  $H$  harmonically binds  $Q$

Additive white noise in  $q$  dynamics inevitable.

# Apply to a simple field theory

Order-parameter field  $\mathbf{p} = (p_x, p_y)$

Free-energy functional  $F[\mathbf{p}]$  favours order at  $a < 0$

“Model A” dynamics: passive

$$\partial_t \mathbf{p} = -\Gamma^{-1} \frac{\delta F}{\delta \mathbf{p}} + \sqrt{2k_B T / \Gamma} \mathbf{f}$$

Unit strength  
spacetime white  
noise

$$F = \int d^d x \left[ \frac{a}{2} |\mathbf{p}|^2 + \frac{b}{4} |\mathbf{p}|^4 + \frac{c}{2} |\nabla \mathbf{p}|^2 \right]$$

# Apply to a simple field theory

Order-parameter field  $\mathbf{p} = (p_x, p_y)$

Free-energy functional  $F[\mathbf{p}]$  favours order at  $a < 0$

“Model A” dynamics: active

$$\partial_t \mathbf{p} = -\Gamma^{-1} \frac{\delta F}{\delta \mathbf{p}} + \sqrt{2k_B T / \Gamma} \mathbf{f}$$

$$+ \frac{\Delta \mu}{\Gamma_{22} \Gamma} \Gamma_{12}(\mathbf{p}, \nabla \mathbf{p}, \dots)$$

Unit strength  
spacetime white  
noise



# Apply to a simple field theory

Order-parameter field ( $\mathbf{p} = p_x, p_y$ )

Free-energy functional  $F[\mathbf{p}]$

“Model A” dynamics: active, simplest “new term”

$$\partial_t \mathbf{p} = -\Gamma^{-1} \frac{\delta F}{\delta \mathbf{p}} + \sqrt{2k_B T / \Gamma} \mathbf{f}$$

$$-\lambda \mathbf{p} \cdot \nabla \mathbf{p}$$

The Toner-Tu model  
for a flock with only orientation  
and no concentration

$$\lambda \propto \Delta \mu$$

So: planar rotors out of equilibrium **self-advect**

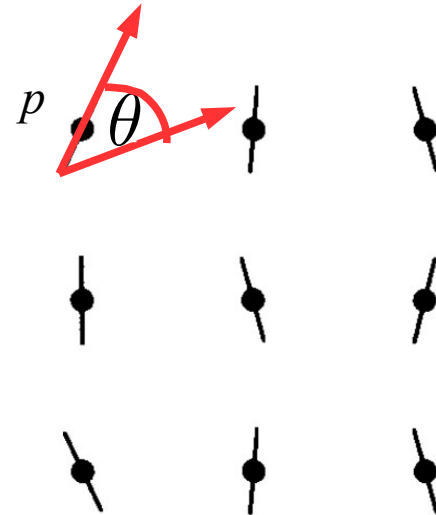
broader context -->

# Broken-symmetry hydrodynamics

slow variables (passive)

- **Conserved**, **broken symmetry**, **critical**
  - timescale  $\rightarrow$  infinity as length-scale  $\rightarrow$  infinity
- e.g., rotor lattice:  $p$ ,  $\theta$ , energy density, “spin” ang mom  
 $S$

Time-independent Hamiltonian  $H$  so energy conserved  
Rotations commute with  $H$  so  $S$  conserved

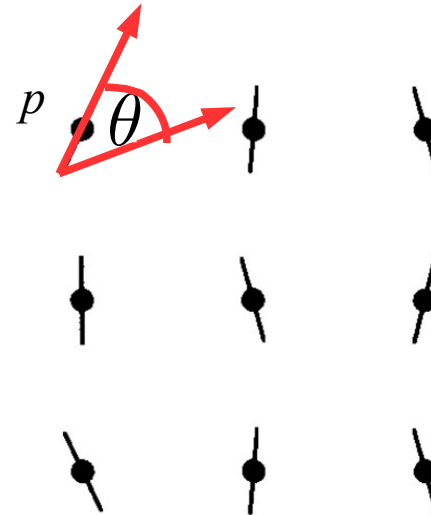


# Broken-symmetry hydrodynamics

slow variables (active)

- **Conserved**, **broken symmetry**, **critical**
  - timescale  $\rightarrow$  infinity as length-scale  $\rightarrow$  infinity
- e.g., rotor lattice:  $p$ ,  $\theta$

Sustained energy throughput, not conservation  
Rotation invariance but no  $H$  so  $S$  not conserved



Flock = active polar liquid crystal

Reynolds 1987: **movie stampedes**

Vicsek et al 1995: **agent-based simulations**

each particle: an arrow

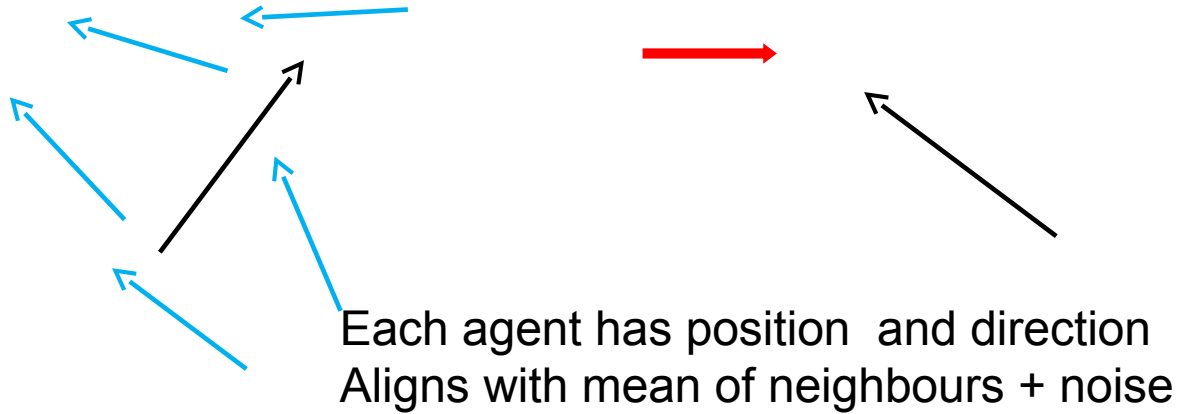
orient parallel to neighbours + noise

move in direction of arrow

Toner-Tu 1998: **field theory**

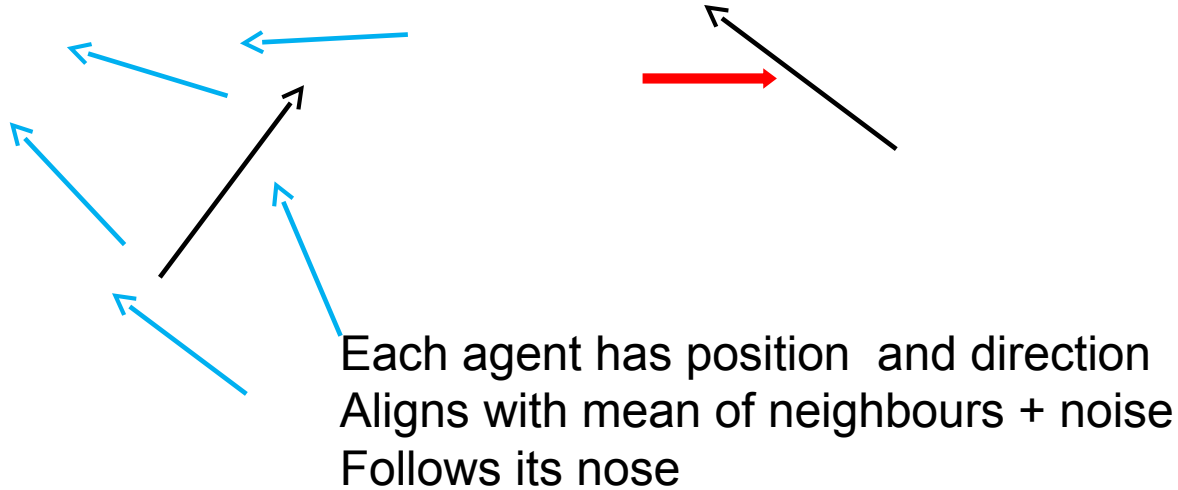
long-range order in  $d = 2$

# Interacting agents and flocking models



Reynolds 1987  
Vicsek *et al.* 1995

# Interacting agents and flocking models



Low noise, high density: ordered flock  
High noise, low density: isotropic state  
Reynolds 1987  
Vicsek *et al.* 1995

Coarse-grain →

# Continuum field theory: Toner-Tu 1995

Chimera of fluid and magnet

$$\partial_t \mathbf{p} + v_0 \mathbf{p} \cdot \nabla \mathbf{p} = \Gamma \mathbf{h} + \mathbf{f}_{\text{noise}} \quad \mathbf{h} = -\delta F / \delta \mathbf{p}$$

$$F = \int d^3 r \left[ \frac{1}{4} (\mathbf{p} \cdot \mathbf{p} - 1)^2 + \frac{K}{2} (\nabla \mathbf{p})^2 - E \mathbf{p} \cdot \nabla c \right]$$

Local moment                      elasticity                      Gradients orient  $p$

$$\partial_t c + \nabla \cdot (v_1 \mathbf{p} c) = 0$$

LRO in  $d=2$ , anomalous density fluctuations, wavelike excitations

But no real fluid, no momentum conservation

What does fluid do?

Simha & SR 2002

# Flocks in fluid



# SLOW FLOCKS IN BULK FLUID

Simha-SR 2002  
Hatwalne et al 2004

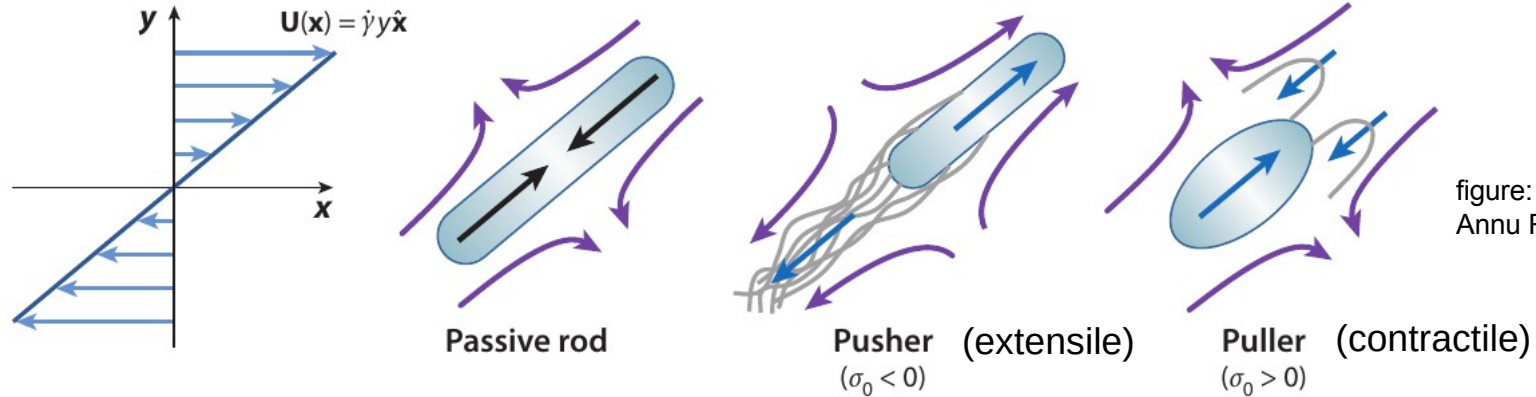


figure: Saintillan  
Annu Rev Fluid Mech 2018

The active particles of a bulk living liquid crystal  
orientable objects with permanent force dipoles

Build nematic or polar hydrodynamics from these

Work with orientation tensor  $\mathbf{Q}$  or polar order parameter  $p$ ,

and velocity field  $\mathbf{u}$  or momentum density  $\mathbf{g} = \rho \mathbf{u}$

# Apply our general approach

Liquid crystal elastic torques	Viscous damping	Active force from “chemistry”
-----------------------------------	--------------------	----------------------------------

$$\partial_t g = [g, p] \frac{\delta H}{\delta p} - \hat{\eta} \frac{\delta H}{\delta g} + \frac{\Delta\mu}{\Gamma_{22}\Gamma} \Gamma_{12} + \text{noise}$$

$$\Gamma_{12} = \nabla \cdot (pp)$$

# Stokesian active nematic\* hydrodynamics

Comoving co-rotating derivative

Extensional flow orients

Thermodynamic relaxation

$$\mathcal{D}_t \mathbf{p} = \lambda \mathbf{S} \cdot \mathbf{p} - \Gamma \frac{\delta F}{\delta \mathbf{p}}$$

$$-\mu \nabla^2 \mathbf{u} = -\sigma_a \nabla \cdot (\mathbf{p}\mathbf{p}) + \text{elasticity} + \text{pressure}$$

Viscosity

$$\text{Active stress} \propto \mathbf{p}\mathbf{p}$$

$F$  = free energy favouring alignment;  $\mathbf{u}$  = incompressible velocity field  
 $\mathbf{p}$  = orientation (\* not quite, but  $\mathbf{p} \rightarrow -\mathbf{p}$  invariant),  $\mathbf{S}$  = deformation rate

# Stokesian active nematic hydrodynamics

$$\mathcal{D}_t \mathbf{p} = \lambda \mathbf{S} \cdot \mathbf{p} - \Gamma \frac{\delta F}{\delta \mathbf{p}}$$

$$-\mu \nabla^2 \mathbf{u} = -\sigma_a \nabla \cdot (\mathbf{p}\mathbf{p}) + \text{elasticity} + \text{pressure}$$

Solve Stokes for  $\mathbf{u}$  in terms of  $p$ , perturb at wavevector  $\mathbf{q}$  about mean aligned state  $\mathbf{p}_0$

$$\partial_t \delta p = \frac{\sigma_a}{\mu} \cos 2\theta (1 + \lambda \cos 2\theta) \delta p - K \left( \Gamma + \frac{1}{\mu} \right) q^2 \delta p$$

Frank constant

$\theta =$  angle between  $\mathbf{q}$  and  $\mathbf{p}_0$

Simha-SR  
PRL 2002

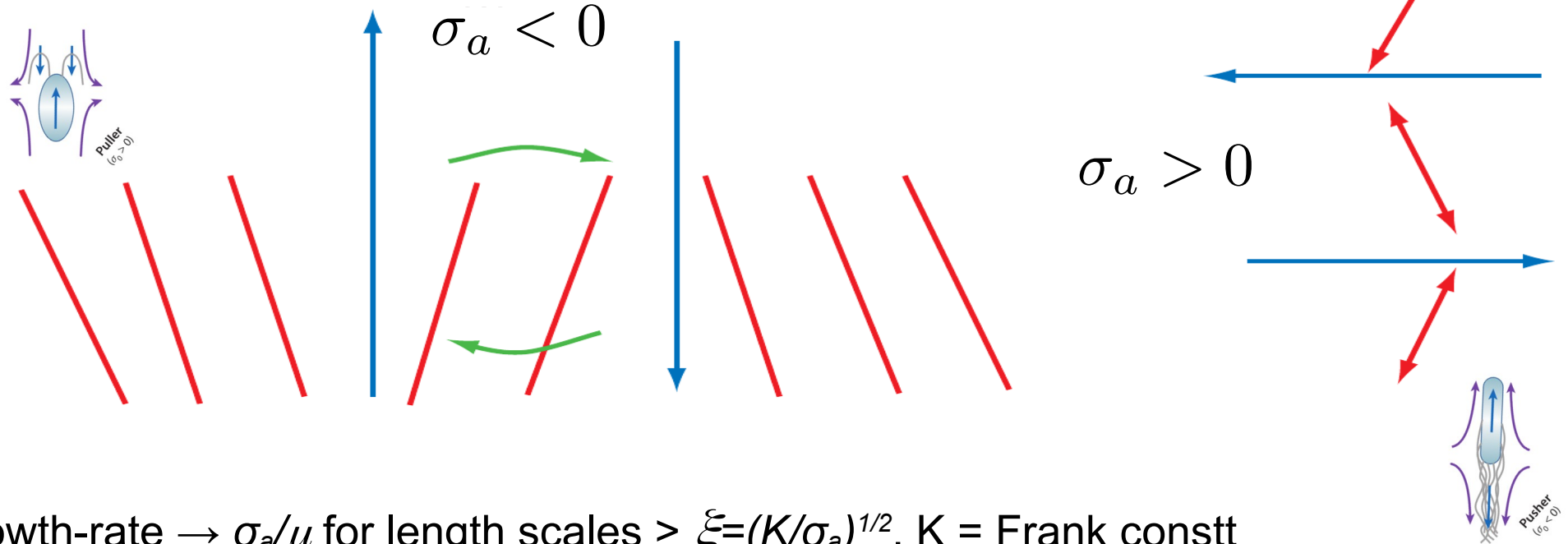
$\sigma_a > 0$ : extensile, bend unstable ( $0 < \theta < \pi/4$ )

$\sigma_a < 0$ : contractile, splay unstable ( $\pi/4 < \theta < \pi/2$ )

Splay-bend mode

# Consequence: bulk active nematic/polar always unstable

viscous hydrodynamics, neglect inertia: unstable without threshold



Growth-rate  $\rightarrow \sigma_a/\mu$  for length scales  $> \xi = (K/\sigma_a)^{1/2}$ ,  $K$  = Frank constt  
viscosity/active stress: a single timescale

$$\mathbf{n} \nabla \cdot \mathbf{n}$$

splay



(a)

$$\mathbf{n} \cdot \nabla \mathbf{n}$$

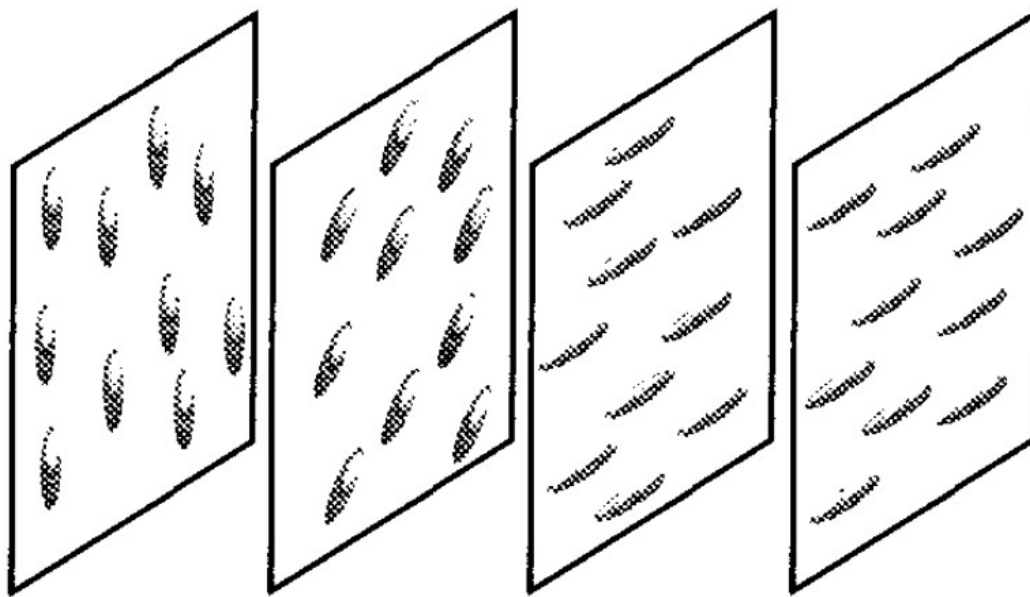
bend



(b)

$$\mathbf{n} \cdot \nabla \times \mathbf{n}$$

twist



# 3D: bend-splay and bend-twist

$$\omega = i \frac{\sigma_a}{\mu} \cos 2\theta (1 + \lambda \cos 2\theta) \quad \text{bend-splay}$$

$$\omega = i \frac{\sigma_a}{\mu} \cos^2 \theta (1 + \lambda) \quad \text{bend-twist}$$

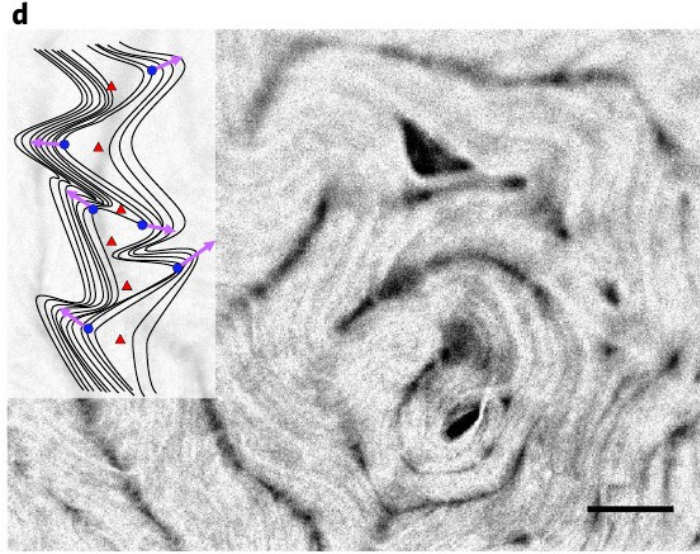
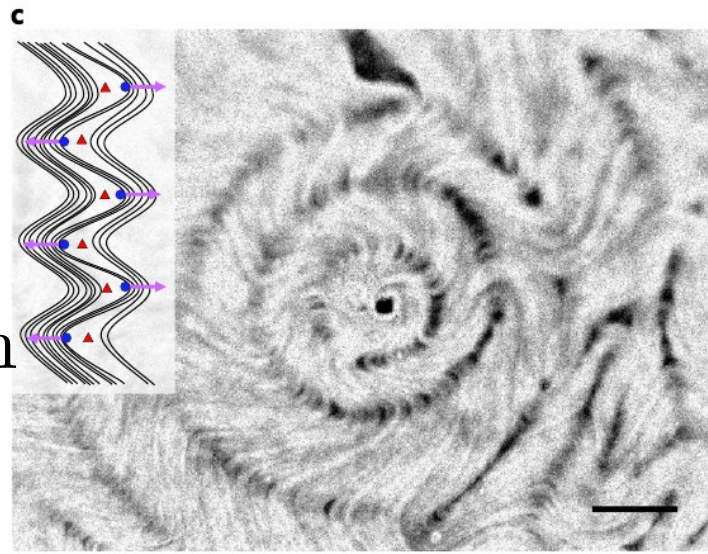
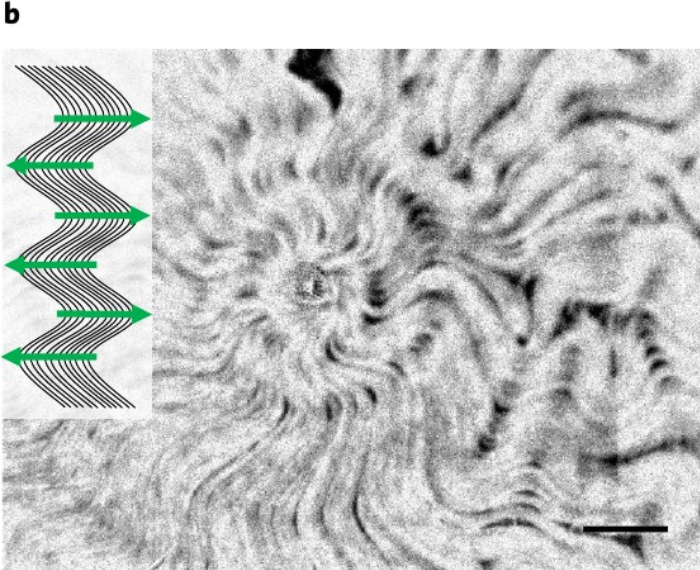
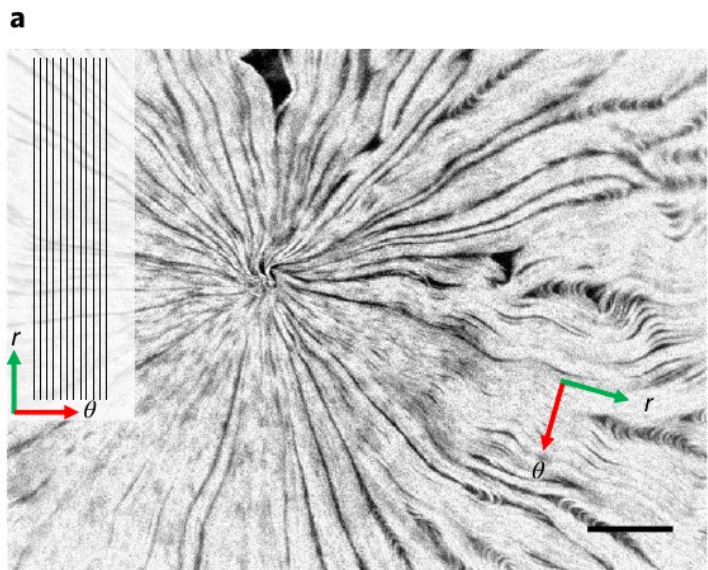
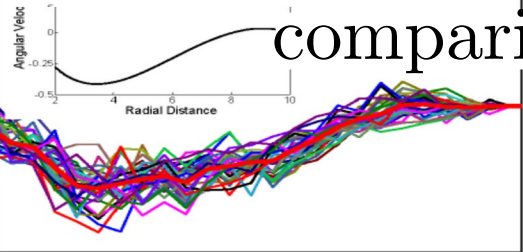
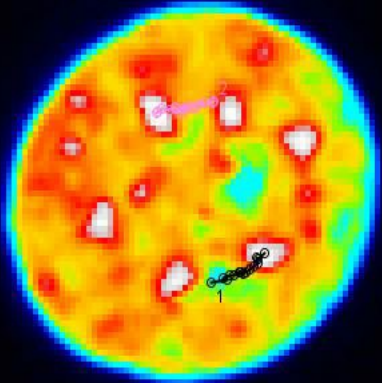
Bend-Twist not mitigated by interpolation to splay; should dominate in 3D extensile

see Shendruk, Thijssen, Yeomans, Doostmohammadi, PRE 2018

Detailed confirmation: active nematic of microtubules + motors + ATP  
Martínez-Prat, Ignés-Mullol,  
Casademunt & Sagués,  
Nat Phys 2019

Other evidence, e.g. nuclear rotation in cell:  
Kumar, Maitra, Sumit, SR, Shivashankar 2014

450 sec



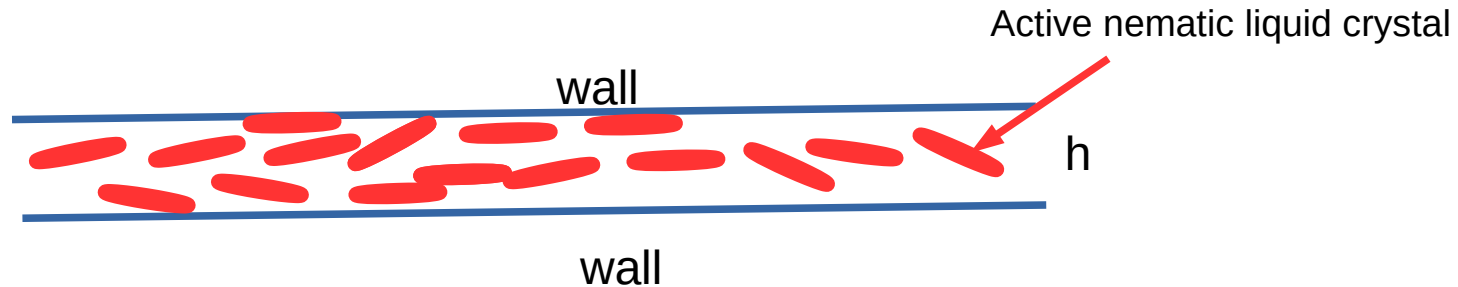


# Slow flocks in fluid films

apolar



Maitra et al. PNAS 2018



Degenerate planar alignment on both walls

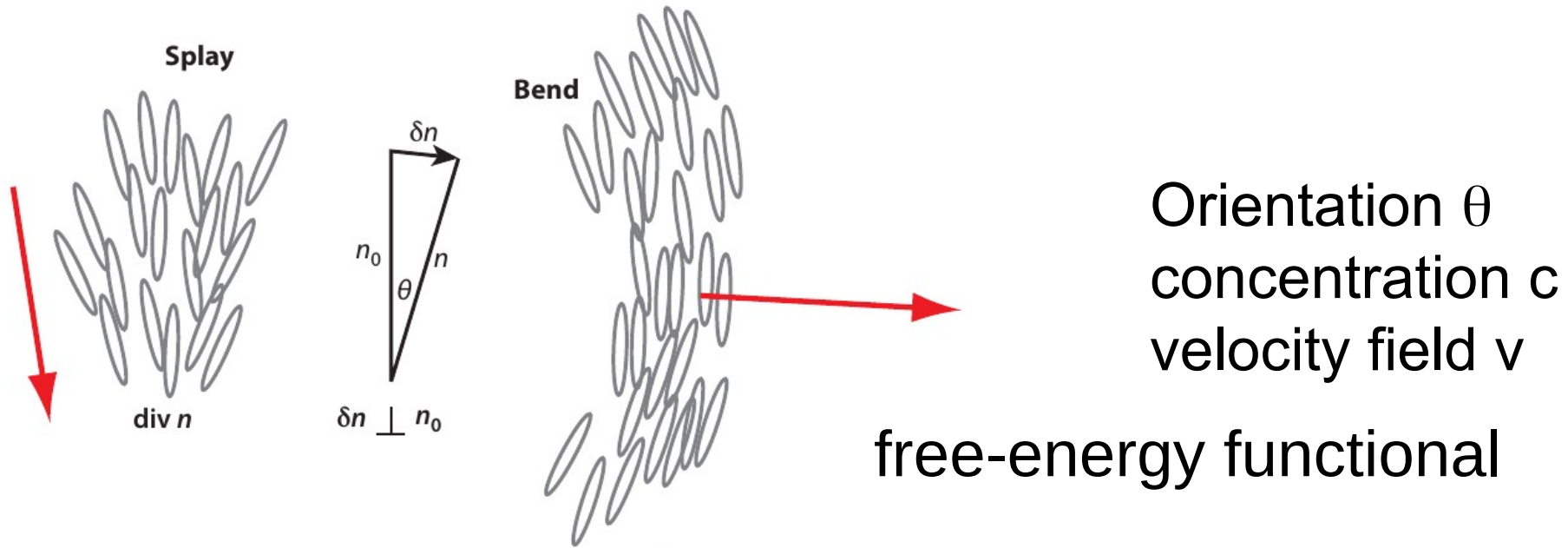
$K$  = Frank elastic constant of underlying liq crystal

Length scale  $\xi = (K/\sigma_a)^{1/2}$ ; stable if  $h < \xi$

Fix  $h$ , increase activity  $\sigma_a$ : diffusive instability?

No: much more interesting

# confined active nematics



$$\mathcal{H} = \int d^2 \mathbf{r} \left[ \frac{K}{2} (\nabla \theta)^2 + g(c) \right]$$

## confined active nematics

$$\dot{\theta} = \frac{1 - \lambda}{2} \partial_x v_y - \frac{1 + \lambda}{2} \partial_y v_x - \Gamma_\theta \frac{\delta \mathcal{H}}{\delta \theta}$$

$|\lambda| > 1$  flow-aligning,  $< 1$  flow-tumbling

$$\Gamma = \text{viscosity}/h^2 \quad \Gamma \mathbf{v} = -\nabla \Pi + \mathbf{f}^p + \mathbf{f}^a$$

2d pressure    Passive    Active

$$\nabla \cdot \mathbf{v} = 0$$

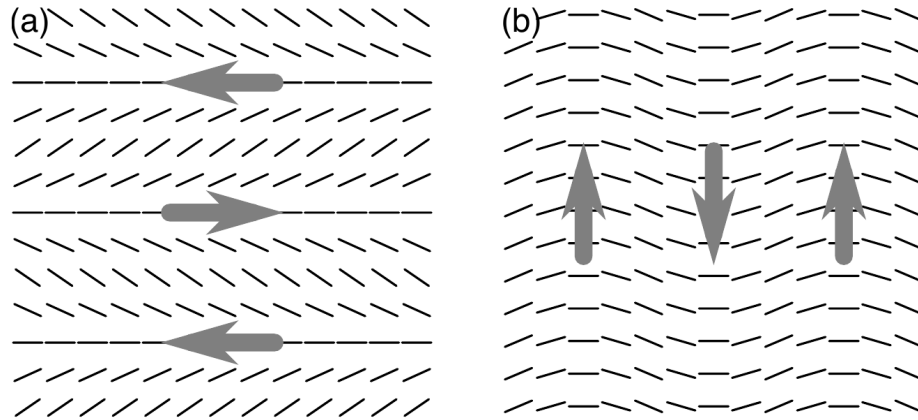
Solve for  $\mathbf{v}$ , get effective equations for  $\theta$

Need force densities  $\mathbf{f}^p, \mathbf{f}^a$

# Force densities

passive

$$\mathbf{f}^p = -\frac{1 + \lambda}{2} \partial_y \left( \frac{\delta \mathcal{H}}{\delta \theta} \right) \hat{\mathbf{x}} + \frac{1 - \lambda}{2} \partial_x \left( \frac{\delta \mathcal{H}}{\delta \theta} \right) \hat{\mathbf{y}}$$



not momentum-conserving  
that's OK: walls  
can derive from 3D hydro

cf flexoelectricity:  
Lavrentovich,  
Prost & Marcerou,  
Meyer

active

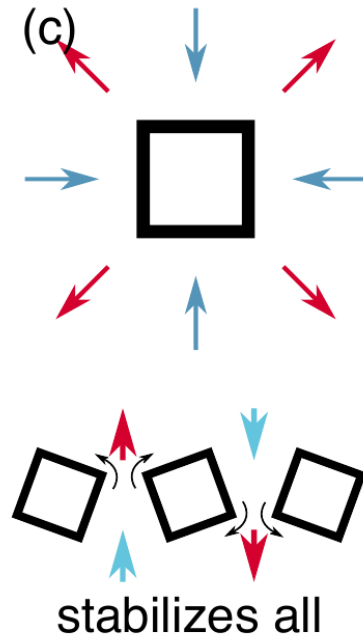
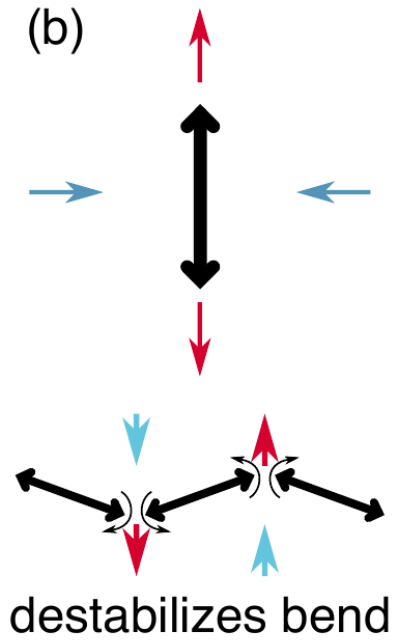
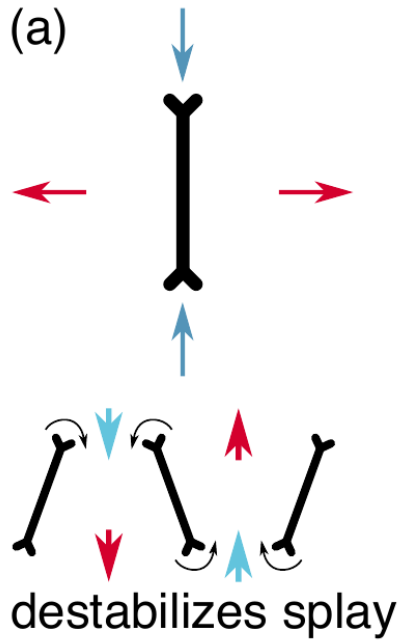
$$f_x^a = -(\zeta_1 + \zeta_2) \Delta \mu \partial_y \theta$$

$$f_y^a = -(\zeta_1 - \zeta_2) \Delta \mu \partial_x \theta$$

$$\mathbf{n}(\nabla \cdot \mathbf{n})$$

Splay  $\neq$  bend

$$\mathbf{n} \cdot \nabla \mathbf{n}$$



Higher multipole for bulk hydrodynamics, but competes on substrate.  
Can stabilise for all activity levels!

Consequence: effective  $\theta$  dynamics

$$\partial_t \theta_{\mathbf{q}} = -D(\phi) q^2 \theta_{\mathbf{q}}$$

$$D(\phi) = \Gamma_{\theta} K + \frac{\Delta\mu}{2\Gamma} (1 - \lambda \cos 2\phi) (-\zeta_1 \cos 2\phi + \zeta_2)$$

Without  $\zeta_2$  instability inevitable at large  $\Delta\mu$   
Not any more!

$|\lambda| < 1$  (flow-tumbling): large +ve  $\zeta_2$  always stable. Stability diagram -->

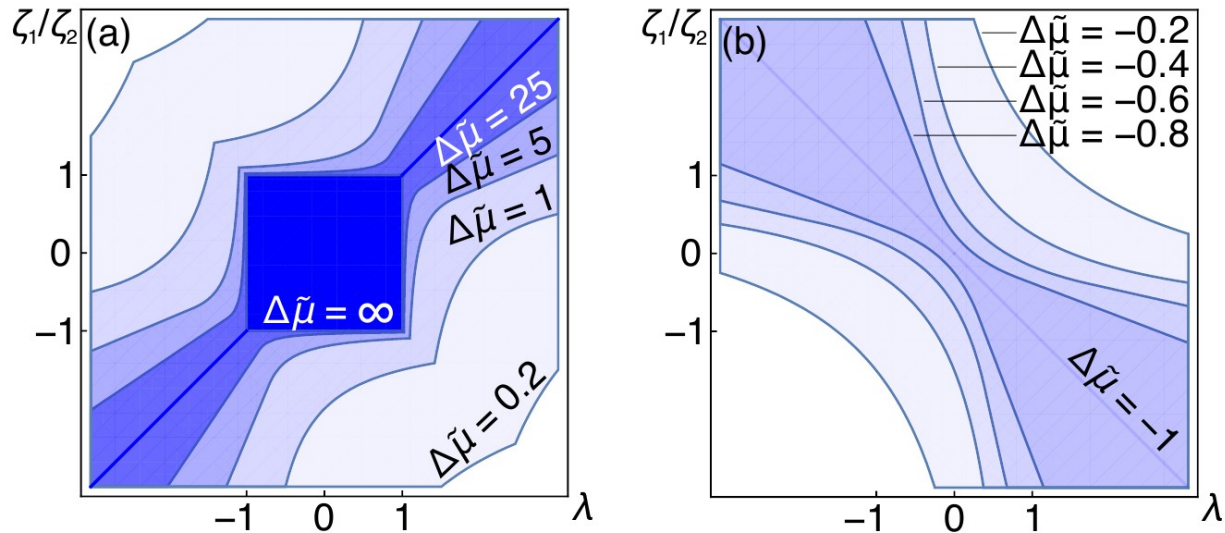
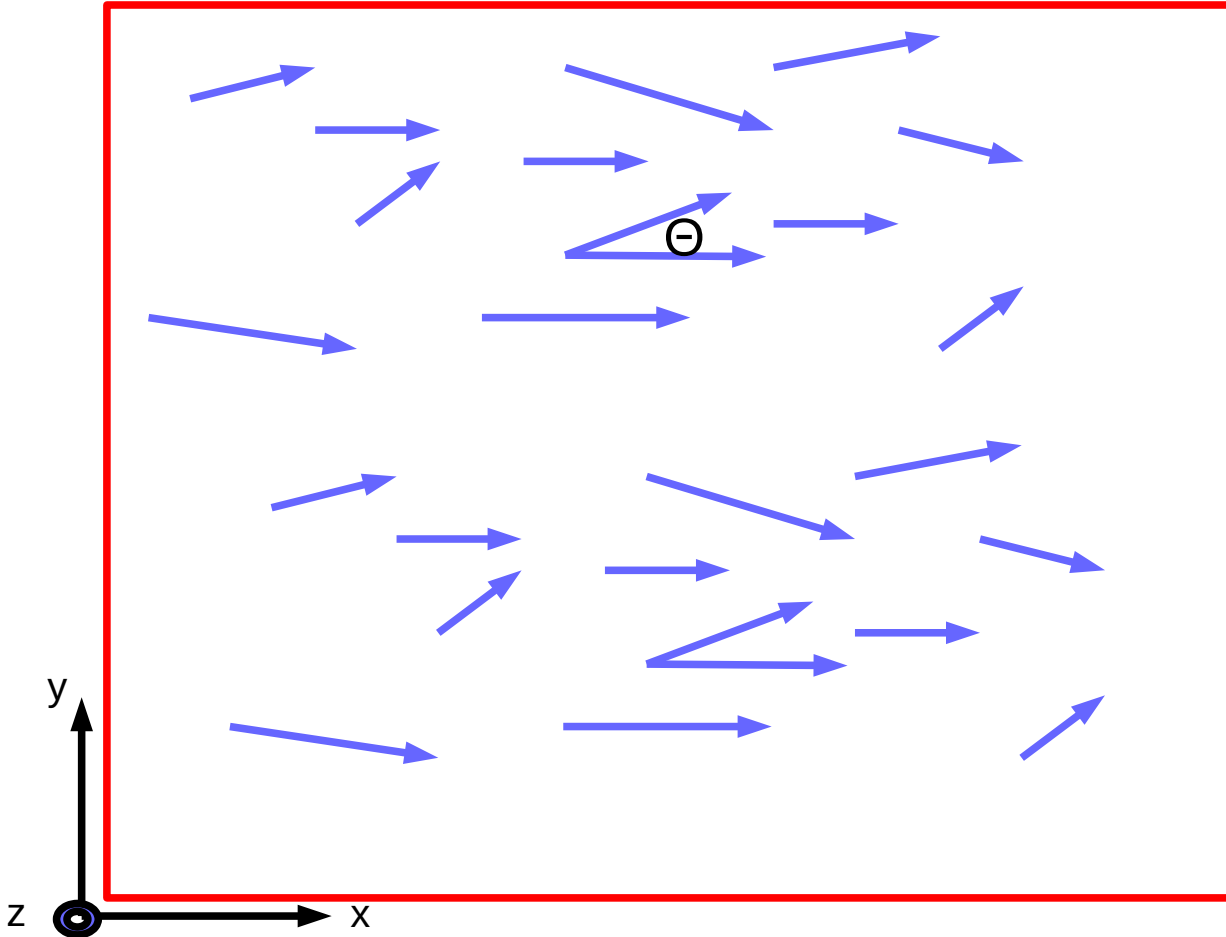


FIG. 2: Regions of stability of the ordered phase as a function of the flow-alignment parameter  $\lambda$ , the ratio  $\zeta_1/\zeta_2$  of the old and new active forces and the overall magnitude of activity relative to passive friction  $\Delta\tilde{\mu} = \zeta_2\Delta\mu/2K\Gamma\Gamma_\theta$ . (a) For  $\Delta\tilde{\mu} > 0$ , the region of linear stability of the ordered phase (shades of blue) shrinks with increasing activity, yet the central dark blue square is stable for arbitrary high activity. (b) For  $\Delta\tilde{\mu} < 0$ , stability is abolished for large enough activity, namely  $\Delta\tilde{\mu} < -1$ .

# Slow flocks in fluid films

polar



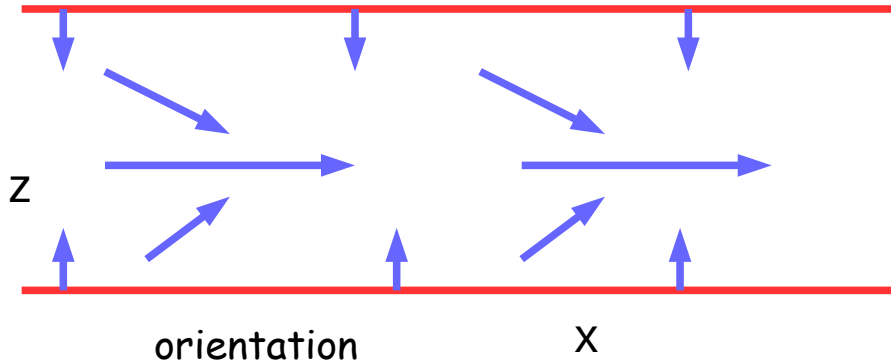
Extended in XY, confined in z

- base & lid: preferred frame
- forget momentum conservation?
- 2d confined = Toner-Tu model?

NO

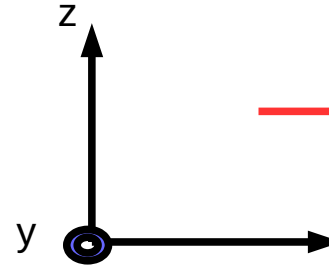


# Confinement: fluid velocity irrelevant?

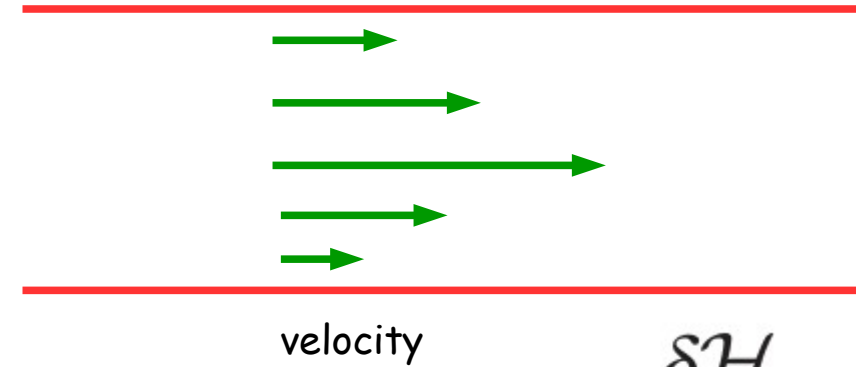


- Polar vs apolar: how different?
- Confined geometry
  - bounding walls: momentum sink
  - velocity field relaxes “fast”
- But: incompressibility
  - can't forget velocity field
  - “dry” flocking models not enough

Side view



Brotto et al. PRL 2013  
Kumar et al. Nat Comm 2014

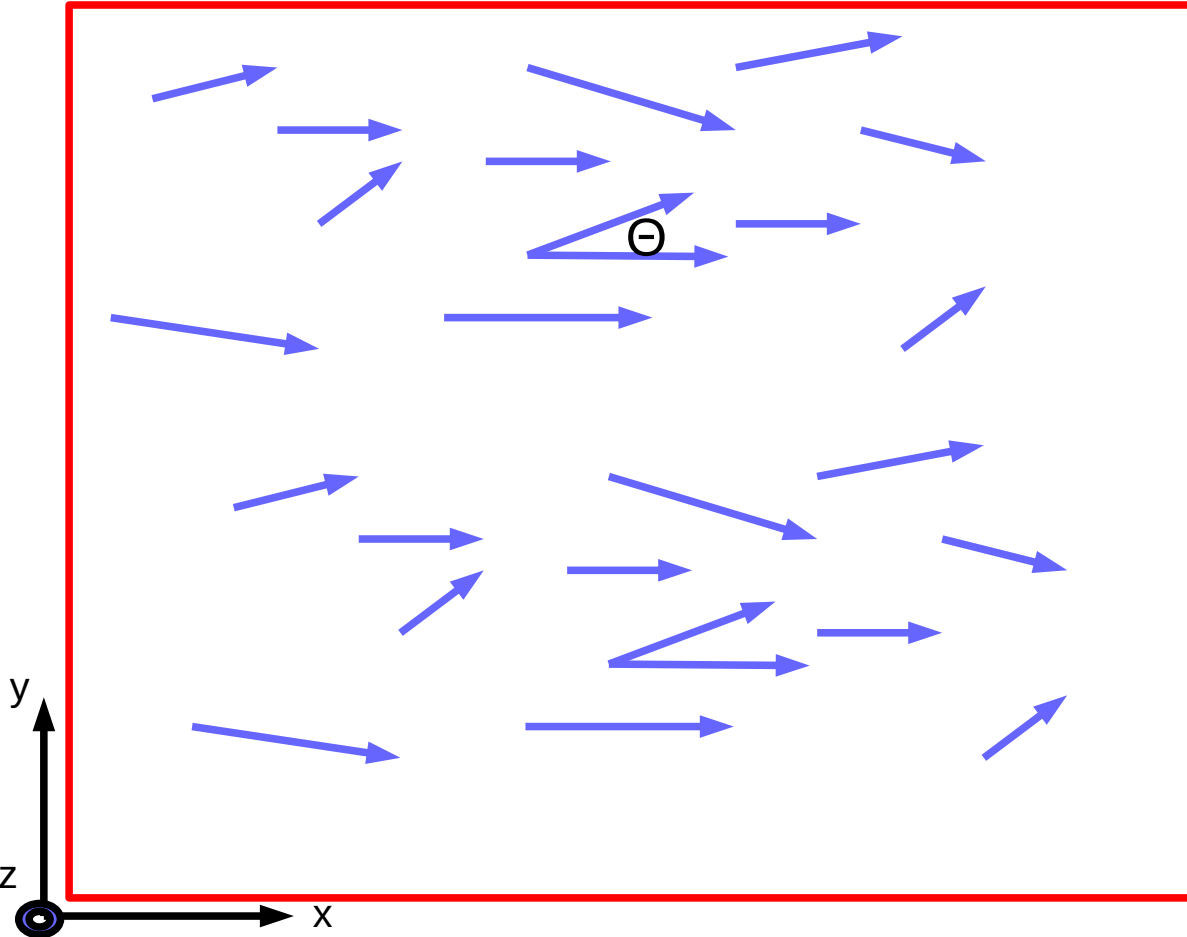


$$\partial_t \mathbf{p} = \Lambda \mathbf{u} - \frac{\delta \mathcal{H}}{\delta \mathbf{p}}$$

$$\Gamma \mathbf{u} = v \mathbf{p} - \nabla \Pi - \Lambda \frac{\delta \mathcal{H}}{\delta \mathbf{p}}$$

$$\nabla \cdot \mathbf{u} = 0$$

# Robust long-range order



$$\partial_t \Theta^q = -\frac{\Lambda v}{\Gamma} (q_y^2 / q^2) \Theta^q$$

Incompressible velocity game-changing

For the case  $\Lambda > 0$

Nambu-Goldstone gets anisotropic “mass”

velocity acts like Coulomb field

angle fluctuations *finite* in  $d=2$ , LRO

Not Toner-Tu

If  $\Lambda < 0$ : inescapable instability

END LECTURE 1

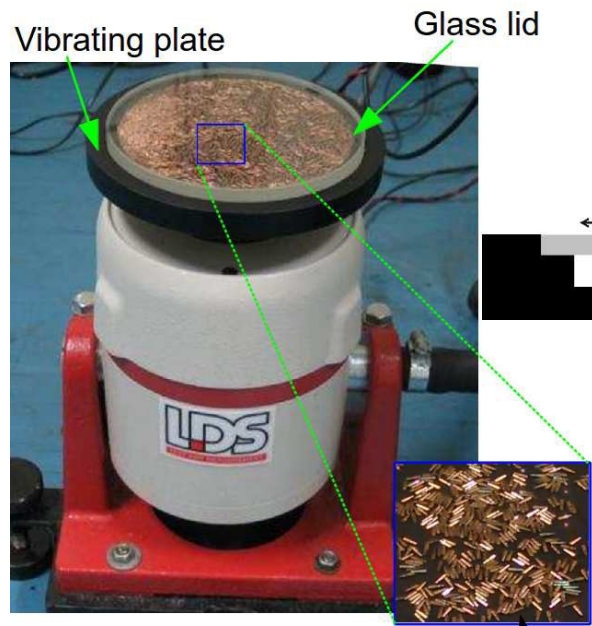
End lecture 1

# CRAWLING THROUGH AN ELASTIC MEDIUM

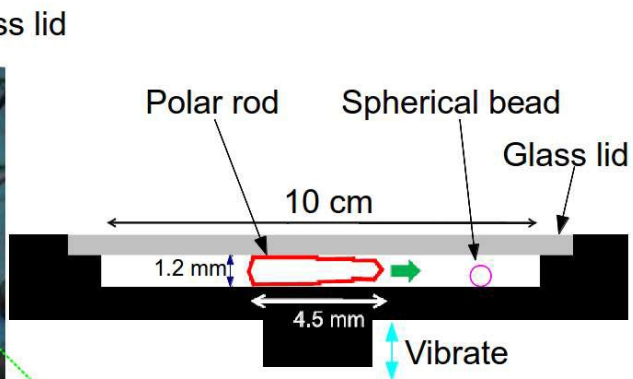
- What if the medium is elastic, not viscous?
- Strain-rate fields --> strain cell/tissue mechanics
- This work: on a substrate
  - velocity field “fast”, damped elastodynamics

Rahul Gupta, Raushan Kant,  
Harsh Soni, Ajay Sood, SR  
PRE 2022

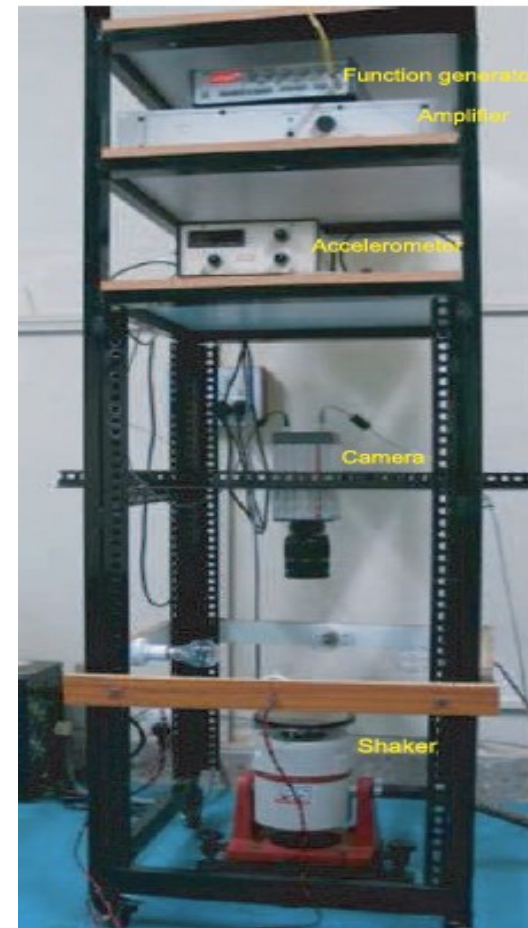
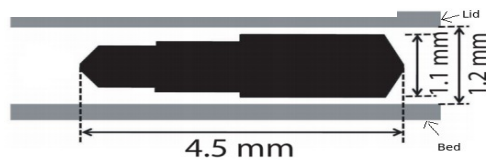
# Experimental setup



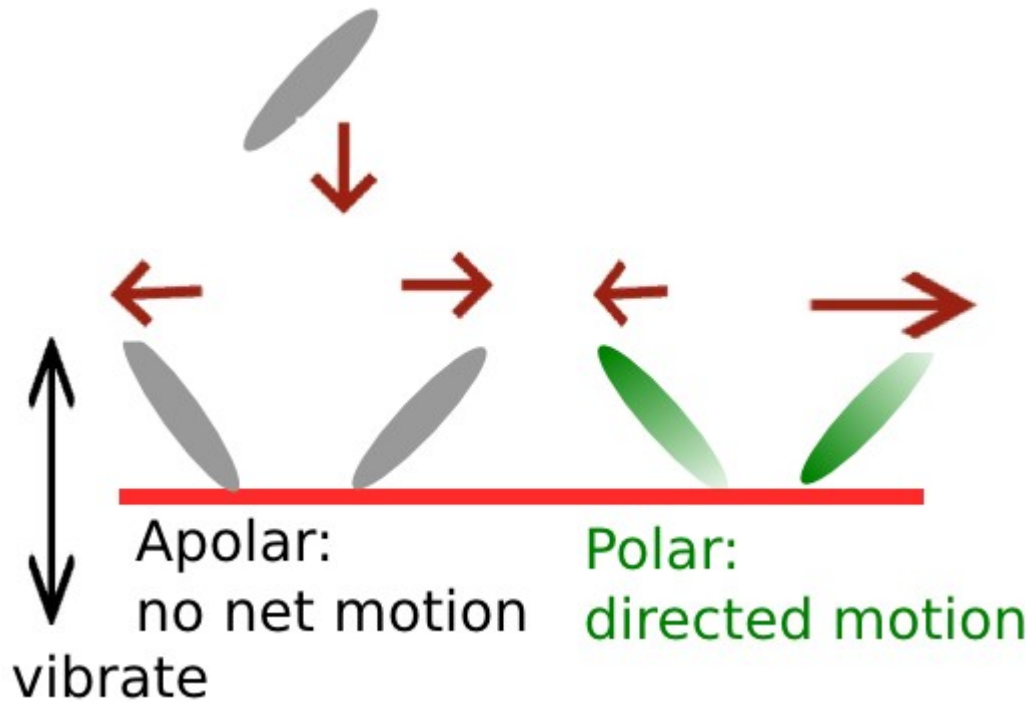
Real experimental setup



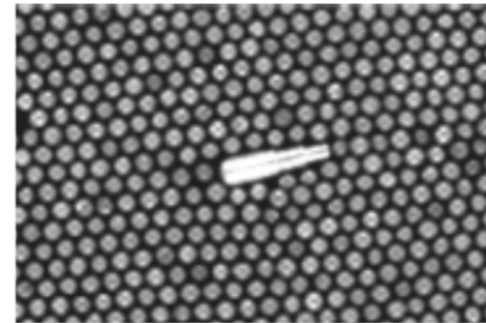
Schematic diagram



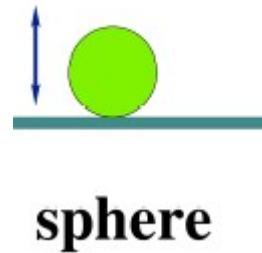
# Horizontal motility from vertical shaking



static friction  $\Rightarrow$  centre of mass moves

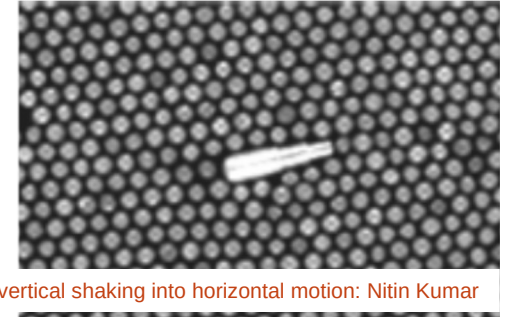


A motile rod transducing vertical shaking into horizontal motion: Nitin Kumar



# First look at a fluid bead-layer

1-particle rendition of Kumar, Soni, SR, Sood Nature Comm 2014



A motile rod transducing vertical shaking into horizontal motion: Nitin Kumar

$$\dot{\mathbf{R}}(t) = v_0 \mathbf{n}(t) \quad \text{motility}$$

$$\partial_t(\rho \mathbf{v}) + (\zeta - \eta \nabla^2) \mathbf{v} = f \mathbf{n}(t) \delta [\mathbf{r} - \mathbf{R}(t)] - \nabla P$$

Substrate drag, viscosity
Motile rod pushes beads
pressure

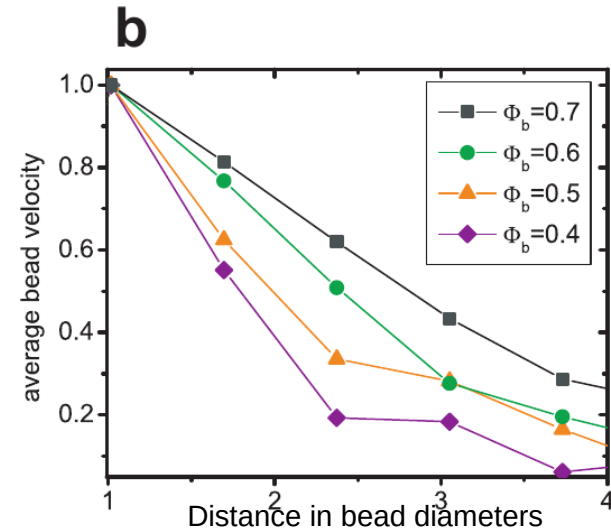
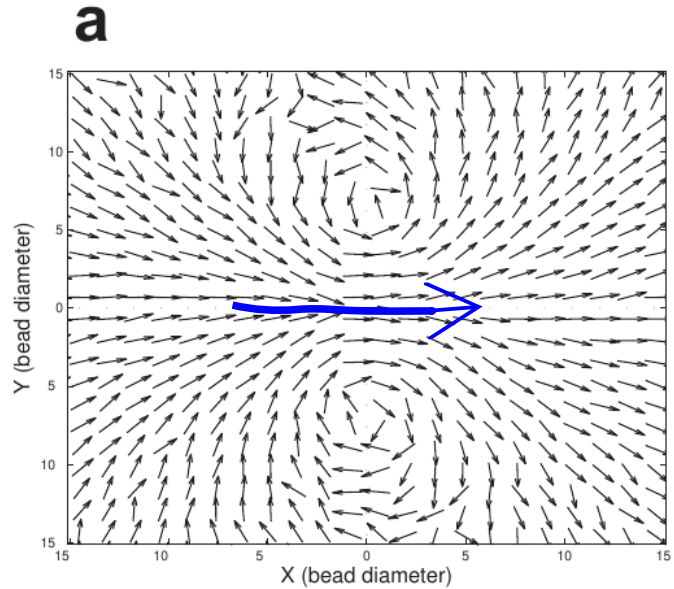
$$\dot{\mathbf{n}} = (\mathbf{I} - \mathbf{nn}) \cdot (\mathbf{v} + \nabla \mathbf{v} \cdot \mathbf{n} + \dots) \quad \text{(schematically)}$$

flow reorients  $\mathbf{n}$  parallel to  $\mathbf{v}$

Gradients rotate & align  $\mathbf{n}$

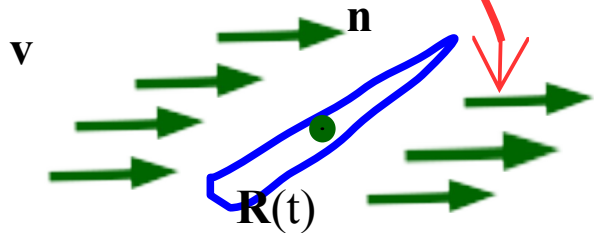
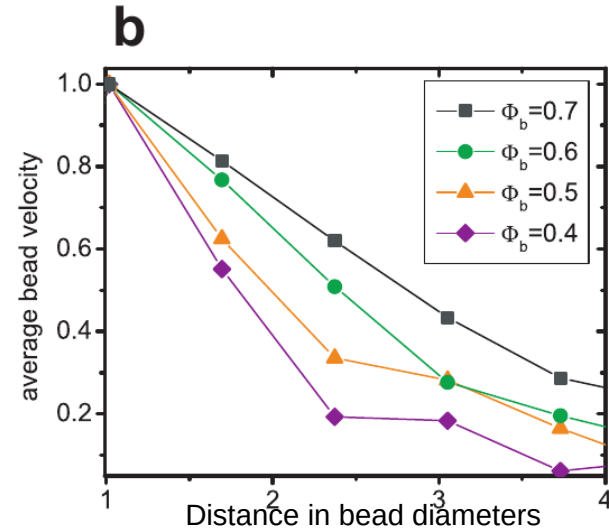
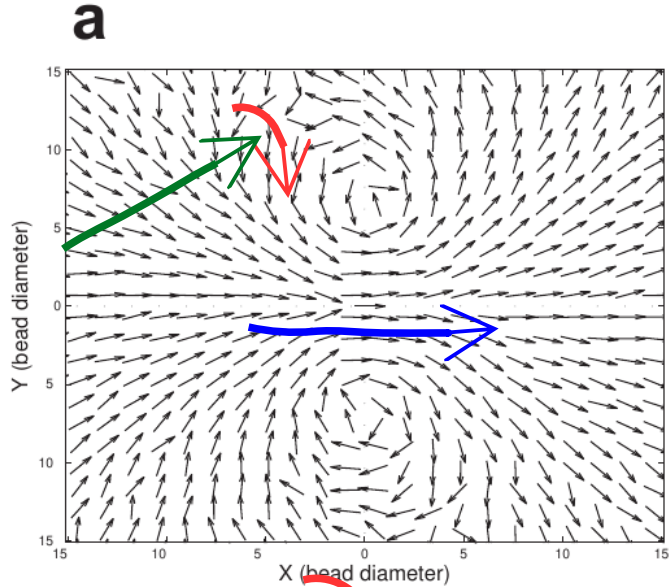
in pictures:

# Flow-field around a mover in a fluid layer





# An emergent aligning interaction



Nonuniform drag: flow reorients  $\mathbf{n}$  parallel to  $\mathbf{v}$   
The weathercock effect

# A granular flock at very low concentration

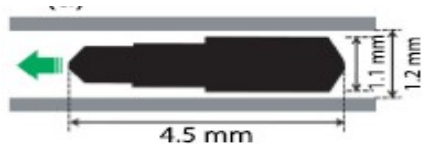
Kumar, Soni, Sood, SR Nature Communications 2014; arXiv:1402.4262



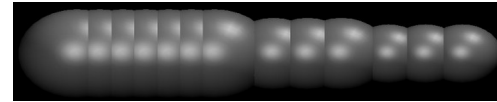
Nitin Kumar (student of A K Sood, IISc)

</home/sriram/talks/activemattertalks/current/Video1.avi>

</home/sriram/talks/activemattertalks/current/Video2.avi>



Confined quasi-2d geometry



[/home/sriram/talks/activemattertalks/current/vdo\\_liquid.mpg](/home/sriram/talks/activemattertalks/current/vdo_liquid.mpg)

Granular dynamics simulation: Harsh Soni

</home/sriram/talks/activemattertalks/current/Video3.avi>

</home/sriram/talks/activemattertalks/current/Video4.avi>

</home/sriram/talks/activemattertalks/current/Video5.avi>

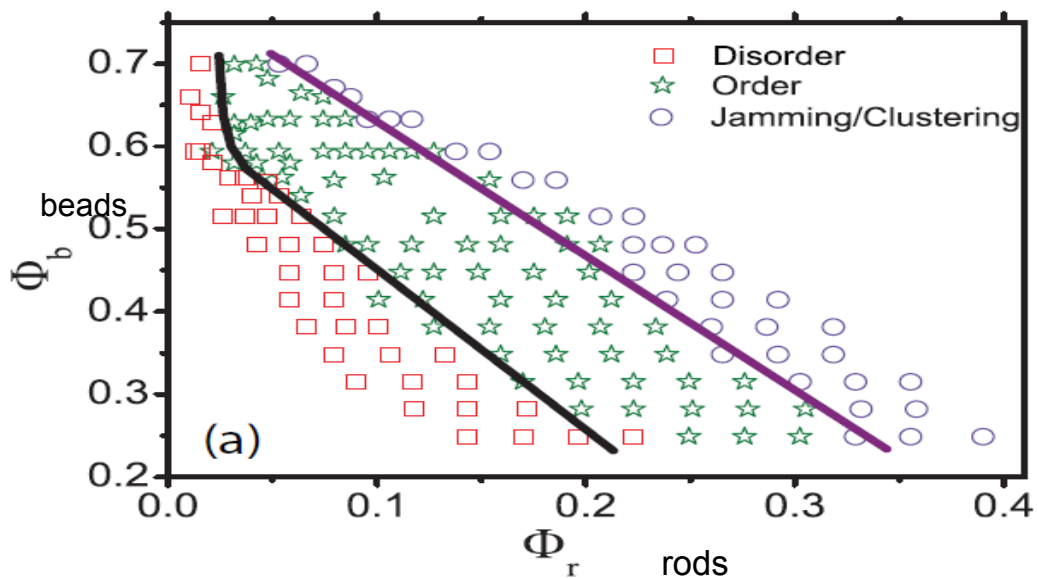
</home/sriram/talks/activemattertalks/current/Video6.avi>

cf Deseigne et al PRL 2010

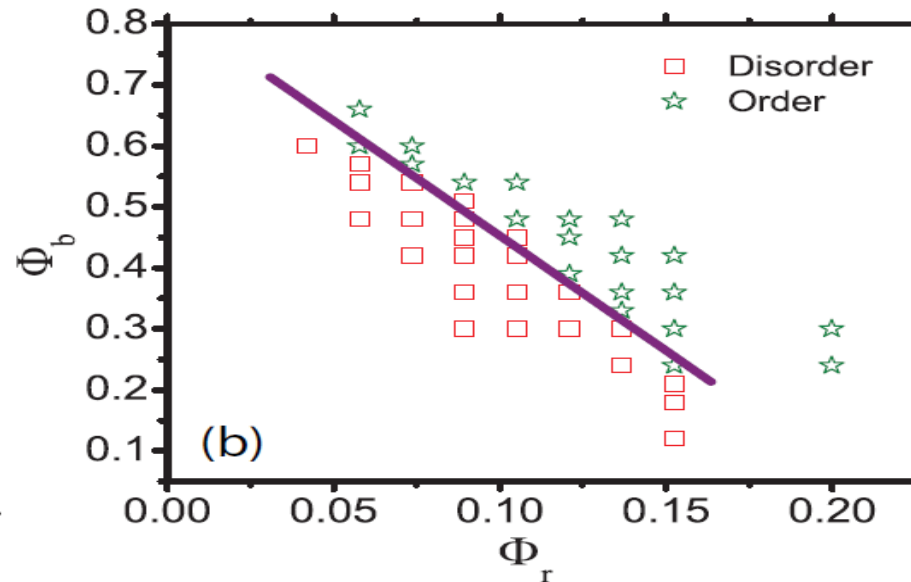
Weber et al PRL 2013

# Phase diagram

Flocking by increasing inert-particle concentration



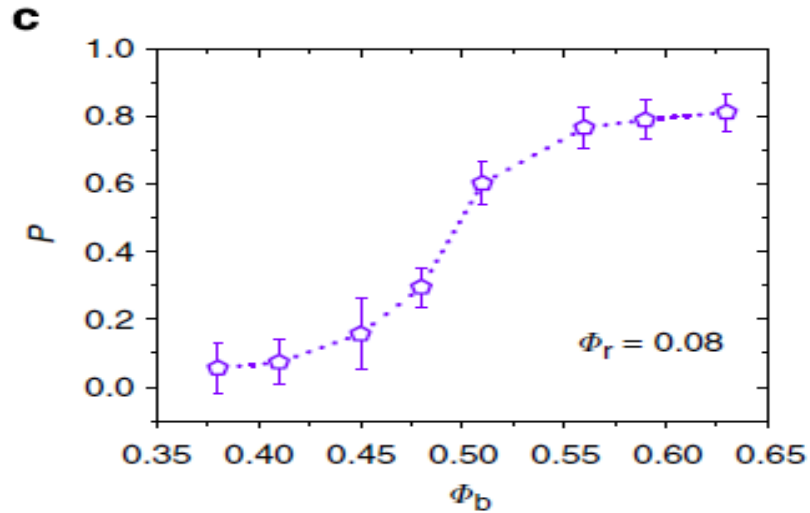
Experiment



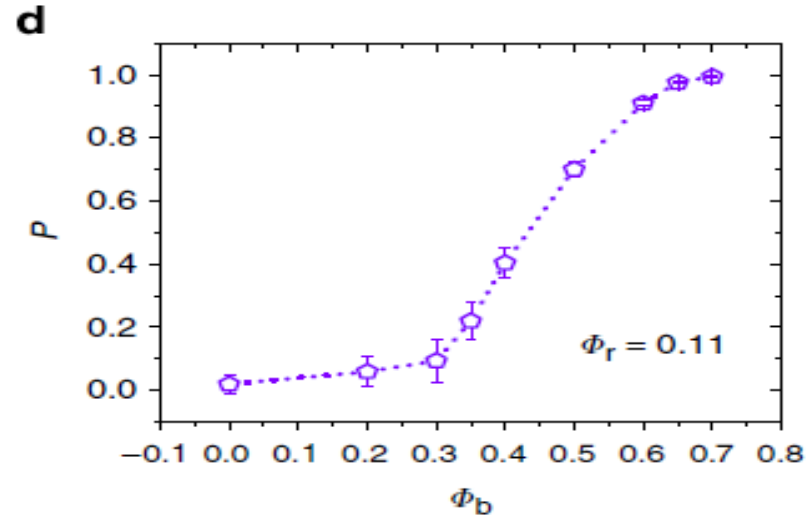
Simulation

# A phase transition

Amount of order as function of inert-particle concentration



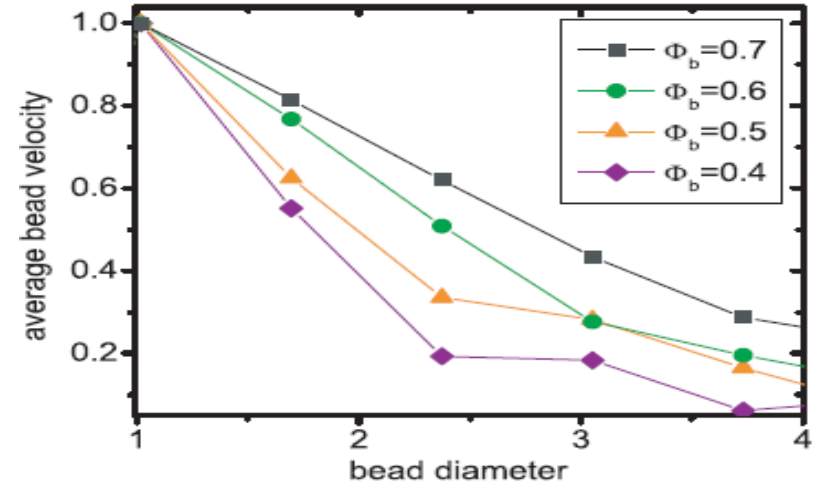
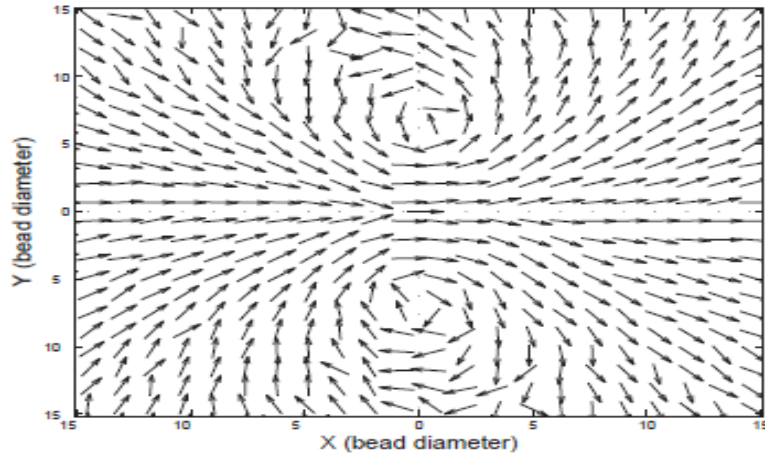
Experiment



Simulation

# The mechanism: moving polar rod creates flow

Simulation: H Soni



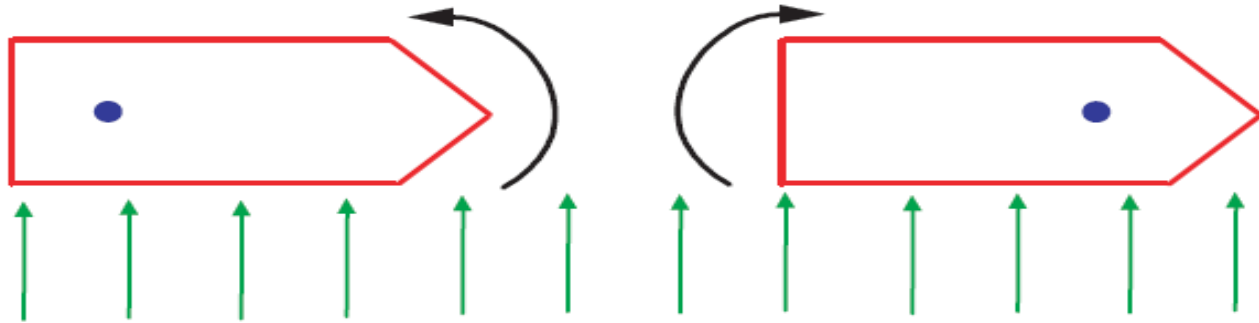
Increase  $\Phi_b$  --> increase decay length of velocity

# The mechanism: flow orients polar rod

Flow rotates polar particles to point the right way: the weathercock effect  
Need a substrate

</home/sriram/talks/activematter/talks/current/Video7.avi>

Could have been either way  
Design problem



qualitatively similar to Bricard et al. colloidal rollers Nature 2013

- flow field simpler, medium compressible
- single-rod motility from solid contact mechanics
- Crucial difference: non-motile-bead concentration is control parameter
- purely 2d system

# Theory of flocking at a distance

Kumar, Soni, Sood, SR arXiv:1402.4262, Nat Comm 2014

$$\partial_t \rho + \nabla \cdot (\rho \mathbf{v}) = 0$$

Order parameter  $\mathbf{P}$ ,  
velocity  $\mathbf{v}$ ,  
number density  $\rho$

$$\rho \partial_t \mathbf{v} = -(\Gamma - \eta \nabla^2) \mathbf{v} + \alpha \mathbf{P} - B \nabla \rho + \dots$$

$$\partial_t \mathbf{P} = \lambda \mathbf{v} - (a - K \nabla^2) \mathbf{P} - A \nabla \rho + \dots$$

Transition determined by effective coupling

$$\bar{a} = a - \lambda \alpha / \Gamma$$

- Independent measurements in simulation (H Soni)
- $\alpha > 0$ ,  $\lambda > 0$  and increases with  $\rho$
- So: increase  $\rho$ : get transition to ordered state of  $\mathbf{P}$

# Theory of flocking at a distance

Kumar, Soni, Sood, SR arXiv:1402.4262, Nat Comm 2014

continuity  $\partial_t \rho + \nabla \cdot (\rho \mathbf{v}) = 0$

Order parameter  $\mathbf{P}$ ,  
velocity  $\mathbf{v}$ ,  
number density  $\rho$

$$\rho \partial_t \mathbf{v} = - (\overset{\text{damping}}{\Gamma} - \eta \nabla^2) \mathbf{v} + \overset{\text{forcing}}{\alpha} \mathbf{P} - B \nabla \rho + \dots$$

$$\partial_t \mathbf{P} = \underset{\text{Flow coupling}}{\lambda} \mathbf{v} - (\underset{\text{Rotational relaxation}}{a} - K \nabla^2) \mathbf{P} - A \nabla \rho + \dots$$

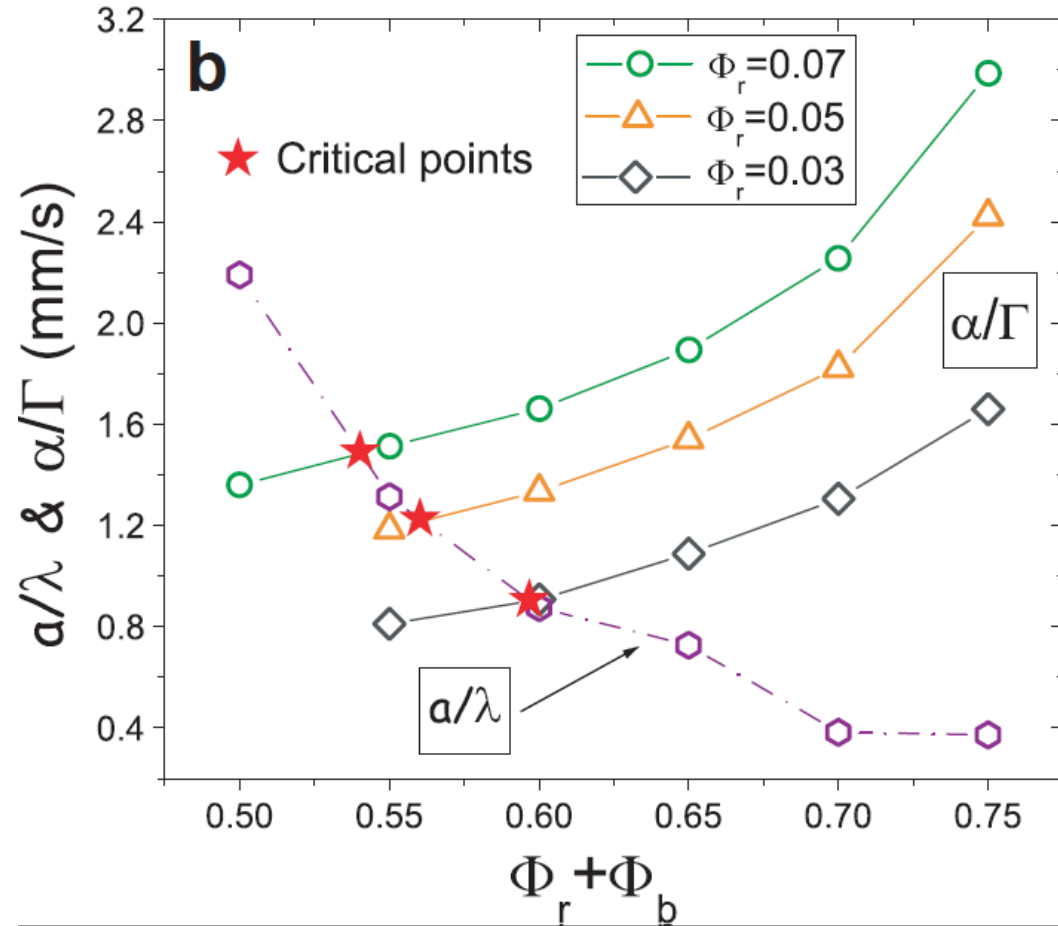
Transition determined by effective coupling

$$\bar{a} = a - \lambda \alpha / \Gamma$$

- Independent measurements in simulation (H Soni)
- $\alpha > 0$ ,  $\lambda > 0$  and increases with  $\rho$
- So: increase  $\rho$ : get transition to ordered state of  $\mathbf{P}$

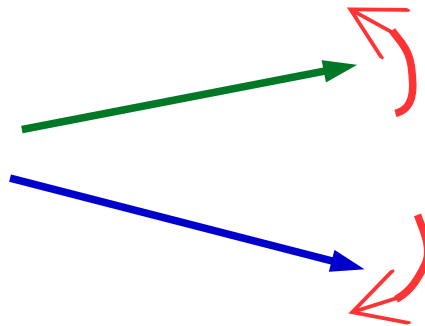
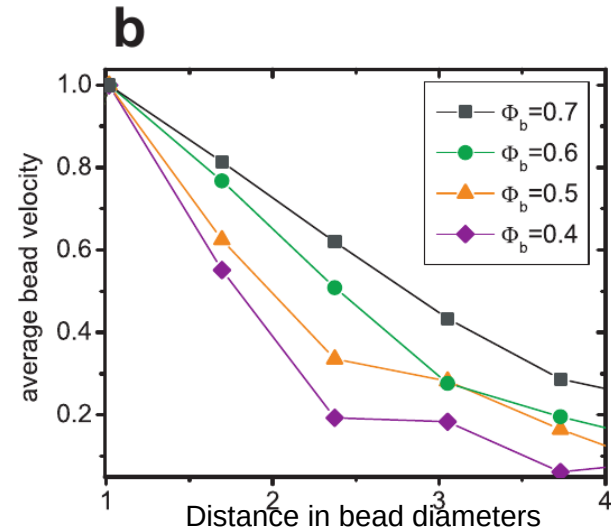
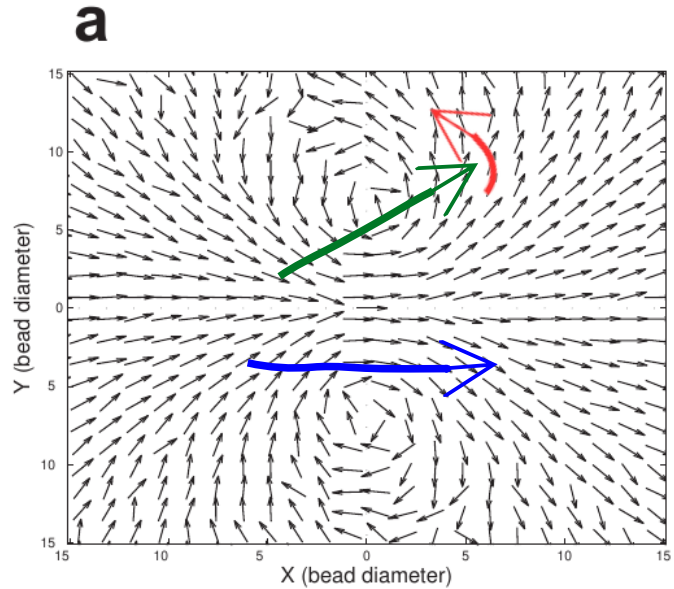


# Estimating mean-field critical point from simulation

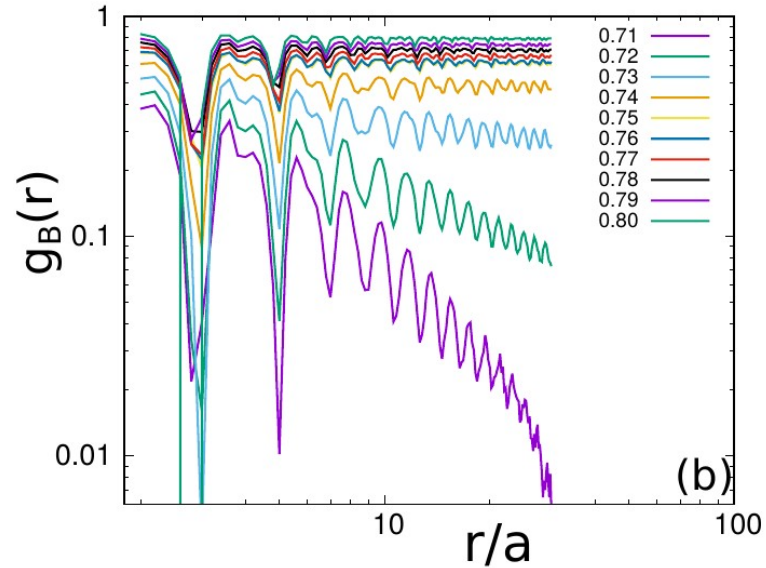
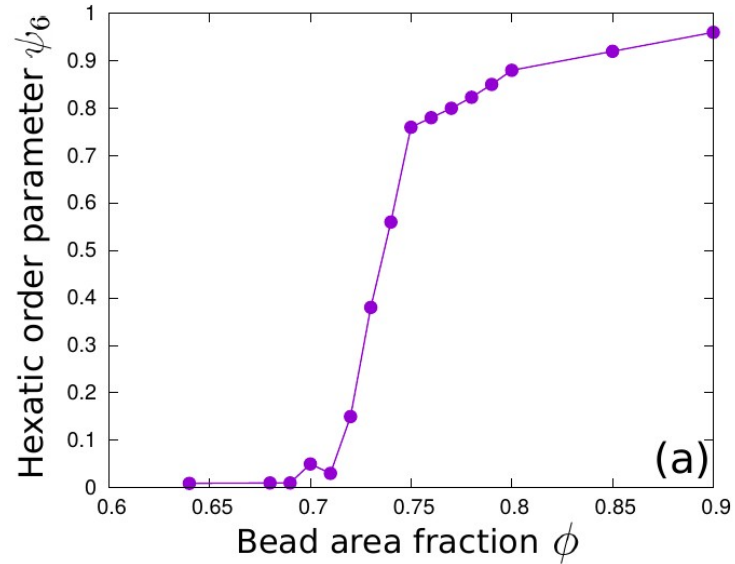


# Side-by-side: rotation by vorticity

negative taxis: “repulsion”

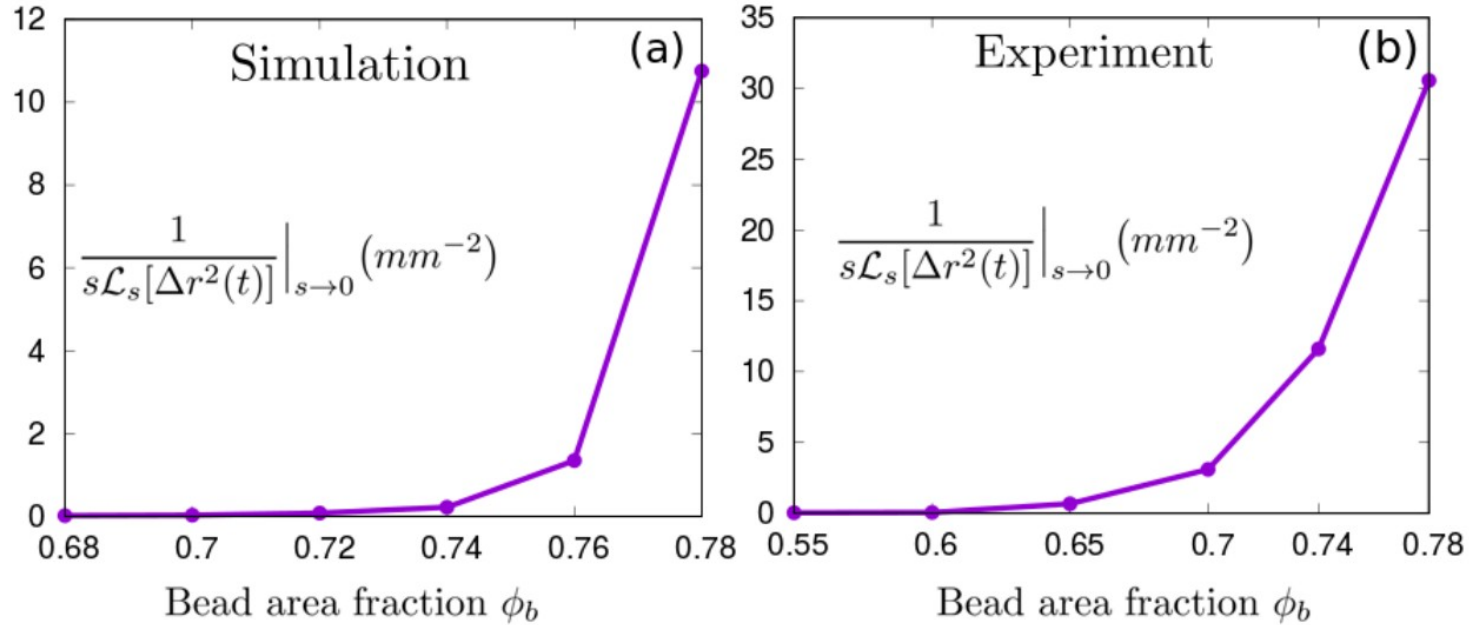


# Dense bead layer: crystalline



Increase bead packing, transition to crystal  
Long-range 6-fold order as proxy

# Onset of rigidity

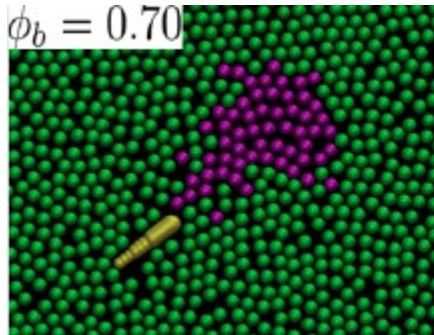


Single-particle microrheology in real and numerical experiments

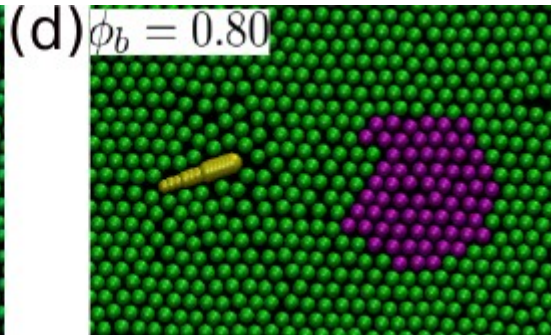
# Contrast between elastic and fluid media

</home/sriram/talks/activematter/talks/current/Video2.avi>

Bead flow promotes flocking at a distance  
Kumar et al Nat Comm 2014  
Increase density, crystallize, what happens?

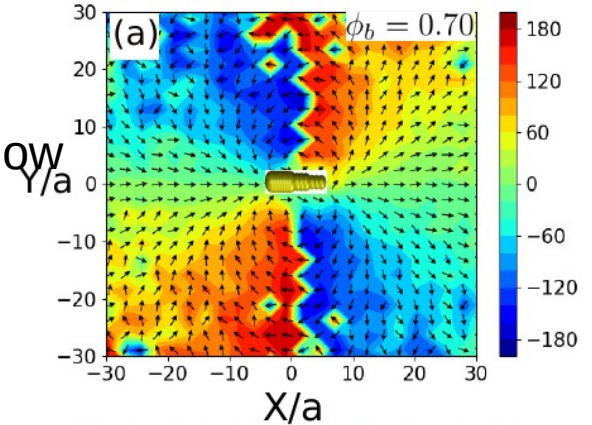


fluid

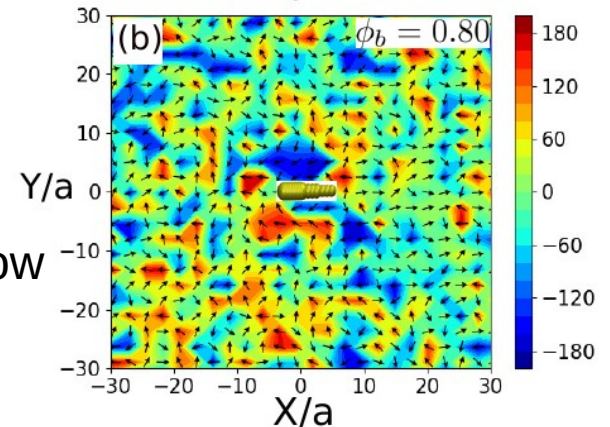


crystal

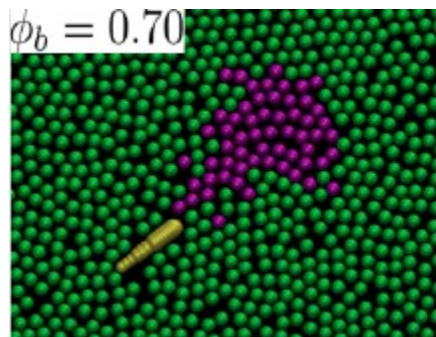
Fluid: bead flow



crystal: no flow

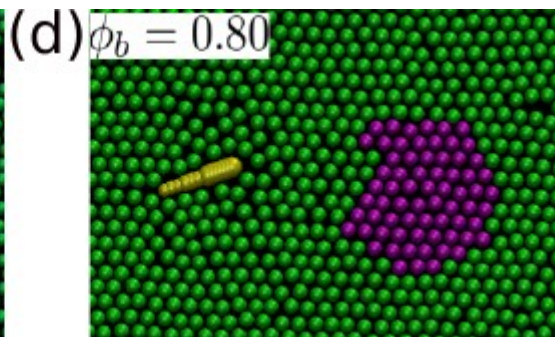


# Comparison: crystal vs fluid



fluid

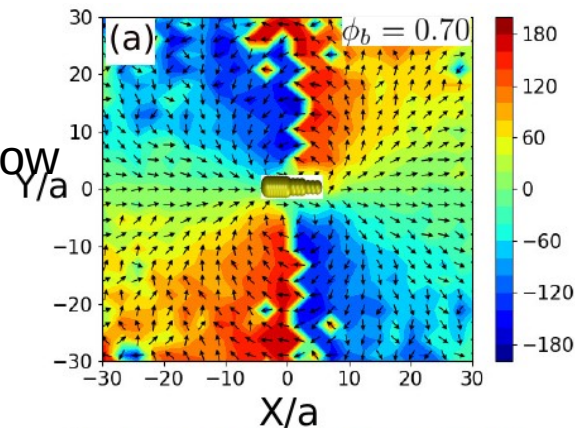
fluid phase: motile rod drags beads



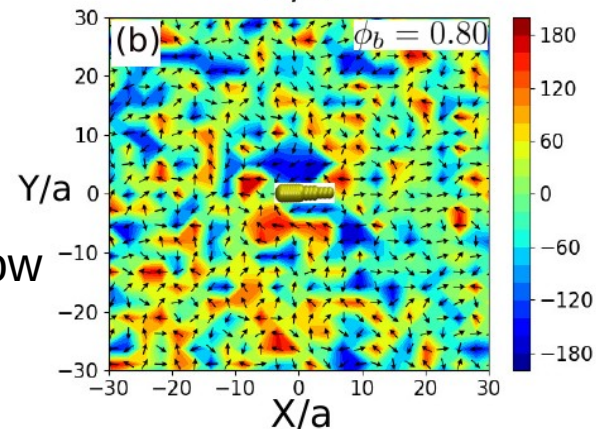
crystal

crystal: no dragging

Fluid: bead flow



crystal: no flow



# Crawling through a crystal: theory?

- **Safran et al: force dipoles in elastic medium**
  - motility ignored cell/tissue mechanics
- **Henkes et al. 2020: elastic medium made of ABPs**
  - active forcing + repulsive pair potential, no reorienting by medium
- **This work: coupled dynamics**
  - motile particles strain medium, **strain reorients particles**
  - naturally non-reciprocal dynamics

Rahul Gupta, Raushan Kant,  
Harsh Soni, Ajay Sood, SR  
PRE 2022

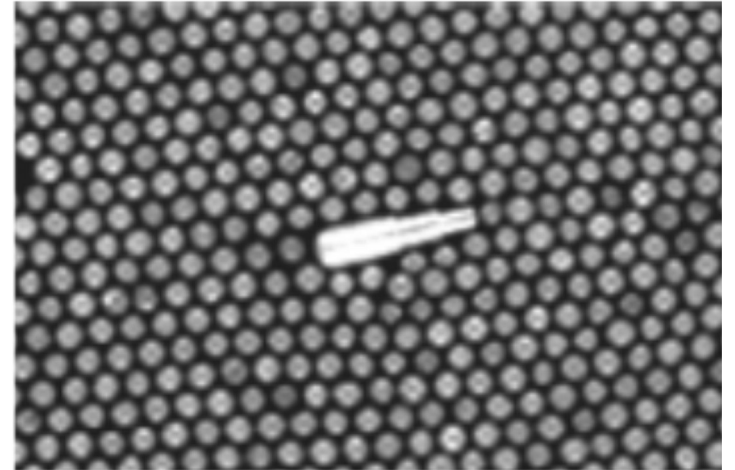
# Motile particles in elastic medium on substrate

Particle position  $\mathbf{R}(t)$ , orientation  $\mathbf{n}(t)$

Displacement field of medium  $\mathbf{u}(\mathbf{r}, t)$

Lamé elastic free energy  $F$

Friction  $\zeta$ , self-prop force  $f$ , speed  $v_0$



A motile rod transducing vertical shaking into horizontal motion: Nitin Kumar

$$\dot{\mathbf{R}}(t) = v_0 \mathbf{n}(t)$$

$$\zeta \partial_t \mathbf{u} = -\delta F / \delta \mathbf{u} + f \mathbf{n}(t) \delta(\mathbf{r} - \mathbf{R}(t))$$

driving through a crystal



# Motile particles in elastic medium on substrate

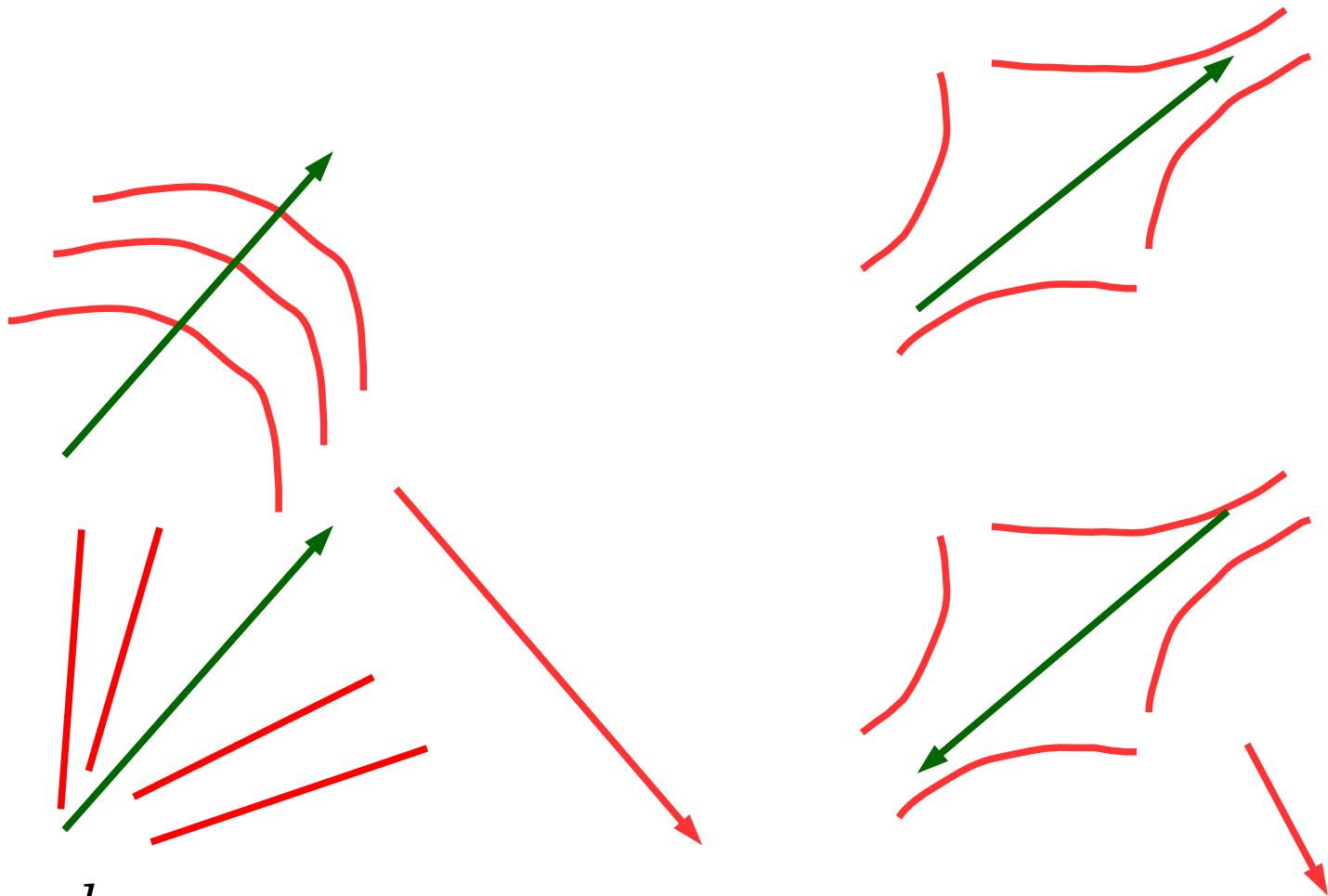
$$\dot{\mathbf{R}}(t) = v_0 \mathbf{n}(t)$$

$$\zeta \partial_t \mathbf{u} = -\delta F / \delta \mathbf{u} + f \mathbf{n}(\mathbf{t}) \delta(\mathbf{r} - \mathbf{R}(t))$$

$$\frac{d\mathbf{n}}{dt} = (\mathbf{I} - \mathbf{nn}) \cdot (\gamma_1 \nabla^2 \mathbf{u} + \gamma_2 \nabla \nabla \cdot \mathbf{u} + \kappa \boldsymbol{\varepsilon} \cdot \mathbf{n})$$

$$\boldsymbol{\varepsilon} = (\nabla \mathbf{u} + \nabla \mathbf{u}^T) / 2$$

Curvature: polar orientation  
Strain: apolar orientation



$$\frac{d\mathbf{n}}{dt} = (\mathbf{I} - \mathbf{n}\mathbf{n}) \cdot (\gamma_1 \nabla^2 \mathbf{u} + \gamma_2 \nabla \nabla \cdot \mathbf{u} + \kappa \boldsymbol{\varepsilon} \cdot \mathbf{n})$$

# Strain field of a motile particle

$\mathbf{U}$  = displacement field in frame comoving and corotating with particle

$$[-\zeta v_0 \partial_x - (\mu \nabla^2 + \lambda \nabla \nabla \cdot)] \mathbf{U} = f \delta(\mathbf{r}) \hat{\mathbf{x}}$$

Screening

$$\alpha = \zeta v_0 / \mu$$

$$U_x = \frac{f}{4\pi\mu} \left\{ \left[ K_0\left(\frac{\alpha r}{2}\right) - \frac{x}{r} K_1\left(\frac{\alpha r}{2}\right) \right] e^{-\frac{\alpha x}{2}} \right.$$

$$\beta = \zeta v_0 / \lambda$$

$$\left. + \frac{\beta}{\alpha} \left[ K_0\left(\frac{\beta r}{2}\right) + \frac{x}{r} K_1\left(\frac{\beta r}{2}\right) \right] e^{-\frac{\beta x}{2}} \right\}$$

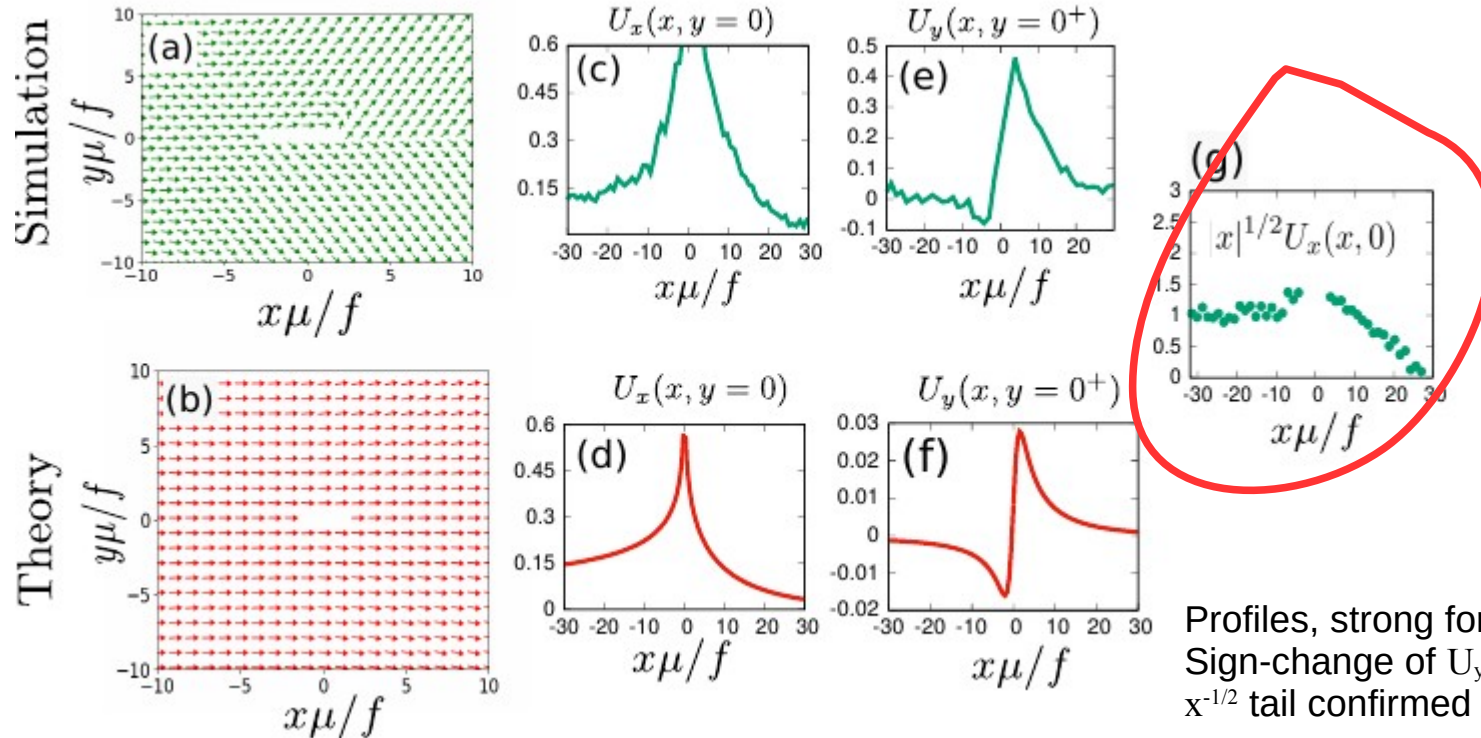
and similarly  $U_y$

Crucial: asymp forms of  $K_0 \Rightarrow$  exponential decay for  $x > 0$ ,  $|x|^{-1/2}$  for  $x < 0$

Overdamped elastic wake

# Comparison with measured fields

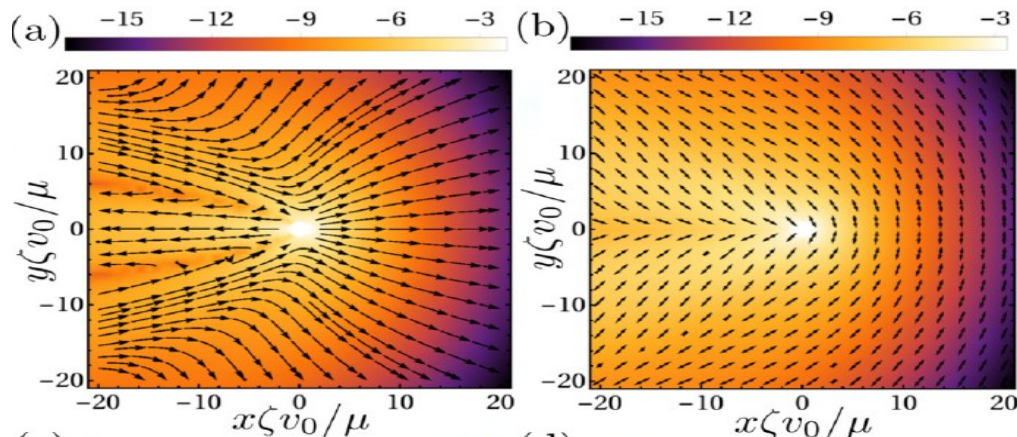
Numerical experiment on vibrated layer of grains  
Inelasticity, static friction, base, lid all included



# One particle in strain field of other

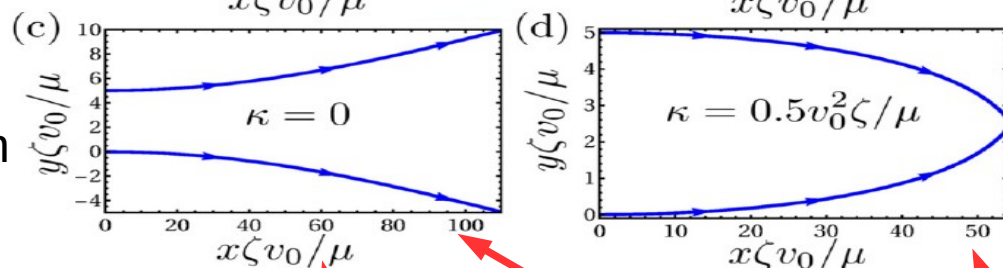
align with extension axis  $\Rightarrow$  "attraction"

Streamline plot of  
lattice curvature



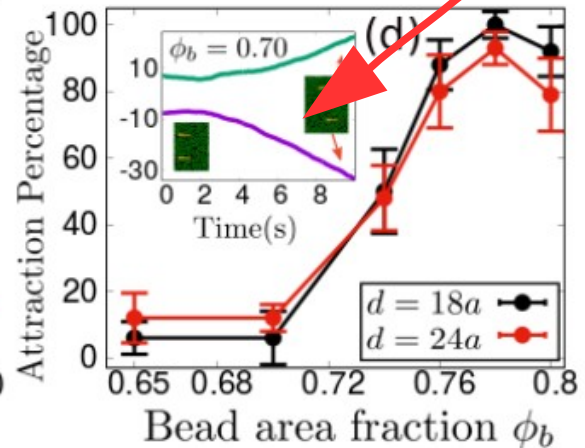
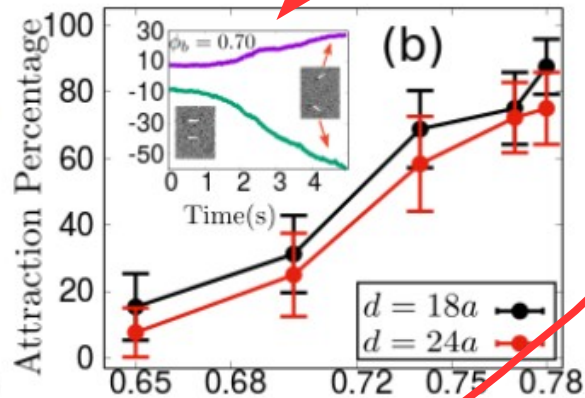
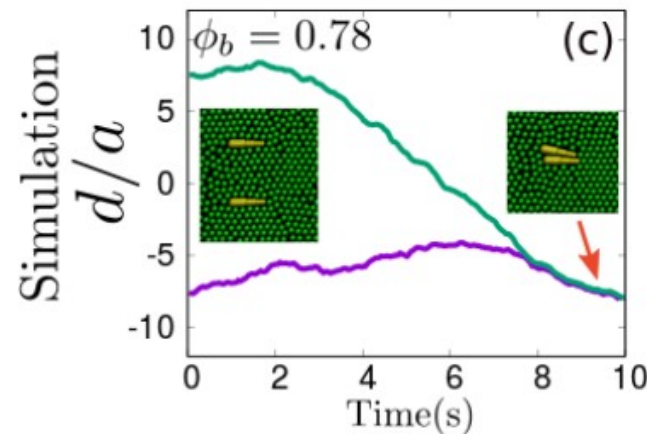
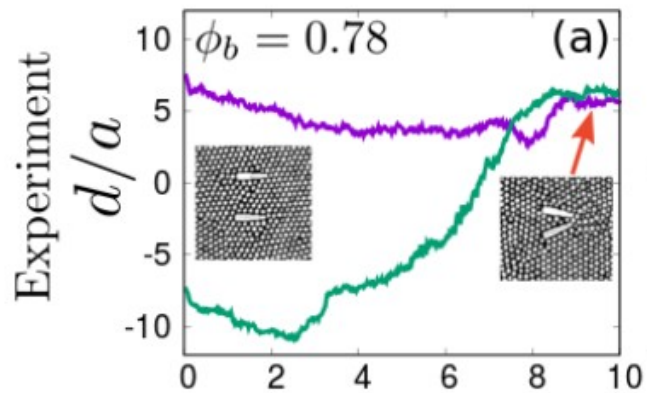
Principal axis  
of strain

Particle trajectories with  
and without effect of strain



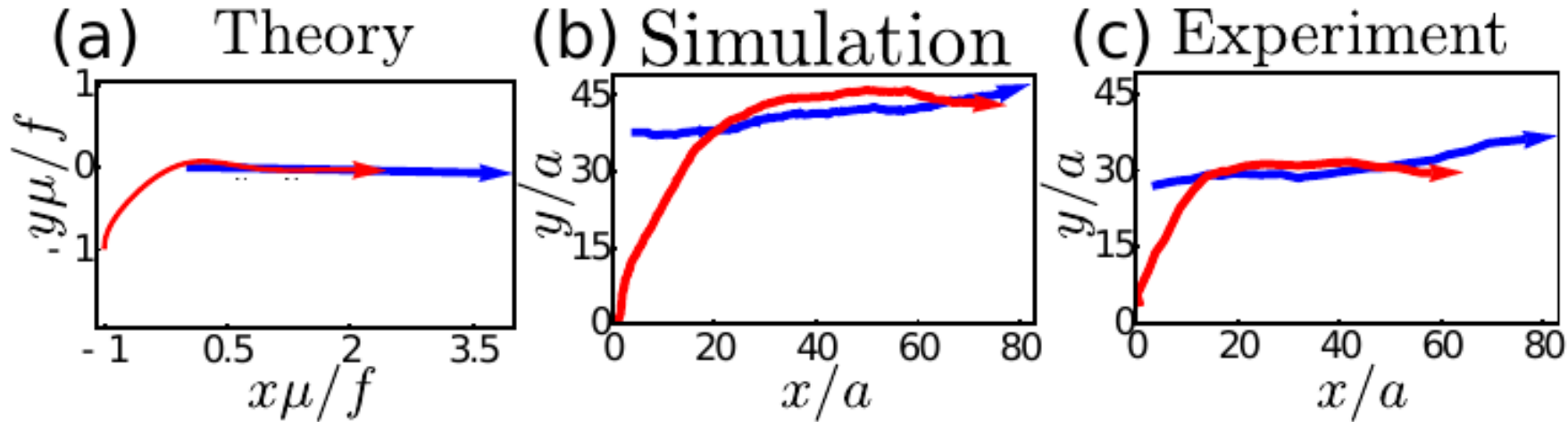
$$\frac{d\mathbf{n}}{dt} = (\mathbf{I} - \mathbf{n}\mathbf{n}) \cdot (\gamma_1 \nabla^2 \mathbf{u} + \gamma_2 \nabla \nabla \cdot \mathbf{u} + \kappa \boldsymbol{\varepsilon} \cdot \mathbf{n})$$

# Capture in experiment and simulation



Repel when beads  
are fluid

# Non-reciprocal interaction



(arXiv:2007.04860)

Particle in front gets no indication of particle behind  
Pursuer particle senses distortion field of pursued particle!

pursuit and capture: laboratory experiment

pursuit and capture: numerical experiment

# SUMMARY

- **General framework for “powered” matter**
  - from equilibrium Langevin to active dynamics
  - 1-particle models, broken-symmetry hydrodynamics
- **Instability and superstability**
  - flocks in fluid unstable without inertia; surprises in confinement
- **Motility in dense media – fluid and elastic**
  - flow and strain as signals; non-reciprocal pursuit and capture