



International School on Probing Hadron Structure at the EIC

29 January - 2 February, 2024



International School and Workshop on Probing Hadron Structure at the Electron-Ion Collider

School (January 29-February 3, 2024)

Topics of lectures:

- (i) Pedagogical lectures on QCD and physics of EIC
- (ii) Elastic and deep inelastic scattering (iii) Exclusive processes
- (iv) Single spin asymmetries and TMDs (v) Hadron structure in experiments (vi) Heavy ion physics



Date and time	Mon, 29 Jan	Tue, 30 Jan	Wed, 31 Jan	Thu, 1 Feb	Fri, 2 Feb	Sat, 3 Feb
09:15 - 09:30	Opening remarks					
09:30 - 10:30	PEIC	PEIC	EP	HSE	EP	Informal Discussion
10:30 - 11:00	Tea/Coffee					
11:00 - 12:00	EDIS	EDIS	HSE	SSA	HIP	Informal Discussion
12:00 - 13:00	PEIC	PEIC	EP	HIP	EP	
13:00 - 14:30	Lunch					
14:30 - 15:30	EDIS	EDIS	SSA	SSA	HIP	
15:30 - 16:00	Tea/Coffee					
16:00 - 17:00	EDIS	EDIS	SSA	HIP	Interactive session on data analysis	
17:00 - 18:00	Discussion	SSA	HIP	HSE	Interactive session on data analysis	

“Pedagogical QCD” :

Marco Radici
INFN - Pavia





International School on Probing Hadron Structure at the EIC

29 January - 2 February, 2024



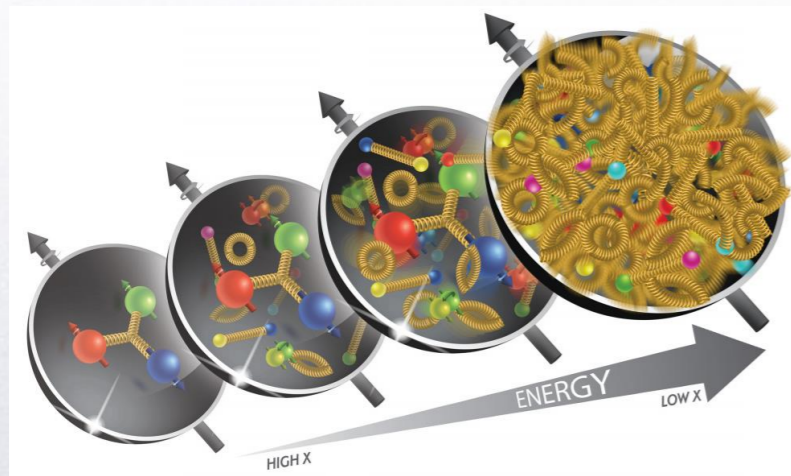
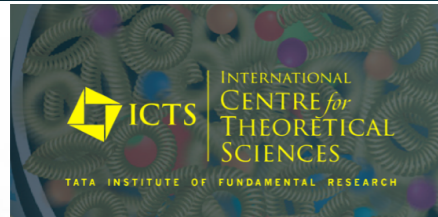
International School and Workshop on Probing Hadron Structure at the Electron-Ion Collider

School (January 29-February 3, 2024)

Topics of lectures:

- (i) Pedagogical lectures on QCD and physics of EIC
- (ii) Elastic and deep inelastic scattering (iii) Exclusive processes
- (iv) Single spin asymmetries and TMDs (v) Hadron structure in experiments (vi) Heavy ion physics

Date and time	Mon, 29 Jan	Tue, 30 Jan	Wed, 31 Jan	Thu, 1 Feb	Fri, 2 Feb	Sat, 3 Feb
09:15 - 09:30	Opening remarks					
09:30 - 10:30	PEIC	PEIC	EP	HSE	EP	Informal Discussion
10:30 - 11:00	Tea/Coffee					
11:00 - 12:00	EDIS	EDIS	HSE	SSA	HIP	Informal Discussion
12:00 - 13:00	PEIC	PEIC	EP	HIP	EP	
13:00 - 14:30	Lunch					
14:30 - 15:30	EDIS	EDIS	SSA	SSA	HIP	
15:30 - 16:00	Tea/Coffee					
16:00 - 17:00	EDIS	EDIS	SSA	HIP	Interactive session on data analysis	
17:00 - 18:00	Discussion	SSA	HIP	HSE	Interactive session on data analysis	



“Pedagogical QCD” : main features and open problems

Marco Radici
INFN - Pavia





Useful references



• Books

- Peskin-Schroeder - *Quantum Field Theory*
- Muta - *Foundations of Quantum Chromodynamics*
- Collins - *Foundations of perturbative QCD*
- Ellis-Stirling-Webber - *QCD and Collider Physics*
- Devenish-CooperSarkar - *Deep Inelastic Scattering*
- Field - *Applications of Perturbative QCD*
- Aitchison-Hey - *Gauge Theories in Particle Physics*
- Roberts - *The structure of the proton*



• Lecture notes & Handbooks

- R. Jaffe - Erice School <https://arxiv.org/pdf/hep-ph/9602236.pdf>
- CTEQ Handbook of perturbative QCD <https://www.physics.smu.edu/scalise/cteq/handbook/v1.1/handbook.pdf>

• Papers

- references added to slide when needed



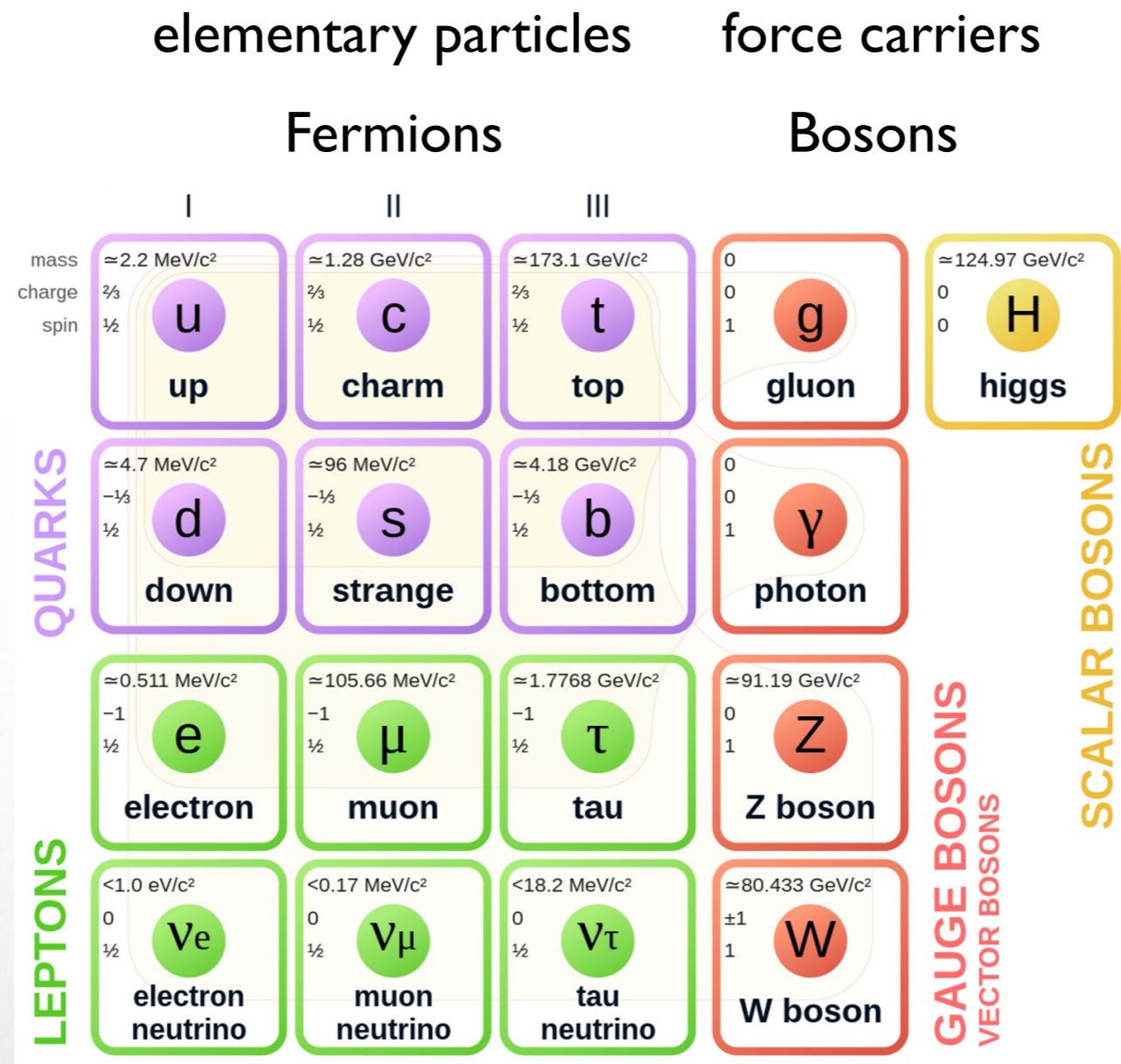
- ① the Standard Model: why is Quantum ChromoDynamics so “exotic” ?
(QCD)
- ② Factorization Theorems, evolution equations and all that
- ③ open problems
 - where do the Nucleon mass and spin come from?
 - beyond the collinear approximation
 - chiral-odd structures
 - nuclear matter effects
 - saturation: a new state of matter ?



① the Standard Model: why is QCD so “exotic” ?

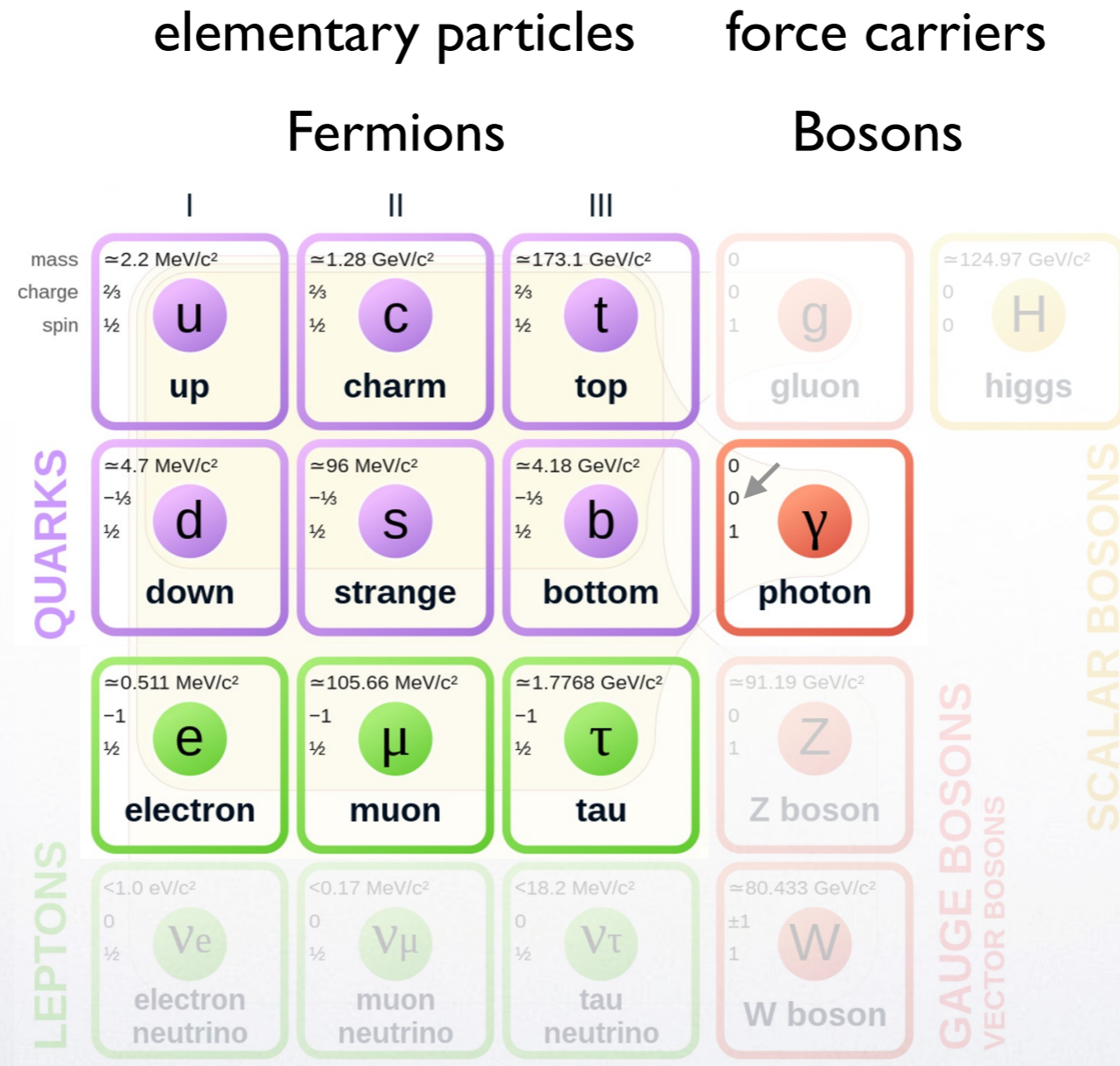


the Standard Model





the Standard Model



electromagnetic interactions
massless, neutral photon



the Standard Model



elementary particles

force carriers

Fermions

Bosons

	I	II	III		
mass	$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 124.97 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
	u up	c charm	t top	g gluon	H higgs
	d down	s strange	b bottom	γ photon	
	e electron	μ muon	τ tau	Z Z boson	
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	

QUARKS (vertical label on the left of the quark section)

LEPTONS (vertical label on the left of the lepton section)

GAUGE BOSONS VECTOR BOSONS (vertical label on the right of the gauge boson section)

SCALAR BOSONS (vertical label on the right of the scalar boson section)

strong interactions

massless, neutral
but colored gluon



the Standard Model



elementary particles

force carriers

Fermions

Bosons

	I	II	III		
mass	$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 124.97 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
	u up	c charm	t top	g gluon	H higgs
QUARKS	$\approx 4.7 \text{ MeV}/c^2$	$\approx 96 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	d down	s strange	b bottom	γ photon	
LEPTONS	$\approx 0.511 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1.7768 \text{ GeV}/c^2$	$\approx 91.19 \text{ GeV}/c^2$	
	-1	-1	-1	0	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	e electron	μ muon	τ tau	Z Z boson	
	$< 1.0 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 18.2 \text{ MeV}/c^2$	$\approx 80.433 \text{ GeV}/c^2$	
	0	0	0	± 1	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	

GAUGE BOSONS
VECTOR BOSONS

SCALAR BOSONS

weak interactions
 massive bosons
 neutral Z^0
 charged W^\pm

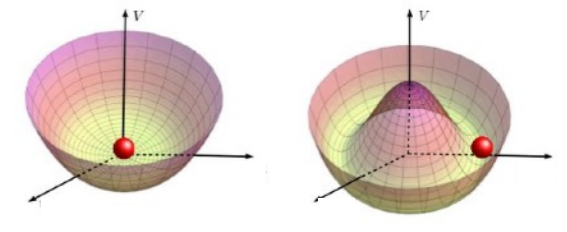


the Standard Model



		elementary particles			force carriers	
		Fermions			Bosons	
		I	II	III		
mass		$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 124.97 \text{ GeV}/c^2$
charge		$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
		u up	c charm	t top	g gluon	H higgs
	QUARKS	d down	s strange	b bottom	γ photon	
		$\approx 4.7 \text{ MeV}/c^2$	$\approx 96 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	
		$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0	
		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
		e electron	μ muon	τ tau	Z Z boson	
	LEPTONS	$\approx 0.511 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1.7768 \text{ GeV}/c^2$	$\approx 91.19 \text{ GeV}/c^2$	
		-1	-1	-1	0	
		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
		ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	
		$< 1.0 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 18.2 \text{ MeV}/c^2$	$\approx 80.433 \text{ GeV}/c^2$	
		0	0	0	± 1	
		$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
						SCALAR BOSONS
						GAUGE BOSONS VECTOR BOSONS

all particles (except ν) take their mass from interaction with Higgs boson through spontaneous breaking of a local symmetry



$\mu > 0$
 $\phi=0$ stable
unbroken
symmetry

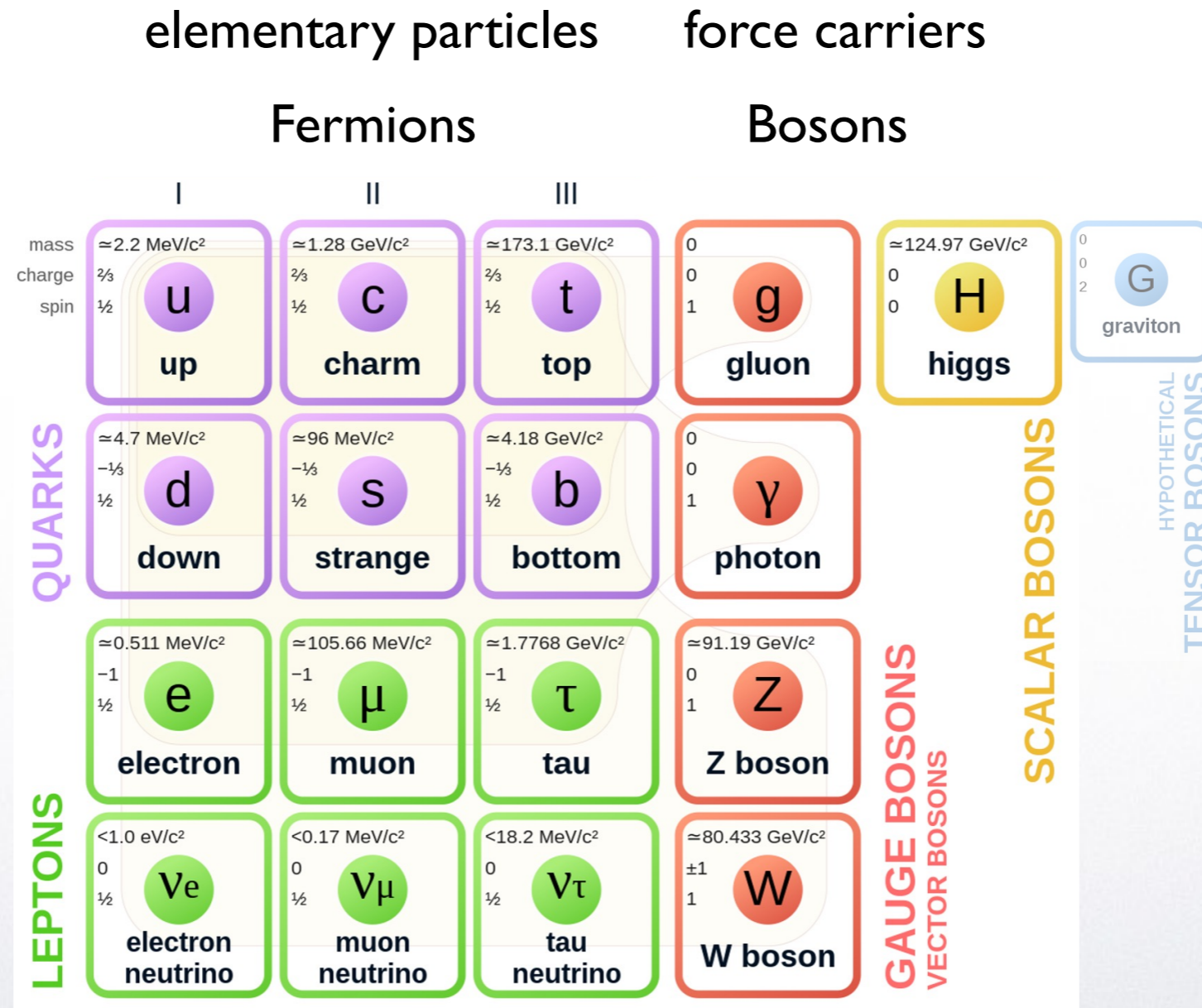
$\mu < 0$
 $\phi=0$ instable
broken
symmetry



the Standard Model



incomplete theory:
- quantum gravity?



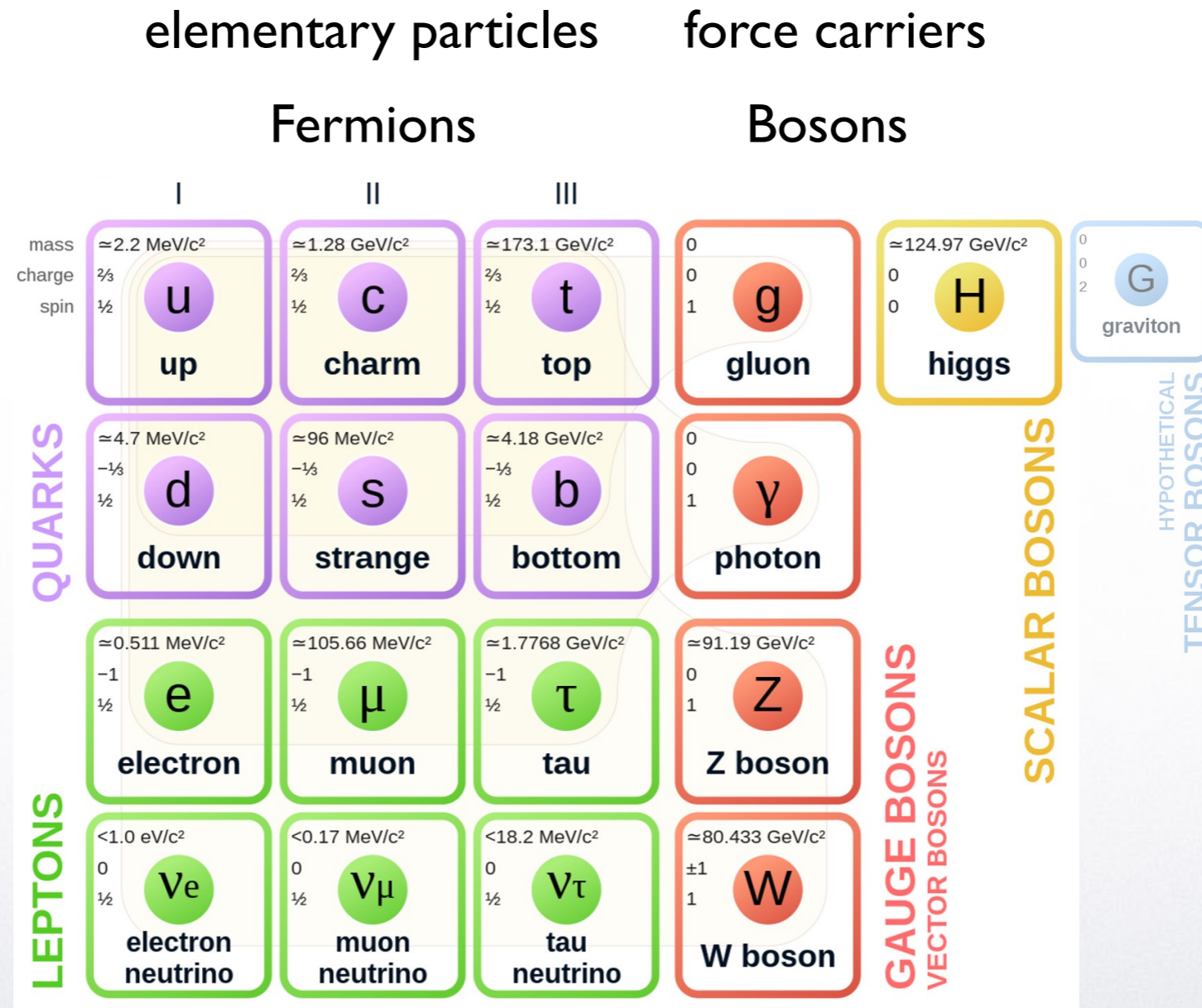


the Standard Model



incomplete theory:

- quantum gravity?
- why 3 generations?



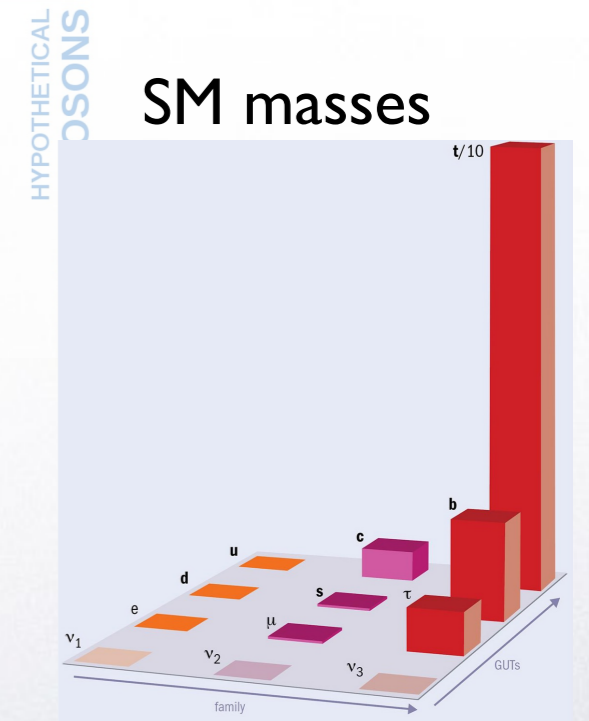
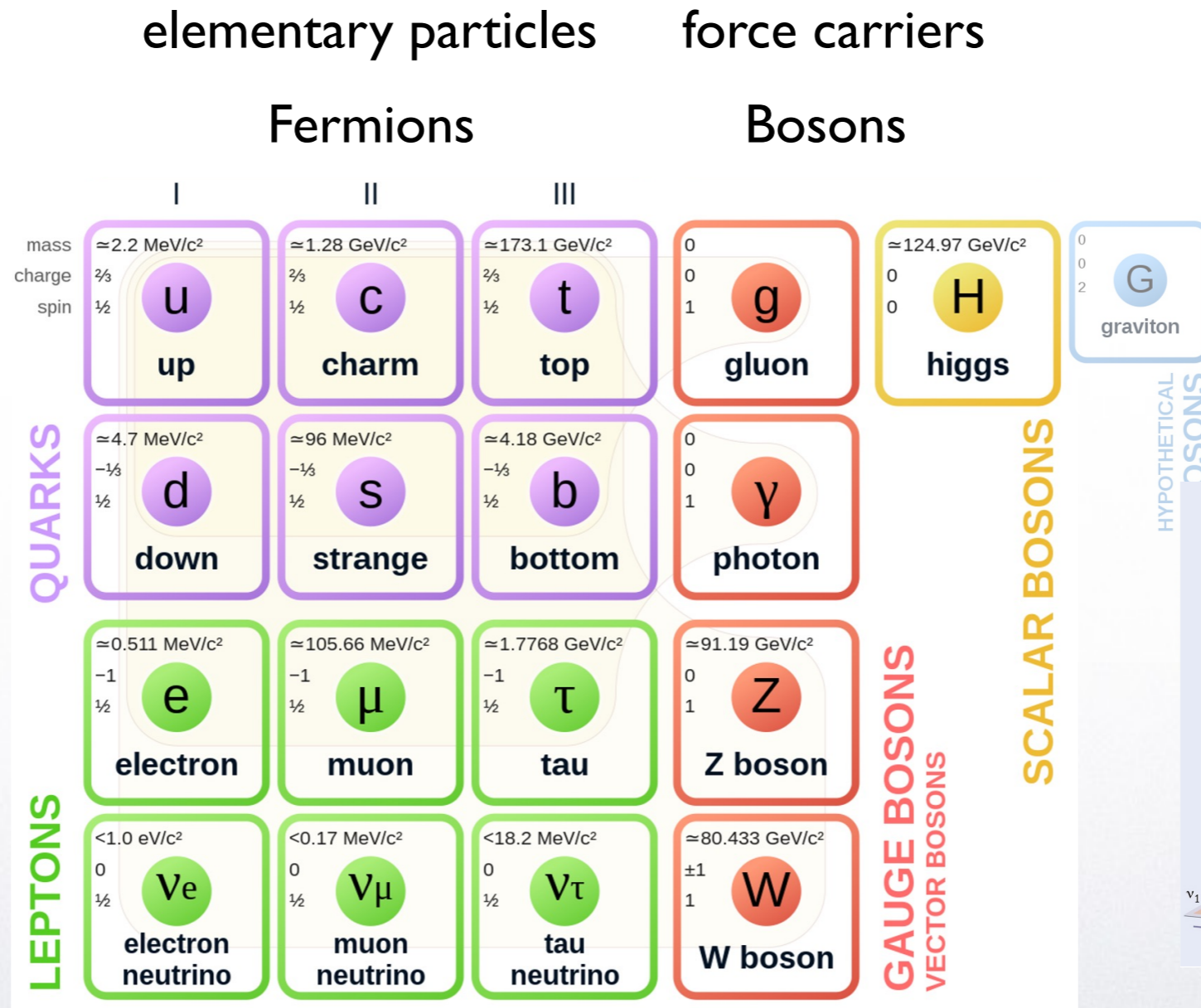


the Standard Model



incomplete theory:

- quantum gravity?
- why 3 generations?
- hierarchy?
- origin of ν masses?



$$m_{\text{Higgs}} < m_{\text{top}} ?$$



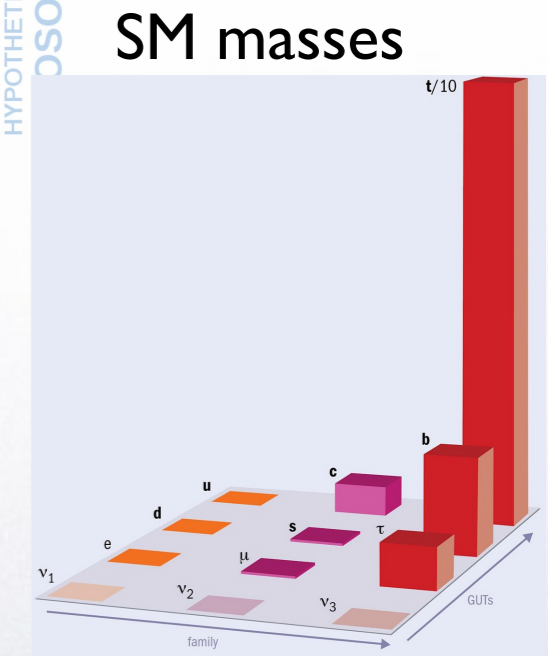
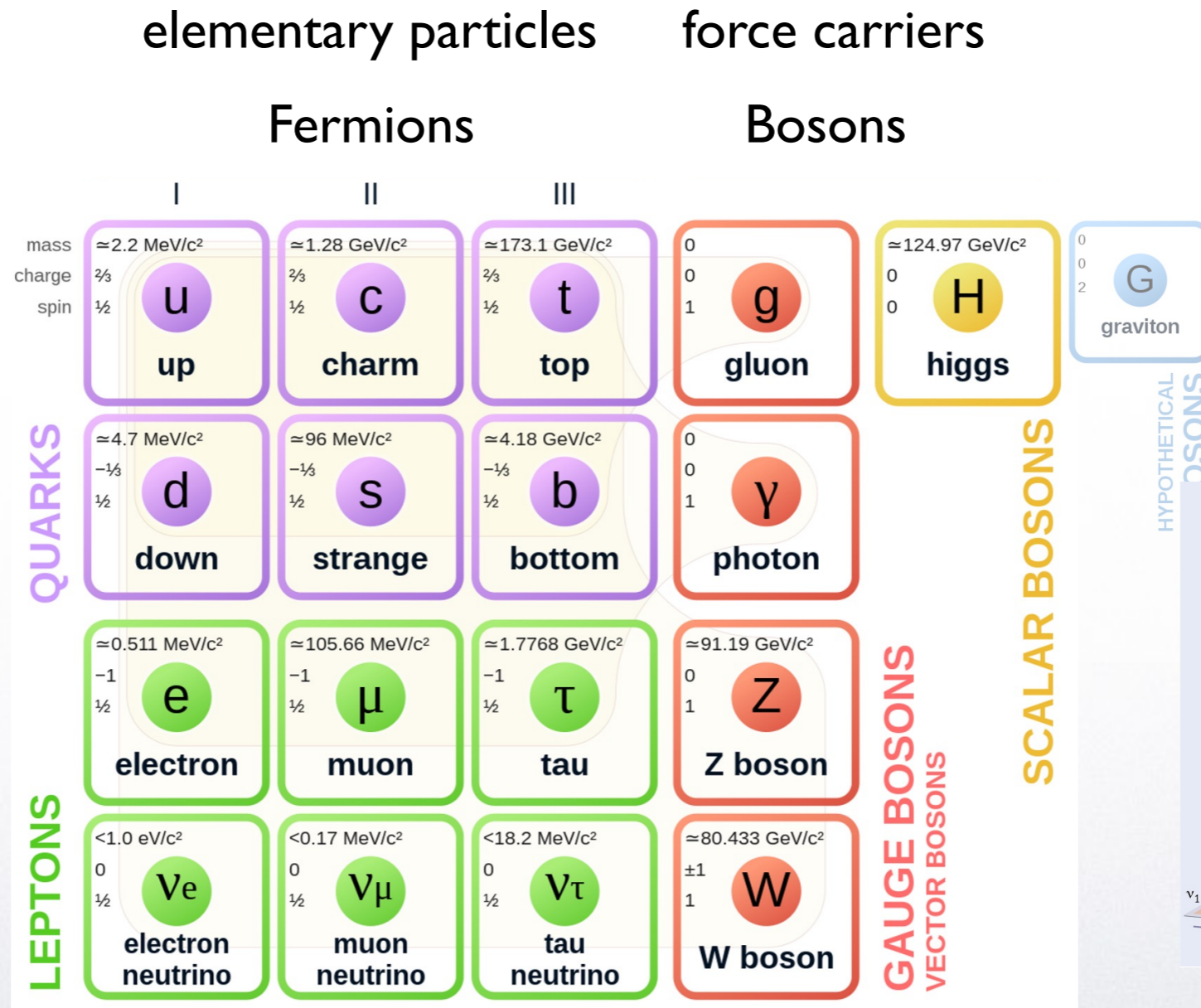
the Standard Model



incomplete theory:

- quantum gravity?
- why 3 generations?
- hierarchy?
- origin of ν masses?
- matter-antimatter asymmetry?
- dark matter & energy?
- muon g-2 anomaly?
- why is Physics so difficult?

....



$m_{\text{Higgs}} < m_{\text{top}} ?$



the Standard Model



elementary particles

force carriers

Fermions

Bosons

	I	II	III		
mass	$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 124.97 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
	u up	c charm	t top	g gluon	H higgs
	d down	s strange	b bottom	γ photon	
	e electron	μ muon	τ tau	Z Z boson	
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	

QUARKS (vertical label on the left of the quark section)

LEPTONS (vertical label on the left of the lepton section)

GAUGE BOSONS VECTOR BOSONS (vertical label on the right of the gauge boson section)

SCALAR BOSONS (vertical label on the right of the scalar boson section)

strong interactions

massless, neutral
but **colored** gluon



Theory of Strong Interactions: Quantum ChromoDynamics (QCD)

A renormalizable non-abelian gauge theory

The QCD Lagrangian

$$\mathcal{L}_{\text{QCD}} = \bar{\psi}(x) \left[i \gamma^\mu D_\mu - m \right] \psi(x) - \frac{1}{4} \left(F_{\mu\nu}^a \right)^2 + \mathcal{L}_{\text{gauge-fixing}}$$

with $F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c$ a, b, c color indices
(others understood)

ψ = Dirac quark field (particle) A^μ = vector gluon field (force carrier)



Theory of Strong Interactions: Quantum ChromoDynamics (QCD)

A renormalizable **non-abelian** gauge theory

The QCD Lagrangian

$$\mathcal{L}_{\text{QCD}} = \bar{\psi}(x) \left[i \gamma^\mu D_\mu - m \right] \psi(x) - \frac{1}{4} \left(F_{\mu\nu}^a \right)^2 + \mathcal{L}_{\text{gauge-fixing}}$$

with $F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c$ a, b, c color indices
(others understood)

ψ = Dirac quark field (particle) A^μ = vector gluon field (force carrier)

$D_\mu \equiv \partial_\mu - i g A_\mu^a t^a$ covariant derivative: makes \mathcal{L}_{QCD} locally gauge-invariant
identifies ψ - A interaction

$[t^a, t^b] = i f^{abc} t^c$ t = generators of gauge transformations
 f = fine structure constant (fully antisymmetric in color indices)
 $\Rightarrow F_{\mu\nu}^a = -F_{\nu\mu}^a$



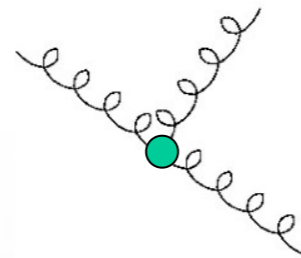
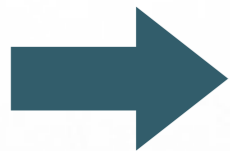
the “Maxwell” equations



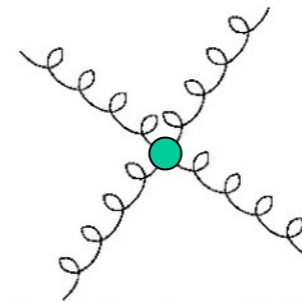
$$\mathcal{L}_{\text{QCD}} = \bar{\psi}(x) \left[i \gamma^\mu D_\mu - m \right] \psi(x) - \frac{1}{4} \left(F_{\mu\nu}^a \right)^2$$

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c$$

gluons are colored
can self-interact



trilinear



quadrilinear

couplings



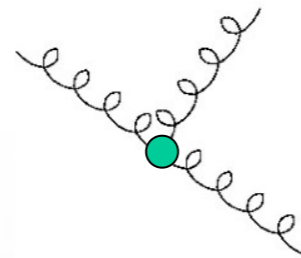
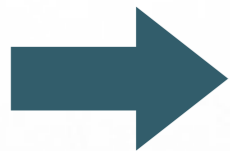
the “Maxwell” equations



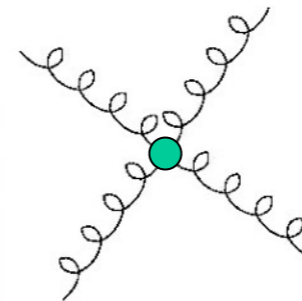
$$\mathcal{L}_{\text{QCD}} = \bar{\psi}(x) \left[i \gamma^\mu D_\mu - m \right] \psi(x) - \frac{1}{4} \left(F_{\mu\nu}^a \right)^2$$

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c$$

gluons are colored
can self-interact

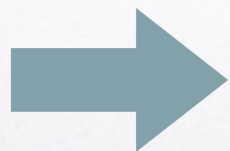


trilinear



quadrilinear

couplings



“Maxwell” equations for the vector field A $\frac{\partial \mathcal{L}_{\text{QCD}}}{\partial A_\mu^a} = \partial_\nu \frac{\partial \mathcal{L}_{\text{QCD}}}{\partial \partial_\nu A_\mu^a}$

$$\partial_\mu F^{\mu\nu a} + g f^{abc} A_\mu^b F^{\mu\nu c} = -g \bar{\psi} \gamma^\nu t^a \psi$$





the “Gauss” law



$$\partial_\mu F^{\mu\nu a} + g f^{abc} A_\mu^b F^{\mu\nu c} = -g \bar{\psi} \gamma^\nu t^a \psi$$

“Maxwell” equations for
vector field A

take $\nu = 0$

$$\partial_i F^{i0a} - g f^{abc} A_i^b F^{i0c} = -g \psi^\dagger \psi t^a$$



the “Gauss” law



$$\partial_\mu F^{\mu\nu a} + g f^{abc} A_\mu^b F^{\mu\nu c} = -g \bar{\psi} \gamma^\nu t^a \psi$$

“Maxwell” equations for
vector field A

take $\nu = 0$

$$\partial_i \underbrace{F^{i0a}} - g f^{abc} A_i^b F^{i0c} = -g \underbrace{\psi^\dagger \psi t^a}$$

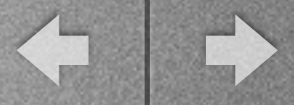
color electric field $E_i^a = F^{0ia}$

density of color charge a ρ^a

$$\partial_i E_i^a - g f^{abc} A_i^b E_i^c = g \rho^a$$



the “Gauss” law



$$\partial_\mu F^{\mu\nu a} + g f^{abc} A_\mu^b F^{\mu\nu c} = -g \bar{\psi} \gamma^\nu t^a \psi$$

“Maxwell” equations for vector field A

take $\nu = 0$

$$\partial_i \underbrace{F^{i0a}} - g f^{abc} A_i^b \underbrace{F^{i0c}} = -g \underbrace{\psi^\dagger \psi t^a}$$

color electric field $E_i^a = F^{0ia}$

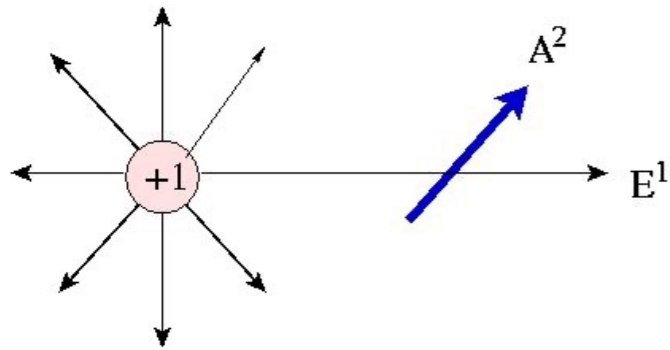
density of color charge a ρ^a

$$\partial_i E_i^a - g f^{abc} A_i^b E_i^c = g \rho^a$$

in Coulomb gauge $\nabla_i A_i^a = 0$, the Coulomb color potential generated by A_0^a ; then

$$D_i E_i^a = g \rho^a$$

is the “Gauss” law for color charge a



point-like color charge $a=1$
creates color electric field E_i^1

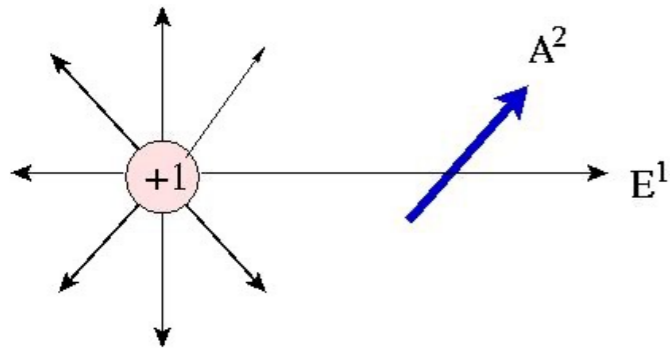
$$\partial_i E_i^1 = g \delta(\vec{x}) \delta_{a1}$$

then vacuum fluctuation A_i^2
with color charge **2**

$$\partial_i E_i^a - g f^{abc} A_i^b E_i^c = g \rho^a$$



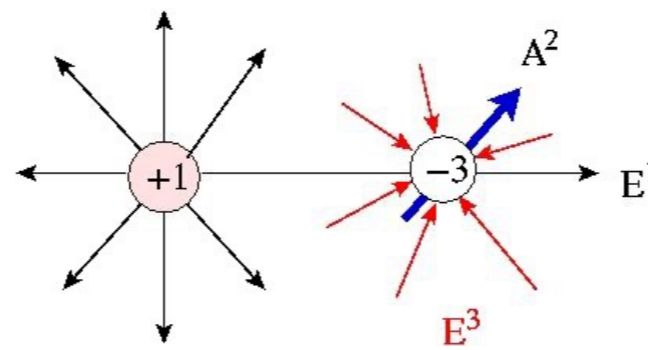
effect of the “Gauss” law



point-like color charge $a=1$
creates color electric field E_i^1

$$\partial_i E_i^1 = g \delta(\vec{x}) \delta_{a1}$$

then vacuum fluctuation A_i^2
with color charge **2**



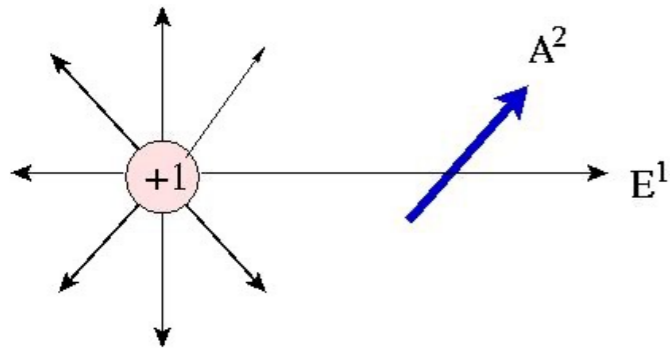
$$\begin{aligned} \partial_i E_i^3 &= g f^{321} A_i^2 E_i^1 \\ &= -g f^{123} A_i^2 E_i^1 \end{aligned}$$

fluctuation A_i^2 and field E_i^1
create a “sink” of color
electric field with charge **3**

$$\partial_i E_i^a - g f^{abc} A_i^b E_i^c = g \rho^a$$



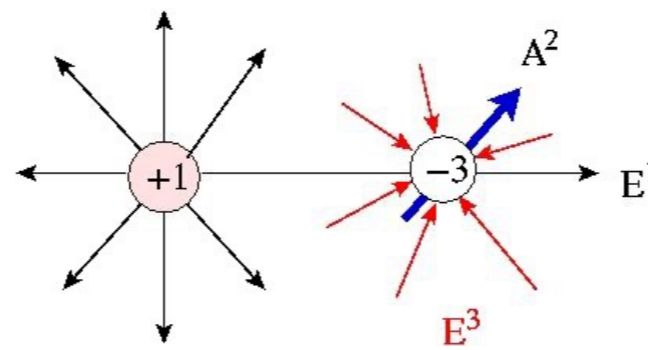
effect of the “Gauss” law



point-like color charge $a=1$
creates color electric field E_i^1

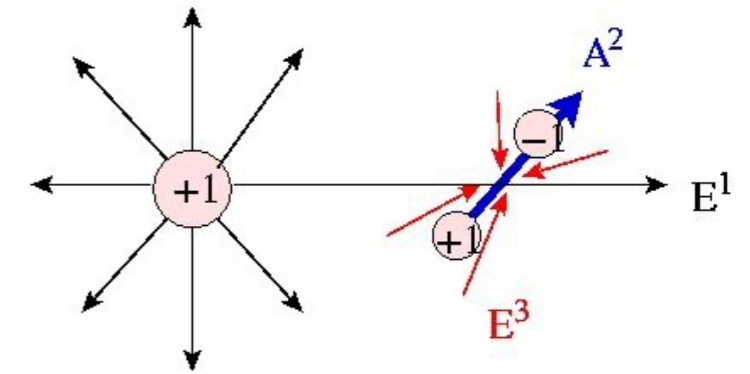
$$\partial_i E_i^1 = g \delta(\vec{x}) \delta_{a1}$$

then vacuum fluctuation A_i^2
with color charge **2**



$$\begin{aligned} \partial_i E_i^3 &= g f^{321} A_i^2 E_i^1 \\ &= -g f^{123} A_i^2 E_i^1 \end{aligned}$$

fluctuation A_i^2 and field E_i^1
create a “sink” of color
electric field with charge **3**



field E_i^3 contributes to field E_i^1
 $\partial_i E_i^1 = g \delta(\vec{x}) \delta_{a1} + \underbrace{g f^{123} A_i^2 E_i^3}$

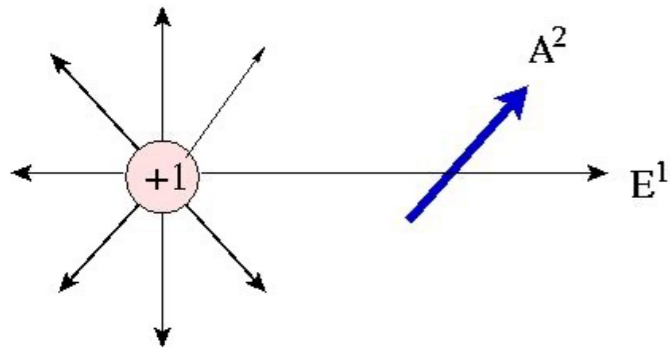
$$\begin{aligned} &\downarrow \\ > 0 \quad \vec{A}^2 \parallel \vec{E}^3 \\ < 0 \quad \vec{A}^2 \parallel^{-1} \vec{E}^3 \end{aligned}$$

creates gradient of field E_i^1
pointing toward charge $a=1$

$$\partial_i E_i^a - g f^{abc} A_i^b E_i^c = g \rho^a$$



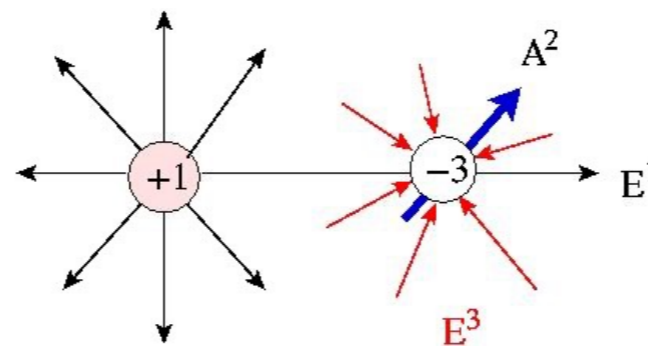
effect of the “Gauss” law



point-like color charge $a=1$
creates color electric field E_i^1

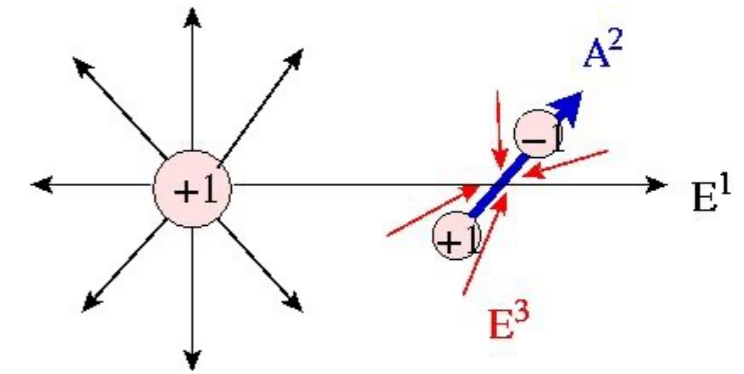
$$\partial_i E_i^1 = g \delta(\vec{x}) \delta_{a1}$$

then vacuum fluctuation A_i^2
with color charge **2**



$$\begin{aligned} \partial_i E_i^3 &= g f^{321} A_i^2 E_i^1 \\ &= -g f^{123} A_i^2 E_i^1 \end{aligned}$$

fluctuation A_i^2 and field E_i^1
create a “sink” of color
electric field with charge **3**



field E_i^3 contributes to field E_i^1
 $\partial_i E_i^1 = g \delta(\vec{x}) \delta_{a1} + \underbrace{g f^{123} A_i^2 E_i^3}_{> 0}$

$$\begin{aligned} > 0 & \vec{A}^2 \parallel \vec{E}^3 \\ < 0 & \vec{A}^2 \parallel^{-1} \vec{E}^3 \end{aligned}$$

creates gradient of field E_i^1
pointing toward charge $a=1$

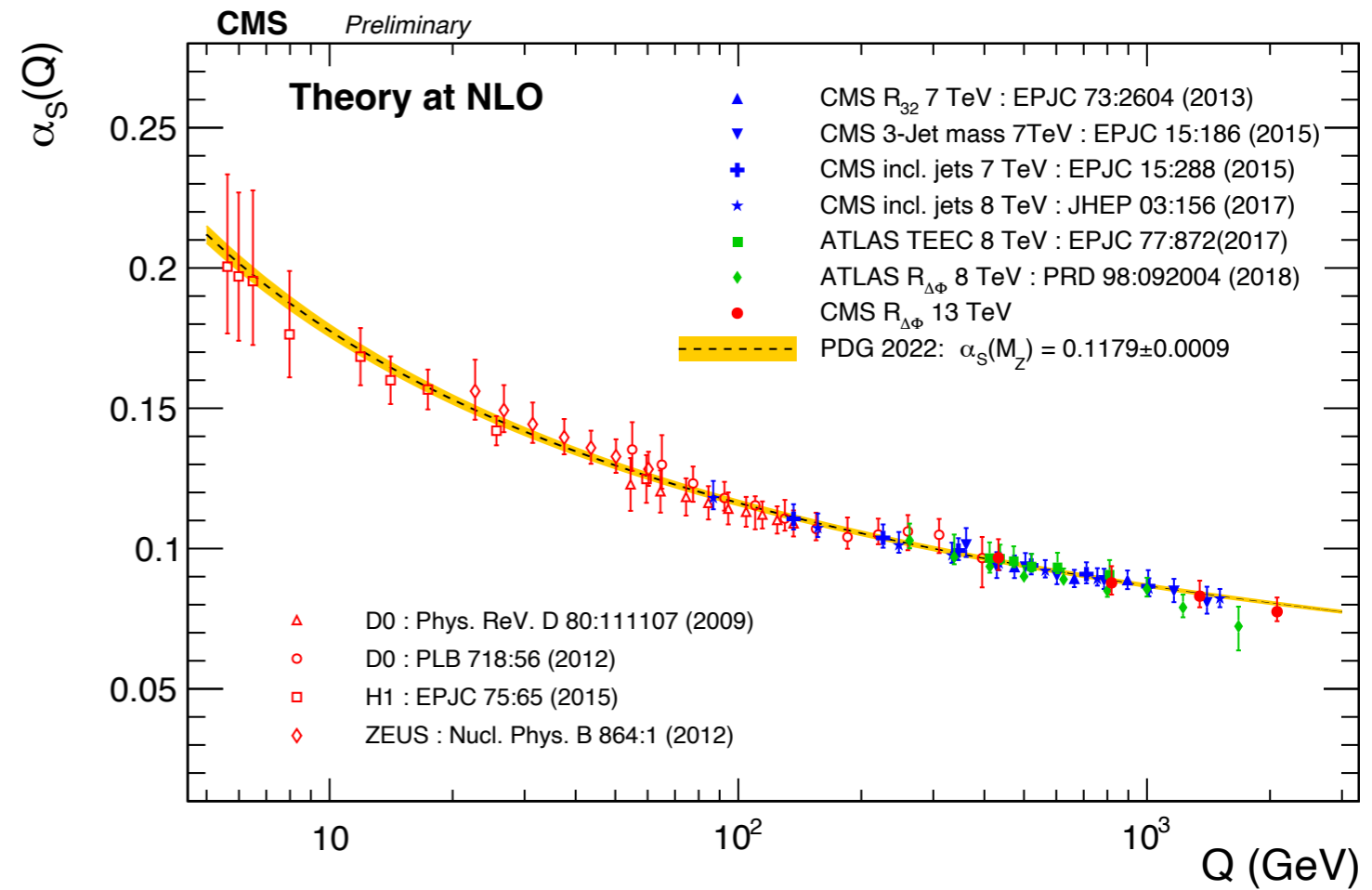
$$\partial_i E_i^a - g f^{abc} A_i^b E_i^c = g \rho^a$$



getting away from source, the
color charge $a=1$ looks stronger!
antiscreening



running of coupling constant



Available on the CERN CDS information server

CMS PAS SMP-22-005

CMS Physics Analysis Summary

Contact: cms-pag-conveners-smp@cern.ch

2023/08/24

Measurement of azimuthal correlations among jets and determination of the strong coupling in pp collisions at $\sqrt{s} = 13$ TeV

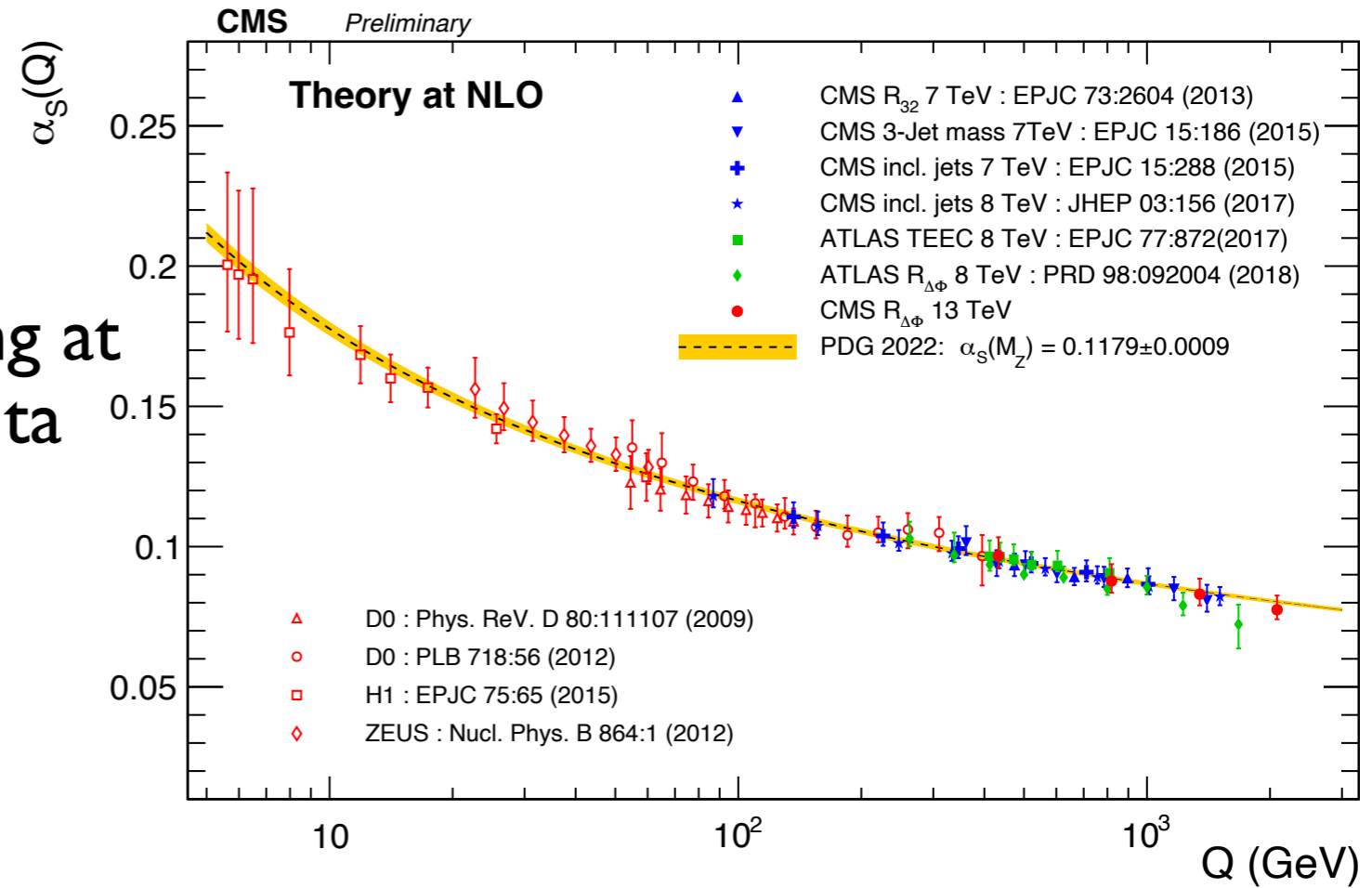
The CMS Collaboration



running of coupling constant



antiscreening overwhelms screening at larger distances \rightarrow smaller momenta



Available on the CERN CDS information server

CMS PAS SMP-22-005

CMS Physics Analysis Summary

Contact: cms-pag-conveners-smp@cern.ch

2023/08/24

Measurement of azimuthal correlations among jets and determination of the strong coupling in pp collisions at $\sqrt{s} = 13$ TeV

The CMS Collaboration



QED

QCD

dimensionless coupling $g \rightarrow$ renormalizable field theory

invariance of physics from renormalisation scale $\mu_R \rightarrow$ Callan-Symanzik equations



$$\frac{d g(t)}{d t} = \beta(g(t))$$

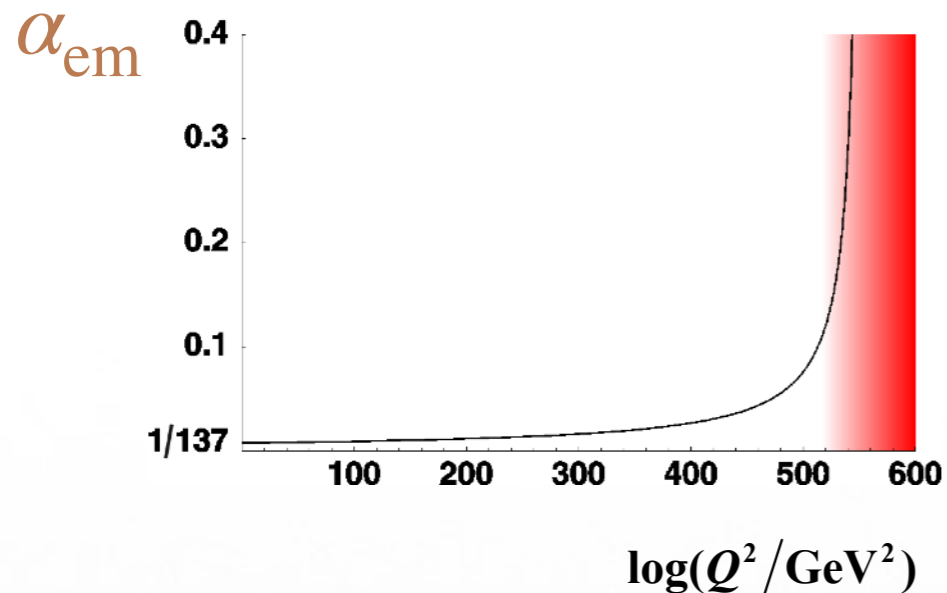
$$t = \log \frac{Q^2}{\mu_R^2}$$



running of coupling constant



QED



QCD

dimensionless coupling $g \rightarrow$ renormalizable field theory

invariance of physics from renormalisation scale $\mu_R \rightarrow$ Callan-Symanzik equations

$$g \rightarrow \alpha_{\text{em}} = \frac{e^2}{4\pi}$$

$$\beta_{\text{QED}} = \frac{e^3}{12\pi^2} > 0$$

$$\frac{d g(t)}{d t} = \beta(g(t))$$

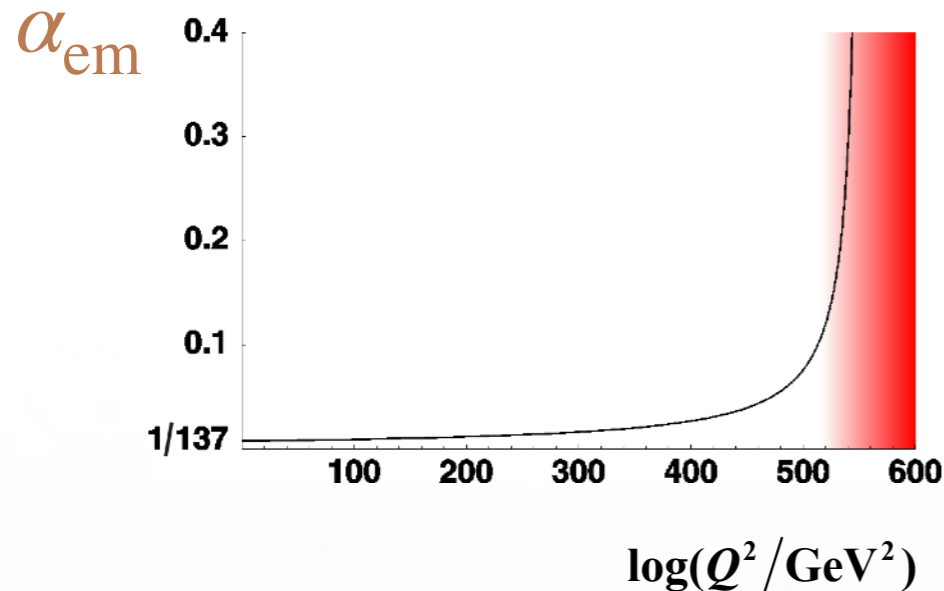
$$t = \log \frac{Q^2}{\mu_R^2}$$



running of coupling constant



QED



QCD

dimensionless coupling $g \rightarrow$ renormalizable field theory

invariance of physics from renormalisation scale $\mu_R \rightarrow$ Callan-Symanzik equations

$$g \rightarrow \alpha_{\text{em}} = \frac{e^2}{4\pi}$$

$$\beta_{\text{QED}} = \frac{e^3}{12\pi^2} > 0$$

$$\frac{dg(t)}{dt} = \beta(g(t))$$

$$t = \log \frac{Q^2}{\mu_R^2}$$

$$g \rightarrow \alpha_s = \frac{g^2}{4\pi}$$

$$\beta_{\text{QCD}} = -\alpha_s^2 (\beta_0 + \beta_1 \alpha_s + \dots)$$

$$\beta_0 = \frac{1}{4\pi} \left(11 - \frac{2n_f}{3} \right)$$

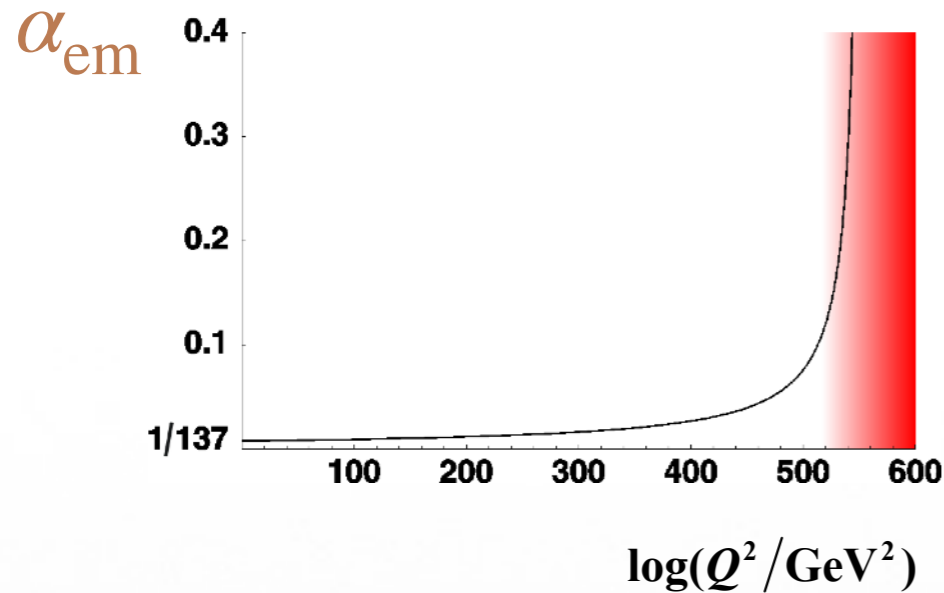
$$\alpha_s(Q^2) = \frac{1}{\beta_0 \log(Q^2/\Lambda^2)} \left(1 - \frac{\beta_1}{\beta_0} \frac{\log \log(Q^2/\Lambda^2)}{\log(Q^2/\Lambda^2)} \right)$$



running of coupling constant



QED



QCD

dimensionless coupling $g \rightarrow$ renormalizable field theory

invariance of physics from renormalisation scale $\mu_R \rightarrow$ Callan-Symanzik equations

$$g \rightarrow \alpha_{\text{em}} = \frac{e^2}{4\pi}$$

$$\beta_{\text{QED}} = \frac{e^3}{12\pi^2} > 0$$

$$\frac{d g(t)}{d t} = \beta(g(t))$$

$$t = \log \frac{Q^2}{\mu_R^2}$$

$$g \rightarrow \alpha_s = \frac{g^2}{4\pi}$$

$$\beta_{\text{QCD}} = -\alpha_s^2 (\beta_0 + \beta_1 \alpha_s + \dots)$$

$$< 0 \quad \beta_0 = \frac{1}{4\pi} \left(11 - \frac{2n_f}{3} \right)$$

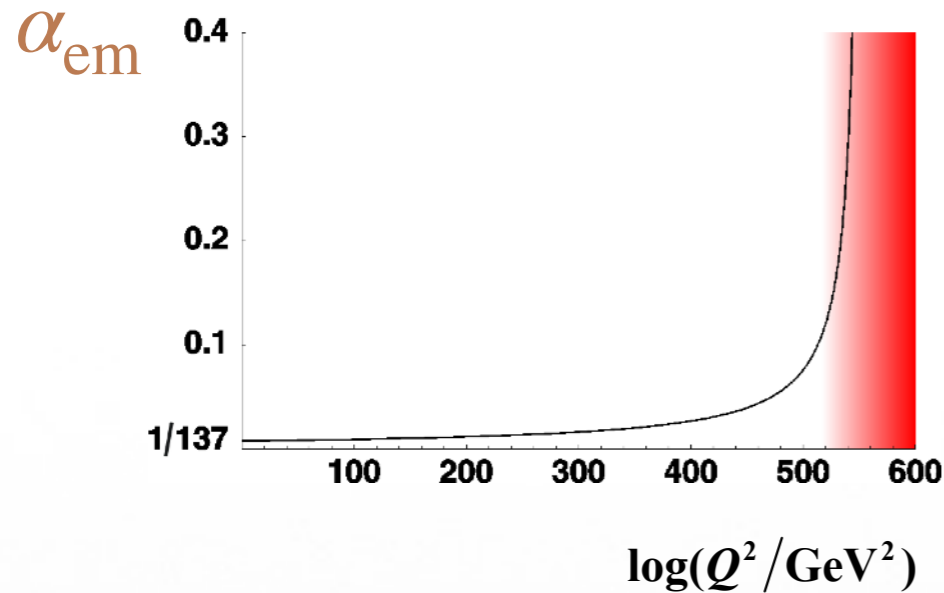
$$\alpha_s(Q^2) = \frac{1}{\beta_0 \log(Q^2/\Lambda^2)} \left(1 - \frac{\beta_1}{\beta_0} \frac{\log \log(Q^2/\Lambda^2)}{\log(Q^2/\Lambda^2)} \right)$$



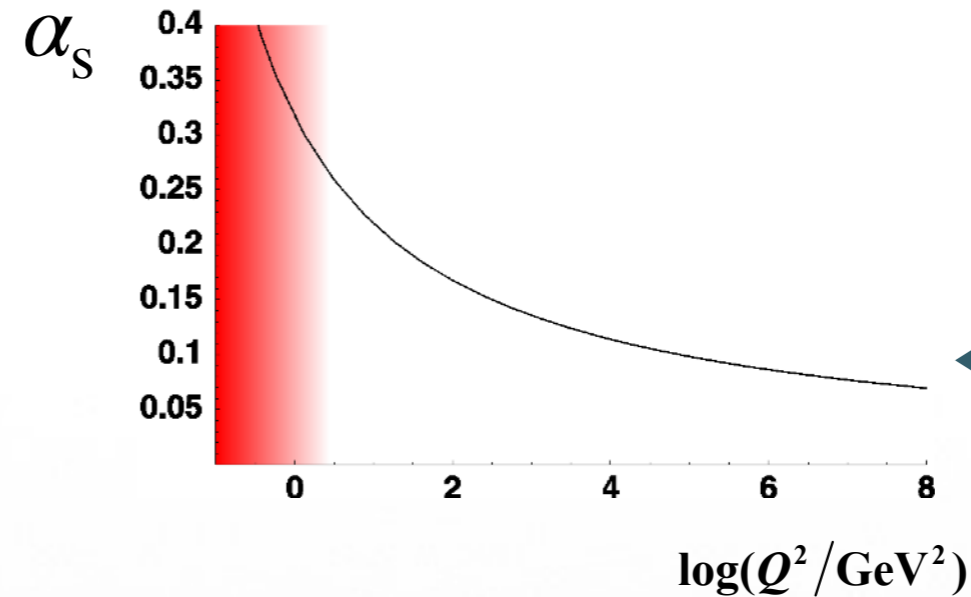
running of coupling constant



QED



QCD



asymtotic freedom

exclusive feature of 4-dim renormalizable non-abelian gauge field theories

invariance of physics from renormalisation scale $\mu_R \rightarrow$ Callan-Symanzik equations

$$g \rightarrow \alpha_{em} = \frac{e^2}{4\pi}$$

$$\beta_{\text{QED}} = \frac{e^3}{12\pi^2} > 0$$

$$\frac{dg(t)}{dt} = \beta(g(t))$$

$$t = \log \frac{Q^2}{\mu_R^2}$$

$$g \rightarrow \alpha_s = \frac{g^2}{4\pi}$$

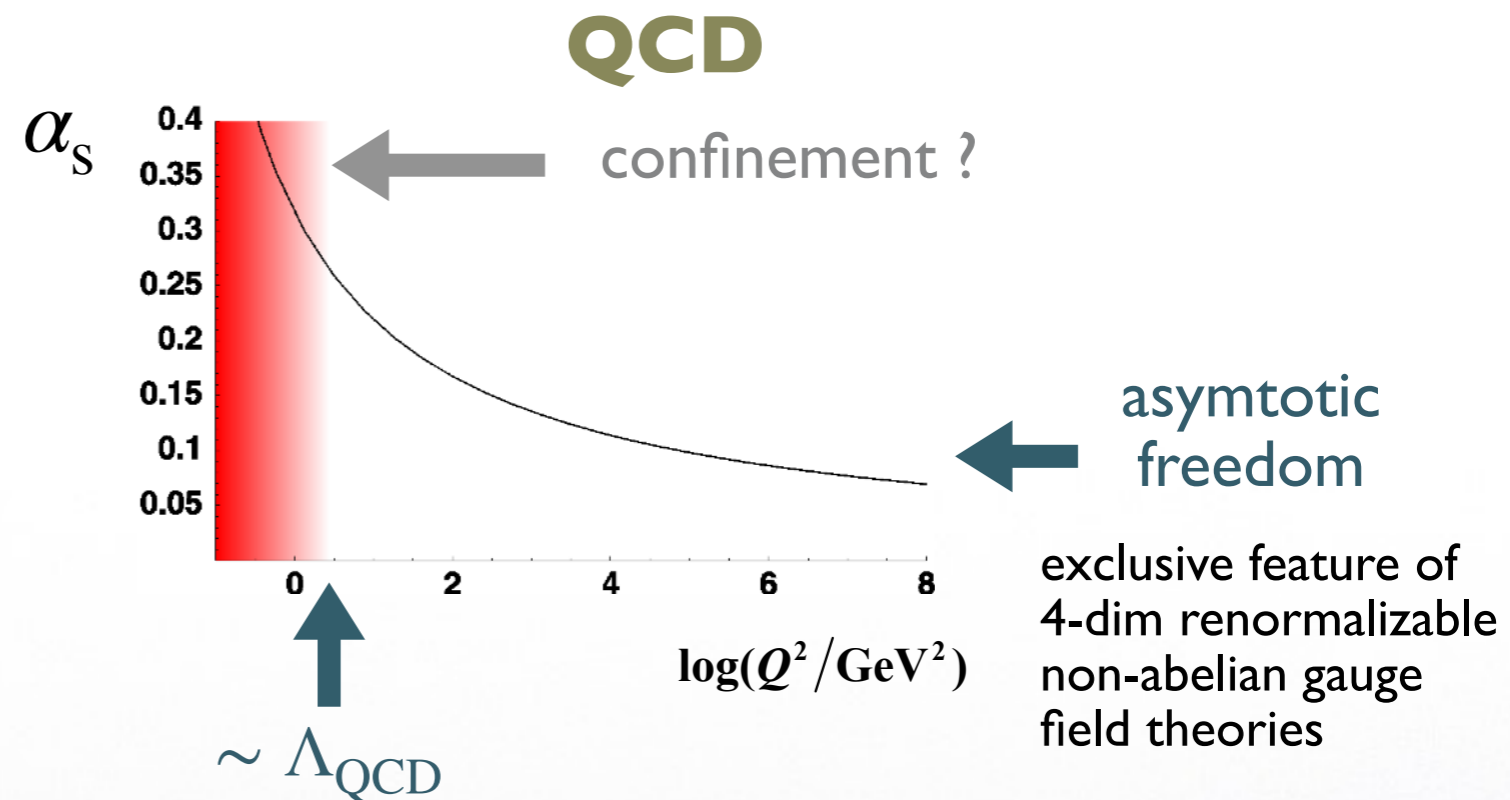
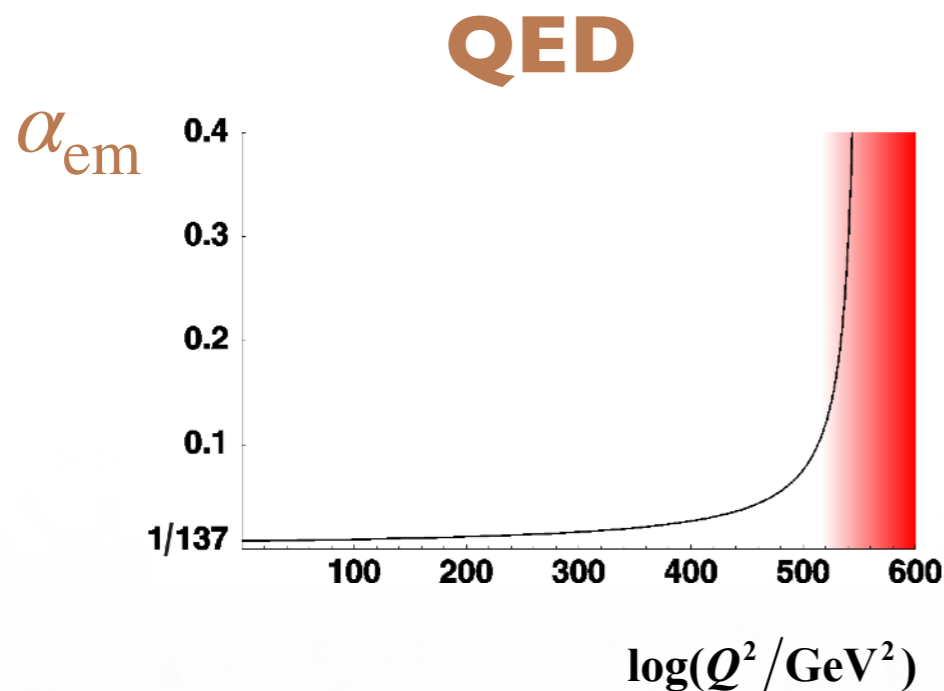
$$\beta_{\text{QCD}} = -\alpha_s^2 (\beta_0 + \beta_1 \alpha_s + \dots)$$

$$< 0 \quad \beta_0 = \frac{1}{4\pi} \left(11 - \frac{2n_f}{3} \right)$$

$$\alpha_s(Q^2) = \frac{1}{\beta_0 \log(Q^2/\Lambda^2)} \left(1 - \frac{\beta_1}{\beta_0} \frac{\log \log(Q^2/\Lambda^2)}{\log(Q^2/\Lambda^2)} \right)$$



running of coupling constant



invariance of physics from renormalisation scale $\mu_R \rightarrow$ Callan-Symanzik equations

$$g \rightarrow \alpha_{em} = \frac{e^2}{4\pi}$$

$$\beta_{\text{QED}} = \frac{e^3}{12\pi^2} > 0$$

$$\frac{dg(t)}{dt} = \beta(g(t))$$

$$t = \log \frac{Q^2}{\mu_R^2}$$

$$g \rightarrow \alpha_s = \frac{g^2}{4\pi}$$

$$\beta_{\text{QCD}} = -\alpha_s^2 (\beta_0 + \beta_1 \alpha_s + \dots)$$

< 0

$$\beta_0 = \frac{1}{4\pi} \left(11 - \frac{2n_f}{3} \right)$$

$$\alpha_s(Q^2) = \frac{1}{\beta_0 \log(Q^2/\Lambda^2)} \left(1 - \frac{\beta_1}{\beta_0} \frac{\log \log(Q^2/\Lambda^2)}{\log(Q^2/\Lambda^2)} \right)$$



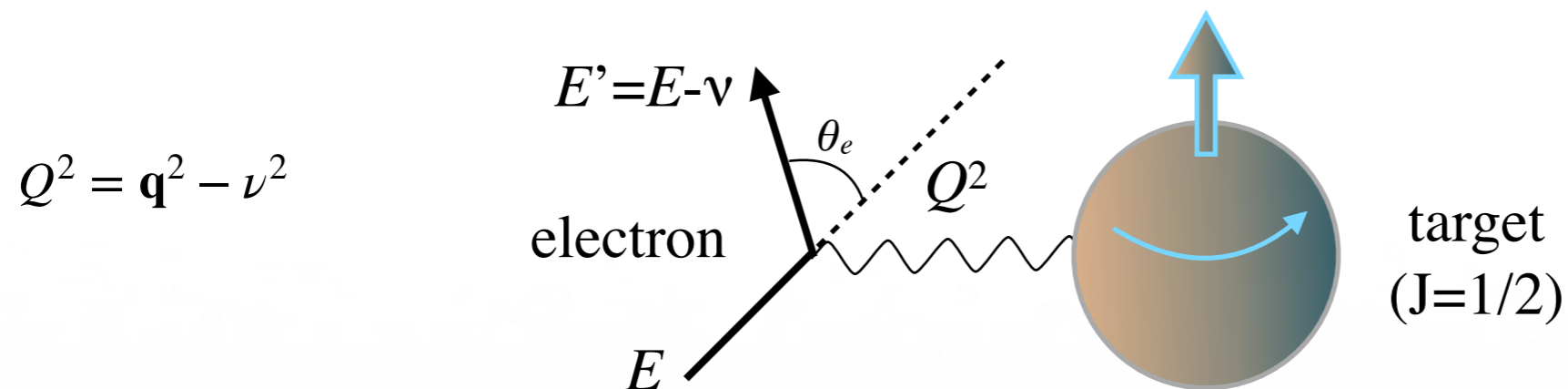
② Factorization Theorems, evolution equations and all that



Factorization Theorems



Example of probe - target interaction:
anelastic scattering of electron on a spin-1/2 target

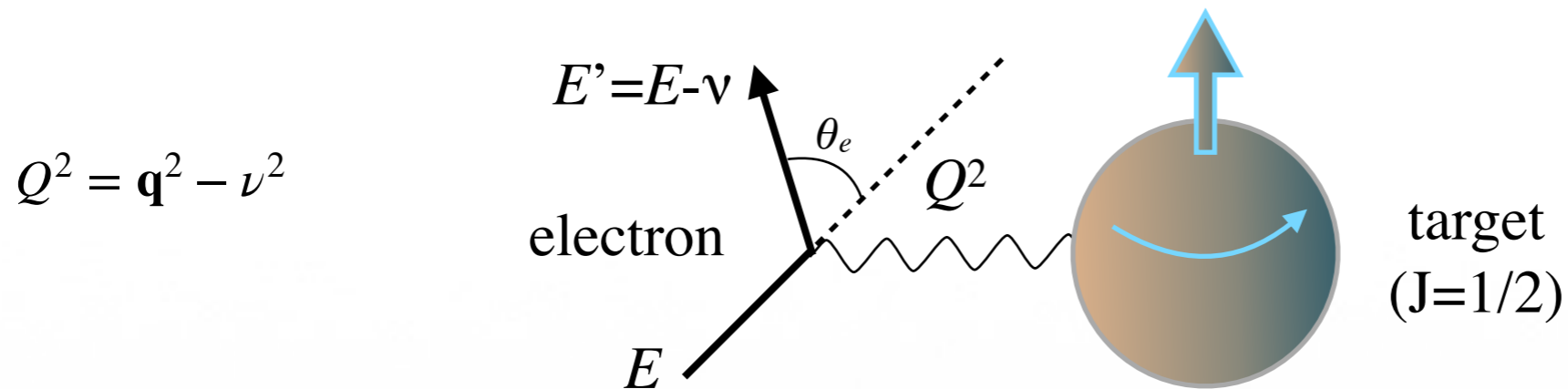




Factorization Theorems



Example of probe - target interaction:
anelastic scattering of electron on a spin-1/2 target



cross section differential in the solid angle Ω of the scattered electron:

Rosenbluth formula

$$\frac{d\sigma}{d\Omega} = \sigma_{\text{Mott}} \left[W_2(\nu, Q^2) + 2 W_1(\nu, Q^2) \tan^2 \frac{\theta_e}{2} \right]$$

Coulomb elastic scattering from pointlike charge

$$\sigma_{\text{Mott}} = \frac{4Z^2\alpha^2}{Q^4} \frac{E^3}{E} \cos^2 \frac{\theta_e}{2}$$

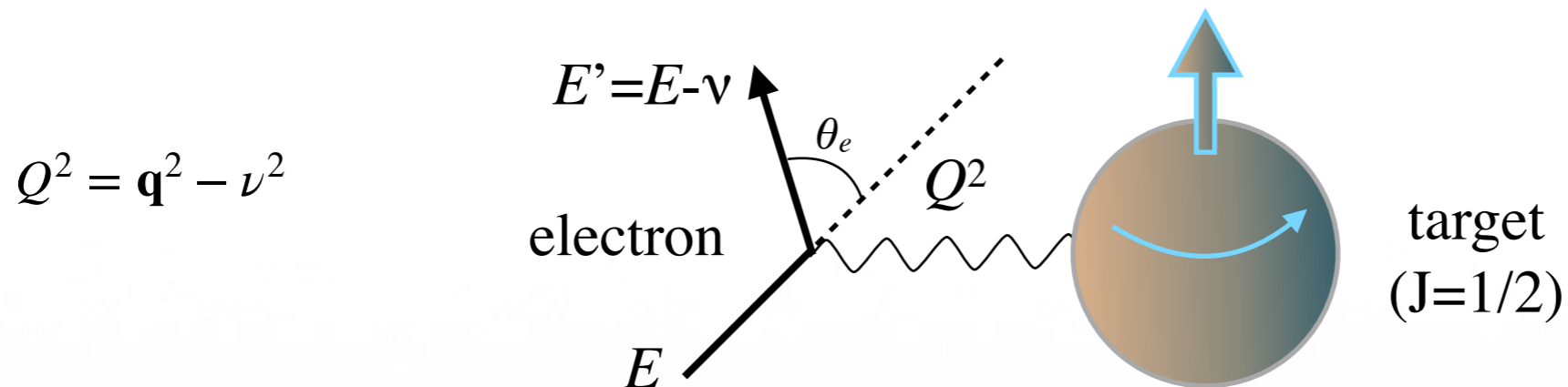
internal structure of target



Factorization Theorems



Example of probe - target interaction:
anelastic scattering of electron on a spin-1/2 target



cross section differential in the solid angle Ω of the scattered electron:

Rosenbluth formula
$$\frac{d\sigma}{d\Omega} = \sigma_{\text{Mott}} \left[W_2(\nu, Q^2) + 2 W_1(\nu, Q^2) \tan^2 \frac{\theta_e}{2} \right]$$

Coulomb elastic scattering from pointlike charge
$$\sigma_{\text{Mott}} = \frac{4Z^2\alpha^2}{Q^4} \frac{E^3}{E} \cos^2 \frac{\theta_e}{2}$$

internal structure of target

Factorization between target structure and interaction with probe



Factorization Theorems

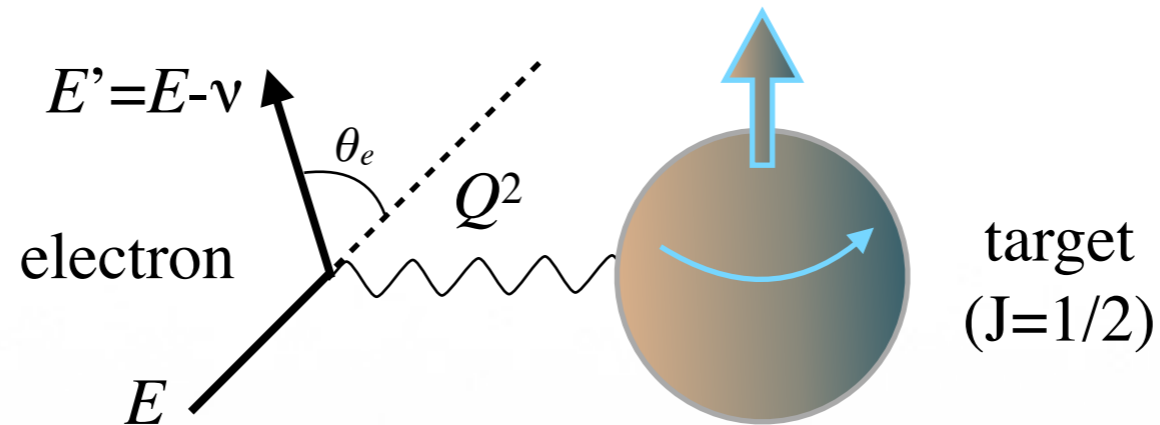


Example of probe - target interaction:

■ elastic scattering of electron on a spin-1/2 target

$$Q^2 = \mathbf{q}^2 - \nu^2$$

$$\nu = \frac{Q^2}{2M}$$



cross section differential in the solid angle Ω of the scattered electron:

Rosenbluth formula

$$\frac{d\sigma}{d\Omega} = \sigma_{\text{Mott}} \left[W_2(\blacksquare, Q^2) + 2 W_1(\blacksquare, Q^2) \tan^2 \frac{\theta_e}{2} \right]$$

Coulomb elastic scattering from pointlike charge

$$\sigma_{\text{Mott}} = \frac{4Z^2\alpha^2}{Q^4} \frac{E^3}{E} \cos^2 \frac{\theta_e}{2}$$

internal structure of target

Factorization between target structure and interaction with probe



Consider now the deep-inelastic regime: the basics of the parton model

$$Q^2 = -q^2 \rightarrow \infty \quad (\gg M)$$

$$x = \frac{Q^2}{2P \cdot q} \quad \text{fixed}$$

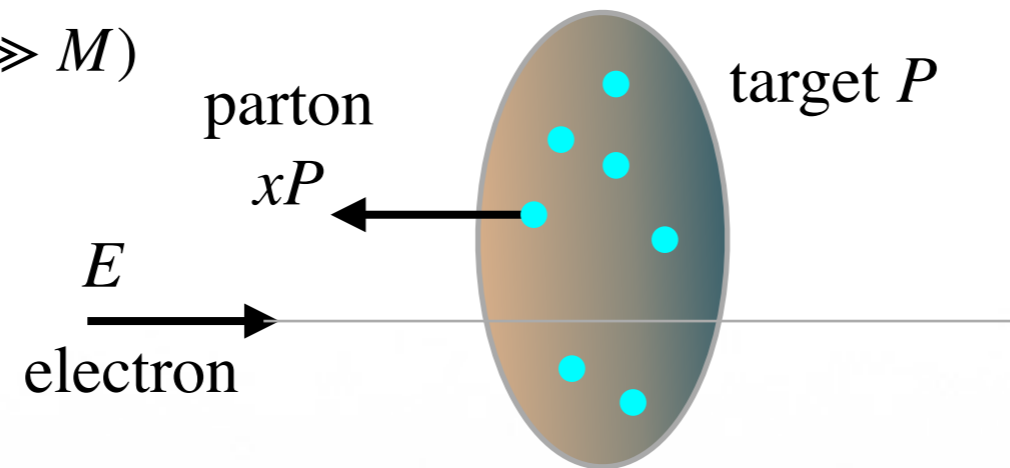


The Parton model



Consider now the deep-inelastic regime: the basics of the parton model

$$Q^2 = -q^2 \rightarrow \infty \quad (\gg M)$$
$$x = \frac{Q^2}{2P \cdot q} \quad \text{fixed}$$



target = ensemble of partons carrying fraction $0 < x < 1$ of P moving collinear with target



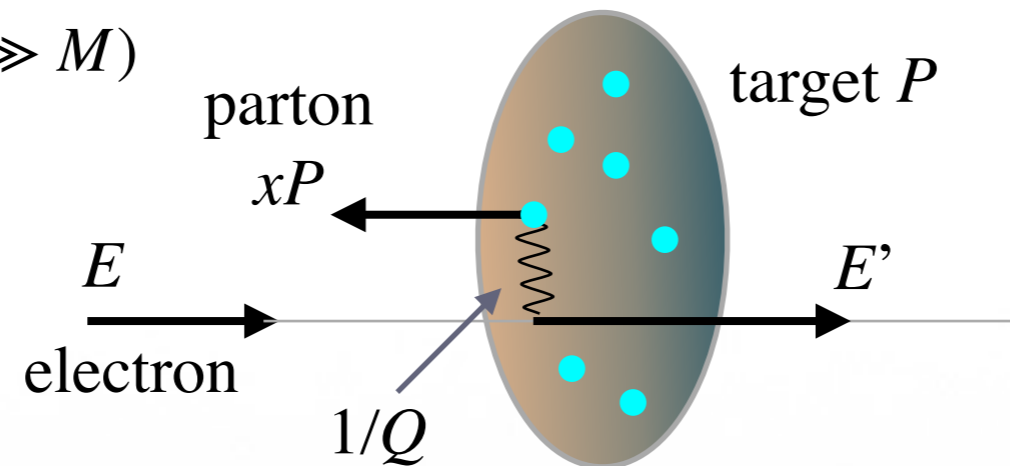
The Parton model



Consider now the deep-inelastic regime: the basics of the parton model

$$Q^2 = -q^2 \rightarrow \infty \quad (\gg M)$$

$$x = \frac{Q^2}{2P \cdot q} \quad \text{fixed}$$



target = ensemble of partons carrying fraction $0 < x < 1$ of P moving collinear with target

interaction electron-parton only if impact parameter $< 1/Q$



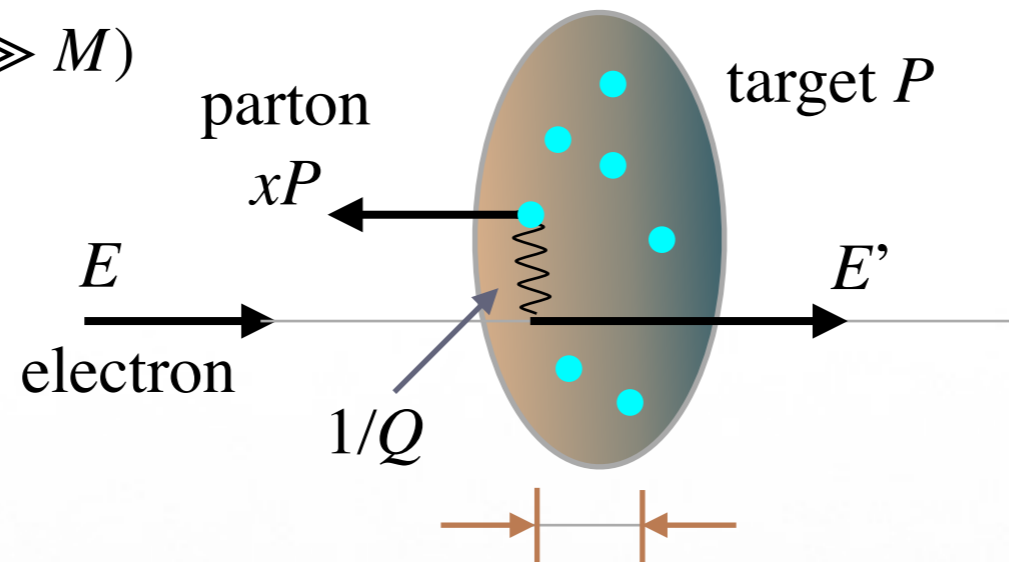
The Parton model



Consider now the deep-inelastic regime: the basics of the parton model

$$Q^2 = -q^2 \rightarrow \infty \quad (\gg M)$$

$$x = \frac{Q^2}{2P \cdot q} \quad \text{fixed}$$



target = ensemble of partons carrying fraction $0 < x < 1$ of P moving collinear with target

interaction electron-parton only if impact parameter $< 1/Q$

- in c.m. frame, electron sees a **Lorentz-contracted target**; parton virtual life time Lorentz-dilatated
- in DIS $Q^2 \rightarrow \infty$, electron crosses target in time $t \rightarrow 0$: it sees **partons "frozen" on \sim mass shell**



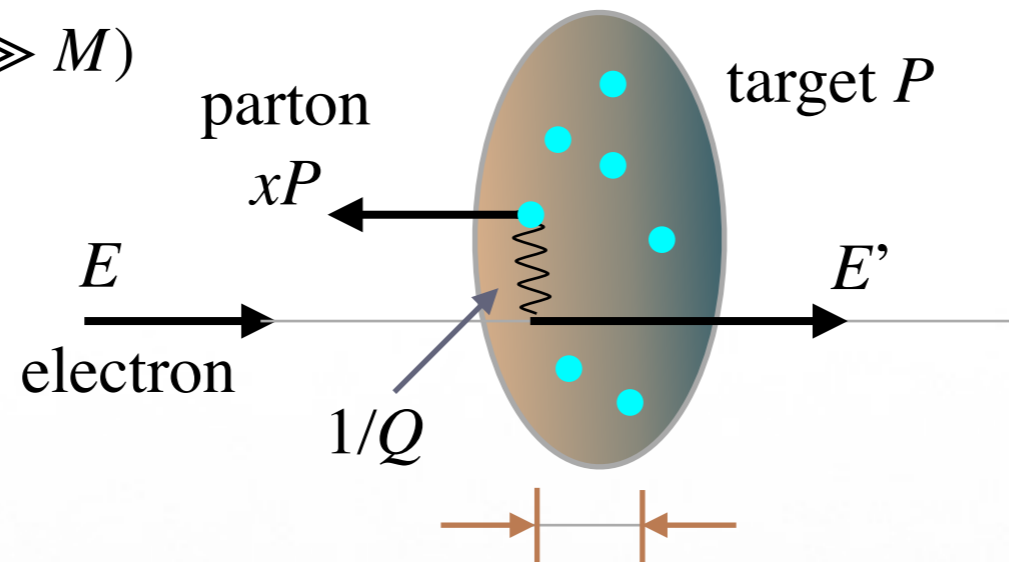
The Parton model



Consider now the deep-inelastic regime: the basics of the parton model

$$Q^2 = -q^2 \rightarrow \infty \quad (\gg M)$$

$$x = \frac{Q^2}{2P \cdot q} \quad \text{fixed}$$



target = ensemble of partons carrying fraction $0 < x < 1$ of P moving collinear with target

interaction electron-parton only if impact parameter $< 1/Q$

- in c.m. frame, electron sees a **Lorentz-contracted target**; parton virtual life time Lorentz-dilatated
- in DIS $Q^2 \rightarrow \infty$, electron crosses target in time $t \rightarrow 0$: it sees **partons "frozen" on \sim mass shell**
- hard electron-parton scattering happens on much shorter time scale than hadronization of target remnants into the unobserved hadronic final state



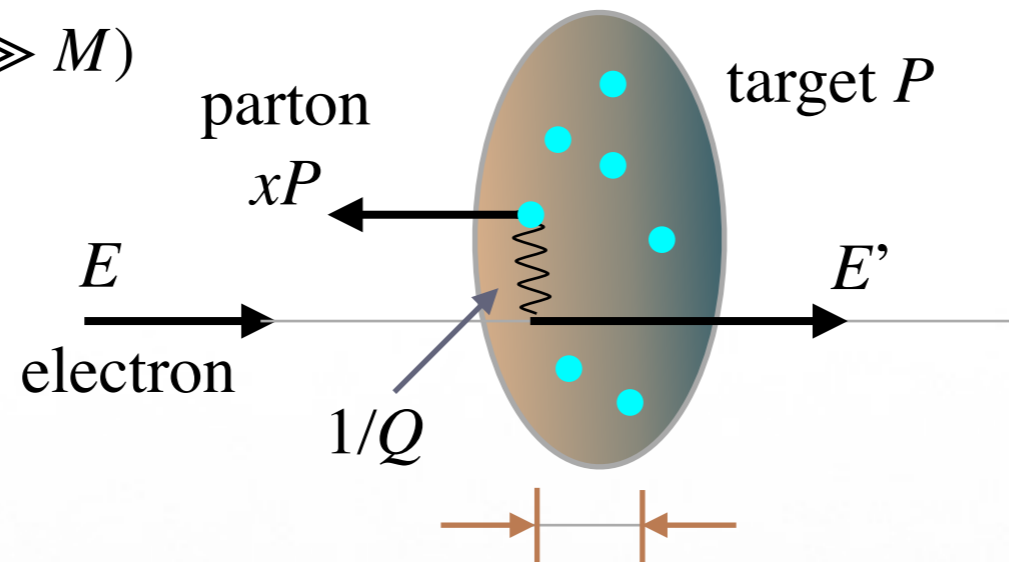
The Parton model



Consider now the deep-inelastic regime: the basics of the parton model

$$Q^2 = -q^2 \rightarrow \infty \quad (\gg M)$$

$$x = \frac{Q^2}{2P \cdot q} \quad \text{fixed}$$



target = ensemble of partons carrying fraction $0 < x < 1$ of P moving collinear with target

interaction electron-parton only if impact parameter $< 1/Q$

- in c.m. frame, electron sees a **Lorentz-contracted target**; parton virtual life time Lorentz-dilatated

- in DIS $Q^2 \rightarrow \infty$, electron crosses target in time $t \rightarrow 0$: it sees **partons "frozen" on \sim mass shell**

- hard electron-parton scattering happens on much shorter time scale than hadronization of target remnants into the unobserved hadronic final state

- probability of finding another parton close to the struck parton $\sim \frac{\text{area of hard scattering}}{\text{target impact surface}} \xrightarrow{Q^2 \rightarrow \infty} \frac{1/Q^2}{\pi R^2} \rightarrow 0$



differential cross section:

$$\frac{d\sigma}{dx dQ^2} = \sum_f \left(\frac{d\hat{\sigma}}{dQ^2} \right)_f e_f^2 \phi_f(x)$$

incoherent sum of hard
electron-parton scatterings






differential cross section:

$$\frac{d\sigma}{dx dQ^2} = \sum_f \left(\frac{d\hat{\sigma}}{dQ^2} \right)_f e_f^2 \phi_f(x)$$

incoherent sum of hard
electron-parton scatterings



elastic electron scattering
on almost free parton
(calculable in QED; mimics
asymptotic freedom)





The Parton model



differential cross section:

$$\frac{d\sigma}{dx dQ^2} = \sum_f \left(\frac{d\hat{\sigma}}{dQ^2} \right)_f e_f^2 \phi_f(x)$$

incoherent sum of hard
electron-parton scatterings

elastic electron scattering
on almost free parton
(calculable in QED; mimics
asymptotic freedom)

probability density of
finding a parton f with
fractional momentum x
("structure").

Sum rule $\sum_f \int_0^1 dx x \phi_f(x) = 1$



The Parton model



differential cross section:

$$\frac{d\sigma}{dx dQ^2} = \sum_f \left(\frac{d\hat{\sigma}}{dQ^2} \right)_f e_f^2 \phi_f(x)$$

incoherent sum of hard electron-parton scatterings

elastic electron scattering on almost free parton (calculable in QED; mimics asymptotic freedom)

probability density of finding a parton f with fractional momentum x (“structure”).

Sum rule $\sum_f \int_0^1 dx x \phi_f(x) = 1$

Factorization between target “structure” (parton density) and elementary interaction of partons with electron probe



From Parton model to QCD



Parton
model

Rosenbluth formula: 2 structure functions

Callan-Gross relation $F_2(x) = 2x F_1(x)$ (= spin-1/2 quarks absorb only T-polarized γ^*)





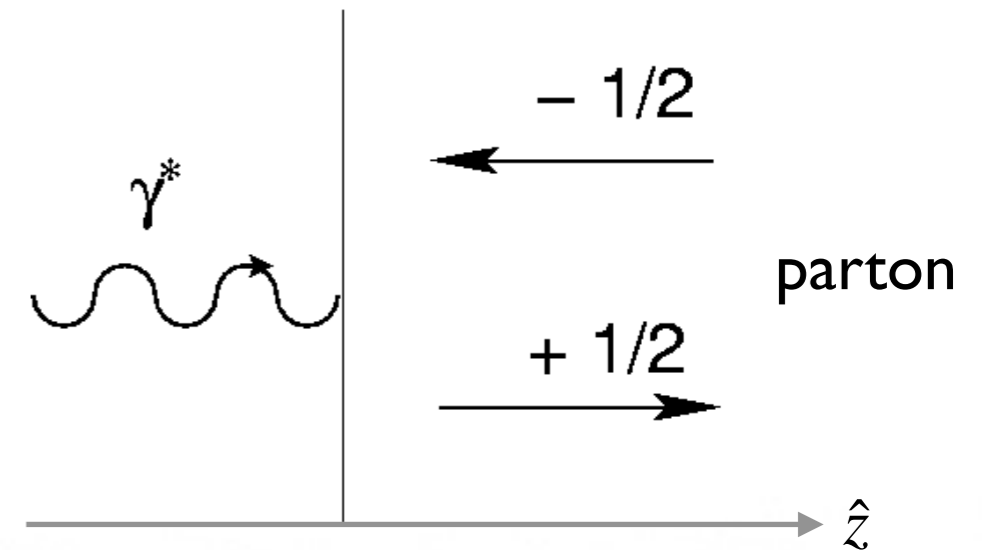
Callan-Gross relation



Scattering in the Breit frame

c.m. frame with
no energy transfer

electromagnetic interaction conserves helicity along \hat{z}





Scattering in the Breit frame

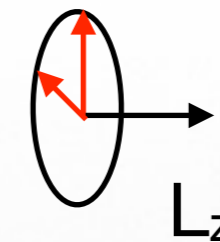
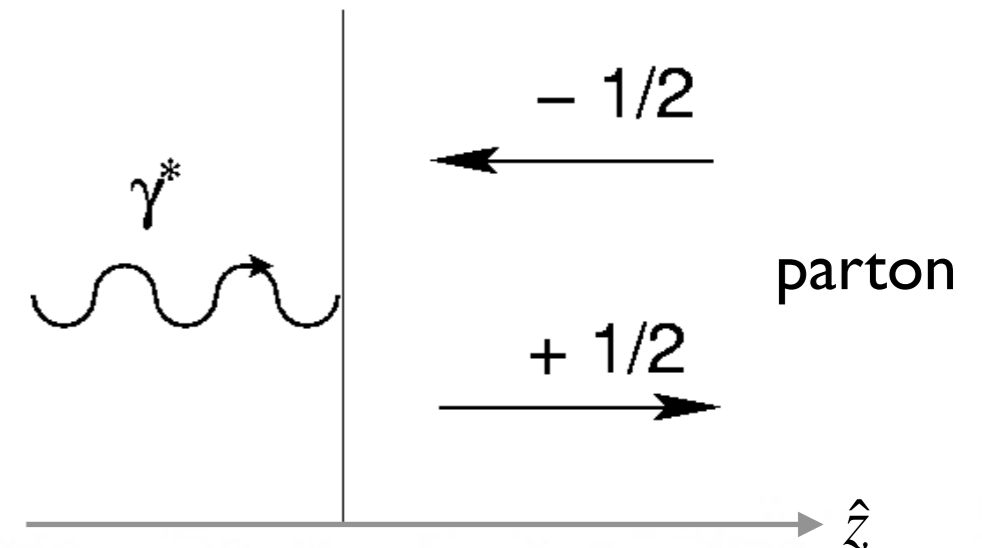
c.m. frame with
no energy transfer

electromagnetic interaction conserves helicity along \hat{z}

transverse polarization of γ^* compensates the
helicity variation $\Delta h = +1$



longitudinal polarization of γ^* does not
 $\Rightarrow F_L = F_2 - 2xF_1 = 0$





Parton model

Rosenbluth formula: 2 structure functions

Callan-Gross relation $F_2(x) = 2x F_1(x)$ (= spin-1/2 quarks absorb only T-polarized γ^*)



$$\frac{d\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} A(y) F_2(x) \quad F_2(x) = \sum_f e_f^2 x \phi_f(x)$$

scaling: $F_2 \neq F_2(Q^2)$

$A(y) = 1 + (1 - y)^2$
 $y = \text{electron inelasticity} \sim \frac{\nu}{E}$



From Parton model to QCD



Parton model

Rosenbluth formula: 2 structure functions

Callan-Gross relation $F_2(x) = 2x F_1(x)$ (= spin-1/2 quarks absorb only T-polarized γ^*)



$$\frac{d\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} A(y) F_2(x) \quad F_2(x) = \sum_f e_f^2 x \phi_f(x)$$

scaling: $F_2 \neq F_2(Q^2)$

$A(y) = 1 + (1 - y)^2$
 $y = \text{electron inelasticity} \sim \frac{\nu}{E}$

Problems

- measured violations of sum rule $\sum_f \int_0^1 dx x \phi_f(x) \sim 0.5$





Momentum sum rule



SLAC-MIT measurement of DIS on proton and effective neutron targets ($\sim 1969-72$)

$$\int dx \approx \text{discrete sum} \quad \frac{1}{2} \int dx \left[F_2^p(x) + F_2^n(x) \right] = 0.14 \pm 0.005$$



Momentum sum rule



SLAC-MIT measurement of DIS on proton and effective neutron targets ($\sim 1969-72$)

$$\int dx \approx \text{discrete sum} \quad \frac{1}{2} \int dx \left[F_2^p(x) + F_2^n(x) \right] = 0.14 \pm 0.005$$

$$F_2(x) = \sum_f e_f^2 x \phi_f(x)$$

proton: $F_2^p(x) \approx \frac{1}{9} x \left[4 (u(x) + \bar{u}(x)) + d(x) + \bar{d}(x) \right]$

neutron: isospin symmetry of strong interaction $\rightarrow \begin{cases} u_p \leftrightarrow d_n \\ d_p \leftrightarrow u_n \end{cases}$

$$F_2^n(x) \approx \frac{1}{9} x \left[4 (d(x) + \bar{d}(x)) + u(x) + \bar{u}(x) \right]$$



Momentum sum rule



SLAC-MIT measurement of DIS on proton and effective neutron targets ($\sim 1969-72$)

$$\int dx \approx \text{discrete sum} \quad \frac{1}{2} \int dx \left[F_2^p(x) + F_2^n(x) \right] = 0.14 \pm 0.005$$

$$F_2(x) = \sum_f e_f^2 x \phi_f(x)$$

proton: $F_2^p(x) \approx \frac{1}{9} x \left[4 (u(x) + \bar{u}(x)) + d(x) + \bar{d}(x) \right]$

neutron: isospin symmetry of strong interaction $\rightarrow \begin{cases} u_p \leftrightarrow d_n \\ d_p \leftrightarrow u_n \end{cases}$

$$F_2^n(x) \approx \frac{1}{9} x \left[4 (d(x) + \bar{d}(x)) + u(x) + \bar{u}(x) \right]$$

Then,

$$\frac{1}{2} \int_0^1 dx \left[F_2^p(x) + F_2^n(x) \right] = \frac{1}{2} \frac{5}{9} \int_0^1 dx x \left[u(x) + \bar{u}(x) + d(x) + \bar{d}(x) \right] \approx 0.28 \sum_f \int_0^1 dx x \phi_f(x)$$



Momentum sum rule



SLAC-MIT measurement of DIS on proton and effective neutron targets ($\sim 1969-72$)

$$\int dx \approx \text{discrete sum} \quad \frac{1}{2} \int dx \left[F_2^p(x) + F_2^n(x) \right] = 0.14 \pm 0.005$$

$$F_2(x) = \sum_f e_f^2 x \phi_f(x)$$

proton: $F_2^p(x) \approx \frac{1}{9} x \left[4(u(x) + \bar{u}(x)) + d(x) + \bar{d}(x) \right]$

neutron: isospin symmetry of strong interaction $\rightarrow \begin{cases} u_p \leftrightarrow d_n \\ d_p \leftrightarrow u_n \end{cases}$

$$F_2^n(x) \approx \frac{1}{9} x \left[4(d(x) + \bar{d}(x)) + u(x) + \bar{u}(x) \right]$$

Then,

$$\frac{1}{2} \int_0^1 dx \left[F_2^p(x) + F_2^n(x) \right] = \frac{1}{2} \frac{5}{9} \int_0^1 dx x \left[u(x) + \bar{u}(x) + d(x) + \bar{d}(x) \right] \approx 0.28 \sum_f \int_0^1 dx x \phi_f(x)$$

compatible only if the (anti-)quarks carry 50% of momentum

later confirmed by Gargamelle (CERN, ~ 1975):

$$\frac{1}{2} \int dx \left[F_2^{\nu p}(x) + F_2^{\nu n}(x) \right] = \int dx x \left[u(x) + \bar{u}(x) + d(x) + \bar{d}(x) \right] = 0.49 \pm 0.7$$

$\nu n \leftrightarrow \bar{\nu} p$



From Parton model to QCD



Parton model

Rosenbluth formula: 2 structure functions

Callan-Gross relation $F_2(x) = 2x F_1(x)$ (= spin-1/2 quarks absorb only T-polarized γ^*)



$$\frac{d\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} A(y) F_2(x) \quad F_2(x) = \sum_f e_f^2 x \phi_f(x)$$

$A(y) = 1 + (1 - y)^2$
 $y = \text{electron inelasticity} \sim \frac{\nu}{E}$

scaling: $F_2 \neq F_2(Q^2)$

Problems

- measured violations of sum rule $\sum_f \int_0^1 dx x \phi_f(x) \sim 0.5$



- observed scaling violations: F_2 depends also on Q^2

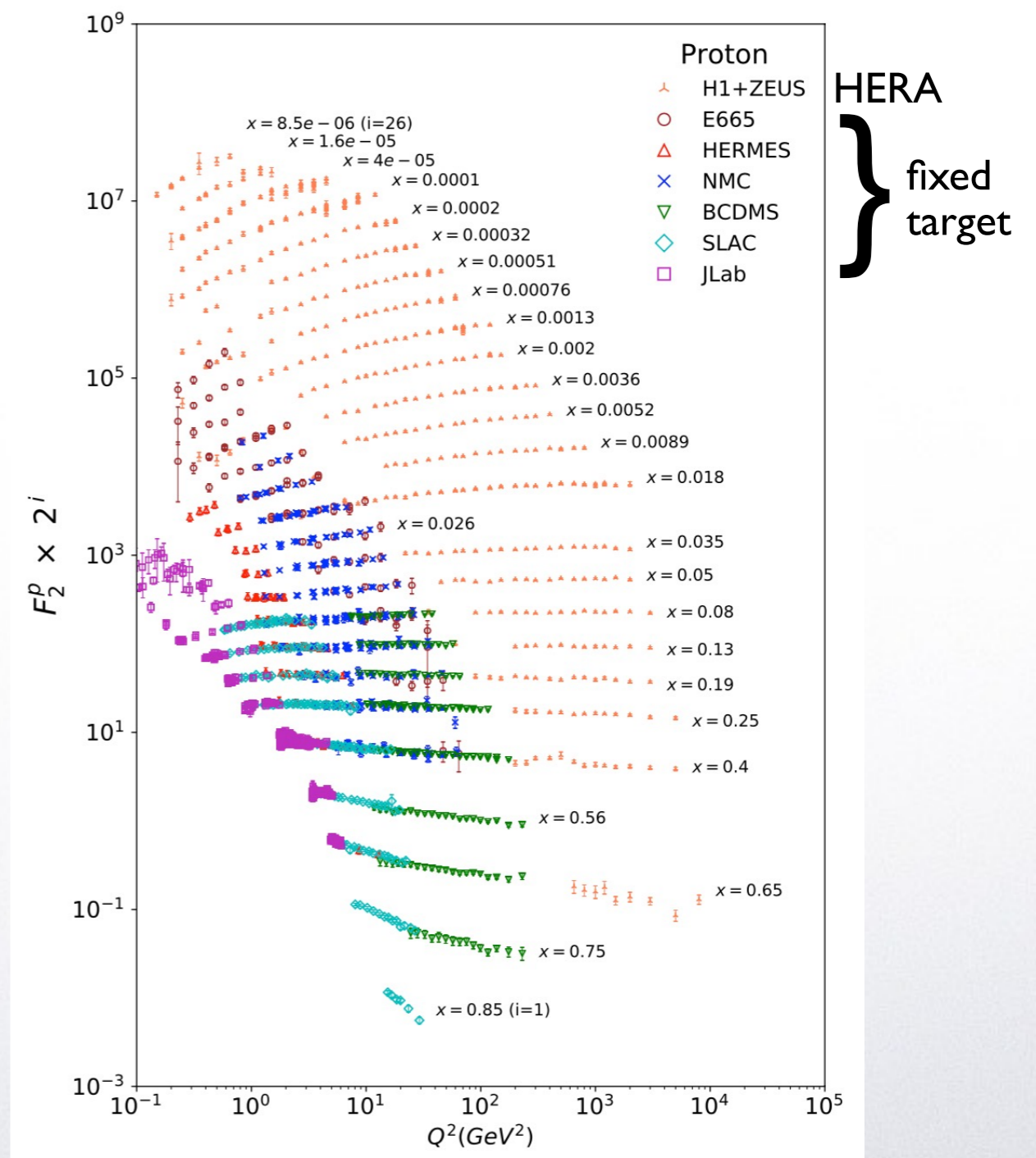


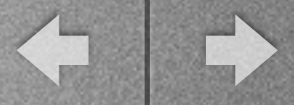
- breaking of Callan-Gross $F_L = F_2 - 2x F_1 \neq 0$

(with gluons, quarks absorb also L-polarized γ^*)



Scaling violations





Parton
model

$$\frac{d\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} A(y) F_2(x) \quad F_2(x) = \sum_f e_f^2 x \phi_f(x) \quad y = \text{electron inelasticity} \sim \frac{\nu}{E}$$
$$A(y) = 1 + (1-y)^2$$



Callan-Gross relation $F_2(x) = 2x F_1(x)$

scaling: $F_2 \neq F_2(Q^2)$

- observed scaling violations: F_2 depends also on Q^2
- breaking of Callan-Gross $F_L = F_2 - 2x F_1 \neq 0$

QCD

$$\frac{d\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} [A(y) F_2(x, Q^2) - y^2 F_L(x, Q^2)]$$



From Parton model to QCD



Parton model

$$\frac{d\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} A(y) F_2(x) \quad F_2(x) = \sum_f e_f^2 x \phi_f(x) \quad y = \text{electron inelasticity} \sim \frac{\nu}{E}$$

$$A(y) = 1 + (1-y)^2$$



Callan-Gross relation $F_2(x) = 2x F_1(x)$

scaling: $F_2 \neq F_2(Q^2)$

- observed scaling violations: F_2 depends also on Q^2
- breaking of Callan-Gross $F_L = F_2 - 2x F_1 \neq 0$

QCD

$$\frac{d\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} [A(y) F_2(x, Q^2) - y^2 F_L(x, Q^2)]$$

$$F_i(x, Q^2) = \sum_f e_f^2 \int_x^1 \frac{d\xi}{\xi} d\hat{\sigma}_{i,f} \left(\alpha_s, \frac{x}{\xi}, \frac{Q^2}{\mu_F^2} \right) \phi_f(\alpha_s, \xi, \mu_F) \equiv \sum_f e_f^2 d\hat{\sigma}_{i,f} \otimes \phi_f$$

usually $\mu_R^2 = \mu_F^2 = Q^2$ $d\hat{\sigma}_{i,f} = d\hat{\sigma}_{i,f}^{(0)} + \frac{\alpha_s}{4\pi} d\hat{\sigma}_{i,f}^{(1)} + \dots$

QCD collinear factorization theorem at scale μ_F , valid at all orders



Evolution equations



$$F_i(x, Q^2) = \sum_f e_f^2 \int_x^1 \frac{d\xi}{\xi} d\hat{\sigma}_{i,f} \left(\alpha_s, \frac{x}{\xi}, \frac{Q^2}{\mu_F^2} \right) \phi_f(\alpha_s, \xi, \mu_F) \equiv \sum_f e_f^2 d\hat{\sigma}_{i,f} \otimes \phi_f$$

Physics does not depend on fictitious scale μ_F : DGLAP evolution equations

Describe how μ_F dependence of ϕ_f compensates the one of $d\hat{\sigma}_{i,f}$

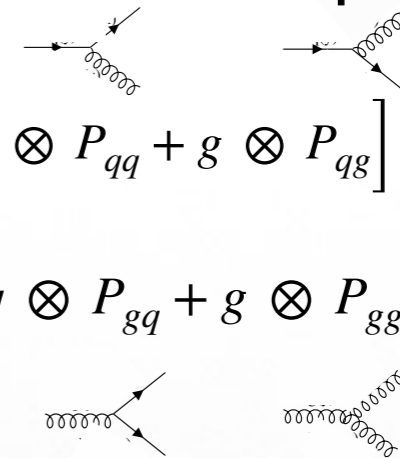
$\phi_f \rightarrow$ quark q

$$\frac{dq(x, Q^2)}{d \log Q^2} = \frac{\alpha_s}{2\pi} \left[q \otimes P_{qq} + g \otimes P_{qg} \right]$$

$\phi_f \rightarrow$ gluon g

$$\frac{dg(x, Q^2)}{d \log Q^2} = \frac{\alpha_s}{2\pi} \left[q \otimes P_{gq} + g \otimes P_{gg} \right]$$

splitting functions





Evolution equations



$$F_i(x, Q^2) = \sum_f e_f^2 \int_x^1 \frac{d\xi}{\xi} d\hat{\sigma}_{i,f} \left(\alpha_s, \frac{x}{\xi}, \frac{Q^2}{\mu_F^2} \right) \phi_f(\alpha_s, \xi, \mu_F) \equiv \sum_f e_f^2 d\hat{\sigma}_{i,f} \otimes \phi_f$$

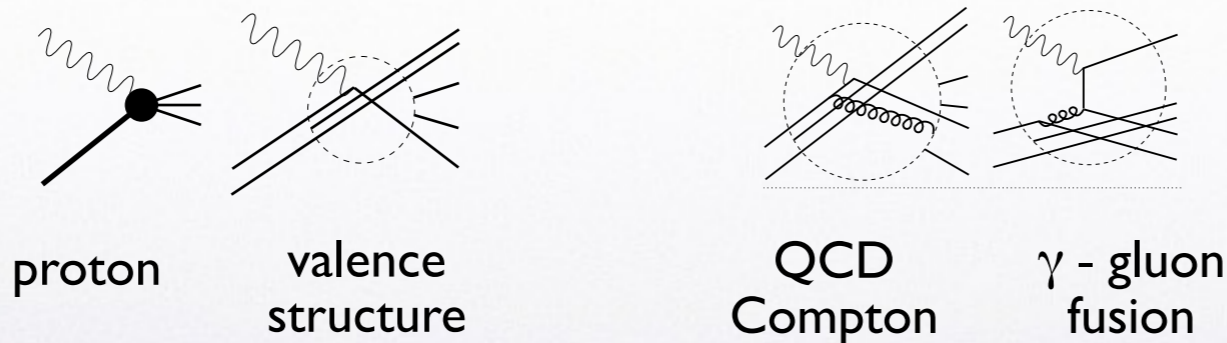
Physics does not depend on fictitious scale μ_F : DGLAP evolution equations

Describe how μ_F dependence of ϕ_f compensates the one of $d\hat{\sigma}_{i,f}$

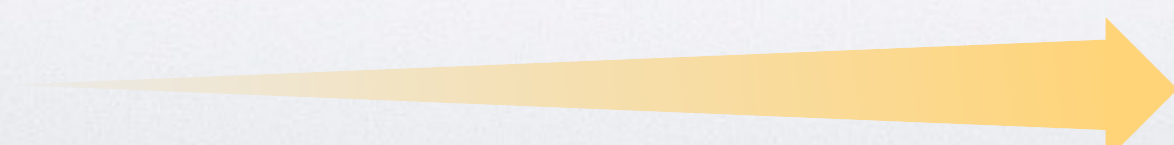
partons are part of "structure" in ϕ_f $< \mu_F^2 <$ partons are part of hard interaction $\rightarrow Q^2$

$$\begin{aligned} \phi_f \rightarrow \text{quark } q & \quad \frac{dq(x, Q^2)}{d \log Q^2} = \frac{\alpha_s}{2\pi} \left[q \otimes P_{qq} + g \otimes P_{qg} \right] \\ \phi_f \rightarrow \text{gluon } g & \quad \frac{dg(x, Q^2)}{d \log Q^2} = \frac{\alpha_s}{2\pi} \left[q \otimes P_{gq} + g \otimes P_{gg} \right] \end{aligned}$$

splitting functions



increasing resolving power $\mu_F^2 = Q^2$



larger number of partons with lower x



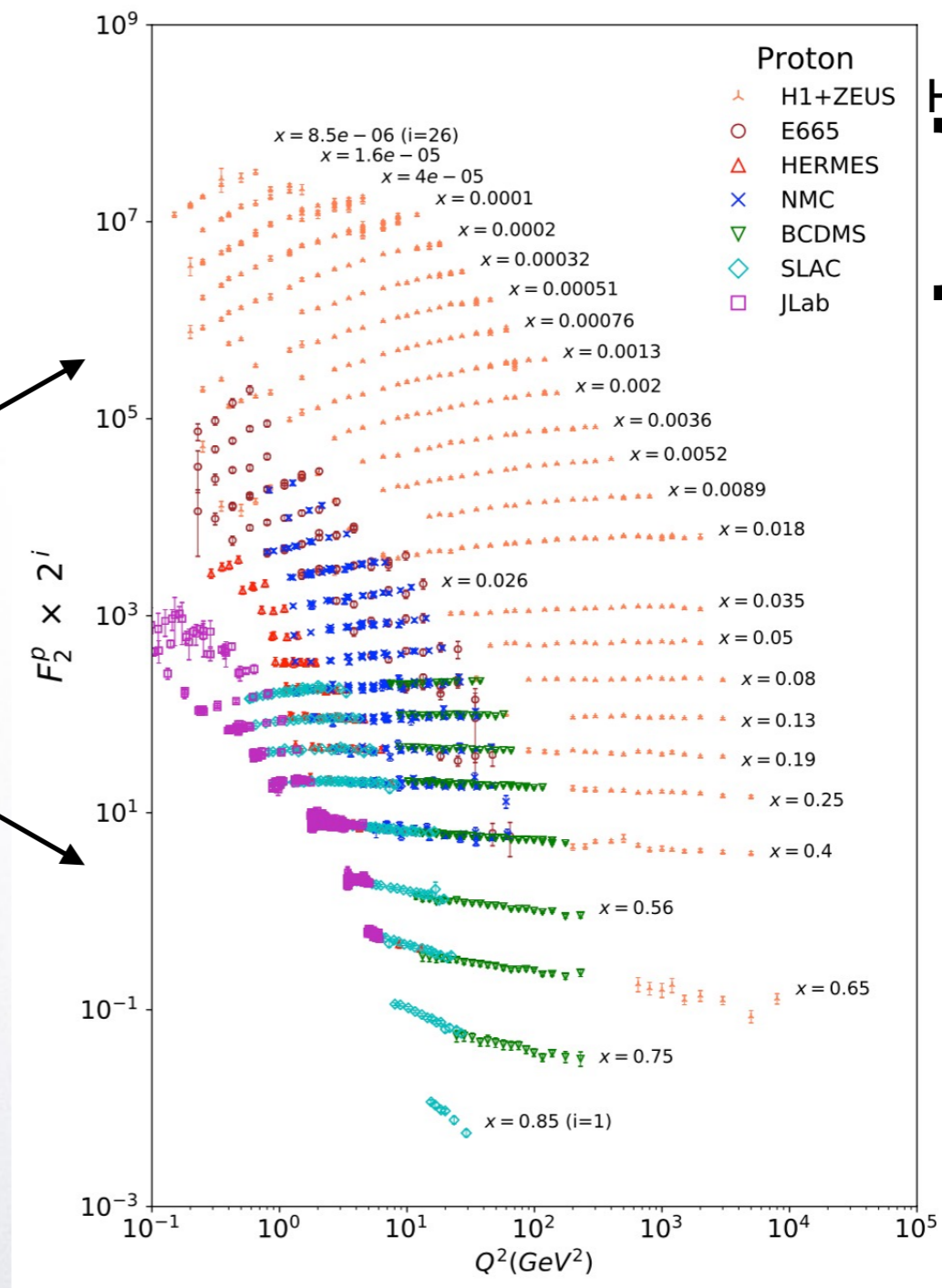
Scaling violations



For increasing Q^2 , larger number of partons with lower x

F_2 grows with Q^2 at low x

F_2 drops with Q^2 at high x





DGLAP evolution equations describe how μ_F dependence of ϕ_f compensates the one of $d\hat{\sigma}_{i,f}$ to make observables F_i independent from μ_F

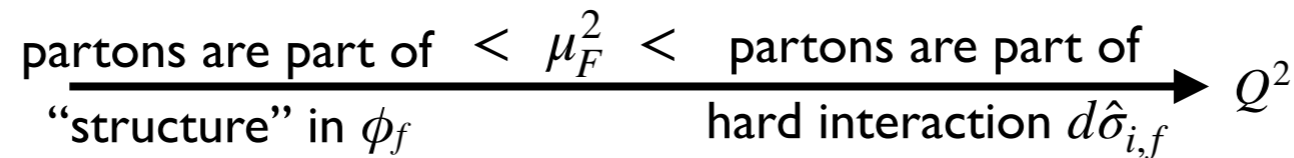
partons are part of $< \mu_F^2 <$ partons are part of
"structure" in ϕ_f hard interaction $d\hat{\sigma}_{i,f}$ $\rightarrow Q^2$



Universality of partonic structure



DGLAP evolution equations describe how μ_F dependence of ϕ_f compensates the one of $d\hat{\sigma}_{i,f}$ to make observables F_i independent from μ_F



partonic structure is independent of the process \rightarrow "universal"

calculable hard interaction depends on the process

universality of Parton Distribution Functions (PDF)

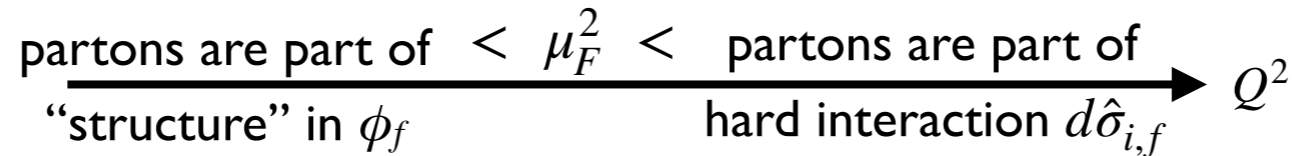
perturbative "Wilson coefficients", calculable at given order in $(\alpha_s)^n$



Universality of partonic structure



DGLAP evolution equations describe how μ_F dependence of ϕ_f compensates the one of $d\hat{\sigma}_{i,f}$ to make observables F_i independent from μ_F



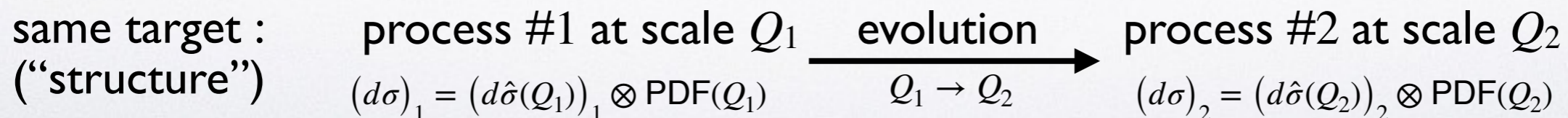
partonic structure is independent of the process \rightarrow “universal”

calculable hard interaction depends on the process

universality of Parton Distribution Functions (PDF)

perturbative “Wilson coefficients”, calculable at given order in $(\alpha_s)^n$

DGLAP equations describe evolution of PDF with $Q^2 \rightarrow$ large predictive power

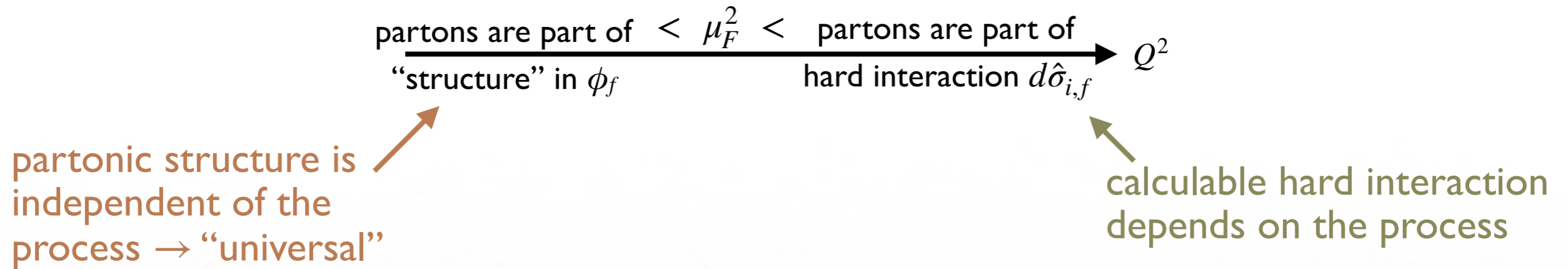




Universality of partonic structure



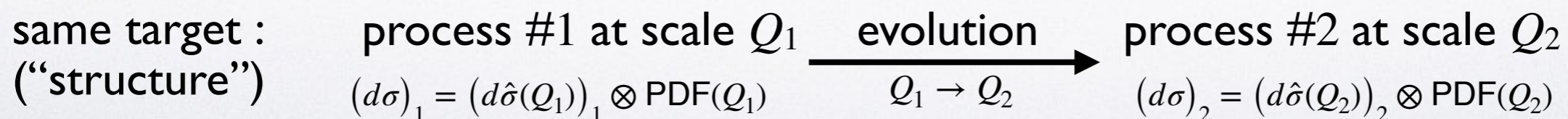
DGLAP evolution equations describe how μ_F dependence of ϕ_f compensates the one of $d\hat{\sigma}_{i,f}$ to make observables F_i independent from μ_F



universality of Parton Distribution Functions (PDF)

perturbative "Wilson coefficients", calculable at given order in $(\alpha_s)^n$

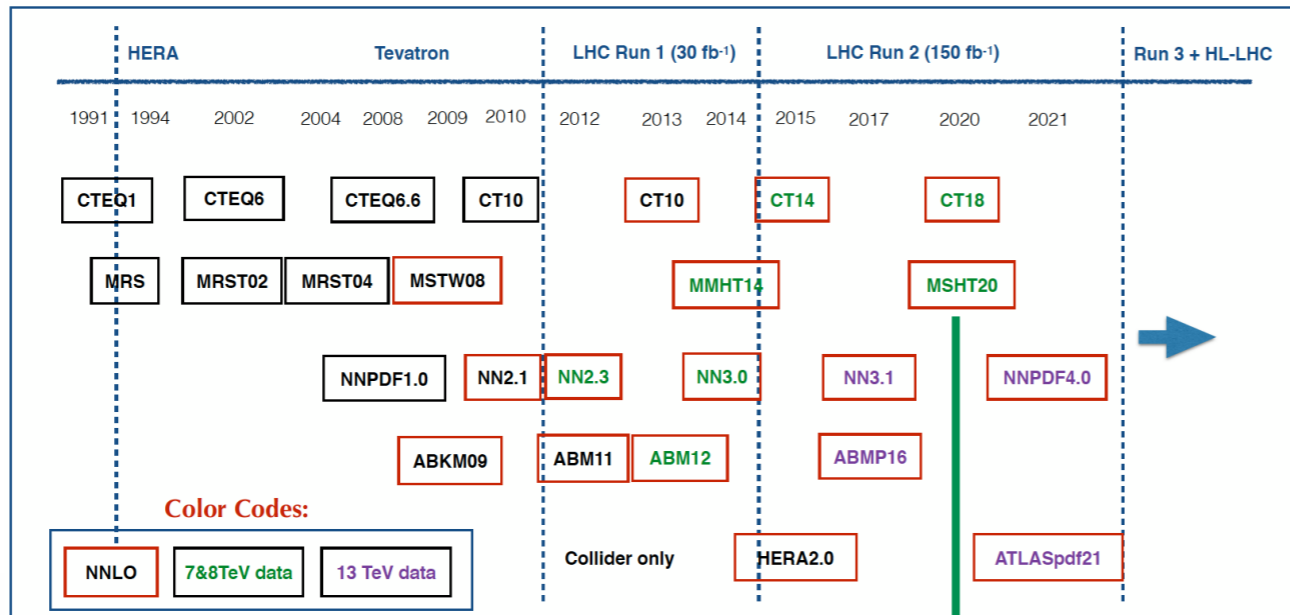
DGLAP equations describe evolution of PDF with $Q^2 \rightarrow$ large predictive power



Fitting experimental data at different scales \rightarrow extract PDF $\phi_f(x, Q^2)$



Parton Distribution Functions



Many groups

NNLO accuracy is nowadays the standard

$$d\hat{\sigma}_{i,f} = d\hat{\sigma}_{i,f}^{(0)} + \frac{\alpha_s}{4\pi} d\hat{\sigma}_{i,f}^{(1)} + \left(\frac{\alpha_s}{4\pi}\right)^2 d\hat{\sigma}_{i,f}^{(2)} + \dots$$

but already approximate N³LO results

arXiv:2207.04739

