

CPV and mixing in charm

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FUTURE FLAVOURS

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CP violation essentials

- Charge-Parity (CP) transformation: exchange particle with antiparticle and invert spatial coordinates
- CP violation in quark sector comes from single irreducible phase of the Cabibbo-Kobayashi-Maskawa (CKM) matrix

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

- Expansion in $\lambda \approx 0.22$ convenient way of viewing hierarchy

CP violation essentials

- Can generically write amplitudes in terms of magnitude (ρ), and CP-conserving (δ), CP-violating phase (θ)

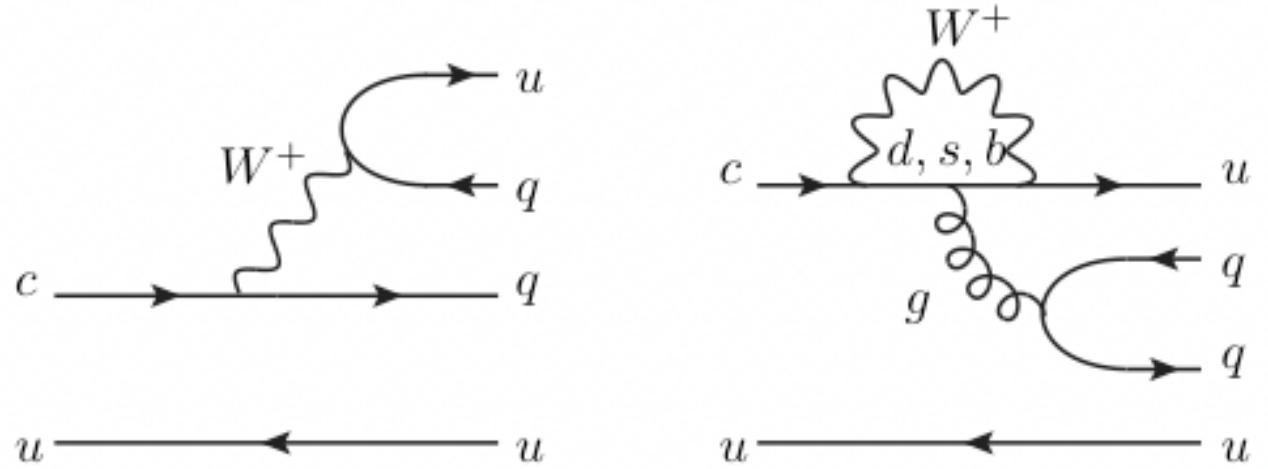
$$A = \rho e^{i\delta} e^{i\theta}$$

Amplitude for CP-conjugate process is then

$$\bar{A} = \rho e^{i\delta} e^{-i\theta}$$

CP violation can occur in presence of multiple amplitudes if the CP-conserving and violating phases differ:

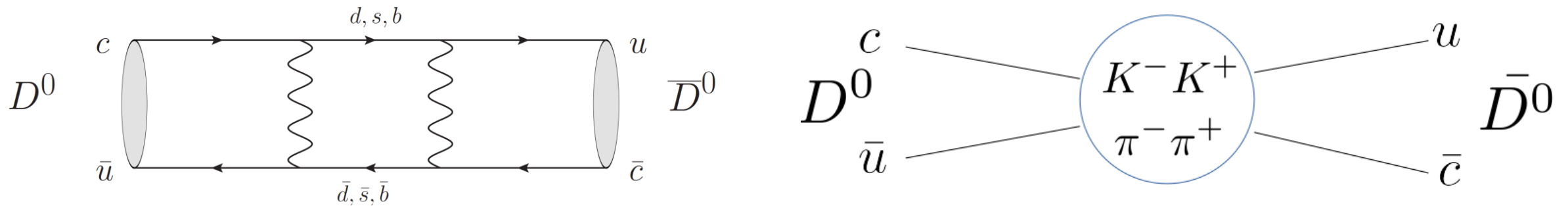
$$|\bar{A}_1 + \bar{A}_2|^2 - |A_1 + A_2|^2 = 4\rho_1\rho_2 \sin(\theta_1 - \theta_2) \sin(\delta_1 - \delta_2)$$



Mixing essentials

- Evolution described by usual time-dependent Schrodinger's equation

$$i \frac{\partial}{\partial t} \begin{pmatrix} |D^0(t)\rangle \\ |\bar{D}^0(t)\rangle \end{pmatrix} = \left[\begin{pmatrix} M_{11} & M_{12} \\ M_{12}^* & M_{22} \end{pmatrix} - \frac{i}{2} \begin{pmatrix} \Gamma_{11} & \Gamma_{12} \\ \Gamma_{12}^* & \Gamma_{22} \end{pmatrix} \right] \begin{pmatrix} |D^0(t)\rangle \\ |\bar{D}^0(t)\rangle \end{pmatrix}$$



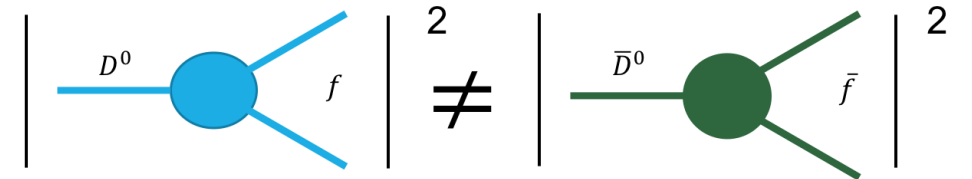
- Non-coincidence of eigenstates $|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$ leads to neutral meson mixing governed by

$$x = \frac{m_2 - m_1}{\Gamma} \text{ and } y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma}, \text{ with } \Gamma = \frac{\Gamma_1 + \Gamma_2}{2}$$

Manifestations of CP violation

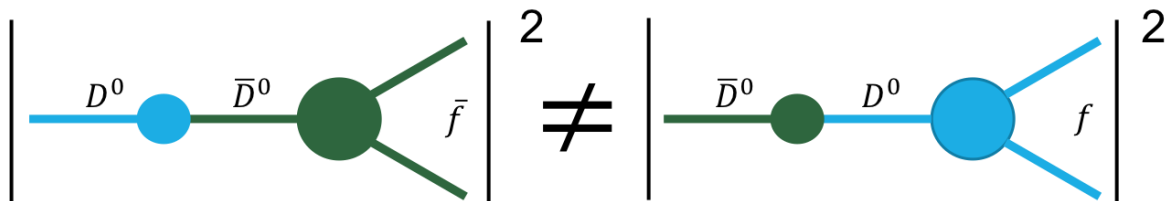
- **Direct CP violation**

- Occurs if $\left| \frac{\bar{A}_f}{A_f} \right| \neq 1$, i.e. different rates for decay and its CP conjugate



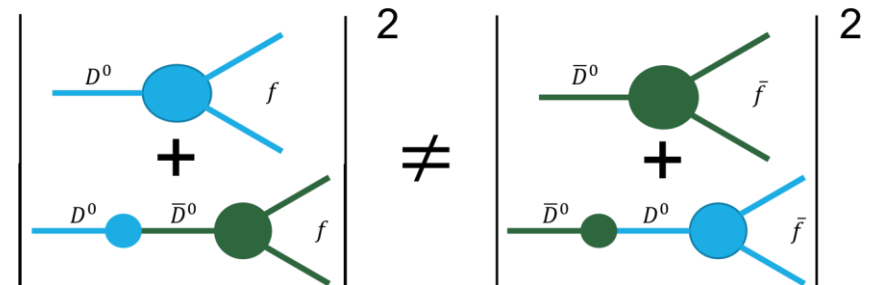
- **CP violation in mixing**

- Occurs if $\left| \frac{q}{p} \right| \neq 1$



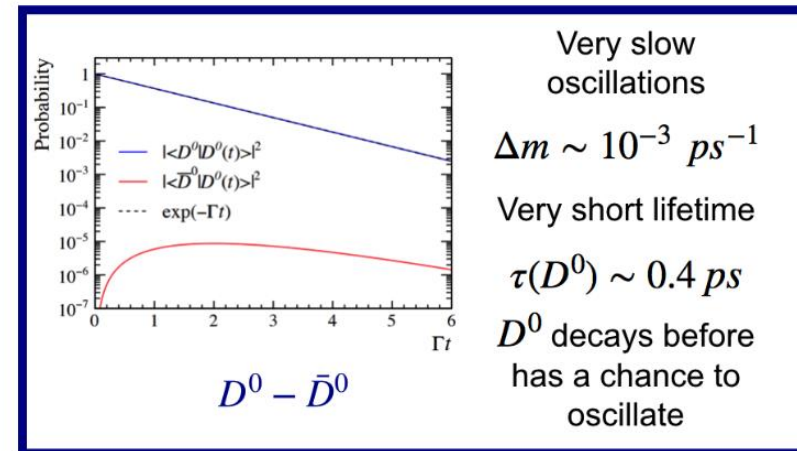
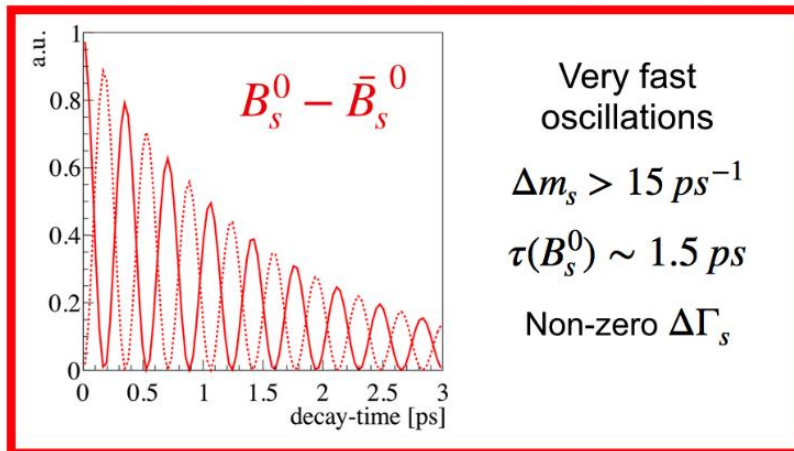
- **CP violation in interference between mixing & decay**

- Occurs if $\phi \equiv \arg\left(\frac{q\bar{A}_f}{pA_f}\right) \neq 0$



CP violation and mixing in charm sector

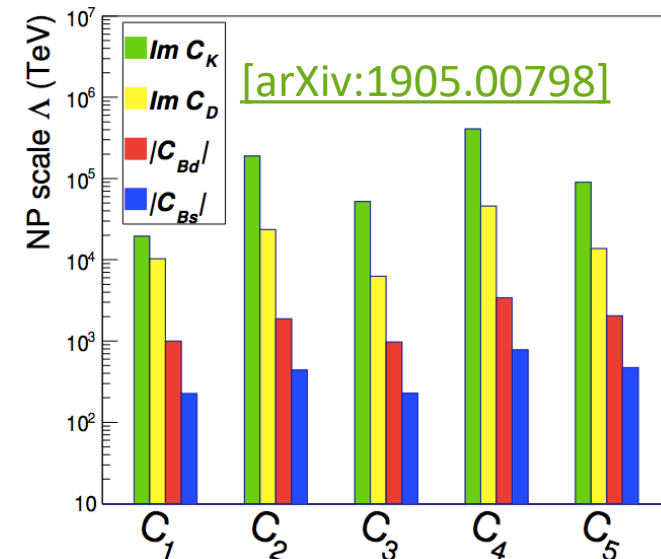
- Very small in the SM due to CKM and GIM suppression
- Relevant CKM matrix elements $\text{Im}(V_{cb}V_{ub}^*/V_{cs}V_{us}^*) \approx -6 \times 10^{-4}$
- Mixing parameters are expected to be $\leq 10^{-2}$
 - Mixing relatively slow compared to in beauty system



- Very challenging from experimental and theory perspectives

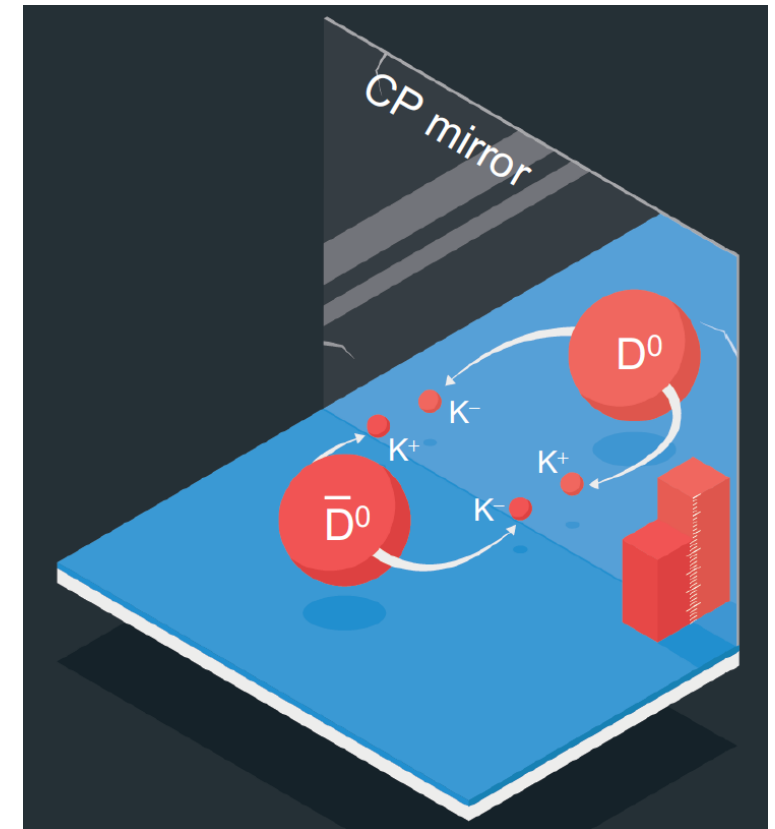
Why study these phenomena?

- Standard Model (SM) of particle physics clearly an incomplete theory
 - Ex: SM CP violation incapable of generating observed matter-antimatter asymmetry of universe
 - Extensions of SM can naturally include new sources of CP violation.
- “Indirect” probes have often provided first glimpse of new particles, e.g. GIM mechanism predicting charm quark.
- Capable of probing quite high energy scales.



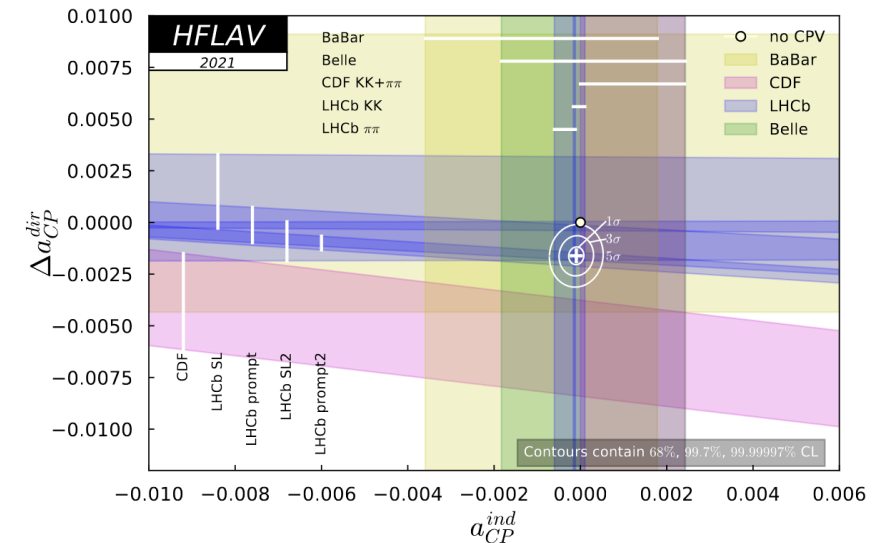
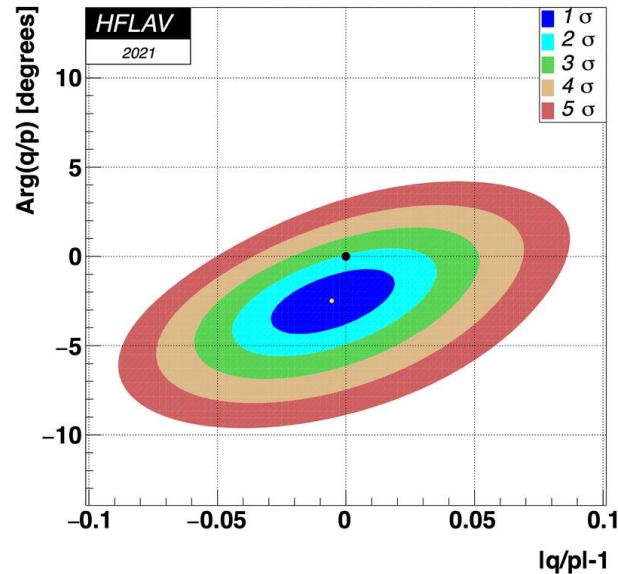
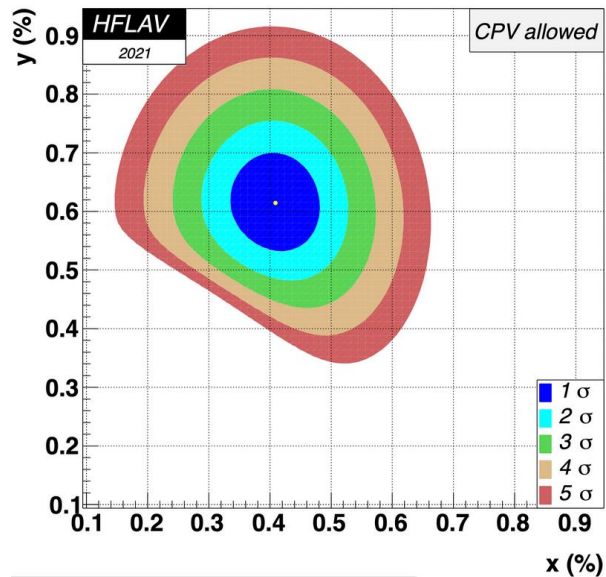
And why study charm?

- CP violation is relatively well-measured in the K- and B-meson systems
- Charm is only laboratory for studying mixing/CP violation in mesons with up-type quarks
- Depending on details of new physics models, constraints from charm may be more powerful
- CP violation will be very small in the SM due to aforementioned CKM and GIM suppression
- Nice from a possible signal over SM background perspective.



Current status

- Mixing has definitively been observed, and both x and y measured to be different to zero
- CP violation in the decay amplitudes has been observed.... Once
 - More studies needed!
- Mixing induced CP violation not yet observed!
 - Precision not yet at SM level. Room for new physics!



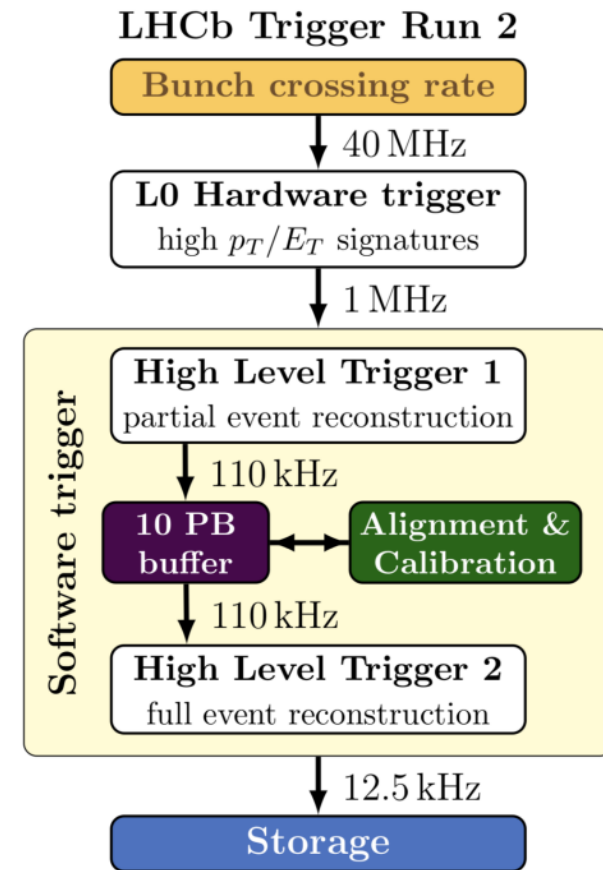
The Measurements

Disclaimer: Focusing on LHCb

- Majority of **recent** results
- Belle II talk tomorrow

Charm physics recipe

- Each second LHC produces $\mathcal{O}(1\text{M})$ c -hadrons.
- Trigger selects interesting events, makes data rate manageable
- We then need to:
 - Separate signal from background (either combinatorial or similar decay modes).
 - Identify flavour of the particles at production
 - Measure time-evolution, and often kinematics of decay products.
 - Understand detector response.



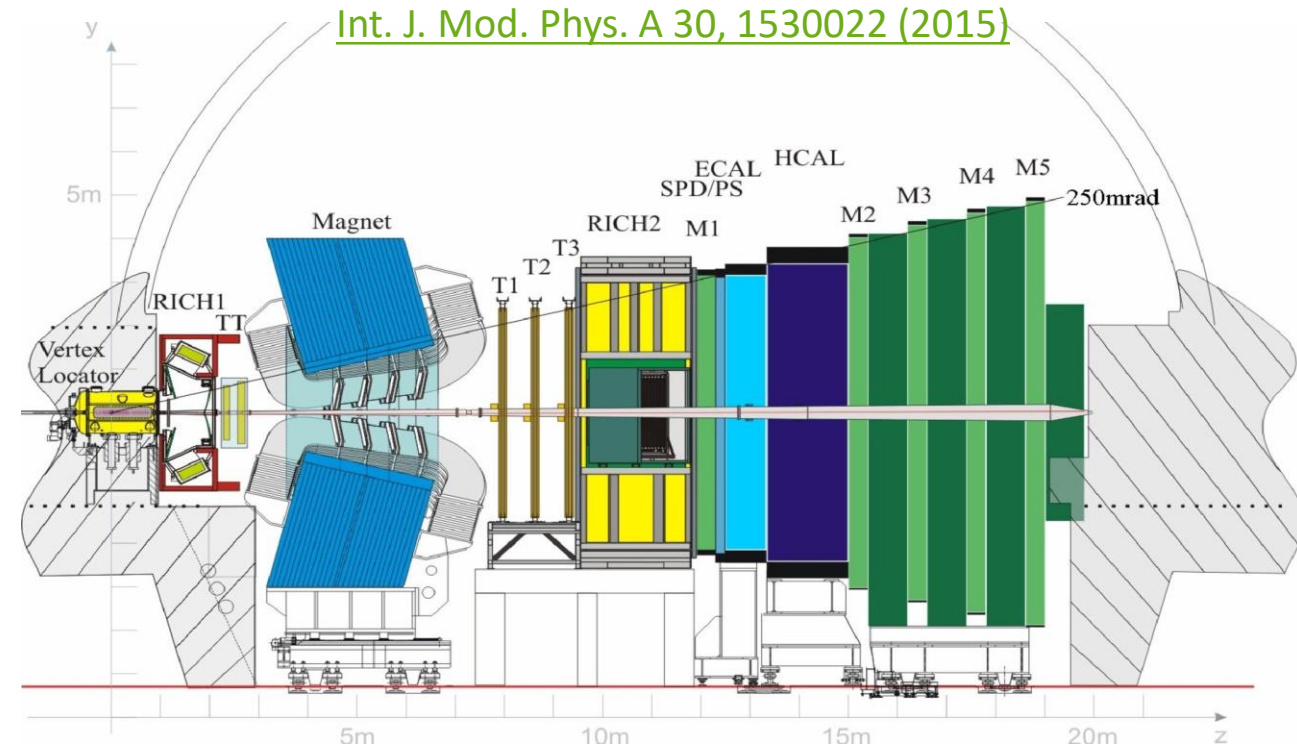
LHCb detector

- LHCb is designed for precision measurements of b - and c -hadrons.
- Well-equipped to meet challenges.

- Precision vertexing
 - $20 \mu\text{m}$ impact parameter (IP) precision
 - Decay-time resolution of $\sim 0.1 \times \tau(D^0)$

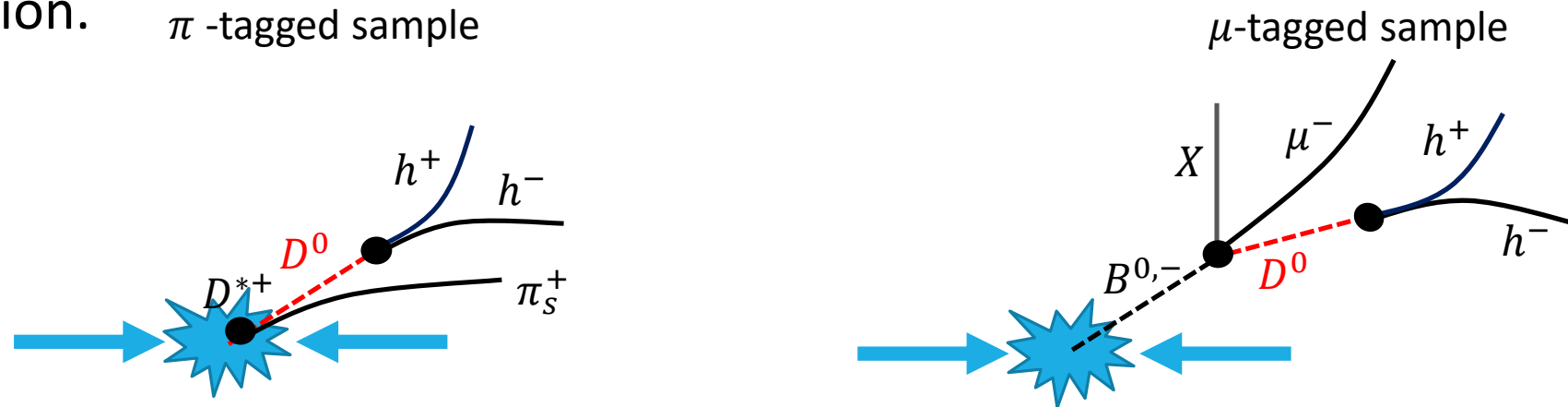
- Tracking stations + magnet
 - $\Delta p/p = 0.4 - 0.6\%$ at 5-100 GeV/c
 - $\sim 8 \text{ MeV}/c$ $M(D^0)$ resolution
 - Magnet polarity regularly changed

- Charged hadron identification



Reconstruction of D -mesons

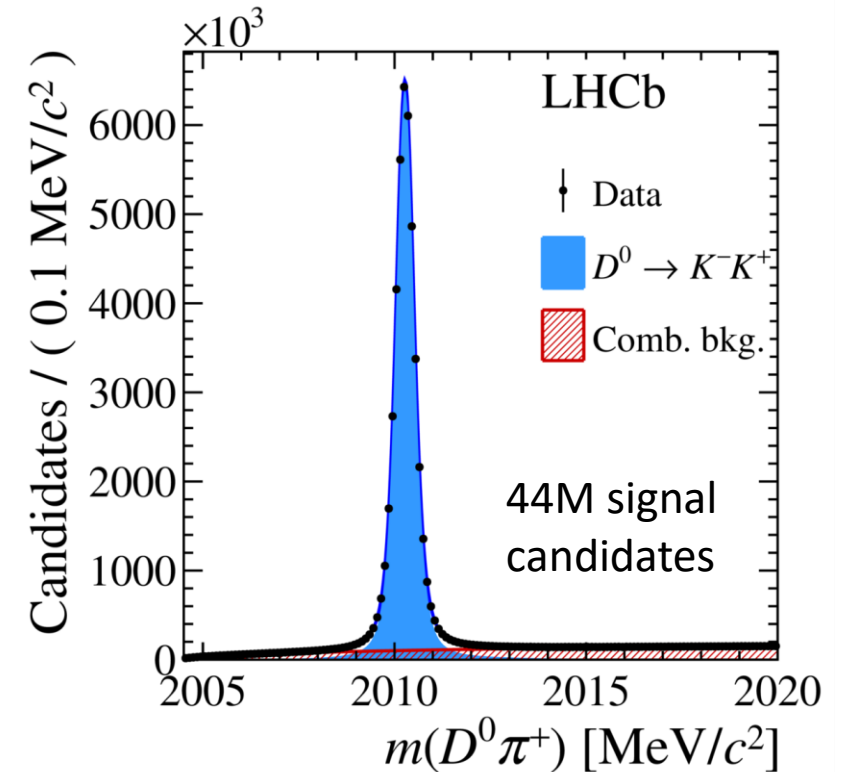
- Make use of two (mostly) independent samples with “perfect” tagging of flavour at production.



- Each presents different challenges.
- Useful for cross-checks.
- Disentangled using IP with respect to primary vertex (PV)
 - Not perfect- need to control cross-feed between the different samples.

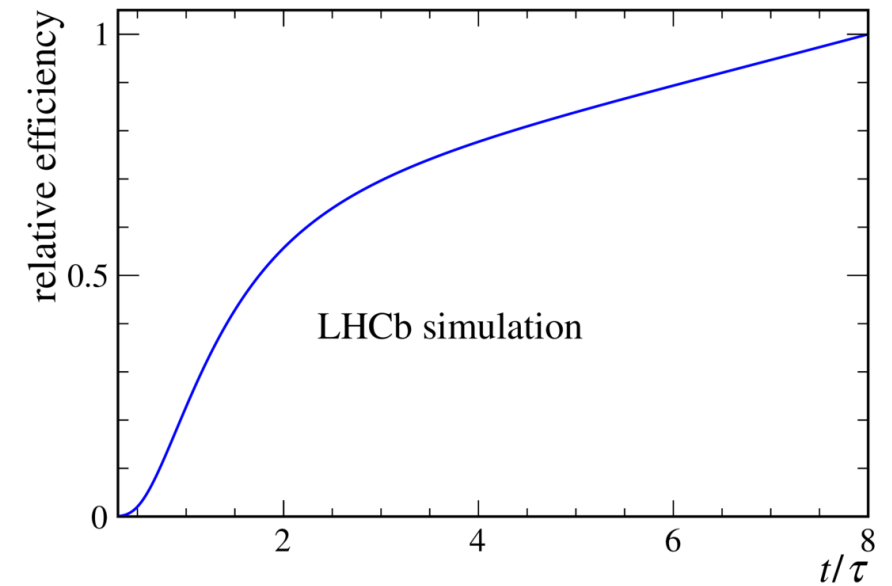
LHCb data selection

- The π -tagged sample is reconstructed and mostly selected online using Turbo stream [Comput. Phys. Commun. 208 (2016) 35].
- Fairly simple candidate selection requirements:
 - Quality of reconstructed tracks, their PID information
 - Momentum transverse to beam line (p_T) of tracks and D^0 candidate.
 - D^0 vertex quality and impact parameter
- Remaining background *mostly* smooth, combinatorial in nature
 - Dedicated studies to control small non-combinatorial backgrounds



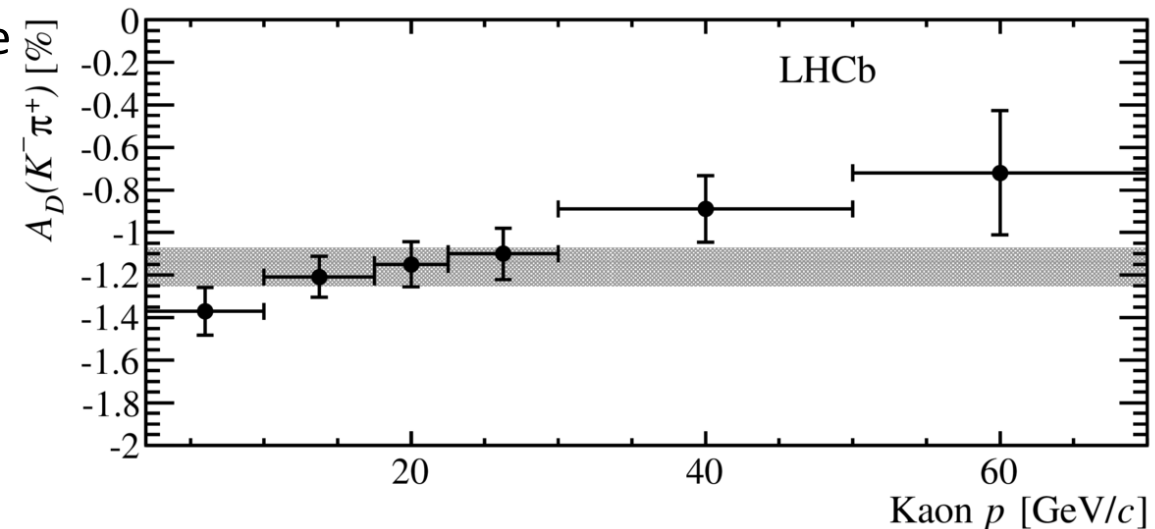
Typical experimental challenges

- Main challenge common to all charm analyses is understanding detector response.
- Acceptance effects
 - How do reconstruction and selection requirements sculpt the data?
- Charge asymmetries
 - Detection asymmetries due to different interaction with matter
 - Reconstruction asymmetries



Detector response strategies

- Monte Carlo simulation can sometimes be used to study these effects.
 - Often too computationally demanding. Requires huge samples!
 - Simulations imperfect, needs corrections which have their own limitations.
- Calibration data: high statistics control samples where “physics” effects well-understood.
 - Not always possible.
- Design analyses and observables to be as insensitive as possible to these effects.



Time-integrated measurements

Search for time-integrated CP asymmetries

- Consider a classic example: measure differences in the decay rates to Cabibbo suppressed final-states ($f = K^+K^-, \pi^+\pi^-$)

$$A_{CP}(f) = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow f)}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow f)}$$

- Experimentally, can easily measure “raw” asymmetry from number of reconstructed signal events “ N ”

$$A_{raw}(f) = \frac{N(D^0 \rightarrow f) - N(\bar{D}^0 \rightarrow f)}{N(D^0 \rightarrow f) + N(\bar{D}^0 \rightarrow f)}$$

- Does not correspond exactly to $A_{CP}(f)$ due to production and detection induced asymmetries!

Asymmetry definition

- To a good approximation (10^{-6}), the asymmetries can be written as

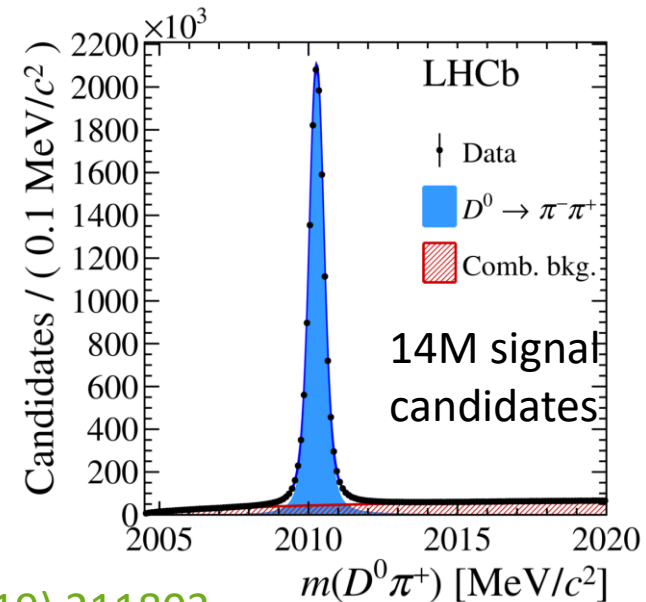
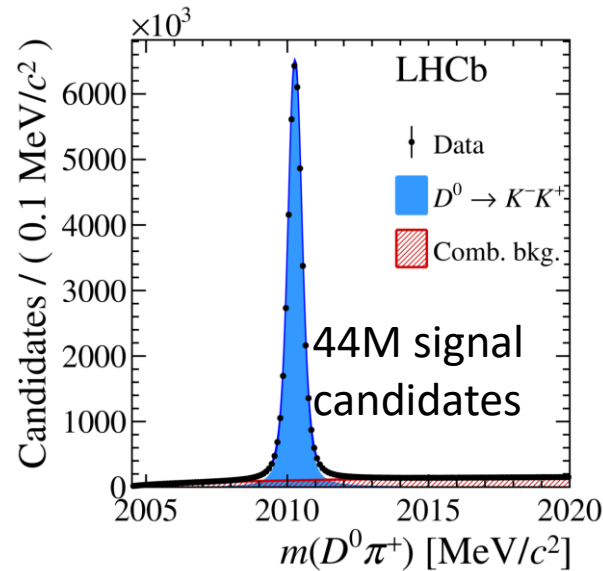
$$A_{raw}(f) = A_{CP}(f) + \overset{=0}{A_D}(f) + A_D(\pi_S^+) + A_P(D^{*+})$$

- CP asymmetry, the goal
- Charge-dependent asymmetry coming from material interaction, reconstruction, etc.
- D^{*+} production asymmetry
- We can cancel all nuisance asymmetries by taking the difference!

$$\Delta A_{CP} \equiv A_{raw}(K^+K^-) - A_{raw}(\pi^+\pi^-) = A_{CP}(K^+K^-) - A_{CP}(\pi^+\pi^-)$$

ΔA_{raw} fit

- Invariant mass distribution $m(D^0\pi)$ of reconstructed candidates allow for disentangling the signal components from backgrounds of randomly combined particles.
- Simultaneous fit to D^{*+} and D^{*-} determines ΔA_{raw}



[Phys. Rev. Lett. 122 \(2019\) 211803](#)

Results

- Combination of π and μ tagged sample results gives an LHCb Run 1+2 measurement of:

$$\Delta A_{CP} = (-15.4 \pm 2.9) \times 10^{-4}$$

- Results are compatible with previous LHCb results and world average.

At 5.3 standard deviations from zero, this was first observation of CP violation in decay of charm hadrons!

- Great example of constructing observables to be insensitive to experimental effects

Direct vs indirect CP asymmetry

○ What has been measured is a time-integrated asymmetry

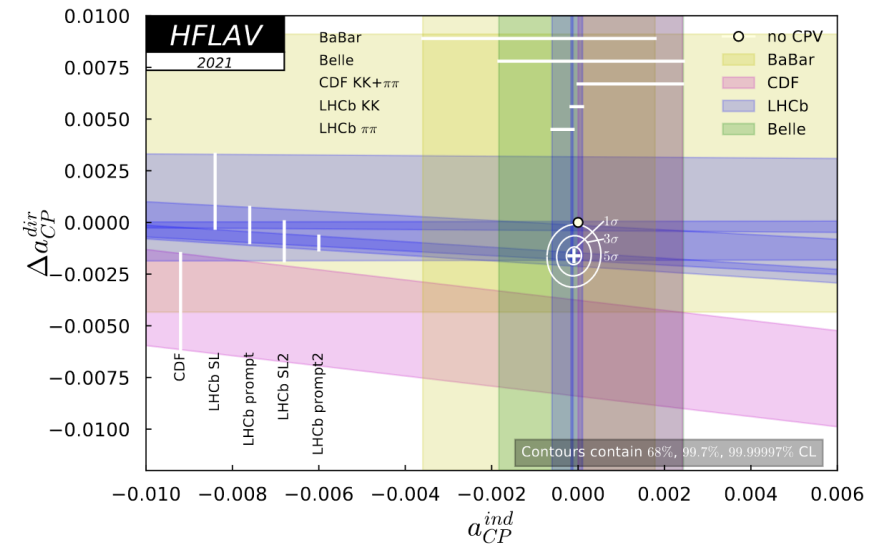
➤ Contributions possible from CP violation in mixing, and interference between mixing and decay

○ Can extract direct CP violation from

$$A_{CP}(f) \approx a_{CP}^{\text{dir}}(f) + \frac{\langle t(f) \rangle}{\tau(D^0)} a_{CP}^{\text{ind}}(f)$$

○ At current sensitivity can take a_{CP}^{ind} to be independent of final-state

$$\Delta A_{CP}(f) \approx \Delta a_{CP}^{\text{dir}} + \frac{\Delta \langle t \rangle}{\tau(D^0)} a_{CP}^{\text{ind}}$$



Current world average:

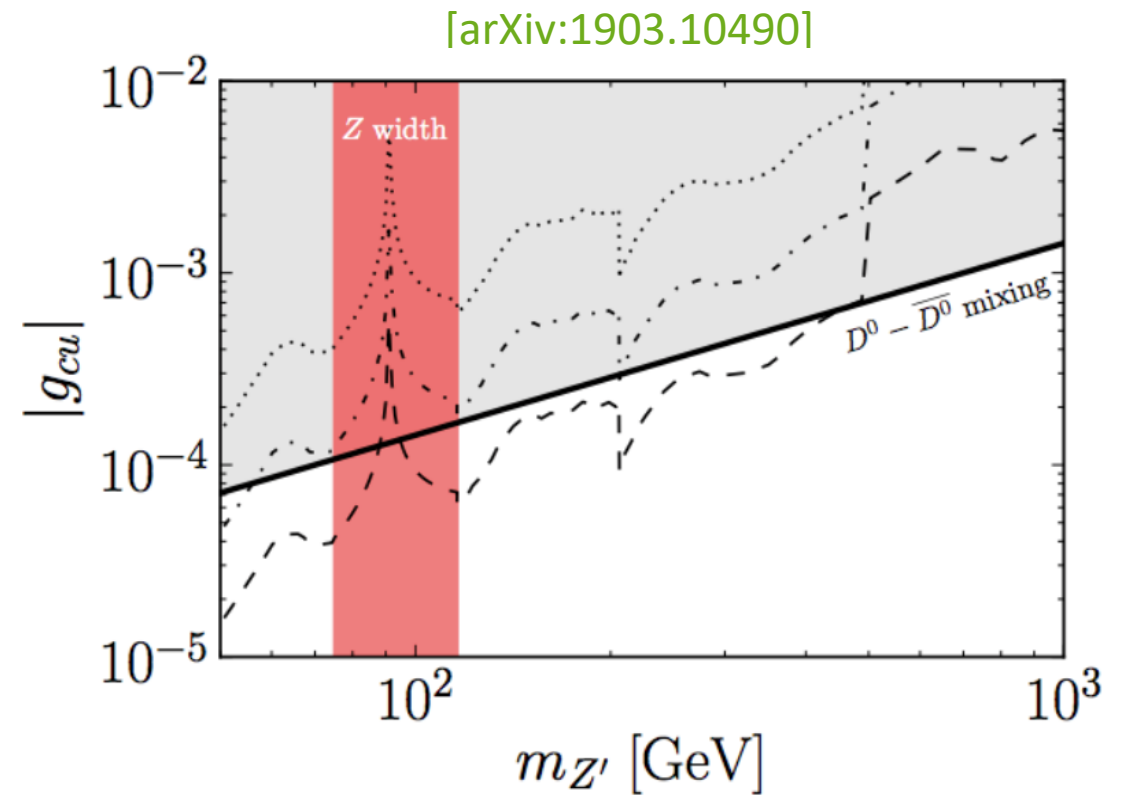
$$\Delta a_{CP}^{\text{dir}} = (-16.1 \pm 2.8) \times 10^{-4}$$

$$a_{CP}^{\text{ind}} = (-1.0 \pm 1.2) \times 10^{-4}$$

No CPV probability: 6.9×10^{-8}

SM or not?

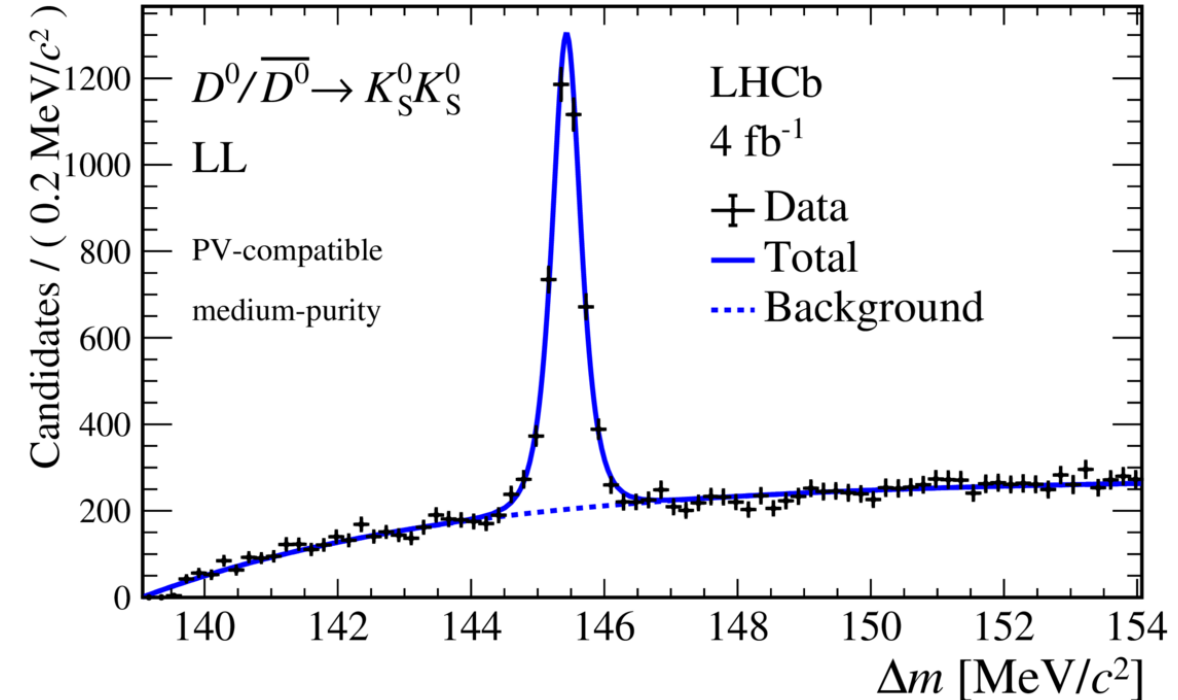
- Comparison to SM prediction is very difficult
- Low-energy strong-interaction effects difficult to calculate.
- Renewed interest in calculating these effects in SM
- Also investigations of possible enhancements from NP contributions



Other measurements

- More needed to test relations between CP asymmetries
 - Constrain flavour-SU(3) breaking effects
 - Give information on effect of final-state interactions and strong dynamics
- Measurements of individual asymmetries $A_{CP}(K^+K^-)$, $A_{CP}(\pi^+\pi^-)$ will be crucial
- Many more decay modes, e.g. $A_{CP}(K_S^0K_S^0)$, ...

[Eur. Phys. J. C80 \(2020\) 986](#)



Multi-body decays

- "Multi-body" decay modes are promising
- Strong phase varies over the available phase space
 - Some regions may have enhanced sensitivity
- Challenges include:
 - Efficiencies (including charge-dependence) vary over the phase space
 - Understanding of contributing amplitudes
 - Theoretical interpretation may not be straightforward

Table 5: CP -violation parameters fitted simultaneously to the D^0 and (CP -transformed) \bar{D}^0 samples. The first uncertainty is statistical and the second is systematic.

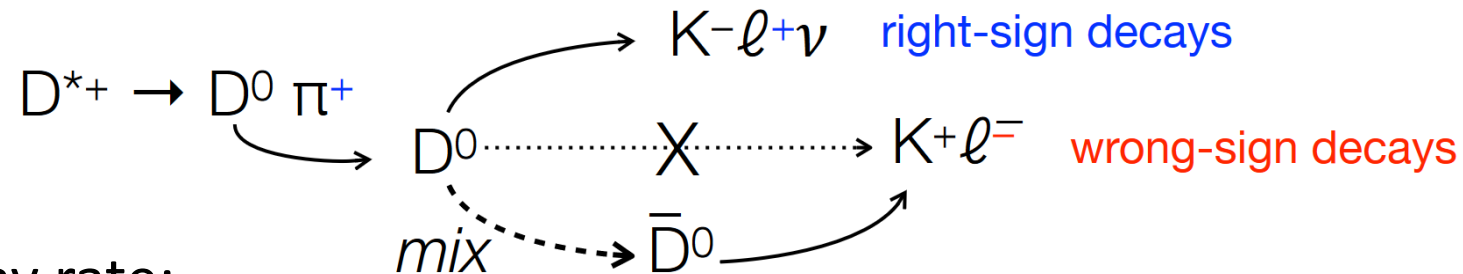
| Amplitude | $A_{ c_k }$ [%] | $\Delta \arg(c_k)$ [%] | $A_{\mathcal{F}_k}$ [%] |
|--|------------------------|-------------------------|--------------------------|
| $D^0 \rightarrow [\phi(1020)(\rho - \omega)]_{L=0}$ | 0 (fixed) | 0 (fixed) | $-1.8 \pm 1.5 \pm 0.2$ |
| $D^0 \rightarrow K_1(1400)^+ K^-$ | $-1.4 \pm 1.1 \pm 0.2$ | $1.3 \pm 1.5 \pm 0.3$ | $-4.5 \pm 2.1 \pm 0.3$ |
| $D^0 \rightarrow [K^- \pi^+]_{L=0} [K^+ \pi^-]_{L=0}$ | $1.9 \pm 1.1 \pm 0.3$ | $-1.2 \pm 1.3 \pm 0.3$ | $2.0 \pm 1.8 \pm 0.7$ |
| $D^0 \rightarrow K_1(1270)^+ K^-$ | $-0.4 \pm 1.0 \pm 0.2$ | $-1.1 \pm 1.4 \pm 0.2$ | $-2.6 \pm 1.7 \pm 0.2$ |
| $D^0 \rightarrow [K^*(892)^0 \bar{K}^*(892)^0]_{L=0}$ | $-1.3 \pm 1.3 \pm 0.3$ | $-1.7 \pm 1.5 \pm 0.2$ | $-4.3 \pm 2.2 \pm 0.5$ |
| $D^0 \rightarrow K^*(1680)^0 [K^- \pi^+]_{L=0}$ | $2.2 \pm 1.3 \pm 0.3$ | $1.4 \pm 1.5 \pm 0.2$ | $2.6 \pm 2.2 \pm 0.4$ |
| $D^0 \rightarrow [K^*(892)^0 \bar{K}^*(892)^0]_{L=1}$ | $-0.4 \pm 1.7 \pm 0.2$ | $3.7 \pm 2.0 \pm 0.2$ | $-2.6 \pm 3.2 \pm 0.3$ |
| $D^0 \rightarrow K_1(1270)^- K^+$ | $2.6 \pm 1.7 \pm 0.4$ | $-0.1 \pm 2.1 \pm 0.3$ | $3.3 \pm 3.5 \pm 0.5$ |
| $D^0 \rightarrow [K^+ K^-]_{L=0} [\pi^+ \pi^-]_{L=0}$ | $3.5 \pm 2.5 \pm 1.5$ | $-5.5 \pm 2.6 \pm 1.6$ | $5.1 \pm 5.1 \pm 3.1$ |
| $D^0 \rightarrow K_1(1400)^- K^+$ | $0.2 \pm 2.9 \pm 0.7$ | $2.5 \pm 3.5 \pm 1.0$ | $-1.3 \pm 6.0 \pm 1.0$ |
| $D^0 \rightarrow [K^*(1680)^0 \bar{K}^*(892)^0]_{L=0}$ | $4.0 \pm 2.7 \pm 0.8$ | $-5.4 \pm 2.8 \pm 0.8$ | $6.2 \pm 5.2 \pm 1.5$ |
| $D^0 \rightarrow [\bar{K}^*(1680)^0 K^*(892)^0]_{L=1}$ | $-0.4 \pm 2.1 \pm 0.3$ | $0.4 \pm 2.1 \pm 0.3$ | $-2.5 \pm 3.9 \pm 0.4$ |
| $D^0 \rightarrow \bar{K}^*(1680)^0 [K^+ \pi^-]_{L=0}$ | $2.1 \pm 2.0 \pm 0.6$ | $-1.8 \pm 2.2 \pm 0.3$ | $2.4 \pm 3.7 \pm 1.1$ |
| $D^0 \rightarrow [\phi(1020)(\rho - \omega)]_{L=2}$ | $0.8 \pm 1.9 \pm 0.3$ | $-1.2 \pm 2.0 \pm 0.5$ | $-0.1 \pm 3.3 \pm 0.5$ |
| $D^0 \rightarrow [K^*(892)^0 \bar{K}^*(892)^0]_{L=2}$ | $-0.6 \pm 2.5 \pm 0.4$ | $0.6 \pm 2.6 \pm 0.4$ | $-3.0 \pm 5.0 \pm 0.7$ |
| $D^0 \rightarrow \phi(1020) [\pi^+ \pi^-]_{L=0}$ | $3.8 \pm 3.1 \pm 0.7$ | $-0.5 \pm 3.9 \pm 0.7$ | $5.8 \pm 6.1 \pm 0.8$ |
| $D^0 \rightarrow [K^*(1680)^0 \bar{K}^*(892)^0]_{L=1}$ | $1.6 \pm 2.8 \pm 0.5$ | $0.7 \pm 3.0 \pm 0.4$ | $1.3 \pm 5.3 \pm 0.6$ |
| $D^0 \rightarrow [\phi(1020)\rho(1450)^0]_{L=1}$ | $4.6 \pm 4.1 \pm 0.6$ | $9.3 \pm 3.3 \pm 0.6$ | $7.5 \pm 8.5 \pm 1.1$ |
| $D^0 \rightarrow a_0(980)^0 f_2(1270)^0$ | $1.6 \pm 3.6 \pm 0.7$ | $-7.3 \pm 3.3 \pm 0.8$ | $1.5 \pm 7.2 \pm 1.3$ |
| $D^0 \rightarrow a_1(1260)^+ \pi^-$ | $-4.4 \pm 5.6 \pm 3.7$ | $9.3 \pm 6.1 \pm 1.3$ | $-10.6 \pm 11.7 \pm 7.0$ |
| $D^0 \rightarrow a_1(1260)^- \pi^+$ | $-3.4 \pm 7.0 \pm 1.9$ | $-5.8 \pm 5.6 \pm 4.3$ | $-8.7 \pm 13.7 \pm 2.9$ |
| $D^0 \rightarrow [\phi(1020)(\rho - \omega)]_{L=1}$ | $2.1 \pm 5.2 \pm 0.8$ | $-12.2 \pm 5.5 \pm 0.6$ | $2.4 \pm 11.0 \pm 1.4$ |
| $D^0 \rightarrow [K^*(1680)^0 \bar{K}^*(892)^0]_{L=2}$ | $5.2 \pm 7.1 \pm 1.9$ | $-5.6 \pm 8.1 \pm 1.3$ | $8.5 \pm 14.3 \pm 3.5$ |
| $D^0 \rightarrow [K^+ K^-]_{L=0} (\rho - \omega)^0$ | $11.7 \pm 6.0 \pm 1.9$ | $4.8 \pm 6.2 \pm 1.1$ | $21.3 \pm 12.5 \pm 2.8$ |
| $D^0 \rightarrow [\phi(1020)f_2(1270)^0]_{L=1}$ | $2.7 \pm 6.7 \pm 1.7$ | $0.9 \pm 6.0 \pm 1.7$ | $3.6 \pm 13.3 \pm 3.0$ |
| $D^0 \rightarrow [K^*(892)^0 \bar{K}_2^*(1430)^0]_{L=1}$ | $3.9 \pm 5.2 \pm 1.0$ | $6.8 \pm 6.4 \pm 1.4$ | $6.1 \pm 10.8 \pm 1.8$ |

JHEP 02 (2019) 126

Time-dependent measurements

Semileptonic decays

- Clear sign of mixing would be a “wrong-sign” (WS) decay



Expected decay rate:

$$\Gamma(D^0(t) \rightarrow K^+ l^- \bar{\nu}) \propto e^{-\Gamma t} |A(\bar{D}^0 \rightarrow K^+ l^- \bar{\nu})|^2 \left| \frac{q}{p} \right|^2 \frac{x^2 + y^2}{4} (\Gamma t)^2$$

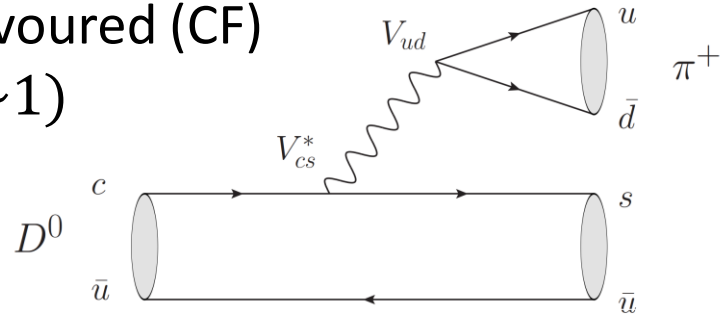
Time integrated wrong-sign (WS) to right-sign (RS) ratio gives

$$R = \left| \frac{q}{p} \right|^2 \frac{x^2 + y^2}{2}$$

$$D^0 \rightarrow K^{\mp} \pi^{\pm}$$

Cabibbo-favoured (CF)

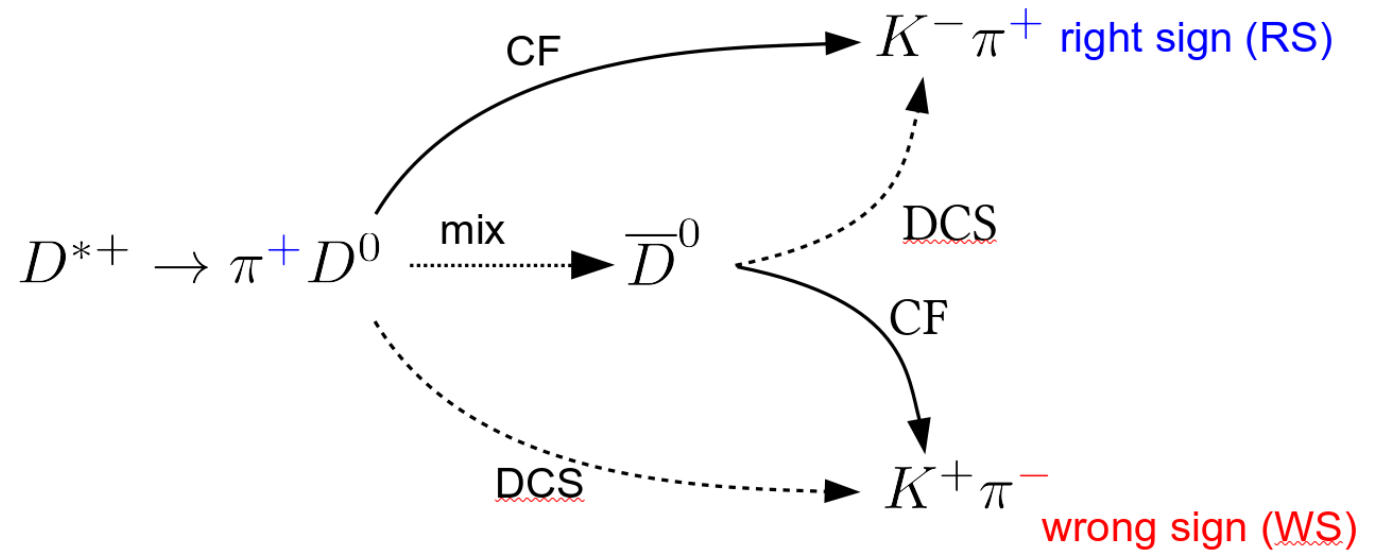
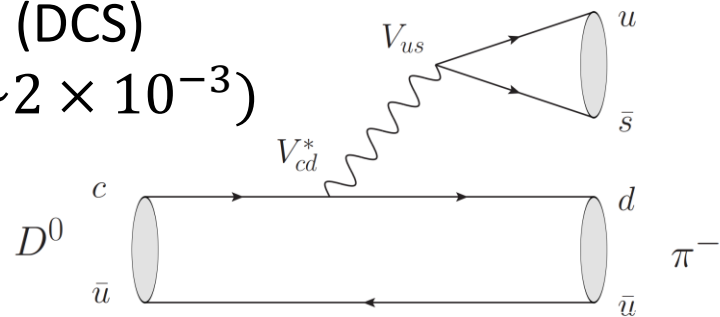
$$(|V_{cs}^* V_{ud}|^2 \sim 1)$$



Doubly-Cabibbo

suppressed (DCS)

$$(|V_{cd}^* V_{us}|^2 \sim 2 \times 10^{-3})$$



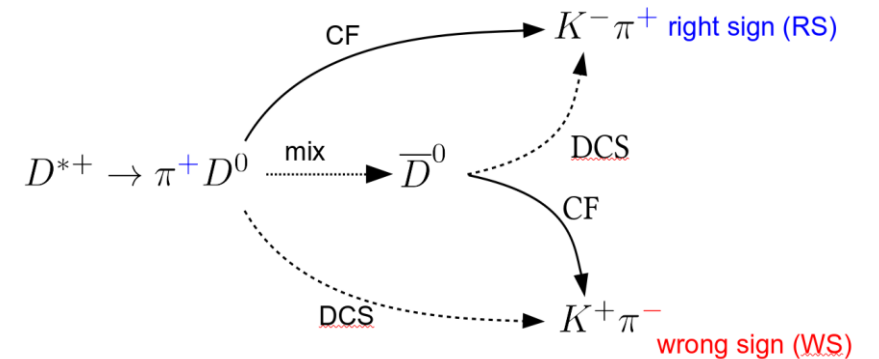
$$D^0 \rightarrow K^{\mp} \pi^{\pm}$$

- Measure ratio of WS to RS as a function of D^0 decay time:

- $R(t) \propto R_D + \left| \frac{q}{p} \right| \sqrt{R_D} (y' \cos \phi - x' \sin \phi) \Gamma t + \left| \frac{q}{p} \right|^2 \frac{x^2 + y^2}{4} (\Gamma t)^2$

$$\frac{q}{p} \frac{A(D^0 \rightarrow K^+ \pi^-)}{A(\bar{D}^0 \rightarrow K^+ \pi^-)} = - \left| \frac{q}{p} \right| \sqrt{R_D} e^{-i(\delta + \phi)} \quad , \quad \begin{aligned} x' &= x \cos \delta + y \sin \delta \\ y' &= y \cos \delta - x \sin \delta \end{aligned}$$

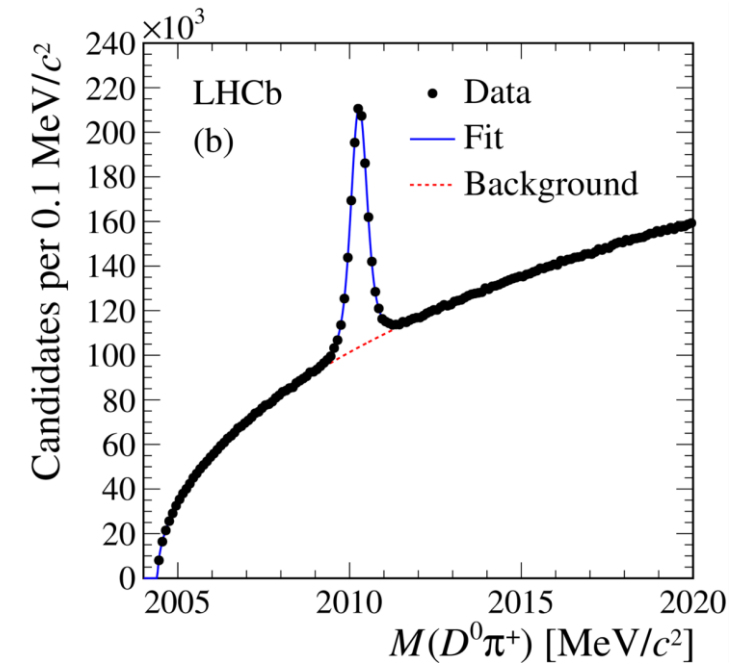
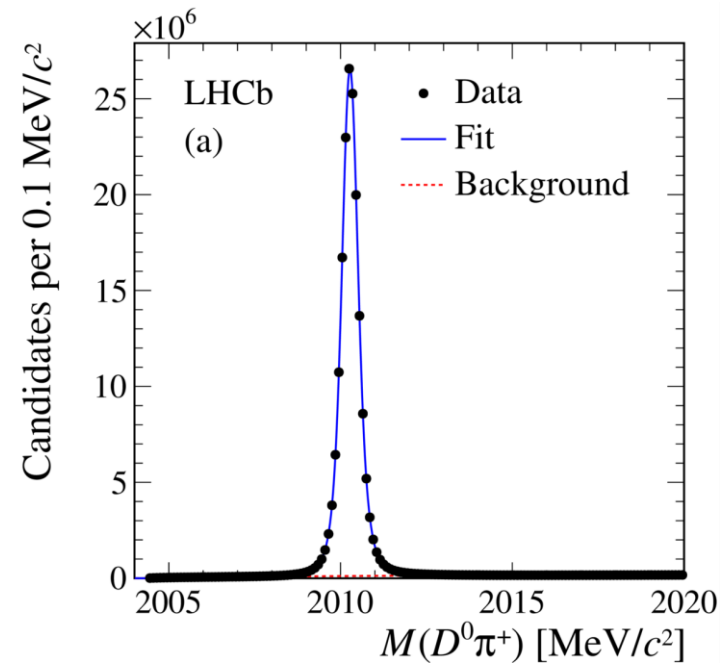
- Additional interference term:
 - More sensitive to mixing
 - Need time-dependent analysis



$$D^0 \rightarrow K^{\mp} \pi^{\pm}$$

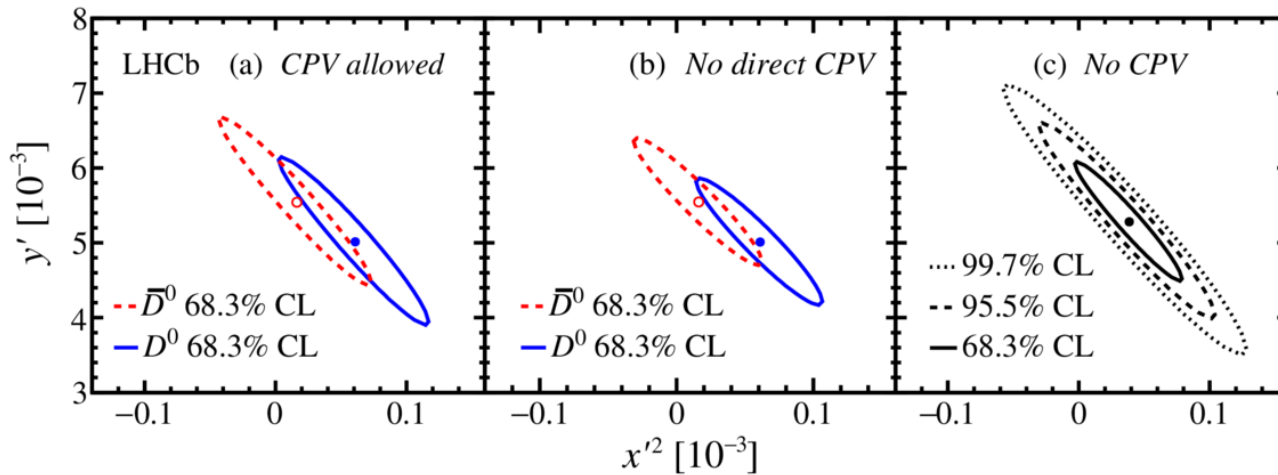
- To get dependence on decay time: fit the $M(D^0\pi^+)$ distribution in bins of decay time
- Form ratio WS/RS
- Example: LHCb data up through 2016:

[Phys. Rev. D97 \(2018\) 031101](#)

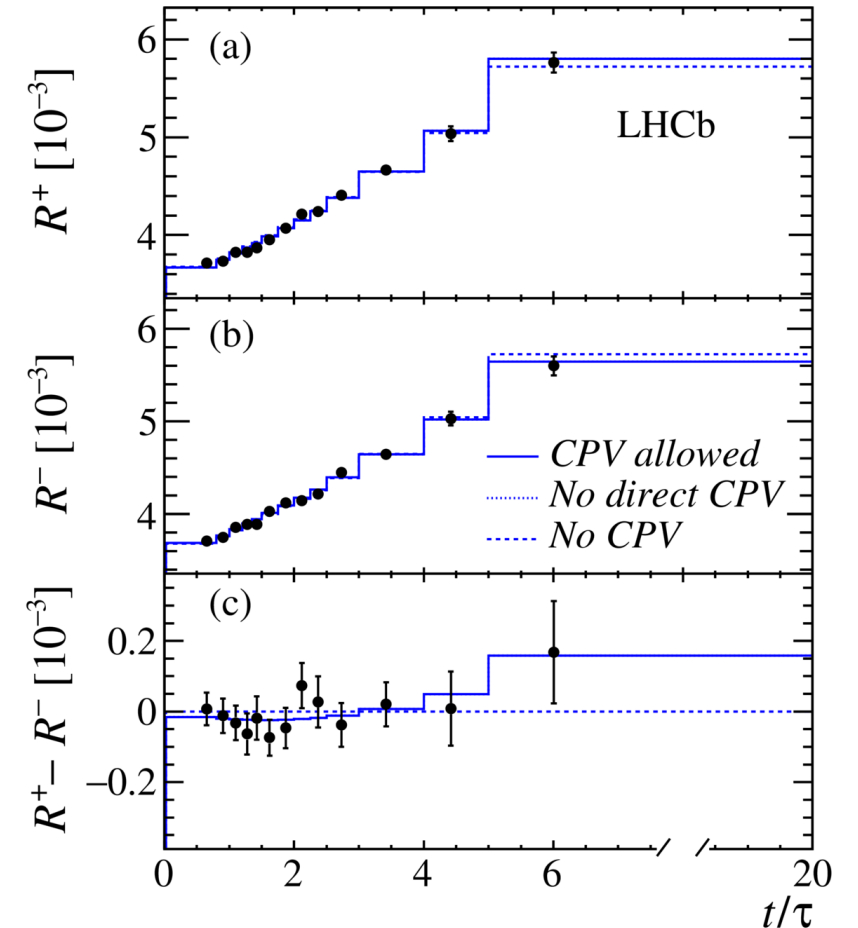


$$D^0 \rightarrow K^{\mp} \pi^{\pm}$$

- Perform fit to ratios under three different CPV hypotheses

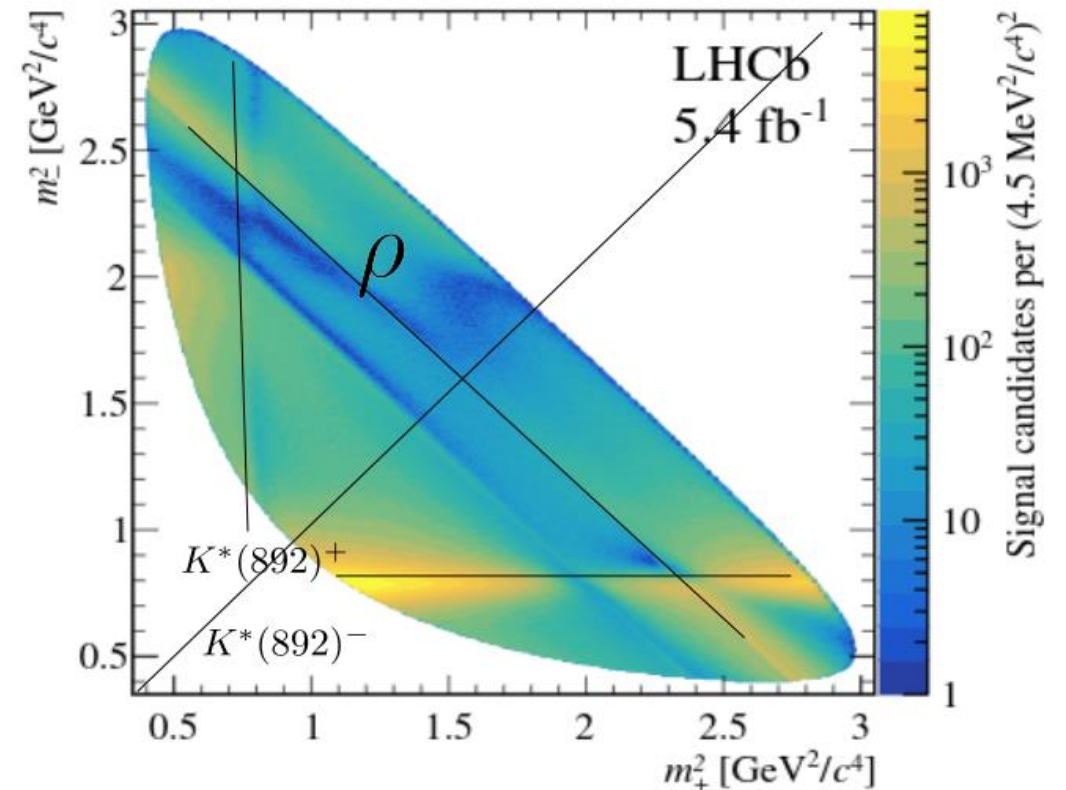


- In practice, a bit more complicated. But good illustration....



$$D^0 \rightarrow K_S \pi^+ \pi^-$$

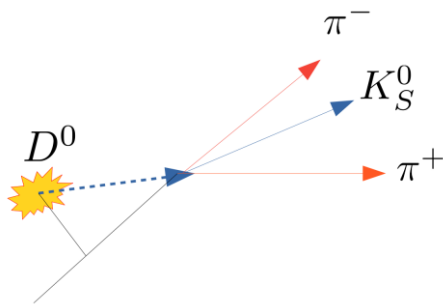
- Features a rich resonance substructure with varying strong phase across Dalitz plane.
- Manifestation of mixing will vary across Dalitz plot, depending on amplitudes present
- Pros: Good sensitivity and direct determination of $x, y, [q/p]$ and ϕ .
- Cons: Requires good understanding of decay dynamics and reconstruction effects over the Dalitz plane.



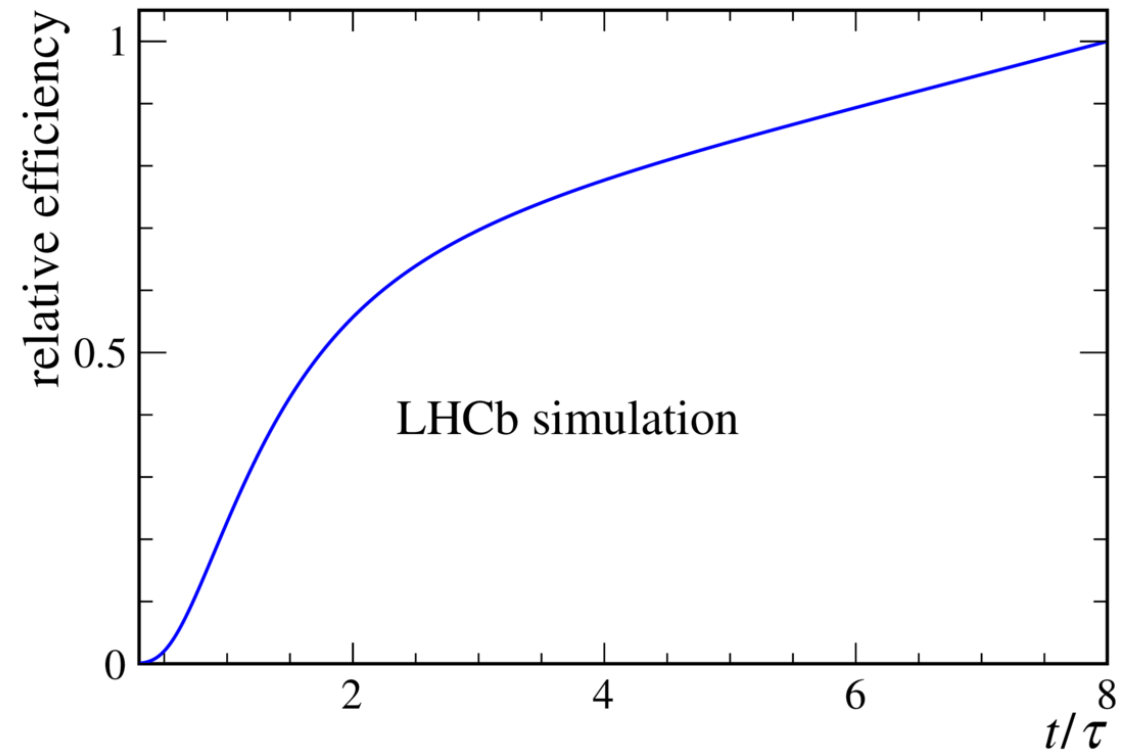
[Phys. Rev. Lett. 127, \(2021\) 111801](#)

Acceptance complications

- Efficiency with which candidates can be reconstructed varies as a function of D^0 decay-time.
- Needs to be well-understood in order to disentangle mixing and CP violation effects from detector effects!

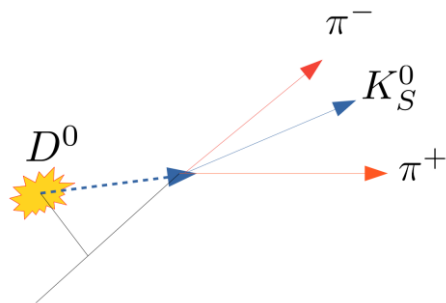


[Phys. Rev. Lett. 127, \(2021\) 111801](#)

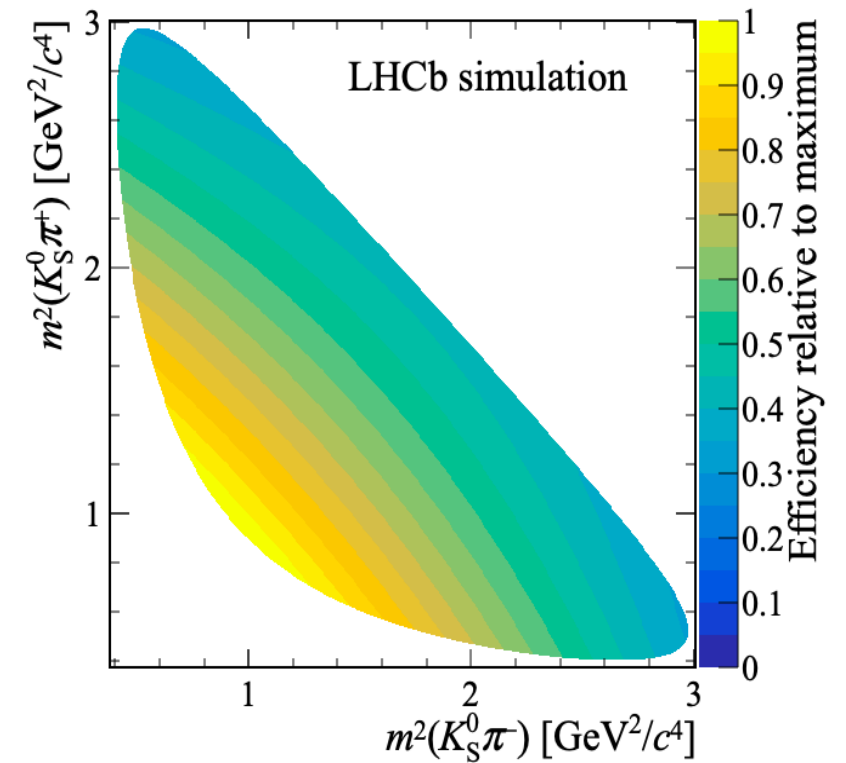


Efficiency complications

- Efficiency also varies over phase space
 - Due to correlation with kinematics, opening angles
- Necessary to understand how this shapes already complex distribution of decays over phase space.

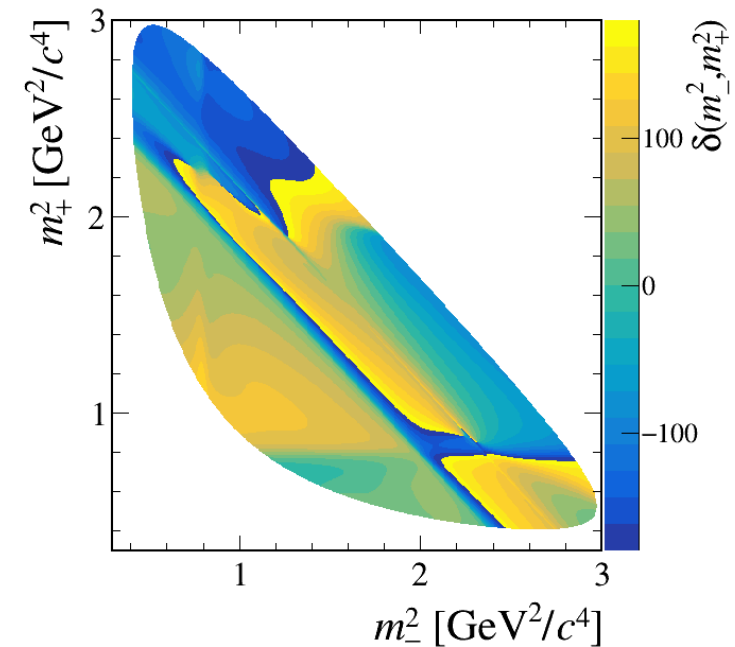
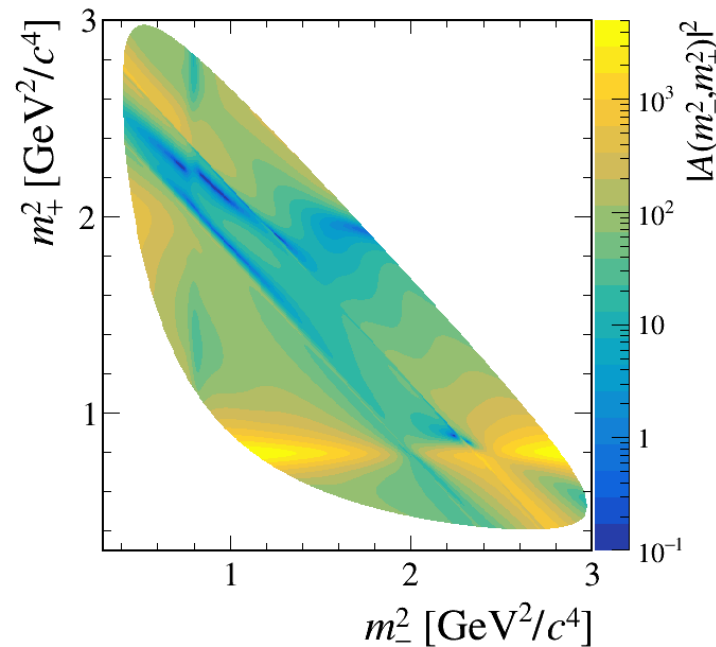


[Phys. Rev. Lett. 127, \(2021\) 111801](#)



$D^0 \rightarrow K_S \pi^+ \pi^-$ Methods

- Different approaches have been pursued
- Use amplitude model of decays
 - Used in first analyses by [Belle](#) and [Babar](#)
- Challenging for multiple reasons:
 - Determination of amplitudes
 - Assessment of systematic uncertainties



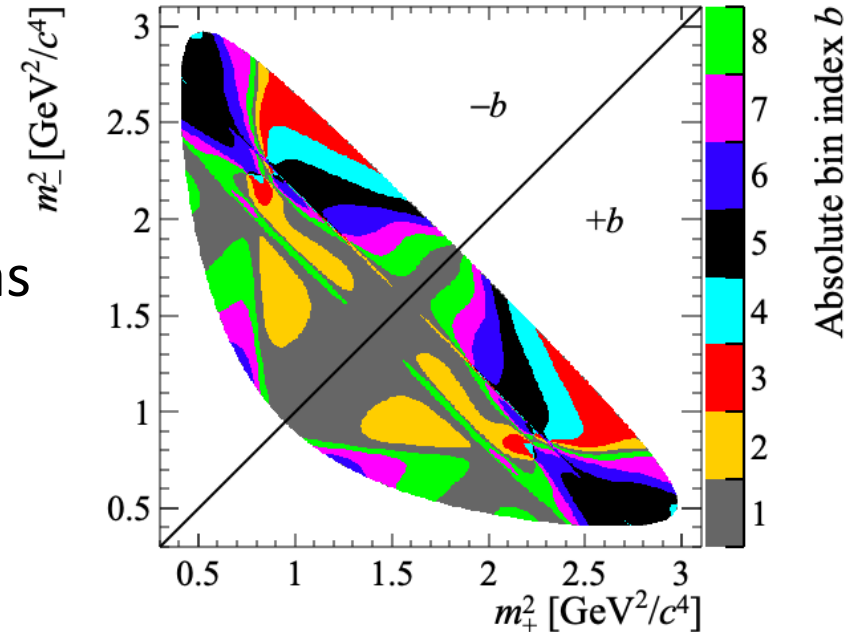
- Attractive alternatives are model-independent approaches

The “bin flip” method

- Approach for minimizing the above challenges:

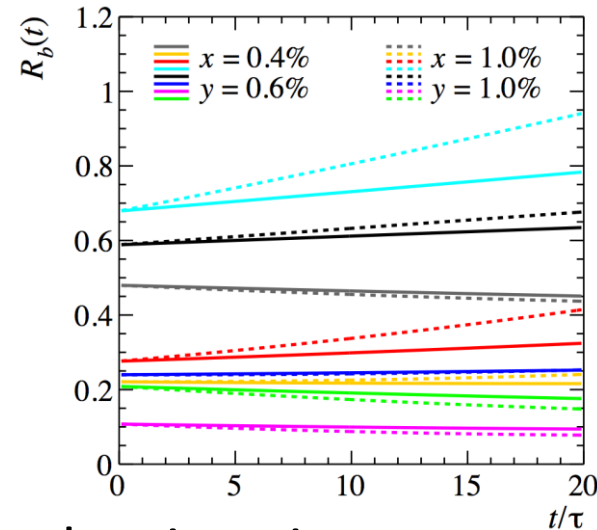
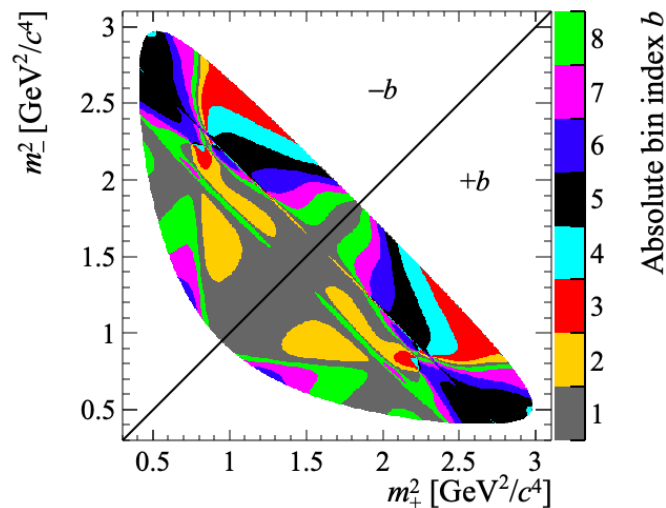
[arXiv:1811.01032](https://arxiv.org/abs/1811.01032)

- Data is binned according to Dalitz coordinates
 - External measurements of strong-phase variation used as constraints
 - Avoids modelling dynamics of D^0 decay
- Binned also in decay time
 - Ratio of yields in opposite Dalitz bins formed as function of decay-time
 - Cancellation of **most** acceptance effects.
 - Avoids complicated acceptance modelling.



The “bin flip” method

- Ratio of yields as a function of decay time gives sensitivity to mixing and CP violation parameters

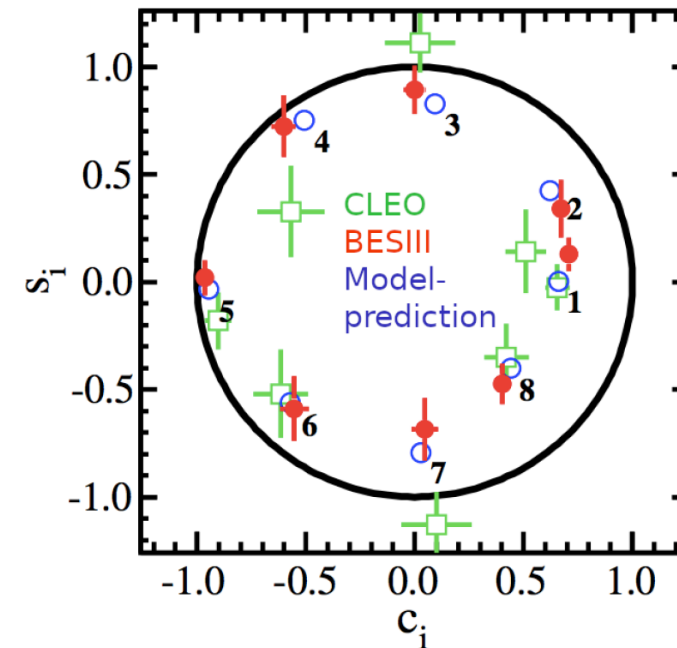
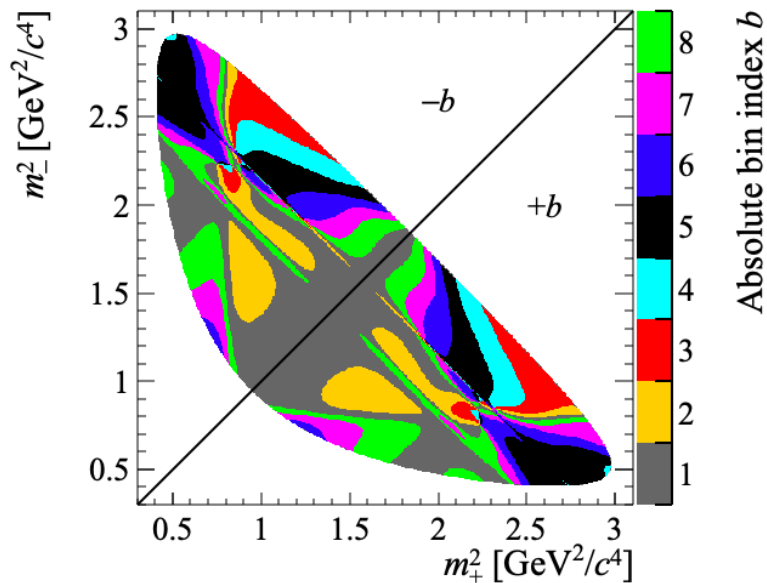


- Slopes in each bin determined by interplay of hadronic nuisance parameters and mixing parameters.

$$R_{bj}^{\pm} \approx r_b - \langle t \rangle_j \sqrt{r_b} [(1 - r_b)c_b y - (1 + r_b)s_b x]$$

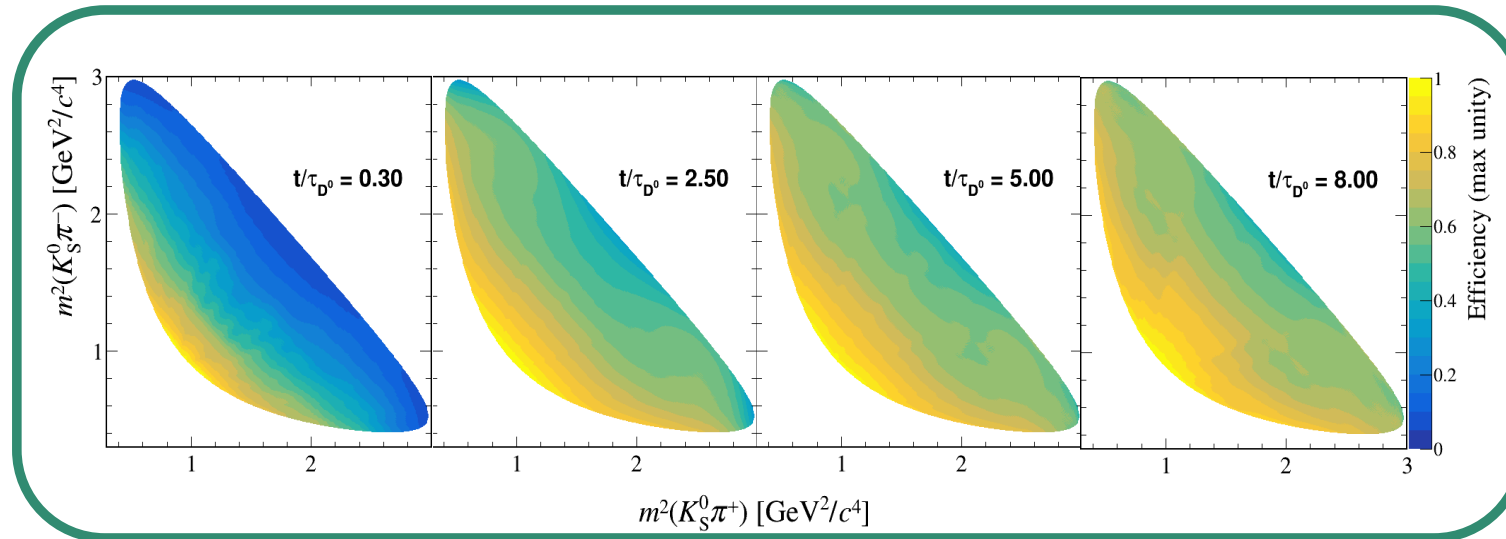
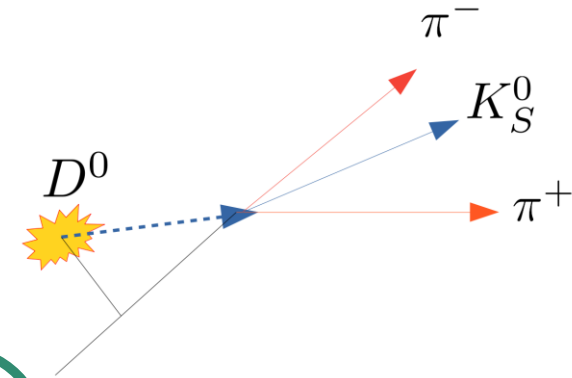
Strong phase input

- Minimises strong phase variation within regions of phase space
- Measured to good precision with quantum-correlated $D\bar{D}$ pairs:
 - CLEO (Phys. Rev. D72, 012001 (2005))
 - BESIII (Phys. Rev. D101, 112002 (2020))



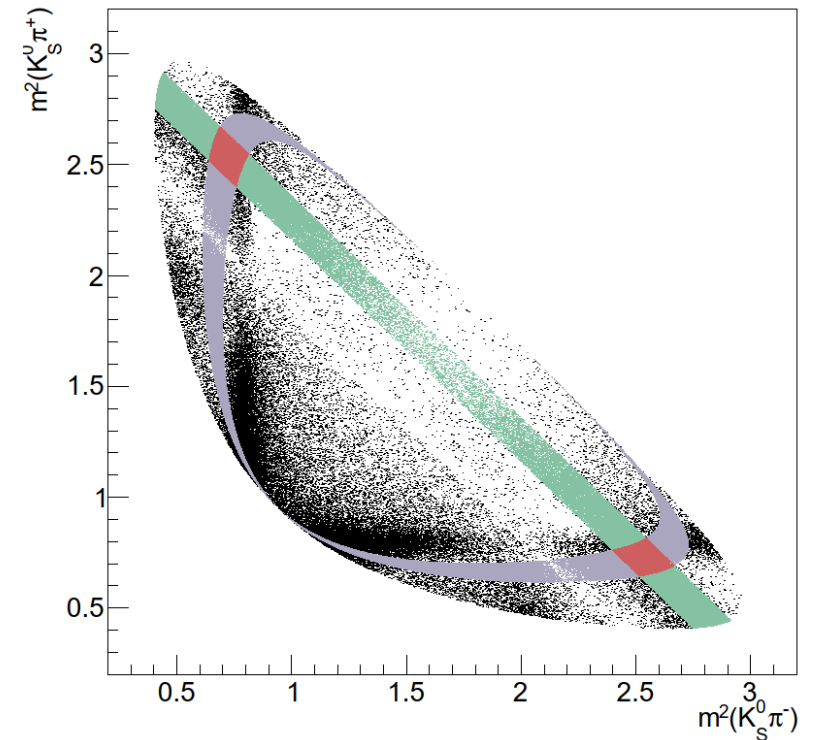
More efficiency complications

- Trigger requirements correlate Dalitz-plot coordinates with decay-time
- Can mimic mixing and create large biases if not corrected for



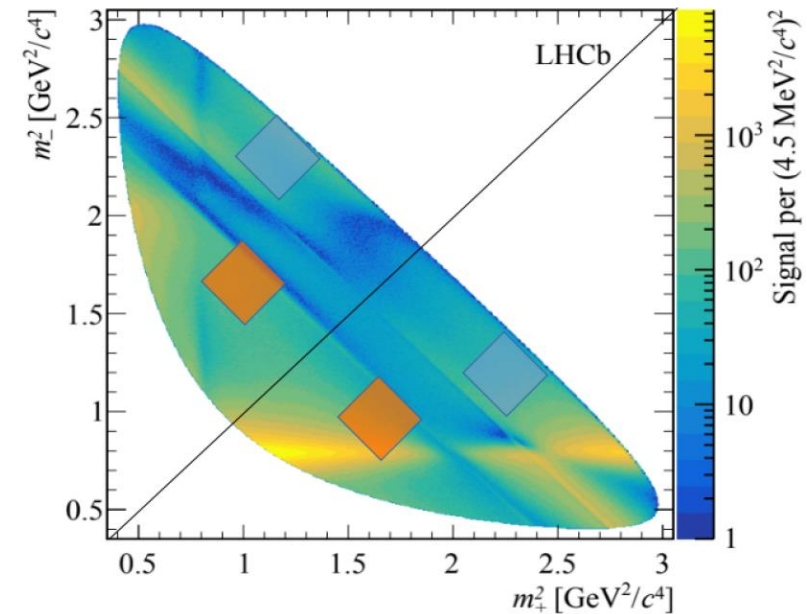
Efficiency complications

- Effect is symmetric with respect to Dalitz plot bisector (charge conjugation)
 - Insensitive to oscillations moving events from one side to the other
- Use data itself to get relative efficiencies of symmetric regions throughout phase space
- Small effect from y taken into account



More efficiency complications

- Robust against charge detection asymmetries for soft pion and K_S^0
- Momenta of $\pi^+\pi^-$ pair depend on Dalitz-plot coordinate, and opposite sign for D^0 and \bar{D}^0
 - Can mimic CP violation
- Asymmetry determined with Cabibbo favoured D_s^+ decays



$$A_{\text{meas}}(D_s^+ \rightarrow \pi^+\pi^+\pi^-) = A_{\text{det}}(\pi^+\pi^-) + A_{\text{det}}(\pi^+) + A_{\text{prod}}(D_s^+) + A_{\text{trigger}}(D_s^+)$$

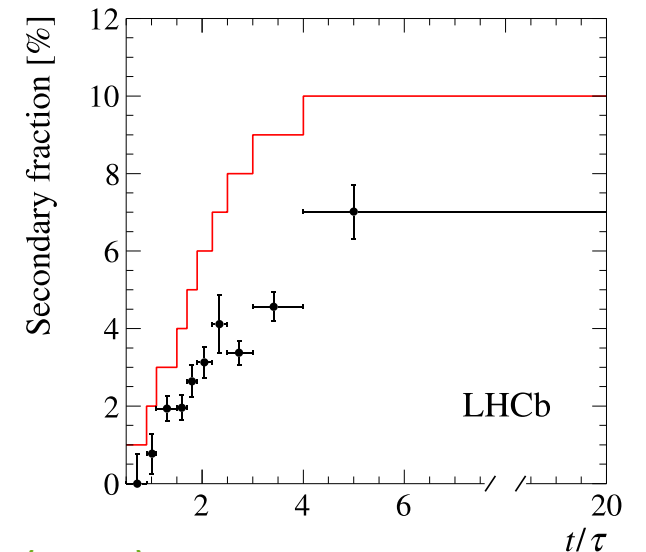
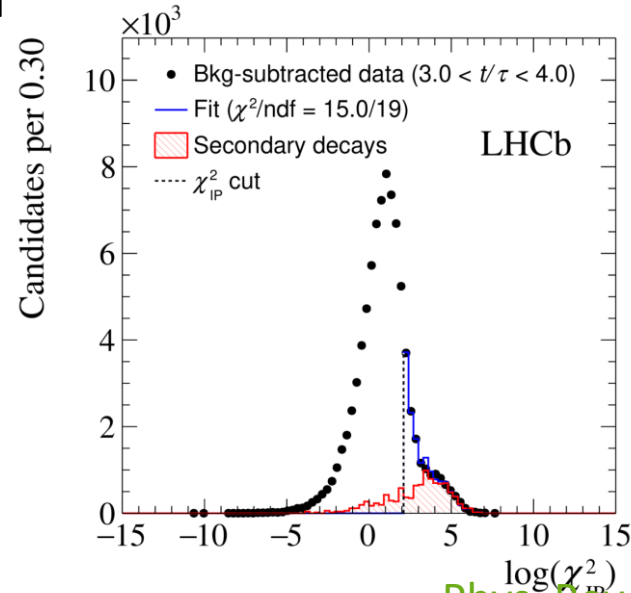
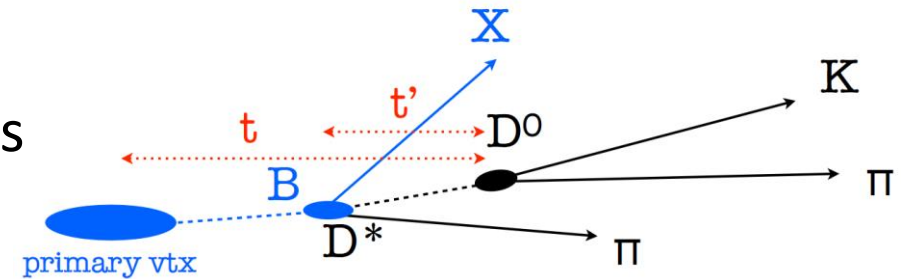
$$A_{\text{meas}}(D_s^+ \rightarrow \phi\pi^+) = A_{\text{det}}(\pi^+) + A_{\text{prod}}(D_s^+) + A_{\text{trigger}}(D_s^+)$$

➤ $\mathcal{O}(2 \times 10^{-3})$ correction applied to measured ratios

Contamination of b-hadrons in π -tagged sample

- b-hadron decays in π -tagged sample will have measured lifetime of D^0 biased towards larger values
- The oscillation rates will be dampened, CP asymmetries may be biased

- Fraction of such events is obtained in each decay time bin by fitting quantities related to impact parameter



Phys. Rev. Lett. 122 (2019) 231802

Measurement

- The ratio in Dalitz bin b and decay time bin j is given by

$$R_{bj}^{\pm} \approx \frac{r_b \left[1 + \frac{1}{4} \langle t^2 \rangle_j \text{Re}(z_{CP}^2 - \Delta z^2) \right] + \frac{1}{4} \langle t^2 \rangle_j |z_{CP} \pm \Delta z|^2 + \sqrt{r_b} \langle t \rangle_j \text{Re}[X_b^*(z_{CP} \pm \Delta z)]}{\left[1 + \frac{1}{4} \langle t^2 \rangle_j \text{Re}(z_{CP}^2 - \Delta z^2) \right] + \frac{1}{4} r_b \langle t^2 \rangle_j |z_{CP} \pm \Delta z|^2 + \sqrt{r_b} \langle t \rangle_j \text{Re}[X_b(z_{CP} \pm \Delta z)]}$$

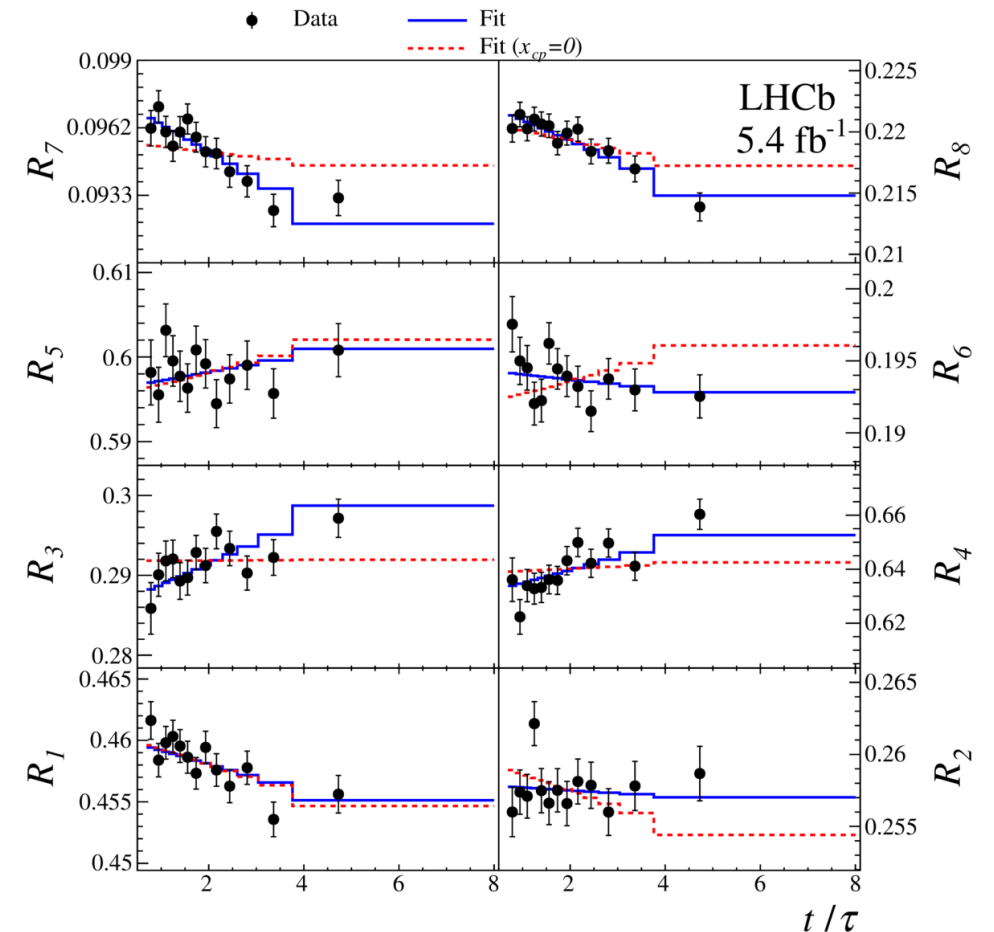
$$\begin{aligned} z_{CP} \pm \Delta z &= -(q/p)^{\pm 1} (y \pm ix) \\ x_{CP} &= -\text{Im}(z_{CP}), \quad y_{CP} = -\text{Re}(z_{CP}) \\ \Delta x &= -\text{Im}(\Delta z), \quad \Delta y = -\text{Re}(\Delta z) \end{aligned}$$

where $\langle t^{(2)} \rangle_j$ is average $t^{(2)}$ in bin j, r_b is ratio at $t=0$, and X_b includes strong phase information

- In limit of CP symmetry $x_{CP} = x, y_{CP} = y, \Delta x = \Delta y = 0$

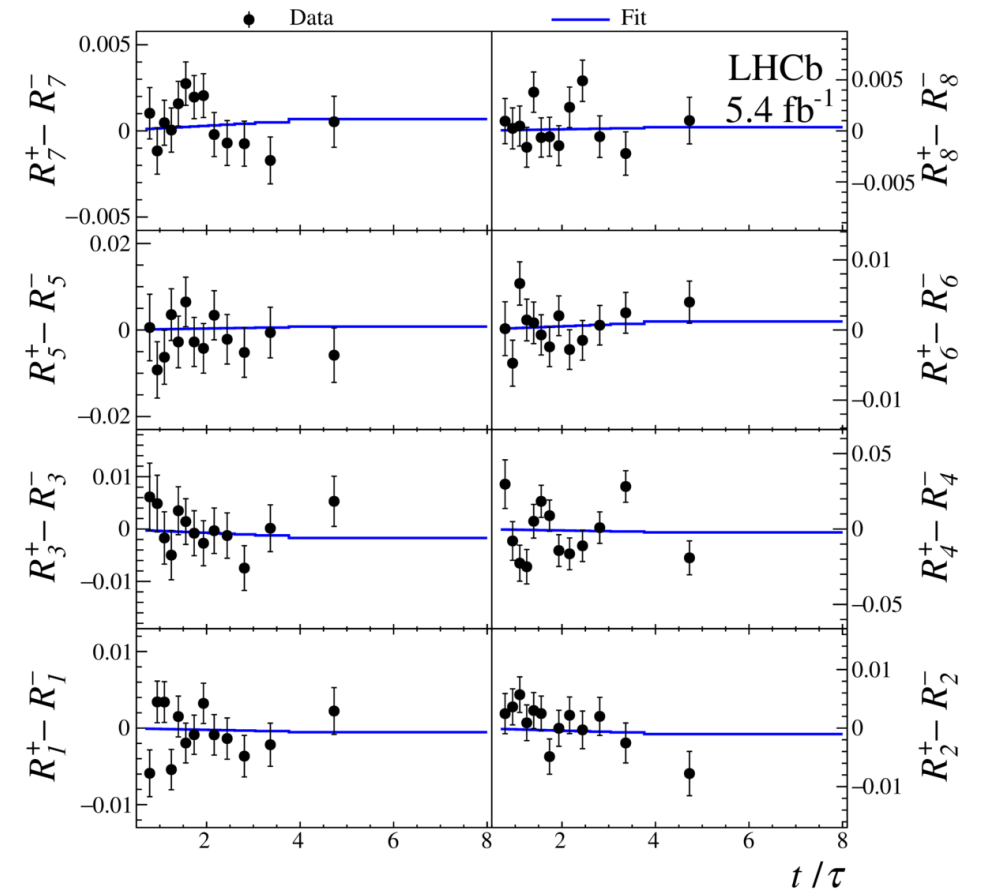
Fit to ratios

- Yields determined in each mass and decay time bin, and ratios formed.
- Departures from a constant value are due to mixing
- Clearly incompatible with no mixing and $x=0$



Fit to ratios

- Allow for differences between samples tagged as D^0 and \bar{D}^0
- Slopes would indicate presence of CP violation
- Data consistent with CP symmetry



Systematic uncertainties

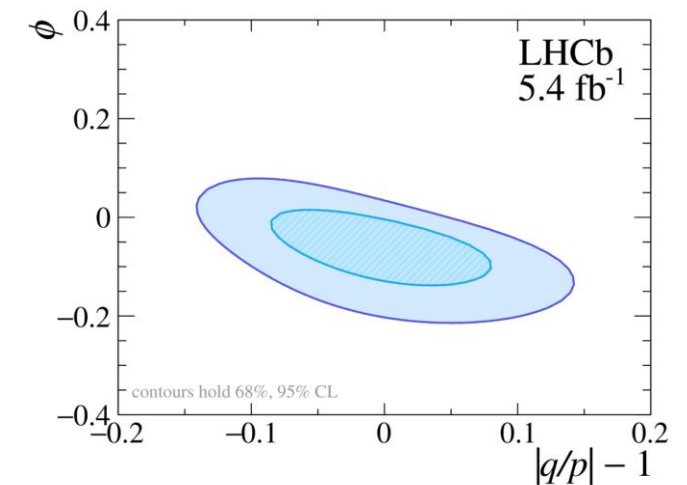
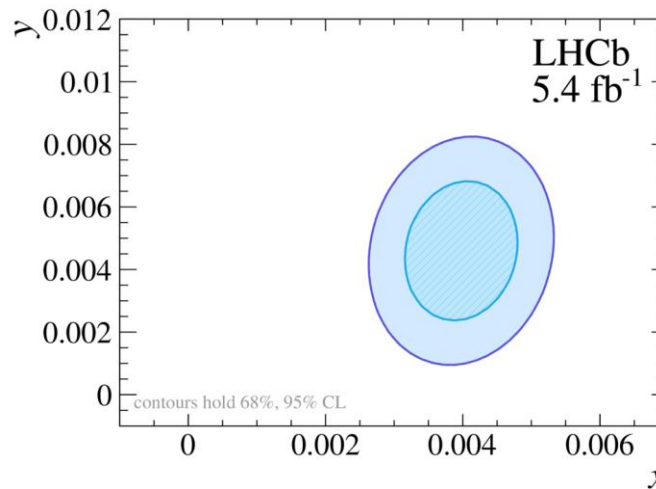
- Largest uncertainty is statistical
- Experimental improvements foreseen with larger samples
- Larger samples from BESIII will ensure strong phase inputs not limiting factor

| Source | x_{CP} | y_{CP} | Δx | Δy |
|-------------------------------|----------|----------|------------|------------|
| Reconstruction and selection | 0.199 | 0.757 | 0.009 | 0.044 |
| Secondary charm decays | 0.208 | 0.154 | 0.001 | 0.002 |
| Detection asymmetry | 0.000 | 0.001 | 0.004 | 0.102 |
| Mass-fit model | 0.045 | 0.361 | 0.003 | 0.009 |
| Total systematic uncertainty | 0.291 | 0.852 | 0.010 | 0.110 |
| Strong phase inputs | 0.23 | 0.66 | 0.02 | 0.04 |
| Detection asymmetry inputs | 0.00 | 0.00 | 0.04 | 0.08 |
| Statistical (w/o inputs) | 0.40 | 1.00 | 0.18 | 0.35 |
| Total statistical uncertainty | 0.46 | 1.20 | 0.18 | 0.36 |

Results

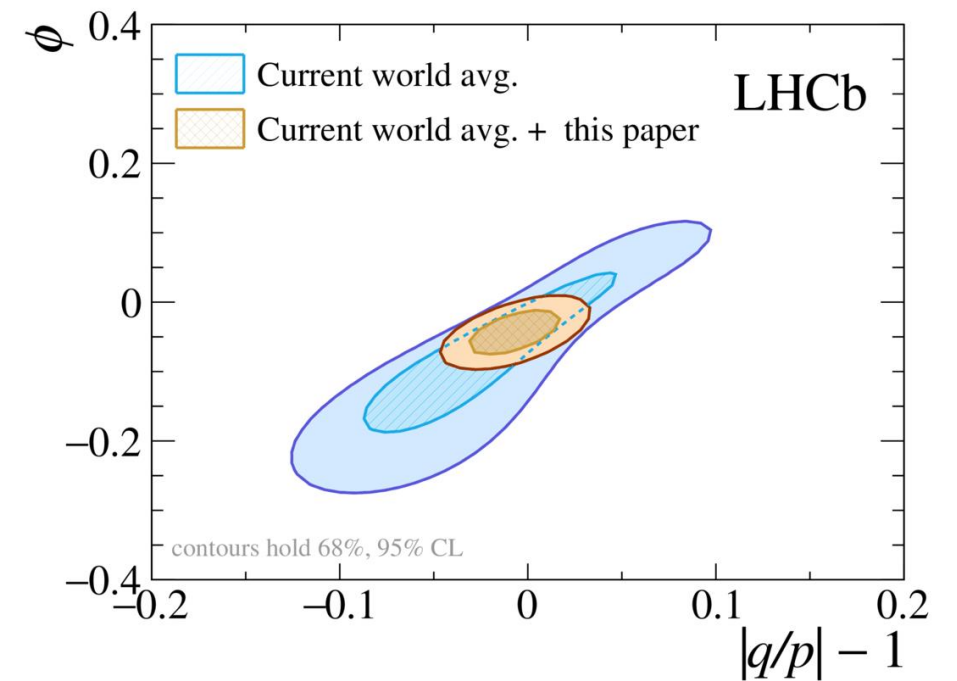
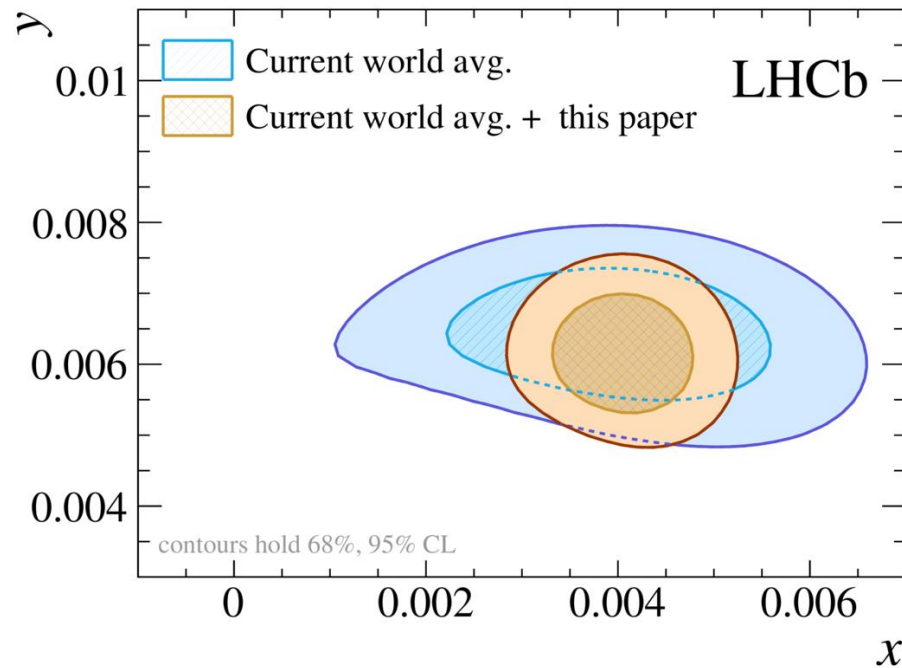
- First observation that x differs from zero
- Most precise single measurement of x , $|q/p|$, and ϕ

| Parameter | Value | 95.5% CL interval |
|---------------|----------------------------|-------------------|
| $x [10^{-3}]$ | $3.98^{+0.56}_{-0.54}$ | [2.9, 5.0] |
| $y [10^{-3}]$ | $4.6^{+1.5}_{-1.4}$ | [2.0, 7.5] |
| $ q/p $ | 0.996 ± 0.052 | [0.890, 1.110] |
| ϕ | $-0.056^{+0.047}_{-0.051}$ | [-0.172, 0.040] |



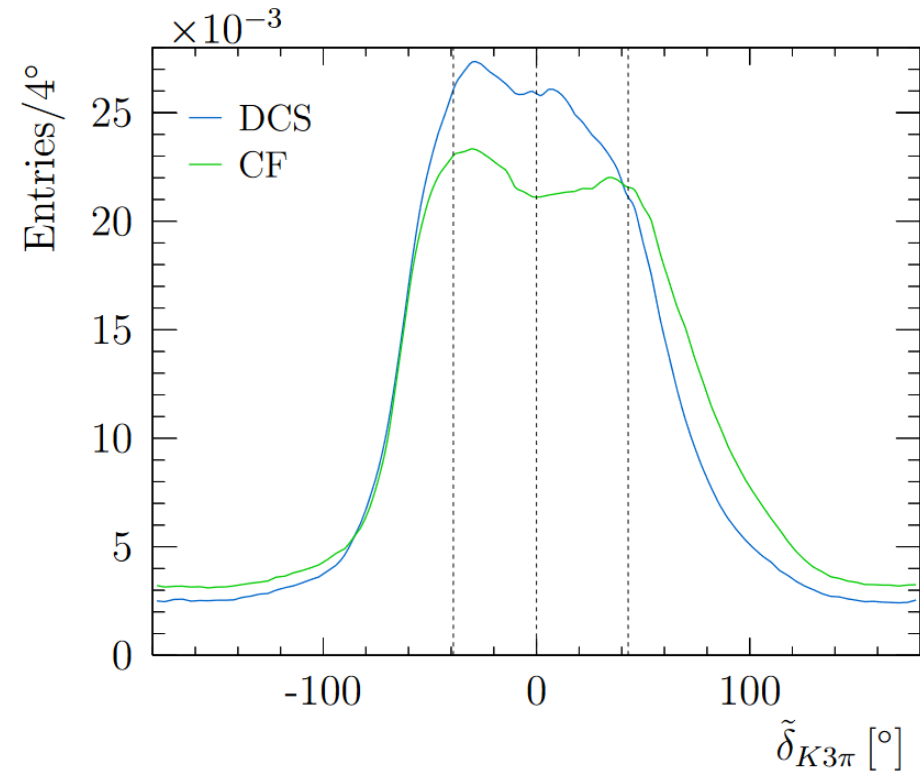
Effect on world average

- Outdated by now, but to illustrate power of this decay mode



$$D^0 \rightarrow K^\pm \pi^\mp \pi^+ \pi^-$$

- A WS/RS analysis akin to $D^0 \rightarrow K^\pm \pi^\mp$ can be performed
 - Led to first single-measurement observation of mixing:
([Phys. Rev. Lett. 116 \(2016\) 241801](#))
- Can do better utilising phase space information (as with $D^0 \rightarrow K_S \pi^+ \pi^-$)
 - [\[arXiv:1909.10196\]](#)
- True as well for other multibody decay modes!



Time-dependent $D^0 \rightarrow h^+ h^-$ asymmetry

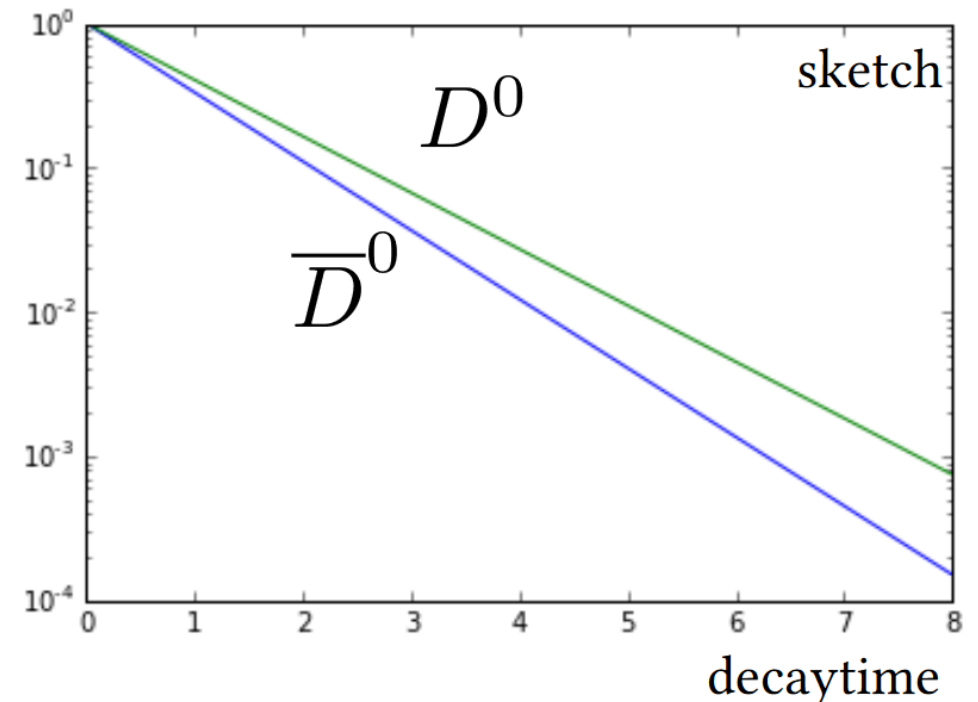
- Cabibbo-suppressed $D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$ decays also provide sensitive tests of mixing-induced CP violation through measurement of time-dependent asymmetry

$$A_{CP}(f, t) = \frac{\Gamma(D^0 \rightarrow f, t) - \Gamma(\bar{D}^0 \rightarrow f, t)}{\Gamma(D^0 \rightarrow f, t) + \Gamma(\bar{D}^0 \rightarrow f, t)}$$

- Due to smallness of mixing parameters can be expanded to linear order

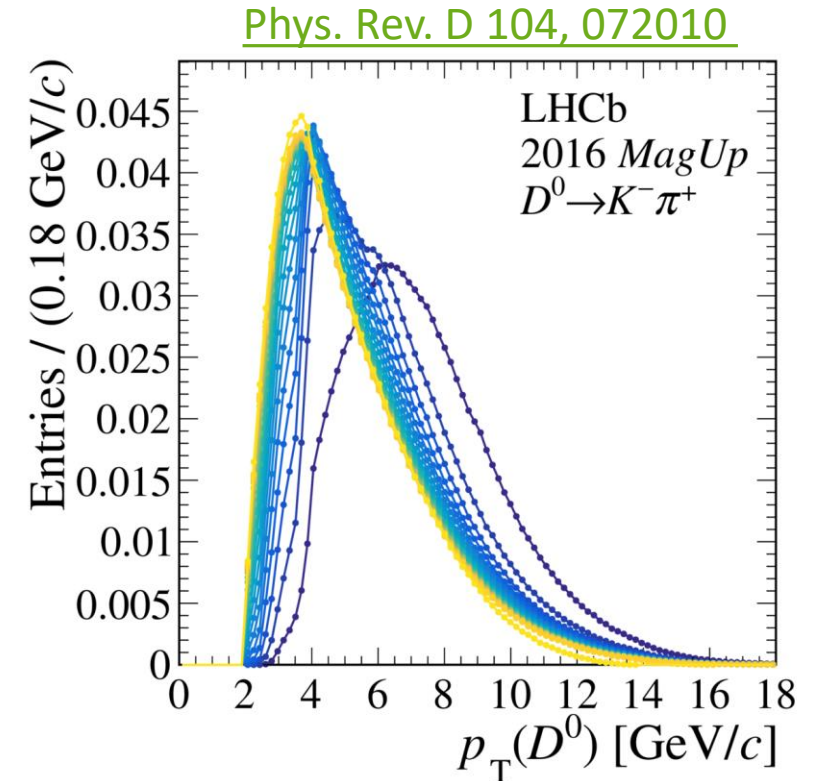
$$A_{CP}(f, t) \approx a_{CP}^{\text{dir}} + \frac{t}{\tau(D^0)} \Delta Y_f$$

- Seek to measure the slope of the asymmetry ΔY_f
- SM calculations put it at $\mathcal{O}(10^{-5})$, while current sensitivity is $\mathcal{O}(10^{-4})$



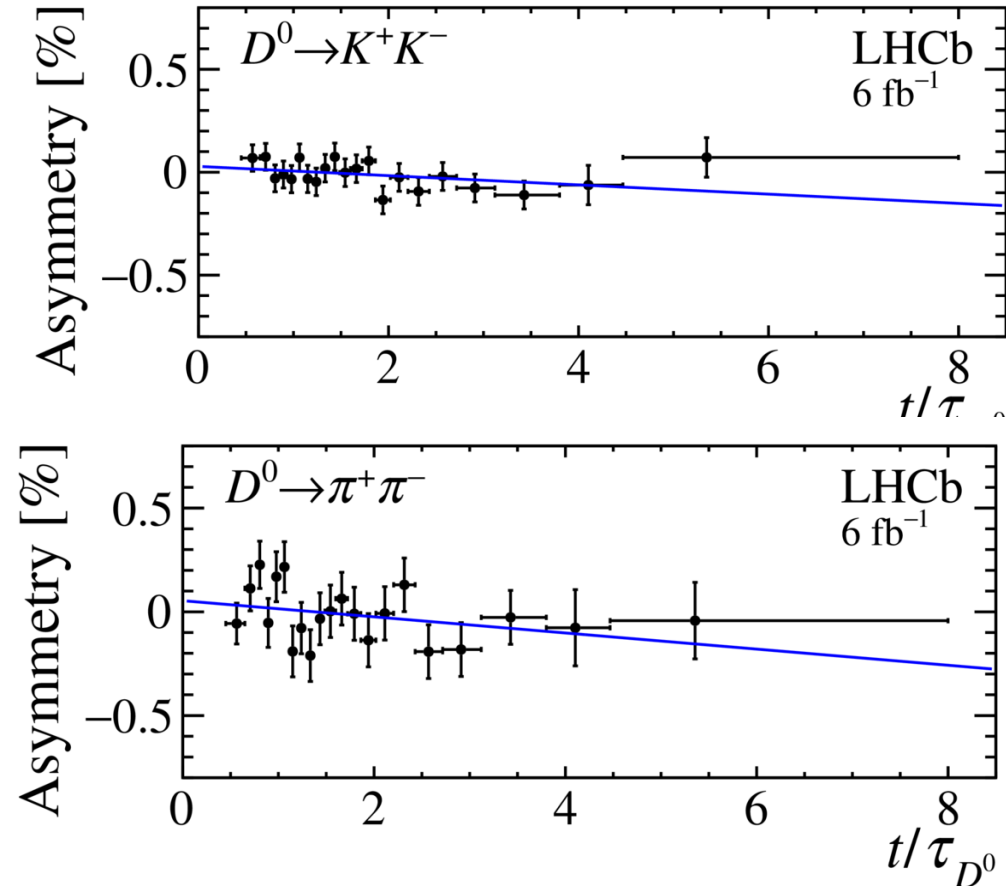
Nuisance asymmetries

- As ΔY_f is a slope, largely insensitive to time-independent asymmetries
- Selection requirements introduce correlations between momentum & decay time of the D^0
 - Detection asymmetry time-dependent.
- Contamination from b -hadron decays is time-dependent.
 - Production asymmetry time-dependent.
- So measured asymmetry is: $A_{raw}(f, t) = A_{CP}(f, t) + A_D(f, t) + A_D(\pi_s^+, t) + A_P(D^{*+}, t)$
= 0



Fit to asymmetry

- The data is divided into 21 bins, and asymmetry of corrected data determined in each bin.
- A χ^2 fit of a linear trend is performed to extract ΔY
- LHCb measurements yield
$$\Delta Y_{K^+K^-} = (-0.3 \pm 1.3 \pm 0.3) \times 10^{-4}$$
$$\Delta Y_{K^+\pi^-} = (-3.6 \pm 2.4 \pm 0.4) \times 10^{-4}$$
$$\Delta Y = (-1.0 \pm 1.1 \pm 0.3) \times 10^{-4}$$
- and dominates the world average
- Still work to be done to reach SM expectation!



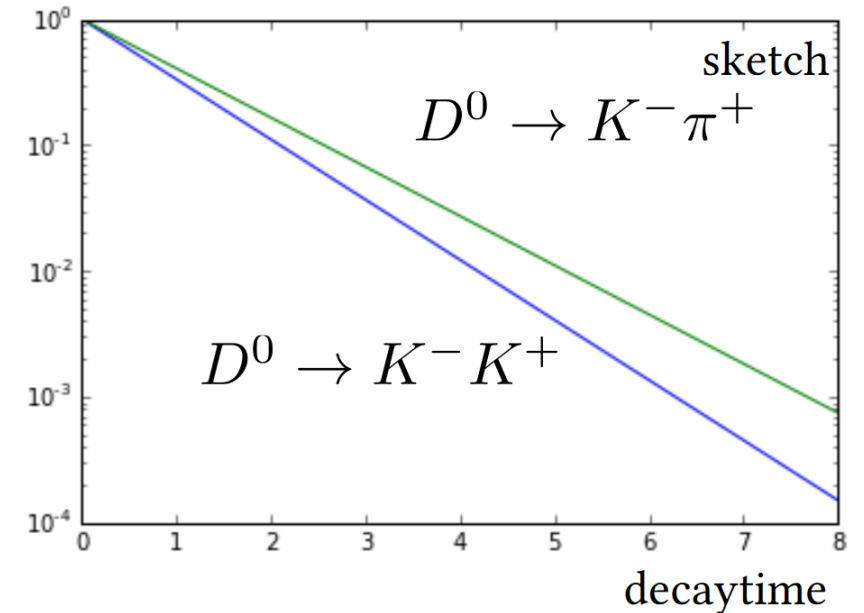
[Phys. Rev. D 104, 072010](#)

$$y_{CP}^f - y_{CP}^{K\pi}$$

- Nonzero value of y implies that decay rate of CP eigenstates like $D^0 \rightarrow h^+ h^-$ will have slightly different effective decay width
- Departure from unity with respect to Γ quantified by

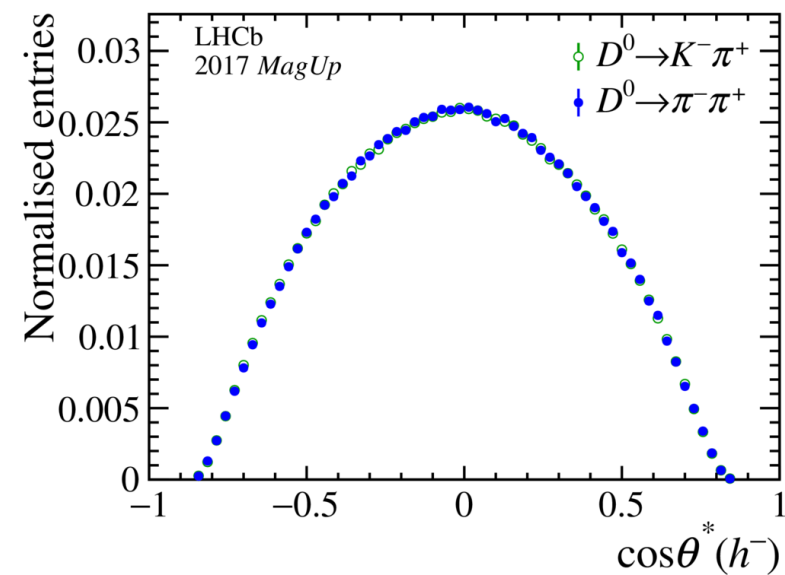
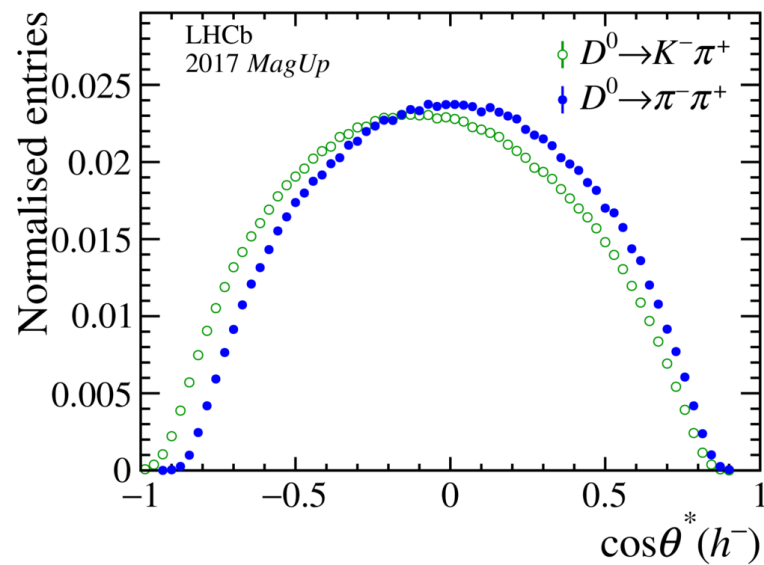
$$y_{CP}^f \equiv \frac{\hat{\Gamma}(D^0 \rightarrow f) + \hat{\Gamma}(\bar{D}^0 \rightarrow f)}{2\Gamma} - 1$$
- In limit of CP conservation, coincides with y
- Experimentally, measure the decay width with respect to $D^0 \rightarrow K^- \pi^+$:

$$\frac{\hat{\Gamma}(D^0 \rightarrow f) + \hat{\Gamma}(\bar{D}^0 \rightarrow f)}{\hat{\Gamma}(D^0 \rightarrow K^- \pi^+) + \hat{\Gamma}(\bar{D}^0 \rightarrow K^+ \pi^-)} - 1 \approx y_{CP}^f - y_{CP}^{K\pi}$$



$$\mathcal{Y}_{CP}^f - \mathcal{Y}_{CP}^{K\pi}$$

- Main difficulty is different efficiencies coming from different final-states
- Handled with data-driven methods to “match” kinematics, and reweight kinematic quantities



$$\mathcal{Y}_{CP}^f - \mathcal{Y}_{CP}^{K\pi}$$

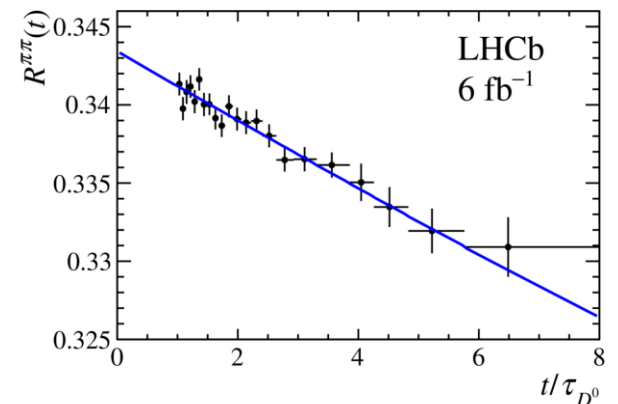
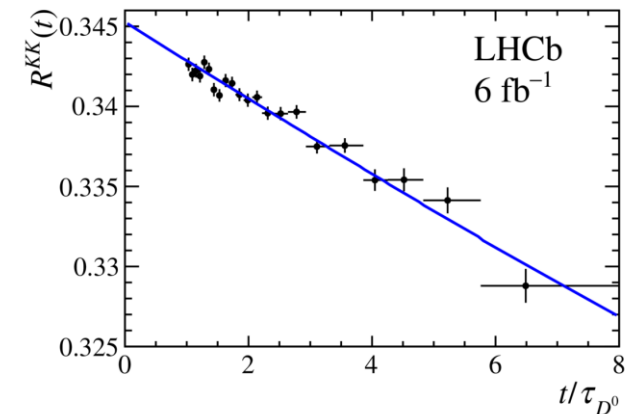
- LHCb results yield

$$\mathcal{Y}_{CP}^{\pi\pi} - \mathcal{Y}_{CP}^{K\pi} = (6.57 \pm 0.53 \pm 0.16) \times 10^{-3}$$

$$\mathcal{Y}_{CP}^{KK} - \mathcal{Y}_{CP}^{K\pi} = (7.08 \pm 0.30 \pm 0.14) \times 10^{-3}$$

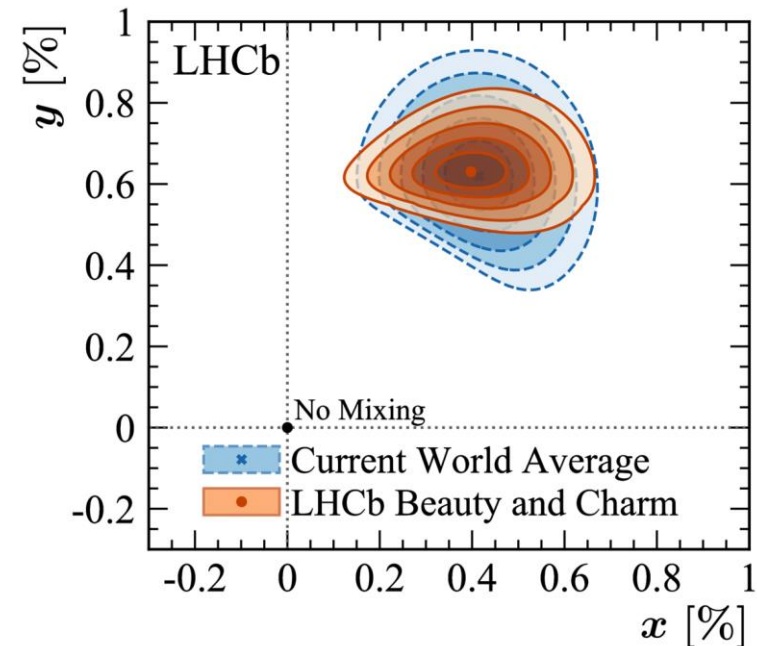
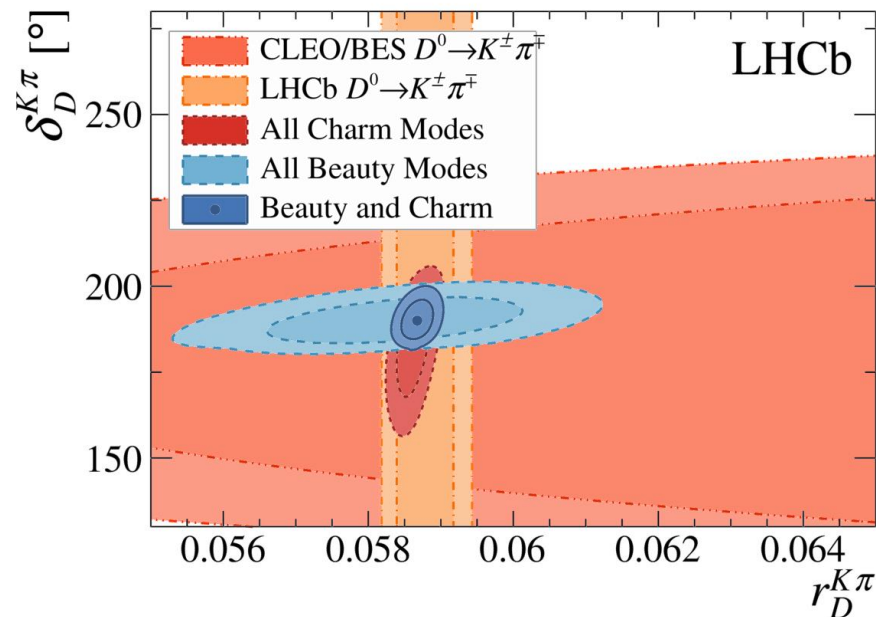
$$\mathcal{Y}_{CP} - \mathcal{Y}_{CP}^{K\pi} = (6.96 \pm 0.26 \pm 0.13) \times 10^{-3}$$

- Four times more precise than previous world average!
- Consistent with world average value for y



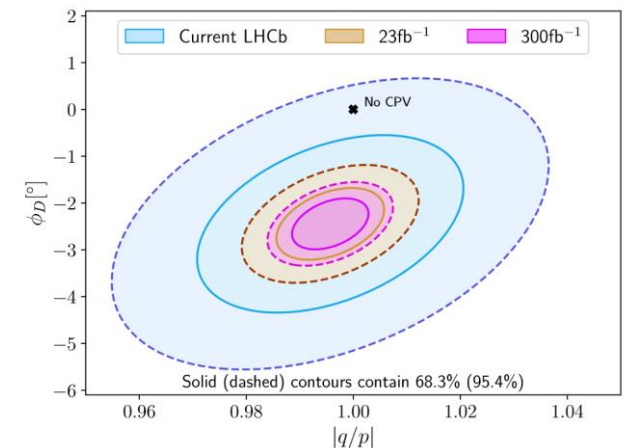
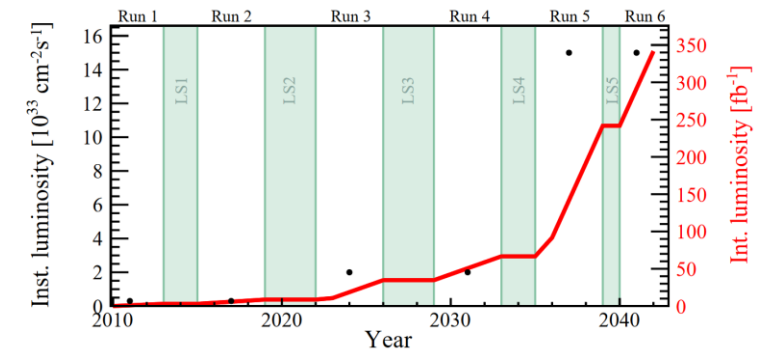
Including beauty samples

- Measurements of Unitarity Triangle angle γ performed with $B^\pm \rightarrow Dh^\pm$ employ $D \rightarrow K^\pm\pi^\mp$ mode
 - Sensitive to hadronic parameters f
- Simultaneous combination with beauty observables can lead to improvements in charm system!



Outlook

- LHCb will begin taking data again shortly following first upgrade
- Have also put together a document presenting the physics case for an LHCb Upgrade II ([arxiv:1808.08865](https://arxiv.org/abs/1808.08865)) and a [framework TDR](#)
- Huge increases in data, and frighteningly good precisions possible!
- Significant impact from Belle II as well, especially on modes containing neutral particles
 - Recent measurement of lifetimes
 - More info and prospects in Physics Book [arXiv:1808.10567](https://arxiv.org/abs/1808.10567)



Summary

- CP violation and mixing are interesting places to look for New Physics effects
- Exciting times! Much progress has been made in recent years:
 - Observation of CP violation in the decay
 - Improved limits on mixing-induced CP violation
- More work needed to fully characterize CP violation in the charm system
- Stay tuned!

