

Lecture 1

On the Gross-Stark conjecture.

Today: Introduction to L-functions, p-adic L-functions, modular forms.

F - number field $\overset{F/\mathbb{Q} \text{ finite}}{\text{ext}^n}$ $\mathcal{O}_F$ - ring of integers

$$\zeta_F(s) = \sum_{0 \neq \alpha \in \mathcal{O}_F} N\alpha^{-s} \quad \text{Re}(s) > 1$$

Dedekind zeta functions

$$= \prod_{0 \neq \mathfrak{p} \text{ prime ideal}} \underbrace{(1 - N\mathfrak{p}^{-s})^{-1}}_{\text{Euler factor}} \quad \text{Euler product.}$$

More generally, for a character $\chi: G_F \rightarrow \overline{\mathbb{Q}}^\times$

$$L(\chi, s) = \sum_{\alpha \in \mathcal{O}_F} \chi(\alpha) N\alpha^{-s} = \prod_{\mathfrak{p}} (1 - \chi(\mathfrak{p}) N\mathfrak{p}^{-s})^{-1} \quad \text{Re}(s) > 1$$

Facts: ① $L(\chi, s)$ has meromorphic continuation to $\mathbb{C}$ with only a simple pole at $s=1$ when $\chi = 1$.

② $L(\chi, s)$ has a functional equation relating $L(\chi, s)$ to $L(\chi^{-1}, 1-s)$.

e.g. $[F : \mathbb{Q}] = r_1 + 2r_2$

degree $\nearrow$ "
 $\# \text{Hom}(F, \mathbb{C})$

$r_1(F) = \#$ of real

embedding of F in $\mathbb{C}$

$r_2(F) = \#$ of pairs of complex embeddings in $\mathbb{C}$.

$$\Gamma_{\mathbb{R}}(s) = \pi^{-s/2} \Gamma(s/2)$$

$$\Gamma_{\mathbb{C}}(s) = 2(2\pi)^{-s} \Gamma(s)$$

$$\Gamma(s) = \int_0^{\infty} x^{s-1} e^{-x} dx \quad \text{Re } s > 0$$

Δ_F = discriminant of F .

$$|\Delta_F|^{s-1} \Gamma_{\mathbb{R}}(s)^{r_1} \Gamma_{\mathbb{C}}(s)^{r_2} \zeta_F(s) = \Gamma_{\mathbb{R}}(1-s)^{r_1} \Gamma_{\mathbb{C}}(1-s)^{r_2} \zeta_F(1-s).$$

③ Dirichlet's class number formula.

$$\operatorname{Res}_{s=1} \zeta_F(s) = \frac{2^{r_1} (2\pi)^{r_2} h_F R_F}{w_F}$$

$r_1 + r_2 - 1$
 $= \operatorname{rank}_{\mathbb{Z}} \mathcal{O}_F^\times$

$$\zeta_F(s) = -\frac{h_F R_F}{w_F} s^{r_1+r_2-1} + O(s^{r_1+r_2})$$

Taylor expansion
at $s=0$

$$h_F = \# \mathcal{I}(F)$$

class number

↑
ideal class group of F

$$w_F = \# \mu(F)$$

= # of roots of 1 in F .

R_F = Dirichlet regulator.

$Y = Y_F$ free abelian group generated by
all archimedean places of F .

$$X = X_F = \ker (Y \xrightarrow{\text{aug}} \mathbb{Z})$$

$$U = \mathcal{O}_F^\times$$

$$\sum_v a_v v \mapsto \sum_{v \in \mathbb{Z}} a_v$$

Dirichlet's unit theorem -

$$\mathbb{R}U \xrightarrow{\varphi} \mathbb{R}X \subseteq \mathbb{R}Y$$

$$x \mapsto \begin{cases} \log |x|_i & \text{if } i \text{ is Real} \\ 2 \log |x|_i & \text{if } i \text{ is Complex} \end{cases}$$

$$R_F = |\det \varphi| \quad \text{wrt integral bases of } U \text{ and } X.$$

Discussion ① H/F abelian extension.

$$G = \text{Gal}(H/F).$$

$$\begin{array}{c} H \\ | \\ G \\ | \\ F \end{array}$$

By Artin formalism.

$$L(1/H, s) = \zeta_H(s) = \prod_{\chi \in \hat{G}} L(\chi, s) = \prod_{\chi \in \hat{G}} L(\chi/F, s)$$

$$\text{Then } \prod_{\chi \in \hat{G}} L(\chi, s) = \frac{-h_H R_H}{w_H} s^{r_1(H) + r_2(H) - 1} + O\left(s^{r_1(H) + r_2(H)}\right)$$

$h_H = \# \mathcal{O}(H)$ $G \subseteq \mathcal{O}(H)$

① Is there a factorisation of RHS mirroring the one on LHS? (Stark).

F is called totally real if $r_1(F) = [F: \mathbb{Q}]$

② H/F abelian, H - CM extension. $2r_2(H) = [H: \mathbb{Q}]$
 (totally complex quad extⁿ of a totally real)

H^+ maximal totally real subfield of H .

$$\frac{\zeta_H^*(0)}{\zeta_{H^+}^*(0)} = \prod_{\substack{\chi \in G \\ \chi \text{ totally odd}}} L(\chi, 0) = \frac{h_H R_H / w_H}{R_{H^+} h_{H^+} / w_{H^+}}$$

$$w_H = \# \mu(H)$$

$$w_{H^+} = \# \mu(H^+)$$

$$\frac{R_H}{R_{H^+}} = 2^{r_2(H)-1} Q$$

$$= \frac{h_H 2^{r_2(H)-1} Q}{(w_H / w_{H^+})} \in \mathbb{Q}^+$$

• $L(\chi, 0) \neq 0$
for χ totally odd

$$Q = [U_H : \mu(H) U_{H^+}]$$

Recall

$$U_H = \mathcal{O}_H^\times$$

$$U_{H^+} = \mathcal{O}_{H^+}^\times$$

§ p -adic L -function.

F - totally real.

Fix a prime p .

χ totally odd character of F . $\Leftrightarrow \chi: G_F \rightarrow \overline{\mathbb{Q}}^\times$
 ω Teichmüller character
 $H = \overline{\mathbb{F}}^{\ker \chi}$ is a CM field.

$$\omega: \text{Gal}(F(\mu_p)/F) \rightarrow \mu_{\varphi(2p)} = \begin{cases} \mu_2 & \text{if } p=2 \\ \mu_{p-1} & \text{if } p \neq 2 \end{cases}$$

Fact: (Siegel, Klingen, Shimura)

$$L(\chi \omega^n, n) \in \mathbb{Q}(\chi \omega)^{\times} \quad \text{for any } n \in \mathbb{Z}_{\geq 0}.$$

Thus we have a function

$$\begin{array}{ccc} \mathbb{Z}_{\geq 0} & \longrightarrow & \overline{\mathbb{Q}}_p \\ n & \longmapsto & L(\chi \omega^n, n) \end{array}$$

$$\mathbb{Q}(\chi \omega) \subseteq \overline{\mathbb{Q}}_p.$$

(Coates-Lichtenbaum)

(Technicality: There is a canonical way to do

this).

Question: $\mathbb{Z}_{\leq 0}$ is dense in $\mathbb{Z}_p$.

Is there a continuation of the above function to $\mathbb{Z}_p$?

"Yes": need modification of the L -values. $L(\chi\omega^n, n)$

S - a finite set of places of F containing all places above p .

imprimitive version $\rightarrow L_S(\chi, s) = L(\chi, s) \prod_{v \in S} (1 - \chi(v) Nv^{-s})$

Theorem (Cassou-Nogues, Deligne - Ribet)

$$\exists L_{S,p}(\chi\omega, s): \mathbb{Z}_p \longrightarrow \overline{\mathbb{Q}}_p$$

$k \equiv k' \pmod{p^t(p-1)}$
Then $L_S(\chi\omega^k, k) \equiv L_S(\chi\omega^{k'}, k') \pmod{p^{t+1}}$

muromorphic s.t.

$$L_{S,p}(X\omega, n) = L_S(X\omega^n, n) \quad \forall n \in \mathbb{Z}_{\leq 0}.$$

$L_{S,p}(X\omega, s)$ is analytic, except possibly a pole
at $s=1$ if $X = \omega^{-1}$. i.e. $X\omega = 1$

————— X —————

We will see a construction of $L_{S,p}(X\omega, s)$
due to Deligne - Ribet.

$$L_{S,p}(X\omega, 0) = L_S(X, 0) = L(X, 0) \prod_{v \in S} (1 - X(v))$$

Fact: $L(X, 0) \neq 0$ (as X is totally odd)

Functional equation & $L(X, 1) \neq 0$ But $L_{S,p}(X\omega, 0) = 0$ if $X(v) = 1$ for some $v \in S$
(Trivial zeros / Exceptional zeros)

Gross-Stark conjecture is about the leading

term of $L_{S,p}(\chi_w, s)$ at $s=0$.

§ Hilbert Modular Forms.

F totally real. $d = [F : \mathbb{Q}]$

- $\underline{k} = (k_1, \dots, k_d) \in \mathbb{N}^d$. $\mathcal{H} = \{z \in \mathbb{C} \mid \text{Im } z > 0\}$
 $\underline{z} \in \mathcal{H}^d$, $\underline{a}, \underline{b} \in \mathbb{C}^d$ $|\underline{a}\underline{z} + \underline{b}|^k = \prod_{i=1}^d (a_i z_i + b_i)^{k_i}$

- $\mathfrak{b} \subseteq \mathcal{O}_F$ $\psi_f : (\mathcal{O}_F / \mathfrak{b})^{\times} \longrightarrow \overline{\mathbb{Q}}^{\times}$

- $\mathcal{O}^+(F)$ narrow class group of F . $\lambda \in \mathcal{O}^+(F)$

$$\Gamma_{\lambda} := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2^+(F) \mid \begin{array}{l} a, d \in \mathcal{O}_F \\ b \in t_{\lambda}^{-1} \mathfrak{a}^{-1} \quad c \in \mathfrak{b} t_{\lambda} \mathfrak{a} \\ ad - bc \in \mathcal{O}_F^{\times} \end{array} \right\}$$

Here $\mathfrak{a} = \text{different of } F$

t_{λ} is a fixed ideal in λ .

$M_{\underline{k}}(b, \psi)$ = Hilbert modular forms of level b , weight $\underline{k}$ are $h = \# \mathcal{O}^+(\bar{F})$
 Nebentype ψ ,
 $(f_\lambda)_{\lambda \in \mathcal{O}^+(\bar{F})}$ tuples of functions $f_\lambda: \mathbb{H}^d \rightarrow \mathbb{C}$,
 holomorphic, s.t. for $\gamma \in \Gamma_\lambda$

$$f_\lambda|_{\underline{\gamma}}(z) := \det(\gamma)^{k/2} (\underline{c}z + \underline{d})^{-k} f_\lambda(\underline{\gamma}z) = \psi_f(a) f_\lambda(z)$$

Here $\underline{\gamma} = \begin{pmatrix} \sigma(\gamma) \\ \sigma \in \text{Hom}(\bar{F}, \mathbb{C}) \end{pmatrix} \in GL_2^+(\mathbb{R})^d$.

Remark: Holomorphicity at cusps is automatic
 if $F \neq \mathbb{Q}$.

The modularity gives Fourier expansion for
 each f_λ ("q-expansion at infinity")

$k = (k, \dots, k)$
 parallel weight

$$f_\lambda(z) = a_\lambda(0) + \sum_{\substack{b \in t_\lambda \\ b \gg 0}} a_\lambda(b) e_F(bz)$$

$\leftarrow$ totally positive
 i.e. $\sigma(b) > 0$
 for every $\sigma \in \text{Hom}(F, \mathbb{C})$

$$c_F(x) = \exp(2\pi i \cdot \text{Tr}_{F/\mathbb{Q}}(x))$$

"Normalised Fourier co-efficients".

$m \in \mathcal{O}_F$
 in class λ

$$c(m, f) = a_\lambda(b) N(t_\lambda)^{-k/2}$$

$$c_\lambda(0, f) = a_\lambda(0) N(t_\lambda)^{-k/2}$$

Examples of HMFs: Eisenstein series.

The most basic and an important example are Eisenstein series.

$\alpha, b \in \mathcal{O}_F$

η modulo α
 ψ modulo b .