Nonergodic extended states and many body localization proximity effect through real-space and Fock-space excitations

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Anderson localization

Anderson (single-particle) localization (1958) Abrahams et al. (1979), Lee & Ramakrishnan (1985), ...

Isolated system





Extended



 $|\psi_{\alpha}(r_i)|^2 \sim \frac{1}{L^d}$

 $\varepsilon_i \in [-W, W]$





$$\mathcal{H} = -t \sum_{\langle ij \rangle} (c_i^{\dagger} c_j + h.c.) - \sum_i \varepsilon_i n_i$$

Usual Anderson transition (e.g.
$$D = 3$$
) IPR in real space
Delocalized Multifractal Localized Single-particle DOS
 W_c or ϵ_c
 W_c or ϵ_c
 $Generalized$ IPR $I_q = \sum_{i=1}^{N} |\langle i|\psi_{\alpha}\rangle|^{2q} \sim N^{-Dq}$
 \circ Delocalized states $D_q = q - 1$, $I_2 \sim N^{-1}$
 \Rightarrow spread over all sites
 \circ Localized states $D_q = 0$
 \Rightarrow spread over finite number of sites
 \circ Fractal or multifractal non-trivial D_q , $0 < D_q < 1$, $I_2 \sim N^{-D_2}$

. .

 \Rightarrow spread over N^{D_2} , but zero fraction of the sites

Many-body localization (MBL)

 $= \sum \epsilon_{\alpha} c_{\alpha}^{\dagger} c_{\alpha} + \sum_{\alpha \beta \gamma \delta} V_{\alpha \beta \gamma \delta} c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\gamma} c_{\delta}$

Start with all states localized



$$\varepsilon_i \in [-W, W]$$

Add interaction

At high energies interaction connects between $\sim \exp(N)$ localized states ! Can localization survive?

Many-body localization (MBL)

Yes! For sufficiently strong disorder

Energy density ϵ

* Mathematical proof with mild assumption in a particular model Imbrie, J. Stat. Phys. (2016), PRL (2016)

Basko, Aleiner, Altshuler (2005); Gornyi, Mirlin, Polyakov (2005)

Perturbative treatment for weak interaction, $V/\Delta_{\xi} \ll 1$ in dimension d > 1 $\Delta_{\xi} \sim 1/N(0)\xi^d$, single-particle level spacing within a localization volume

Oganesyan and Huse (2007), (Finite-size) MBL Pal and Huse (2010), S_E S_E 1.00.9Area law **Non-ergodic** 0.8Volume 0.8 \vec{s} \mathbf{MBL} Participation coefficien Localized law (L^d) 0.70.6Ergodic 0.5**Delocalized** 0.4Luitz et al, PRB (2015) Thermal 0.30.20.0 $\mathbf{2}$ 3 1 4

Disorder

h

What happens we start with a mobility edge (or delocalized single-particle (SP) states)?



System with protected delocalized SP state(s)

→ Delocalization of all SP states Nandkishore & Potter, PRB (2015); Potter & Vasseur, PRB (2016); SB & Altman, PRL (2016);

Generalized Aubry-Andre-Harper (GAAH) models

→ MBL can exist even with mobility edge Ganeshan et al., PRL (2015);
Modak & Mukerjee, PRL (2015);
Nag and Garg, PRB (2017);
Ghosh et al., PRB (2020);......
*Pomata et al. (2020)

→ States intermediate between MBL and ergodic Non-ergodic extended (NEE) states Ganeshan et al., PRL (2015)

Phases	Entanglement entropy	Subsystem number fluctuations	Level spacing statistics		
MBL	Area law	Finite for $L \rightarrow \infty$	Poisson		
NEE	Volume law	Finite for $L \rightarrow \infty$	Intermediate between Poisson and GOE		
Ergodic	Ergodic Volume law		GOE		

How non-ergodic states are realized with SP mobility edge?

MBL proximity effect

Nandkishore, PRB (2015)

clean disordered

Hyatt et al., PRB (2017), Marino et al., PRB (2018)

Non-interacting SP local DOS

Delocalized SP states get localized by coupling with localized states

How to see in presence of interaction?

Local SP excitations with interaction



Plans for rest of the talk

 $\circ\,$ Real-space and Fock space (FS) pictures for MBL and MBL transition with random disorder



 MBL proximity effect and NEE states in a generalized Aubry-Andre-Harper (GAAH) model.



• Conclusions

MBL: Real-space picture

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$$H = \sum_{\alpha} \epsilon_{\alpha} c_{\alpha}^{\dagger} c_{\alpha} + \sum_{\alpha \beta \gamma \delta} V_{\alpha \beta \gamma \delta} c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\gamma} c_{\delta}$$

MBL fixed point \rightarrow Emergent integrability \rightarrow Quasi local integrals of motion (LIOMs or "*l*-bits")

$$\tilde{n}_{\alpha} = \tilde{c}_{\alpha}^{\dagger} \tilde{c}_{\alpha}$$
$$H_{l} = \sum_{\alpha} \epsilon_{\alpha} \tilde{n}_{\alpha} + \sum_{\alpha\beta} U_{\alpha\beta} \tilde{n}_{\alpha} \tilde{n}_{\beta} + \cdots$$

$$\zeta$$



Vosk & Altman, PRL (2013) Serbyn et al., PRL (2013) Huse & Oganesyan, PRB (2013) Chandran et al., PRB (2015);...

$$\tilde{c}_{\alpha}^{\dagger} = \sum_{i} A_{\alpha}(i) c_{i}^{\dagger} + \cdots$$
$$A_{\alpha}(i) \sim \exp\left[-\frac{|i - i_{\alpha}|}{\zeta}\right]$$
$$U_{\alpha\beta} \sim \exp\left[-\frac{|i_{\alpha} - i_{\beta}|}{\zeta_{U}}\right]$$

 W_c

 ζ, ζ_U





What is the nature of the transition? First order, continuous, ..? Is*there diverging length scale? Critical properties, exponents, ...? Theory of the entanglement transition?



Real-space picture





 ϵ_i , *L* random numbers $\Rightarrow \exp(L)$ numbers

 $\mathcal{E}_{I} = \sum_{i} \epsilon_{i} n_{i}^{(I)} + V \sum_{i} n_{i}^{(I)} n_{i+1}^{(I)}$ Extremely correlated disorder in Fock space



Many-body eigenstate $|\Psi_n\rangle = \sum_I C_{nI} |I\rangle$

How to detect localization on FS lattice?

Inverse participation ratio (IPR) $I_q = \sum_I C_{nI}^{2q}$ Usual IPR, I_2 or, participation entropy $S_q = \frac{1}{1-q} \ln(I_q)$





All MBL states are multifractal!

Mace et al., PRL (2019)

How does the multifractal nature manifest in dynamical properties?

Propagator or Green's function in Fock space

Tight binding model on the FS lattice

 $\mathcal{H} = \sum_{I \neq J} T_{IJ} |I\rangle \langle J| + \sum_{I} \mathcal{E}_{I} |I\rangle \langle I|$

 $\widehat{G}(E) = (E + i\eta - \mathcal{H})^{-1} \quad G_{IJ}(E) = \langle I | \widehat{G}(E) | J \rangle$

 η , broadening parameter We choose $\eta = \delta \sim \exp(-L)$

• Diagonal part $[G_{II}(E)]^{-1} = E + i\eta - \mathcal{E}_I - \Sigma_I(E)$ Feenberg self-energy $\Sigma_I(E) = X_I(E) - i\Delta_I(E)$

 $\Delta_I(E)$, imaginary part \Rightarrow Decay rate of FS site localized state at energy *E*

• Off diagonal part $G_{IJ}(E) = G(r_{IJ}) \sim \exp[-r_{IJ}/\xi_F]$ r_{IJ} , distance on FS lattice, hopping distance

 $\xi_F \Rightarrow$ Fock space localization length



Recursive Green's function method



Recognition of the "slice structure" ⇒ well-known Recursive Green's function method for real space MacKinnon & Kramer (~1980)

Relatively easy for $L \le 22$ comparable to state-of-the-art ED methods $L \le 22 - 24$

We calculate typical values and distributions of $\Delta_I(E)$ and $G_{IJ}(E)$ $\Delta_t(E) = \exp(\langle \ln \Delta_I(E) \rangle_{I,\{\epsilon_I\}})$ $G(r_{IJ}) = \exp(\langle \ln G_{IJ}(E) \rangle_{\{\epsilon_I\}})$ *Geometric mean How do we detect MBL from Δ_t ?

• Thermal phase $\Delta_t \sim \mathcal{O}(1)$ for $N_F \to \infty$, decay in finite time



$\Delta_t \Rightarrow MBL$ states are multifractal, D_s spectral fractal dimension



Real-space uncorrelated disorder ⇒ Correlated disorder in Fock-space Multifractality is inevitable! D. E. Logan (unpublished)



Fock-space localization length $\xi_F (\leftarrow G(r_{IJ}))$ remains finite at the transition J. Sutradhar, S. Ghosh, S. Mukerjee, SB (unpublished)

S. Ghosh, J. Sutradhar, S. Mukerjee, SB, arXiv:2401.03027

System with single-particle mobility edge
$$\mathcal{H} = t \sum_{i=1}^{L-1} \left(c_i^{\dagger} c_{i+1} + c_{i+1}^{\dagger} c_i \right) + \sum_{i=1}^{L} \epsilon_i n_i + V \sum_{i=1}^{L-1} n_i n_{i+1}$$

Generalized Aubry-Andre-Harper (GAAH) potential Ganeshan et al, PRL (2015)



Quarter filling N = L/4As a function of energy density $\mathcal{E} = E/L$ and h = 0.6, 1.8V = 1, L = 8 - 24

Evidences of NEE in interacting GAAHS model

States in between ergodic delocalized and MBL

Li et al, PRL (2015); Modak et al., PRL (2015),





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 Phases	Entanglement entropy	Subsystem number fluctuations	Level spacing statistics	
 MBL	Area law	Finite for $L \rightarrow \infty$	Poisson	
NEE	Volume law	Finite for $L \rightarrow \infty$	Intermediate between Poisson and GOE	
Ergodic	Volume law	Vanishes for $L \to \infty$	GOE	



 $\rho_i(\omega) = \frac{1}{\pi} \sum |\psi_{\alpha}(i)|^2 \frac{\eta_s}{(\omega - \epsilon_{\alpha})^2 + \eta_s^2}$

 $\rho_t(\omega), \rho_a(\omega) \to 0 \text{ as } L \to \infty$

Mobility edge in SP excitations persists in the NEE phase, unlike ergodic and MBL phases

Small system exact diagonalization (ED)



MBL proximity effect

• Local spectral function of an eigenstate

$$\rho_{i,n}(\omega) = -\frac{1}{\pi} \operatorname{Im} G^{R}_{i,n}(\omega)$$

 $\leftarrow \text{Single-particle Green's function} \\ G_{i,n}^{R}(t) = -i\langle \Psi_n | \{c_i(t), c_i^{\dagger}(0)\} | \Psi_n \rangle \theta(t)$

Fock-space transitions as function of energy density



Δ_t is a probabilistic order parameter

Finite-size scaling theory, Asymmetric scaling ansatz (Garcia-Mata et al, PRL (2017))

$$\begin{split} &\ln\left(\frac{\Delta_{t}}{\Delta_{c}}\right) = \mathcal{F}_{vol}\left(\frac{N_{F}}{\Lambda}\right) \quad \mathcal{E} < \mathcal{E}_{c} \qquad \Rightarrow \mathcal{F}_{vol}\left(\frac{e^{L}}{\Lambda}\right) \quad \text{``Volumic scaling''} \\ &= \mathcal{F}_{lin}\left(\frac{\ln N_{F}}{\xi}\right) \quad \mathcal{E} < \mathcal{E}_{c} \qquad \Rightarrow \mathcal{F}_{lin}\left(\frac{L}{\xi}\right) \quad \text{``Linear scaling''} \\ &\Delta_{c} = \Delta_{t}(W_{c}) \sim N_{F}^{-(1-D_{c})} \qquad \qquad \text{``For real-space} \quad \mathcal{F}_{vol}\left(\frac{L^{d}}{\Lambda}\right) = \mathcal{F}_{lin}\left(\frac{L}{\Lambda^{1/d}}\right), \\ &\text{FS volume is exponential in } L \end{split}$$

Finite-size scaling collapse



 $\mathcal{E}_c \sim -0.38$

$$\Lambda \sim \exp\left[\frac{b}{(W_c - W)^{0.4}}\right] \quad \text{``KT like''}$$
$$\xi \sim (W - W_c)^{-\nu} \quad \nu \simeq 0.34 \le 1$$

$$\Delta_t(W_c) \sim \Lambda^{-(1-D_c)} \quad N_F \to \infty$$

Asymptotic scaling functions for $x \gg 1$ $(x = N_F / \Lambda \text{ or } \ln N_F / \xi)$ $\mathcal{F}_{vol}(x) \sim (1 - D_c) \ln x$ $\mathcal{F}_{lin}(x) \sim -(1 - D_c) x$

MBL and NEE states can be distinguished in terms of Fock-space localization length





 $\xi_F(L)$ depends on Lin the NEE and ergodic phases but, independent of L in the MBL phase

Conclusions

	Diagnostics							
Phases	Entanglement entropy	Subsystem number fluctuations	Level spacing statistics	Single-particle (SP) excitations	Inverse participation ratio (IPR)	Typical local FS self energy Δ_t	FS localization length ξ_F	
MBL	Area law	Finite for $L \rightarrow \infty$	Poisson	All SP excitations localized	$IPR \sim \mathcal{N}_F^{-D_2}$ $0 < D_2 < 1$ (Multifractal)	$\begin{array}{l} \Delta_t \sim \mathcal{N}_F^{-(1-D_S)} \\ 0 < D_S < 1 \\ (\text{Multifractal}) \end{array}$	ξ_F independent of L	
NEE	Volume law	Finite for $L \rightarrow \infty$	Intermediate between Poisson and GOE	Both localized and delocalized SP excitations eparated by an SP mobility edge	$IPR \sim \mathcal{N}_F^{-D_2}$ 0 < D_2 < 1 (Multifractal)	$\begin{split} \Delta_t &\sim \mathcal{N}_F^{-(1-D_S)} \\ 0 &< D_S < 1 \\ (\text{Multifractal}) \end{split}$	ξ_F increases with L	
Ergodic	Volume law $^{\circ}$	$\begin{array}{c} \circ \\ Vanishes for \\ \circ \\ L \\ \xrightarrow{\circ} \\ \infty \end{array} \\ \end{array}$	° ← GOE	All SP excitations 8 delocalized	$IPR \sim \mathcal{N}_F^{-1}$ (Extended)	$\Delta_t \sim \mathcal{O}(1)$ (Extended)	ξ_F increases with L	
		0 0						



Thank You!

MBL: Real-space picture

$$H = \sum_{\alpha} \epsilon_{\alpha} c_{\alpha}^{\dagger} c_{\alpha} + \sum_{\alpha \beta \gamma \delta} V_{\alpha \beta \gamma \delta} c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\gamma} c_{\delta}$$

Huse & Oganesyan (2013) Serbyn et al. (2013)

$$\tilde{c}^{\dagger}_{\alpha} = \sum_{i} A_{\alpha}(i) c^{\dagger}_{i} + \cdots$$

$$A_{\alpha}(i) \sim \exp[-\frac{|i-i_{\alpha}|}{\zeta}]$$

$$U_{\alpha\beta} \sim \exp\left[-\frac{\left|i_{\alpha}-i_{\beta}\right|}{\zeta_{U}}\right]$$

 W_{c}

MBL fixed point \rightarrow Emergent integrability \rightarrow Quasi local integrals of motion (LIOMs or "*l*-bits") $\tilde{n}_{\alpha} = \tilde{c}_{\alpha}^{\dagger} \tilde{c}_{\alpha}$

$$H_l = \sum_{\alpha} \epsilon_{\alpha} \tilde{n}_{\alpha} + \sum_{\alpha\beta} U_{\alpha\beta} \tilde{n}_{\alpha} \tilde{n}_{\beta} + \cdots$$

Perturbative construction

$$\tilde{c}_{\alpha}^{\dagger} \simeq c_{\alpha}^{\dagger} + \sum_{\beta\gamma\delta} \frac{V_{\delta\gamma\beta\alpha}}{\epsilon_{\alpha} + \epsilon_{\beta} - \epsilon_{\gamma} - \epsilon_{\delta}} c_{\delta}^{\dagger} c_{\gamma}^{\dagger} c_{\beta} + \cdots$$

$$\int \frac{\zeta_{U}}{\zeta} \int \frac{\zeta_{U}}{\zeta} \int \frac{\nabla c_{\beta}}{\zeta} \int \frac{\nabla c_{\beta}}{\delta} c_{\gamma}^{\dagger} c_{\beta} + \cdots$$

$$\int \frac{\nabla c_{\beta}}{\delta} \int \frac{\nabla c_{\beta}}{\delta} \int$$

Spectral signatures of MBL

Local spectral function of an eigenstate

 $\rho_{i,n}(\omega)$

 $\leftarrow \text{Single-particle Green's function} \\ G_{i,n}^{R}(t) = -i\langle \Psi_n | \{c_i(t), c_i^{\dagger}(0)\} | \Psi_n \rangle \theta(t)$



Thermal spectral function

$$\rho_i^{th}(\omega) = \frac{1}{Z} \sum_n e^{-\beta E_n} \rho_{i,n}(\omega)$$



* Generically, $\rho_i^{th}(\omega)$ does not contain information about localization at $T \neq 0$ Infinite temperature $(\beta \to 0)$, $\rho_i^{th}(\omega) = (1/D) \sum_n \rho_{i,n}(\omega)$ Hilbert space dimension D

○ Delocalized state, $\rho_i^{th}(\omega) = \rho_{i,n}(\omega)$ for $T \to \langle E \rangle = E_n$ ← ETH

Controversies and Caveats

 $\circ~$ Till ~2020, the big question was about the nature of MBL transition

ED $\Rightarrow \nu \le 1 < 2/d$ Phenomenological RGs $\nu \simeq 2.5 - 3 > 2/d$

• MBL unstable for d > 1 due to rare regions of weak disorder (thermal bubble) De Roeck and Huveneers, PRB (2017)

Indications of chaos in the MBL phase Suntajs et al, PRE, PRB (2020)

Numerical evidences of delocalizatin in the MBL phase Sels, Polkovnikov et al.

Long-range resonances in the MBL spectrum Mornigstar, Huse et al.



MBL maybe unstable in the thermodynamic limit at any finite disorder even in 1d

vs. MBL transition shifted much larger W_c

Finite-size MBL phenomenology



Inverse localisation length $1/\zeta$

Crowley & Chandran, Sci. Post. (2022)