

§ Tropicalisation

Def A valuation on a field K is the data of an application $v: K \rightarrow \mathbb{R} \cup \{\infty\}$ which verifies:

- ① $v(a) = \infty \iff a = 0$
- ② $v(ab) = v(a) + v(b)$
- ③ $v(a+b) \geq \min\{v(a), v(b)\}$.

In this case K is called a valued field.

Def

- $R := \{a \mid v(a) \geq 0\}$ valuation ring
- $\mathfrak{m} := \{a \mid v(a) > 0\}$ maximal ideal
- $k := R/\mathfrak{m}$ residue field
- $v(K^\times) \subseteq \mathbb{R}$ value group

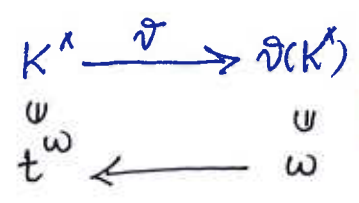
Ex. • $K = \mathbb{Q}$ v_p p-adic valuation

• $K = \mathbb{C}\{\{t\}\}$ the field of Puiseux series
 $= \bigcup_{n \in \mathbb{N}} \mathbb{C}(\!(t^{1/n})\!)$ where $\mathbb{C}(\!(s)\!) = \left\{ \sum_{i \geq N} a_i s^i \mid \text{for some } N, a_i \in \mathbb{C} \right\}$
 field of Laurent series in s .

To simplify $v(K^\times) \subseteq \mathbb{Q}$ (if K algebraically closed, $v(K^\times) = \mathbb{Q}$)

and we suppose there exists a section to $K^\times \xrightarrow{v} v(K^\times)$

i.e. for all $\omega \in v(K^\times)$, $t^\omega \in K^\times$
 with $v(t^\omega) = \omega$, $t^{\omega_1 + \omega_2} = t^{\omega_1} \cdot t^{\omega_2}$



Def - $\forall a \in \mathbb{R}$, $\bar{a} := a \pmod{M}$, this is an element of k .

- $\forall a \in k^*$ $\tilde{a} := \overline{t^{-\partial(a)}} a$, this is an element of k^*

$\tilde{0} := 0$

• Let $(S, +)$ be a commutative semi-group with $0 \in S$.

\leadsto semi-group algebra with K coefficients

$$K[S] := \left\{ \sum_{s \in A} a_s X^s \mid \begin{array}{l} A \subseteq S \text{ finite and} \\ a_s \in K \ \forall s \in A \end{array} \right\}$$

the product $X^{s_1} \cdot X^{s_2} = X^{s_1+s_2}$

• Let M be a free abelian group of finite rank n .

choosing basis e_1, \dots, e_n of M , we get

$$K[M] \cong K[X_1^{\pm 1}, \dots, X_n^{\pm 1}]$$

where $X_i := X^{e_i}$ in $K[M]$.

Def (Algebraic torus)
over K
with character
group M

$$T = T_K := \text{Spec } K[M].$$

The set of K -points of T denoted by $T(K)$ is by

definition $\text{Mor}_{K\text{-var}}(\text{Spec } K, T_K = \text{Spec } K[M]) = \text{Hom}_{K\text{-alg}}(K[M], K) \cong \text{Hom}(M, K^*)$.

So each K -point α of T corresponds to a morphism of abelian groups (denoted again by α , by an abuse of the notation)

$$\alpha: M \longrightarrow K^x.$$

Rk. Basis of M gives rise to an identification

$$T(K) = (K^x)^n.$$

$\alpha \in T(K)$ coordinate $(\alpha_1, \dots, \alpha_n)$. The corresponding

map $\alpha: \mathbb{Z}^n \longrightarrow K^x$ is given by

$$\alpha(m_1, \dots, m_n) = \alpha_1^{m_1} \dots \alpha_n^{m_n} \quad \text{for all}$$

$$m = m_1 e_1 + \dots + m_n e_n \in M \simeq \mathbb{Z} e_1 \oplus \dots \oplus \mathbb{Z} e_n.$$

Def For each point $\alpha \in T(K)$, the tropicalisation of α is denoted by $\text{trop}(\alpha)$ and is defined by

$$\text{trop}(\alpha) := \text{v} \circ \alpha : M \xrightarrow{\alpha} K^x \xrightarrow{\text{v}} \mathbb{Q} \in \text{Hom}(M, \mathbb{Q}).$$

$\text{v} \circ \alpha$

Setting $N = M^\vee = \text{Hom}(M, \mathbb{Z})$, and $N_{\mathbb{R}} = \text{Hom}(M, \mathbb{R})$ the corresponding real vector space over \mathbb{R} , we get $\text{trop}(\alpha) \in N_{\mathbb{Q}} \subseteq N_{\mathbb{R}}$.

Denote by $\langle \cdot, \cdot \rangle$ the canonical bilinear pairing $N \times M \rightarrow \mathbb{Z}$.

Let X be a subvariety of $T = \text{Spec } K[M]$ with defining ideal $I \subseteq K[M]$. So $X = \text{Spec}(K[M]/I)$.

The K -points of X denoted by $X(K)$ are

$$\begin{aligned}
X(K) &:= \text{Mor}_{K\text{-var}}(\text{Spec } K, X) \\
&= \left\{ \alpha \in T(K) \mid f(\alpha) = 0 \text{ for all } f \in I \right\} \\
&= \left\{ \alpha: M \rightarrow K^* \mid \text{for all } f = \sum a_m x^m \in I, \sum a_m \alpha(m) = 0 \right\}
\end{aligned}$$

Def Assume K algebraically closed, and $X \subseteq T_K$ an alg. subvariety

The set $\text{Trop}(X)$ called tropicalisation of X is defined by

$$\text{Trop}(X) := \overline{\left\{ \text{trop}(\alpha) \mid \alpha \in X(K) \right\}} \subseteq \mathbb{N}_{\mathbb{R}}$$

Questions: shape of $\text{Trop}(X)$?
How to calculate $\text{Trop}(X)$?

* Alternative description of $\text{Trop}(X)$

Lemma Let $a, b \in K$ with $v(a) \neq v(b)$. Then $v(a+b) = \min\{v(a), v(b)\}$.

Proof we have $v(1) = v(1) + v(1) \Rightarrow v(1) = 0$
(smc $1 \cdot 1 = 1$)

$$v(1) = v(-1) + v(-1) \Rightarrow v(-1) = 0$$

Suppose now w.l.g. $v(a) > v(b)$.

$$v(b) \geq \min \{ v(a+b), v(-a) \} \quad \text{since } b = a+b + (-a).$$

$$\parallel$$

$$\min \{ v(a+b), v(a) \}$$

Since $v(a) > v(b) \Rightarrow v(b) \geq v(a+b)$.

But $v(a+b) \geq \min \{ v(a), v(b) \} = v(b)$

$\Rightarrow v(b) = v(a+b)$. □

This is a direct consequence:

Cor Suppose $a_1, \dots, a_l \in K^x$ with $\sum a_i = 0$
 $\Rightarrow \min \{ v(a_i) \}$ is achieved at least twice.

Cor (*) Let $\alpha \in X(K) \in T(K)$, and let $f = \sum a_m X^m$ with
 $\alpha: M \rightarrow K^x$ $f(\alpha) = 0$
 \parallel
 $\sum a_m \alpha(m)$

$\Rightarrow \min \{ v(a_m) + \langle \text{trop}(\alpha), m \rangle \}$ is achieved at least twice.

Def - Let $f = \sum a_m X^m \in K[M]$. Define $\text{trop}(f): N_{\mathbb{R}} \rightarrow \mathbb{R}$
 by setting $\forall \omega \in N_{\mathbb{R}}$,

$$\text{trop}(f)(\omega) := \min \{ v(a_m) + \langle \omega, m \rangle \}.$$

- $V(\text{trop}(f)) := \{ \omega \mid \min \{ v(a_m) + \langle \omega, m \rangle \} \text{ is achieved at least twice} \}$
 "zero locus" of $V(\text{trop}(f))$

- For an ideal $I \subseteq K[M]$,

$$\Sigma(I) := \bigcap_{f \in I} V(\text{trop}(f)) \subseteq N_{\mathbb{R}}.$$

RK. By corollary (*), for any $f \in I$, we have

$$\text{Trop}(X) \subseteq V(\text{trop}(f)).$$

$$\Rightarrow \text{Trop}(X) \subseteq \Sigma(I).$$

Fundamental theorem

For any subvariety X of a torus $T = \text{Spec } K[M]$,
with defining ideal $I \subseteq K[M]$, we have

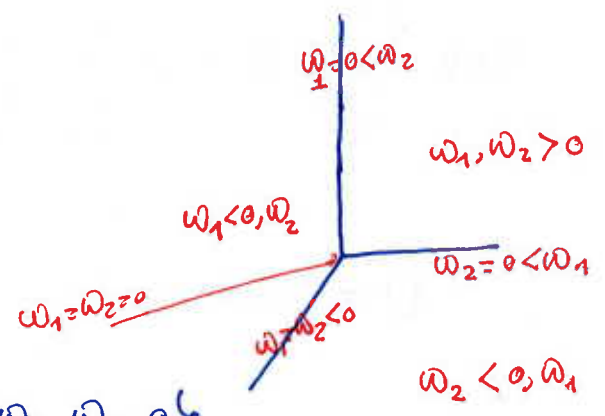
$$\text{Trop}(X) = \Sigma(I).$$

Examples

① $f \in K[X_1^{\pm 1}, X_2^{\pm 1}]$

$f = X_1 + X_2 + 1$

$\text{trop}(f) : \mathbb{R}^2 \rightarrow \mathbb{R}$
 $(\omega_1, \omega_2) \rightarrow \min\{\omega_1, \omega_2, 0\}$



$\text{trop}(f=0) =$

② Tropicalisation of a curve of degree 3
one of the possibilities



③ Tropicalisation of a hypersurface

$X = (f=0)$
 $A \subseteq M$ support of f , $f \in K[M]$
 $f = \sum_{m \in A} a_m x^m$

Newton polytope of f : $P := \text{Convex-hull}\{m \mid m \in A\}$
 $= \left\{ \sum_{m \in A} \alpha_m m \mid \alpha_m \geq 0, \sum \alpha_m = 1 \right\} \subseteq M_{\mathbb{R}}$

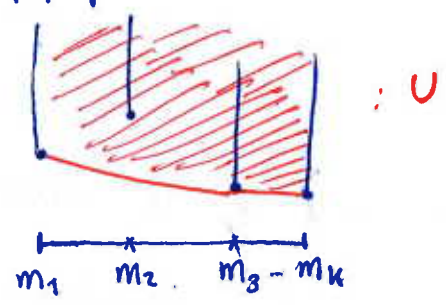
Each a_m gives a height to m
given by $v(a_m)$

This defines a subdivision of P as follows:

Let $U \subseteq \mathbb{R} \times M_{\mathbb{R}}$ be the "upper-convex-hull" of the height function defined as follows:

$$U = \text{Convex-hull} \langle (a, m) \mid a \geq \mathcal{N}(a, m); m \in A \rangle$$

Ex. Suppose M of rank 1
The Newton polytope is an interval



$$A = \{m_1, \dots, m_k\}$$

$$\subseteq \mathbb{R}$$

U is a polyhedron (which is rational)

Description of faces of U

A face F of U is given by the set of all points where, for a choice of $\alpha^* \in \mathbb{R}$ and $w \in \mathbb{N}_{\mathbb{R}}$, the linear form $\alpha^* \cdot + w(\cdot)$ is minimised.

I.e. $F := \{ (a, m) \in U \mid \alpha^* a + w(m) \text{ is minimised} \}$

The face F is bounded $\Leftrightarrow \alpha^* \neq 0$

If F is defined by (α^*, w) $\Rightarrow \alpha^* > 0$ (otherwise the minimum over U does not exist and is $-\infty$ the infimum)

In this case, α^* can be supposed to be equal to 1 (upto changing w to w/α^*).

⇒ Finite face $F = \{ (d,m) \in U \mid d + w(m) \text{ is minimised} \}$
(bounded)

$$\text{if } (d,m) \in F \Rightarrow d = v(am), m \in A$$

This gives a bijective correspondence

$$\text{Bounded faces of } U \longleftrightarrow B \subseteq A \text{ s.t.} \\ \exists w \text{ with } v(am) + \langle w, m \rangle \text{ is} \\ \text{minimised precisely at} \\ \text{elements of } B$$

Under this correspondence

$$F_B := \text{Convex-hull} \langle (v(am), m) \rangle_{m \in B} \longleftarrow B$$

$$\text{Bounded face } F \xrightarrow{\quad} B_F := \{ m \in A \mid (v(am), m) \in F \}$$

Consider now the projection $\pi_2: U \rightarrow P$
 $(d,m) \rightarrow m$

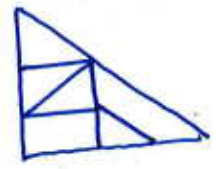
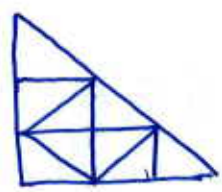
Bounded faces of U provide a subdivision of P .

$$F_B \longrightarrow \text{Convex-hull} \langle m \mid m \in B \rangle \subseteq P$$

The subdivisions of a polytope arising in this way are called regular. C.F. Gelfand-Kapranov-Zelvinsky, Discriminants, Resultants, and multidimensional Determinants.

Ex. Let $f \in K[X_1, X_2]$ a polynomial of degree 3.

Two such subdivisions (depending on $\theta(\cdot)$ of the coefficients) are the following:



(there are more possibilities)

the tropicalisation of $X = (f=0)$ for $f = \sum_{m \in A} a_m X^m$ has now the following description.

Consider a cell F in subdivision of \mathcal{P} with vertex set $B \subseteq A$ and $|B| \geq 2$.

$B \xrightarrow{\text{is associated}} N_B \subseteq \text{Trop}(X)$ defined as follows

$$N_B := \left\{ \omega \in \mathbb{N}_{\mathbb{R}} \mid \begin{array}{l} v(a_m) + \langle \omega, m \rangle \\ \text{takes its minimum} \\ \text{at points of } B \end{array} \right\}$$

If F has dimension k

$\Rightarrow N_B$ has dimension $n-k$

$$\left(\forall m_1, m_2 \text{ we should have } v(a_{m_1}) + v(a_{m_2}) + \langle \omega, m_1 - m_2 \rangle = 0 \right)$$

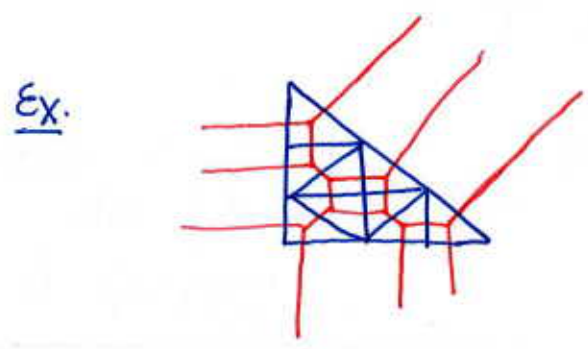
for $\omega \in N_B$.

$\Rightarrow N_B$ lies in an affine space of dimension $n-k$.

In fact N_B is parallel to F^\perp .

the other inequalities define N_B in this space

\Rightarrow $\text{Trop}(X)$ is the dual to the subdivision of P
 and $\{N_B \mid |B| \geq 2\}$ provide a subdivision of
 $\text{Trop}(X)$.



* Hyperplane arrangements =

Let $H_1, \dots, H_m \subseteq \mathbb{P}_\mathbb{C}^n$ where each H_i is the zero set of a linear form

$$l_i = \sum_{j=0}^n d_j z_j$$

$$\text{Let } X := \mathbb{P}_\mathbb{C}^n \setminus \bigcup_{i=1}^m H_i$$

We have a map $X \rightarrow \mathbb{C}^{x,m} / \mathbb{C}$ (Torus T with character lattice M)
 $\alpha \rightarrow (l_1(\alpha), \dots, l_m(\alpha))$
 $= \left\{ (m_1, \dots, m_m) \mid \sum_{j=1}^m m_j = 0 \right\}$

If l_i generate the whole space of linear forms

and $N = \mathbb{Z}^m / \mathbb{Z}(1, \dots, 1)$

we get embedding $X \hookrightarrow T = \text{Spec } \mathbb{C}[M]$.

Equations of X

$\{l_i\}_{i \in A}$ for $A \subseteq \{1, \dots, m\}$ dependent

i.e. s.t. $\sum_{i \in A} \pi_i l_i = 0$ gives a linear equation

$$\sum \pi_i x_i = 0 \text{ in } T$$

$$\text{Trop}(X) = \left\{ (\omega_1, \dots, \omega_m) \in N_{\mathbb{R}} = \mathbb{R}^m / \mathbb{R}(1, \dots, 1) \mid \begin{array}{l} \text{for all } A \subseteq \{1, \dots, m\} \\ \text{dependent,} \\ \min_{i \in A} \omega_i \text{ is} \\ \text{achieved at least} \\ \text{twice} \end{array} \right\}$$

Let $\omega \in \text{Trop}(X) \in \mathbb{R}^m / \mathbb{R}(1, \dots, 1)$. We can assume $\min\{\omega_1, \dots, \omega_m\} = 0$

Then writing $\omega = \alpha_1 1_{F_1} + \alpha_2 1_{F_2} + \dots + \alpha_k 1_{F_k}$

for unique $\emptyset \neq F_1 \subsetneq F_2 \subsetneq \dots \subsetneq F_k \subsetneq E$ and $\alpha_1, \dots, \alpha_k > 0$

then we see that each F_i is "closed" in the sense that an element $1 \leq j \leq m$ s.t. l_j is dependent to elements l_h with $h \in F_i$ is already in F_i .

Proof that F_i is closed

Suppose this is not the case. Let l_j dependent to F_i so

$$l_j = \sum_{h \in F_i} \pi_h l_h$$

Each $h \in F_i$ we have $\omega_h \geq \alpha_i + \alpha_{i+1} + \dots + \alpha_k \Rightarrow \min\{\omega_j, \omega_h\}_{h \in F_i}$ is achieved only once.
 while $\omega_j \leq \alpha_{i+1} + \dots + \alpha_k$

Trop(X) has a fan structure where the cones are of the form $\sigma_{\mathcal{F}} = \mathbb{R}_{\geq 0} \tau_{F_1} + \dots + \mathbb{R}_{\geq 0} \tau_{F_k}$

for $k \in \mathbb{N} \cup \{0\}$ and $\mathcal{F} = \{F_1 \subsetneq \dots \subsetneq F_k \subsetneq E\}$ a flag of non-empty closed sets in $\{1, \dots, m\}$.

This is called the Bergman fan of the hyperplane arrangement. For more details see

Ardila-Klivans, the Bergman complex of a matroid and phylogenetic trees.

§ Proof of the fundamental theorem

Reference: see Maclagan-Sturmfels, Introduction to Tropical Geometry and references there.

K non-trivially valued field
 $\bar{K} = K$ (algebraically closed)
and to simplify, assume $v(K^\times) = \mathbb{Q}$.

$X \subseteq T = \text{Spec } K[M]$ given by the ideal $I \subseteq K[M]$.
subvariety

Fundamental Thm
 $\text{Trop}(X) = \Sigma(I)$.

where $\Sigma(I) = \bigcap_{f \in I} V(\text{trop}(f))$.

Recall

$$\tilde{a} = t^{-v(a)} a \in K$$

Def for $w \in \mathbb{N}_{\mathbb{Q}}$ and $f \in K[M]$, the initial part of f with respect to w denoted by $\text{in}_w(f)$ is defined by

$$\text{in}_w(f) = \sum_m \tilde{a}_m x^m$$

with $v(a_m) + \langle w, m \rangle = \text{trop}(f)(m)$

(I.e. only those terms with $v(a_m) + \langle w, m \rangle$ minimum contribute)

Remarks ① $w \in V(\text{trop}(f)) \Leftrightarrow \text{in}_w(f)$ not a monomial

② $w \in \Sigma(I) \Leftrightarrow \text{in}_w(f)$ not a monomial for all $f \in I$
 $\Leftrightarrow \text{in}_w(I) \neq \langle 1 \rangle = K[M]$.

Here $\text{in}_\omega(\mathcal{I}) :=$ the ideal generated by $\text{in}_\omega(f)$ for $f \in \mathcal{I}$.

③ For $f, g \in K[M]$, $\text{in}_\omega(fg) = \text{in}_\omega(f) \text{in}_\omega(g)$.

Proof of the fund. thm. We need to show $\Sigma(\mathcal{I}) \cap N_{\mathbb{Q}} \subseteq \text{Trap}(X)$.

By induction on codimension of X in T .

First case Suppose X is a hypersurface given by $f=0$ for $f \in K[M]$.

$$\mathcal{I} = \langle f \rangle$$

In this case proceed by induction on $n = \text{rank}(M)$.

① $n=1$. $f \in K[X, X^{-1}]$.

Let $\omega \in \Sigma(\mathcal{I}) \cap N_{\mathbb{Q}}$. We need to show that $\omega \in \text{Trap}(X)$.

$\omega \in \Sigma(\mathcal{I}) \Rightarrow \text{in}_\omega(f)$ is not a monomial.

$$\text{write } f = X^a \prod_{j=1}^b (a_j X - b_j)$$

with $a, b \in \mathbb{Z}$, $b \geq 0$ $a_j, b_j \in K$

$$\Rightarrow \text{in}_\omega(f) = X^a \prod_{j=1}^b \text{in}_\omega(a_j X - b_j)$$

$\Rightarrow \exists j$ with $\text{in}_\omega(a_j X - b_j)$ not a monomial

$$\Rightarrow v(a_j) + \omega = v(b_j)$$

Let $\alpha = b_j/a_j$. We have $f(\alpha) = 0$, $v(\alpha) = \omega$

$$\Rightarrow \omega = \text{trap}(\alpha) \in \text{Trap}(X).$$

② General $n = \underline{\text{rk}(M)}$ assuming $n-1$.

Let $\omega \in \Sigma(\mathbb{I}) \cap N_{\mathbb{Q}}$, so $\text{in}_{\omega}(f) \neq 0$

$\Rightarrow \exists y: M \rightarrow k^x$ such that $\text{in}_{\omega}(f)(y) = 0$

claim \exists primitive element $l \in M$ and decomposition

$$M = \mathbb{Z}l \oplus M' \text{ for } M' \text{ of rank } n-1$$

s.t. $\text{in}_{\omega}(f) \Big|_{x^l = y(l)} \neq 0$.

For a polynomial $F = \sum b_m x^m$, and α in the field

define $F \Big|_{x^l = \alpha} := \sum_{\substack{m=(a,l,m') \\ \in M = \mathbb{Z}l \oplus M'}} b_m \alpha^a x^{m'}$.

Note that $x^m = (x^l)^a x^{m'}$
and we give value α to x^l .

Proof of the claim

Let $A \subseteq M$ be the support of $\text{in}_{\omega}(f)$, and
 let $l \in M$ be a primitive vector s.t. l is not
 parallel to $m_1 - m_2$ for any pair of distinct elements
 $m_1, m_2 \in A$. l exists since A is finite

Then projections of elements of A to M' under

$$\pi_2: M \rightarrow M'$$

are all distinct.

$$\Rightarrow \text{in}_{\omega}(f) \Big|_{x^l = y(l)} := \sum_{\substack{m=(a,b,m') \\ \in A}} \tilde{a}_m \alpha^a x^{m'}$$

has support $\pi_2(A)$. \square

Let now α be an element of K^x with $\text{tr}(\alpha) = \omega(\ell)$ and $\tilde{\alpha} = \gamma(\ell)$. Let $\omega' := \omega|_{M'}$, $\gamma' = \gamma|_{M'}$, and $g = \mathcal{G}|_{x^\ell = \alpha}$.

We have ① $\text{in}_{\omega'}(g) = \text{in}_{\omega}(\mathcal{G})|_{x^\ell = \gamma(\ell)}$

② $\text{in}_{\omega'}(g)(\gamma') = 0$

in particular, since $\text{in}_{\omega'}(g) \neq 0$, ② implies that $\text{in}_{\omega'}(g)$ is not a monomial.

By induction, $\exists \alpha': M' \rightarrow K^x$ with $\text{tr}(\alpha') = \omega'$ and $g(\alpha') = 0$.

Let $\alpha: \mathbb{Z}\ell \oplus \underset{M}{M'} \rightarrow K^x$

be defined by $\alpha(\ell) = \alpha$
 $\alpha|_{M'} = \alpha'$.

We have $\mathcal{G}(\alpha) = g(\alpha') = 0$, and $\text{tr}(\alpha) = \omega$, as required.

2nd Case $X \subseteq T$ of higher codimension

The proof in this case goes by dimension reduction to the case of a hypersurface.

For this we need to consider morphisms of tori.

Let $\varphi^*: M' \rightarrow M$ be a morphism of abelian groups

This induces a morphism of K -algebras $K[M'] \rightarrow K[M]$

and a morphism $\varphi: T \rightarrow T'$ of tori.

The morphism $\varphi^\#$ induces by duality a morphism

$\varphi: N \rightarrow N'$ of dual lattices. ($\varphi = (\varphi^\#)^\vee$)

Let $I \subseteq K[M]$ an ideal and $I' = (\varphi^\#)^{-1}(I) \subseteq K[M']$.

Prop • The map $\varphi: N \rightarrow N'$ restricts to a morphism $\Sigma(I) \rightarrow \Sigma(I')$.

• Let $X \underset{T}{=} \mathbb{A}^1$ and $X' \underset{T'}{=} \mathbb{A}^1$ be the subvarieties of T and T' associated to I and I' . φ restricts to a morphism $\text{Trop}(X) \rightarrow \text{Trop}(X')$.

Proof By direct verification. for the first one, one verifies

for $\omega \in N_{\mathbb{R}}$ if $\text{in}_\omega(I) \neq \langle 1 \rangle \Rightarrow$ density $\omega' = \varphi(\omega)$ then $\text{in}_{\omega'}(I') \neq \langle 1 \rangle$. This shows $\omega' \in \Sigma(I')$.

The reduction to the hypersurface case is by:

Technical Prop Let $X \subseteq T \underset{\text{Spec } K[M]}{=} \mathbb{A}^d$ a subvariety of dimension d . with ideal I of definition

Let $\omega \in \Sigma(I)_{\text{reg}}$. Then

\exists an injective $\varphi^\#: M' \rightarrow M$ with M' of rank $d+1$

inducing a morphism of tori $\varphi: T \rightarrow T'$ with $T' = \text{Spec}(M')$

s.t. ① $\dim(T') = d+1$ (= rank M' , this is automatic)

② $\varphi(X)$ is a closed subvariety of T' .

③ $\dim \varphi(X) = d$

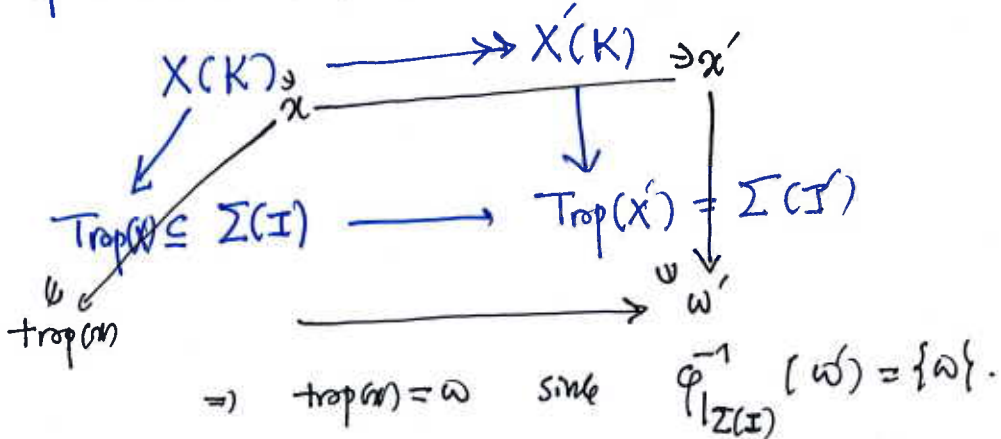
④ ω is the only point of $\Sigma(I)$ with image equal to $\omega' := \varphi(\omega)$. In other words, $\varphi^{-1}(\omega') = \omega$.

Proof of the fundamental thm assuming the Proposition

In order to prove $\text{Trop}(X) = \Sigma(\mathcal{I})$, it will be enough to show that any $\omega \in \Sigma(\mathcal{I}) \cap N_{\mathbb{Q}}$ belongs to $\text{Trop}(X)$, i.e. $\exists \alpha \in X(K)$ with $\text{trop}(\alpha) = \omega$.

By applying the previous proposition, we find an application of tori $\varphi: T \rightarrow T'$ with $\dim(T') = d+1$, and $X' = \varphi(X)$ a closed subvariety of T' of $\dim d$, and $\varphi|_{\Sigma(\mathcal{I})}^{-1}(\omega') = \{\omega\}$ where $\omega' := \varphi(\omega)$.

Let \mathcal{I}' the defining ideal of X' . By the case of hypersurfaces, we have $\text{Trop}(X') = \Sigma(\mathcal{I}')$. Since $\omega' \in \Sigma(\mathcal{I}')$, we find a pt $\alpha' \in X'(K)$ with $\text{trop}(\alpha') = \omega'$. Since $X(K) \rightarrow X'(K)$ is surjective, $\exists \alpha \in X(K)$ with $\varphi(\alpha) = \alpha'$. In particular $\text{trop}(\alpha)$ is mapped to $\omega' = \text{trop}(\alpha')$. Since $\text{trop}(\alpha) \in \Sigma(\mathcal{I})$, by the last property of the map φ , we find $\text{trop}(\alpha) = \omega$. □



Properties of the polyhedral structure on $\Sigma(I)$

Let $I \in K[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$ and $\Sigma(I) \in \mathbb{R}^n$
with corresponding polyhedral str.

① $\Sigma(I)$ has dimension $\Rightarrow d = \dim X$

② X irreducible $\Rightarrow \Sigma(I)$ is pure of dim d
i.e. all maximal faces of $\Sigma(I)$ have dim d .

③ ω_1, ω_2 in the ^{relative} interior of the same face of $\Sigma(I)$, $\text{in}_{\omega_1}(I) = \text{in}_{\omega_2}(I)$

④ Local structure: for all $\omega \in \Sigma(I)$

$$\text{Star}(\omega) = \Sigma(\text{in}_{\omega}(I))$$

$$\left(\begin{aligned} \text{Star}(\omega) &:= \{z \mid \omega + \varepsilon z \text{ in } \Sigma(I) \text{ for } \varepsilon > 0 \text{ suff. small}\} \\ &= \{z \mid \text{in}_{\omega + \varepsilon z}(I) \neq \langle 1 \rangle \text{ for } \varepsilon > 0 \text{ suff. small}\} \\ &= \{z \mid \text{in}_z(\text{in}_{\omega}(I)) \neq \langle 1 \rangle \} \\ &= \underline{\Sigma}(\text{in}_{\omega}(I)) \end{aligned} \right.$$

Proof of the technical proposition

Consider $\Sigma(I)$ with a polyhedral structure.

Let $\omega \in \Sigma(I) \cap N_{\mathbb{Q}}$. For each face σ_i of $\Sigma(I)$

Let L_{σ} be the vector space generated by $\omega - u$ for $u \in \sigma$.

- ① $\dim(L_{\sigma}) \leq d+1$
- ② $\{L_{\sigma}\}_{\sigma \text{ face of } \Sigma(I)}$ is finite.

Claim Let $X \subseteq T = \text{SpuK}[M]$ of dimension d .
 \exists M' of rank $d+1$ and an injection
 $\varphi^{\#}: M' \rightarrow M$ s.t. for the map $\varphi: T \rightarrow T' = \text{SpuK}[M']$

- ① $\varphi(X)$ is closed in T'
- ② $\dim \varphi(X) = d$
- ③ $\ker(\varphi: N \rightarrow N')$ intersects trivially a fixed selection H_1, H_2, \dots, H_k of subspaces of $N_{\mathbb{R}}$ with $\dim(H_i) \leq d+1$.

Proof of the technical Prop Apply the claim to the selection $\{L_{\sigma}\}$. to find $\varphi: T \rightarrow T'$ with $\ker(\varphi: N \rightarrow N') \cap L_{\sigma} = \{0\}$.

①, ②, ③ in the prop follow from the properties of φ .

To prove ④ let $u \in \Sigma(I)$ with $\varphi(u) = \varphi(w)$.

Let σ' ~~be~~ a face of $\Sigma(I)$ containing u .

$$\begin{aligned} \Rightarrow w-u \in L_{\sigma'} & \Rightarrow w-u \in L_{\sigma'} \cap \text{Ker}(\varphi) = \{0\} \\ u-u \in \text{Ker}(\varphi) & \Rightarrow \underline{w=u}. \end{aligned}$$

Proof of the claim

By Induction.

Let I the ideal of X and pick $f = \sum_{\substack{m \in A \\ m \neq 0}} a_m X^m \in I$

for $A \subset M$ finite with $f \neq 0$.

We look first for a decomposition $M = \mathbb{Z}l \oplus M_1$

s.t. the projection $\pi_l: M \rightarrow \mathbb{Z}l$ restricted to A is injective. For this it will be enough to have $m_1 - m_2 \notin M_1$

for all pairs of distinct elements $m_1, m_2 \in A$. We can choose l and M_1 with this property.

Write $f = \sum_{\substack{m=(al, m_1) \\ m \in A}} a_m (X^l)^a X^{m_1}$

Let $\varphi_l^\#: KEMJ \rightarrow KEMJ$ and $I_1 = \varphi_l^{\#-1}(I)$

Let $A_1 := KEMJ/I_1 \longleftarrow KEMJ/I =: A$.

Since X^l vertic $f=0$ with coefficients in $KEMJ$ which are all monomials,

It follows that A is finite over A_1

$\Rightarrow \text{Spec } A \rightarrow \text{Spec } A_1$ is finite

and s. $\varphi_1(X) = X_1 = \text{Spec } A_1$ is a closed subvariety

of $T_1 = \text{Spec } K[M_1]$ of dimension $= \dim X$.

We need to show that we can choose M_1 and l

s.t. $\text{Ker}(\varphi_i : N \rightarrow N_i)$ be not contained in any of the

H_i 's for $i = 1, \dots, k$.

(Then an induction allows to finish the proof)

Let τ be a primitive element of N with

$\tau \notin \bigcup_{i=1}^k H_i$ and s.t. $\tau(m_1 - m_2) \neq 0$ for $m_1 \neq m_2$ in A .

Let $M_1 = \text{Ker}(\tau)$ and choose l primitive with

$M = \mathbb{Z}l \oplus M_1$.

Since M_1 does not contain $m_1 - m_2$ for $m_1 \neq m_2$ in A

\Rightarrow we can apply the reasoning above to get a map $\varphi_1 : T \rightarrow T_1$

Since $\tau \notin H_i \Rightarrow \text{Ker}(\varphi_1 : N_{\mathbb{R}} \rightarrow N_{i, \mathbb{R}}) = \mathbb{R}\tau \notin H_i$,

and the claim follows.

§ Tonic varieties (and connection to tropicalisation)

References

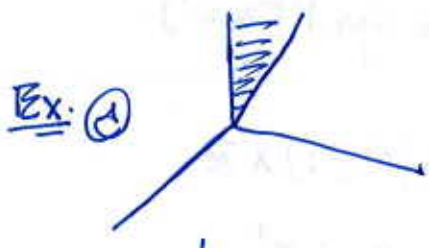
- Kempf-Knudsen-Mumford-Saint-Donat, Toroidal Embeddings I.
- Fulton, Introduction to Toric Varieties
- Tevelev, Compactifications of subvarieties of tori
- Maclagan-Sturmfels, Introduction to tropical geometry

Let M and N be free abelian groups of finite rank n , as before, with $N = M^\vee = \text{Hom}(M, \mathbb{Z})$.

Def A rational fan Σ in $N_{\mathbb{R}}$ is a finite collection of rational strict convex cones $\sigma \in \Sigma$

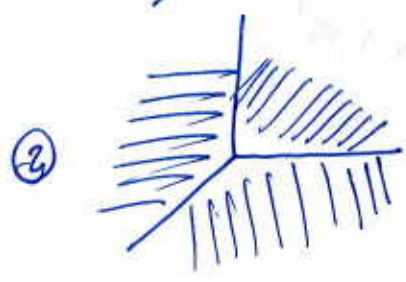
verifying ① if $\sigma \in \Sigma$ and τ a face of $\sigma \Rightarrow \tau \in \Sigma$.

② for $\sigma_1, \sigma_2 \in \Sigma$, the intersection $\sigma_1 \cap \sigma_2$ is a common face of σ_1 and σ_2 (and thus belongs to Σ).



Σ has

- 1 cone of dim two
- 4 cones of dim one
- 1 cone of dim 0



Σ has

- 3 cones of dim two
- 3 " " " one
- 1 cone of dim zero.



For $\sigma \in \Sigma$, $\sigma^\vee := \left\{ m \in M_{\mathbb{R}} \mid \langle \omega, m \rangle \geq 0 \forall \omega \in \sigma \right\}$
 the dual cone.

σ^\vee is a rational cone with $\dim \sigma^\vee = n$ (since σ is strict)
 (strict means there is no line in σ)

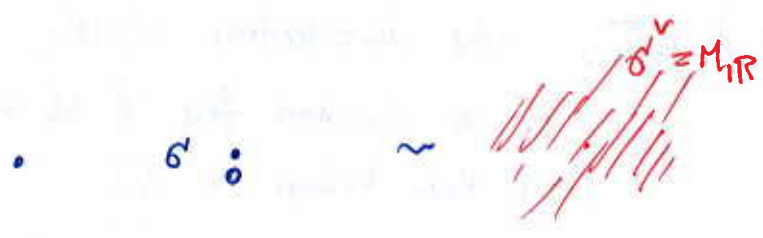
Define $S_\sigma := \sigma^\vee \cap M$ the integer pts of σ^\vee .

Gordon's Lemma S_σ is a finitely generated monoid (with addition).

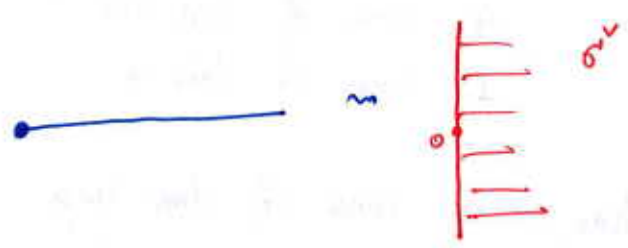
Def $K[S_\sigma]$ the semi-group algebra with coefficients in K
 $U_\sigma := \text{Spec } K[S_\sigma]$ the corresponding algebraic variety.
 (Here K is any field)



$S_\sigma \cong (\mathbb{N} \cup \{0\})^2$
 $U_\sigma \cong \mathbb{A}_K^2$



$S_\sigma = M \cong \mathbb{Z}^2$
 $U_\sigma \cong \mathbb{G}_m \times \mathbb{G}_m$
 $\mathbb{G}_m := \text{Spec } K[x, x^{-1}]$



$S_\sigma = (\mathbb{N} \cup \{0\}) \times \mathbb{Z}$
 $U_\sigma \cong \mathbb{G}_m \times \mathbb{A}^1$

Rk. $\text{Hom}_{\text{ab. group}}(T, G_m) = M$ M is the group of characters of T

$\text{Hom}_{\text{ab. group}}(G_m, T) = N$ N is the group of characters

For $\omega \in N$, $\lambda_\omega: G_m \rightarrow T$ the corresponding map, which is given by the map $K[M] \rightarrow K[Z]$ induced by $\omega: M \rightarrow \mathbb{Z}$. (The map sends $X^m \rightarrow X^{\langle \omega, m \rangle}$.)

Rk. $\sigma \in N_{\mathbb{R}}$ is characterised as follows:

σ is the convex-hull of all $\omega \in N$ s.t.

$\lambda_\omega: G_m \rightarrow T$ extends to a morphism $\mathbb{A}^1 \rightarrow U_\sigma$,

i.e. $\lim_{t \rightarrow 0} \lambda_\omega(t)$ exists in U_σ .

$\left(\begin{aligned} \omega \in \sigma \cap N &\iff \text{for all } m \in S_\sigma, \langle \omega, m \rangle \geq 0 \\ &\iff \lambda_\omega^\#: K[M] \rightarrow K[Z] \text{ induces} \\ &K[S_\sigma] \rightarrow K[\mathbb{Z}_{\geq 0}] \text{ i.e. } \mathbb{A}^1 \rightarrow U_\sigma. \end{aligned} \right)$

Σ a fan in $N_{\mathbb{R}}$,

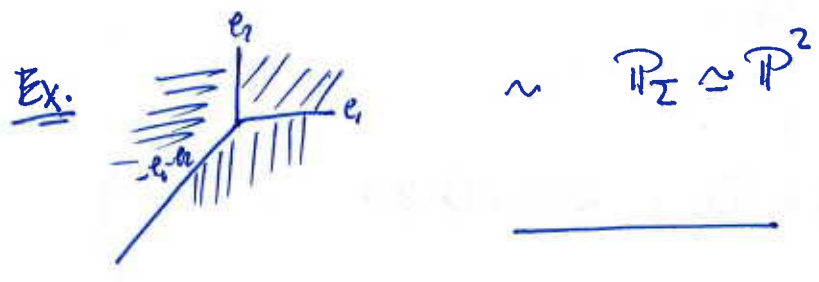
$$|\Sigma| := \text{support of } \Sigma = \bigcup_{\sigma \in \Sigma} \sigma.$$

$$\tau \leq \sigma \Rightarrow U_{\tau} \hookrightarrow U_{\sigma} \text{ Open subvariety}$$

$$\sigma^{\vee} \subseteq \tau^{\vee} \quad \text{and} \quad \mathcal{S}_{\tau} = \mathcal{S}_{\sigma}[-m] \Big|_{m \in \sigma^{\vee} \cap \tau^{\perp} \cap M}$$

$\Rightarrow K[\mathcal{S}_{\sigma}] \rightarrow K[\mathcal{S}_{\tau}]$
is a localisation inducing an open embedding
 $U_{\tau} \hookrightarrow U_{\sigma}$.

Def $\mathbb{P}_{\Sigma} := \bigsqcup_{\sigma \in \Sigma} U_{\sigma} / \sim$ the variety obtained by gluing
 U_{σ} under the identification
of U_{τ} as an open subset of U_{σ}
for $\tau \leq \sigma$.



RK. The actions of T on U_{σ} are compactible and
give an action of T on \mathbb{P}_{Σ} .

Properties

① \mathbb{P}_Σ is separated.

② \mathbb{P}_Σ is normal. In fact any normal variety

$\mathbb{P} \supseteq T$ which admits a compatible action by T , i.e.

$$\begin{array}{ccc} T \times \mathbb{P} & \longrightarrow & \mathbb{P} \\ \downarrow & \square & \downarrow \\ T \times T & \longrightarrow & T \end{array}$$

is of the form $\mathbb{P} = \mathbb{P}_\Sigma$ for some fan Σ in $N_{\mathbb{R}}$.

③ Stratification in torus orbits:

For $\sigma \in \Sigma$, the dual cone σ^\vee contains

U_σ
 $\sigma^\perp := \{m \in M_{\mathbb{R}} \mid \langle \omega, m \rangle \geq 0 \ \forall \omega \in \sigma\}$

Define $M_\sigma := \sigma^\perp \cap M$.

$\text{rank}(M_\sigma) = \text{codim}(\sigma) = n - \dim(\sigma)$.

We have a morphism of semi-groups:

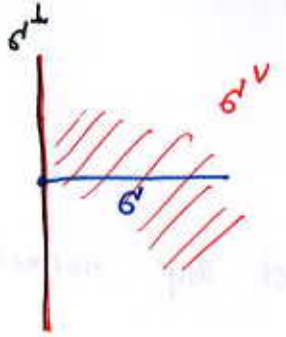
$$\begin{array}{ccc} S_\sigma & \longrightarrow & M_\sigma \\ m & \longrightarrow & \begin{cases} m & \text{if } m \in M_\sigma \\ 0 & \text{otherwise.} \end{cases} \end{array}$$

This gives an embedding of $T_\sigma := \text{Spec}(\mathbb{K}[M_\sigma])$

in U_σ , $T_\sigma \xleftarrow{\text{closed}} U_\sigma$ $\dim(T_\sigma) = \text{codim}(\sigma)$.

We have $\mathbb{P}_\Sigma = \bigsqcup_{\sigma \in \Sigma} T_\sigma$.

Ex.



$$U_{\sigma} \cong \mathbb{A}^1 \times \mathbb{G}_m$$

$$U_i$$

$$T_{\sigma} = \{0\} \times \mathbb{G}_m$$

Ex.



$$\Sigma = \{ \{0\}, \mathbb{R}_{>0} e_1, \mathbb{R}_{>0} e_2, \mathbb{R}_{>0} \times \mathbb{R}_{>0} \}$$

$$\mathbb{A}^2 = \mathbb{G}_m \times \mathbb{G}_m \sqcup \{0\} \times \mathbb{G}_m \sqcup \mathbb{G}_m \times \{0\} \sqcup \{0\}_{(0,0)}$$

Define $V_{\sigma} := \overline{T_{\sigma}}$ the closure of T_{σ} in \mathbb{P}_{Σ} .

We have
$$V_{\sigma} = \bigcup_{\eta \in \Sigma} T_{\eta}$$

with $\eta \geq \sigma$

(4) \mathbb{P}_{Σ} is complete $\iff \Sigma$ is complete, i.e. $|\Sigma| = \mathbb{N}_{\mathbb{R}}$.

More generally, Prop Let $X \subseteq T$ a closed subvariety, $k \leq k$ trivially valued.

the closure \overline{X} of X in \mathbb{P}_{Σ} is complete
 $\iff |\Sigma| \geq \text{Trop}(X)$.

Proof Vallicative criterium of Properness

Let L be a valued field $\geq k$, with valuation ring R ,

let $\alpha: \text{Spec } L \rightarrow X$ an L -point of X . We need to show

the existence of a map $\text{Spec } R \rightarrow \overline{X}$ extending α .

$\alpha \in X(L) \Rightarrow \text{trop}(\alpha) \in \text{Trop}(X) \subseteq |\Sigma|$ by assumption.

$\Rightarrow \exists \sigma' \in \Sigma$ with $\text{trop}(\alpha) \in \sigma'$.

$\alpha \in X(L) \in T(L)$ is given by a map

$$\alpha: K[M] \rightarrow L^*$$

$$\alpha: M \rightarrow L^*$$

and $\text{trop}(\alpha): M \rightarrow \mathbb{R}$
is α

$$\text{trop}(\alpha) \in \sigma' \Rightarrow \forall m \in \sigma' \in \sigma'^v, \langle \text{trop}(\alpha), m \rangle \geq 0$$

$\Rightarrow \text{trop}(\alpha): \sigma' \rightarrow \mathbb{R}_{\geq 0}$ and so $\forall (m) \geq 0 \forall m \in \sigma'$
i.e. $\alpha(m) \in \mathbb{R}$

$$\Rightarrow \alpha: K[\sigma'] \rightarrow \mathbb{R}$$

\Rightarrow induces a map $\text{Spec } \mathbb{R} \rightarrow U_{\sigma'} \subseteq \mathbb{P}_{\Sigma}$.

This map factorise through \bar{X} . Thus we get



extending α .

RK This shows Tropicalisation \leftrightarrow Compactification over k (trivially valued)

More generally tropicalisation \leftrightarrow Compactification over K + model of the compactification over $\text{Spec } \mathbb{R}$ (-toric schemes)

Balancing Condition and intersections in \mathbb{P}^2

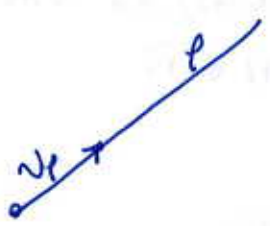
Σ a fan, \mathbb{P}^2_Σ corresponding toric variety.

$\forall m \in M$, x^m is a rational function on \mathbb{P}^2_Σ .

Question Let $\rho \in \Sigma$ be a ray, i.e. a cone of dim one.

Let V_ρ be the corresponding closed subvariety of codim one
 $\frac{u}{T_\rho}$ in \mathbb{P}^2_Σ .

$$\text{ord}_{V_\rho}(x^m) = ?$$



Let ν_ρ be a primitive vector in ρ .

$$N = \mathbb{Z}\nu_\rho \oplus N'$$

$$M = \mathbb{Z}l \oplus M' \quad \text{for } M' = \rho^\perp \cap M = M_\rho$$

and $l \in M$ with $\langle \nu_\rho, l \rangle = 1$.

$$\begin{matrix} U_\rho \cong A^1 \times T_\rho & \text{with } A^1 \cong \text{Spec } K[\mathbb{Z}_{\geq 0}] \\ \downarrow & \downarrow \\ T_\rho = \text{loc } x T_\rho & T_\rho = \text{Spec } K[M_\rho] \end{matrix}$$

$m \in M$ can be written as $al + m'$ with $a \in \mathbb{Z}$ and $m' \in M' = M_\rho$.

$$\text{ord}_{T_\rho}(x^m) = \text{ord}_0(x^{al}) = a = \langle \nu_\rho, m \rangle.$$

\Rightarrow $\text{ord}_{V_\rho}(x^m) = \langle \nu_\rho, m \rangle$

$$\Rightarrow \operatorname{div}(\chi^m) = \sum_{\substack{p \in \Sigma \\ \text{ray}}} \langle \nu_p, m \rangle \quad \forall p$$

More generally, let $\tau \in \Sigma$, and $m \in M_\tau (= \tau^\perp \cap M)$.

χ^m defines a rational function on V_τ and

we have

$$\operatorname{div}(\chi^m) = \sum_{\substack{\sigma \in \Sigma \\ \tau \neq \sigma}} \langle \nu_{\sigma/\tau}, m \rangle \quad \forall \sigma$$

$$\dim(\sigma) = \dim(\tau) + 1$$

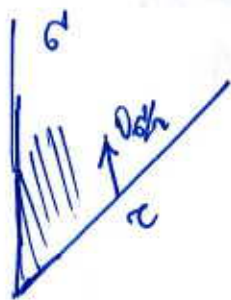
where $\nu_{\sigma/\tau}$ is a primitive vector in \mathbb{N}

with N_σ generated by N_τ and $\nu_{\sigma/\tau}$

and $\nu_{\sigma/\tau}$ "pointing into the interior of σ "

i.e. $\forall \omega$ in the relative interior of τ ,

$\tau + \epsilon \nu_{\sigma/\tau}$ is in σ for $\epsilon > 0$ sufficiently small.



Here $N_\eta = (\text{vector space generated by } \eta) \cap \mathbb{N}$
for all $\eta \in \Sigma$.

Let now $K = \bar{K}$ valued field.

$X \subseteq \mathbb{A}^n_K$ a closed subvariety with defining ideal I .
Suppose X irreducible. $\dim(X) = d$.

Let Σ be a polyhedral structure on $\text{Trap}(X) = \Sigma(I)$

verifying for all polyhedron $\sigma' \in \Sigma$,

for ω_1, ω_2 in the relative interior of σ' ,

$$\text{in}_{\omega_1}(I) = \text{in}_{\omega_2}(I).$$

Define $m: \begin{matrix} d\text{-dimensional cells} \\ \text{of } \Sigma \end{matrix} \longrightarrow \mathbb{N}$

as follows:

Let $\sigma' \in \Sigma$ d -dim cell.

$\omega \in \text{rel. int. of } \sigma'$.

let $A := K[M]/\text{in}_{\omega}(I)$ and $\tau_i \rightarrow \tau_e$ the minimal prime ideals of A .

$$m(\sigma') := \sum_{i=1}^d \text{length}(A_{(\tau_i)}).$$

Let τ a $(d-1)$ -dim polyhedron in Σ

and σ' a d -dim polyhedron in Σ containing τ .

Define $\nu_{\sigma'/\tau}$, as before, as a primitive vector in N

s.t. ① $N_{\sigma'}$ is generated by N_{τ} and $\nu_{\sigma'/\tau}$;

② $\nu_{\sigma'/\tau}$ "punch into the interior of σ' ".

Here N_η is the integer form of the vector space generated by all forms $\omega_1 - \omega_2$ for $\omega_1, \omega_2 \in \eta$.

Thm (Balancing condition)

Notation as above, $\text{Trap}(X)$ with the weight function $m: d\text{-dimensional cells} \rightarrow \mathbb{N}$ verify the following "balancing condition"

$\forall \tau \in \Sigma$ of dimension $d-1$,

$$\sum_{\substack{\sigma \supseteq \tau \\ \dim(\sigma) = d}} m(\sigma) \nu_{\sigma/\tau} \in N_\tau.$$

Rk. This can be regarded as a tropical version of the Poincaré-Lefschetz formula in complex geometry.

Proof of the thm (sketch)

We can work in $\text{Star}(\tau)$ which is $\text{Trap}(Y)$

for $Y \subseteq T_k = \text{Spec } k[M]$ given by ideal $\langle \omega \rangle \in k[M]$

for ω in the rel. int of τ .

(Recall $\text{Star}(\tau) := \left\{ z \in N_{\mathbb{R}} \mid \omega + \varepsilon z \in \text{Trap}(X) \text{ for } \varepsilon > 0 \right\}$ sufficiently small)

Σ a fan structure on $\text{Star}(\tau)$

Let \mathbb{P}_Σ corresponding toric variety.

For $\sigma \in \Sigma$ of dimension d , $V_\sigma \in \mathbb{P}_\Sigma$ has codim d .

and we have

$$m(\sigma) = \overline{\gamma} \cdot V_\sigma$$

where $\overline{\gamma}$ is the closure of γ in \mathbb{P}_Σ (which is complete).

The balancing condition is the statement that

for τ of dimension $d-1$ in Σ ,

$$\sum_{\substack{\sigma \neq \tau \\ \dim(\sigma) = d}} m(\sigma) \nu_{\sigma/\tau} \in N_\tau$$

By duality



$$\forall m \in M_\tau \quad \langle \sum_{\sigma \neq \tau} m(\sigma) \nu_{\sigma/\tau}, m \rangle = 0$$

But

$$\begin{aligned} \langle \sum_{\sigma \neq \tau} m(\sigma) \nu_{\sigma/\tau}, m \rangle &= \langle \sum_{\sigma \neq \tau} \overline{\gamma} \cdot V_\sigma \nu_{\sigma/\tau}, m \rangle \\ &= \overline{\gamma} \cdot \left(\sum_{\sigma \neq \tau} \langle \nu_{\sigma/\tau}, m \rangle V_\sigma \right) \end{aligned}$$

$$= (\overline{\gamma} \cap V_\tau) \cdot \text{div}(X^m) \leftarrow \text{in } V_\tau$$

$$= 0.$$