

# Lectures on Non-Abelian FQH states

Eduardo Fradkin

Department of Physics and Anthony J. Leggett

Institute for Condensed Matter Theory

University of Illinois

International Centre for Theoretical Science

Bengaluru, India, September 25 - October 4, 2023

L1 9/29/23

## Outline

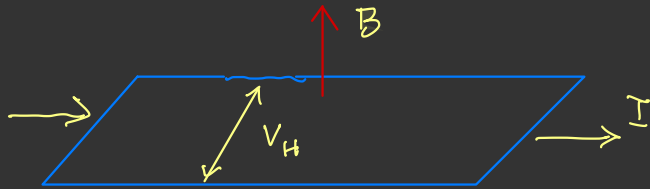
2

- \* Non-Abelian FQH states: why they are interesting, TQC, etc.
- \* Chern-Simons Gauge Theory and the bulk-boundary correspondence
- \* CFT representation of wave functions and the edge states
- \* Laughlin, Majorana, Ising, Parafermions and Fibonacci
- \* Physical mechanisms: pairing and clustering
- \* Field Theory of Non-Abelian FQH states: Moore-Read, Read-Rezayi, Fibonacci, Parafermions
- \* Outlook

# Fractional Quantum Hall States

(3)

In the beginning... two-dimensional electron gases in large magnetic fields



$$\sigma_{xy} = \nu \frac{e^2}{h}, \quad \sigma_{xx} \rightarrow 0 \quad (T \rightarrow 0)$$

no dissipation

Laughlin:  $\Psi_m(z_1, \dots, z_N) \sim \prod_{i < j} (z_i - z_j)^m e^{-\frac{1}{4\ell_0^2} \sum_{j=1}^N |z_j|^2}$  (1983)

filling fraction  $\nu = \frac{1}{m}$ ;  $\{z_j\}$ : electron coordinates ( $z = x + iy$ )  
 $\ell_0 = (\hbar c / eB)^{1/2}$  magnetic length

Jain: composite fermion: electron +  $(m-1)$  fluxes ( $m$  odd)

FQH state: IQH state of composite fermions

$$\nu_{\pm}(p, s) = \frac{p}{2sp \pm 1} \quad \begin{matrix} p = 1, 2, \dots \\ s = 0, 1, 2, \dots \end{matrix} \quad \left( \begin{matrix} \text{Laughlin: } p=1, + \\ m=2s+1 \end{matrix} \right)$$

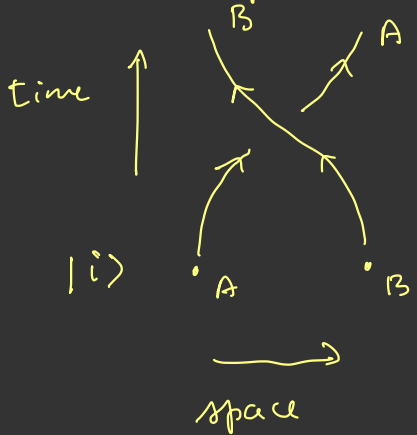
odd denominators  $\rightarrow$

\* The excitations of FQH fluids are vortices ("quasiholes") that

(a) carry fractional charge  $q = \frac{1}{2sp \pm 1}$

(b) fractional braiding statistics

(c)  $m$  degenerate ground states on a torus (topological protection)



$$\psi(A, B) = e^{i\varphi} \psi(B, A)$$

$$\varphi = \frac{\pi}{2sp \pm 1}$$

$\Rightarrow$  anyons labelled by one-dimensional representations of the Braid Group

# Hydrodynamic Derivation of the Effective Action

(5)

The three crucial physical properties of FQH's are

- (1) Incompressibility (i.e. a gap for all bulk excitations)
- (2)  $\exists$  magnetic field  $\Rightarrow$  broken time reversal (TR)
- (3)  $\exists$  conserved (charge) current  $j^\mu = (j_0, \vec{j})$  (Minkowski metric)

$$\textcircled{3} \Rightarrow \partial_\mu j^\mu = 0 \iff j^\mu = \frac{e}{2\pi} \epsilon^{\mu\nu\lambda} \partial_\nu a_\lambda$$

$j^\mu$  is invariant under  $a_\mu \rightarrow a_\mu + \partial_\mu \psi$   $\iff$  smooth (gauge invariant)

What is the effective action for  $a_\mu$ ?

$\textcircled{1} \Rightarrow$  locality,  $\textcircled{2} \Rightarrow$  odd under TR,

$$\mathcal{L} = \frac{m}{4\pi} \epsilon_{\mu\nu\lambda} a^\mu \partial^\nu a^\lambda - \underbrace{\frac{e}{2\pi} \epsilon_{\mu\nu\lambda} \partial^\nu a^\lambda}_{j_\mu} A^\mu - a_\mu j_{qp}^\mu$$

↑  
worldlines of the qp's

# Quantization of Chern-Simons Gauge Theory

(6)

$$\mathcal{L} = \frac{k}{4\pi} \epsilon_{\mu\nu\lambda} a^\mu \partial^\nu a^\lambda - j^\mu a_\mu$$

( $k \in \mathbb{Z}$ )

If  $j_\mu = 0$   $\mathcal{L}_{CS}$  is topological in the sense that it does not depend on the metric

$$j^\mu \text{ is conserved } \Leftrightarrow \partial_\mu j^\mu = 0$$

In Cartesian coords.

$$\mathcal{L} = a_0 \left( \frac{k}{2\pi} \epsilon_{ij} \partial_i a_j - j_0 \right) + \frac{k}{4\pi} \epsilon_{ij} A^i \partial^0 A^j + \vec{j} \cdot \vec{a}$$

Lagrange multiplier  $\Rightarrow$   $j_0 = \frac{k}{2\pi} b$  ;  $b = \epsilon_{ij} \partial_i a_j$   
Gauss Law

① Eq. of motion:  $\frac{k}{4\pi} \epsilon_{\mu\nu\lambda} f^{\nu\lambda} = j_\mu$        $f_{\mu\nu} = \partial_\mu a_\nu - \partial_\nu a_\mu$

If  $j_\mu = 0 \Rightarrow f_{\mu\nu} = 0$  ("flat connections")

②  $a_1$  and  $a_2$  are canonical pairs       $[\hat{a}_1(\vec{x}), \hat{a}_2(\vec{y})] = i \frac{2\pi}{k} \delta^2(\vec{x}-\vec{y})$

$$H = - \int d^2x \vec{j} \cdot \vec{a}$$

If  $\vec{j} = 0 \Rightarrow H = 0$  no energy!

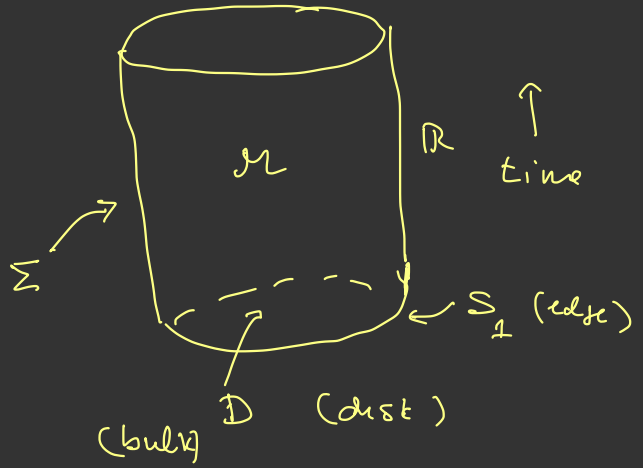
Suppose the space is a disk  $D$  with boundary  $\partial D \cong S_1$  circle

The manifold is  $M = D \times \mathbb{R}$  time

Under gauge transf.,  $A_\mu \rightarrow A_\mu + \partial_\mu \varphi$ , the C.S. action changes

$$\delta S = \int_M d^3x \partial^\mu (\Phi S_\mu^*) = \int_{S_1 \times \mathbb{R}} dS^\mu \varphi f_\mu^* \quad f_\mu^* = \frac{1}{2} \epsilon_{\mu\nu\lambda} \dot{f}^{\nu\lambda}$$

$$\Rightarrow \delta S = \varphi \times \text{flux}(\Sigma), \quad \Sigma = S_1 \times \mathbb{R}$$



$$EOM \Rightarrow f_{\mu\nu} = 0 \Rightarrow a_\mu = \partial_\mu \varphi \quad (\text{gauge transf.})$$

(8)

$\Rightarrow$  the action integrates to the boundary  $\Sigma = S_1 \times \mathbb{R}$  (cylinder)

$\uparrow$  edge       $\uparrow$  time

$$\Rightarrow S = \int_{S_1 \times \mathbb{R}} d^2x \frac{\hbar}{4\pi} \partial_0 \varphi \partial_1 \varphi$$

$$\varphi(x_1 + L) = \varphi(x_1) \quad (\text{PBC's})$$

we need to fix the gauge at the boundary  $\mathcal{L}_{GF} = v a_1^2$

$$\Rightarrow S_{\text{eff}}[\varphi] = \int_{S_1 \times \mathbb{R}} d^2x \frac{\hbar}{4\pi} \left( \partial_0 \varphi \partial_1 \varphi - v (\partial_x \varphi)^2 \right) \quad (\text{real time!})$$

\*  $v$  here is arbitrary. In the context of the FQH states it is determined by the energetics at the edge. To leading order it

is the drift velocity  $\sim E/B$

\* In CS theory it appears because any gauge fixing at the boundary breaks the large reparametrization invariance of the bulk theory



# Observables of the edge theory

(9)

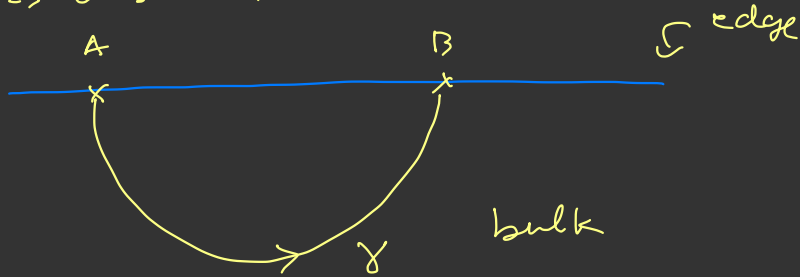
$\varphi$  is not a physical field

$$\frac{1}{2\pi} \partial_{\perp} \varphi = j_{\perp} \text{ (edge current)} \Rightarrow \int_0^L dx_1 j_{\perp} = Q = \frac{(\Delta \varphi)_L}{2\pi}$$

$$Q = 0 \Leftrightarrow \Delta \varphi(L) = 0 \Leftrightarrow \text{PBC's}$$

$$Q = r \Rightarrow \varphi(x_1 + L) = \varphi(x_1) + 2\pi r$$

$$\Rightarrow e^{-i\text{bulk}} \Rightarrow r = m$$



$$W_{\gamma} = e^{i \int_{\gamma} dx_{\mu} a^{\mu}} \quad \text{Wilson arc}$$

$$\equiv e^{i \int_{\gamma} dx_{\mu} \partial^{\mu} \varphi}$$

$$\equiv e^{i\varphi(B)} e^{-i\varphi(A)}$$

(path-ordered)

Observables at the edge are vertex operators:  $V_p(x) = e^{i p \varphi(x)}$ ,  $p \text{ mod } m$

compactification radius  $\varphi(x) \rightarrow \varphi(x) + 2\pi n R$

with  $R = 1$

time-ordering

## Propagators at the edge

(10)

↓

$$\langle T \varphi(x, t) \varphi(0, 0) \rangle = -\frac{1}{m} \ln \left( \frac{x - vt + i\epsilon}{a_0} \right)$$

$$x_0 = vt$$

$$x_1 = x$$

electron operator

$$v = \frac{1}{m}$$

$$\psi_e \equiv e^{im\varphi}$$

$$G_F(x, t) = \langle T \psi_e^\dagger(x, t) \psi_e(0, 0) \rangle = \frac{\text{const}}{(x - vt + i\epsilon)^m} \leftarrow \begin{array}{l} n \text{ fold} \\ \text{pole!} \end{array}$$

$$x \rightarrow -x \text{ and } t \rightarrow -t \Rightarrow G_F(x, t) = -G_F(-x, -t) \text{ if } \underline{m \text{ is odd}}$$

$$V_1(\varphi) \equiv \psi_{qp}$$

$$G_{qp}(x, t) = \langle T \psi_{qp}^\dagger(x, t) \psi_{qp}(0, 0) \rangle = e^{\langle T \varphi(x, t) \varphi(0, 0) \rangle}$$

$$= \frac{\text{const.}}{(x - vt + i\epsilon)^{1/m}}$$

$$\Rightarrow G_{qp}(x, t) = G_{qp}(-x, -t) e^{\pm i \frac{\pi}{m}}$$

fractional statistics!

branch cut!