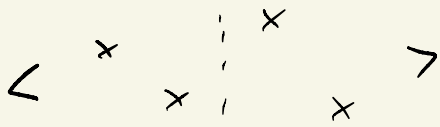
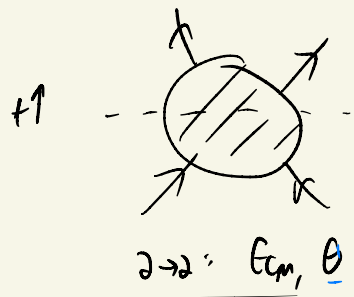


S-matrix and conformal bootstrap



Bootstrap: solve by self-consistency (on constrain)

Ingredients

i) symmetries

Poincaré $\rightarrow E, J$

$$M(E, \theta) = \sum_J a_J(E) P_J(\cos \theta)$$

spherical harmonics

ii) positivity

$$|S_T| = |1 + i a_T| \leq 1$$

$$0 \leq \text{Im } a_T \leq 2$$

(completeness relation:

$$S = 1 + iM \quad S^\dagger S = 1 \Rightarrow i(M^\dagger - M) = M^\dagger + M \geq 0$$

iii) crossing

conformal $\rightarrow \Delta, J$

$$\langle x_i x_j \rangle = \sum_{\Delta, J} f_{\Delta, J}^2 G_{\Delta, J}(x_i, x_j)$$

$$f_{\Delta, J}^2 \geq 0$$

$$\langle \phi_4 \phi_3; \phi_1 \phi_2 \rangle = \sum_{\Delta, J} \langle \phi_4 \phi_3 | \Delta, J \rangle \langle \Delta, J | \phi_1 \phi_2 \rangle$$

$$= \sum_{\Delta, J} \langle \phi_4 \phi_3 | \Delta, J \rangle \langle \Delta, J | \phi_1 \phi_2 \rangle$$

$$\langle \phi_4 [\phi_3; \phi_1] \phi_2 | 0 \rangle = 0 \quad (1, 3 \text{ spacelike})$$

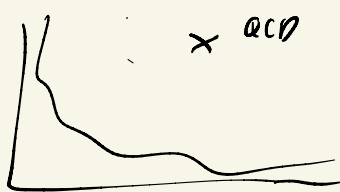
$$\Rightarrow \langle \phi_4 \phi_3 \rangle = \langle \phi_1 \phi_2 \rangle$$

crossing $\Rightarrow$ many constraints on many unknowns = { spectrum $\{\Delta, J\}$, $f_{\Delta, J}^2$ 3pt couplings

Ex: 3D critical Ising "Z₂-symmetry, only 2 relevant ops ($\Delta < 3$);"



Ex: pion S-matrices $\rightarrow S_V(\omega), \dots$



Plan

Today: 0) outline

1) Unitarity + causality ($\rightarrow$ why)

2) $\rightarrow$ signal model analyticity

3) $\rightarrow$ crossing dispersion relations

Lecture 2: S-matrix EFT bootstrap

$\rightarrow$ manipulating inequalities

Lecture 3: EFT bootstrap with gravity, holographic CFTs

Refs: "Toolkit for analytic S-matrix"

Cornelio, Sever + Zhiboedov

signal model

- CEMZ '14

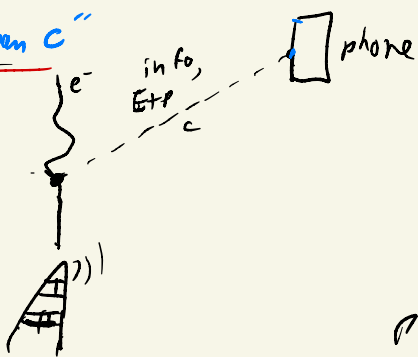
Camanho, Edelstein, Maldacena + Zhiboedov (app D)

Unitarity: $|out\rangle = S|in\rangle$ $S^\dagger S = 1$
 probabilities add up to 1 (and are positive)

Causality: "signals can't travel faster than c "

- i) why waves
- ii) why particles
- iii) why antiparticles
- ...

why QFT



less familiar: - why EFTs work
 - why gravity attractive

In QFT: $[,] = 0$ spacelike

In Q-grav: " " " in bdy (AdS Flat space)

bullshit??

If we doesn't worry about "instantaneous action at a distance", quantum gravity would be trivial:

$$H = \sum_i \frac{\vec{p}_i^2}{2m_i} + \sum_{ij} V_{ij} \leftarrow \frac{Gm_i m_j}{|\vec{x}_i - \vec{x}_j|}$$

any function you want

No predictive power!!!

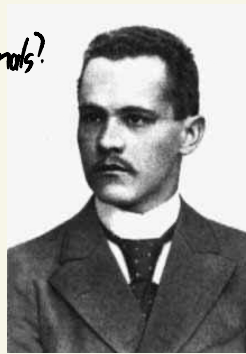
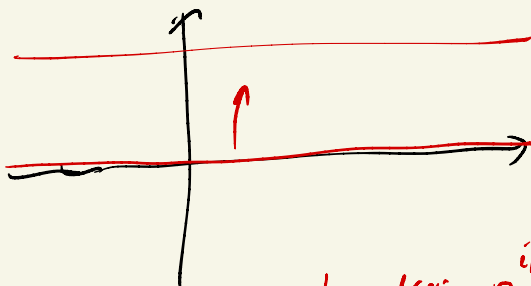
The puzzle is resolved by the (Sommerfeld, Brillouin) following theorem:

Let $F(t) = \int \frac{d\omega}{2\pi} e^{-i\omega t} \hat{f}(\omega)$ analytic in UHP + sub-exp growth (*)

$\Rightarrow F(t) = 0, t < 0$. (Thm '1914)

proof: how to estimate oscillatory integrals?

trick: deform contour to make integrand as small as possible



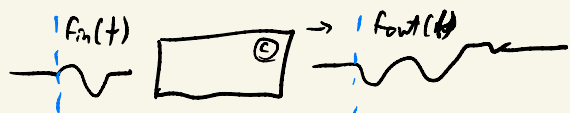
Wk B

when $t < 0$: $e^{i(t+Re\omega)} \sim |t|Im\omega \frac{f(\omega)}{Im\omega}$
 decays as $Im\omega \rightarrow 0$ can't compete if sub-exp

for $f(\omega)$ non-analytic, or non sub-exp, we'll get stuck at some finite $Im\omega$.

Causality + unitarity: no real alternatives!

Signal model



- Box is:
- linear
 - time-trans. invariant
 - causal ($f_{out}(t) = 0$ for $t < 0$ if so for $f_{in}(t)$)

$$\hat{f}_{out}(\omega) = S(\omega) \hat{f}_{in}(\omega)$$

claim: causality $\Rightarrow S(\omega)$ is analytic in UHP (*)
has sub-exponential growth at large $|\omega|$

naive argument: $f_{out}(t) = \int_{-\infty}^t dt' f_{in}(t') K(t-t')$
 $S(\omega) = \int_0^\infty dt K(t) \frac{e^{it\omega}}{e^{-tIm\omega}} \sim e^{-tIm\omega}$ (Re $\omega + iIm\omega$)

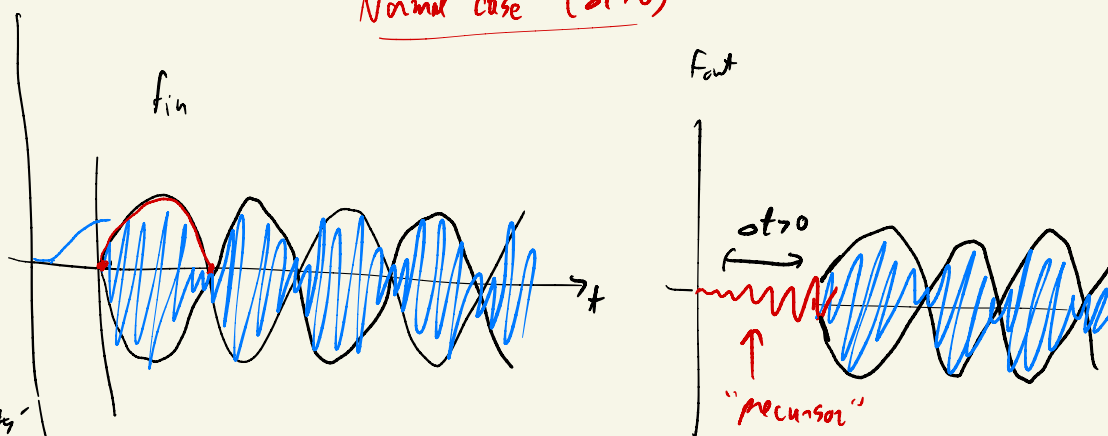
geometrical optics limit:

$f_{in}(\omega)$ strongly peaked around ω_0
 $S(\omega) = e^{i\delta(\omega)}$ slowly varying
 $f_{out}(t) = \int \frac{d\omega}{2\pi} \hat{f}_{in}(\omega) e^{-i\omega t + i(\delta(\omega) + (\omega - \omega_0)\delta'(\omega_0) + \dots)}$
 $f_{out}(t) = e^{i\delta(\omega_0) - i\omega_0 t} f_{in}(t - \delta')$ $\delta' = \frac{d\delta}{d\omega}|_{\omega_0}$

similar concept as 'group velocity'

puzzle: $\frac{d\omega}{dt} < 0$ is possible! (even if (*) is satisfied!) (problem 2)

Normal case ($\omega > 0$)



when $\omega < 0 \Rightarrow$ either large distortion or attenuation.

if Box unitary: $|S(\omega)| \leq 1$ on real axis

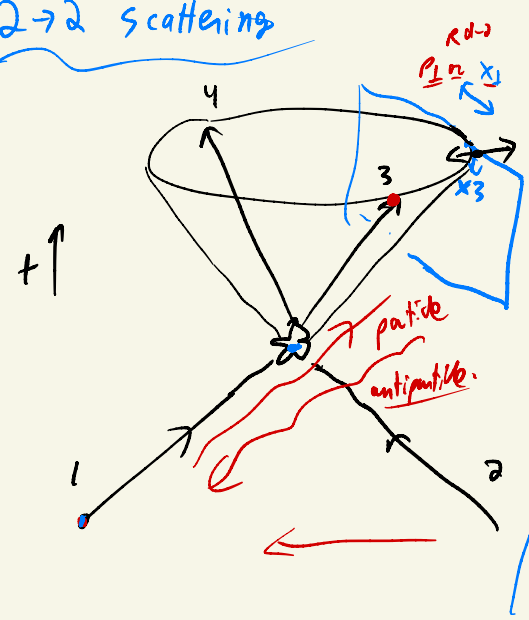
(< 1 means particle got stuck) if $|\psi_{out}|^2 \leq |\psi_{in}|^2$
"inelastic"

unitary + causal $\Rightarrow |S(\omega)| \leq 1$ in full upper halfplane

$\hookrightarrow$ bound on chaos (do problem 1!)

CEMZ '14

2 → 2 scattering



$$M = \langle 4 | \phi_s \phi_1 | 2 \rangle$$

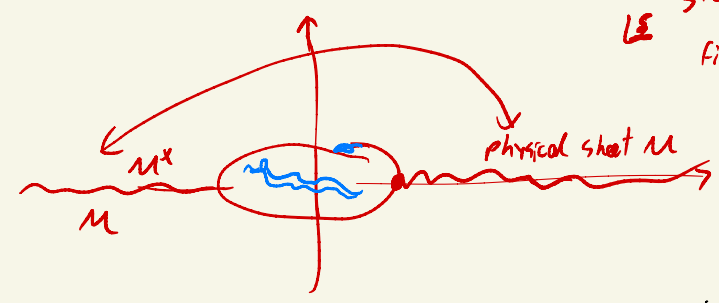
- i) causality says nothing about finite angle scattering (LSZ) (3 can't be spacelike from 1) → forward limits
- ii) use $\langle 4 | [\phi_3, \phi_1] | 2 \rangle = 0$ spacelike
 $\Rightarrow \langle 4 | 3 | 2 \rangle = \langle 4 | 1 | 3 \rangle$
 $\uparrow$
 $M_{12 \rightarrow 34}$ $M_{23 \rightarrow 14}^*$
crossing symmetry

apply signal model.

→ why amplitude is analytic in VHP,

$$s \sim E_1 E_2$$

fixed t



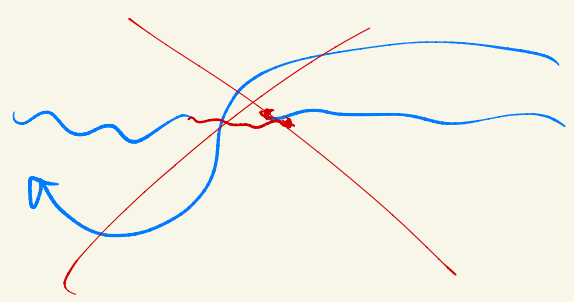
boundedness? $M(s, t)$ = analytic for $|s|$ large enough but not bounded: plane waves ≠ normalizable

(can't use $M(b)$ - would need $p_L \rightarrow \infty$)

$$\psi(p) \Rightarrow M_\psi(s) = \frac{1}{2s} \int_0^M dp_L \psi(p) M(s, t = -p^2)$$

↑
 normalizable in $b_L \Rightarrow$ bounded (← regulate E_T sum)
 and compact support in $p_L \Rightarrow$ analytic } apply signal model.

Axioms we'll use: $M_\psi(s) = \begin{cases} \text{analytic} \\ + \text{bounded} \end{cases} \text{ for } |s| \geq s_0 \sim M^2$
 $(|M| \leq \text{const})$



fixed t fixed s

$$M_s \rightarrow M_u^* \rightarrow M_t$$