

A Mathematical Introduction to 3d $\mathcal{N} = 4$ Gauge Theory I

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Motivation

There are many connections between supersymmetric quantum field theory, representation theory and enumerative geometry.

Some underlying themes:

- ▶ Supersymmetry ensures exact moduli spaces of vacua with mathematical structures: Kähler, hyper-Kähler.
- ▶ Symmetries are reflected in isometries and topology.
- ▶ Natural appearance of cohomology, K-theory, derived categories of coherent sheaves.

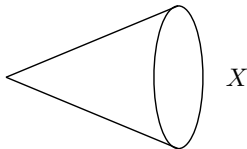
These lectures focus on 3d $\mathcal{N} = 4$ supersymmetry - why?

Why supersymmetry?

Supersymmetric gauge theories with eight supercharges:

$$5d \mathcal{N} = 1 \quad 4d \mathcal{N} = 2 \quad 3d \mathcal{N} = 4$$

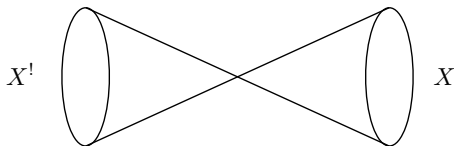
- ▶ They have intricate moduli spaces of vacua whose geometric structure depends on dimension.
- ▶ They all have one branch - the Higgs branch X - which is a conical hyper-Kähler or holomorphic symplectic space.
- ▶ Examples: Nakajima quiver varieties, affine grassmannian slices, nilpotent orbit closures, hyper-toric varieties.



Why three dimensions?

3d $\mathcal{N} = 4$ theories are distinguished by the existence of *two* branches that are hyper-Kähler cones.

- ▶ Higgs branch X and Coulomb branch X^\dagger .
- ▶ There is an infrared duality called 3d mirror symmetry that interchanges X and X^\dagger .



Surprising connections between representation theory and enumerative geometry problems associated to X and X^\dagger .

Setup and Notation

I will work in three-dimensional euclidean space \mathbb{R}^3 .

- ▶ Coordinates $x^\mu = (x^1, x^2, x^3)$.

The spin group is $\text{Spin}(3) = \text{SU}(2)$.

- ▶ Two-component spinors ψ^α transform in the fundamental **2**.
- ▶ Raise and lower indices with invariant tensors $\epsilon_{\alpha\beta}$, $\epsilon^{\alpha\beta}$.
- ▶ Pauli matrices $(\sigma^\mu)^\alpha_\beta$.

Momentum and angular momentum generators P_μ , J^μ_ν .

\mathcal{N} -extended Supersymmetry

A supersymmetric theory has additional odd generators $Q_\alpha^{\mathcal{I}}$, $\mathcal{I} = 1, \dots, \mathcal{N}$, that satisfy

$$\{Q_\alpha^{\mathcal{I}}, Q_\beta^{\mathcal{J}}\} = \delta^{\mathcal{I}\mathcal{J}} (\sigma^\mu)_{\alpha\beta} P_\mu$$

- ▶ The supercharges $Q_\alpha^{\mathcal{I}}$ transform as spinors on \mathbb{R}^3 .
- ▶ P_μ , $J^\mu{}_\nu$, $Q_\alpha^{\mathcal{I}}$ generate the \mathcal{N} -extended super-Poincaré algebra.
- ▶ The group of outer automorphisms is $O(\mathcal{N})$.
- ▶ The connected component $SO(\mathcal{N})$ is known as the R-symmetry.

$$Q_\alpha^{\mathcal{I}} \rightarrow R^{\mathcal{I}}{}_{\mathcal{J}} Q_\alpha^{\mathcal{J}}$$

$\mathcal{N} = 4$ Supersymmetry

We are interested in $\mathcal{N} = 4$ supersymmetry.

- ▶ The R-symmetry is $\text{Spin}(4) \cong \text{SU}(2)_H \times \text{SU}(2)_C$.
- ▶ Introduce spinor indices A, B, \dots for $\text{SU}(2)_H$.
- ▶ Introduce spinor indices \dot{A}, \dot{B}, \dots for $\text{SU}(2)_C$.

$$Q_{\alpha}^{\mathcal{I}} \longrightarrow Q_{\alpha}^{A\dot{A}}$$

The supersymmetry algebra becomes

$$\{Q_{\alpha}^{A\dot{A}}, Q_{\beta}^{A\dot{A}}\} = \epsilon^{AB} \epsilon^{\dot{A}\dot{B}} (\sigma^{\mu})_{\alpha\beta} P_{\mu}.$$

Supersymmetric Gauge Theories

There are a number of ways to construct quantum field theories with 3d $\mathcal{N} = 4$ supersymmetry.

- ▶ Sigma models
- ▶ Gauge Theories
- ▶ Non-Lagrangian Theories

Different types of theories can be connected by renormalisation group flow and infrared dualities.

Here we will consider supersymmetric gauge theories.

Supersymmetric Gauge Theories

A supersymmetric gauge theory is specified by the following data:

1. A compact Lie group G
2. A linear quaternionic representation $\rho : G \rightarrow \mathrm{Sp}(n)$ acting on the vector space $Q = \mathbb{H}^n$.

This data determines the spectrum of supermultiplets and Lagrangian:

1. Vectormultiplet: “Gauge”
2. Hypermultiplet: “Matter”

A Simplification

It is common to use complex numbers rather than quaternions:

- ▶ Use the isomorphism

$$\mathrm{Sp}(n) \cong \mathrm{USp}(2n, \mathbb{C}) := \mathrm{Sp}(2n, \mathbb{C}) \cap U(n, n).$$

- ▶ A quaternionic representation acts by symplectic-unitary transformations on $Q \cong \mathbb{C}^{2n}$.

Furthermore, we restrict attention to quaternionic representations of “cotangent type”.

- ▶ Specify a unitary representation $\rho : G \rightarrow U(n)$ on $R \cong \mathbb{C}^n$.
- ▶ Then $Q = R \oplus R^*$

Vectormultiplet

The vectormultiplet depends only on G .

It contains the following bosonic fields:

1. A connection A_μ on a principle G -bundle P on \mathbb{R}^3 .
2. Scalar fields $\sigma^{\dot{A}\dot{B}} = \sigma^{\dot{B}\dot{A}}$ transforming in the adjoint representation $\mathbf{3}$ of $SU(2)_C$ and as sections of $\text{Ad}(P)$.

There are also fermion gauginos that I will omit.

Hypermultiplet

The hypermultiplet depends on the quaternionic representation Q .

It contains scalar fields Φ :

- ▶ In the fundamental representation $\mathbf{2}$ of $SU(2)_H$
- ▶ Sections of the associated vector bundle $P \times_G Q$.
- ▶ Components Φ^{AI} with $I = 1, \dots, 2n$,

$$(\Phi^{AI})^\dagger = \epsilon_{AB} \Omega_{IJ} \Phi^{BJ},$$

where Ω_{IJ} is the invariant symplectic form of $USp(2n)$.

Hypermultiplets

Specialise to a cotangent type representation $Q \cong R \oplus R^*$.

We can decompose the $2 \times 2n$ matrix Φ^{AI} into n 2×2 blocks:

$$\Phi^{AI} = (\Phi^1, \dots, \Phi^n) \quad \Phi^i = \begin{pmatrix} X_i & Y_i \\ \bar{Y}_i & -\bar{X}_i \end{pmatrix}$$

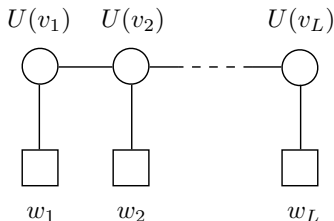
where X, Y transform in unitary representations R, R^* .

In summary, the pair (X, \bar{Y}) transform:

- ▶ in the fundamental **2** of $SU(2)_H$
- ▶ in the associated vector bundle $P \times_G R$.

Example

Consider A_L -type unitary quiver gauge theory:



- ▶ $G = U(v_1) \times \cdots \times U(v_L)$
- ▶ Let V_1, \dots, V_L denote the fundamental representations and W_1, \dots, W_L denote complex vector spaces of dimensions w_1, \dots, w_L in the trivial representation.

▶ Then
$$R = \bigoplus_{a=1}^{L-1} \text{Hom}(V_a, V_{a+1}) \oplus \bigoplus_{a=1}^L \text{Hom}(W_a, V_a)$$

Flavour Symmetry

R-symmetries transform the supercharges.

Flavour symmetries commute with the supercharges.

In a 3d $\mathcal{N} = 4$ supersymmetric gauge theory,

- ▶ R-symmetry $SU(2)_H \times SU(2)_C$
- ▶ Flavour symmetry $G_H \times G_C$.

The two factors G_H, G_C are distinguished by

- ▶ how the generators contribute to central extensions of the super-Poincaré algebra - see next lecture!

Higgs Symmetry

The local operators charged under G_H are built from the hypermultiplet fields Φ or X, Y .

It is loosely the symmetries of the hypermultiplet once G is removed.

- ▶ For a general quaternionic representation $\rho : G \rightarrow \mathrm{Sp}(n)$,

$$G_H = N_{\mathrm{Sp}(n)}(\rho(G)) / \rho(G).$$

- ▶ For theories of cotangent type specified by a unitary representation $\rho : T \rightarrow \mathrm{U}(n)$, there is a simpler formula

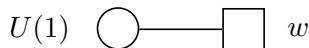
$$G_H = N_{\mathrm{U}(n)}(\rho(G)) / \rho(G)$$

Higgs Symmetry Example

For a unitary A_L -type unitary quiver the flavour symmetry is

$$G_H = (U(w_1) \times \cdots \times U(w_L))/U(1)$$

A simple example is supersymmetric QED:



- ▶ This corresponds to $G = U(1)$ acting on $R = \mathbb{C}^w$ with weight $\rho = (1, \dots, 1)$.
- ▶ $\rho(U(1)) \subset U(w)$ is the diagonal $U(1)$ whose normaliser is $U(w)$.
- ▶ Therefore $G_H = U(w)/U(1) = \text{PSU}(w)$.

Coulomb Flavour Symmetry

The Coulomb symmetry is not so straightforward.

- ▶ An abelian subgroup $T_C \subset G_C$ is manifest in the UV.
- ▶ It is the centre of the Langlands dual of G ,

$$T_C = Z({}^L G) = \text{Hom}(\pi_1(G), U(1)),$$

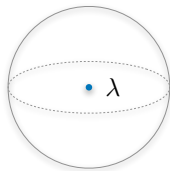
and is independent of the hypermultiplet representation.

- ▶ This may be enhanced to a non-abelian group G_C in the IR in way that depends strongly on the hypermultiplet representation.

I will explain how to understand the “topological symmetry” T_C .

Monopole Operators I

A monopole operators at p is defined by excising a small 2-sphere S_p^2 and imposing boundary conditions of a Dirac monopole:



$$A_+ = \frac{\lambda}{2}(+1 - \cos \theta)d\phi$$

$$A_- = \frac{\lambda}{2}(-1 - \cos \theta)d\phi$$

where A_{\pm} denote the connection on patches $U_{\pm} \subset S_p^2$.

- ▶ $\lambda : S^1 \rightarrow G$ is a 1-valued transition function.
- ▶ This is element of the co-character lattice $\text{Hom}(\text{U}(1), T)$ modulo Weyl transformations.
- ▶ Monopole operators labelled by dominant co-characters.

Monopole Operators II

The monopole operator induces a G -bundle on S_p^2 with connection A .

- ▶ Its topological degree $d \in \pi_1(G)$ is the homotopy class of the transition function $\lambda : U(1) \rightarrow G$.
- ▶ The topological symmetry T_C counts the topological degree.
- ▶ This means the character lattice of the topological symmetry is

$$\mathrm{Hom}(T_C, U(1)) \cong \pi_1(G),$$

which implies $T_C \cong \mathrm{Hom}(\pi_1(G), U(1))$.

Coulomb Symmetry Example

Here are some examples:

- ▶ The topological symmetry for $G = U(v)$ is $T_C = U(1)$.
- ▶ In a unitary quiver gauge theory with $G = U(N_1) \times \cdots \times U(N_L)$, $T_C = U(1)^L$, which may be enhanced to a non-abelian subgroup $G_H \subset SU(L+1)$.
- ▶ For $G = SU(v)$ there is no topological symmetry.
- ▶ The topological symmetry can also be discrete, for example, when $G = SO(3)$, $T_C = \mathbb{Z}_2$.

Summary

A 3d $\mathcal{N} = 4$ supersymmetric gauge theory is labelled by

1. A compact Lie group G
2. A linear quaternionic representation $\rho : G \rightarrow \mathrm{Sp}(n)$ acting on the vector space $Q = \mathbb{H}^n$.

It has the following global symmetries:

- ▶ R-symmetry $SU(2)_H \times SU(2)_C$
- ▶ Flavour symmetry $G_H \times G_C$ depending on the data (G, ρ) .

Next Lecture

In the next lecture:

1. Central extensions of the super-Poincaré algebra.
2. Mass and FI parameters.
3. Supersymmetric vacua.
4. Domain walls.
5. Walls and chambers.