

Geodesic random line processes and the roots of quadratic congruences

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joint work with Matthew Welsh (Maryland)

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Happy 75th birthday, Professor Dani!

Uniform distribution of roots

- Consider the roots μ of the quadratic congruence

$$\mu^2 \equiv D \pmod{m}$$

with $m = 1, 2, 3, \dots$ and $D > 0$ square-free (all will work also for $D < 0$; it's easier)

- Define sequence $\xi_1, \xi_2, \dots \in \mathbb{T} = \mathbb{R}/\mathbb{Z}$ by normalised roots $\frac{\mu}{m}$, ordered by increasing denominator m (choose arbitrary order for terms with same m)
- **Hooley (1963):** We have uniform distribution mod 1

$$\lim_{N \rightarrow \infty} \frac{1}{N} \#\{j \leq N : \xi_j \in [a, b) + \mathbb{Z}\} = b - a$$

- Extension to higher-order polynomial congruences (Hooley 1964); use of modular forms, Poincaré series (Bykovskii 1984; see also Good 1983); u.d. still holds for m restricted to primes (Duke, Friedlander, Iwaniec 1995); joint distribution (Zahavi 2020); fits in more general CRT framework (Kowalski and Soundararajan 2020).

Randomness mod 1

Given distinct points $\xi_1, \dots, \xi_N \in \mathbb{T}$, denote by s_1, \dots, s_N the corresponding “gaps” between those points. Gap distribution:

$$P_N f := \frac{1}{N} \sum_{n=1}^N f(Ns_n), \quad f \in C_b(\mathbb{R}_{\geq 0}) \quad (\text{bounded continuous})$$

Two point correlation:

$$R_N f := \frac{1}{N} \sum_{\substack{m,n=1 \\ m \neq n}}^N \sum_{\ell \in \mathbb{Z}} f(N(\xi_m - \xi_n + \ell)), \quad f \in C_c(\mathbb{R}) \quad (\text{cmpct supp})$$

Theorem A. Let ξ_1, ξ_2, \dots be iid in \mathbb{T} (uniformly distributed). Then almost surely

$$\lim_{N \rightarrow \infty} P_N f = \int_0^{\infty} f(s) e^{-s} ds, \quad \forall f \in C_b(\mathbb{R}_{\geq 0})$$

$$\lim_{N \rightarrow \infty} R_N f = \int_{\mathbb{R}} f(s) ds, \quad \forall f \in C_c(\mathbb{R})$$

“Gap and two-point statistics are Poisson”

Random matrices

Theorem B. (Wigner 1950s, Gaudin 1961)

Let $(\xi_{Nn})_{n \leq N}$ the ev's of $A \in U(N)$. Then Haar-a.s.

$$\lim_{N \rightarrow \infty} P_N f = \int_0^\infty f(s) p_{\text{Gaudin}}(s) ds, \quad \forall f \in C_b(\mathbb{R}_{\geq 0})$$

$$\lim_{N \rightarrow \infty} R_N f = \int_{\mathbb{R}} f(s) \left(1 - \left(\frac{\sin(\pi s)}{\pi s} \right)^2 \right) ds, \quad \forall f \in C_c(\mathbb{R})$$

- The probability density $p_{\text{Gaudin}}(s)$ is given by a Painlevé transcendent
- Wigner surmise: $p_{\text{Gaudin}}(s) \approx \frac{32}{\pi^2} s^2 e^{-4s^2/\pi} ds$

Riemann zeros

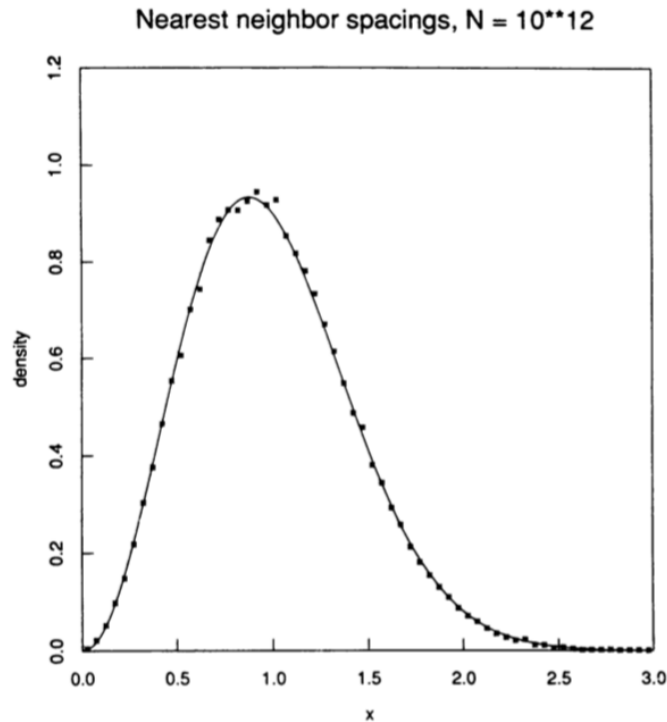


FIGURE 4

Probability density of the normalized spacings δ_n . Solid line: GUE prediction. Scatter plot: empirical data based on zeros γ_n , $10^{12} + 1 \leq n \leq 10^{12} + 10^5$.

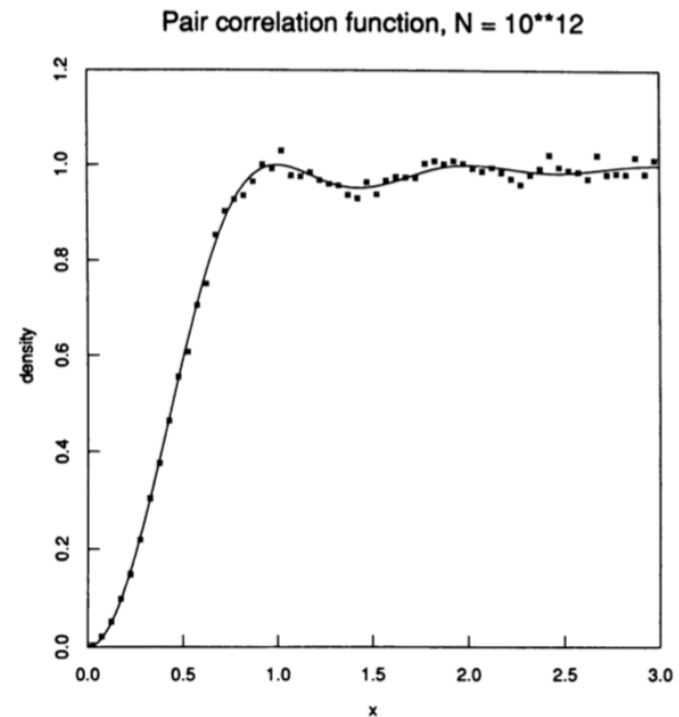


FIGURE 2

Pair correlation of zeros of the zeta function. Solid line: GUE prediction. Scatter plot: empirical data based on zeros γ_n , $10^{12} + 1 \leq n \leq 10^{12} + 10^5$.

A. M. Odlyzko, Math. Comp., 48 (1987), pp. 273-308

- Montgomery (1973) "The pair correlation of zeros of the zeta function"
- Hejhal (1994, 3-point)
- Rudnick and Sarnak (1996, n -point)

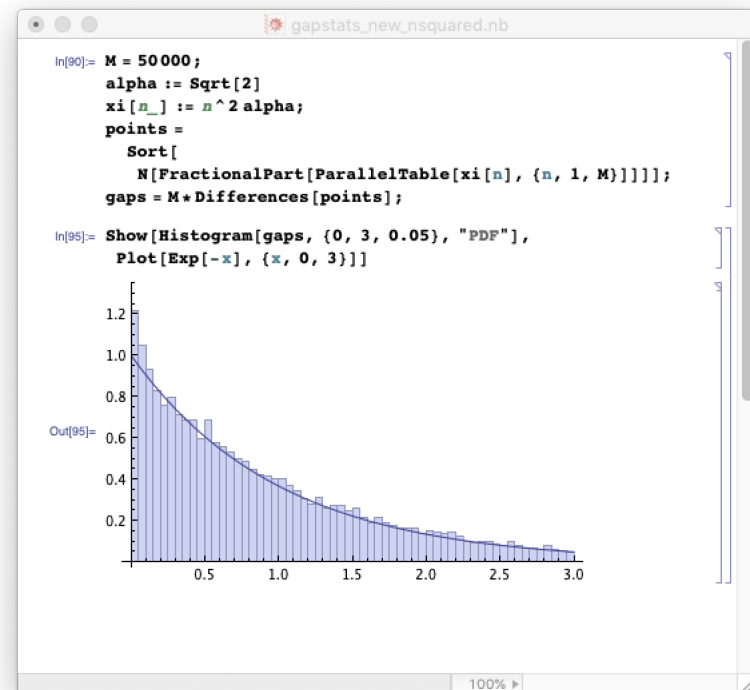
Polynomials mod 1

Theorem C. (Rudnick & Sarnak, 1998)

Let $(\xi_n) = (n^d \alpha \bmod 1)$, $d \geq 2$. Then for Lebesgue a.e. α ,

$$\lim_{N \rightarrow \infty} R_N f = \int_{\mathbb{R}} f(s) ds, \quad \forall f \in C_c(\mathbb{R})$$

- Proof uses averages over Weyl sums and estimating solutions to polynomial Diophantine equations
- Rudnick, Sarnak & Zaharescu (2001): for α that are well-approximable by rationals, proof of convergence of gap distribution P_N for $n^2 \alpha$ to exponential distribution **along subsequence of N** ; for these however convergence not expected along full sequence
- **No proofs for P_N , nor for R_N for explicit examples of α e.g. for $\alpha = \sqrt{2}$** ; cf. algorithmic characterization by Heath-Brown (2010).

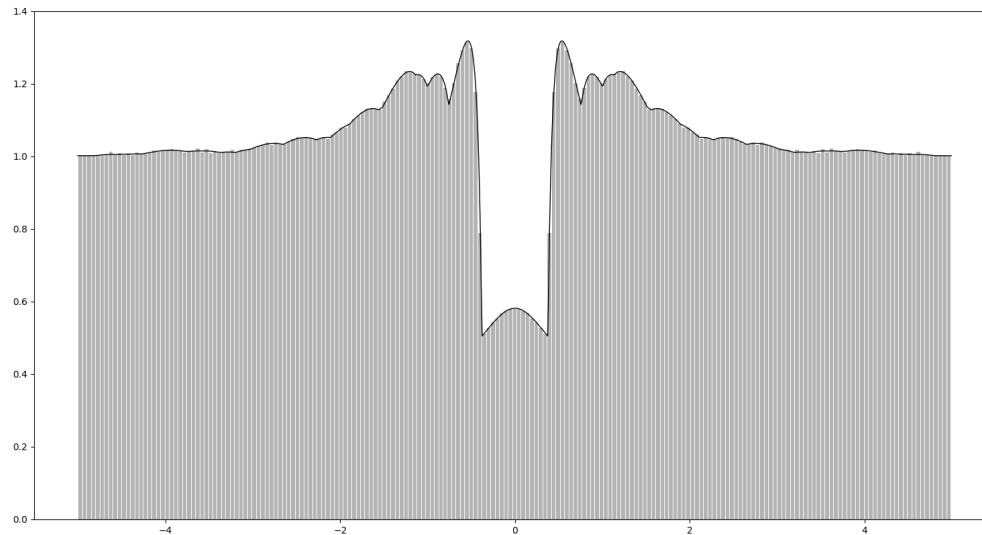


Pair correlation for roots

Theorem D. (JM & Welsh, 2021)

Assume $D > 0$ is square-free and $D \not\equiv 1 \pmod{4}$. Then there is an even and continuous function $w_D : \mathbb{R} \rightarrow \mathbb{R}_{\geq 0}$, such that

$$\lim_{N \rightarrow \infty} R_N f = \int_{\mathbb{R}} f(s) w_D(s) ds, \quad \forall f \in C_c(\mathbb{R})$$



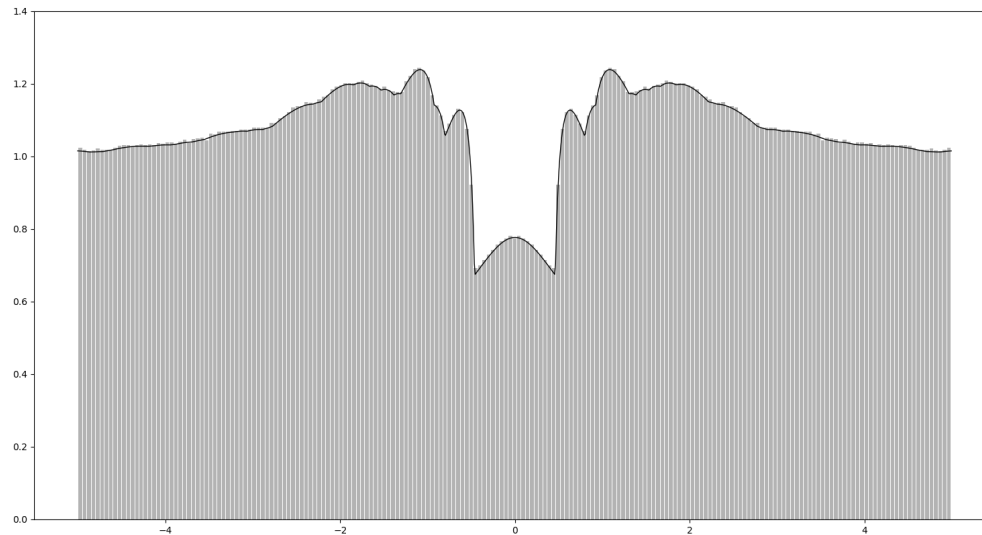
$$D = 2 \quad N = 10^6$$

Pair correlation for roots

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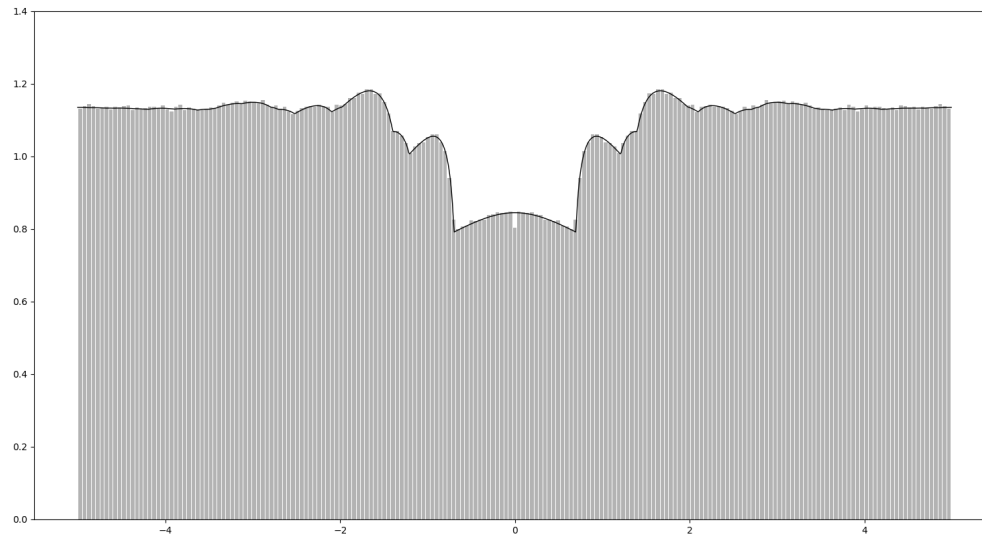
$$D = 3 \quad N = 10^6$$

Pair correlation for roots

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$$\lim_{N \rightarrow \infty} R_N f = \int_{\mathbb{R}} f(s) w_D(s) ds, \quad \forall f \in C_c(\mathbb{R})$$



$$D = 10 \quad N = 10^6$$

Higher-order statistics

- Define the random counting measure (random point process) on \mathbb{R}

$$\Xi_{N,\lambda} = \sum_{j=1}^N \sum_{k \in \mathbb{Z}} \delta_{N(\xi_j - \xi + k)}$$

- Here ξ random variable in \mathbb{T} distributed according to Borel prob. measure λ
- **Example:** For any interval $I \subset \mathbb{R}$ and integer k

$$\mathbb{P}(\Xi_{N,\lambda}(I) = k) = \lambda(\{x \in \mathbb{T} : \mathcal{N}_I(x, N) = k\})$$

with

$$\mathcal{N}_I(x, N) = \#\{j \leq N : \xi_j \in x + N^{-1}I + \mathbb{Z}\}.$$

Higher-order statistics

Theorem E. (JM & Welsh, 2021)

For D as above, there exists a random point process Ξ depending only on D so that, for every Borel probability measure λ on \mathbb{T} that is absolutely continuous with respect to the Lebesgue measure, we have convergence $\Xi_{N,\lambda} \rightarrow \Xi$ in distribution as $N \rightarrow \infty$.

Specifically, for all $k_1, \dots, k_r \in \mathbb{Z}_{\geq 0}$ and finite intervals $I_1, \dots, I_r \subset \mathbb{R}$, we have that

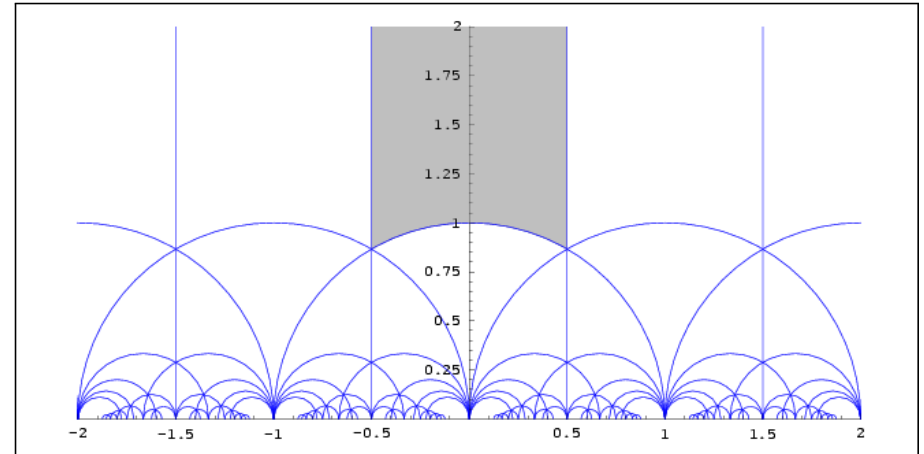
$$\lim_{N \rightarrow \infty} \lambda\left(\left\{x \in \mathbb{T} : \mathcal{N}_{I_i}(x, N) = k_i \forall i\right\}\right) = \mathbb{P}\left(\Xi(I_i) = k_i \forall i\right)$$

and the limit is a continuous function of the endpoints of I_i .

- Implies convergence of (joint) gap distributions
- We also prove convergence of all moments
- Can impose further congruence restrictions on m and μ (leads to different limit process)

Basic hyperbolic geometry

- \mathbb{H} complex upper half plane, $ds^2 = \frac{dx^2+dy^2}{y^2}$
- boundary $\partial\mathbb{H} = \mathbb{R} \cup \{\infty\}$
- $SL(2, \mathbb{R})$ acts by Möbius transformations
- geodesics, horocycles
- stabiliser $\Gamma_c = \{g \in SL(2, \mathbb{R}) : gc = c\}$
- $\Gamma_\infty = \left\{ \pm \begin{pmatrix} 1 & k \\ 0 & 1 \end{pmatrix} : k \in \mathbb{Z} \right\}$
- $SL(2, \mathbb{Z}) \backslash \mathbb{H}$ modular surface
- $SL(2, \mathbb{Z}) \backslash SL(2, \mathbb{R}) \simeq$ unit tangent bundle
- c closed geodesic (resp. horocycle) if $\Gamma_c \cap SL(2, \mathbb{Z}) < SL(2, \mathbb{Z})$ non-trivial
- Denote by z_c the “top” of the geodesic $c \in \mathbb{H}$ (i.e. the point on c closest to ∞ ; in general $z_{\gamma c_i} \neq \gamma z_{c_i}$)



Key insight: the geometry of roots

Theorem F. (JM & Welsh, 2021)

For D as above, there exists a finite set of geodesics $\{c_1, \dots, c_h\}$ such that:

- (i) For any $m > 0$ and $\mu \pmod{m}$ satisfying $\mu^2 \equiv D \pmod{m}$, there is a unique l and double coset $\Gamma_\infty \gamma \Gamma_{c_l} \in \Gamma_\infty \backslash \mathrm{SL}(2, \mathbb{Z}) / \Gamma_{c_l}$ such that

$$z_{\gamma c_l} \equiv \frac{\mu}{m} + i \frac{\sqrt{D}}{m} \pmod{\Gamma_\infty}. \quad (*)$$

- (ii) Conversely, given l and double coset $\Gamma_\infty \gamma \Gamma_{c_l} \in \Gamma_\infty \backslash \mathrm{SL}(2, \mathbb{Z}) / \Gamma_{c_l}$ with γc_l positively oriented, there exist unique $m > 0$ and $\mu \pmod{m}$ satisfying $\mu^2 \equiv D \pmod{m}$ such that $(*)$ holds.

- The geodesics $\{c_1, \dots, c_h\}$ project to closed geodesics of equal length in $\mathrm{SL}(2, \mathbb{Z}) \backslash \mathbb{H}$
- Extends to setting with additional congruence conditions $m \equiv 0 \pmod{n}$, and $\mu \equiv \nu \pmod{n}$, need to replace $\mathrm{SL}(2, \mathbb{Z})$ by $\Gamma_0(n)$
- See Welsh (Algebra & Number Theory, 2022) for parametrization of roots of higher-degree polynomial congruences
- Theorems D-F recently extended to $D \equiv 1 \pmod{4}$ by Li and Welsh (preprint 2022)

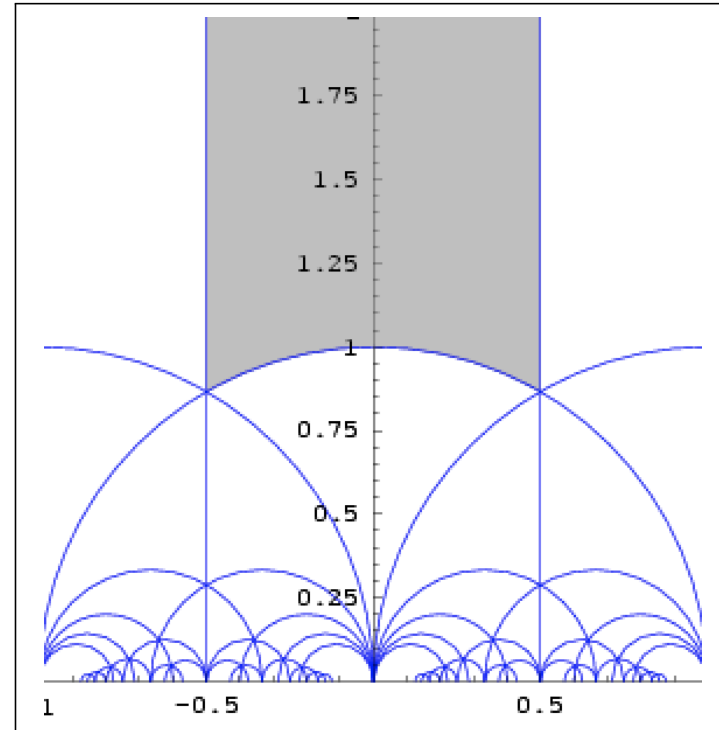
Indefinite quadratic forms and closed geodesics

- $F(X, Y) = aX^2 + bXY + cY^2$, $(a, b, c) = 1$, discriminant $d = b^2 - 4ac > 0$
- $F \leftrightarrow \begin{pmatrix} a & b/2 \\ b/2 & c \end{pmatrix}$
- F, F' equivalent if $\exists \gamma \in \text{SL}(2, \mathbb{Z})$ s.t. $\begin{pmatrix} a' & b'/2 \\ b'/2 & c' \end{pmatrix} = {}^t\gamma \begin{pmatrix} a & b/2 \\ b/2 & c \end{pmatrix} \gamma$
- finite number h_d of equivalence classes $\{F\}_d$ of F with discriminant d
- Solutions of $F(X, 1) = 0$ define end points of geodesic: $x_{\pm} = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$
- $F \leftrightarrow$ geodesics in \mathbb{H} that are closed in $\text{SL}(2, \mathbb{Z}) \backslash \mathbb{H}$
 $\{F\}_d \leftrightarrow$ closed geodesics of same length ℓ_d in $\text{SL}(2, \mathbb{Z}) \backslash \mathbb{H}$
- If $F(X, Y) = mX^2 - 2\mu XY + cY^2$ has discriminant $d = 4D$, then $\mu^2 \equiv D \pmod{m}$
 and $x_{\pm} = \frac{\mu}{m} \pm \frac{\sqrt{D}}{m}$, so top of geodesic is $\frac{\mu}{m} + i\frac{\sqrt{D}}{m}$

More general geometric setting

- $\Gamma < SL(2, \mathbb{R})$ discrete subgroup so that $\Gamma \backslash \mathbb{H}$ has finite area with standard cusp at ∞
- c_1, \dots, c_h collection of geodesics in \mathbb{H} so that each c_l projects to a closed geodesic in $\Gamma \backslash \mathbb{H}$ ($\Leftrightarrow \Gamma_{c_l} \cap \Gamma < \Gamma$ non-trivial)
assume w.l.o.g. no two c_l are Γ -equivalent
- study distribution of the geodesics

$$\bigcup_{l=1}^h \bigcup_{\gamma \in \Gamma / \Gamma_{c_l}} \gamma c_l$$



- and specifically the real parts of geodesic tops with imaginary part larger than y :

$$X(y) = \bigsqcup_{l=1}^h X^l(y)$$

with

$$X^l(y) = \left\{ \text{Re}(z_{\gamma c_l}) \bmod 1 : \gamma \in \Gamma_{\infty} \backslash \Gamma / \Gamma_{c_l}, \text{Im}(z_{\gamma c_l}) \geq y \right\} \subset \mathbb{T}$$

Distribution in small intervals

- counting in small intervals: $\mathcal{N}_B(x, y) = \# \left(X(y) \cap (x + yI + \mathbb{Z}) \right)$
- set $B_I = \{u + iv \in \mathbb{H} : u \in I, v \geq 1\}$
- then for y sufficiently small (so that $y|I| < 1$)

$$\begin{aligned} \mathcal{N}_I(x, y) &= \sum_{l=1}^h \# \left\{ \gamma \in \Gamma_\infty \setminus \Gamma / \Gamma_{\mathbf{c}_l} : z_\gamma \mathbf{c}_l \in x + yB_I + \mathbb{Z} \right\} \\ &= \sum_{l=1}^h \# \left\{ \gamma \in \Gamma / \Gamma_{\mathbf{c}_l} : z_\gamma \mathbf{c}_l \in x + yB_I \right\} \\ &= \sum_{l=1}^h \# \left\{ \gamma \in \Gamma / \Gamma_{\mathbf{c}_l} : z_\gamma \mathbf{c}_l \in n(x)a(y)B_I \right\} \end{aligned}$$

with $n(x) = \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$, $a(y) = \begin{pmatrix} y^{\frac{1}{2}} & 0 \\ 0 & y^{-\frac{1}{2}} \end{pmatrix}$

Geodesic line processes

- for $B \in \mathbb{H}$ define $\mathcal{N}_B(g) = \sum_{l=1}^h \#\{\gamma \in \Gamma/\Gamma_{c_l} : z_{g^{-1}\gamma c_l} \in B\}$

- then $\mathcal{N}_I(x, y) = \mathcal{N}_B(n(x)a(y))$ for $B = B_I$

- note: $\mathcal{N}_B(\gamma g) = \mathcal{N}_B(g)$ for all $\gamma \in \Gamma$

- this motivates definition of the geodesic random “line” processes

$$\Theta_{y,\lambda} = \sum_{l=1}^h \sum_{\gamma \in \Gamma/\Gamma_{c_l}} \delta_{z_{(n(\xi)a(y))^{-1}\gamma c_l}}, \quad \Theta = \sum_{l=1}^h \sum_{\gamma \in \Gamma/\Gamma_{c_l}} \delta_{z_{g^{-1}\gamma c_l}}$$

- random variable ξ distributed according to a Borel probability measure λ on \mathbb{T}
- random element g distributed with respect to Haar probability measure μ_Γ on $\Gamma \backslash \text{SL}(2, \mathbb{R})$

- intensity measure: $\mathbb{E}\Theta(B) = \int_{\Gamma \backslash \text{SL}(2, \mathbb{R})} \mathcal{N}_B(g) d\mu_\Gamma(g) = \kappa_\Gamma \text{vol}_{\mathbb{H}}(B)$

Convergence in distribution

Theorem G. (JM & Welsh, 2021)

For every a.c. Borel probability measure λ on \mathbb{T} we have convergence $\Theta_{y,\lambda} \rightarrow \Theta$ in distribution as $y \rightarrow 0$.

In particular, for all $k_1, \dots, k_r \in \mathbb{Z}_{\geq 0}$, finite intervals I_i , we have that

$$\lim_{y \rightarrow 0} \lambda\left(\left\{x \in \mathbb{T} : \mathcal{N}_{I_i}(x, y) = k_i \forall i\right\}\right) = \mathbb{P}\left(\Theta(B_{I_i}) = k_i \forall i\right)$$

and the limit is a continuous function of the endpoints of I_i .

- Follows from equidistribution of long closed horocycles on $\Gamma \backslash \mathrm{SL}(2, \mathbb{R})$, i.e. for any bounded continuous $f : \Gamma \backslash \mathrm{SL}(2, \mathbb{R}) \rightarrow \mathbb{C}$

$$\lim_{y \rightarrow 0} \int f(n(x)a(y)) d\lambda(x) = \int f(g) d\mu(g)$$

- Similar results for angles of hyperbolic lattice points: Boca, Paşol, Popa, Zaharescu (2014), Kelmer & Kontorovich (2015), Risager & Södergren (2017), Marklof & Vinogradov (2018), Lutsko (2020)

Moments

- We also prove convergence of *all* moments
- In particular, for the first moment

$$\lim_{y \rightarrow 0} \int_{\mathbb{T}} \mathcal{N}_I(x, y) d\lambda(x) = \mathbb{E}\Theta(B_I) = \kappa_{\Gamma} |I|$$

- This implies uniform distribution of

$$X^l(y) = \left\{ \operatorname{Re}(z_{\gamma c_l}) \bmod 1 : \gamma \in \Gamma_{\infty} \setminus \Gamma / \Gamma_{c_l}, \operatorname{Im}(z_{\gamma c_l}) \geq y \right\} \subset \mathbb{T}$$

as $y \rightarrow 0$ and hence (via our geometric interpretation) Hooley's uniform distribution of the roots

Towards spacing statistics: A Poincaré section for the horocycle flow

- Let \hat{c}_l be the lift of the (oriented) geodesic c_l to $\mathrm{PSL}(2, \mathbb{R}) \simeq \mathbb{T}^1(\mathbb{H})$
- Define two-dimensional Poincaré section for the horocycle flow

$$S^l = \Gamma \backslash \Gamma \hat{c}_l \{k(-\frac{\pi}{2})a(v^{-1}) : v \geq 1\}$$

$$k(\theta) = \begin{pmatrix} \cos \frac{\theta}{2} & -\sin \frac{\theta}{2} \\ \sin \frac{\theta}{2} & \cos \frac{\theta}{2} \end{pmatrix}, \quad a(y) = \begin{pmatrix} y^{\frac{1}{2}} & 0 \\ 0 & y^{-\frac{1}{2}} \end{pmatrix}$$

- Natural invariant measure ν for return map on S_l is arc-length measure on \hat{c}_l times $v^{-2}dv$.



- Compare with Athreya-Cheung section (IMRN 2014) for horocycle flow where the (closed) geodesic $\Gamma \backslash \Gamma \hat{c}_l$ is replaced by a closed horocycle \Rightarrow return map is Boca-Cobeli-Zaharescu map (statistics of Farey fractions)

An equidistribution theorem

Theorem H. (JM & Welsh, 2021)

For $f : \mathbb{T} \times \Gamma \backslash \mathrm{SL}(2, \mathbb{R}) \rightarrow \mathbb{C}$ bounded continuous, we have

$$\lim_{y \rightarrow 0} y \sum_{\xi \in X(y)} f(\xi, \Gamma n(\xi) a(y)) = \int_{\mathbb{T}} \int_{\Gamma \backslash \mathrm{SL}(2, \mathbb{R})} f(x, g) d\nu(g) dx.$$

- Key observation is that return times for the periodic orbit $\{\Gamma a(y)n(t) : t \in \mathbb{T}\}$ have the form $y^{-1} \mathrm{Re}(z_{\gamma} c_l)$
- Use this equidistribution theorem (in place of the previous horocycle equidistribution) to obtain spacing statistics

Conditioned geodesic line processes

- Earlier we discussed geodesic random line processes

$$\Theta_{y,\lambda} = \sum_{l=1}^h \sum_{\gamma \in \Gamma/\Gamma_{c_l}} \delta_{z_{(n(\xi)a(y))^{-1}\gamma c_l}}, \quad \Theta = \sum_{l=1}^h \sum_{\gamma \in \Gamma/\Gamma_{c_l}} \delta_{z_{g^{-1}\gamma c_l}}$$

- random variable ξ distributed according to a Borel probability measure λ on \mathbb{T}
- random element g distributed with respect to Haar probability measure μ_Γ on $\Gamma \backslash \mathrm{SL}(2, \mathbb{R})$

- Consider now the “conditioned” processes

$$\Theta_y^0 = \sum_{l=1}^h \sum_{\gamma \in \Gamma/\Gamma_{c_l}} \delta_{z_{(n(\xi)a(y))^{-1}\gamma c_l}}, \quad \Theta^0 = \sum_{l=1}^h \sum_{\gamma \in \Gamma/\Gamma_{c_l}} \delta_{z_{g^{-1}\gamma c_l}}$$

- random variable ξ distributed uniformly in $X(y)$
- random element g distributed with respect to ν on $\Gamma \backslash \mathrm{SL}(2, \mathbb{R})$
- Θ^0 is related to the Palm distribution of Θ

- Using the previous equidistribution theorem, we can prove $\Theta_y^0 \rightarrow \Theta^0$ in distribution and for all moments

Moments

- In particular the intensity measure $\mathbb{E}\Theta_y^0 \rightarrow \mathbb{E}\Theta^0$ is nothing but the pair correlation measure!
- The limit is $\mathbb{E}\Theta^0(B_I) = \delta_0(I) + \int_I W(v)dv$

where $W : \mathbb{R} \rightarrow \mathbb{R}_{\geq 0}$ is the even and continuous function given by

$$W(v) = \frac{1}{\ell v^2} \sum_{l_1, l_2=1}^h \sum_{\substack{\gamma \in \Gamma_{c_{l_1}} \setminus \Gamma / \Gamma_{c_{l_2}} \\ \gamma c_{l_2} \neq c_{l_1}, \overline{c_{l_1}}}} H_{\text{sign}(g_{l_1}^{-1} \gamma g_{l_2}(0))}(q(\gamma, l_1, l_2), v, v), \quad (1)$$

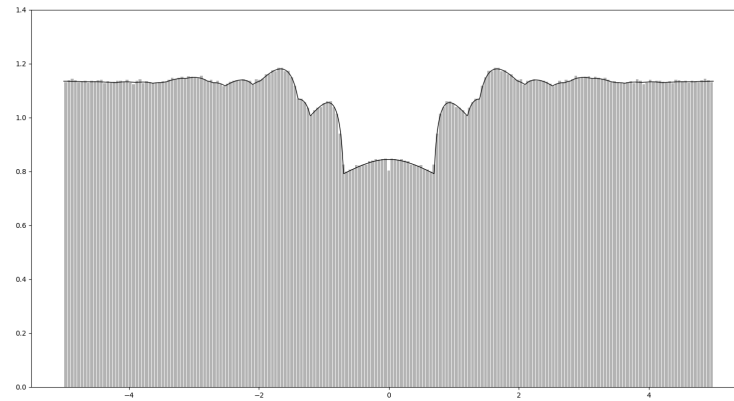
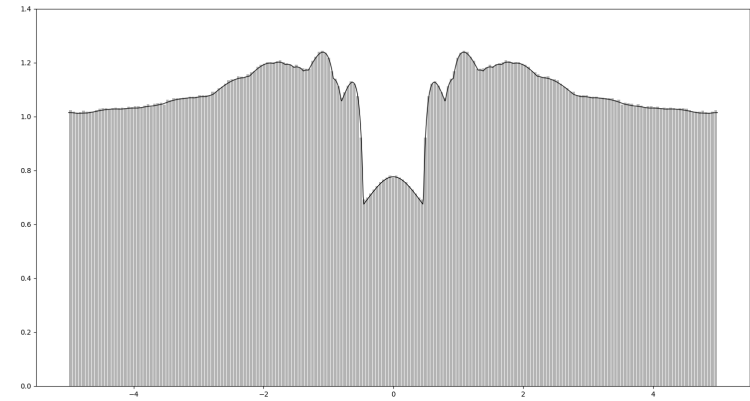
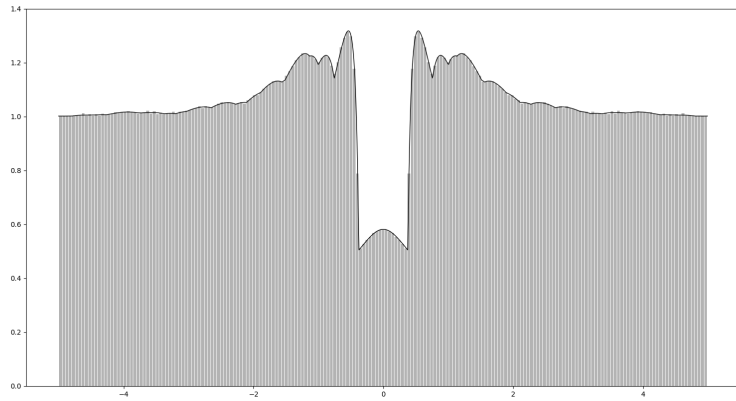
where $q(\gamma, l_1, l_2) = \frac{r+1}{r-1}$ with r the cross-ratio $r = \frac{((\gamma c_{l_2})^+ - c_{l_1}^-)((\gamma c_{l_2})^- - c_{l_1}^+)}{((\gamma c_{l_2})^+ - c_{l_1}^+)((\gamma c_{l_2})^- - c_{l_1}^-)}$, and

$$H_+(q, v, v) = \begin{cases} 0 & \text{if } q < -1 \\ 0 & \text{if } -1 < q < 1 \text{ and } v < \sqrt{2-2q} \\ h_q(s_1(q, v)) - h_q(s_2(q, v)) & \text{if } -1 < q < 1 \text{ and } v > \sqrt{2-2q} \\ h_q(s_1(q, v)) - h_q(-q + \sqrt{q^2 - 1}) & \text{if } q > 1, \end{cases} \quad (2)$$

$$H_-(q, v, v) = \begin{cases} 0 & \text{if } q < -1 \text{ and } |v| < \sqrt{2-2q} \\ h_q(s_1(q, v)) - h_q(s_2(q, v)) & \text{if } q < -1 \text{ and } |v| > \sqrt{2-2q} \\ h_q(s_1(q, v)) - h_q(s_2(q, v)) & \text{if } -1 < q < 1 \text{ and } v < -\sqrt{2-2q} \\ 0 & \text{if } -1 < q < 1 \text{ and } v > -\sqrt{2-2q} \\ h_q(-q - \sqrt{q^2 - 1}) - h_q(s_2(q, v)) & \text{if } q > 1, \end{cases} \quad (3)$$

$$h_q(s) = \log \frac{s+q}{1-s^2}, \quad s_1(q, v) = \frac{-q + \sqrt{v^2 + q^2 - 1}}{v+1}, \quad s_2(q, v) = v - q - \sqrt{v^2 + q^2 - 1}.$$

Pair correlation densities (\rightarrow Theorem D)



$$D = 2, 3, 10 \quad N = 10^6$$