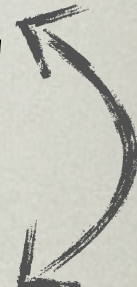


LEPTON FLAVOUR VIOLATION (LECTURES 3 & 4)

JURE ZUPAN
U. OF CINCINNATI

Future flavours: prospects for beauty, charm, and tau physics, ICTS, May 3 2022

OUTLINE

- lecture 1: lepton flavor in the SM
 - lecture 2: LFV observables - muons
 - lecture 3: LFV in taus, Higgs and flavor
 - lecture 4: LFV searches and light new physics
- 

SHORT REVIEW OF LECTURES 1 AND 2

- in the SM leptonic flavor violation is essentially zero
 - if signal in any of the FCNC transitions
 $\mu \rightarrow e\gamma, \mu \rightarrow 3e, \mu \rightarrow e, \tau \rightarrow \mu\gamma, \dots$
 - \Rightarrow discovery of New Physics
- significant experimental progress expected in searches with muons
 - MEG-II, Mu3e, DeeMee, Mu2e, COMET

SUMMARY OF CLFV

- many different charged lepton transitions
- in principle probe very high scales
- in the example switched on only two sets of ops.*

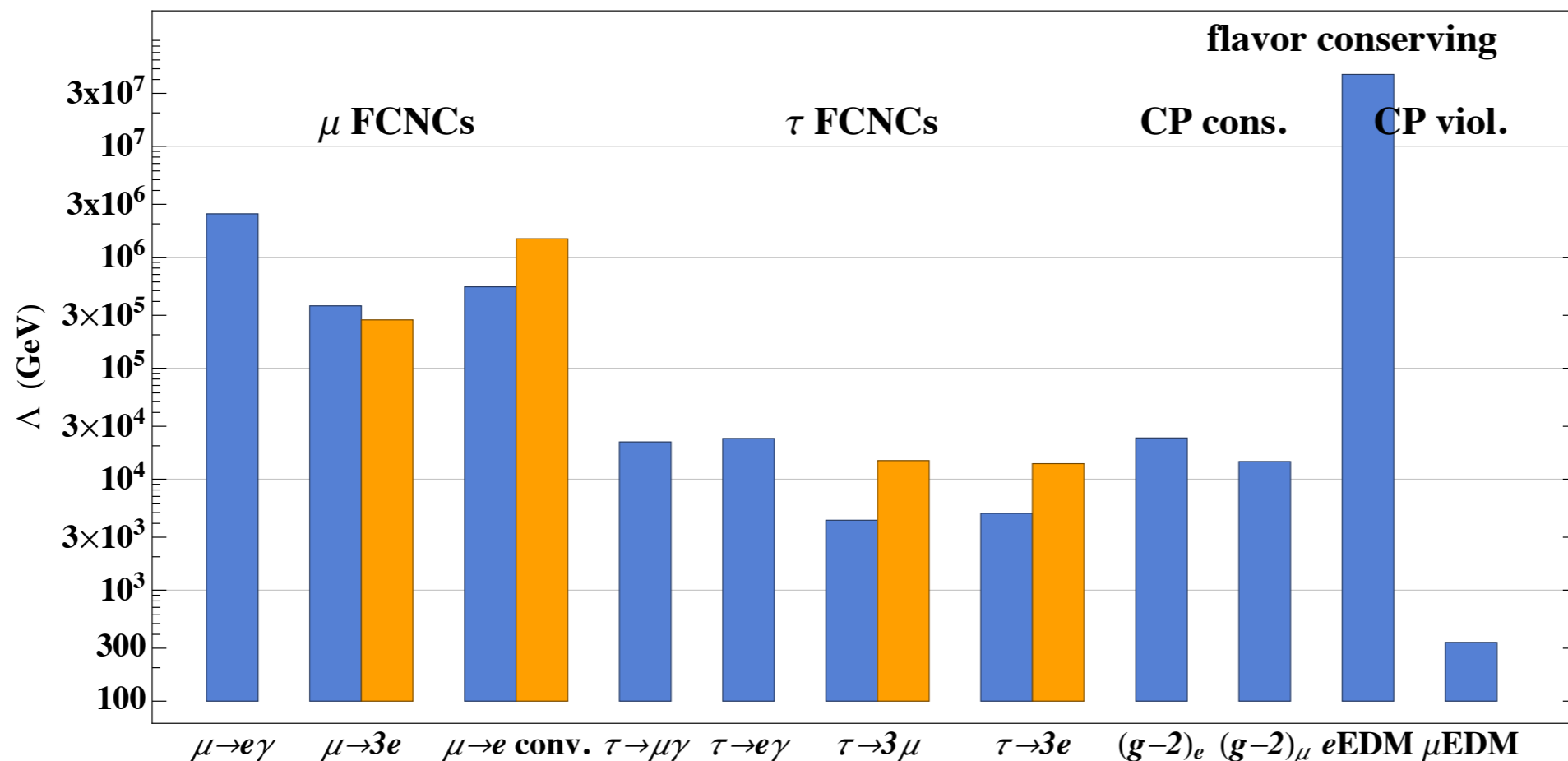
$$\mathcal{L} = \sum_a \frac{C_a}{\Lambda^2} Q_a$$

$$Q_\gamma^{ij} = \frac{e}{8\pi^2} H(\bar{\ell}^i \sigma^{\mu\nu} P_L \ell^j) F_{\mu\nu}$$

$$Q_{4 \text{ ferm.}}^{ijkl} = (\bar{\ell}^i \gamma^\mu \ell^j)(\bar{f}^k \gamma_\mu f^l)$$

max. flavor violation

■ dipole op. ■ 4-fermion op.



*in bounds either $C_a=1$ or $C_a=i$

** $(g-2)_\mu$ and $(g-2)_e$ interpreted as bounds

OUTLINE LECTURES 3 & 4

- in lectures 3 & 4:
 - LFV in tau decays
 - LFV using B, D, K decays
 - searching for light NP using LFV decays
 - Higgs as a probe of flavor
 - flavor diagonal observables: eEDM and $(g - 2)_\mu$

LFV IN τ DECAUS

LFV τ DECAYS

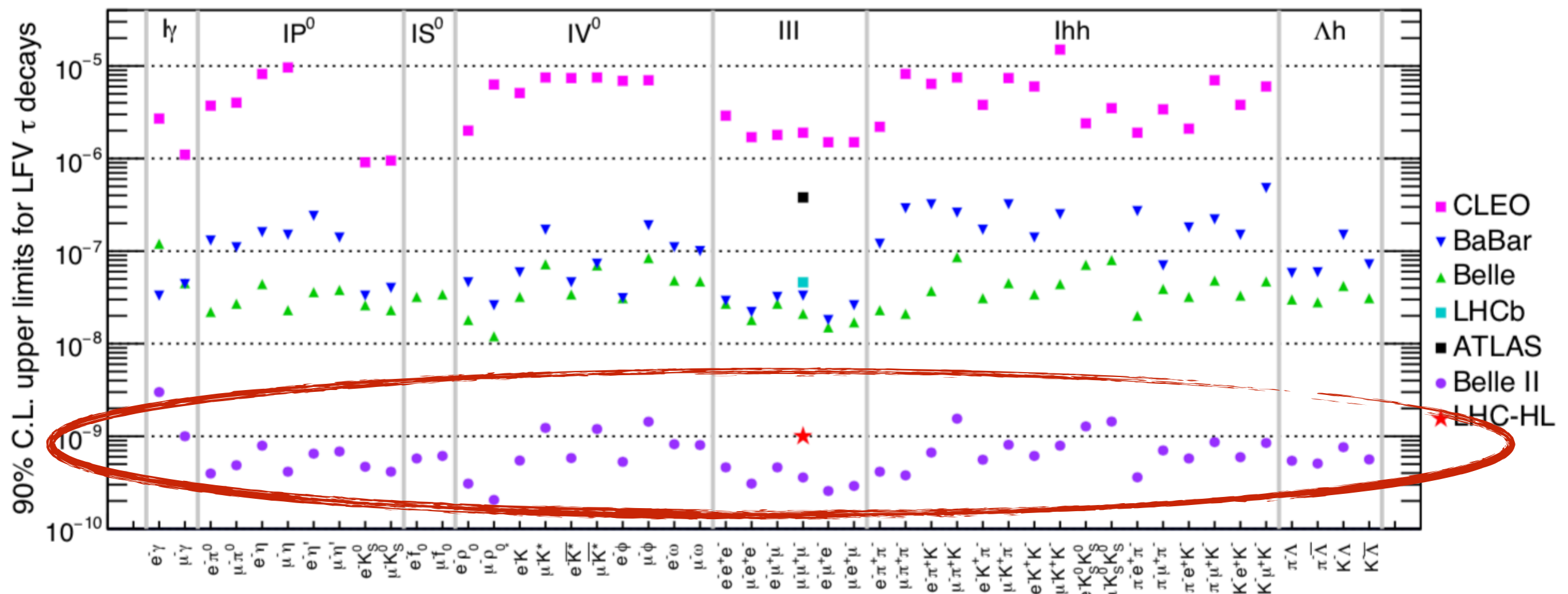
- several important differences relative to muons
- experimental:
 - τ lifetime is short \Rightarrow no "tau beams" $\tau_\tau \sim 3 \times 10^{-13} s$
 $\tau_\mu \sim 2 \times 10^{-6} s$
 - need to be produced in $e^+e^- \rightarrow \tau^+\tau^-$ (Belle II) or in pp collisions (LHC)
 - smaller experimental samples compared to muons
 - τ is heavier, $m_\tau = 1.777$ GeV, many decay modes possible
- theoretical:
 - the models that lead to CLFV in muons tend to give CLFV tau decays
 - often couplings to 3rd generation are larger (motivated by flavor structure in the SM)

FUTURE REACH

- significant improvements in the experimental reach expected

Akar et al., 1812.07638

- example for tau: Belle 2 and HL-LHC reach



Experiment	Number of τ pairs
LEP	$\sim 3.3 \times 10^5$
CLEO	$\sim 1 \times 10^7$
BaBar	$\sim 5 \times 10^8$
Belle	$\sim 9 \times 10^8$
Belle II	$\sim 4.6 \times 10^{10}$
STcF	$\sim 2.1 \times 10^{10}$

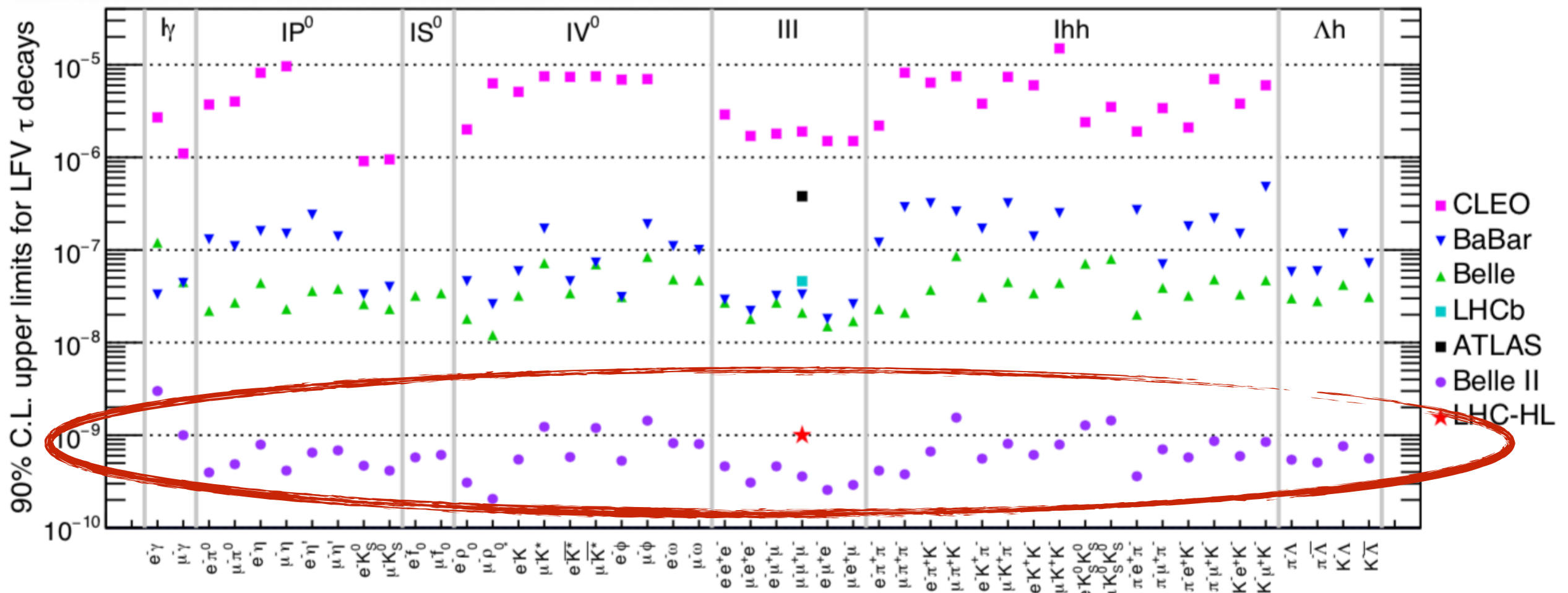
E. Passemar

E REACH

elements in the
n expected

Akar et al., 1812.07638

Belle 2 and HL-LHC reach



NEW PHYSICS IN TAU DECAYS

- two categories of LFV tau decays
 - purely leptonic: $\tau \rightarrow \mu\gamma, \tau \rightarrow 3e, \tau \rightarrow 3\mu, \dots$
 - NP can be purely leptophilic
 - also involving hadrons:
 $\tau \rightarrow \mu\rho, \tau \rightarrow e\rho, \tau \rightarrow \mu K_S, \dots$
 - NP needs to couple to both leptons and quarks
 - the quark couplings may or may not be flavor violating
- comparison with FCNC muon decays
 - need concrete models to compare muon and tau decays

TWO EXAMPLES

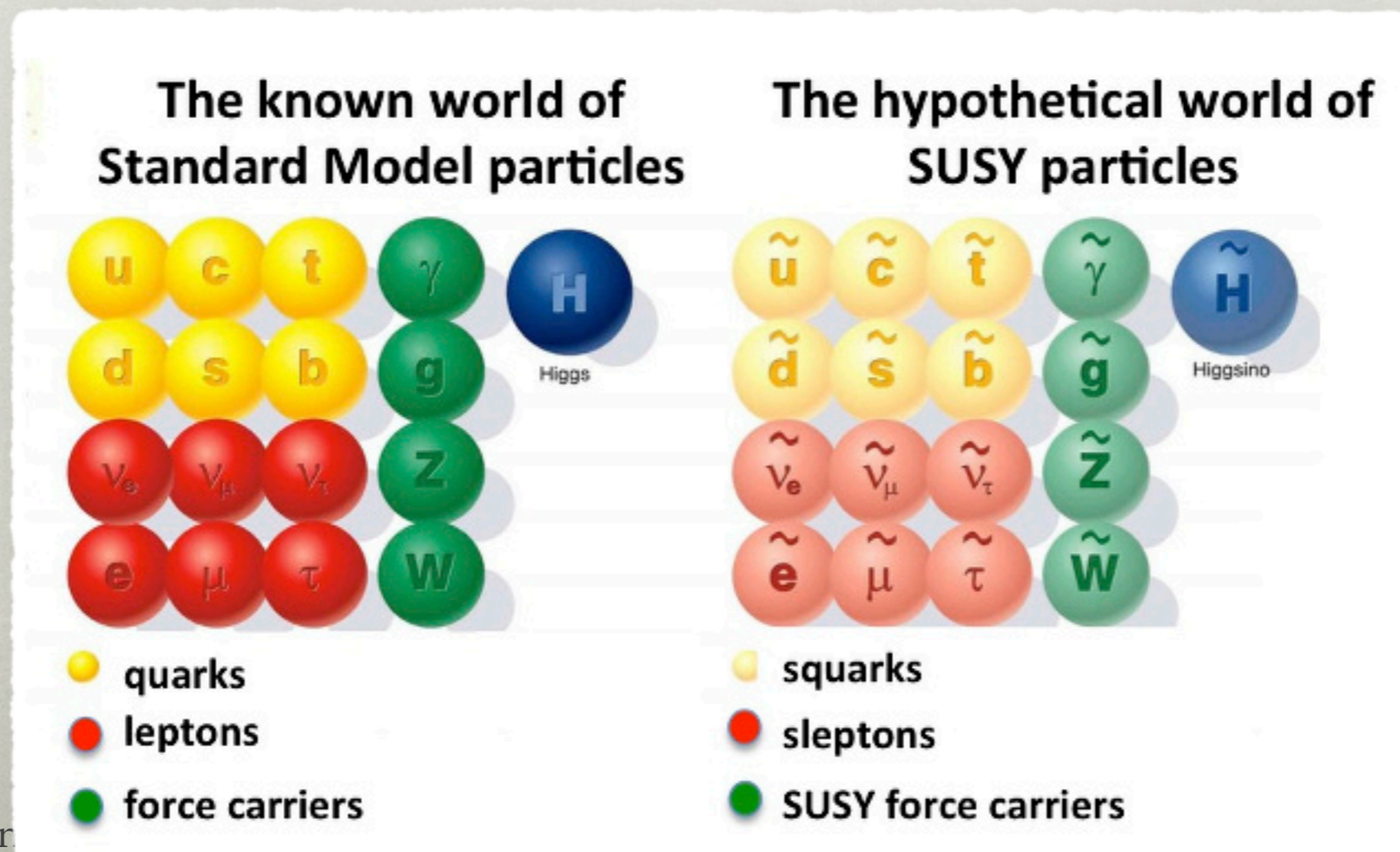
- the examples of NP with nontrivial flavor structure
 - a model of neutrino masses
 - supersymmetric see-saw
 - a model of flavor
 - gauged $U(1)'$ Froggatt-Nielsen model

**SUSY SEE-SAW
EXAMPLE**

SUPERSYMMETRIC SEE-SAW

[Antusch et al., hep-ph/0607263](#)

- SM is enlarged by 3 generations of RH neutrinos
- to stabilize the electroweak scale the model is assumed to be supersymmetric



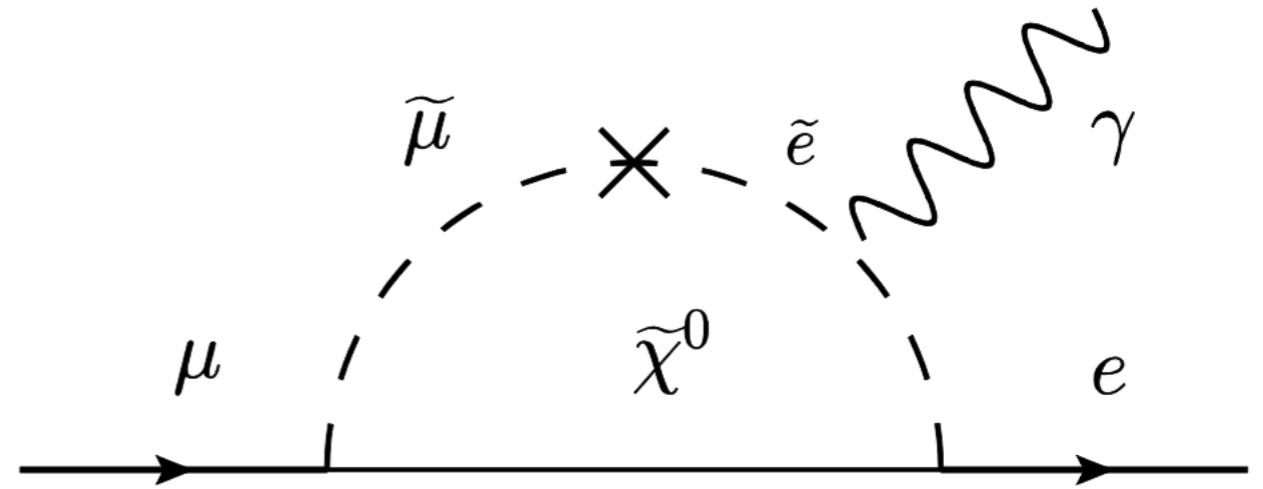
SUPERSYMMETRIC SEE-SAW

- in general there are many flavor violating parameters even in the minimal SUSY see saw model
 - 124 from minimal SUSY SM (MSSM)
 - another 18 in the neutrino sector
- most of these related to SUSY breaking
 - the form of slepton and squark mass matrices
- focus on a very restricted case: constrained MSSM [Antusch et al., hep-ph/0607263](https://arxiv.org/abs/hep-ph/0607263)
 - SUSY breaking parameters are assumed to be flavor universal at the UV scale (=GUT scale)
- all LFV originates solely from the neutrino sector
 - some of the parameters are fixed by requiring to reproduce neutrino masses and PMNS, scanned over the rest

$$m_\nu = -\frac{v^2}{2} Y_\nu^T M_R^{-1} Y_\nu$$

- FV in slepton mass matrices from RGEs

SUPERS SEI



- in general there are many flavors
 - SUSY see saw model
 - 124 from minimal SUSY SM (MSSM)
 - another 18 in the neutrino sector
- most of these related to SUSY breaking
 - the form of slepton and squark mass matrices
- focus on a very restricted case: constrained MSSM
 - SUSY breaking parameters are assumed to be flavor universal at the UV scale (=GUT scale)
- all LFV originates solely from the neutrino sector
 - some of the parameters are fixed by requiring to reproduce neutrino masses and PMNS, scanned over the rest

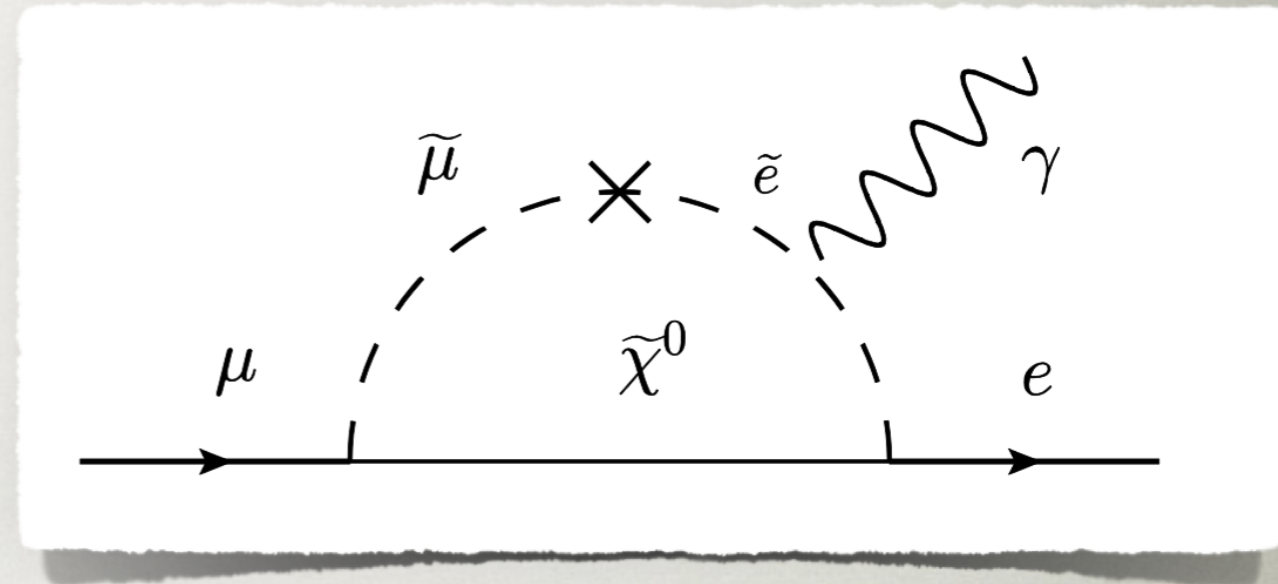
[Antusch et al., hep-ph/0607263](#)

$$m_\nu = -\frac{v^2}{2} Y_\nu^T M_R^{-1} Y_\nu$$

- FV in slepton mass matrices from RGEs

SUPERSYMMETRIC SEE-SAW

- the dominant LFV contribution comes from dipole operators ("photon penguin")



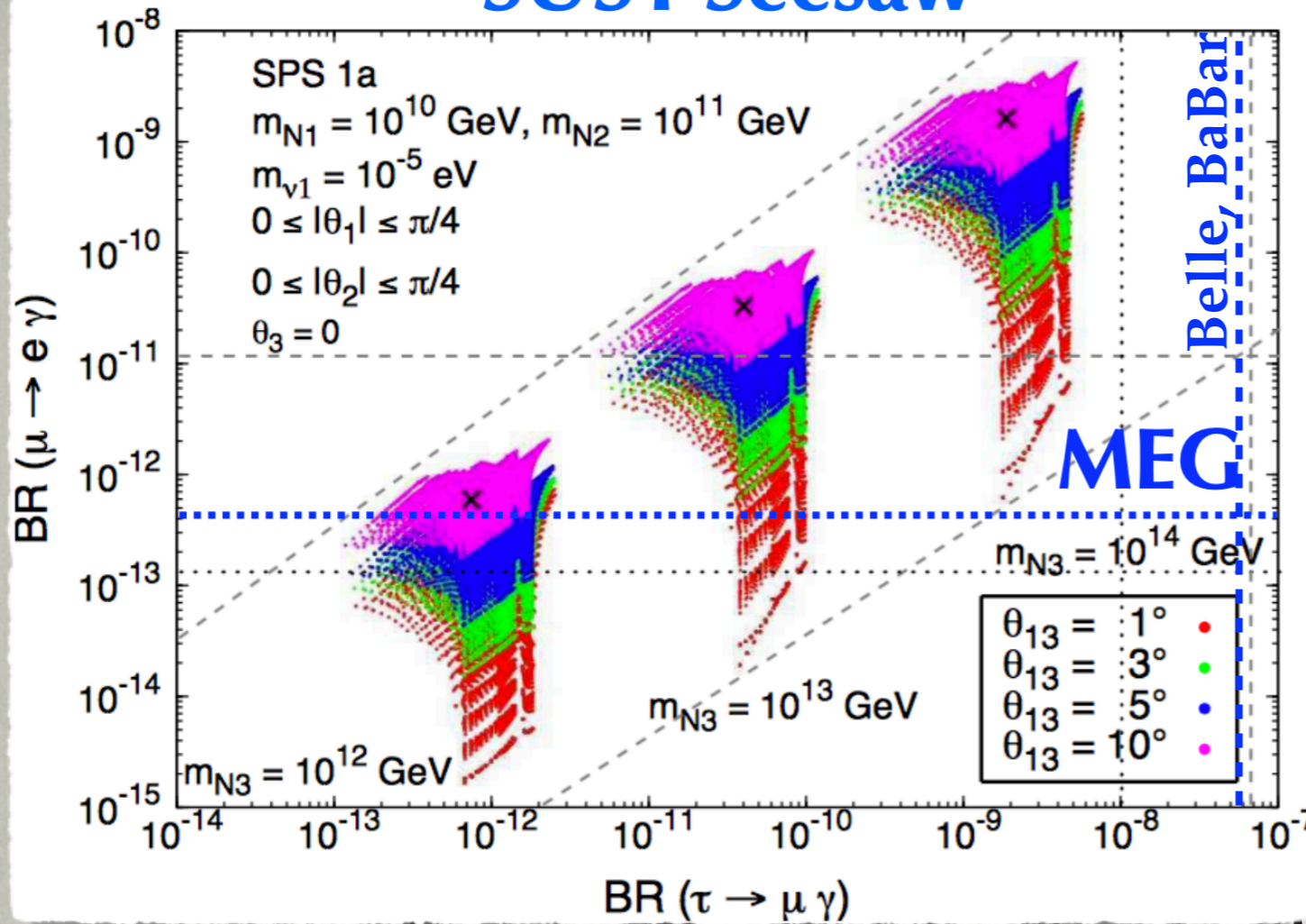
- the $\ell_j \rightarrow 3\ell_i$ are thus given by

Antusch et al., hep-ph/0607263

$$\text{BR}(\ell_j \rightarrow 3\ell_i) = \frac{\alpha}{3\pi} \left(\log \frac{m_{\ell_j}^2}{m_{\ell_i}^2} - \frac{11}{4} \right) \times \text{BR}(\ell_j \rightarrow \ell_i \gamma),$$

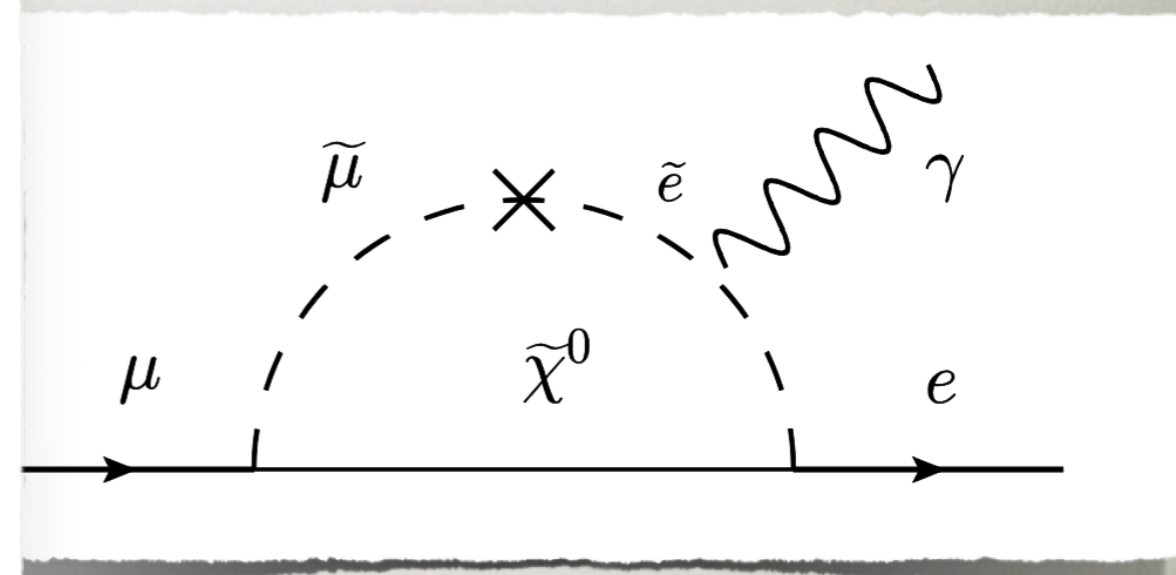
- because of restricted flavor structure there is also a relation between $\mu \rightarrow e\gamma$ and $\tau \rightarrow \mu\gamma$

SUSY-Seesaw



METRIC

AW



Antusch et al., hep-ph/0607263

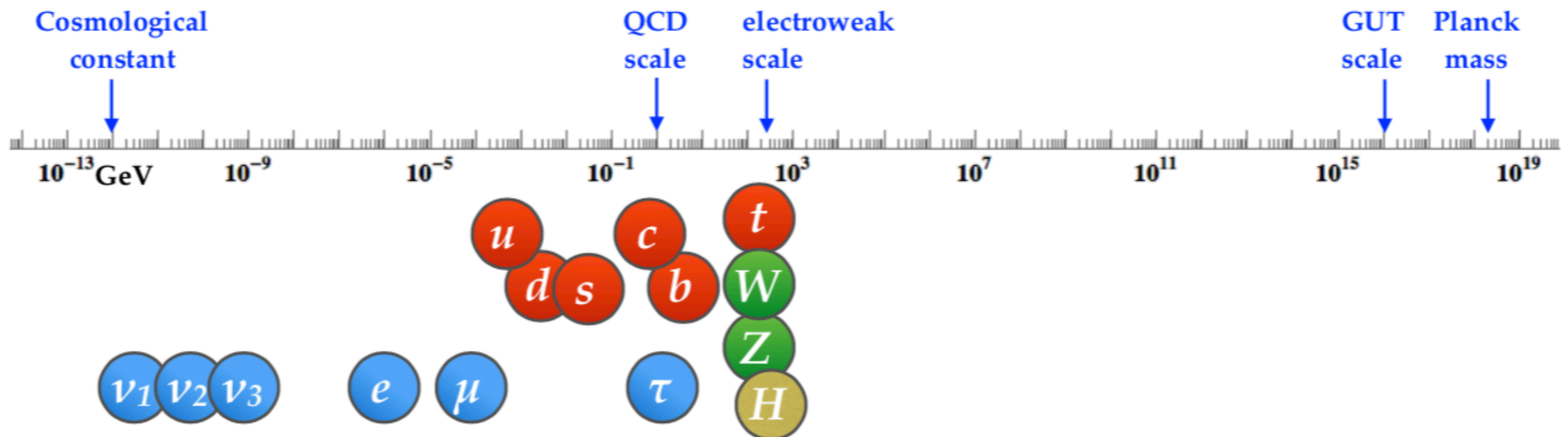
$$BR(l_j \rightarrow 3l_i) = \frac{\alpha}{3\pi} \left(\log \frac{m_{l_j}^2}{m_{l_i}^2} - \frac{11}{4} \right) \times BR(l_j \rightarrow l_i \gamma),$$

- because of restricted flavor structure there is also a relation between $\mu \rightarrow e\gamma$ and $\tau \rightarrow \mu\gamma$

GAUGED FN MODEL EXAMPLE

STANDARD MODEL FLAVOR PUZZLE

- in this example the flavor structure is not ad-hoc
- the model solves the SM flavor puzzle
 - why are fermion masses so hierarchical?
 - an explanation of mixing patterns?



FN SOLUTION TO THE FLAVOR PUZZLE

Froggatt, Nielsen, NPB 147, 277 (1979),...

- Large hierarchies in quark + lepton masses and in CKM matrix
 - can be addressed via horizontal $U(1)_{\text{FN}}$ symmetry
 - SM LH and RH fermions have different $U(1)_{\text{FN}}$ charges
 - hierarchical Higgs Yukawas after $U(1)_{\text{FN}}$ broken via vev of scalar field, the flavon Φ
 - if $U(1)_{\text{FN}}$ gauged there is an associated Z'

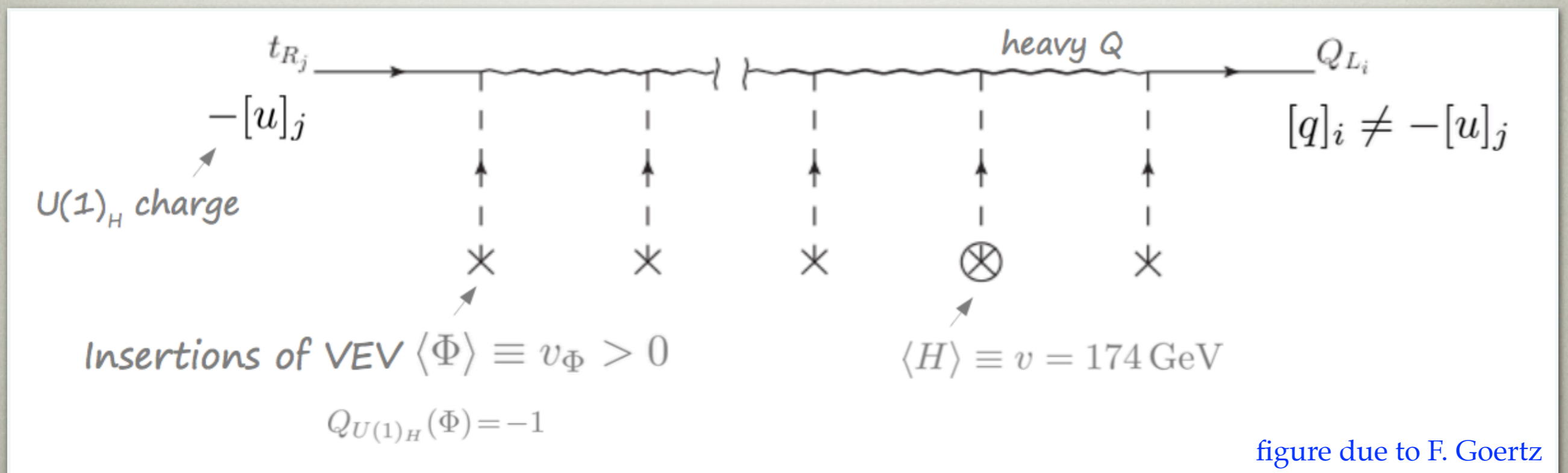
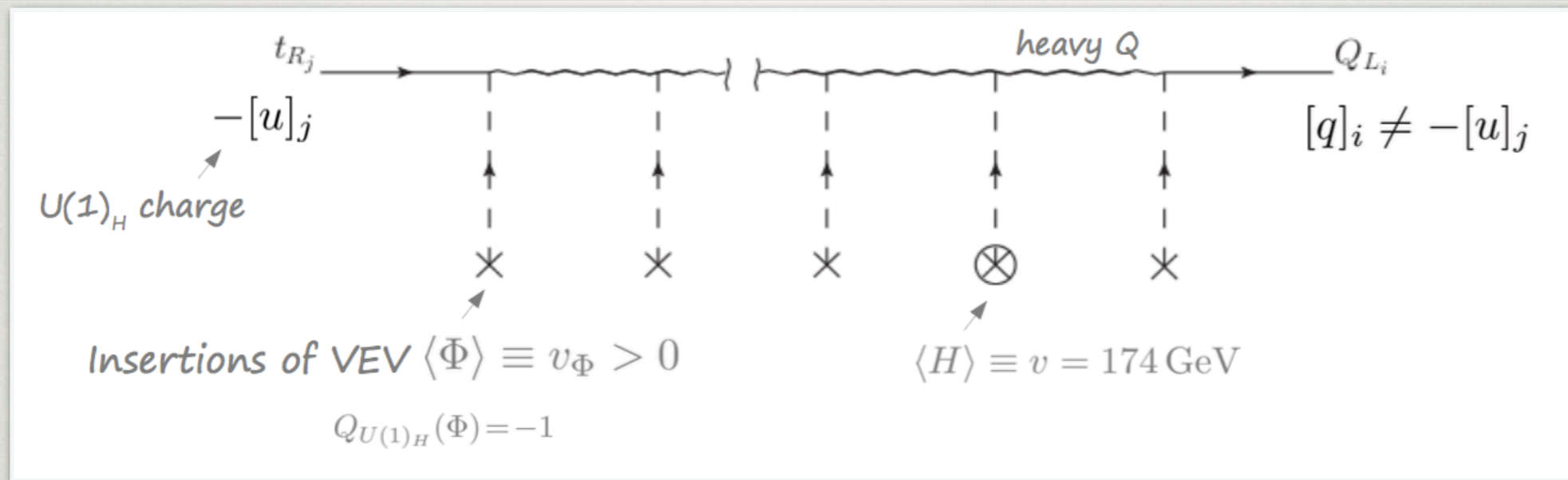


figure due to F. Goertz

SPURIION ANALYSIS



- effective Yukawas governed by flavon insertions (so that invariant under flavor symm.)

$$\mathcal{L}_{eff} \sim \left(\frac{\phi}{\Lambda_F} \right)^{x_{ij}} h \bar{q}_i u_j$$

$$\epsilon \equiv \frac{\phi}{\Lambda_F}$$

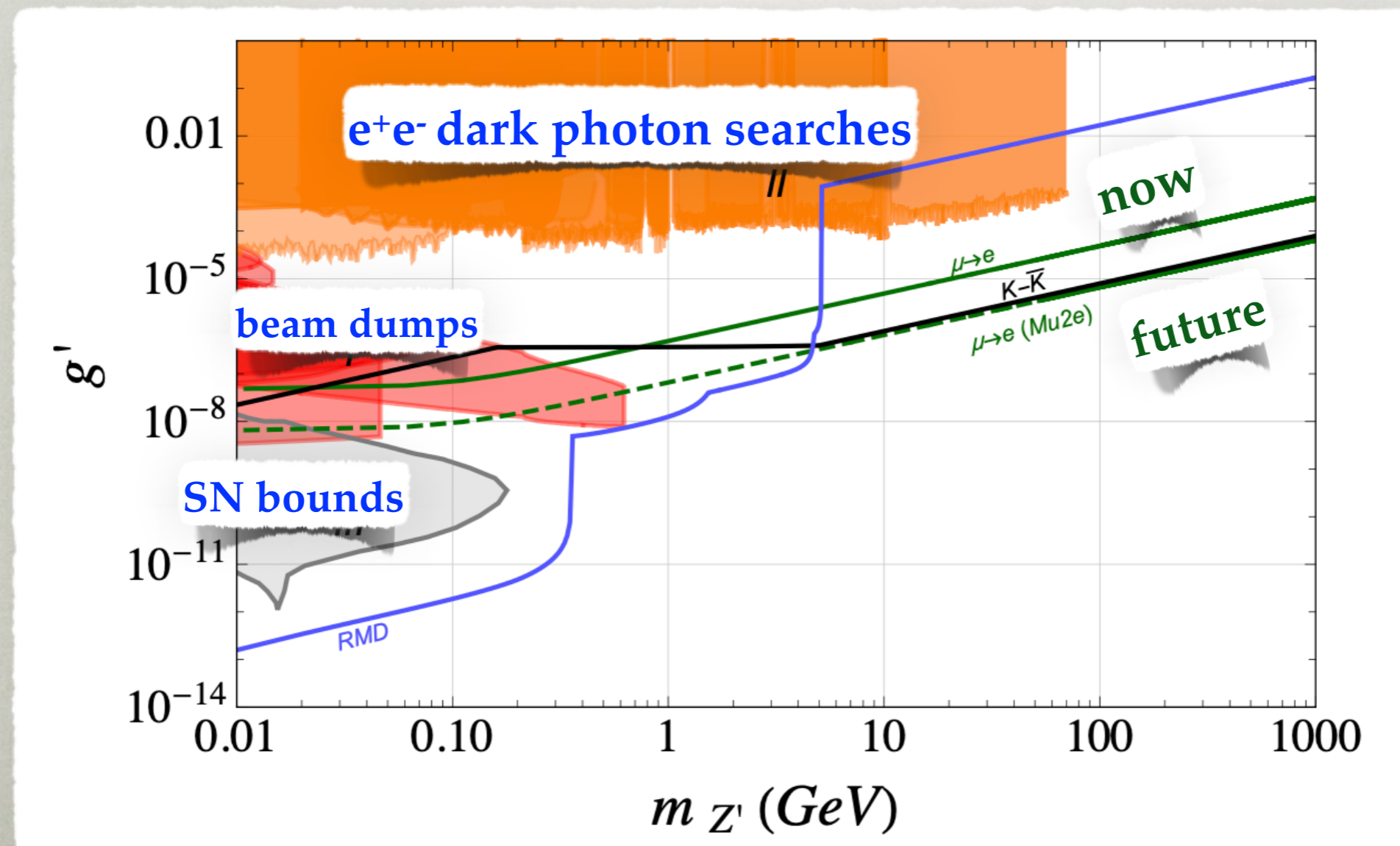
- hierarchy from powers of small parameter ϵ
- FN mechanism involves
 - vector-like fermions + scalar flavon fields (no anomaly)
 - chiral fields at the end of the chains: in general anomalous $U(1)_{FN}$
 - we show the results for an anomaly free $U(1)_{FN}$ (inverted FN) that is gauged

EXPERIMENTAL SEARCHES

- how to observe experimentally?
- search in FCNCs
 - $K - \bar{K}, B - \bar{B}$ mixing, etc.
 - exchanges of flavons, heavy vector-like fermions, flavorful Z' s
 - for $\mathcal{O}(1)$ couplings masses $\gtrsim 10^7$ GeV
- for small $U(1)_{\text{FN}}$ gauge couplings Z' can be light
 - can also search for it directly: beam dumps, e^+e^- colliders, astrophysics

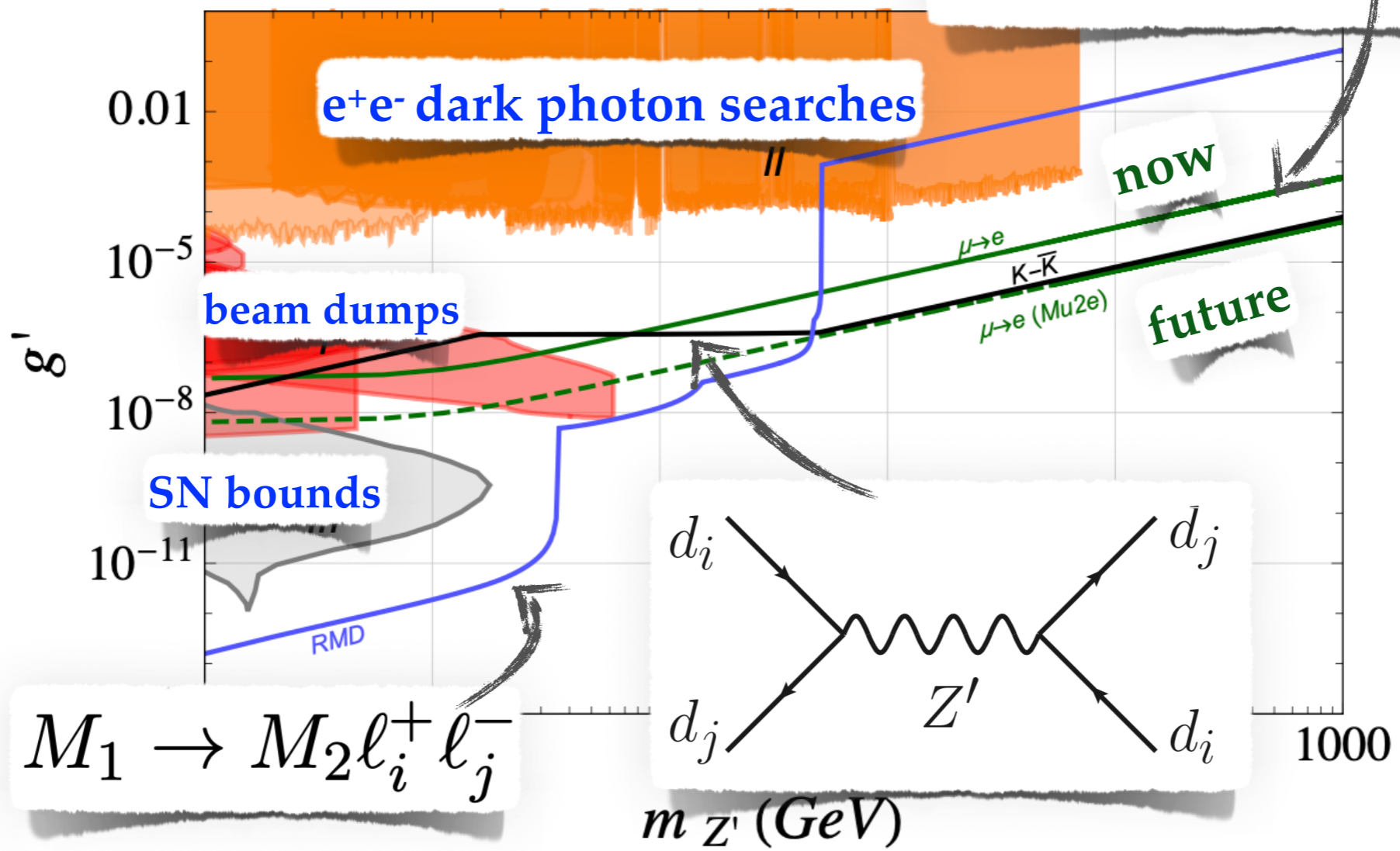
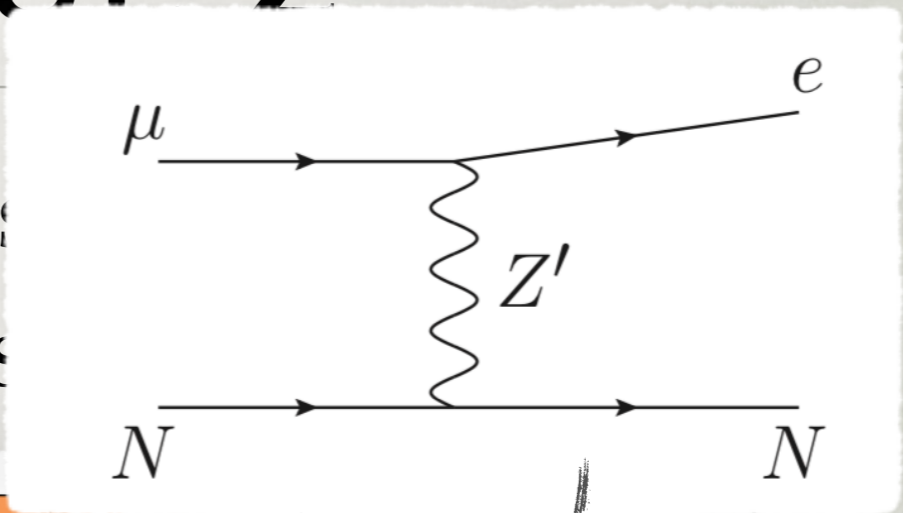
FLAVORFUL Z'

- for $U(1)_{FN}$ benchmark, assuming anarchic neutrino mass from Weinberg op.



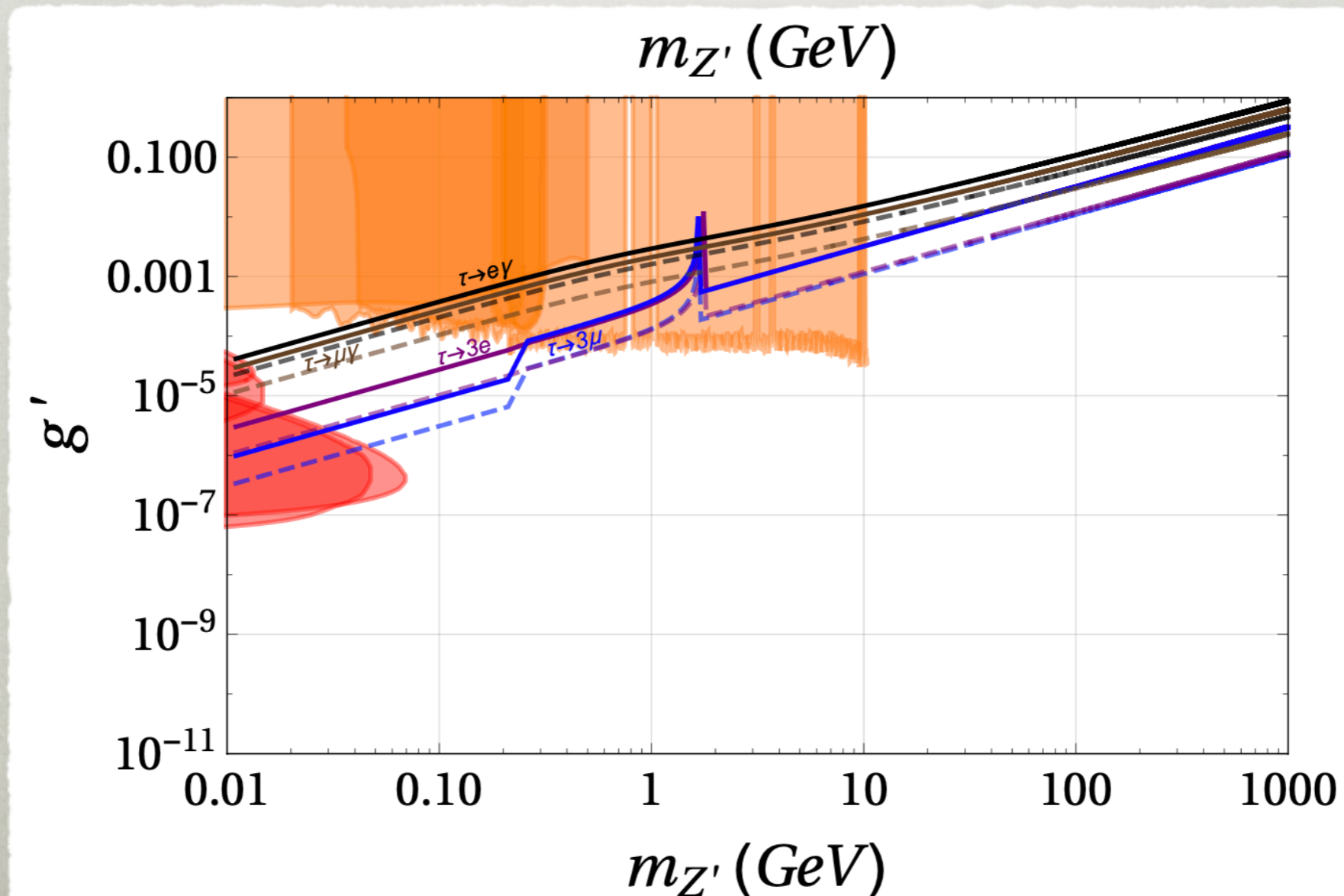
FLAVORFUL Z'

- for $U(1)_{FN}$ benchmark, as anarchic neutrino mass



TAU DECAYS

- in this model tau decays less sensitive as discovery tool
- but essential to be measured in order to confirm the model



LFV IN
B, D, K DECAUS

RARE MESON DECAYS

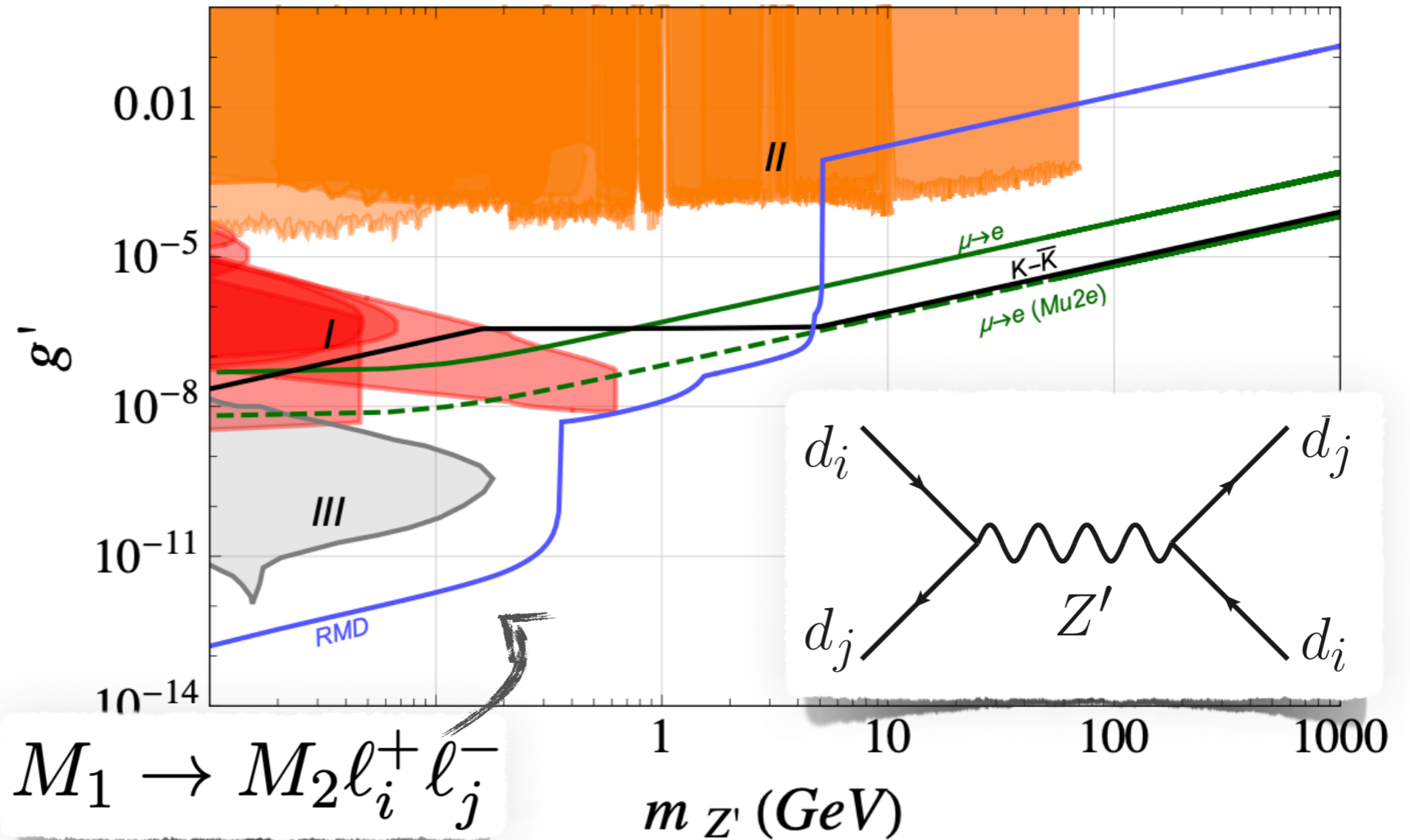
- so far a focus on LFV transitions with μ, τ in the initial state
- another possibility, use meson decays
 - $K^+ \rightarrow \pi^+ \mu^+ e^-, B^+ \rightarrow K^+ \mu^+ e^-, \dots$
- an example already shown is Z' in $U(1)_{\text{FN}}$
 - if tree-level mediator off-shell \Rightarrow meson mixing or LFV FCNCs more constrained
 - for on-shell Z' on the other hand $M_i \rightarrow M_j Z'$ gives the leading constraints
 - note: Z' may decay through flavor conserving mode, so searches such as $B \rightarrow K \mu^+ \mu^-$ also relevant

RA

- so far a few
- another p
- $K^+ \rightarrow$
- an example
- if tree-
- FCNCs more constrained

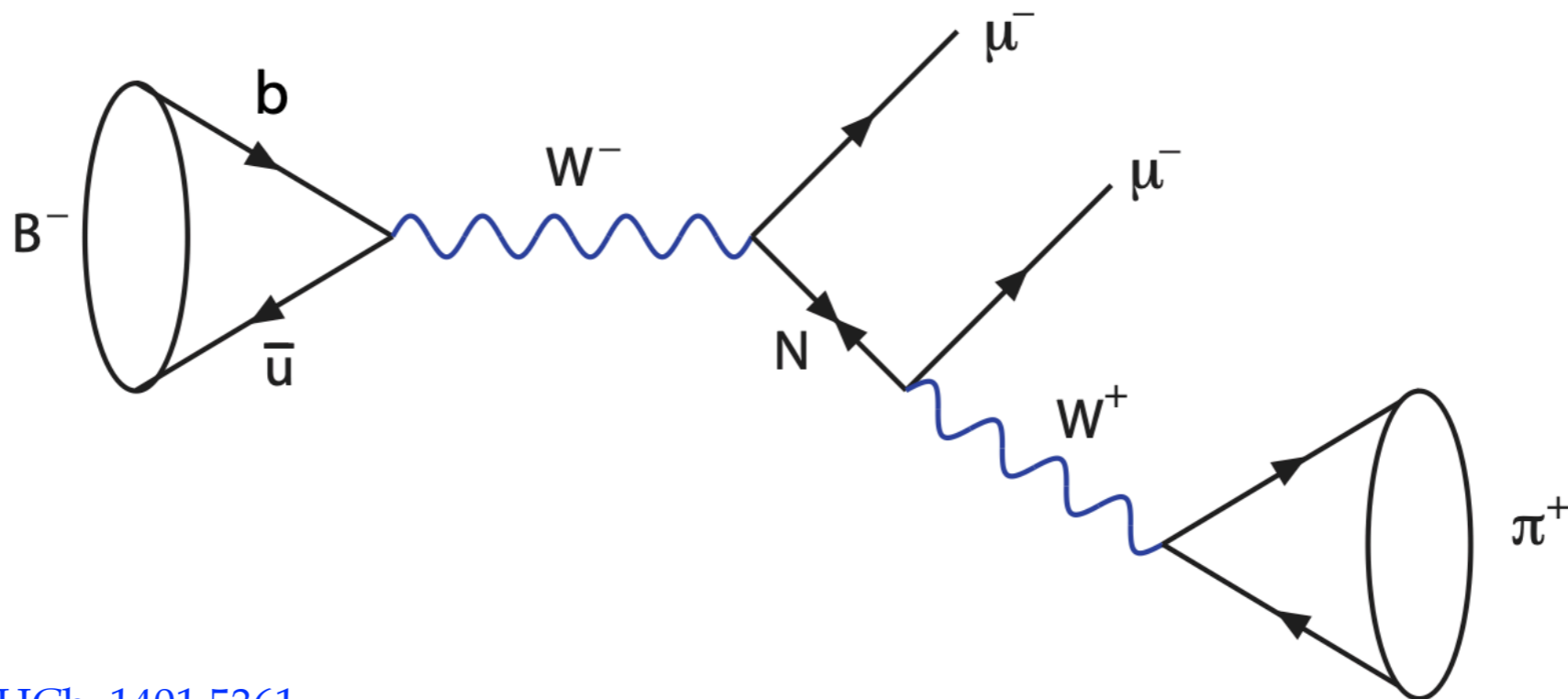
$$M_1 \rightarrow M_2 \ell_i^+ \ell_j^-$$

- for on-shell Z' on the other hand $M_i \rightarrow M_j Z'$ gives the leading constraints
- note: Z' may decay through flavor conserving mode, so searches such as $B \rightarrow K \mu^+ \mu^-$ also relevant



MAJORANA NEUTRINOS

- if neutrinos Majorana fermions then lepton number violating decays possible
- leptons can be of same flavor, $B^- \rightarrow \pi^+ \mu^- \mu^-$, or different flavor, $B^- \rightarrow \pi^+ \mu^- e^-$, $B^- \rightarrow \pi^+ \mu^- \tau^-$, ...



LHCb, 1401.5361

SEARCHING FOR LIGHT NEW PHYSICS

LIGHT NEW PHYSICS \Rightarrow PROBE OF HIGH SCALES

- rare decays into a light state, X , e.g., $K \rightarrow \pi X$ or $\mu \rightarrow eX$,
 - exquisite probes of UV physics
- parametric gains compared to probing NP through dim-6 ops
 - the reason is that the SM decay widths are power suppressed $\Gamma_\ell \propto m_\ell^5/m_W^4$
- if light NP couples through dim 4 op with mixing angle $\theta \Rightarrow$
 $\Gamma(K \rightarrow \pi\varphi) \propto \theta^2 m_K \Rightarrow Br(K \rightarrow \pi\varphi) \propto \theta^2 (m_W/m_K)^4$
- if through dim 5 op. suppressed by $1/f_a \Rightarrow$
 $Br(\mu \rightarrow e\varphi) \propto (m_W^2/f_a m_\mu)^2$
- no such $1/m_\mu$ or $1/m_K$ enhancement for dimension 6 couplings
 $Br(\mu \rightarrow 3e) \propto (m_W/\Lambda)^4$

UPSHOT

- searching for $\mu \rightarrow eX, \tau \rightarrow \mu X$ decays expect to reach very high UV scales
- are such light NP particles common?
 - any spontaneously broken global symmetry results in massless Nambu-Goldstone bosons
- often (but not always) the mass of PNGBs is taken as a free parameter
 - proportional to explicit breaking of global symmetry

QCD AXION

- a celebrated example: QCD axion

STRONG CP PROBLEM

- Lorentz and gauge invariance allow a CP violating term in QCD

$$\mathcal{L} = \theta \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{a,\mu\nu} = \theta \frac{\alpha_s}{16\pi} \epsilon_{\mu\nu\rho\sigma} G_a^{\mu\nu} G_a^{\rho\sigma}$$

- physically observable is the combination

$$\bar{\theta} \equiv \theta + \arg \det(\mathcal{M}_u \mathcal{M}_d)$$

- experimentally :

$$d_n \approx 4 \times 10^{-16} \bar{\theta} \text{ e cm} \quad \longleftrightarrow \quad |d_n|_{\text{exp}} < 3 \times 10^{-26} \text{ e cm}$$

- why $\bar{\theta}$ so small?

$$\bar{\theta} < 10^{-10}$$

- very puzzling given large CPV phase in the CKM

AXION

- if $\bar{\theta}(x)$ a dynamical field and couples only to $\bar{\theta}G\tilde{G}$
 \Rightarrow potential min. at $\bar{\theta}(x) = 0$
- new ultra-light particle - axion

$$F_{f_i f_j}^{V,A} \equiv \frac{2f_a}{C_{f_i f_j}^{V,A}}$$

$$\mathcal{L}_{\text{eff}} = \frac{\alpha_s}{8\pi} \frac{a}{f_a} G\tilde{G} + \frac{E}{N} \frac{\alpha_{\text{em}}}{8\pi} \frac{a}{f_a} F\tilde{F} + \frac{\partial_\mu a}{2f_a} \bar{f}_i \gamma^\mu (C_{f_i f_j}^V + C_{f_i f_j}^A \gamma_5) f_j$$

- obtains mass from QCD anomaly

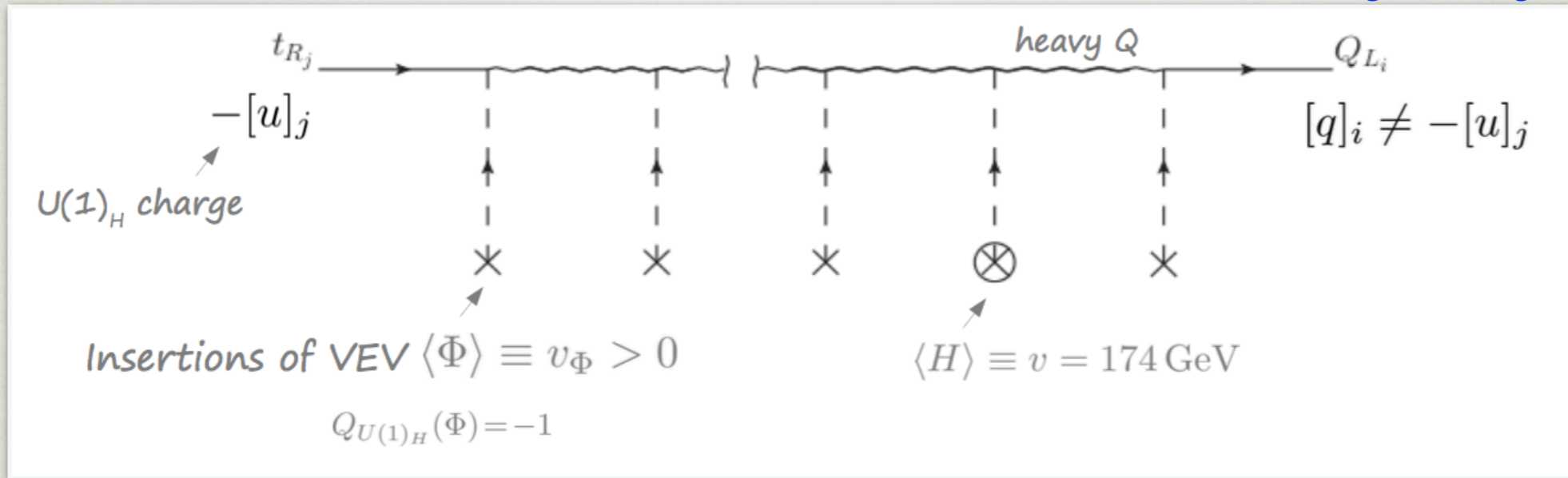
$$m_a = 5.70(7) \mu\text{eV} \left(\frac{10^{12} \text{ GeV}}{f_a} \right)$$

- viable cold dark matter candidate for

$$10^{-8} \text{ eV} \lesssim m_a \lesssim 10^{-3} \text{ eV}$$

EXPLICIT MODEL - AXIFLAVON

Calibbi, Goertz, Redigolo, Ziegler, JZ, 1612.08040



- FN mechanism involves Froggatt, Nielsen, NPB 147, 277 (1979),...
 - vector-like fermions (no QCD anomaly)
 - scalar flavon fields
- effective Yukawas governed by flavon insertions (so that invariant under flavor symm.)

$$\mathcal{L}_{eff} \sim \left(\frac{\phi}{\Lambda_F} \right)^{x_{ij}} h \bar{q}_i u_j$$

$$\epsilon \equiv \frac{\phi}{\Lambda_F}$$

- hierarchy from powers of small parameter ϵ

AXIFLAVON

- ingredients for axion mechanism
 - need a global PQ symmetry that is spontaneously broken
 \Rightarrow Goldstone boson is the axion
 - global symmetry needs to be anomalous under QCD
- flavor symmetries that explain Yukawa hierarchies have a QCD anomaly
- axiflavor mechanism: identify PQ symmetry with FN $U(1)_H$
 - the phase of the flavon is the QCD axion = axiflavor

$$\Phi = \frac{f + \phi(x)}{\sqrt{2}} e^{ia(x)/f}$$

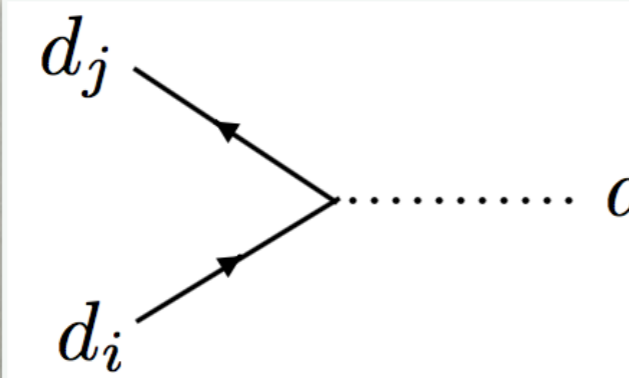
Wilczek, PRL 49, 1549 (1982)

Calibbi, Goertz, Redigolo, Ziegler, JZ, 1612.08040

Ema, Hamaguchi, Moroi, Nakayama, 1612.05492

SEARCHING FOR AXIONS/ AXIFLAVONS

- axiflavor
 - flavor violating couplings to fermions
 - in addition to flavor diagonal couplings to electrons, nucleons, couplings to photons, gluons
 - in the minimal FN axiflavor model



The diagram shows two fermion lines, labeled d_j and d_i , meeting at a vertex. A dotted line representing an axion a is emitted from this vertex. To the right of the diagram, the coupling is given by the equation:

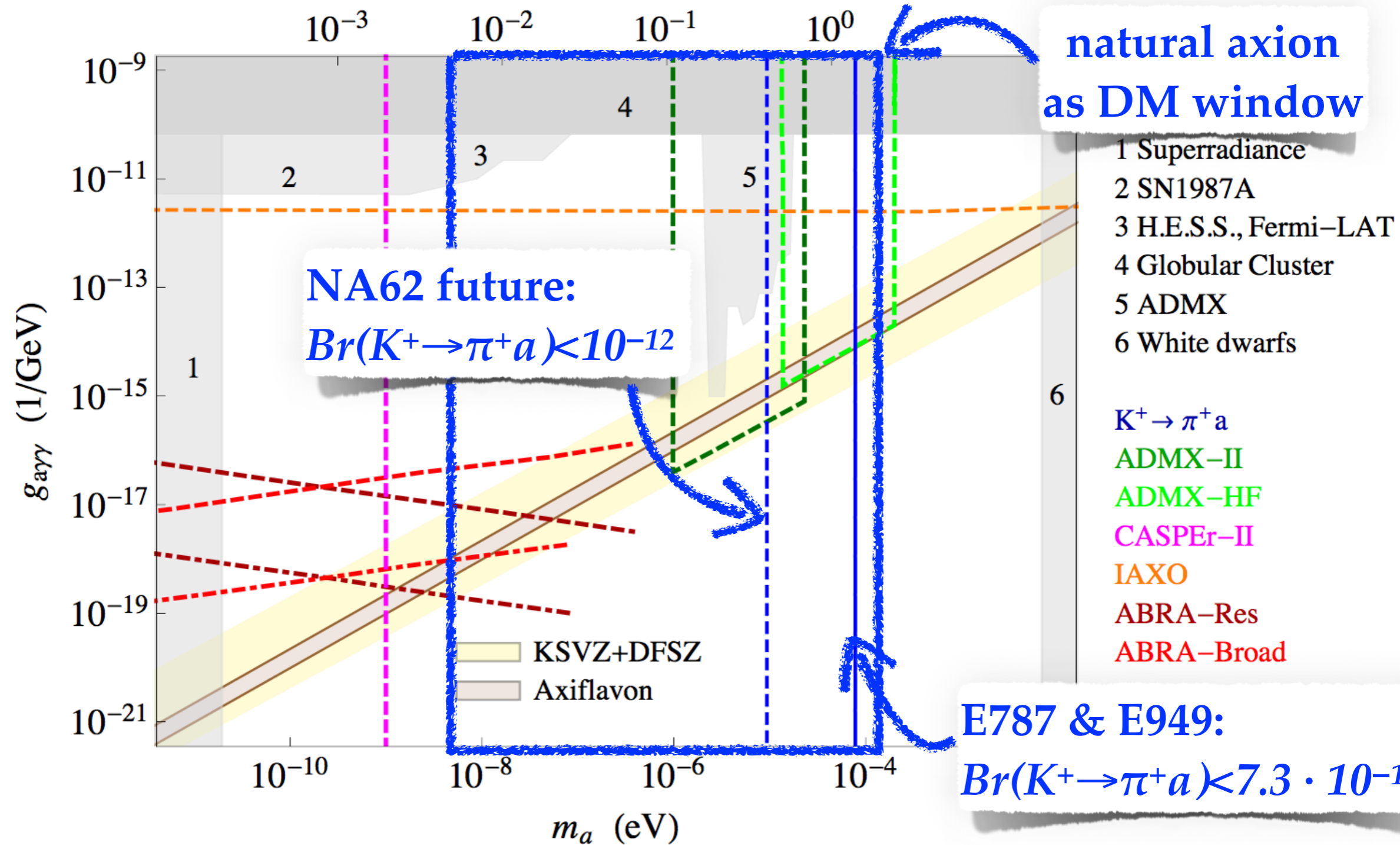
$$a \sim \frac{\sqrt{m_i m_j}}{f_a} \sim \frac{m_a}{\mu\text{eV}} \frac{\sqrt{m_i m_j}}{10^{12}\text{GeV}}$$

SEARCHING FOR AXIONS/ AXIFLAVONS

minimal axiflavoron

θ/π

Calibbi, Goertz, Redigolo, Ziegler, JZ, 1612.08040



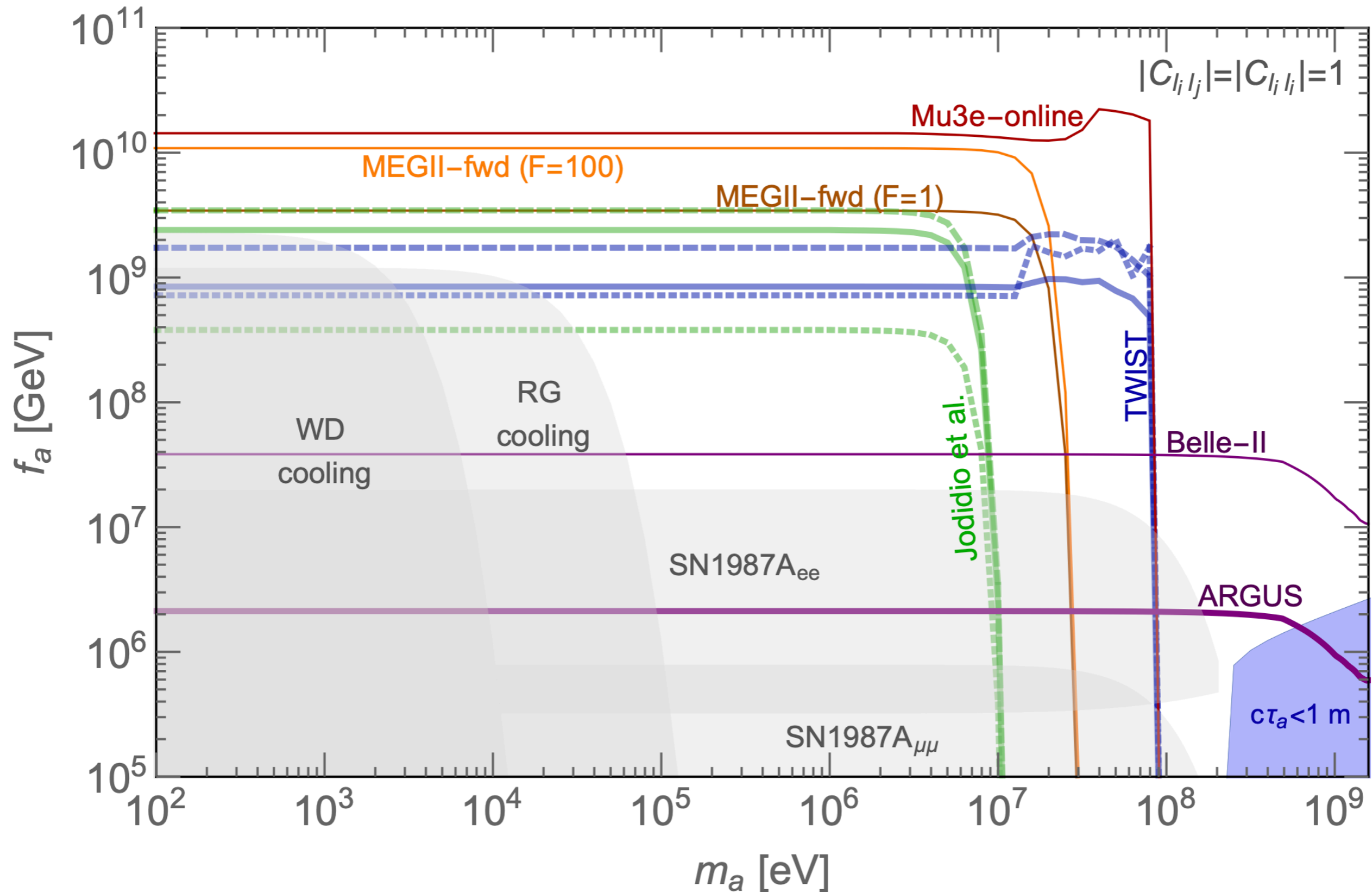
LEPTOPHILIC ALPs

- in the minimal model
 - $K \rightarrow \pi a$ the most sensitive
 - mass of a is fixed from QCD dynamics
- both of these results are model dependent
 - focus on examples where LFV is the most important
- here bottom up approach
 - allow for any a mass (Axion-Like Particle =ALP)
 - use the effective Lagrangian

$$\mathcal{L}_{\text{eff}} = \frac{\alpha_s}{8\pi} \frac{a}{f_a} G\tilde{G} + \frac{E}{N} \frac{\alpha_{\text{em}}}{8\pi} \frac{a}{f_a} F\tilde{F} + \frac{\partial_\mu a}{2f_a} \bar{f}_i \gamma^\mu (C_{f_i f_j}^V + C_{f_i f_j}^A \gamma_5) f_j$$

- switch on all ALP couplings to leptons

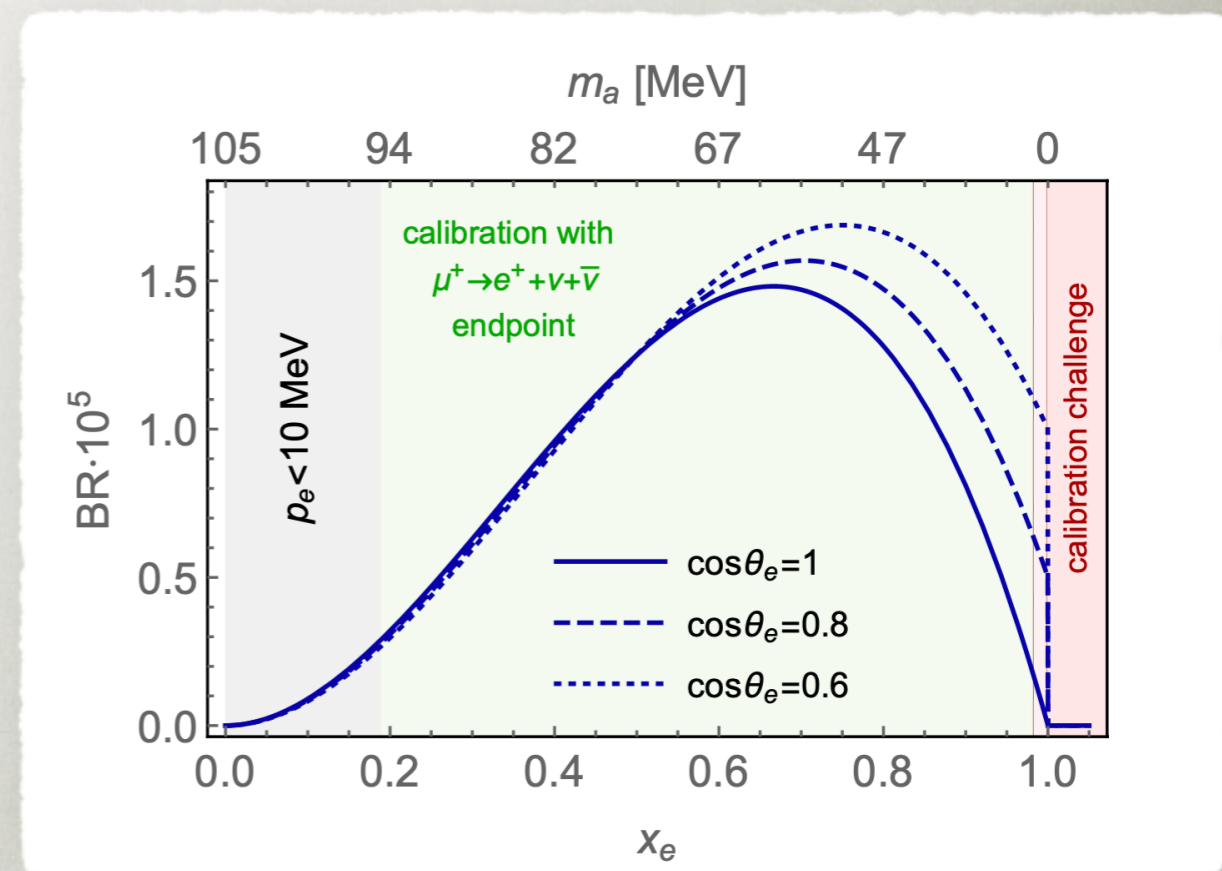
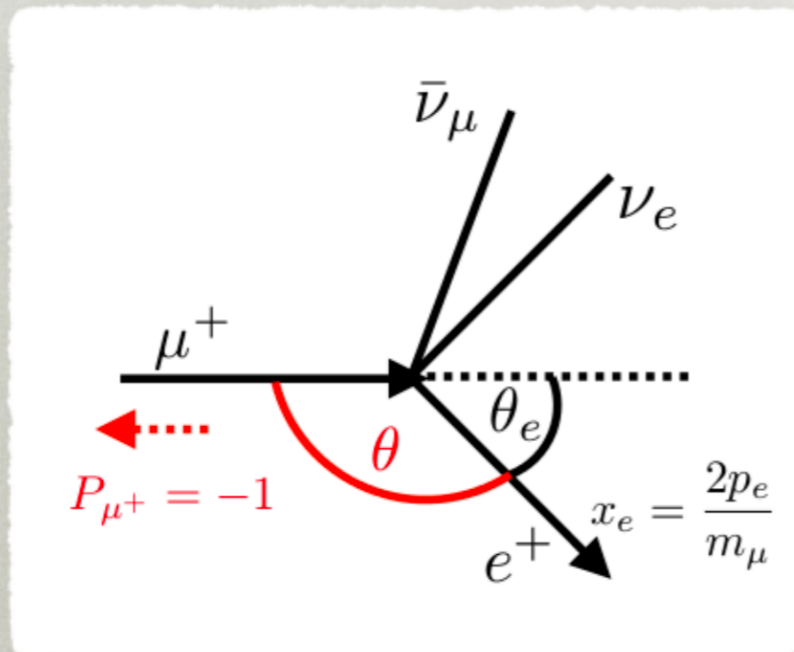
BOUNDS ON LEPTOPHILIC ALP



$\mu^+ \rightarrow e^+ a$ SEARCHES

- two types of searches for $\mu^+ \rightarrow e^+ a$ positron line
- suppress the SM bckg., $\mu \rightarrow e \nu \bar{\nu}$
 - use polarized muons $\langle P_\mu \rangle \simeq -1$, in the forward region SM suppressed
 - sensitive only to RH ALP

Jodidio et al. 1986

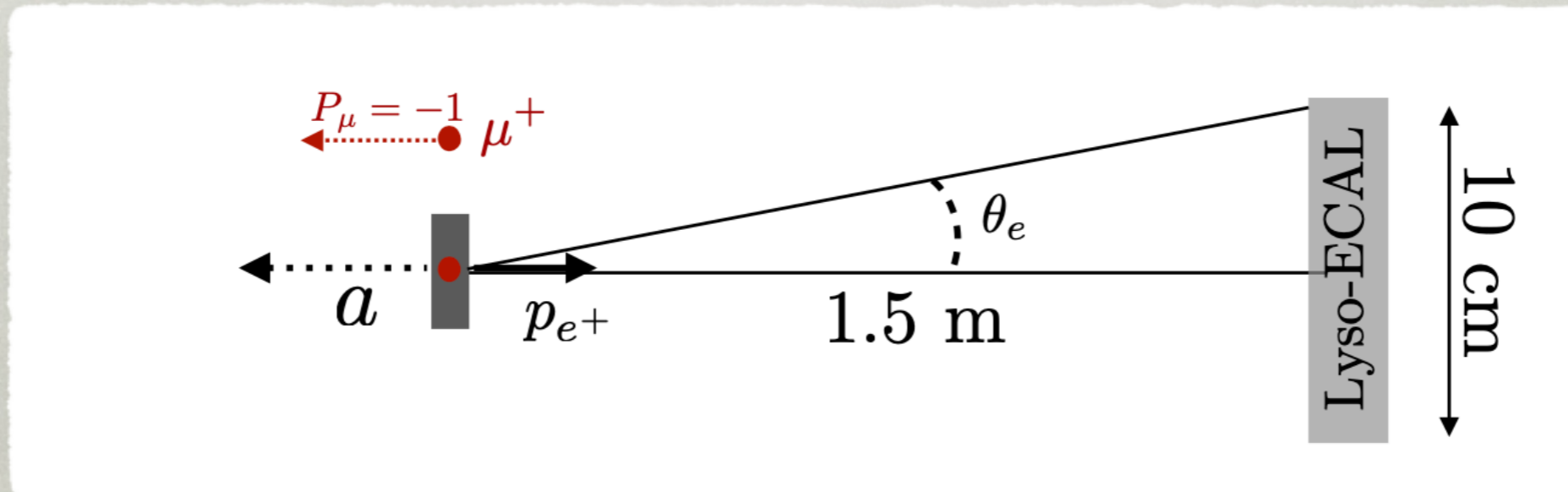


- do not suppress the SM, also sensitive to LH ALP, TWIST

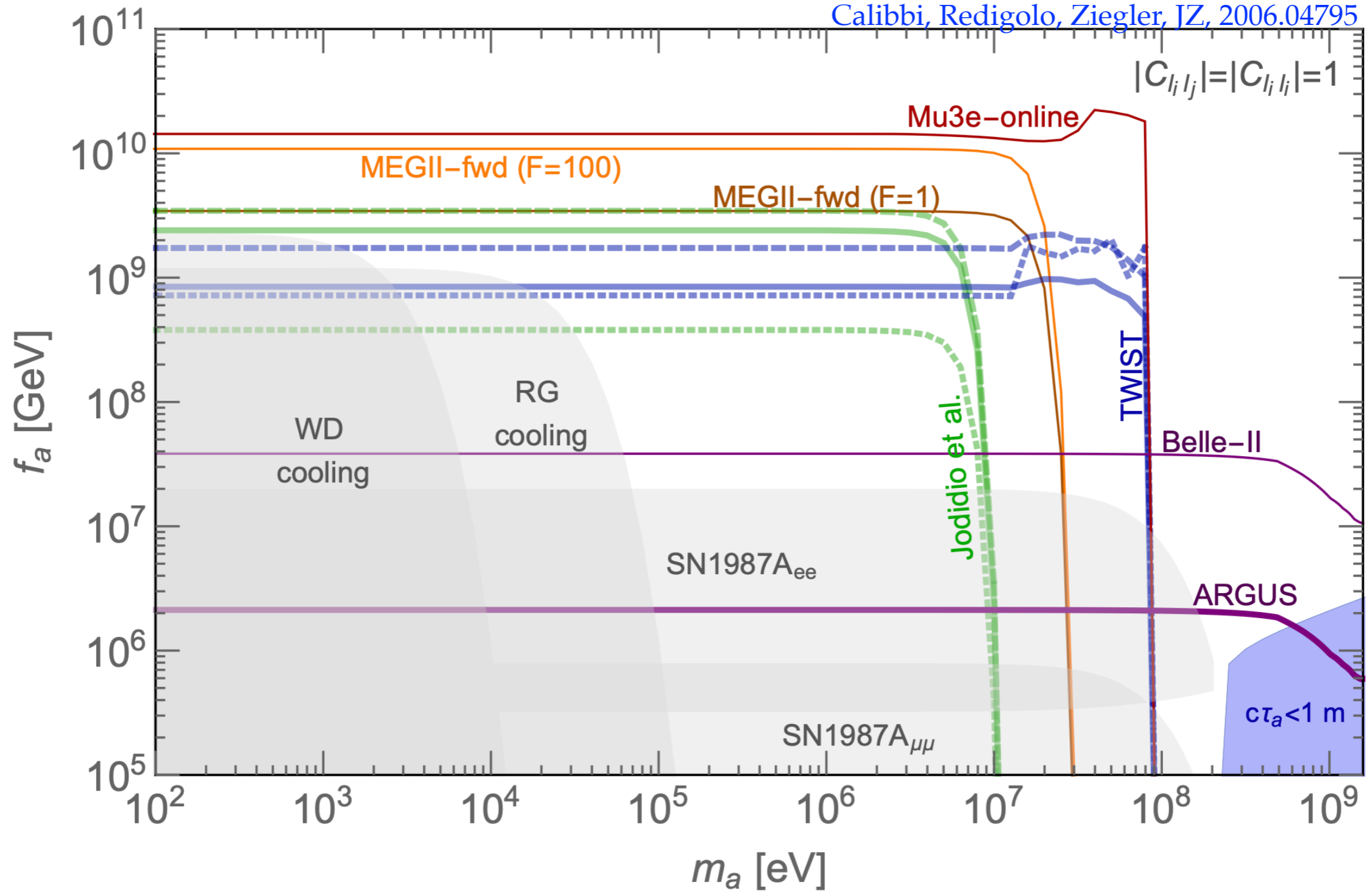
TWIST, 2015

MEGII-FWD

- MEGII could be repurposed for $\mu^+ \rightarrow e^+ a$ search
 \Rightarrow MEGII-fwd
 - already has polarized muons
 - place a Lyso ECAL downstream



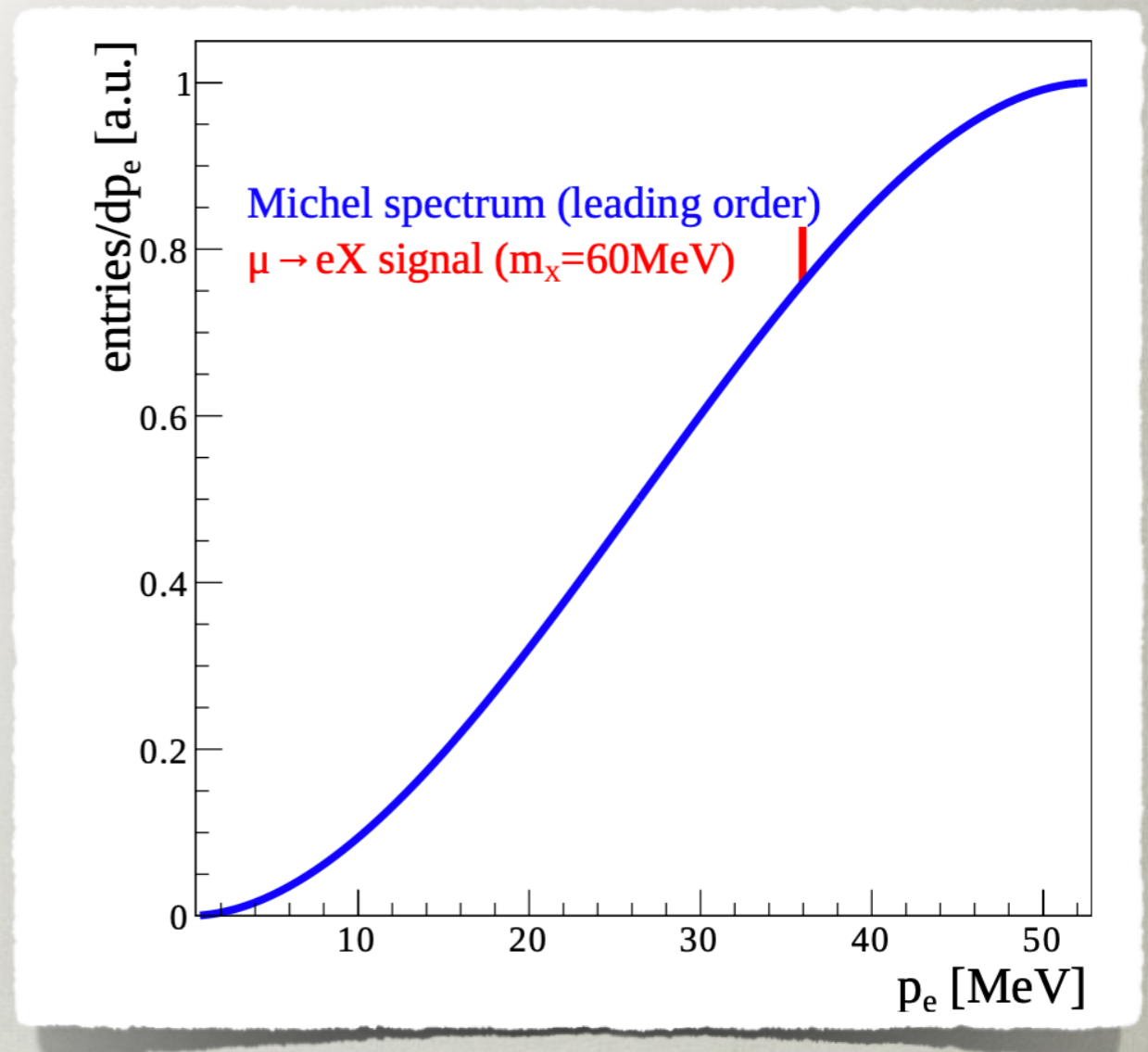
- two projections were shown for 2 weeks of running
 - no focusing, $F=1$, or gain from focusing, $F=100$



Mu3e-online

A.-K. Perrevoort, PhD thesis

- Mu3e-online: a dedicated search strategy at Mu3e
- online event reconstruction with "short tracks"
- bump hunt on Michel spectrum
 - sensitive to both LH and RH ALPs



ALPs IN TAU DECAYS

- for $\tau \rightarrow \ell a$ the challenge is the extra missing energy
 - $e^+e^- \rightarrow \tau^+(\rightarrow \ell^+ a)\tau^-(\rightarrow \rho^-\nu_\tau)$
- can only boost to pseudo-rest frame of tau
- current bound from ARGUS 1995

$$\text{BR}(\tau \rightarrow \mu a) < 4.5 \times 10^{-3} \quad (95\% \text{ C.L.}) \quad \Rightarrow \quad F_{\tau\mu} \gtrsim 3.3 \times 10^6 \text{ GeV}.$$

ARGUS, 1995

$$\text{Belle (1/ab) prospect: } \text{BR}(\tau \rightarrow \mu a) < 1.1 \times 10^{-4} \quad \Rightarrow \quad F_{\tau\mu} \gtrsim 2.1 \times 10^7 \text{ GeV}.$$

Belle, 2017

$$\text{Belle-II (50/ab) prospect: } \text{BR}(\tau \rightarrow \mu a) < 1.4 \times 10^{-5} \quad \Rightarrow \quad F_{\tau\mu} \gtrsim 5.6 \times 10^7 \text{ GeV}.$$

naive rescaling

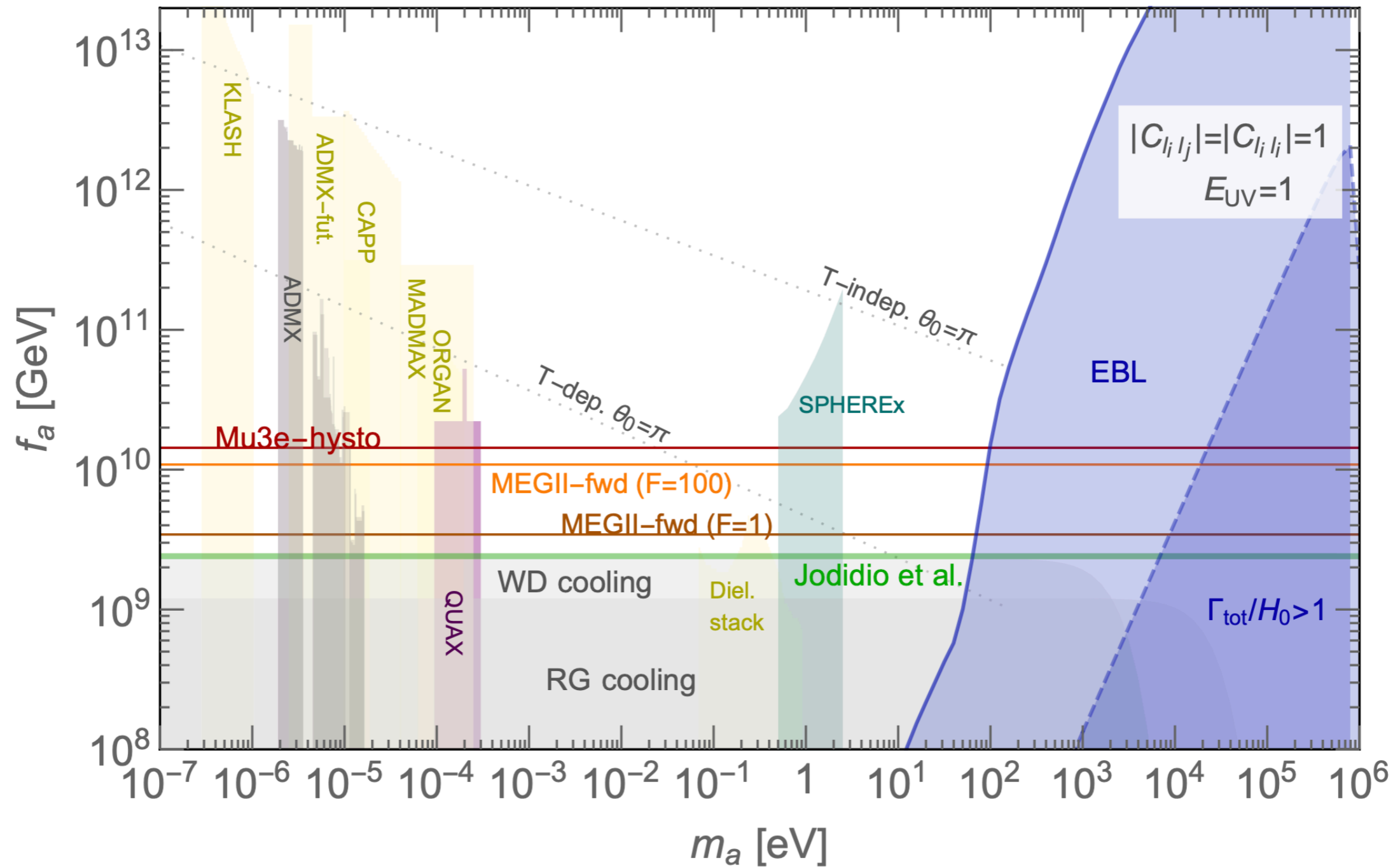
LFV ALP DARK MATTER

- 0-th order condition for ALP to be a DM: be stable on Hubble time
- assume $a \rightarrow \gamma\gamma$ dominates

$$\frac{H_0}{\Gamma_{\text{tot}}} = H_0\tau_a > 1, \quad \text{where} \quad H_0\tau_a \simeq 5.4 \left(\frac{1}{E_{\text{eff}}^2} \right)^2 \left(\frac{10 \text{ keV}}{m_a} \right)^3 \left(\frac{f_a}{10^{10} \text{ GeV}} \right)^2.$$

- if ALP is observed in a LFV process $\Rightarrow m_a \lesssim 10 \text{ keV}$
 - LFV experiments most sensitive for some m_a
 - need other experiments to confirm it is DM

$F_{\mu e}$



2

- LFV experiments most sensitive for some m_a
- need other experiments to confirm it is DM

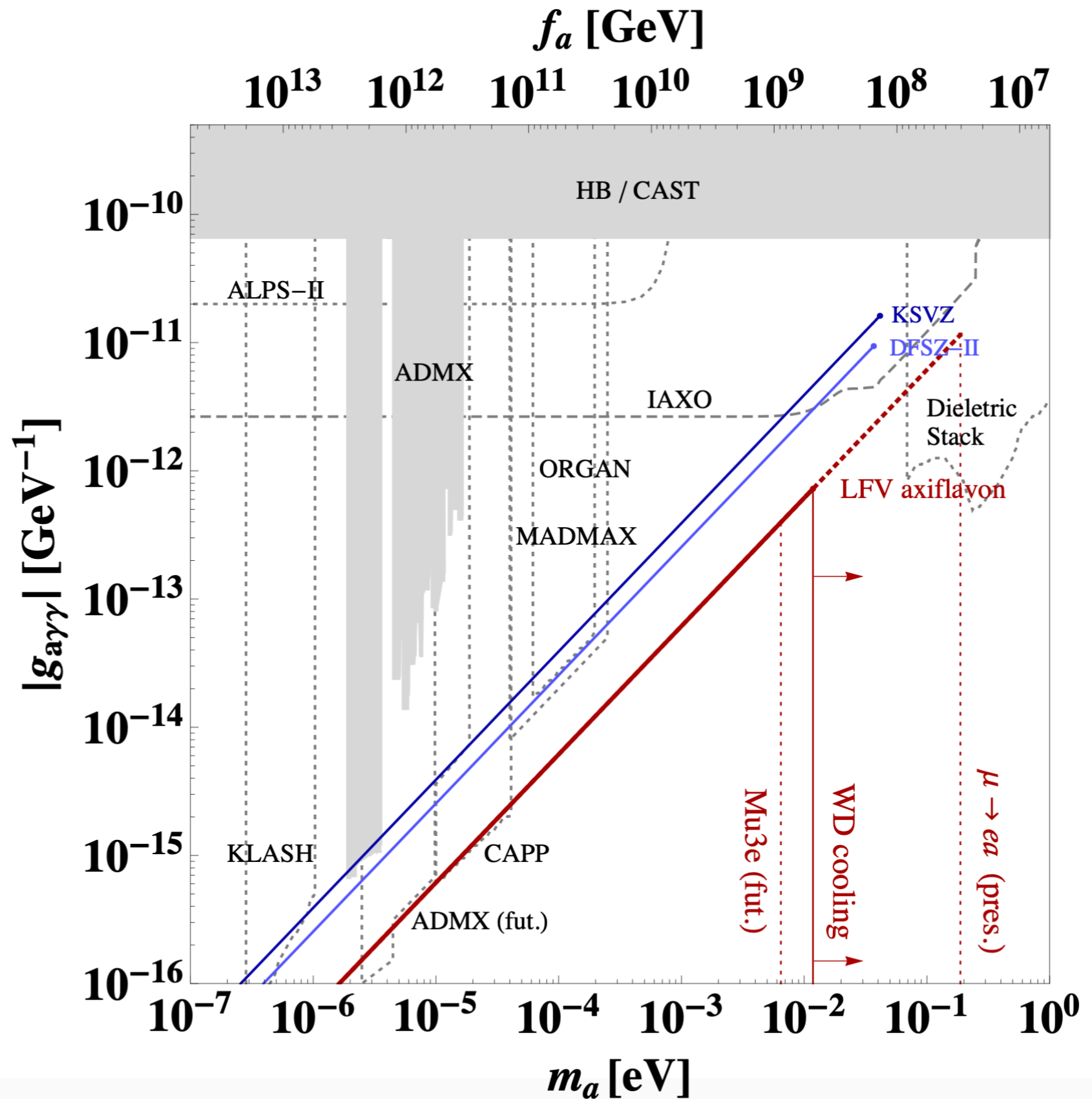
LFV AXIFLAVON

Calibbi, Redigolo, Ziegler, JZ, 2006.04795

see also, Linster, Ziegler, 1805.07341

- the PQ symmetry is part of $SU(2)_F \times U(1)_F$ flavor group
 - all FV couplings need to go through 3rd generation
 - for leptons 1-2 and 1-3 mixings are larger (in LH sector to reproduce PMNS matrix)
- \Rightarrow unlike minimal axiflavor, $K \rightarrow \pi a$ suppr.
 - the observation mode is $\mu \rightarrow ea$

- the PC flavor
- all H gen
- for (in)
- \Rightarrow un
- the

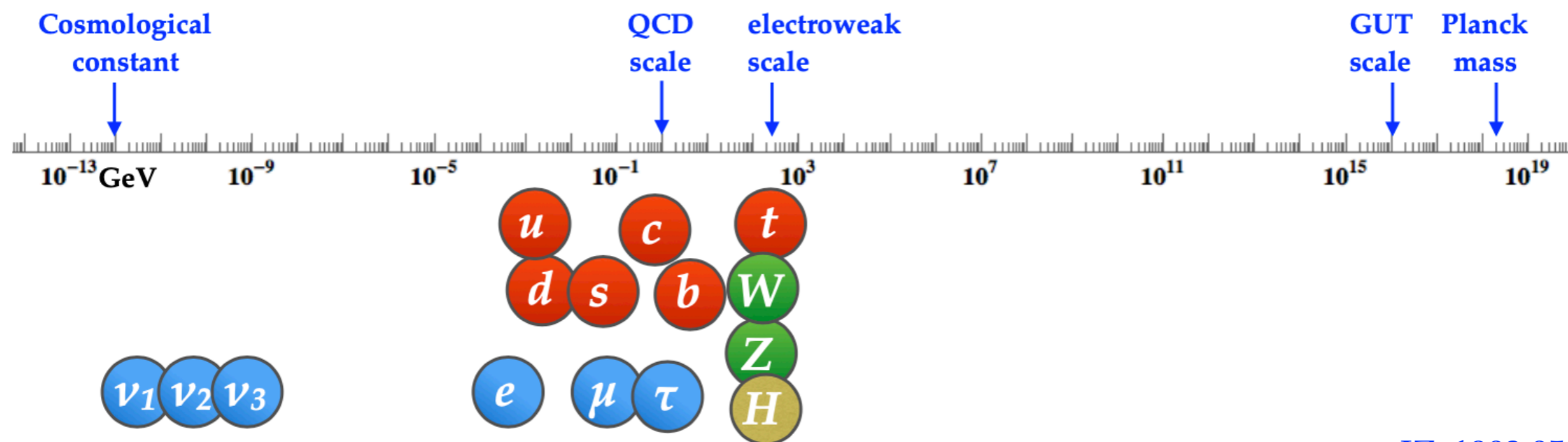


06.04795
05.07341

HIGGS AS A PROBE OF FLAVOR

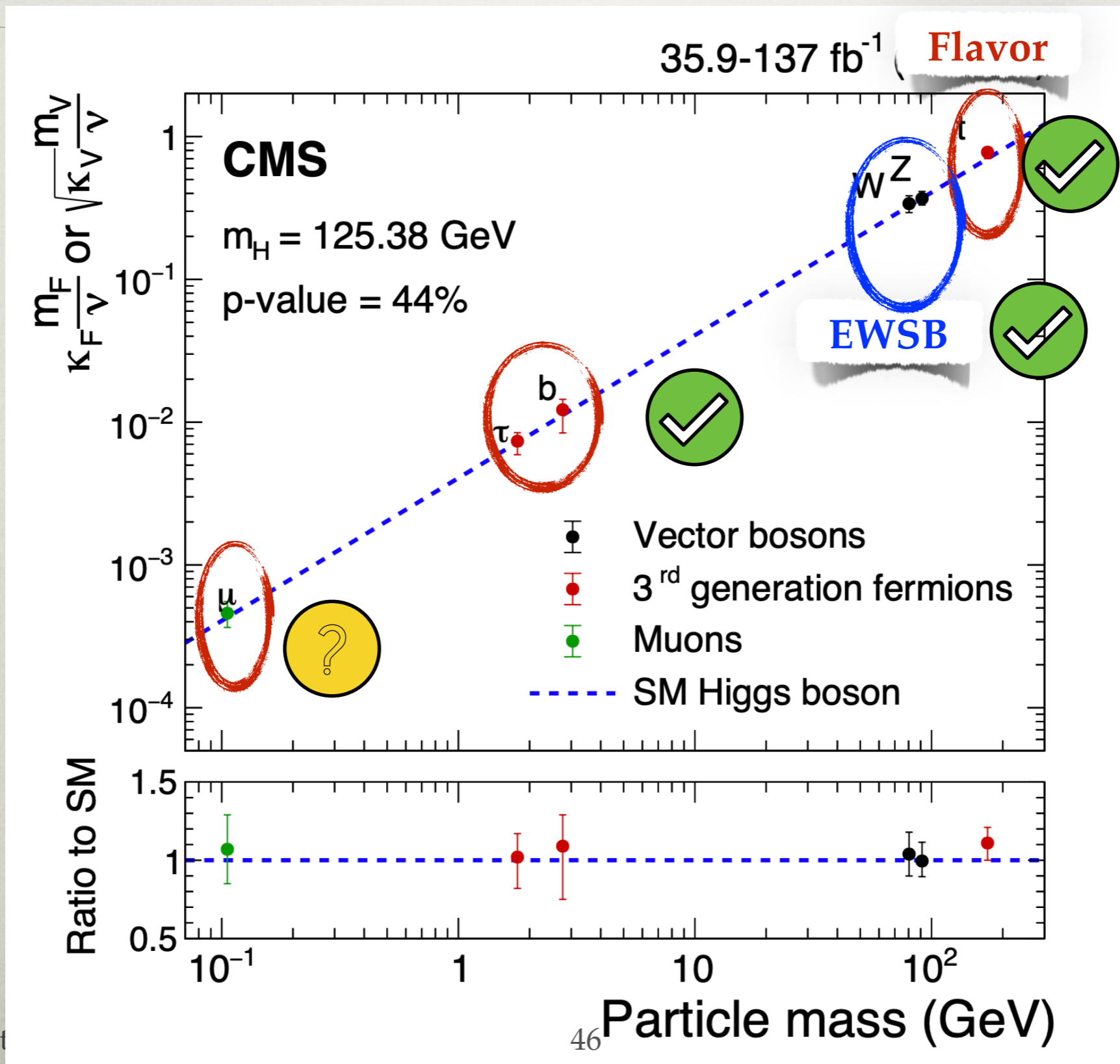
DUAL ROLE

- in the SM Higgs has a dual role
 - breaks electroweak symmetry and gives the masses to W, Z gauge bosons
 - same EWSB source gives the masses to the SM fermions
- how well have we tested this?



JZ, 1903.05062

DUAL ROLE OF THE HIGGS



SMEFT AND HEFT

- no sign of new physics at the LHC
 - assume it is heavy \Rightarrow integrate out, obtain EFTs
- SMEFT - uses EW symmetric phase, Higgs assumed to be EW doublet

$$\mathcal{L} \supset \lambda_{ij} (\bar{f}_L^i f_R^j) H - \frac{\lambda'_{ij}}{\Lambda^2} (\bar{f}_L^i f_R^j) H (H^\dagger H) + \dots$$

- HEFT - uses EW broken phase
 - κ framework: dim4 HEFT in unitary gauge

$$\mathcal{L} \supset -m_i \bar{f}_L^i f_R^i - Y_{ij} (\bar{f}_L^i f_R^j) h + \dots$$

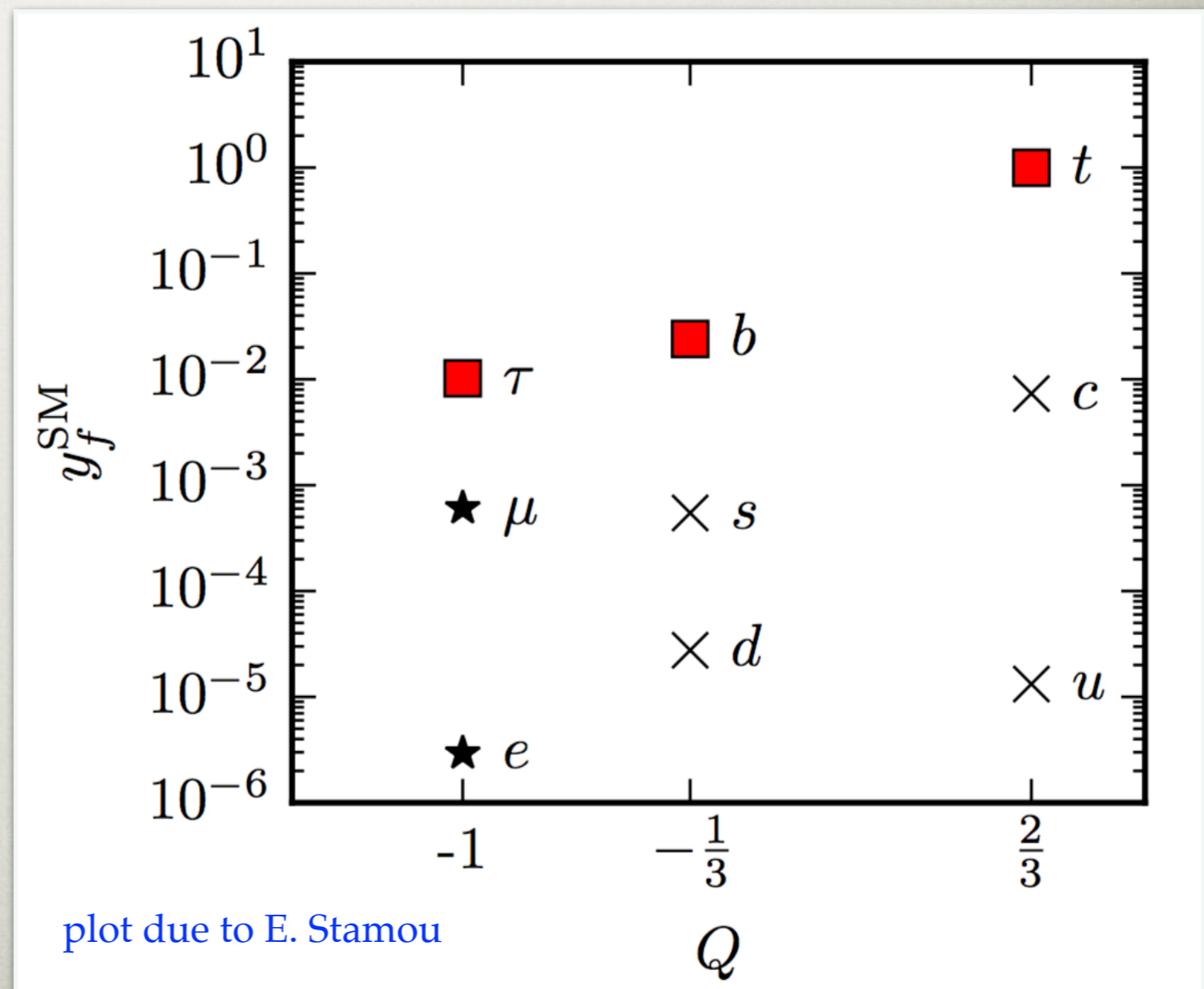
$$\mathcal{L}_{\text{eff},q} = -\kappa_q \frac{m_q}{v_W} \bar{q} q h - i\tilde{\kappa}_q \frac{m_q}{v_W} \bar{q} \gamma_5 q h - \left[(\kappa_{qq'} + i\tilde{\kappa}_{qq'}) \bar{q}_L q'_R h + \text{h.c.} \right],$$

HIGGS - A PROBE OF FLAVOR

- in the SM all flavor structure due to the Higgs Yukawa couplings

$$y_f = \sqrt{2}m_f/v$$

- implies Higgs has very hierarchical couplings to fermions
- clear experimental prediction



TESTING THE FLAVOR OF THE HIGGS

Nir, 1605.00433; JZ, 1903.05062

- several questions

- proportionality

$$y_{ii} \propto m_i$$

- factor of proportionality

$$y_{ii}/m_i = \sqrt{2}/v$$

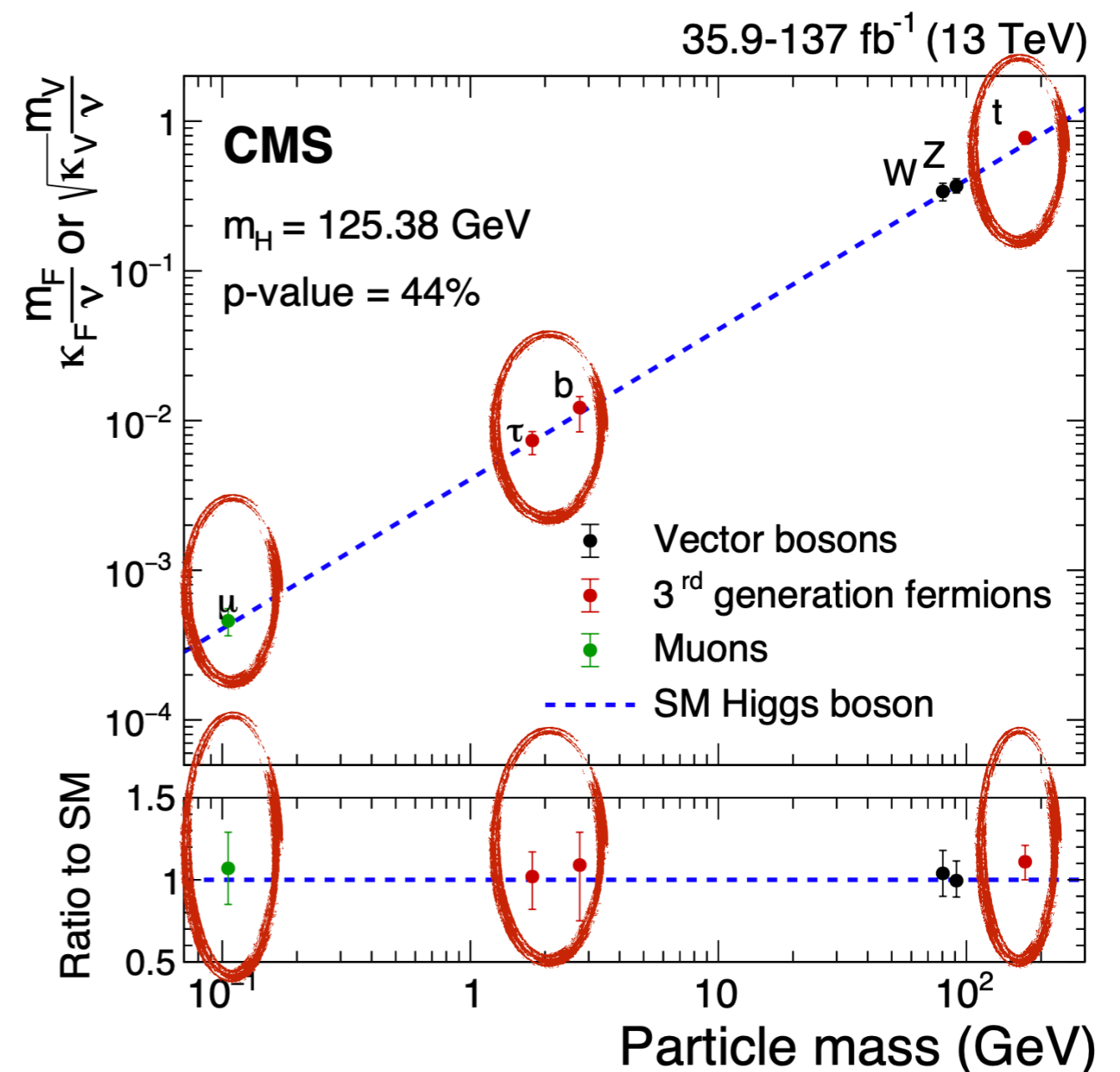
- diagonality (flavor violation)

$$y_{ij} = 0, \quad i \neq j$$

- reality (CP violation)

$$\text{Im}(y_{ij}) = 0$$

$$y_f^{\text{SM}} = \sqrt{2}m_f/v$$



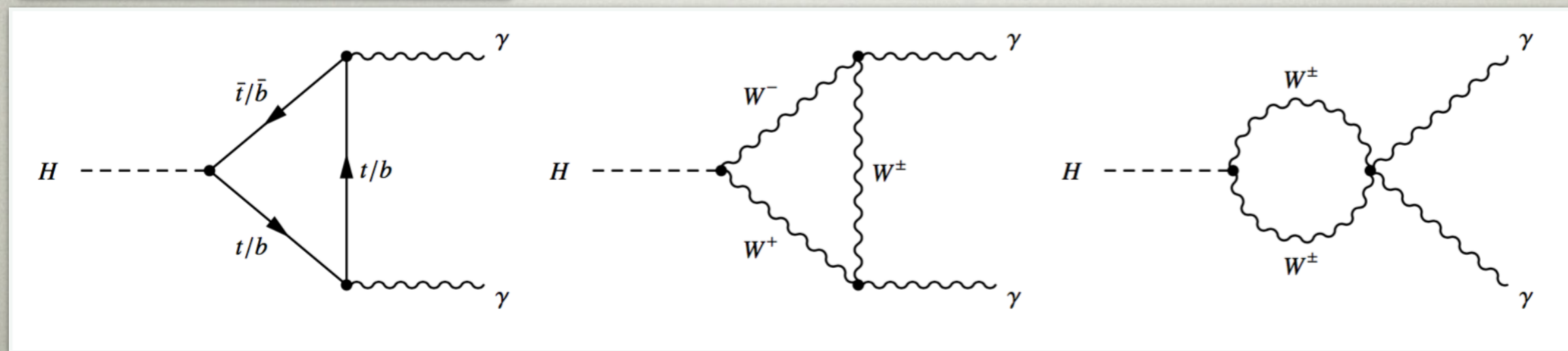
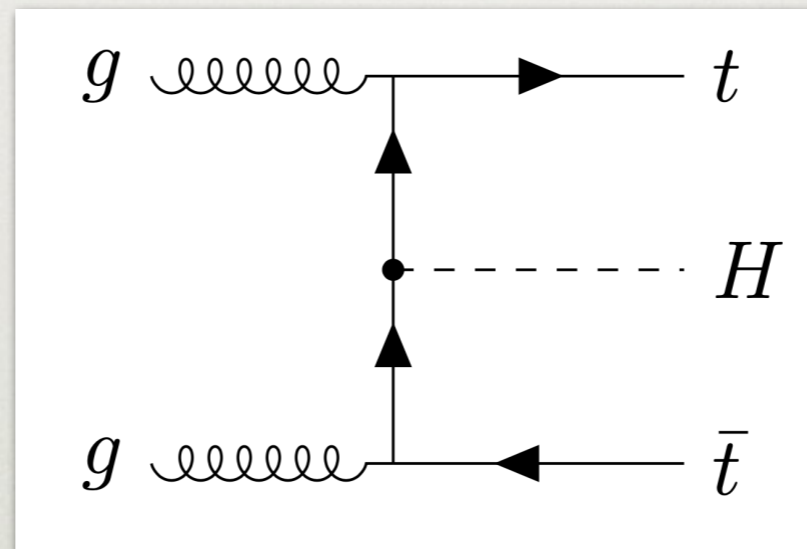
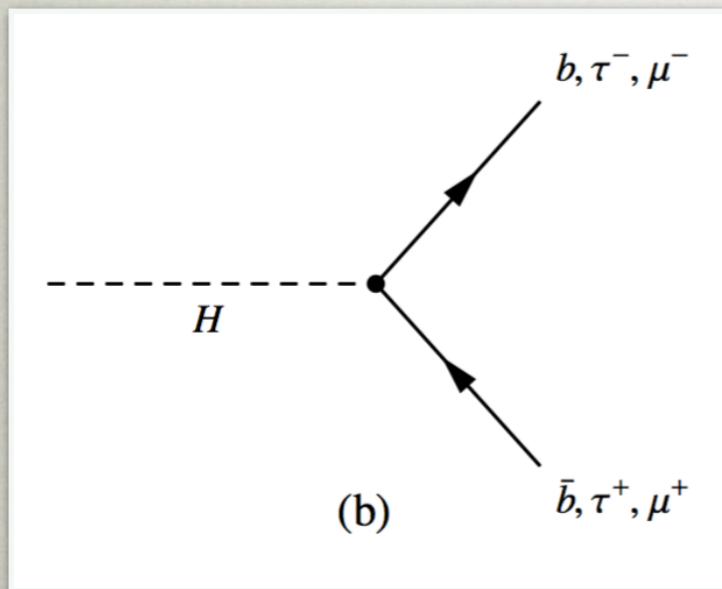
PROPORTIONALITY

- “proportionality” and “factor of proportionality”

$$y_{ii} \propto m_i$$

$$y_{ii}/m_i = \sqrt{2}/v$$

- reasonably well tested for 3rd generation fermions

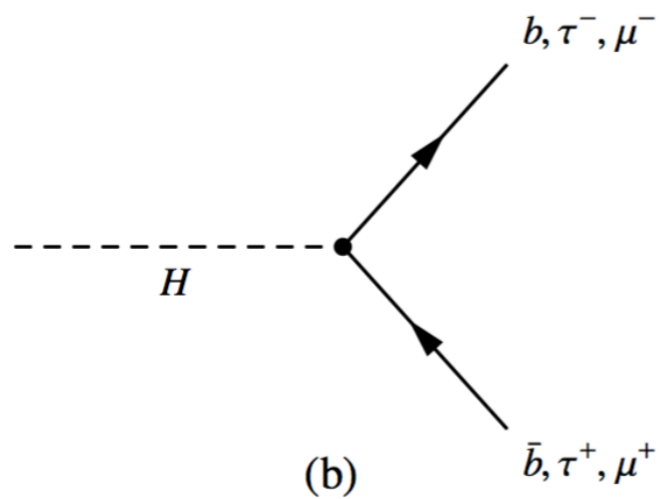


PROPOR

- “proportionality” and “

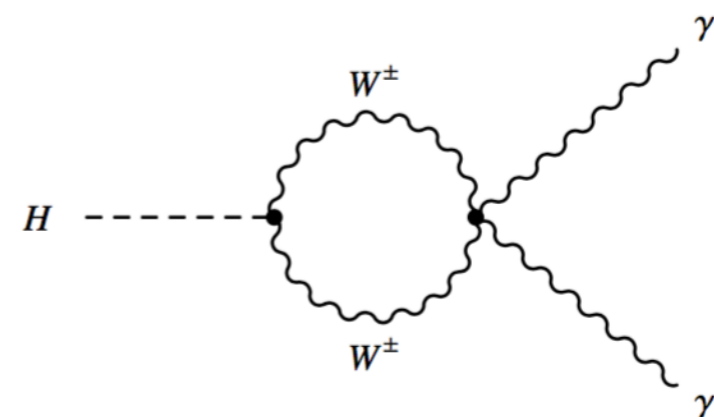
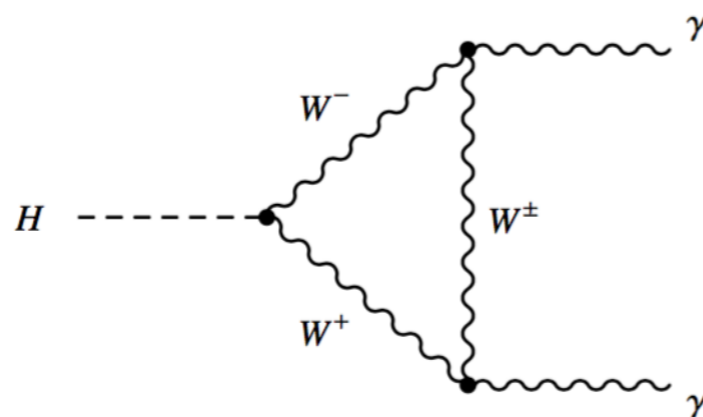
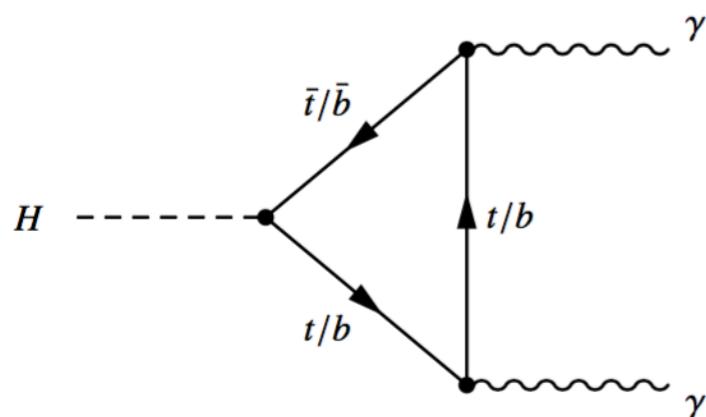
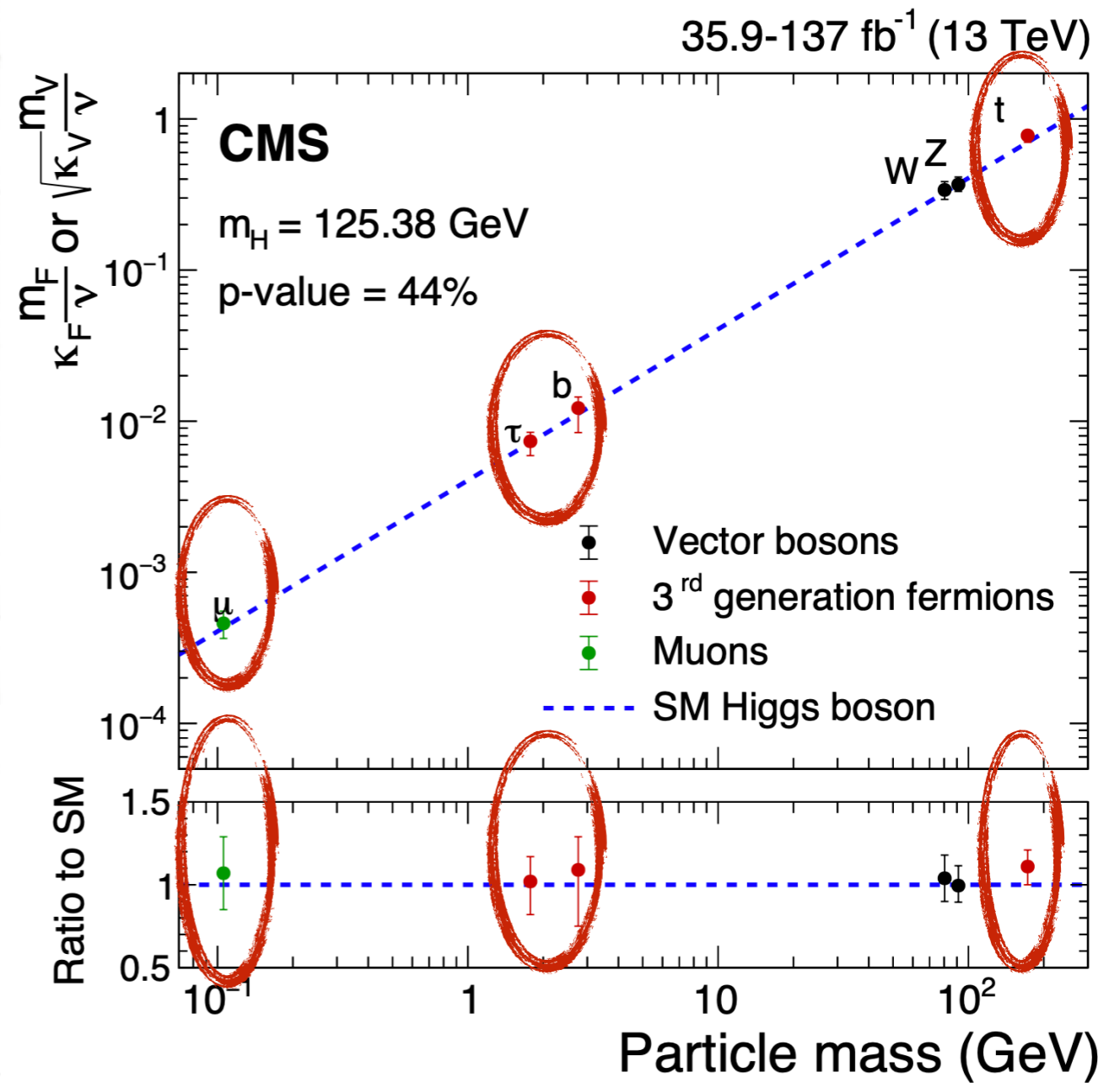
$$y_{ii} \propto m_i$$

- reasonably well tested

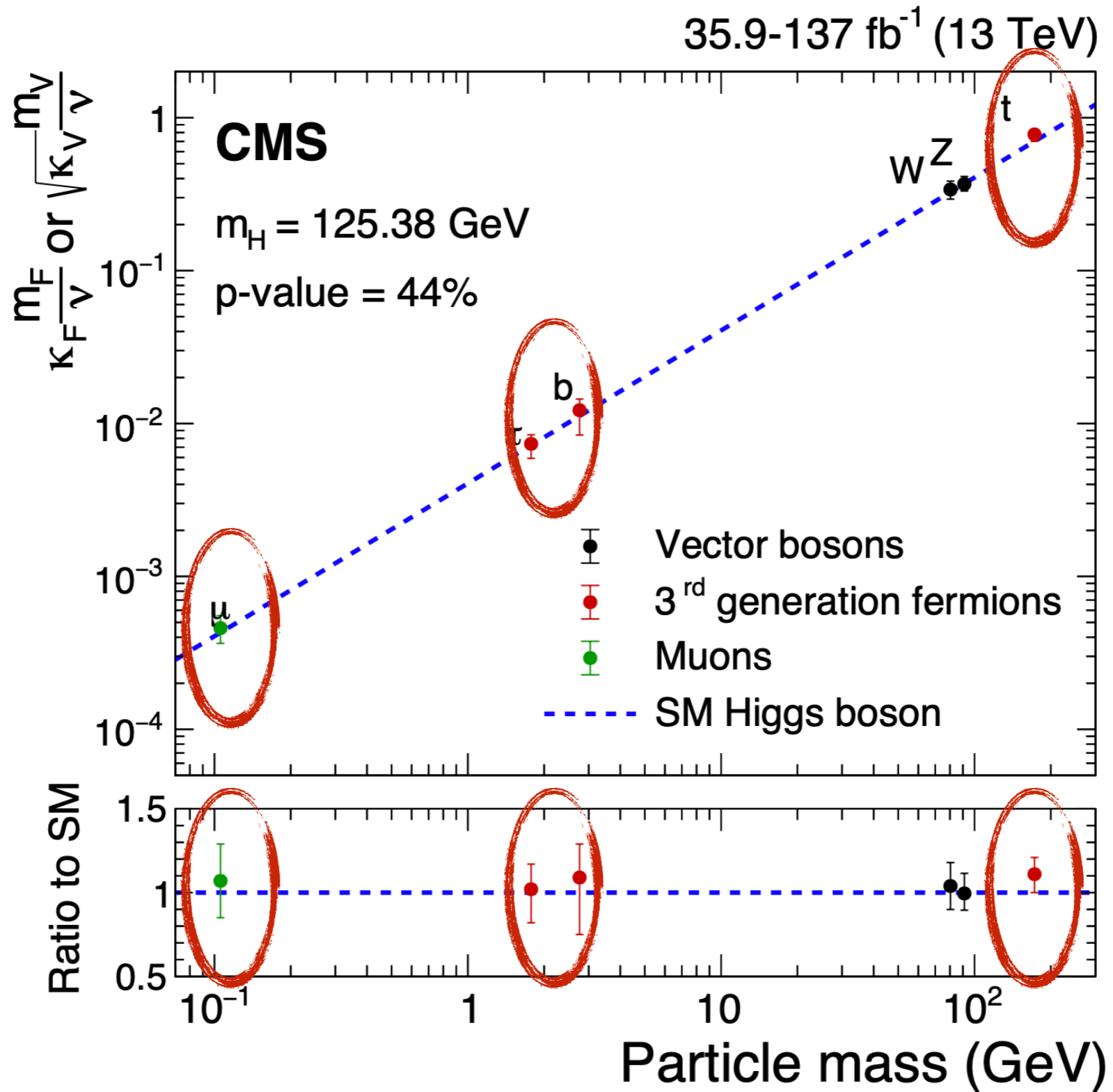


$g \ell \ell \ell$

$g \ell \ell \ell \ell$



TESTING FLAVOR OF THE HIGGS



HIERARCHICAL COUPLINGS?

- does Higgs couple to the first two generations?
 - tough: couplings are small
- more modest question: can we show that the couplings are hierarchical?
 - yes, but for quarks with some assumptions

The diagram shows three mathematical expressions for Higgs couplings, each with a handwritten arrow pointing to a label below it. The first expression is $\frac{Y_{e(\mu)}^{\text{exp}}}{Y_{\tau}^{\text{exp}}} < 0.22(0.10)$, with an arrow pointing to the label "direct measurements". The second expression is $\frac{Y_{u(c)}^{\text{exp}}}{Y_t^{\text{exp}}} \lesssim 0.04$, with an arrow pointing to the label "global fit". The third expression is $\frac{Y_{d(s)}^{\text{exp}}}{Y_t^{\text{exp}}} < 0.7(6)$, with an arrow pointing to the label " p_T distrib.". A horizontal line is drawn across the bottom of the three expressions.

$$\frac{Y_{e(\mu)}^{\text{exp}}}{Y_{\tau}^{\text{exp}}} < 0.22(0.10), \quad \frac{Y_{u(c)}^{\text{exp}}}{Y_t^{\text{exp}}} \lesssim 0.04, \quad \frac{Y_{d(s)}^{\text{exp}}}{Y_t^{\text{exp}}} < 0.7(6)$$

direct measurements global fit p_T distrib.

MUON YUKAWA

CMS, 2009.04363; ATLAS, 2007.07830

- CMS: evidence for nonzero SM muon Yukawa

$$\hat{\mu}_\mu|_{\text{CMS}} = 1.19 \pm 0.43, \quad \hat{\mu}_\mu|_{\text{ATLAS}} = 1.2 \pm 0.6$$

$$\Rightarrow \kappa_\mu = 1.09 \pm 0.16$$

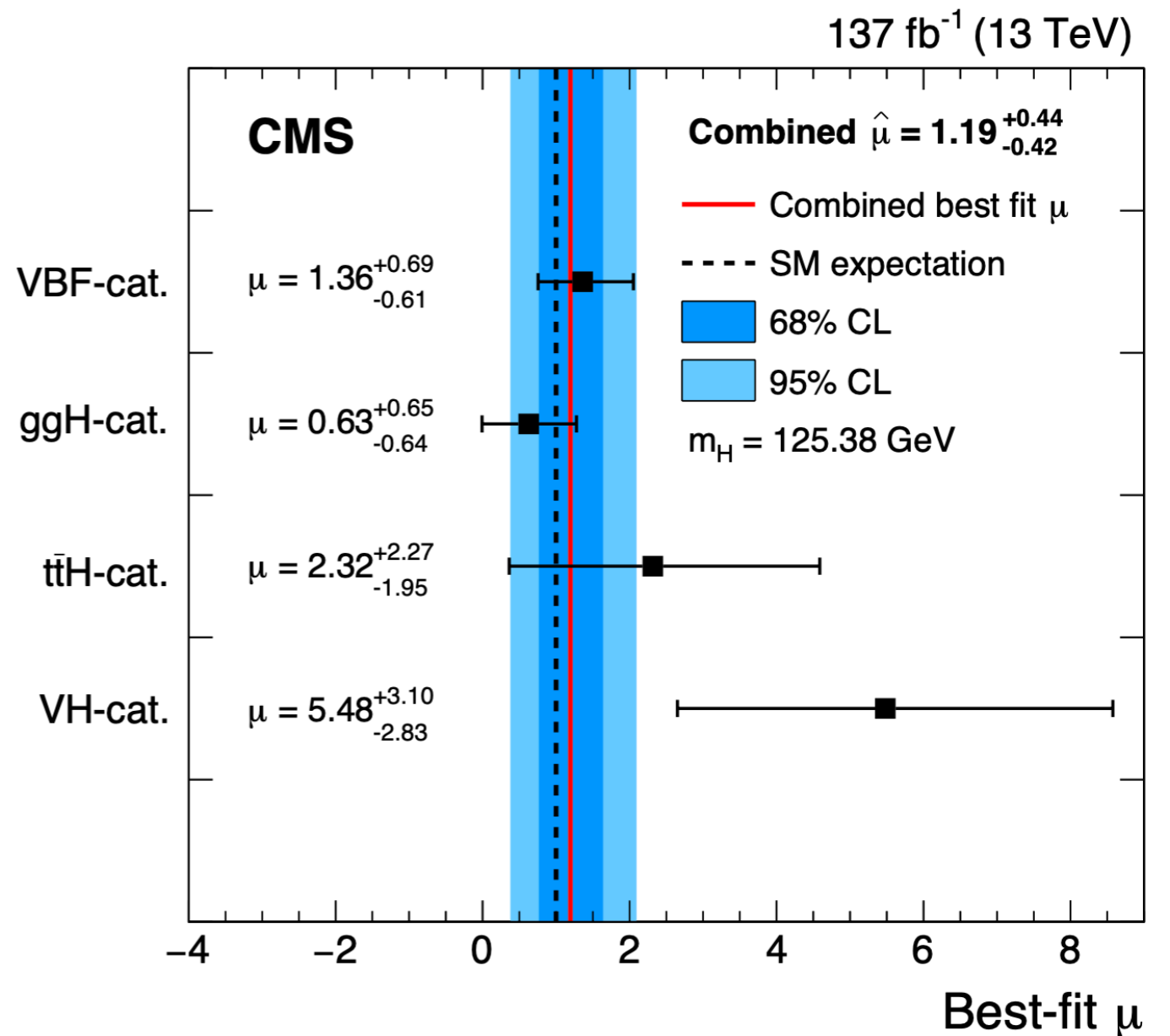
- a qualitative change following from this measurement:
 - implies that Higgs Yukawas span many orders of magnitudes
 - before: 2HDM would allow for $\mathcal{O}(1)$ 3rd generation Yukawas
 - 2nd generation could be from a completely new sector EWSB with $O(1)$ Yukawa
 - note: EWSB vev required for m_μ is only $\sim 100\text{MeV}$
 - caveat: still possible this is the case within present exp. errors

MUON

- CMS: evidence for nonzero

$$\hat{\mu}_\mu |_{\text{CMS}} = 1.19 \pm 0.43,$$

$$\Rightarrow \kappa_\mu = 1.09$$
- a qualitative change follows
 - implies that Higgs Yukawa
 - before: 2HDM would a



- 2nd generation could be from a completely new sector EWSB with O(1) Yukawa
- note: EWSB vev required for m_μ is only $\sim 100 \text{ MeV}$
- caveat: still possible this is the case within present exp. errors

MUON YUKAWA

CMS, 2009.04363; ATLAS, 2007.07830

- CMS: evidence for nonzero SM muon Yukawa

$$\hat{\mu}_\mu|_{\text{CMS}} = 1.19 \pm 0.43, \quad \hat{\mu}_\mu|_{\text{ATLAS}} = 1.2 \pm 0.6$$

$$\Rightarrow \kappa_\mu = 1.09 \pm 0.16$$

- a qualitative change following from this measurement:
 - implies that Higgs Yukawas span many orders of magnitudes
 - before: 2HDM would allow for $\mathcal{O}(1)$ 3rd generation Yukawas
 - 2nd generation could be from a completely new sector EWSB with $O(1)$ Yukawa
 - note: EWSB vev required for m_μ is only $\sim 100\text{MeV}$
 - caveat: still possible this is the case within present exp. errors

FLAVOR VIOLATING HIGGS COUPLINGS

FLAVOR VIOLATING COUPLINGS

- in the SM Higgs couplings flavor diagonal
- discovering flavor violating couplings mean New Physics
- for charged lepton final states accessible directly
 - from $h \rightarrow \tau\mu, h \rightarrow \tau e$

INDIRECT BOUNDS ON $h \rightarrow \tau\mu$

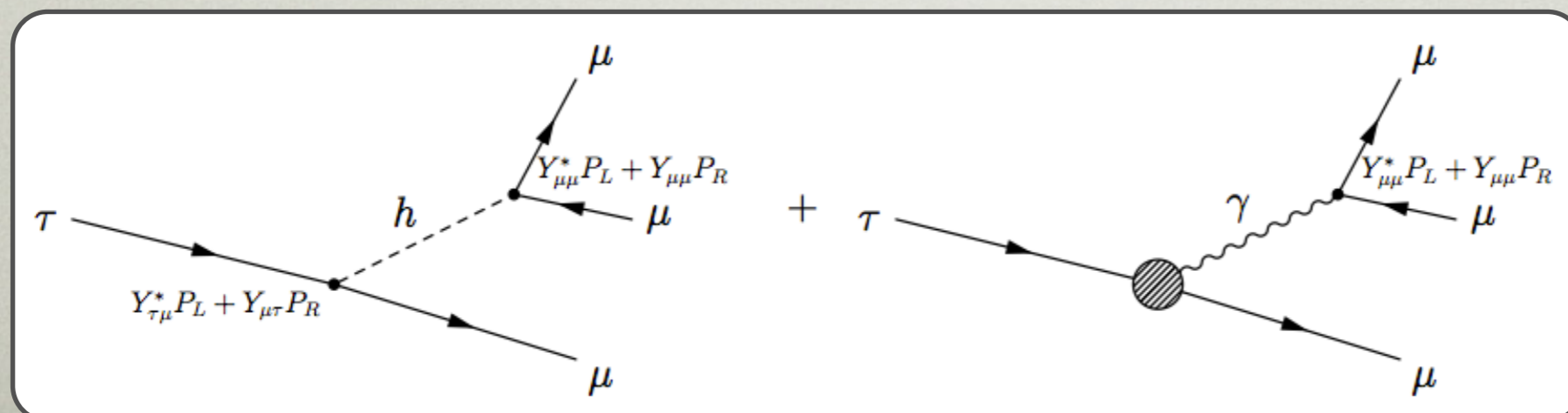
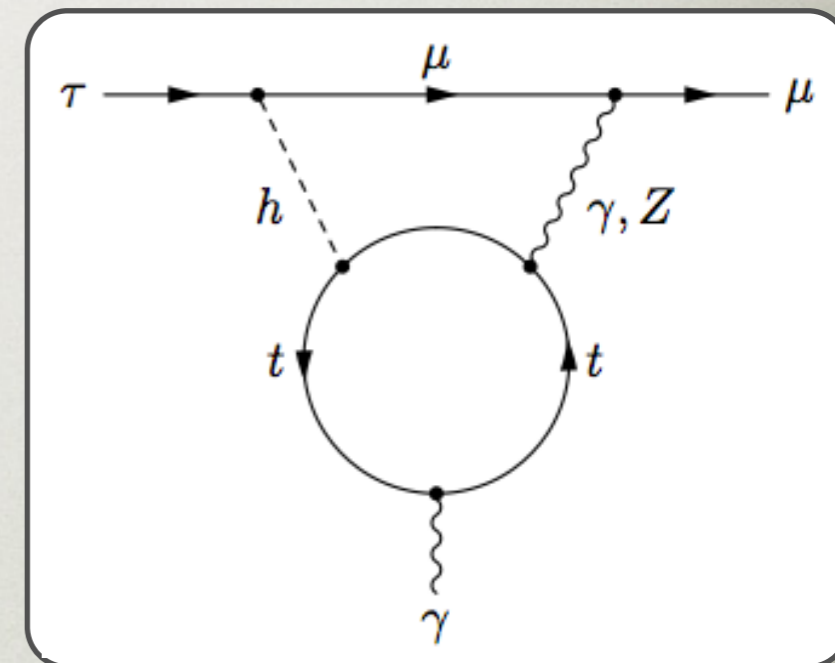
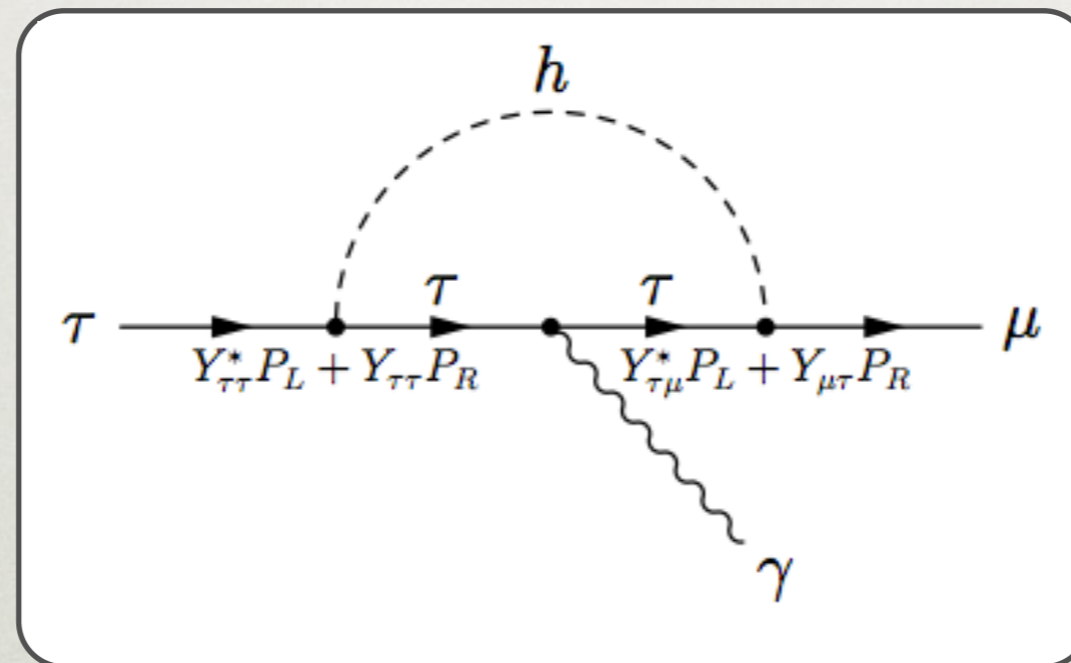
Harnik, Kopp, JZ, 1209.1397

see also Blankenburg, Ellis, Isidori, 1202.5704

- indirect bounds from charged lepton FCNC transitions

- $\tau \rightarrow \mu\gamma$

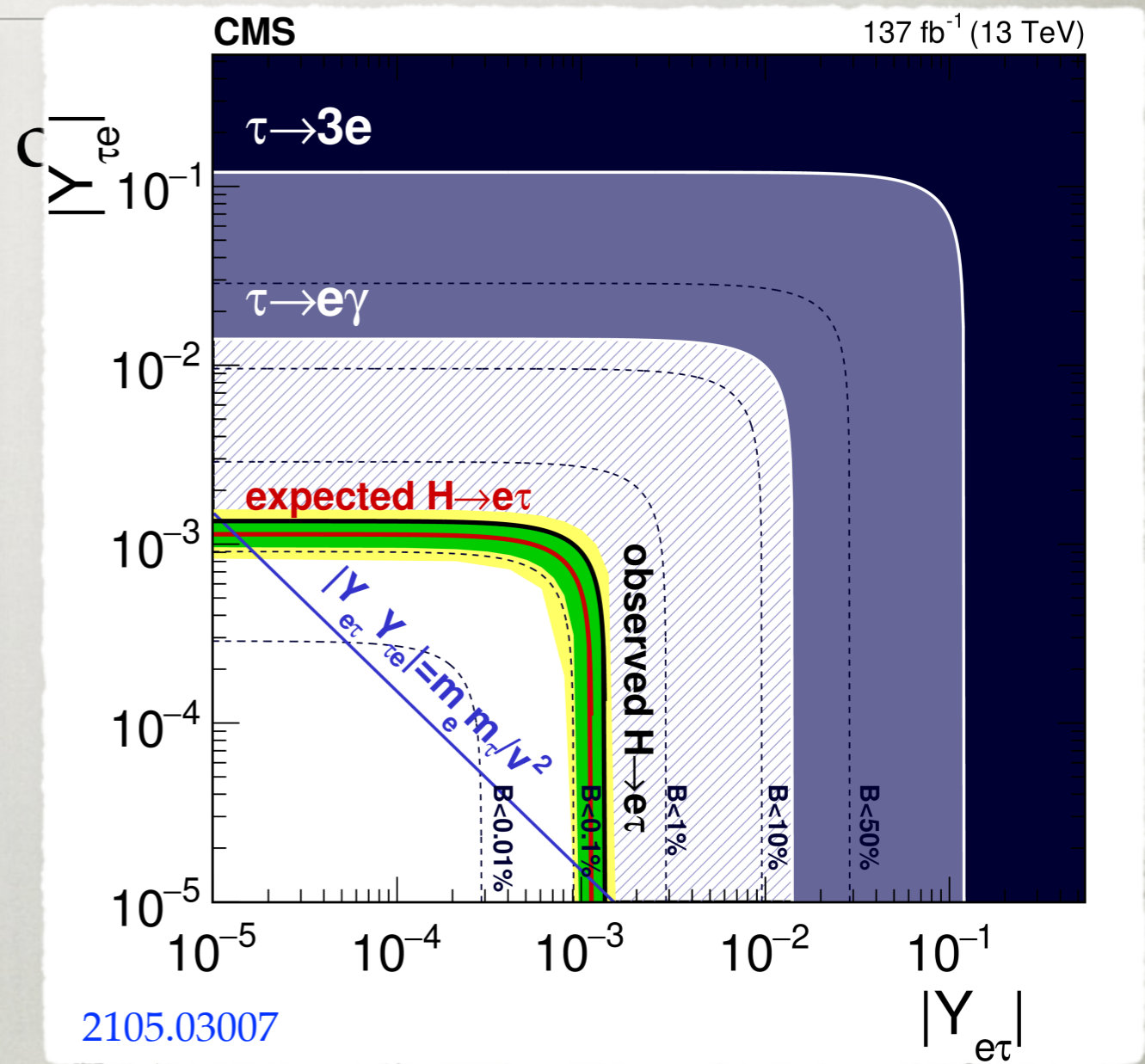
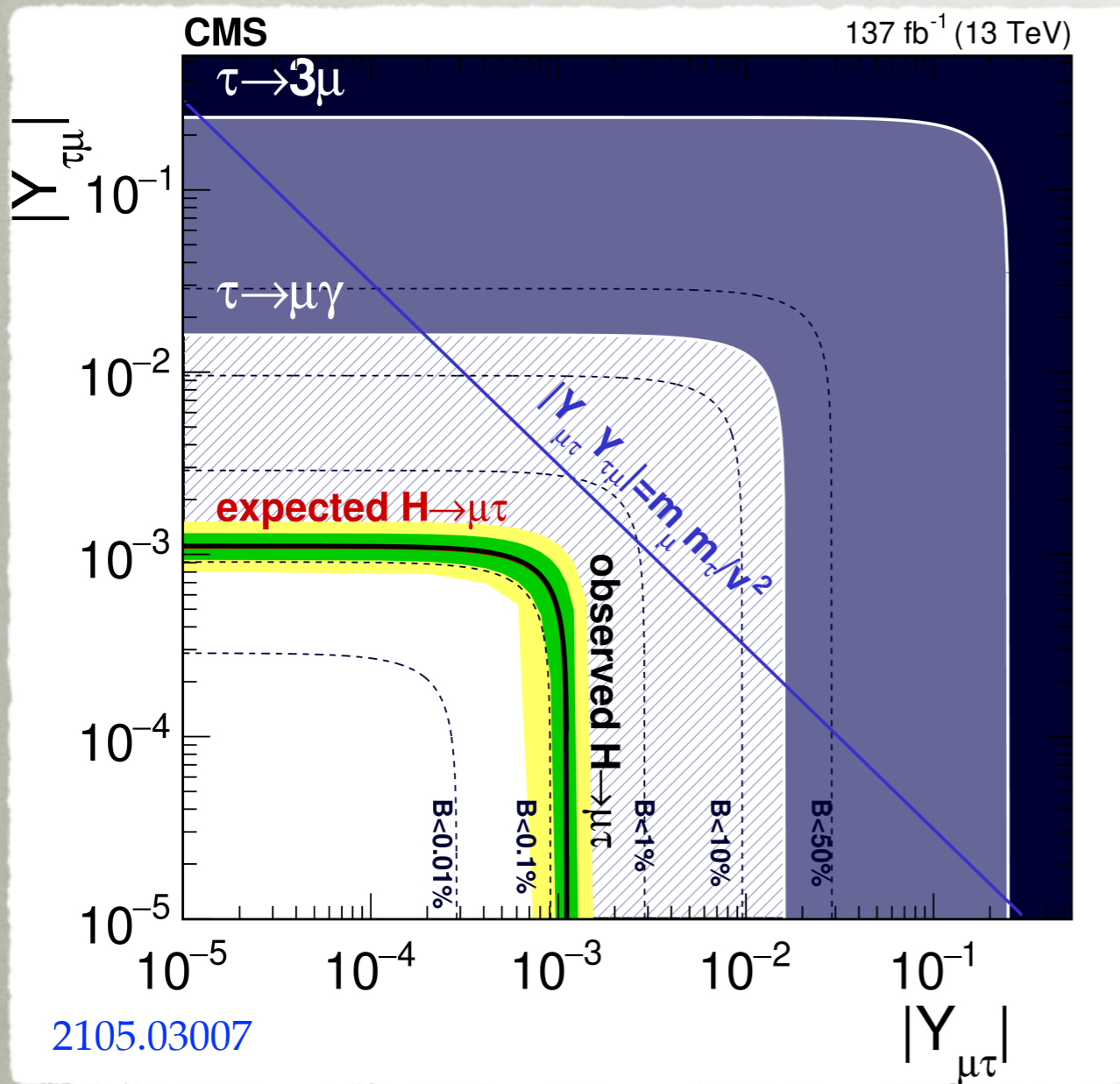
- $\tau \rightarrow 3\mu$



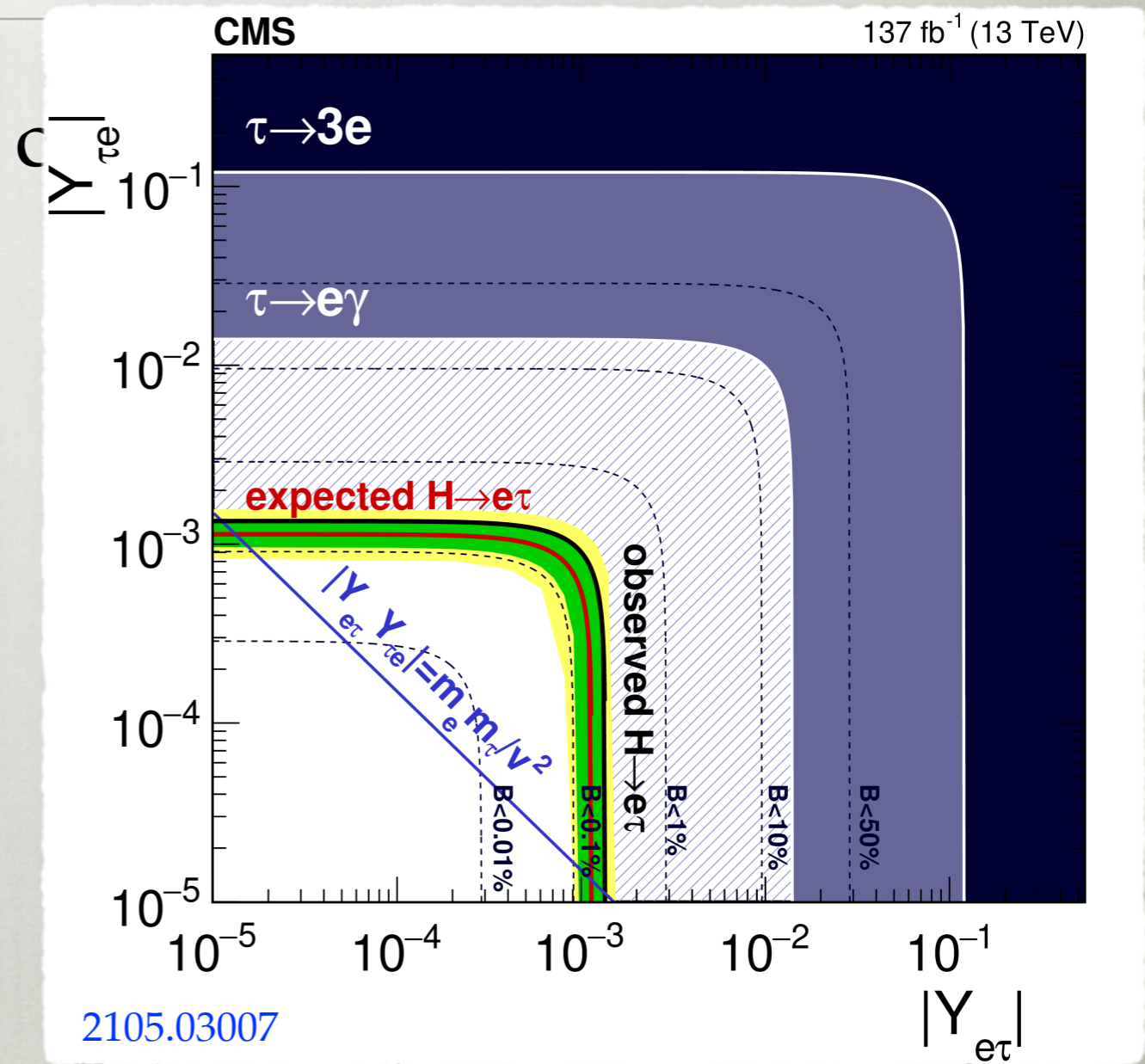
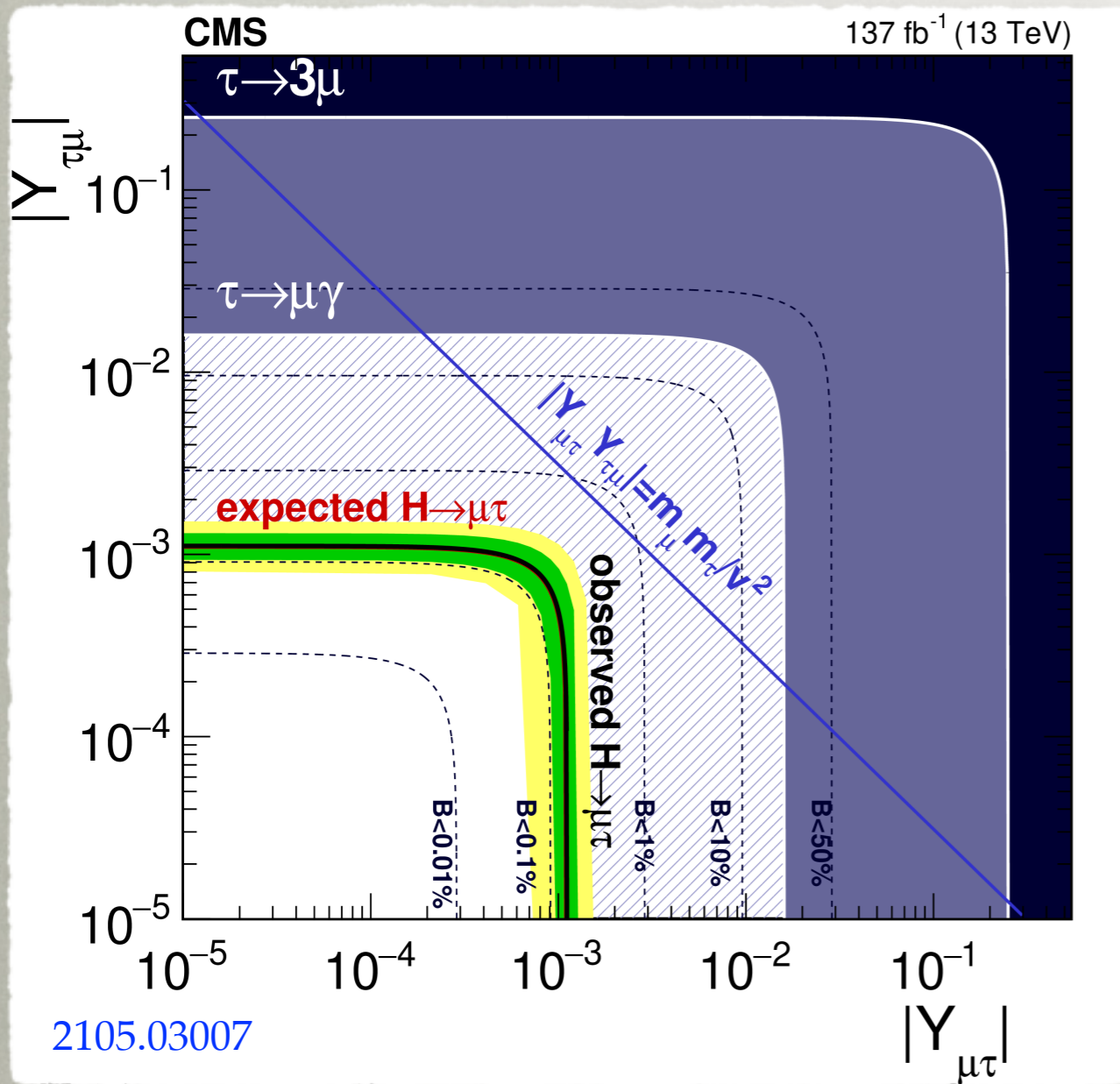
FLAVOR VIOLATING COUPLINGS

- accessible directly for charged lepton final states
 - from $h \rightarrow \tau\mu$, $h \rightarrow \tau e$

FLAVOR VIOLATING COUPLINGS



FLAVOR VIOLATING COUPLINGS



$$Y_{ij} = \frac{m_i}{v} \delta_{ij} + \frac{v^2}{\sqrt{2}\Lambda^2} \hat{\lambda}_{ij}$$

$$\Lambda_{\mu\tau} > 5.5 \text{ TeV}$$

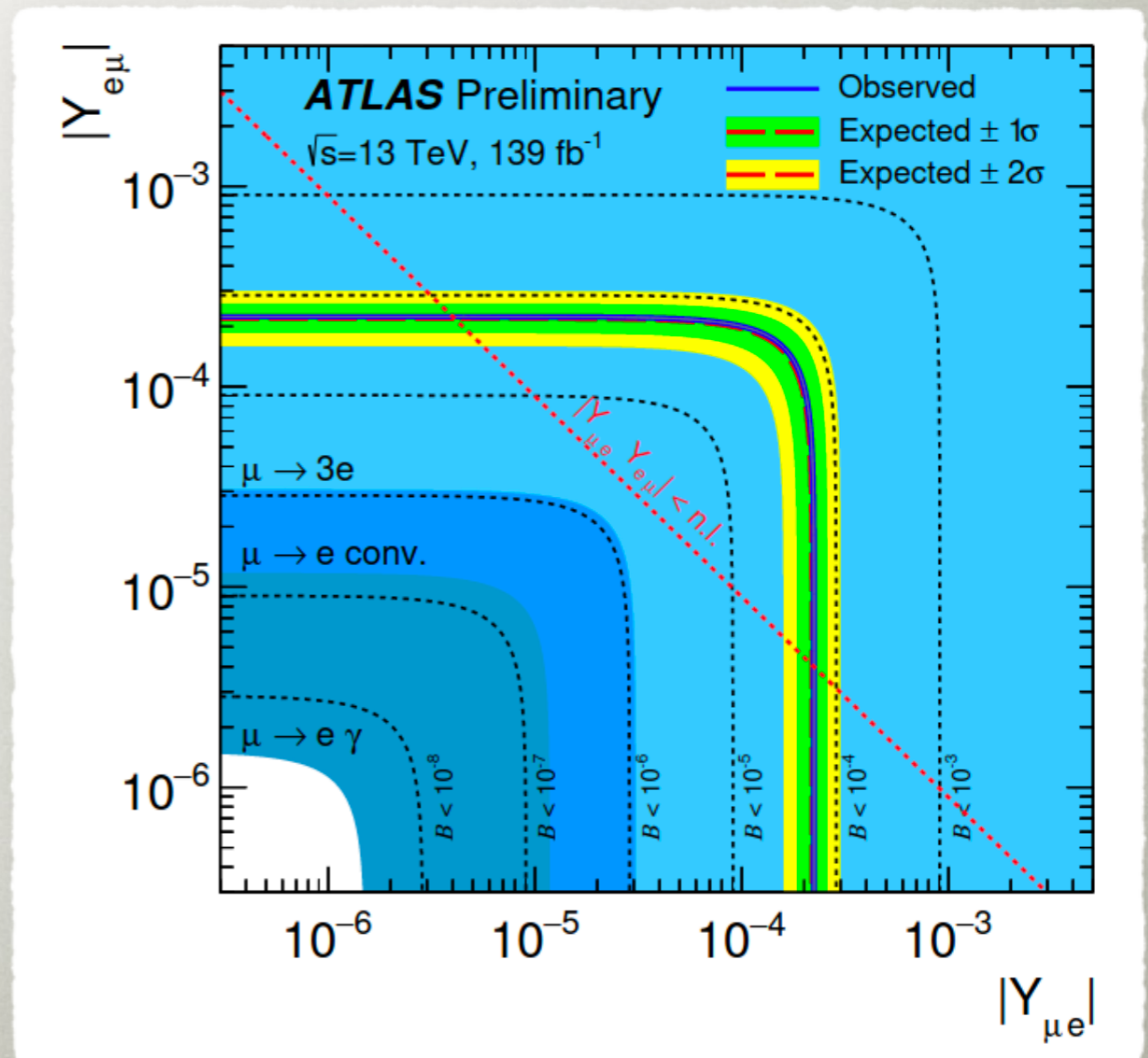
$$\Lambda_{e\tau} > 4.4 \text{ TeV}$$

for $\hat{\lambda}_{ij} = 1$

INDIRECT BOUNDS ON $h \rightarrow e\mu$

Harnik, Kopp, JZ, 1209.1397

- indirect bounds especially severe for $h \rightarrow e\mu$
- $Br(h \rightarrow e\mu) < 10^{-8}$ required to surpass the bound from $Br(\mu \rightarrow e\gamma)$
- caveat: could be cancellations in the loop



CP VIOLATING COUPLINGS

CLV HIGGS AND EDMS

- the notation

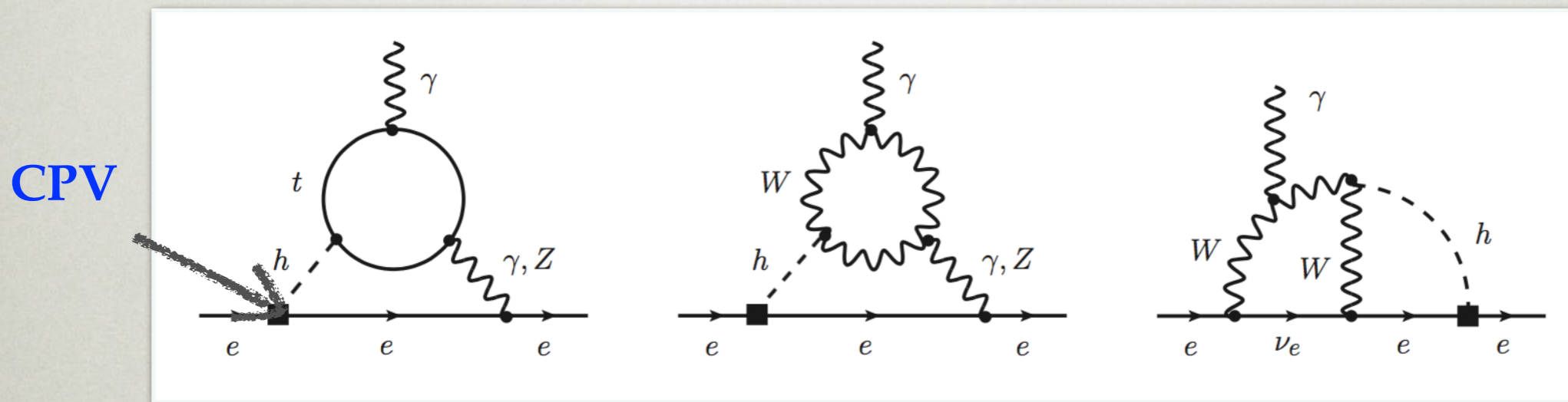
$$\mathcal{L} \supset -\frac{y_f}{\sqrt{2}} (\kappa_f \bar{f} f + i\tilde{\kappa}_f \bar{f} \gamma_5 f) h$$

- strong constraints from eEDM
- also from nEDM once all hadronic matrix elements available from Lattice QCD
- linear scaling with improvement on EDMs
- connections with baryogenesis

ELECTRON YUKAWA

- $\tilde{\kappa}_e \neq 0$ induces electron EDM
- dominant contributions at 2-loop

Altmannshofer, Brod, Schmaltz, 1503.04830



- experimental bound [ACME coll., 2018](#)

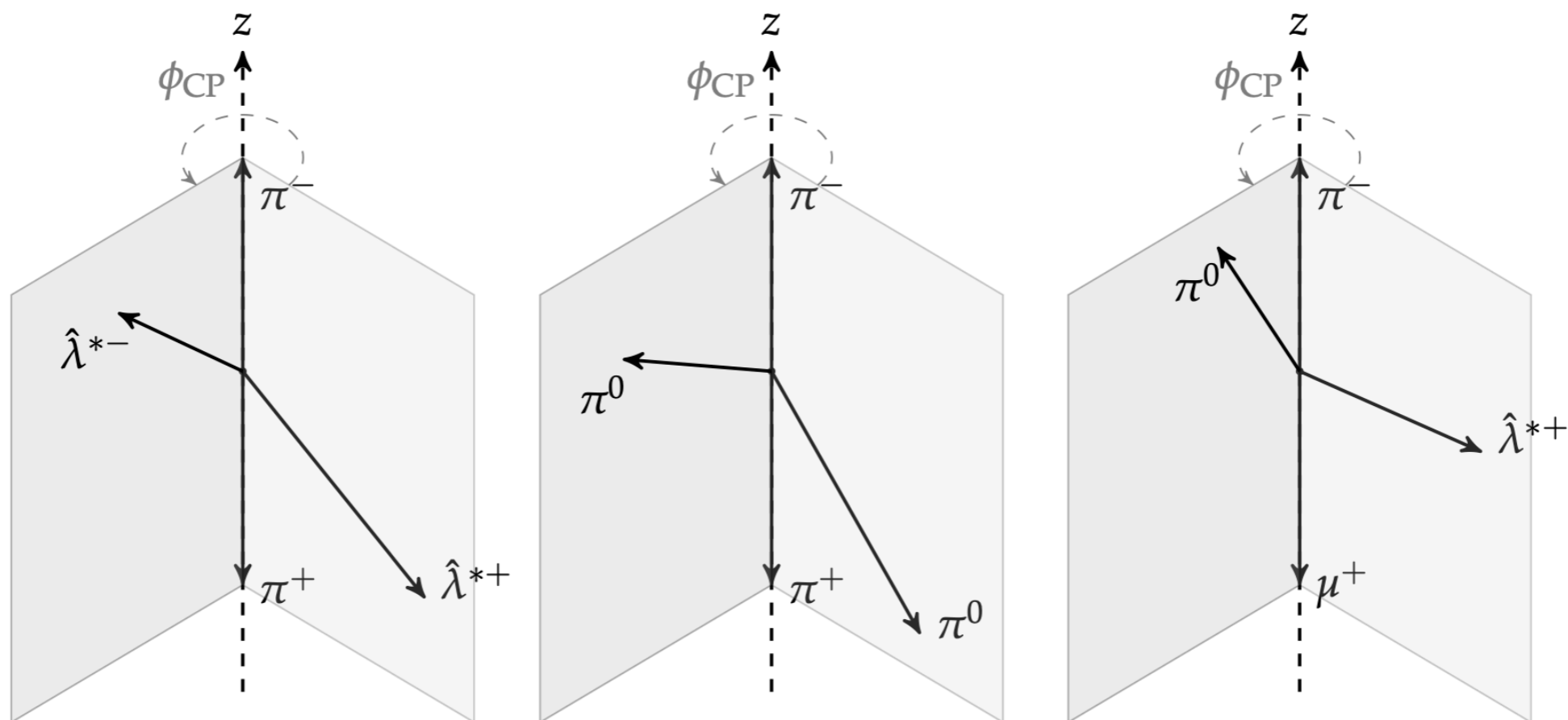
$$\left| \frac{d_e}{e} \right|_{\text{exp}} < 1.1 \times 10^{-29} \text{ cm} \quad @90\% \text{ C.L.}$$

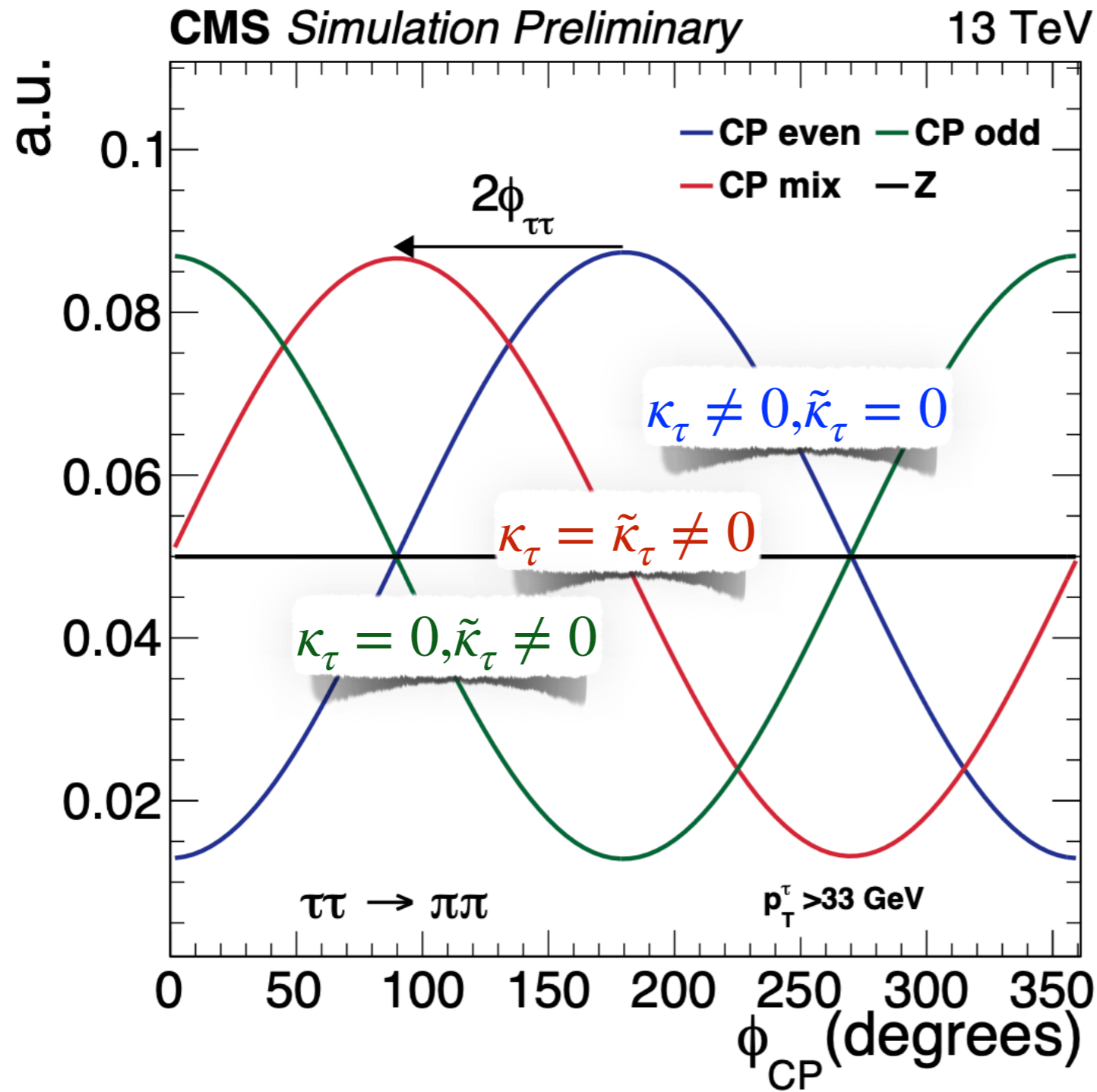
$$|\tilde{\kappa}_e| < 0.6 \cdot 10^{-2}$$

HL-LHC IMPLICATIONS

- at HL-LHC potential to measure CPV $h \rightarrow \tau\tau$ coupling
- for different tau decay modes define appropriate decay planes
 - such that the angle $\phi_{\tau\tau}$ between them is CP violating

Mode	μ^\pm	π^\pm	$\rho^\pm \rightarrow \pi^\pm \pi^0$	$a_1^\pm \rightarrow \pi^\pm \pi^0 \pi^0$	$a_1^\pm \rightarrow \pi^\pm \pi^\mp \pi^\pm$
$\mathcal{B}(\%)$	17.4	11.5	25.9	9.5	9.8





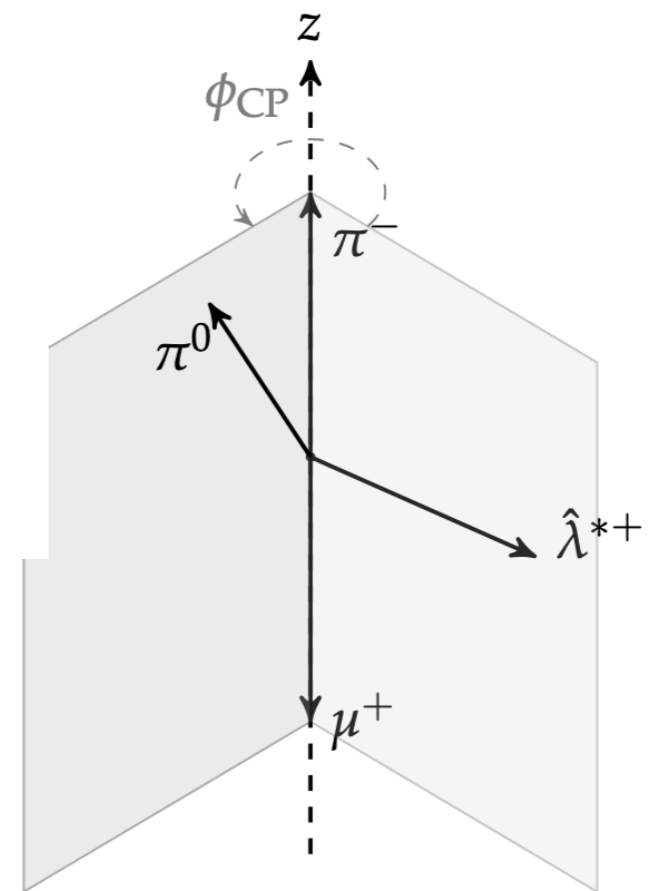
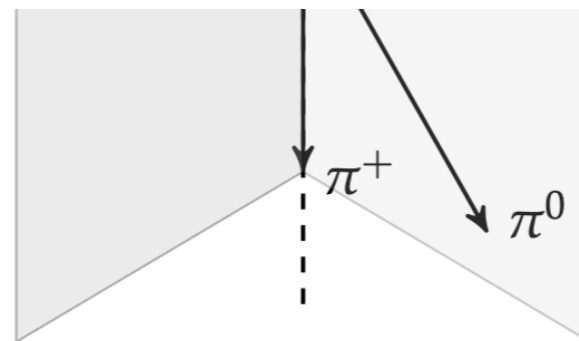
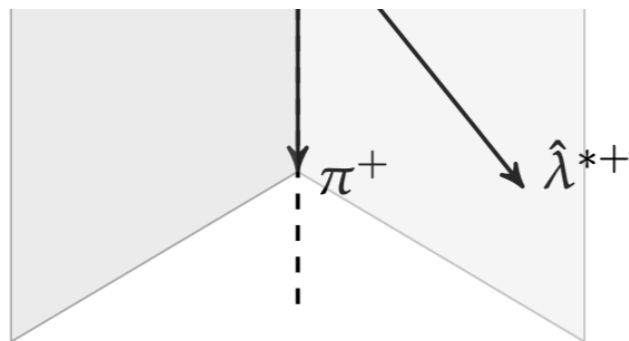
ATIONS

→ $\tau\tau$ coupling

appropriate decay planes

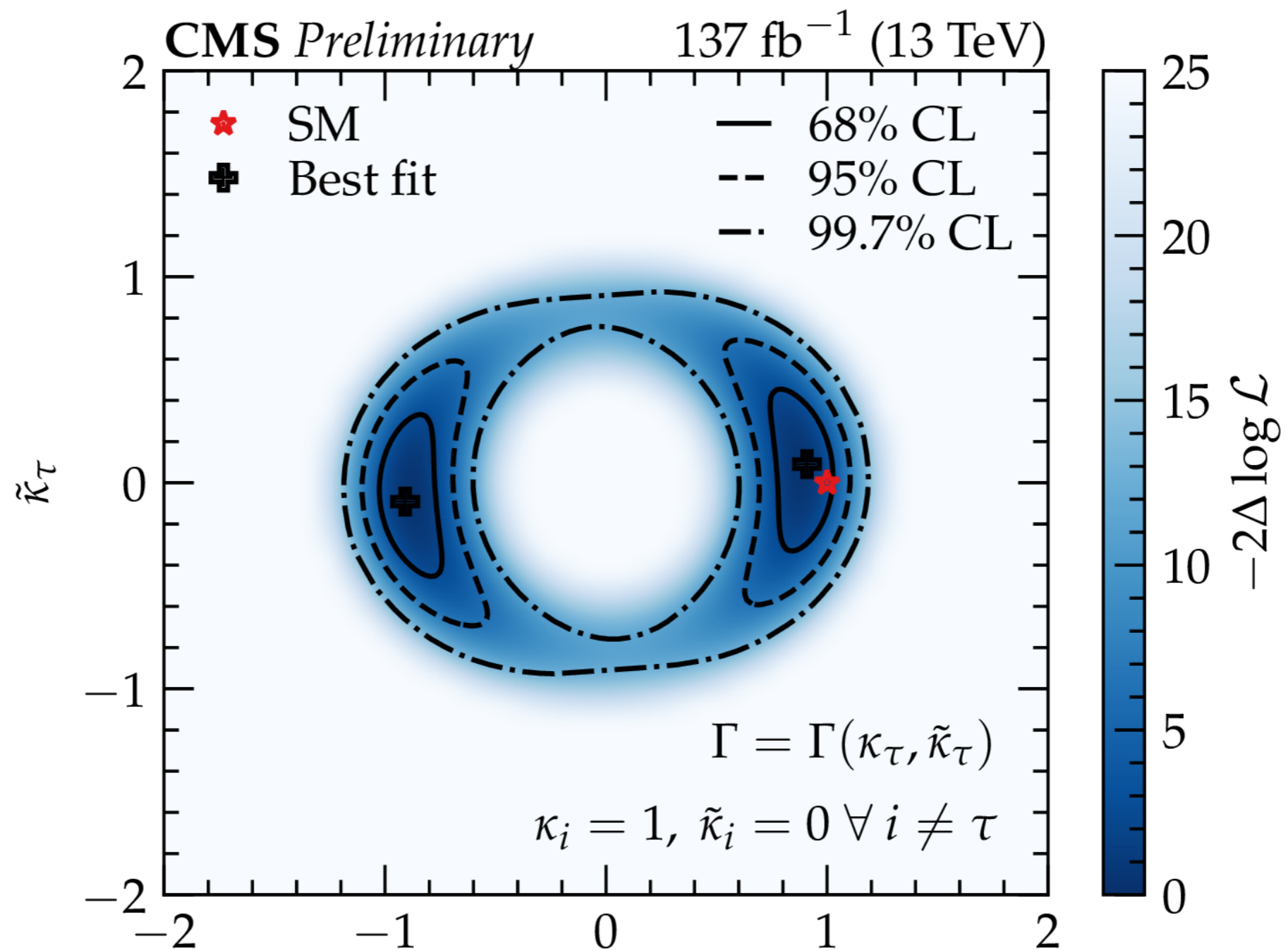
is CP violating

$$\frac{\pi^0 a_1^{\pm} \rightarrow \pi^{\pm} \pi^{\mp} \pi^{\pm}}{9.8}$$



PRESENT CONSTRAINT

- CMS with 137 fb^{-1}



**FLAVOR DIAGONAL
PROBES:
MAGNETIC MOMENTS**

MAGNETIC AND ELECTRIC DIPOLE MOMENTS

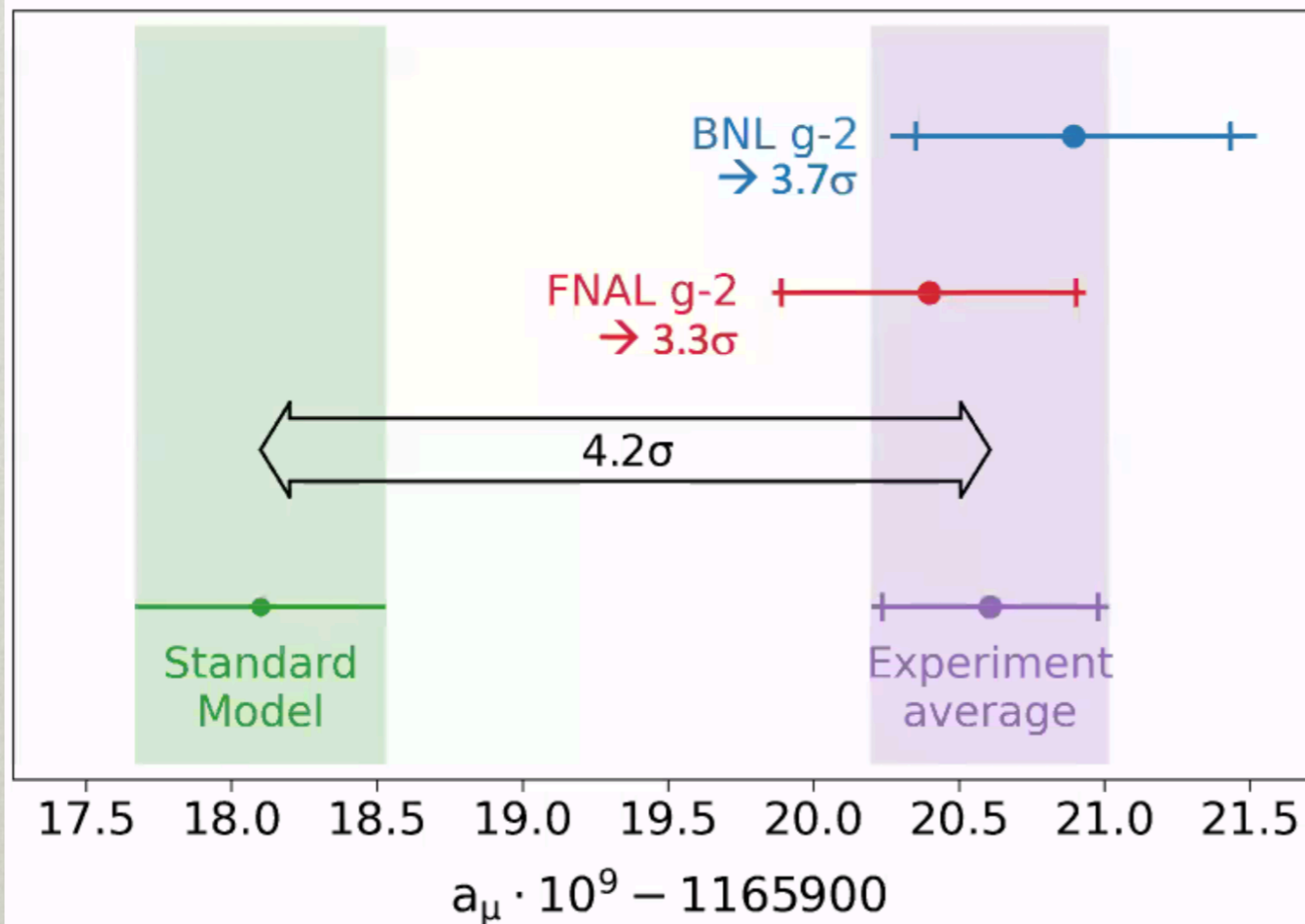
- two flavor diagonal dimension 5 operators

$$\mathcal{L}_{\text{eff}} \supset -\frac{ea_\ell}{4m_\ell} (\bar{\ell}\sigma^{\mu\nu}\ell) F_{\mu\nu} - \frac{d_\ell}{2} (\bar{\ell}\sigma^{\mu\nu}i\gamma_5\ell) F_{\mu\nu}$$

- anomalous magnetic moment $(g - 2)_\ell = 2a_\ell$
 - CP conserving
 - SM value nonzero
- electric dipole moment d_ℓ
 - CP violating
 - SM value highly suppressed

NEW PHYSICS IN $(g - 2)_\mu$?!

$$a_\mu(\text{SM}) = 0.00116591810(43) \rightarrow 368 \text{ ppb}$$



- Individual tension with SM

– BNL: 3.7σ

– FNAL: 3.3σ

$$a_\mu(\text{Exp}) - a_\mu(\text{SM}) = 0.00000000251(59) \rightarrow 4.2\sigma$$

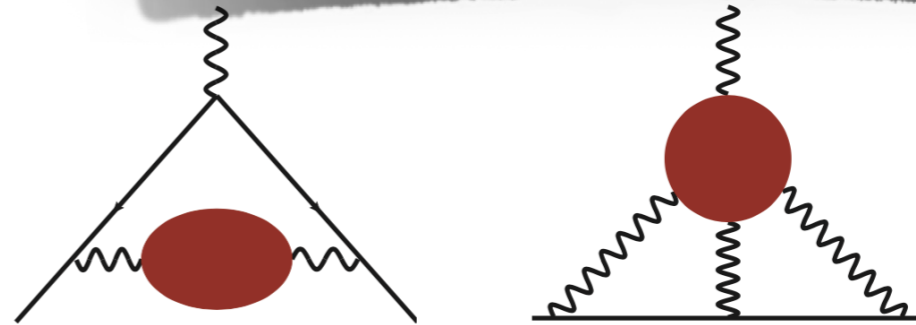
POSSIBLE DEVIATION IN $(g - 2)_\mu$

- the value of $(g - 2)_\mu$ from g-2 coll.

$$a_\mu^{\text{exp}} - a_\mu^{\text{SM}} = 251(59) \times 10^{-10}$$

- the SM theory error dominated by hadronic uncert.

$$a_\mu^{\text{SM}} = 116591810(43) \times 10^{-10}$$



QED

Electroweak

HVP (e^+e^- , LO + NLO + NNLO)

HLbL (phenomenology + lattice + NLO)

Total SM Value

116 584 718.931(104)

153.6(1.0)

6845(40)

92(18)

116 591 810(43)

IF NEW PHYSICS...

- $(g - 2)_\mu$ showing 4.2σ deviation from the SM
 - in SMEFT from dim6 operator

$$\mathcal{L} \supset -\frac{\sqrt{2}e v}{(4\pi\Lambda_{ij})^2} \bar{\ell}_L^i \sigma^{\mu\nu} \ell_R^j F_{\mu\nu} + \text{h.c.} ,$$

$$(g - 2)_\mu \Rightarrow \Lambda_{22} \sim 15 \text{ TeV}$$

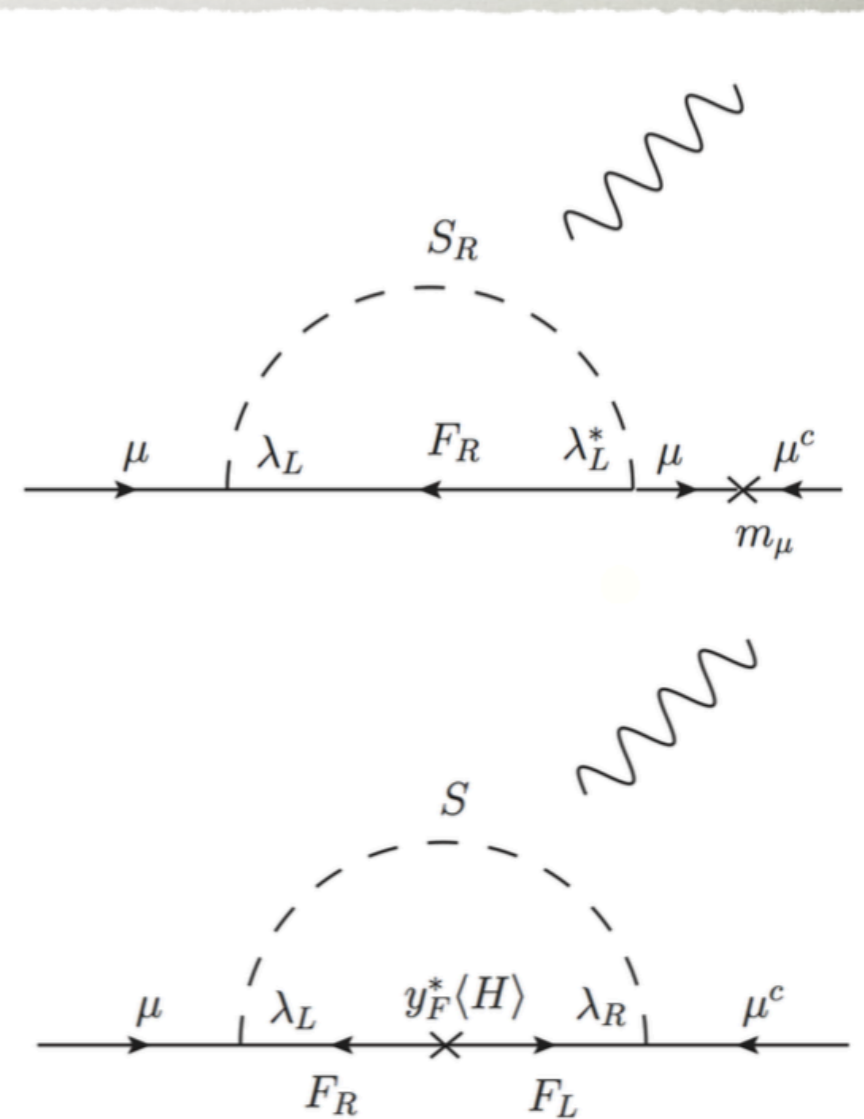
- note: any flavor violation needs to be highly suppressed $\mu \rightarrow e\gamma \Rightarrow \Lambda_{21} \gtrsim 3500 \text{ TeV}$ [Greljo, Stangl, Thomsen, 2103.13991](#)
- a possible (natural) solution - a symmetry
 - a phenomenologically viable example: $L_\mu - L_\tau$

$(g - 2)_\mu$ NEW PHYSICS MODELS

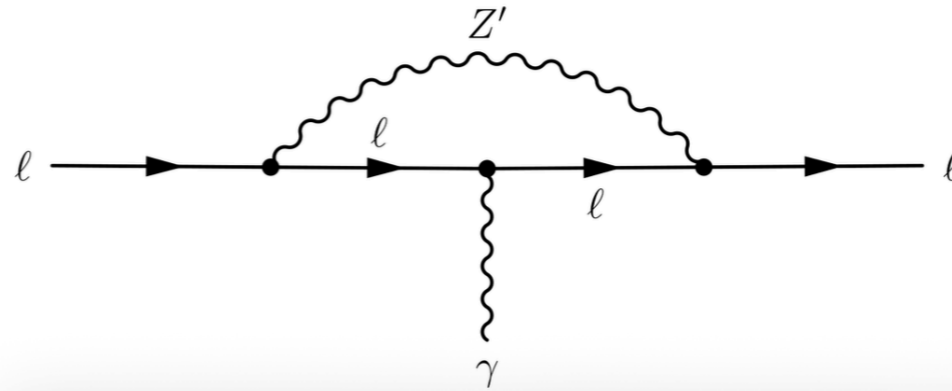
$$a_\mu^{\text{exp}} - a_\mu^{\text{SM}} = 251(59) \times 10^{-10}$$

- NP models of two types
- chirality flip on SM fermion leg
 - NP need to be light, example: Z' from $L_\mu - L_\tau$
- chirality flip can be on the NP fermion leg
 - NP can be much heavier
 - example: minimal models with DM

$$\frac{e}{8\pi^2} (\bar{\mu} \sigma^{\mu\nu} \mu) F_{\mu\nu}$$



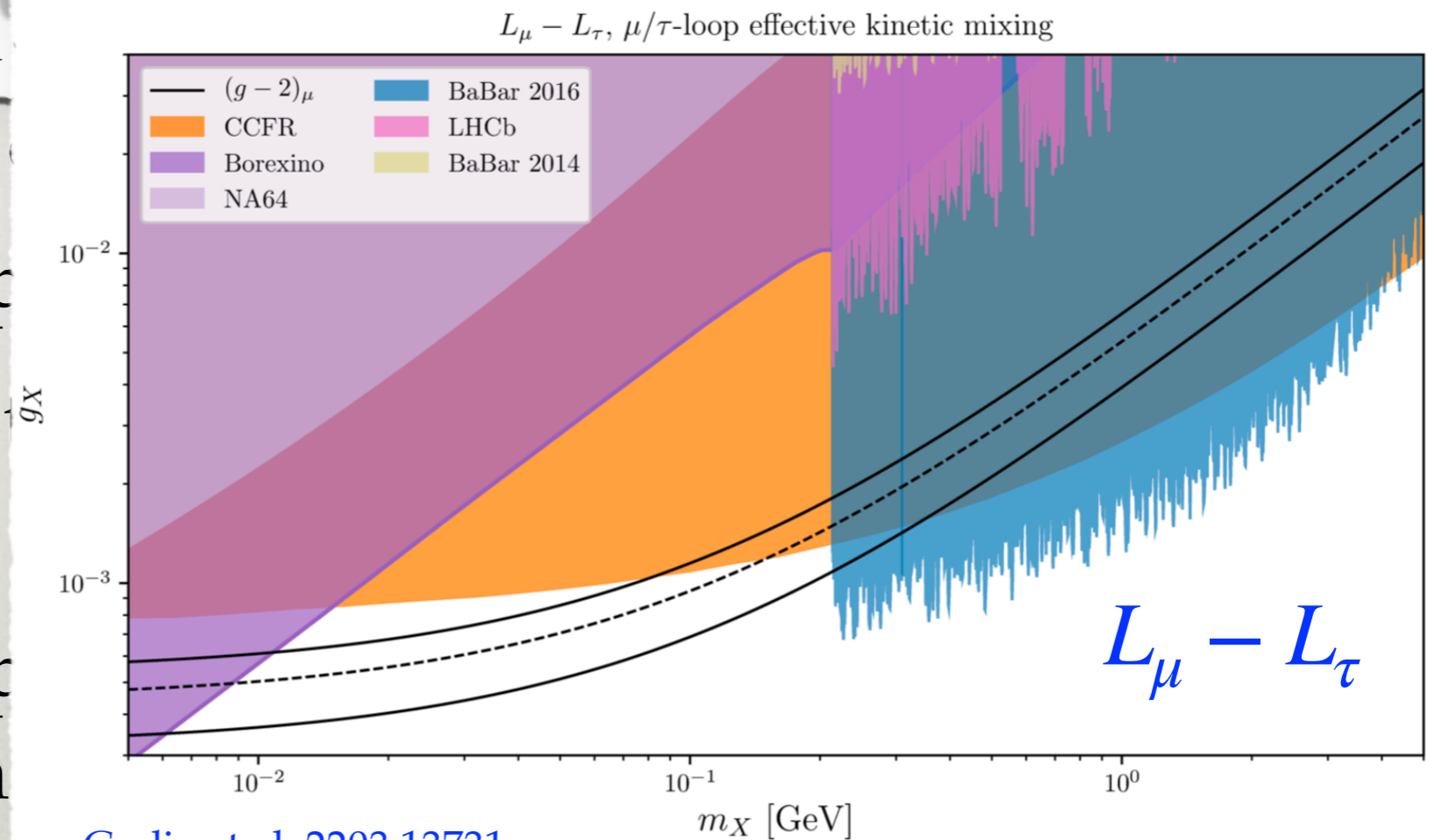
$$(g - 2)_\mu$$



MODELS

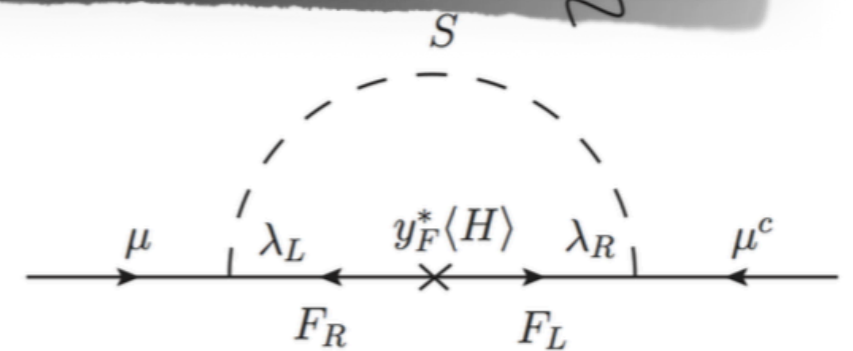
$$a_\mu^{\text{exp}} - a_\mu^{\text{SM}} = 251$$

- NP models
- chirality flip
 - NP need example:
- chirality flip NP fermion



Greljo et al, 2203.13731

- NP can be much heavier
- example: minimal models with DM

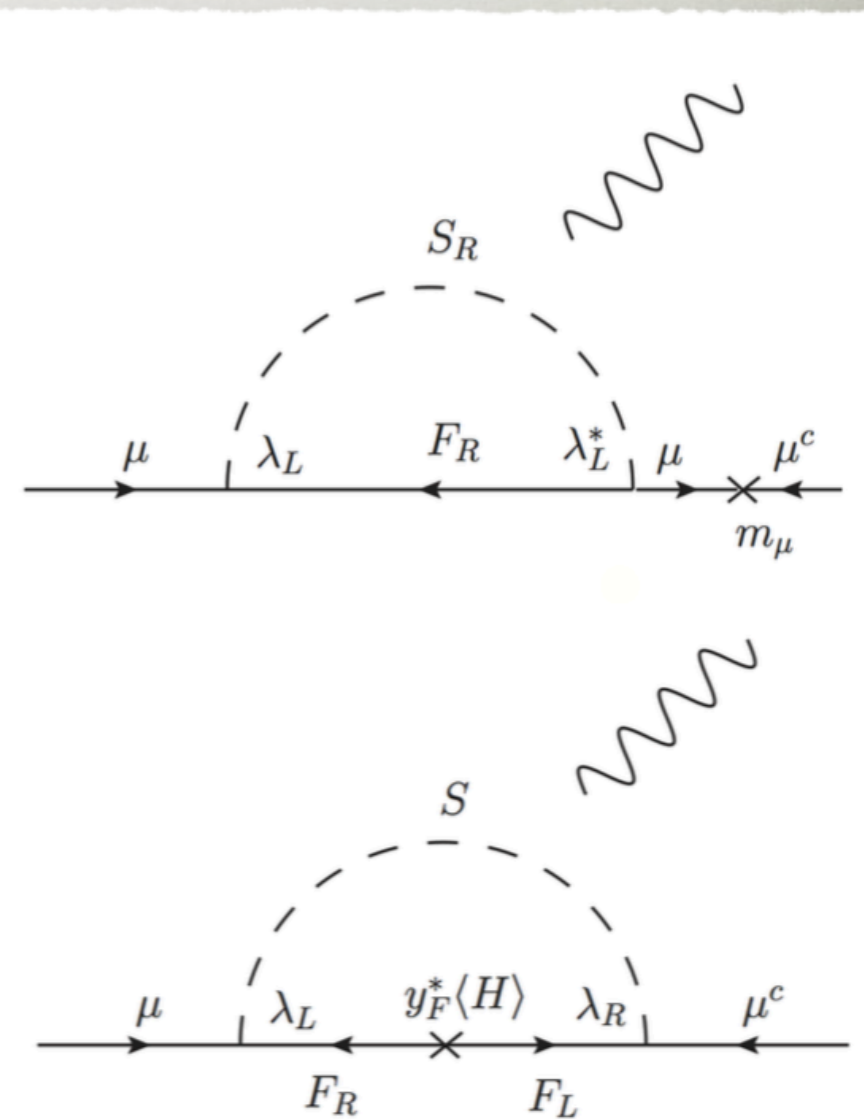


$(g - 2)_\mu$ NEW PHYSICS MODELS

$$a_\mu^{\text{exp}} - a_\mu^{\text{SM}} = 251(59) \times 10^{-10}$$

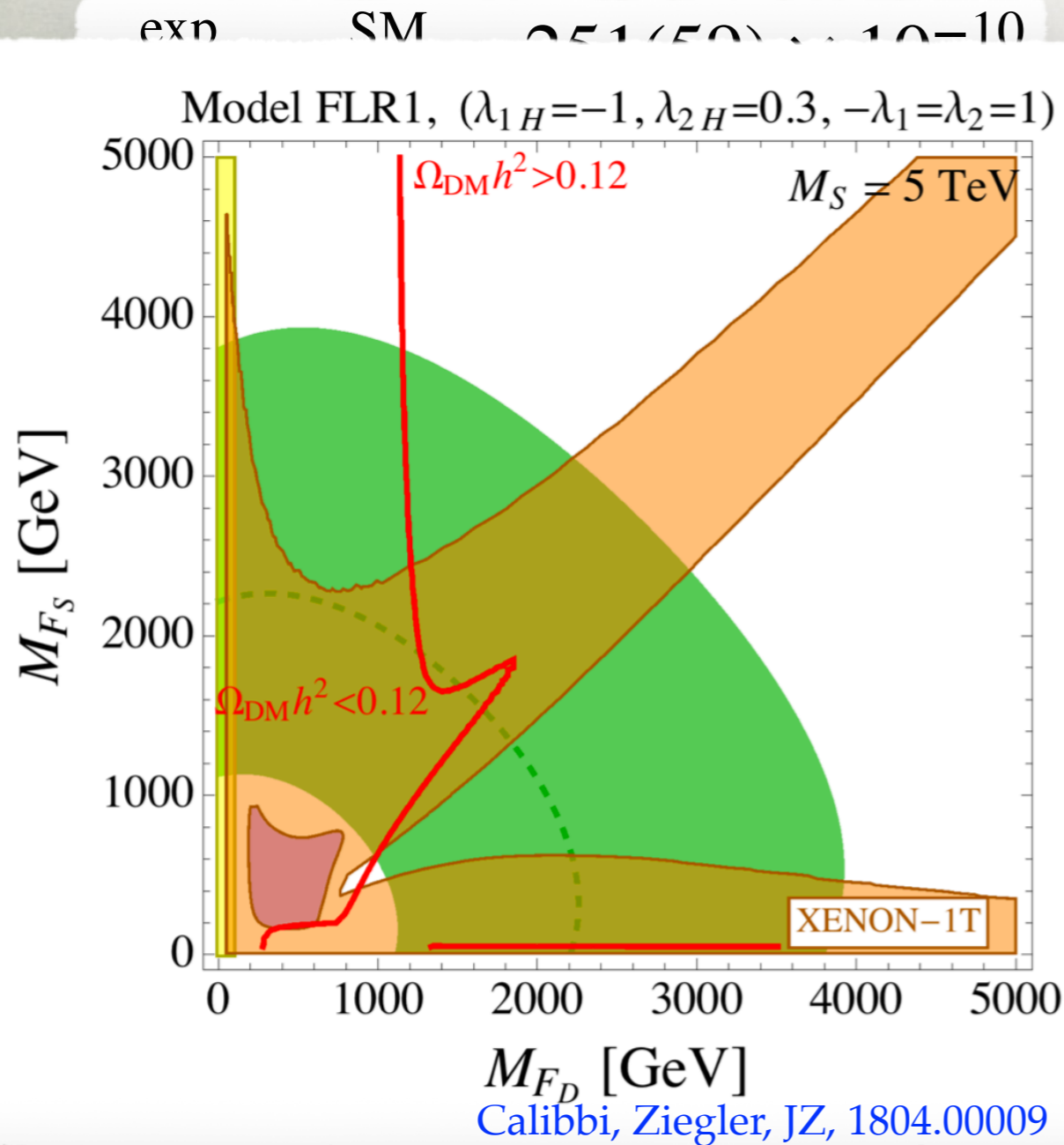
- NP models of two types
- chirality flip on SM fermion leg
 - NP need to be light, example: Z' from $L_\mu - L_\tau$
- chirality flip can be on the NP fermion leg
 - NP can be much heavier
 - example: minimal models with DM

$$\frac{e}{8\pi^2} (\bar{\mu} \sigma^{\mu\nu} \mu) F_{\mu\nu}$$



$(g - 2)_\mu$ NEW PHYSICS MODELS

$$\frac{e}{8\pi^2} (\bar{\mu} \sigma^{\mu\nu} \mu) F_{\mu\nu}$$



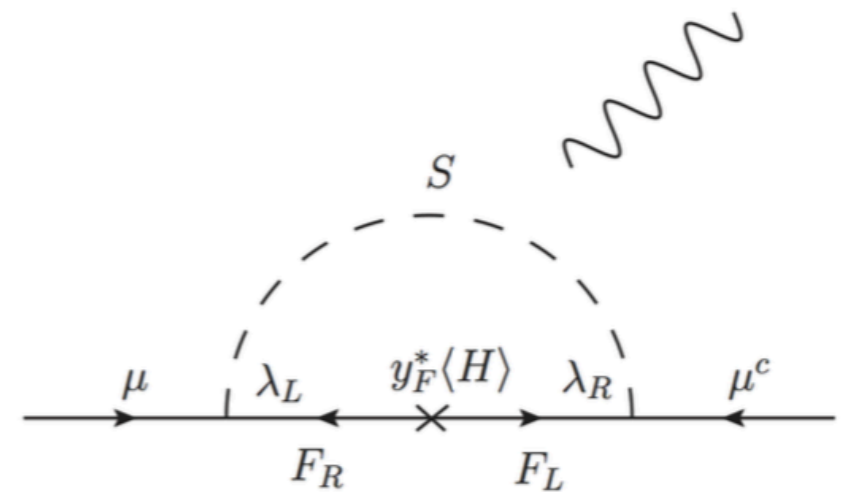
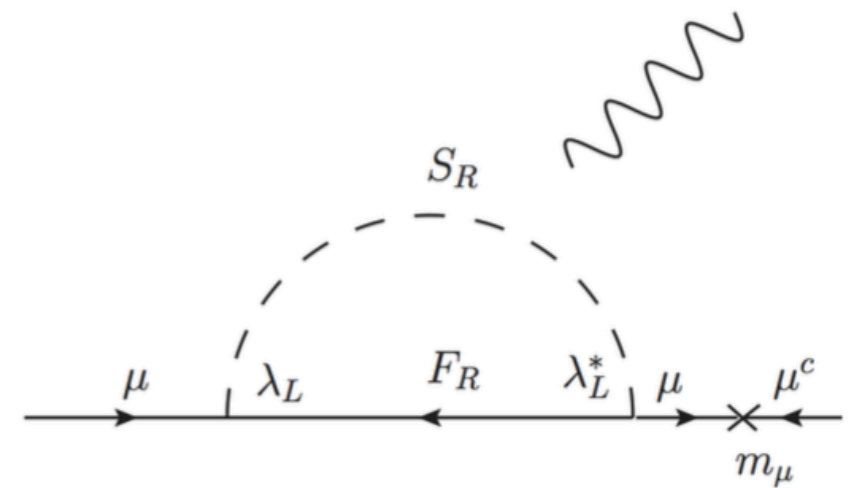
ion leg

L_τ

e

er

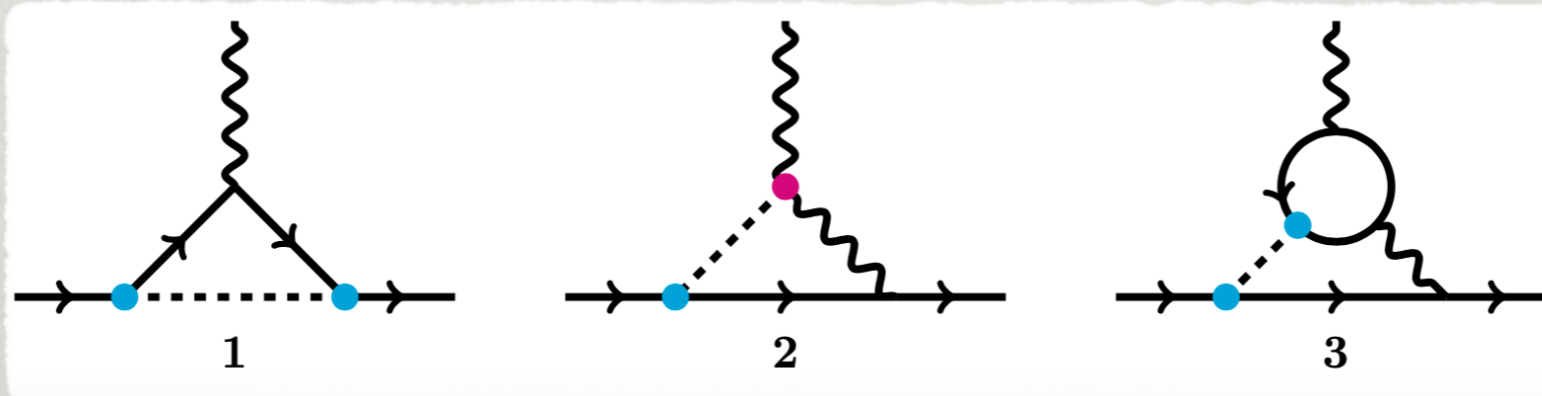
odels



$$F_S \equiv F_R \sim 1_0, \quad F_D \equiv F_L \sim 2_{-1/2}, \quad F_D^c \equiv F_L^c \sim 2_{1/2}^*, \quad S \equiv S_R \sim 2_{1/2},$$

FLAVOR DIAGONAL ALP?

- ALP coupling to muons gives wrong sign contrib. to Δa_μ

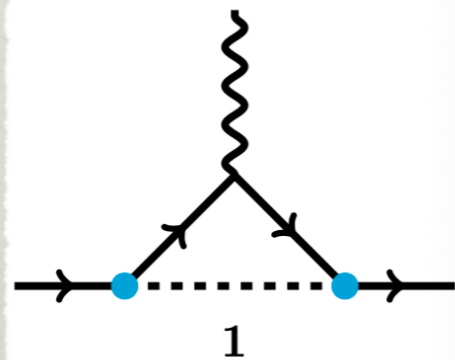


$$\Delta a_\mu^{(1)} \propto -\frac{c_{\mu\mu}^2}{16\pi^2}, \quad \Delta a_\mu^{(2)} \propto -\frac{c_{\mu\mu}c_{\gamma\gamma}\alpha}{16\pi^3}, \quad \Delta a_\mu^{(3)} \propto -\frac{c_{\mu\mu}c_{ii}\alpha}{16\pi^3},$$

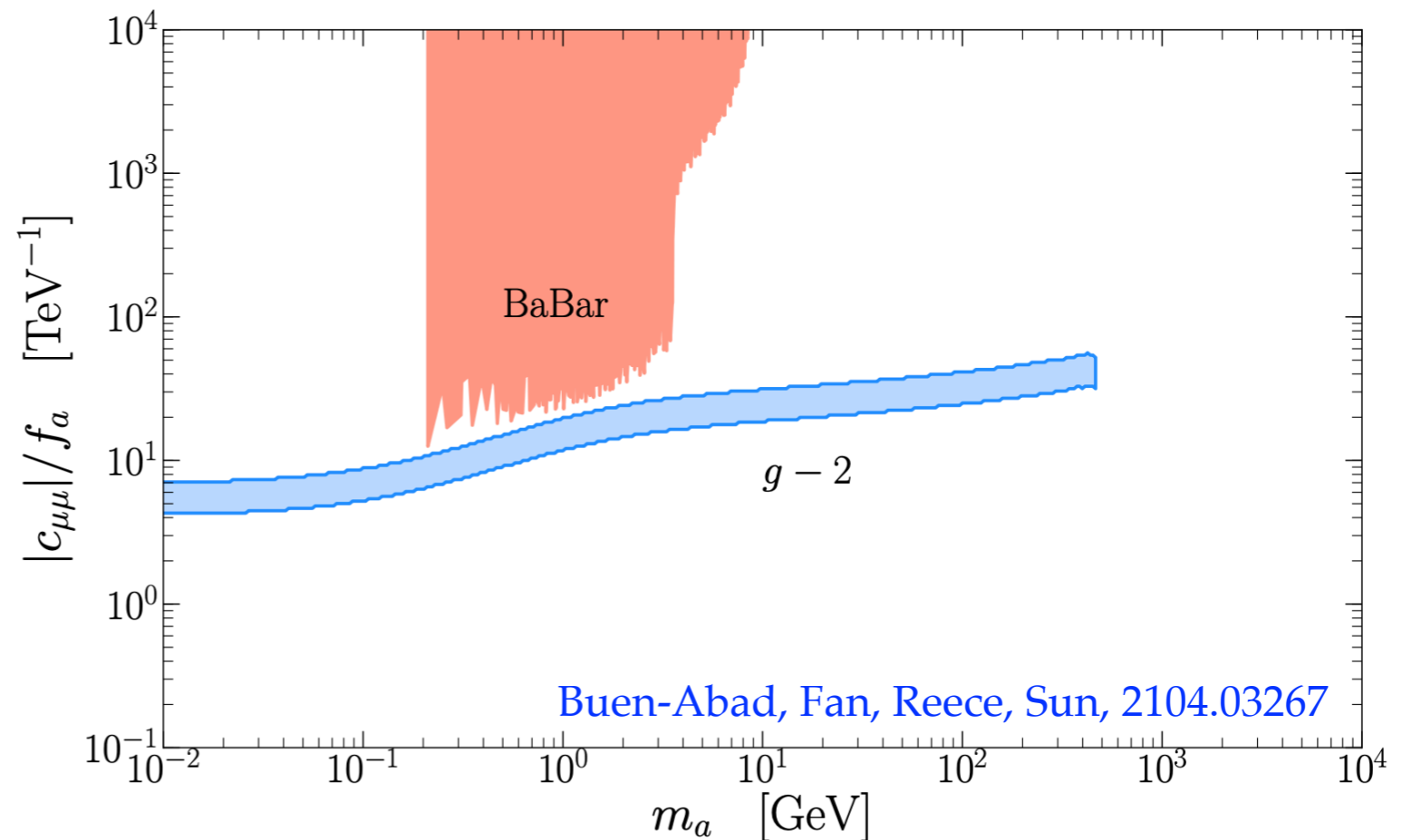
- need to compensate with $aF\tilde{F}$ coupling at 1-loop, and with 2-loop contris
- the scale required to explain Δa_μ anomaly low, $f_a \sim 100$ GeV
- difficult model building
- note: at the same order, $1/f_a^2$, expect other contris. to a_μ from UV

FLAVOR

- ALP coupling to muon



$$\Delta a_\mu^{(1)} \propto -\frac{c_{\mu\mu}^2}{16\pi^2},$$

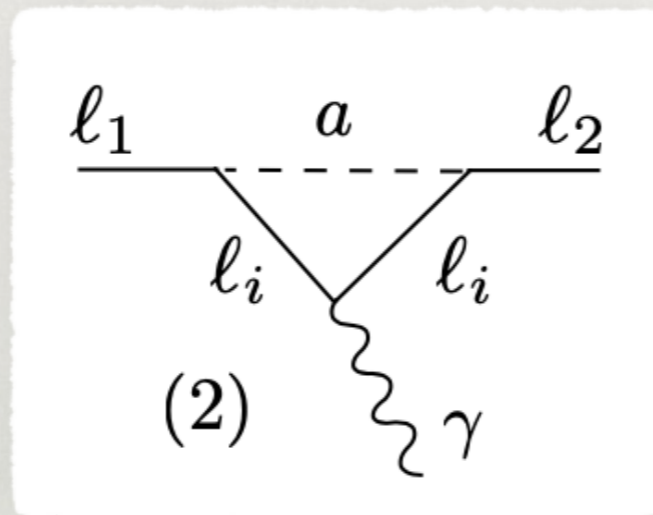


- need to compensate with $aF\tilde{F}$ coupling at 1-loop, and with 2-loop contri
- the scale required to explain Δa_μ anomaly low, $f_a \sim 100$ GeV
- difficult model building
- note: at the same order, $1/f_a^2$, expect other contri. to a_μ from UV

FLAVOR VIOLATING ALP

FOR $(g - 2)_\mu$

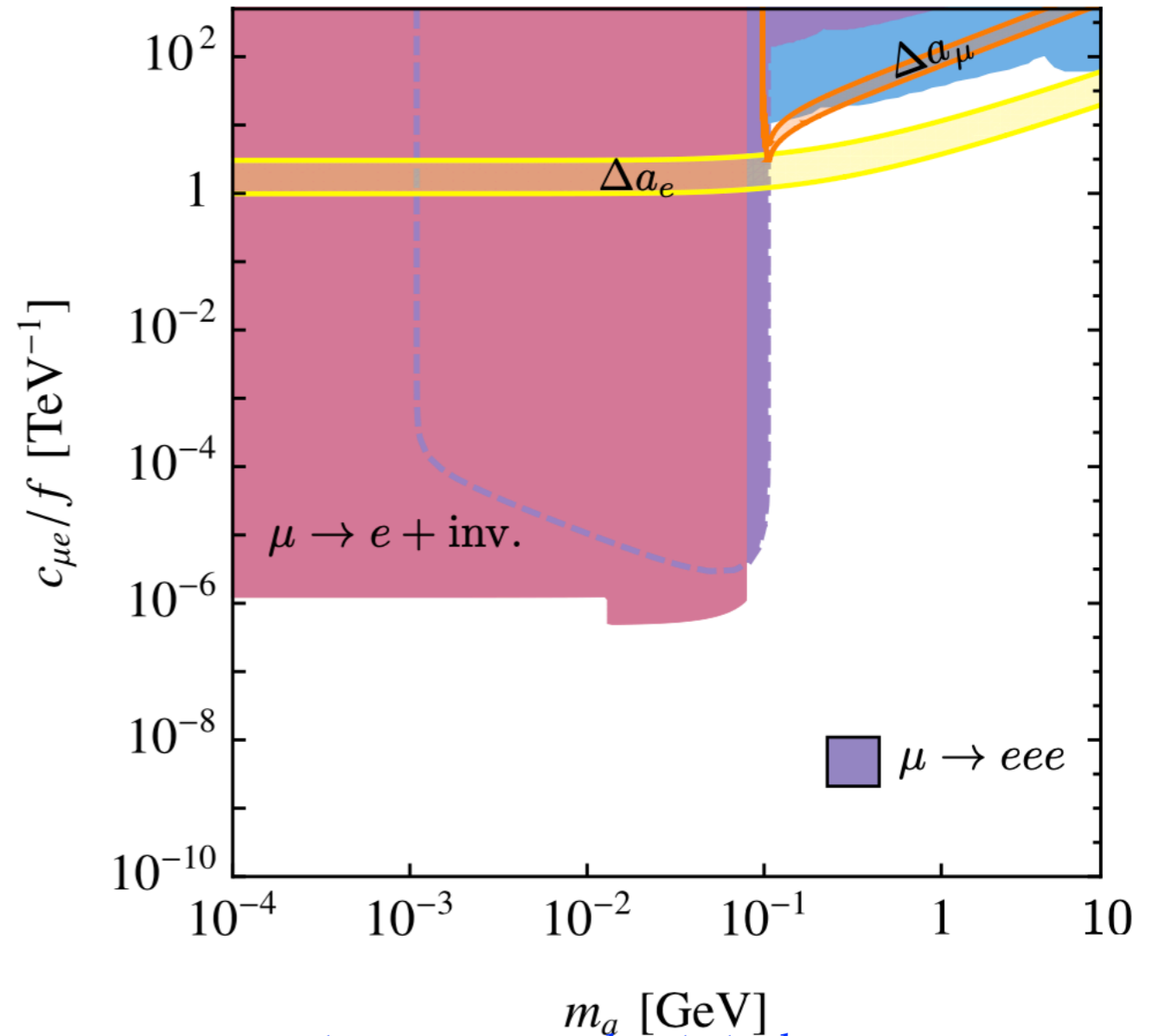
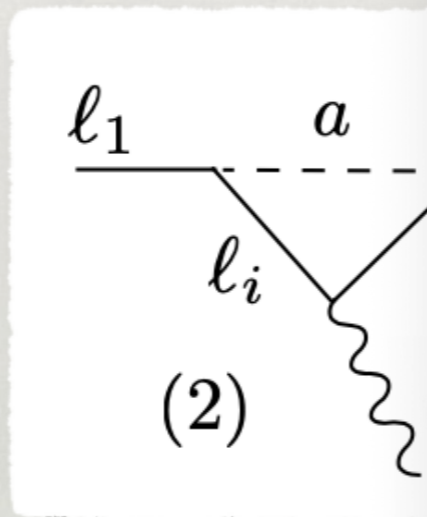
- FV coupling $c_{e\mu}^A$ gives the right sign of Δa_μ for $m_a > m_\mu$



- same model building challenge: low f_a
- a possible way out: couple μ off-diagonally to heavy vector-like fermions E_i, L_i
 - chirality flip from internal E_i, L_i line \Rightarrow higher $f_a \gtrsim 1 \text{ TeV}$

FLAVOR VIOLATION FOR

- FV coupling $c_{e\mu}^A$ give
 $m_a > m_\mu$



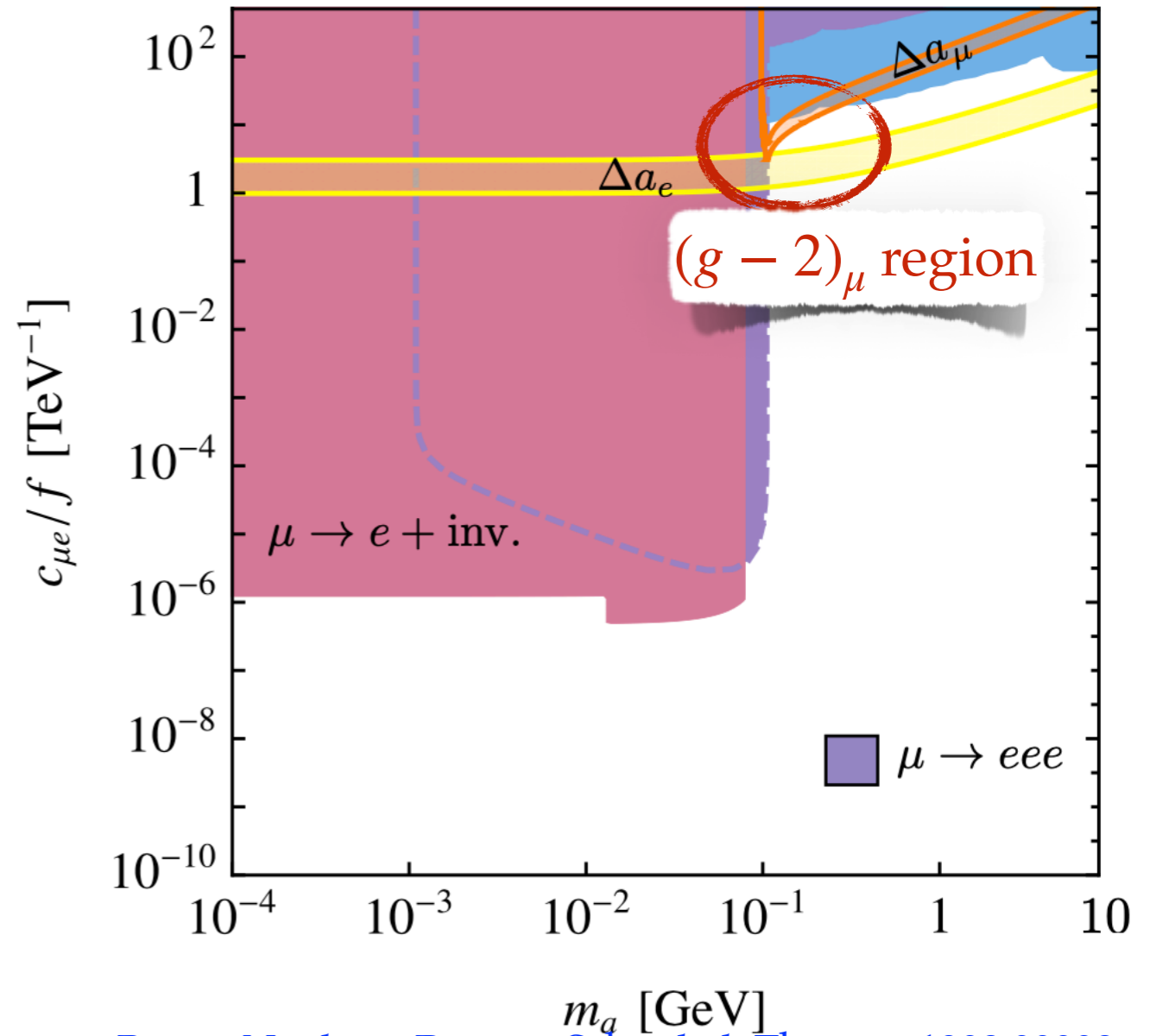
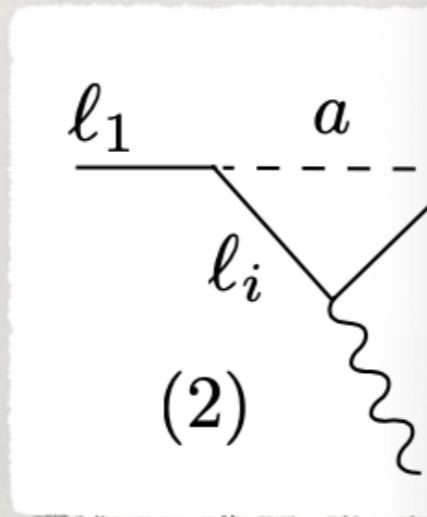
Bauer, Neubert, Renner, Schnubel, Thamm, 1908.00008

- same model building
- a possible way out: couple μ off-diagonally to heavy vector-like fermions E_i, L_i
 - chirality flip from internal E_i, L_i line \Rightarrow
higher $f_a \gtrsim 1$ TeV

Brdar, Jana, Kubo, Lindner, 2104.03282

FLAVOR VIOLATION FOR

- FV coupling $c_{e\mu}^A$ give
 $m_a > m_\mu$



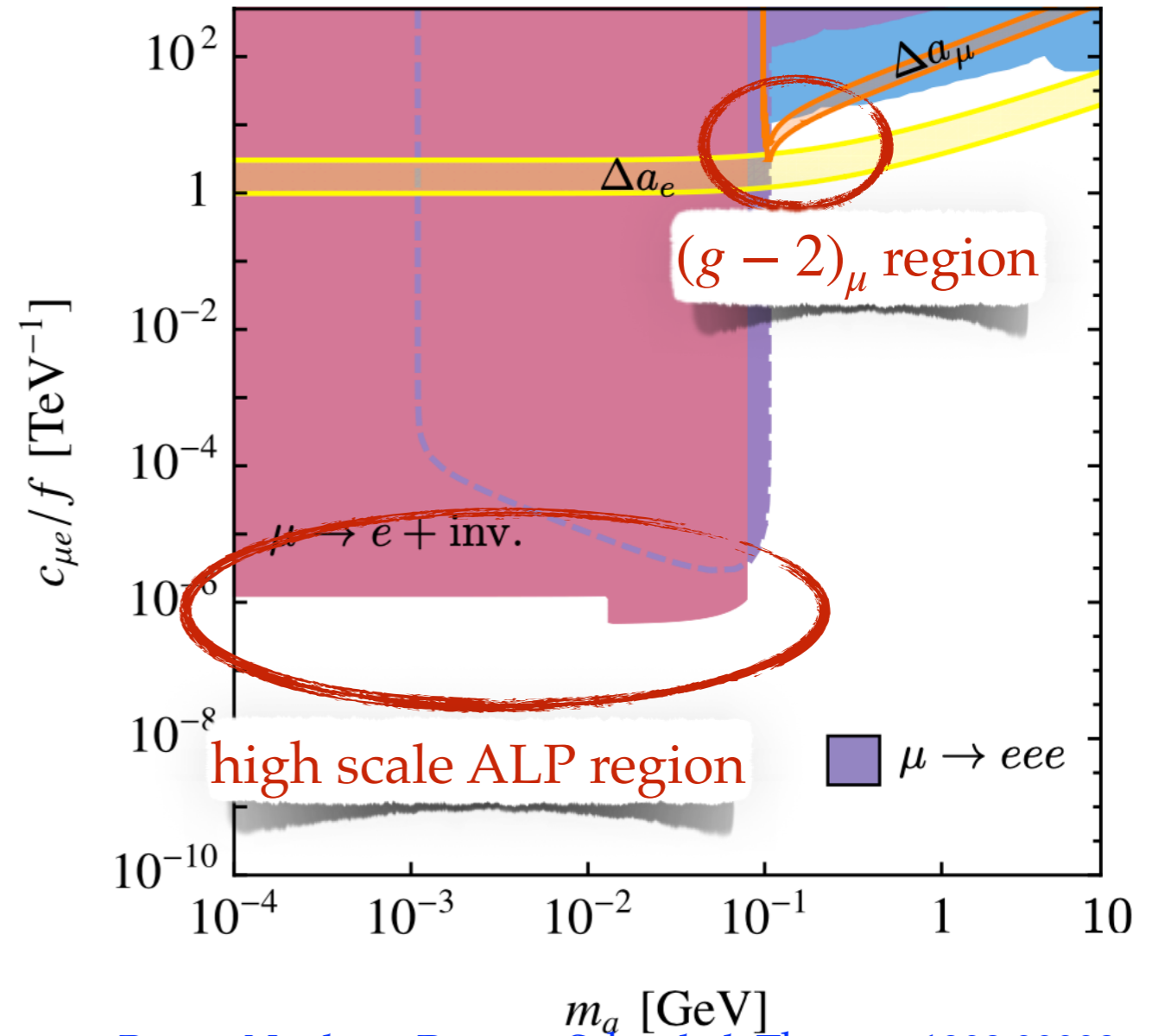
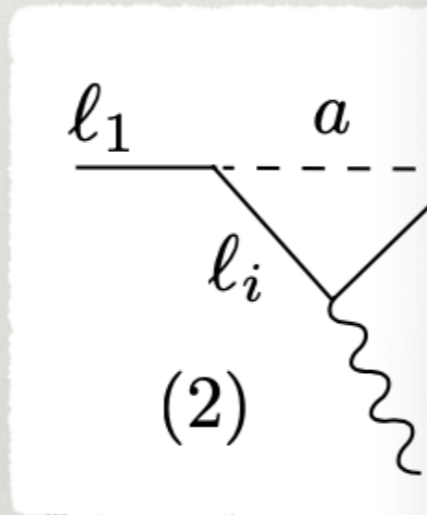
Bauer, Neubert, Renner, Schnubel, Thamm, 1908.00008

- same model building
- a possible way out: couple μ off-diagonally to heavy vector-like fermions E_i, L_i
 - chirality flip from internal E_i, L_i line \Rightarrow
higher $f_a \gtrsim 1$ TeV

Brdar, Jana, Kubo, Lindner, 2104.03282

FLAVOR VIOLATION FOR

- FV coupling $c_{e\mu}^A$ give
 $m_a > m_\mu$



Bauer, Neubert, Renner, Schnubel, Thamm, 1908.00008

- same model building
- a possible way out: couple μ off-diagonally to heavy vector-like fermions E_i, L_i
 - chirality flip from internal E_i, L_i line \Rightarrow
higher $f_a \gtrsim 1$ TeV

Brdar, Jana, Kubo, Lindner, 2104.03282

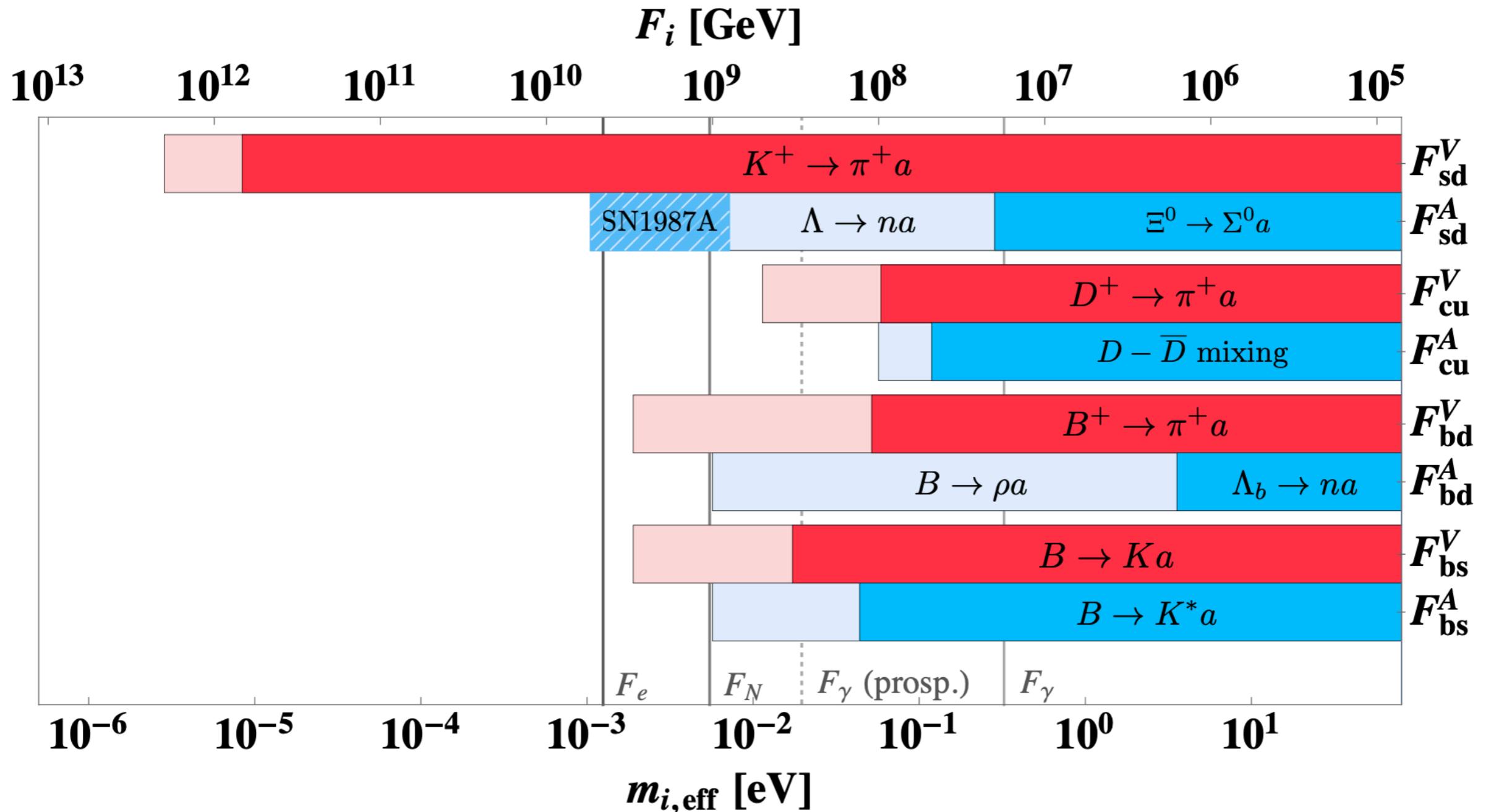
CONCLUDING REMARKS

- charged lepton flavor violating probes give us access to physics at very high scales
- both light and heavy NP of interest
- especially interesting in view of experimental anomalies involving muons

BACKUP SLIDES

THE STRONGEST FV CONSTRAINTS

Martin Camalich, Pospelov, Vuong, Ziegler, JZ, 2002.04623



-
- show several examples of LFV ALP
 - LFV QCD axion
 - LFV axiflavoron
 - leptonic familon
 - majoron

LFV QCD AXION

- DFSZ-like model: 2HDM+S: $X_S = 1, X_{H_2} = 2 + X_{H_1}$
- flavor universal $U(1)_{PQ}$ charges in quark sector, non-universal in leptonic

Yukawa coupl. to H_1

Yukawa coupl. to H_2

$$y_e = \begin{pmatrix} 0 & x & x \\ x & 0 & 0 \\ x & 0 & 0 \end{pmatrix}, \quad y'_e = \begin{pmatrix} 0 & 0 & 0 \\ 0 & x & x \\ 0 & x & x \end{pmatrix}$$

⇒ gives lepton FV coupl.s of axion

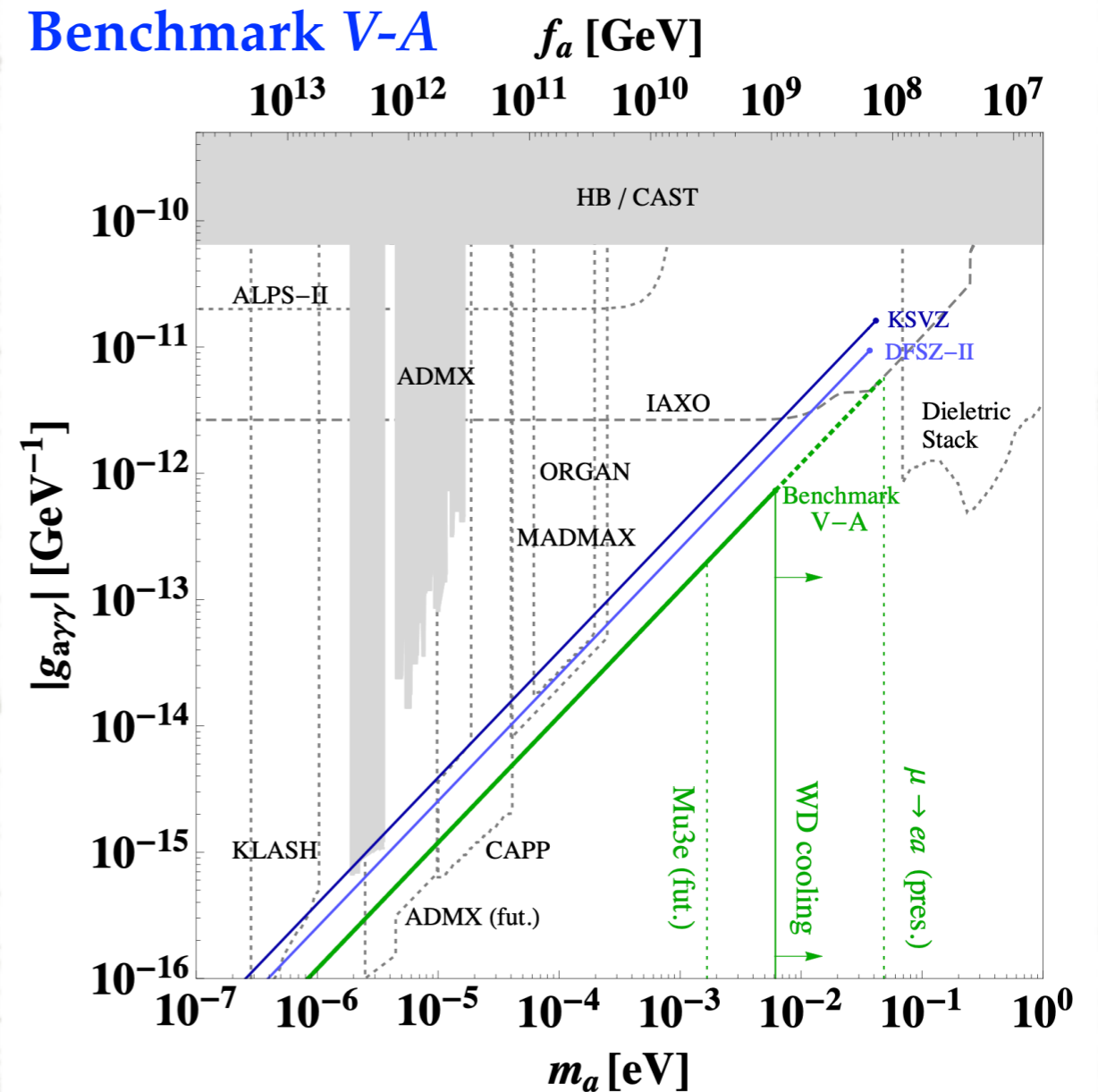
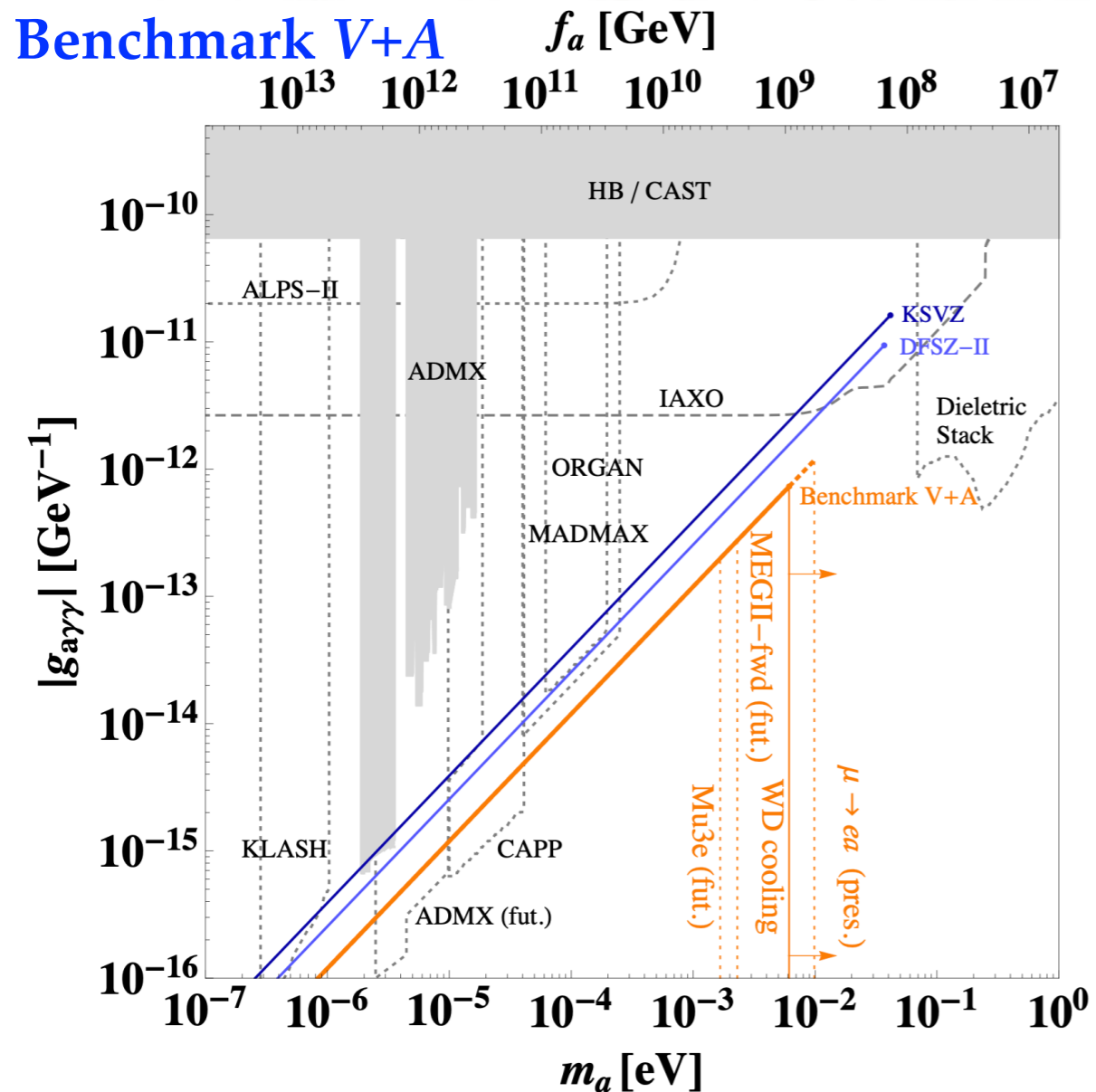
$$y_u = \begin{pmatrix} x & x & x \\ x & x & x \\ x & x & x \end{pmatrix}, \quad y_d = \begin{pmatrix} x & x & x \\ x & x & x \\ x & x & x \end{pmatrix}$$

⇒ axion-quark couplings flavor diagonal

- hierarchy of entries external input

LFV QCD AXION

- two benchmarks, assume just 1-2 mixing



LEPTONIC FAMILON

- separate Froggatt-Nielsen U(1) for quarks and leptons
- leptonic f_a scale assumed lighter \Rightarrow these couplings dominate

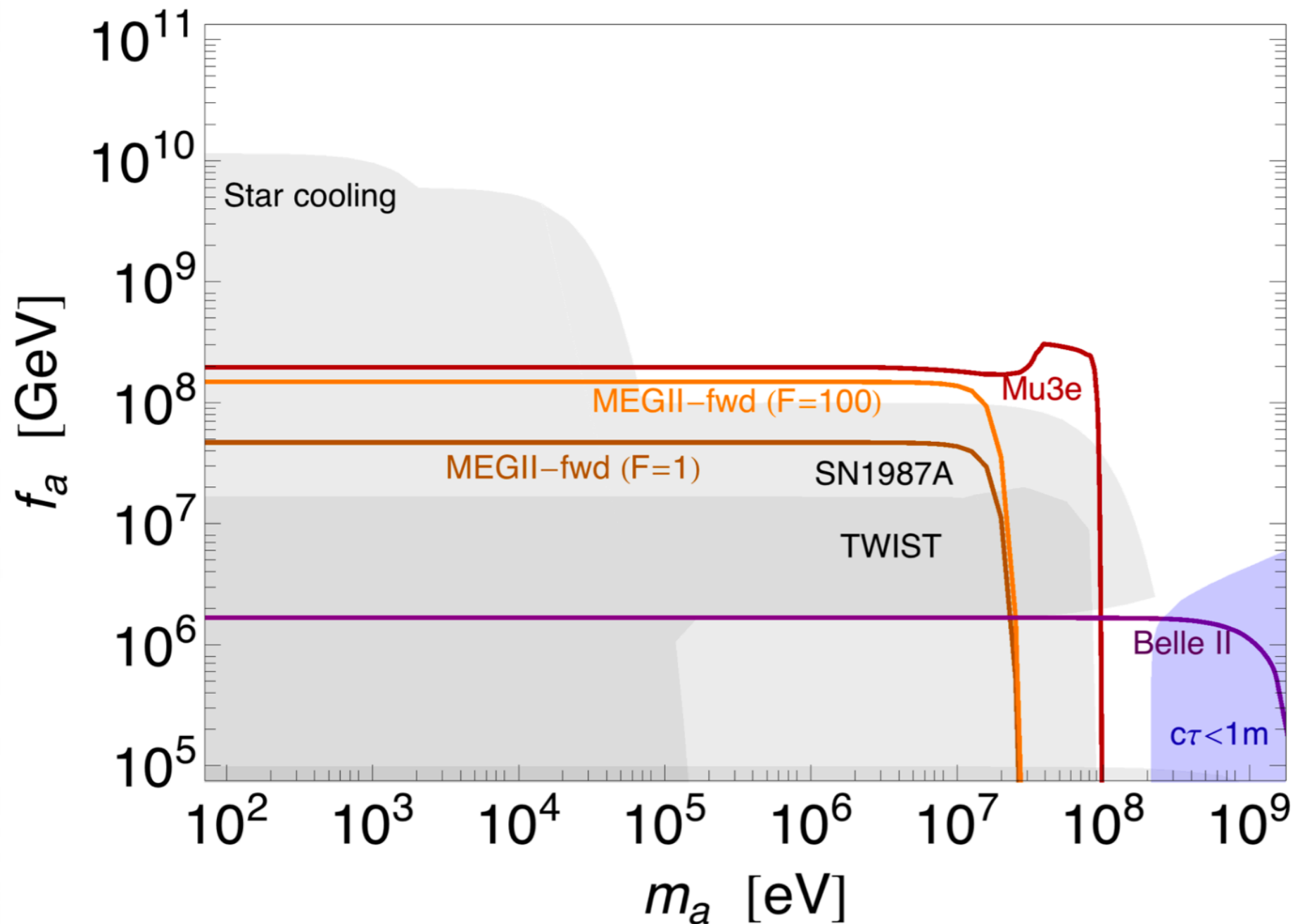
$$([L]_1, [L]_2, [L]_3) = (L, L, L), \quad [\text{Pure Anarchy}] . \quad \Rightarrow \text{RH ALP}$$

- two benchmark charge assignments

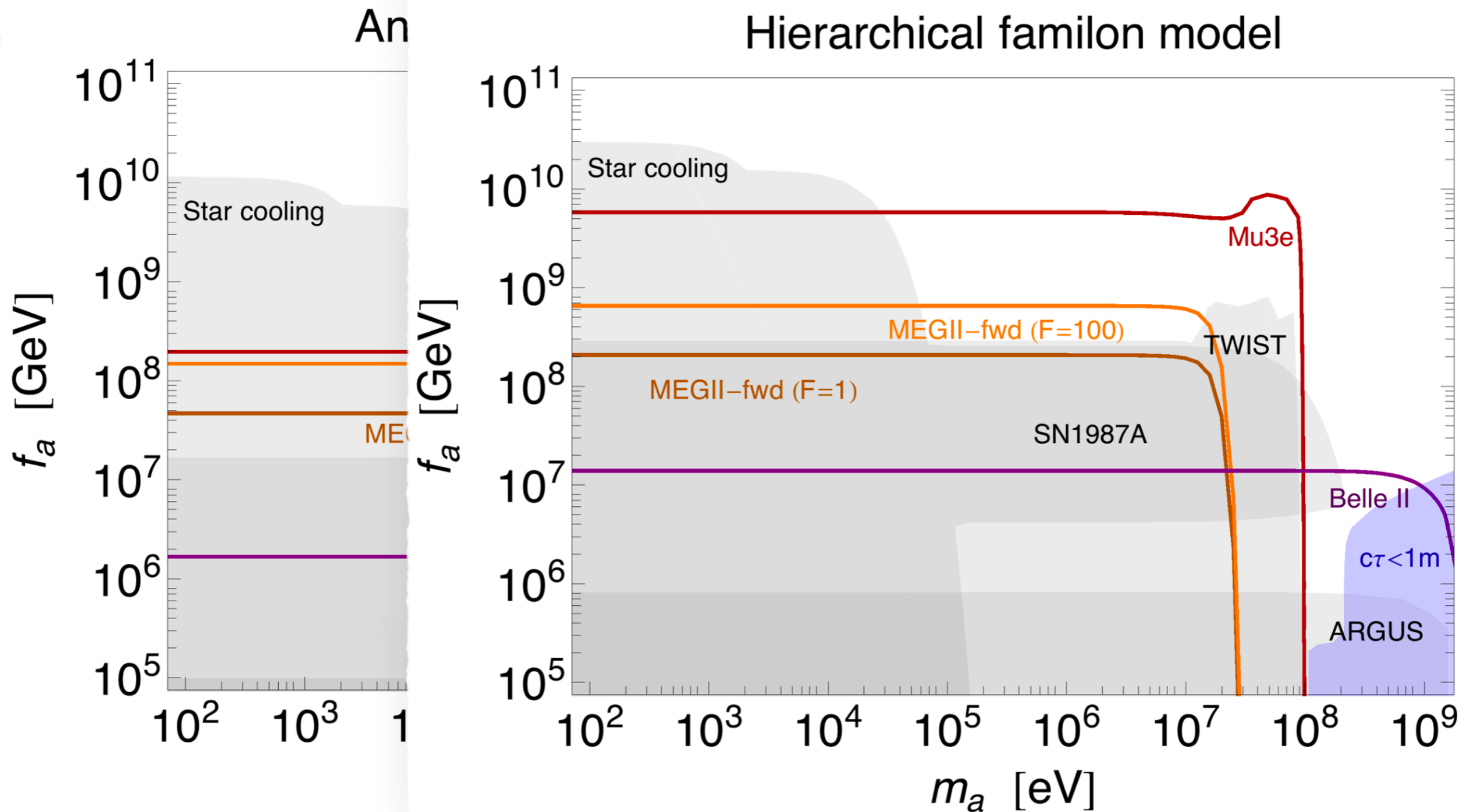
$$([L]_1, [L]_2, [L]_3) = (L + 2, L + 1, L), \quad [\text{Hierarchy}] . \quad \Rightarrow \text{LH and RH couplings}$$

LEPTONIC FAMILON

Anarchical familon model

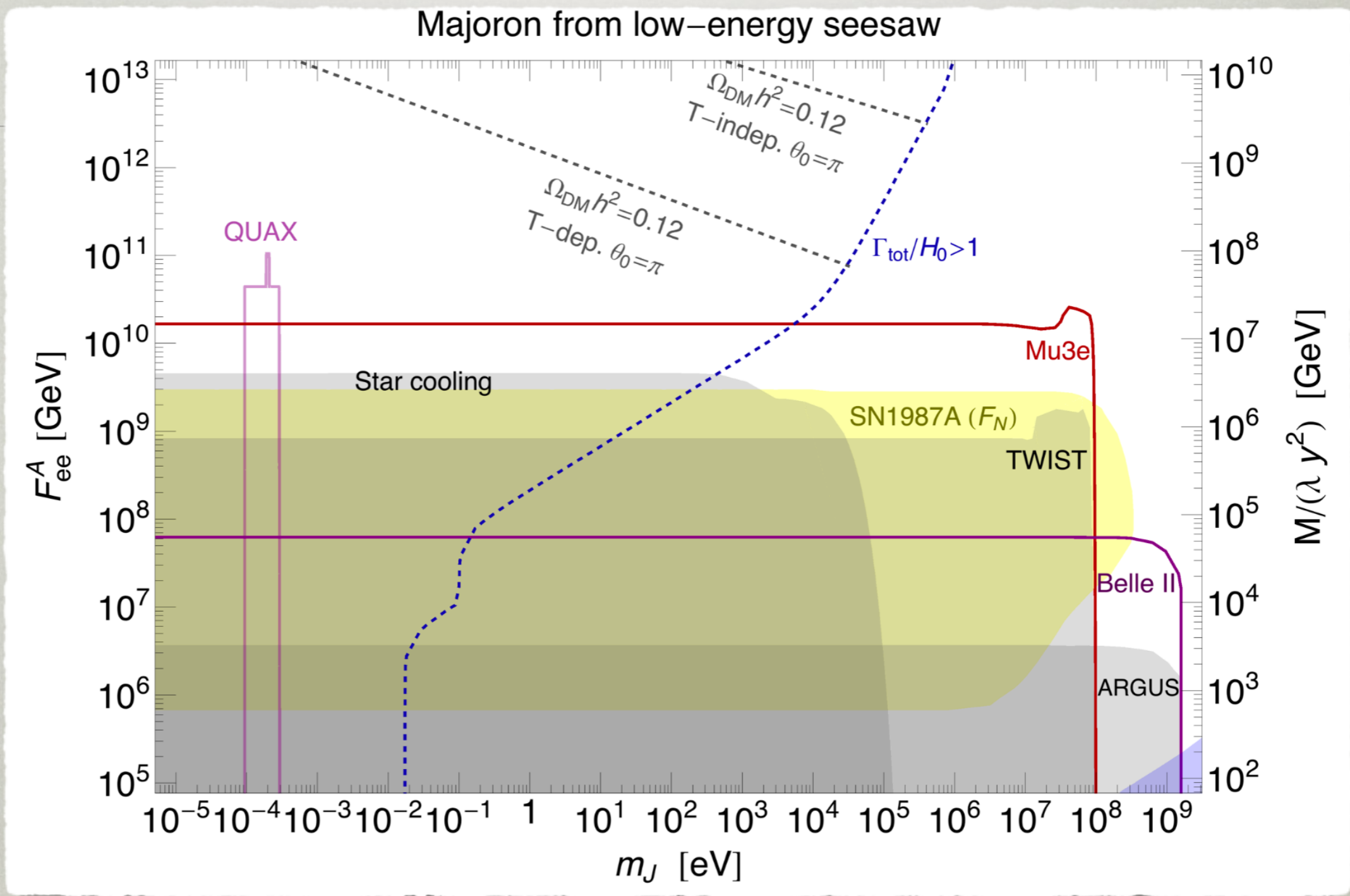


LEPTONIC FAMILON



MAJORON

- majoron- PNGB due to spontaneous breaking of the lepton number
- neutrino masses $m_\nu \propto y_\nu y_\nu^T v^2 / m_N$
- majoron couplings, $C_{ij} \propto y_\nu y_\nu^\dagger$
- if m_ν suppressed by global U(1)
 - \Rightarrow majoron observable
 - "low energy see-saw"

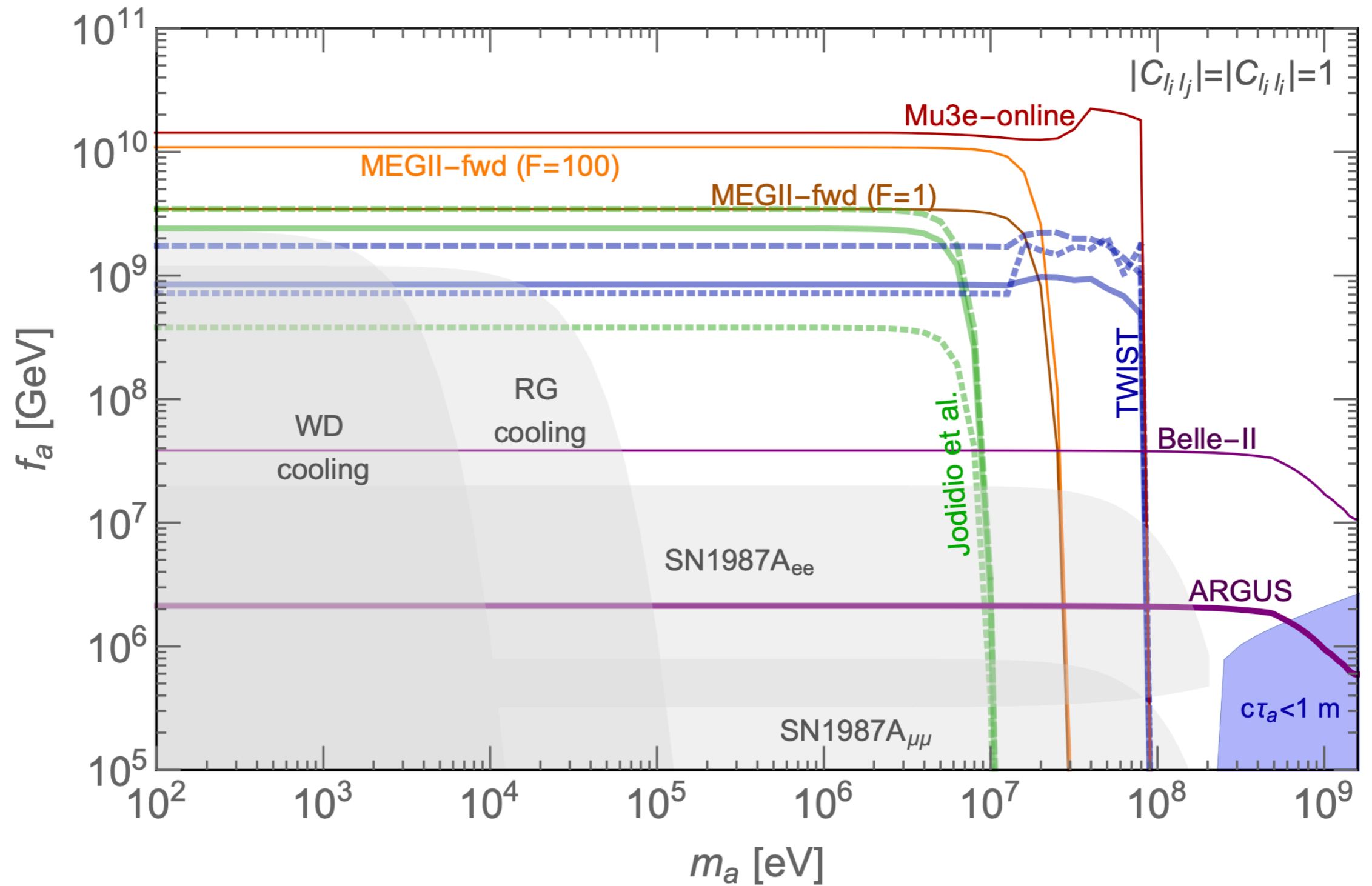


- "low energy see-saw"

ASTROPHYSICS BOUNDS

Raffelt, Weiss , [hep-ph/9410205](#)

- bounds on massless ALP-electron from red giants and white-dwarf cooling well known
 - due to $e^- + N \rightarrow e^- + N + a$
 - rescaled to nonzero ALP masses
- above $m_a \gtrsim 0.1$ MeV SN bounds become important
- also bounds on couplings to muons, but less severe



- also bounds on couplings to muons, but less severe

CONNECTION TO BARYOGENESIS

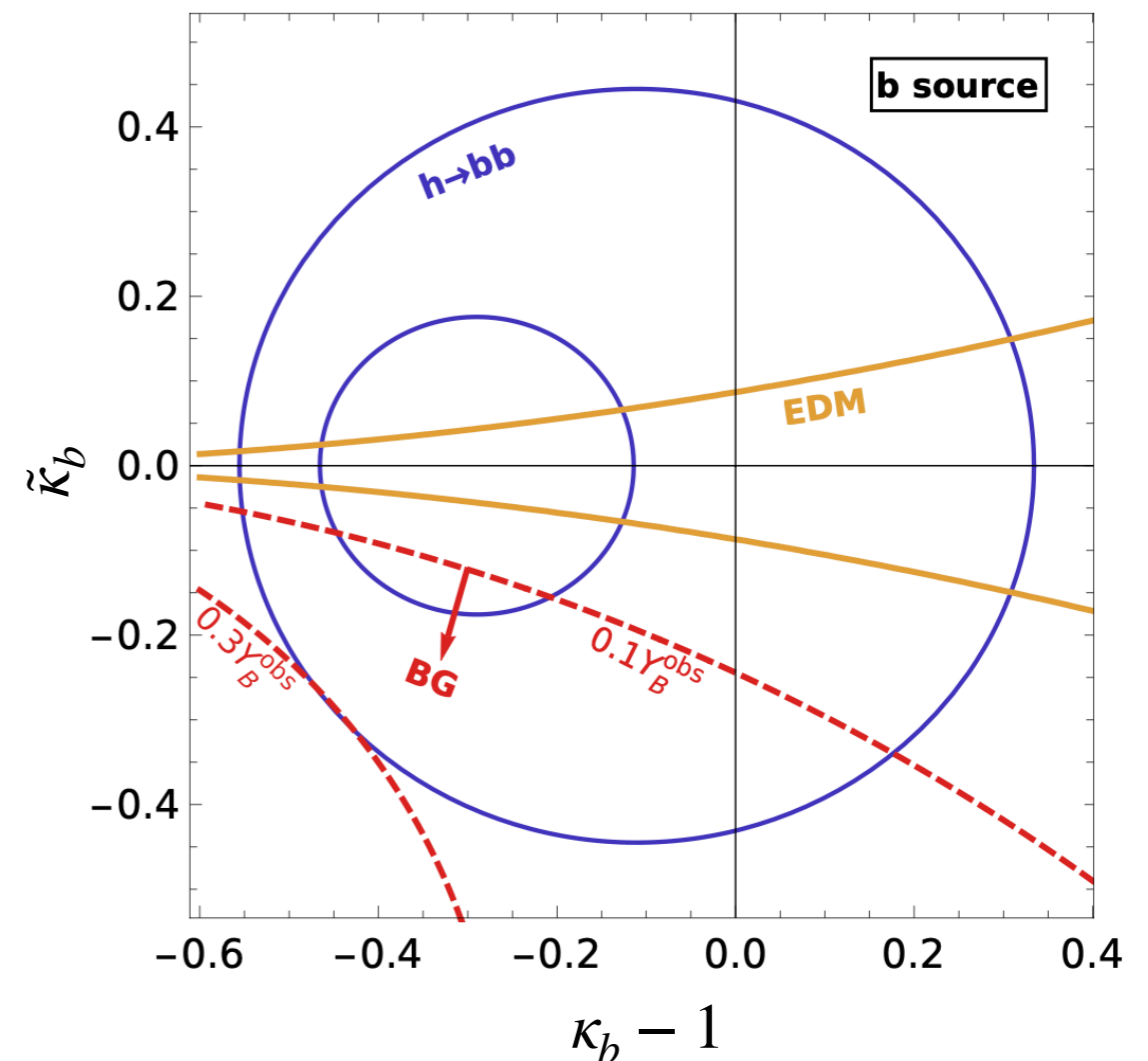
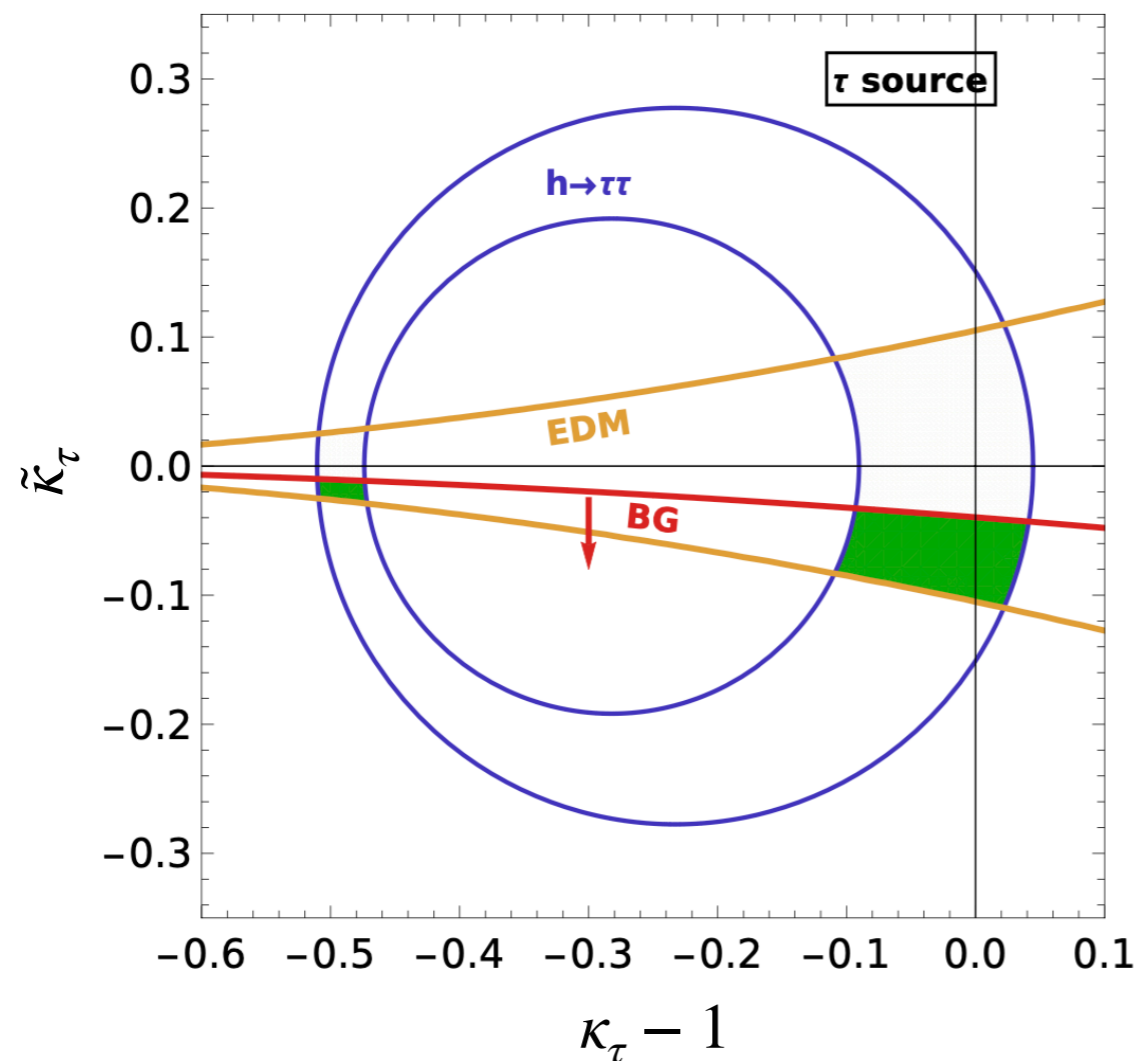
Fuchs, Losada, Nir, Viernik, 1911.08495, 2003.00099

- if EW baryogenesis assumed to be dominated by dim 6 Yukawas
 - \Rightarrow lower limit on CPV Yukawas, κ_f
- additional assumptions:
 - there are additional d.o.f.s that give strongly first-order EWPT
 - these do not change SM fermion interact. in the bubble wall
 - no other (relevant) sources of CPV
- tau $\tilde{\kappa}_\tau \neq 0$ can explain EWBG, but not top or bottom
 - reduced wash-out since no strong sphalerons for tau lepton
 - large lepton diffusion coeffs. lead to efficient diffusion of baryon asymmetry into the broken phase
 - overcompensate the smaller τ -Yukawa coupling

CONNECTION TO BARYOGENESIS

Fuchs, Losada, Nir, Viernik, 2003.00099

- $\tilde{\kappa}_\tau \sim 0.01 - 0.1$ required for successful EWBG
- corresponds to $\Lambda/\sqrt{\lambda'_{\tau\tau}} \lesssim 18 \text{ TeV} \sqrt{0.01/\tilde{\kappa}_\tau}$



2HDM EXAMPLE: SEQUESTERED MASS GENERATION

- two Higgs doublets, neutral vevs: $\phi, \phi',$ vevs v, v'
 - ϕ couples to 3rd family, ϕ' to all three

$$\tan \beta = v/v'$$

$$M^l = \begin{pmatrix} \times & \times & \times \\ \times & \times & \times \\ \times & \times & \times \end{pmatrix}$$

ϕ'
 ϕ and ϕ'

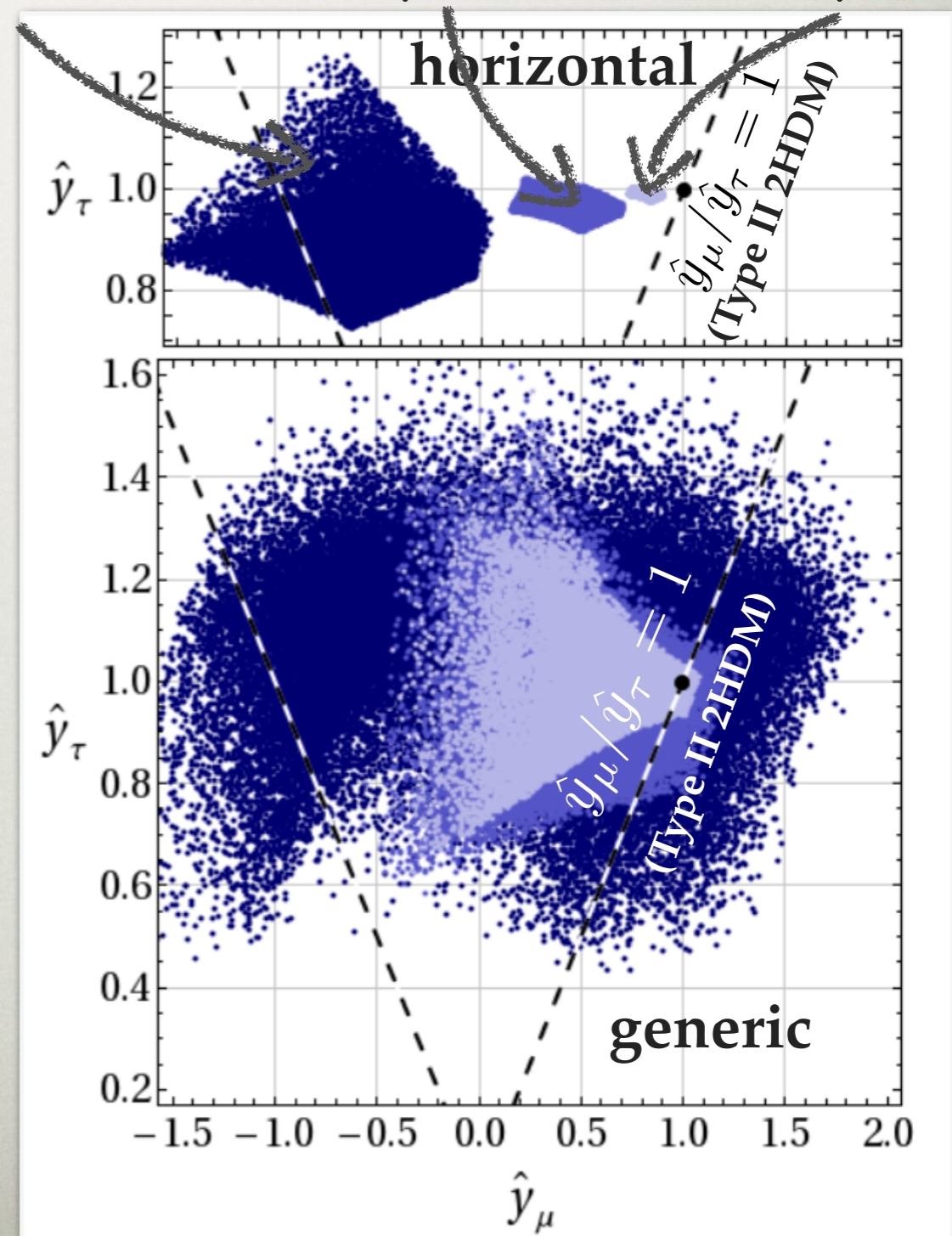
- a hierarchy of vevs $v \gg v'$ can explain $m_\tau \gg m_\mu$
- consider two flavor structures for ϕ' contribs. to M^l
 - “horizontal”: only off-diagonal entries nonzero
 - “generic”: all m_{ij}' nonzero

DIAGONAL YUKAWAS

CMS: $Br(h \rightarrow \tau\mu) < 0.15\%$

$Br(h \rightarrow \tau\mu) = 0.84\%$ $Br(h \rightarrow \tau\mu) = 0.28\%$ $Br(h \rightarrow \tau\mu) = 0.08\%$

- scanning over mass matrix entries and imposing:
 - that m_μ, m_τ are eigenvalues
 - the heavy Higgs xsec bounds
- ratios $\kappa_\mu < 1$ and $\kappa_\mu/\kappa_\tau < 1$ favored
- sizeable flavor violating $Br(h \rightarrow \tau\mu)$



DIAGONAL YUKAWAS

CMS: $Br(h \rightarrow \tau\mu) < 0.15\%$

$Br(h \rightarrow \tau\mu) = 0.84\%$ $Br(h \rightarrow \tau\mu) = 0.28\%$ $Br(h \rightarrow \tau\mu) = 0.08\%$

- scanning over mass matrix entries and imposing:
 - that m_μ, m_τ are eigenvalues
 - the heavy Higgs xsec bounds
- ratios $\kappa_\mu < 1$ and $\kappa_\mu/\kappa_\tau < 1$ favored
- sizeable flavor violating $Br(h \rightarrow \tau\mu)$

