



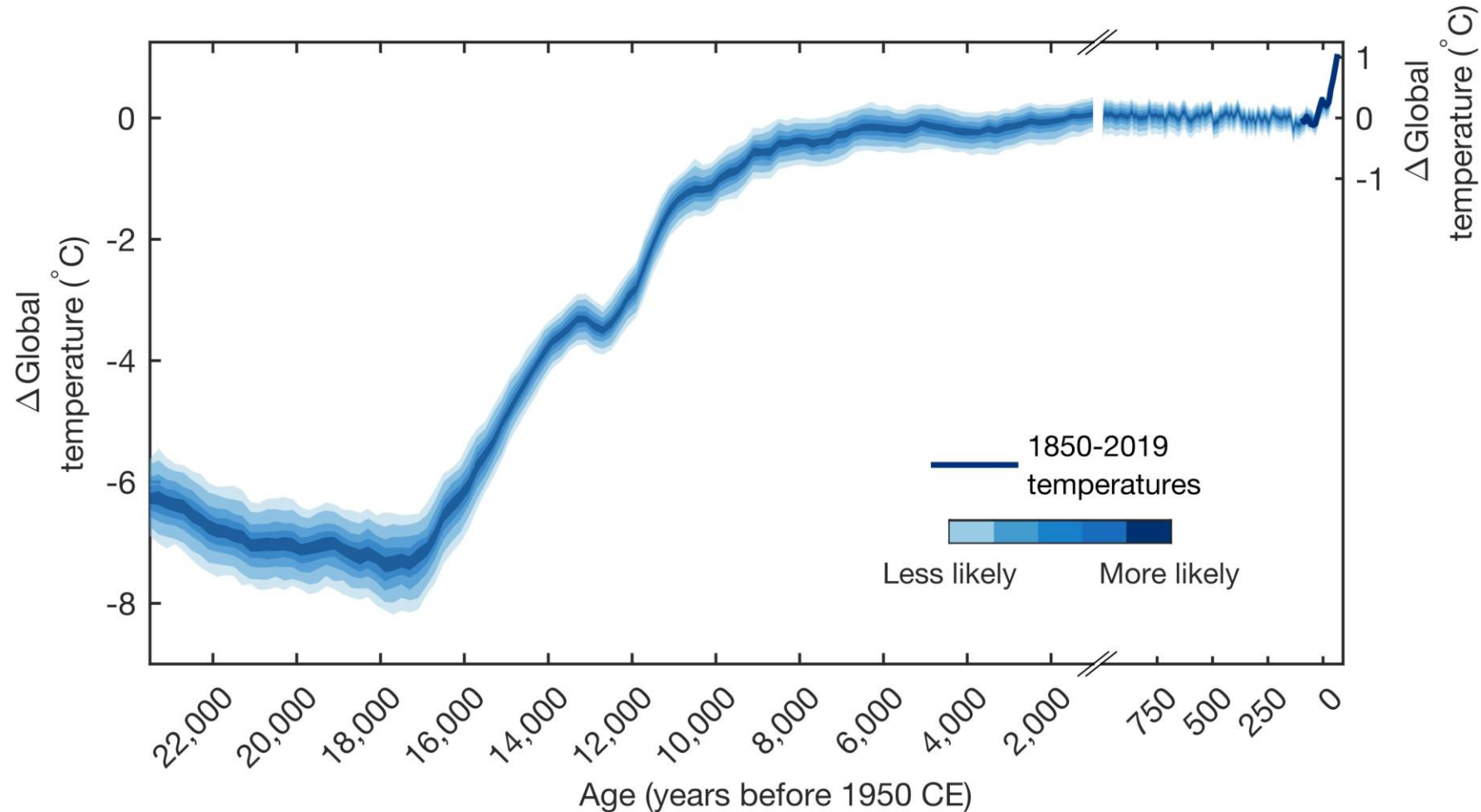
Basics of Clouds and Convection

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Assistant Professor,

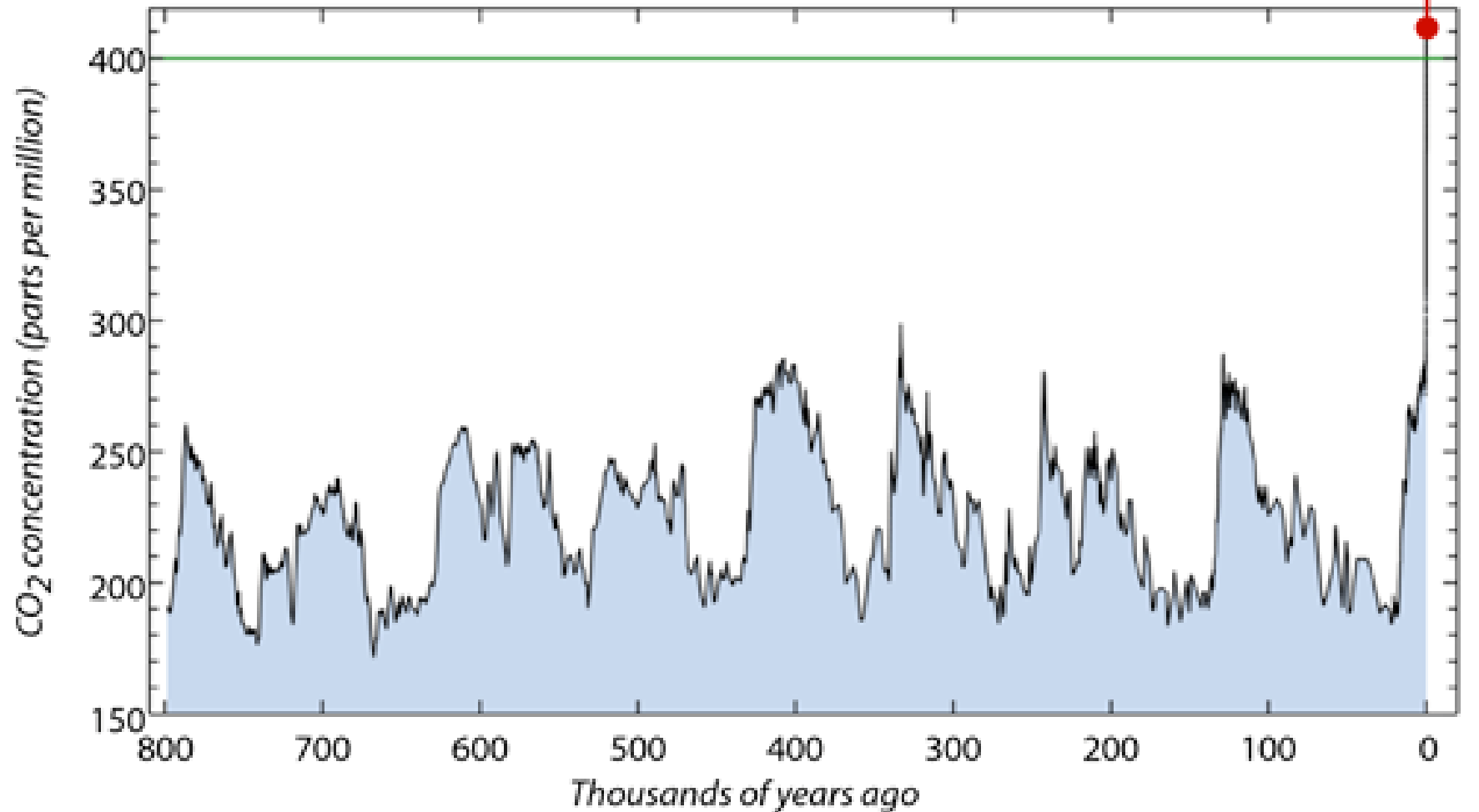
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Civilization is possible because earth's temperature is stable and habitable! Was that always so?



A History of CO₂ in the Atmosphere

The concentration of carbon dioxide in the atmosphere fluctuates over time, but since the start of the Industrial Era, it has shot to levels not seen in millions of years.



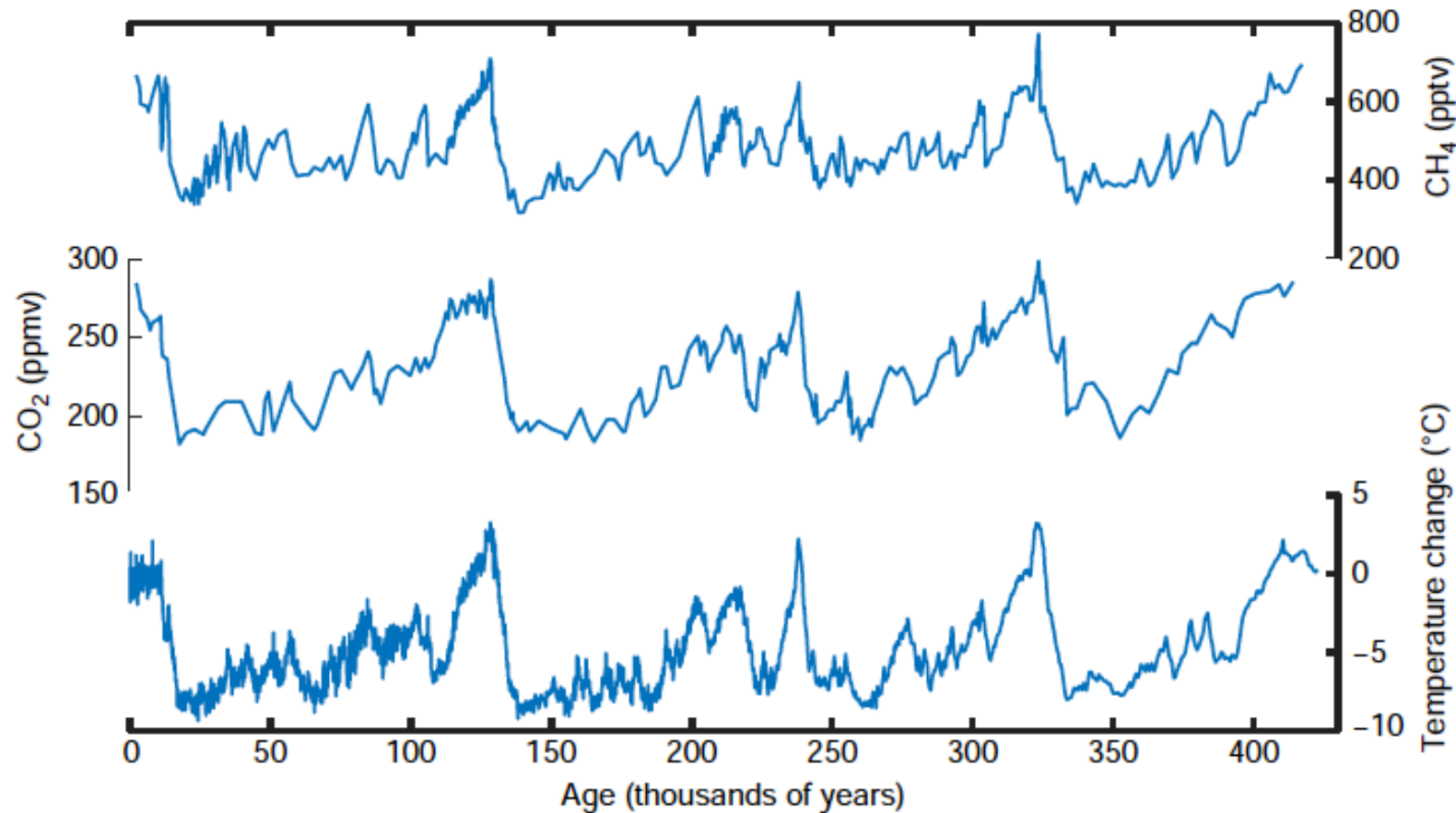
Dec. 17, 2018
408.7 ppm

26 June 2023
~ 420 ppm



What is the value today?

Changes in CO₂ levels drive changes in earth's temperature



Food for thought:

Which word(s) better describes the variation in CO₂?

- Linear change
- Cyclical change
- Non-linear change
- Random change

Earth's puzzling past : Snowball – Ice-age – Present day

Planet Earth Through the Ages

Pale Orange Dot



3.8 to 2.5 billion years ago

During the Archean era, atmospheric haze might have made Earth pale orange instead of pale blue –

a haze possibly caused by micro-organisms.

Snowball Earth



630 million years ago

The planet might have been almost completely covered in ice, though perhaps with slushy oceanic openings near the equator.

Dinosaur Era



252 to 66 million years ago

Even after the continents and oceans took on a more modern look, their shapes were very different in earlier epochs.

The Last Ice Age



2.6 million to 11,700 years ago

By the onset of the most recent Ice Age during the Pleistocene era the continents had, for the most part, assumed their present shapes and positions, but large parts of the surface were covered in glacial ice.

Present Day Earth



11,700 years ago to now

The Holocene era has seen the expansion of human civilization across the planet, and the rise of advanced technology.

What makes earth's temperature habitable?



Fourier (1824)

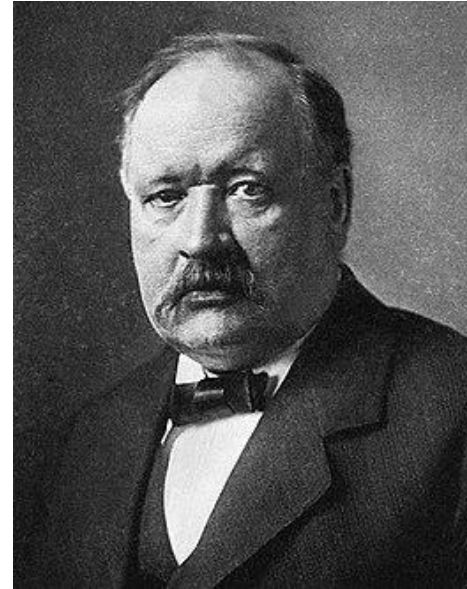
Greenhouse
effect

6/28/2023



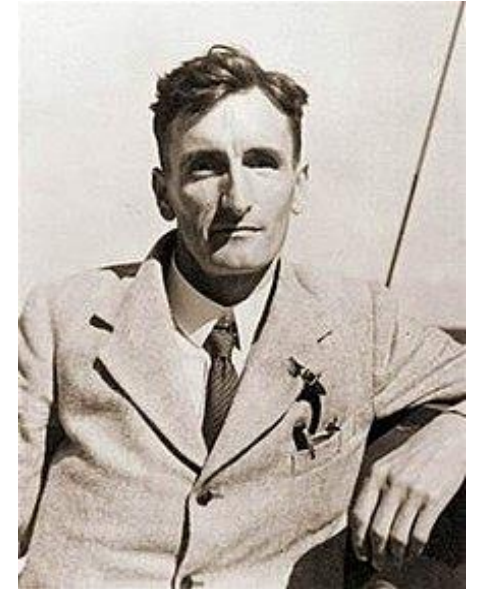
Tyndall (1862)

Water vapor major
greenhouse gas



Arrhenius (1896)

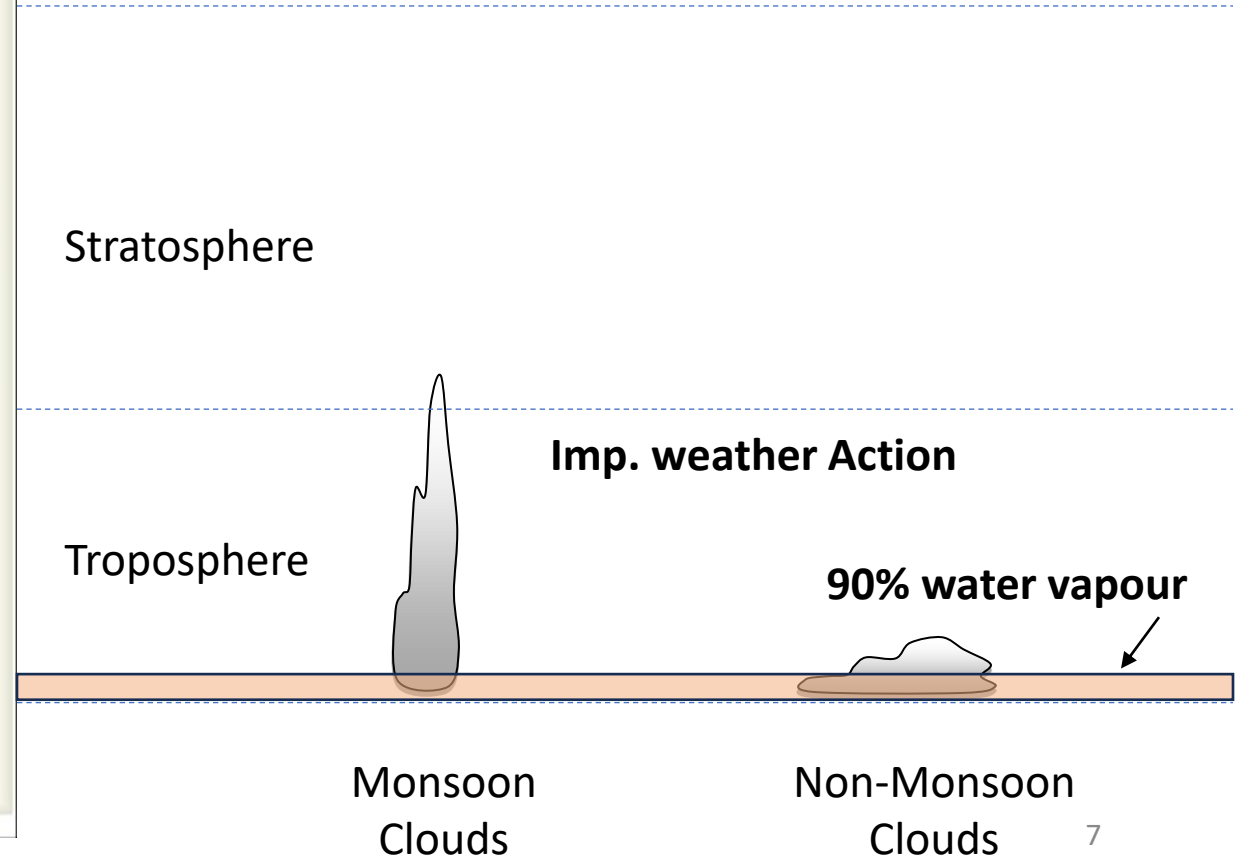
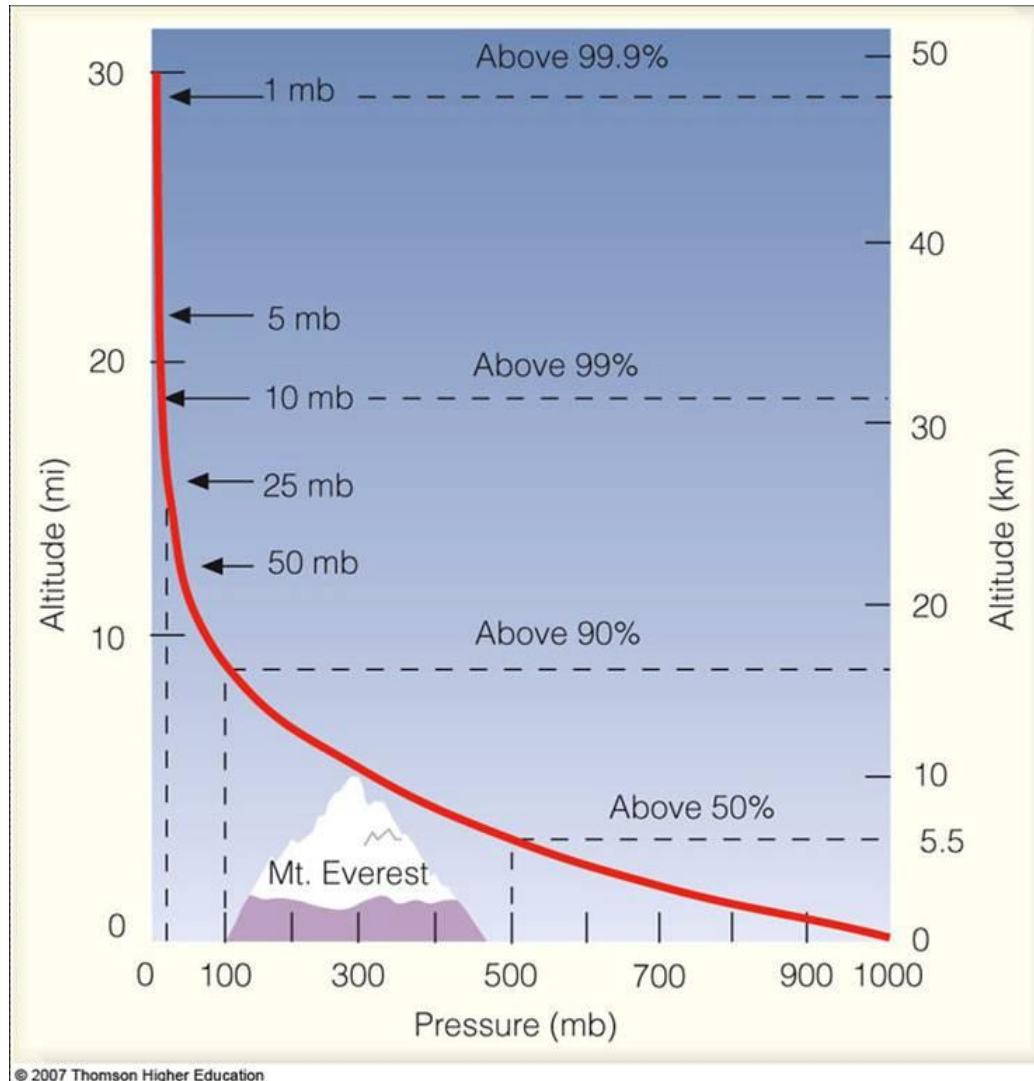
First calculation of
global warming

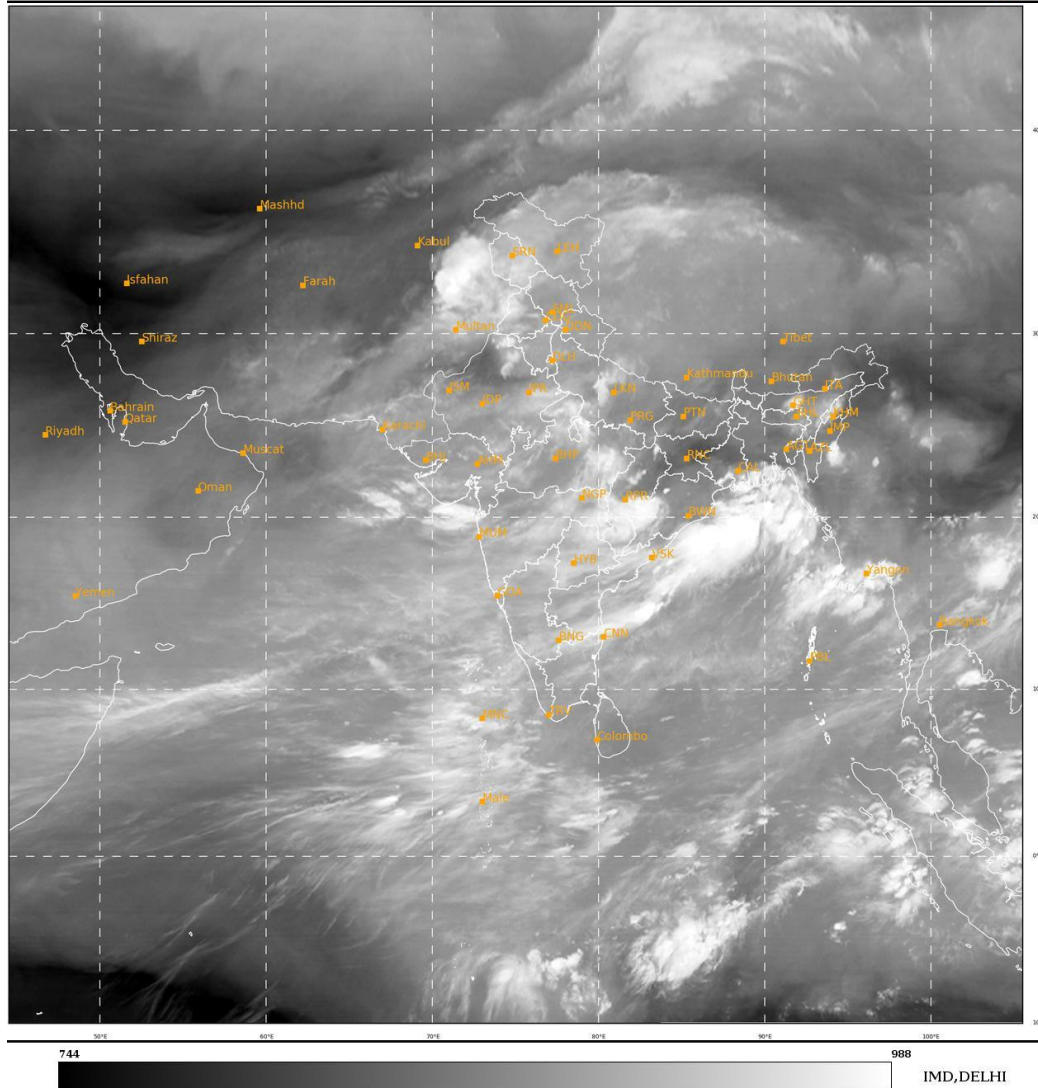


Callander (1938)

CO₂ warming can
be beneficial!

90% of atmospheric air mass in **Troposphere** (first 15km)





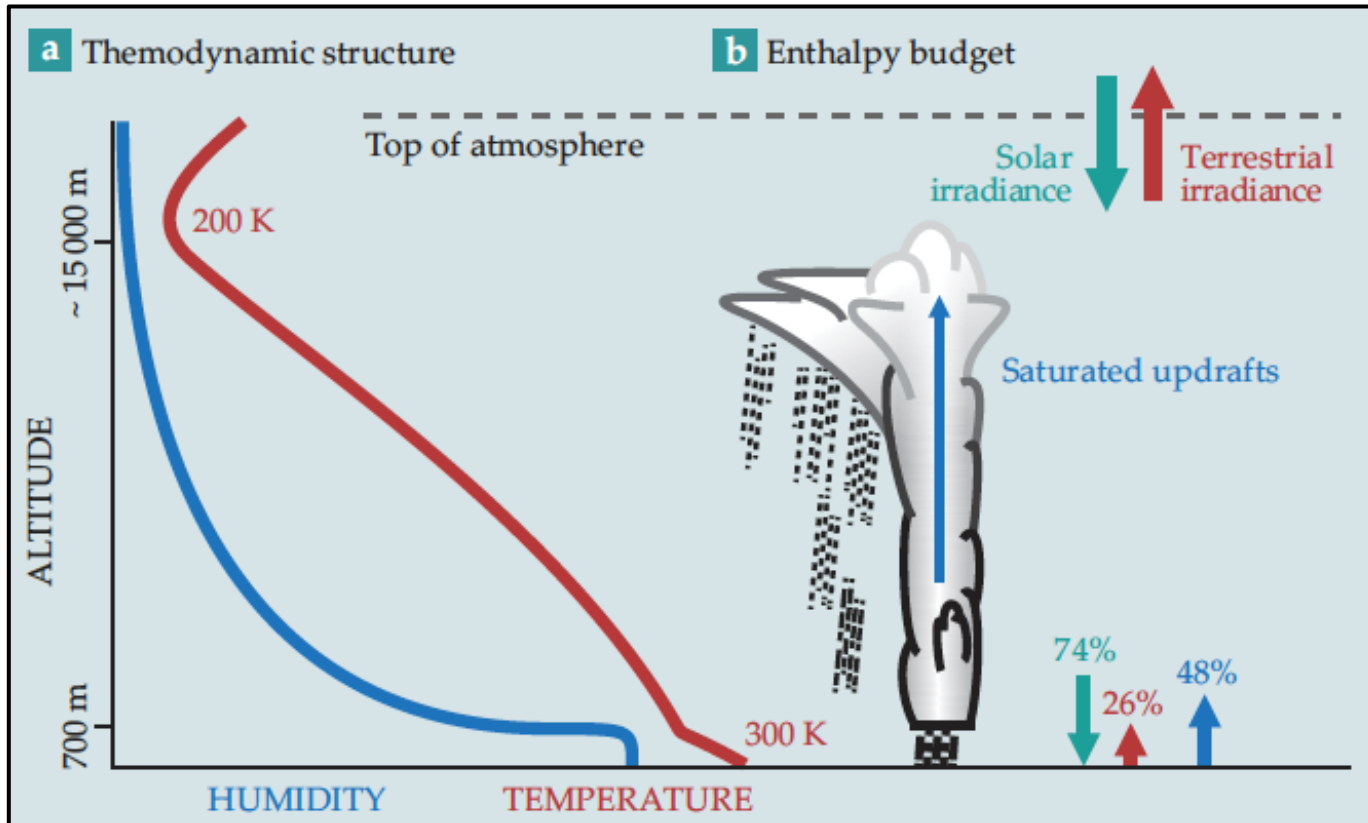
Rainfall : Clouds : Circulations are tightly coupled

Unique properties of water

1. Anomalous latent-heat of phase change
2. Ability to interact with a wide range of radiation spectrum: gate-keeper of energy
3. Lighter molecular weight than air

INSAT Upper level water vapour
(White : Abundance of water vapour)

Water molecule modulates hydrological cycle



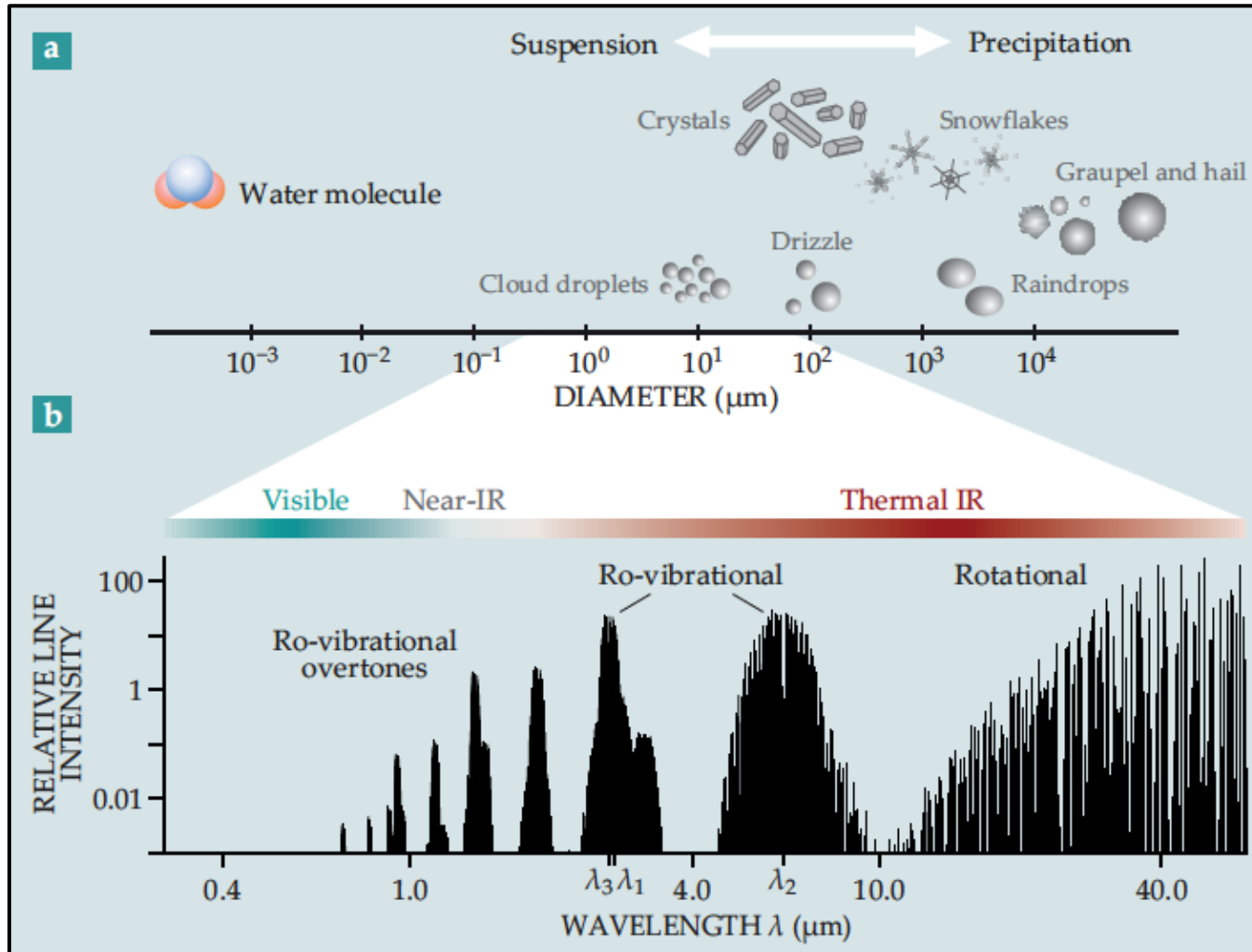
- Saturation:

Clausius-Clapeyron equation

$$\ln e_s = \frac{\beta}{T} \ln T$$

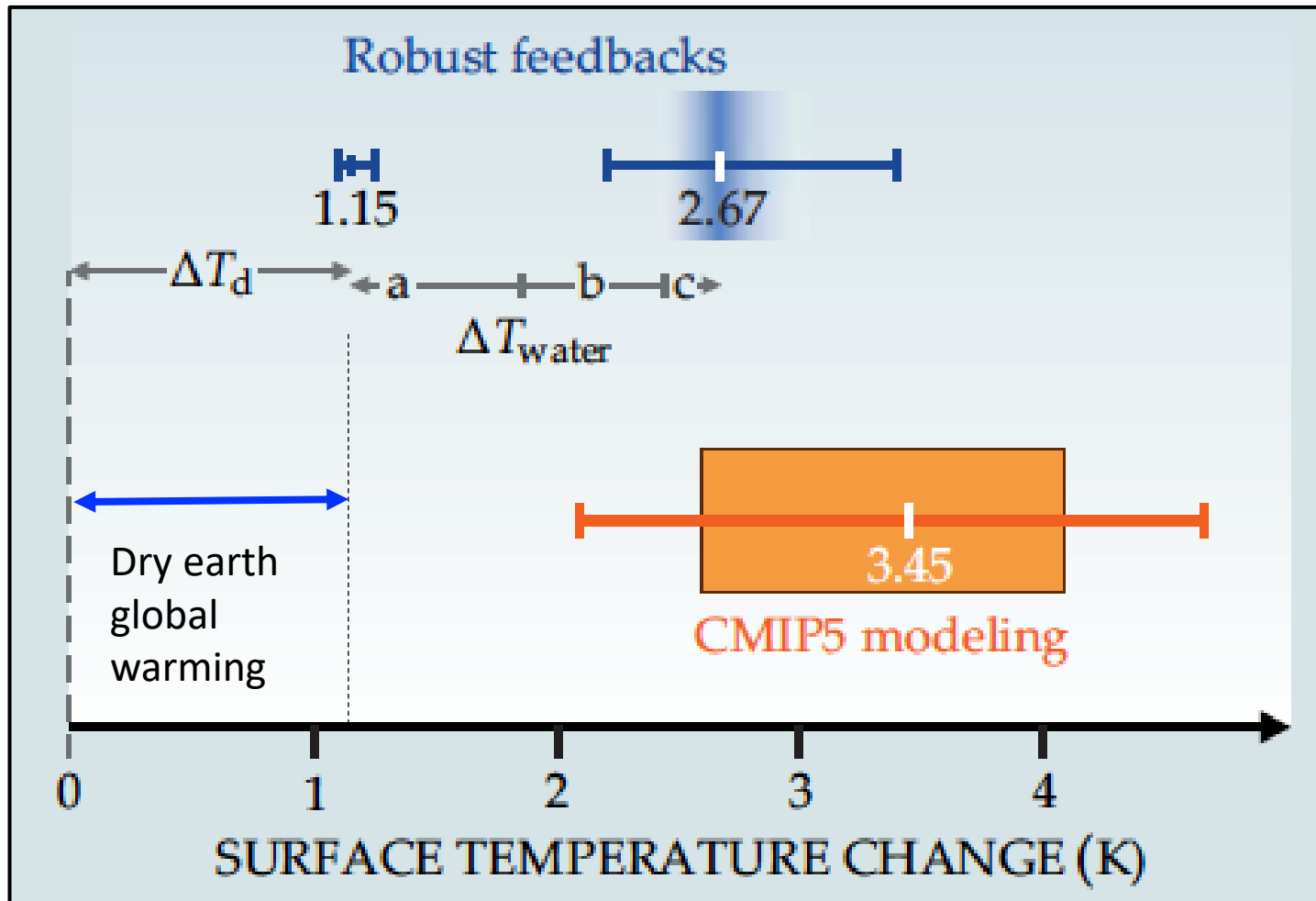
- β for water vapor is 3 to 4 times that of other condensable substances like Methane, Ammonia

Water molecule: A gatekeeper of radiative energy



- Myriad of effects as “Cloud hydrometeors”
- Absorbs earth’s radiation over wide spectrum

Incomplete understanding of Rain : Cloud : Circulation hampers accurate estimations of Global warming

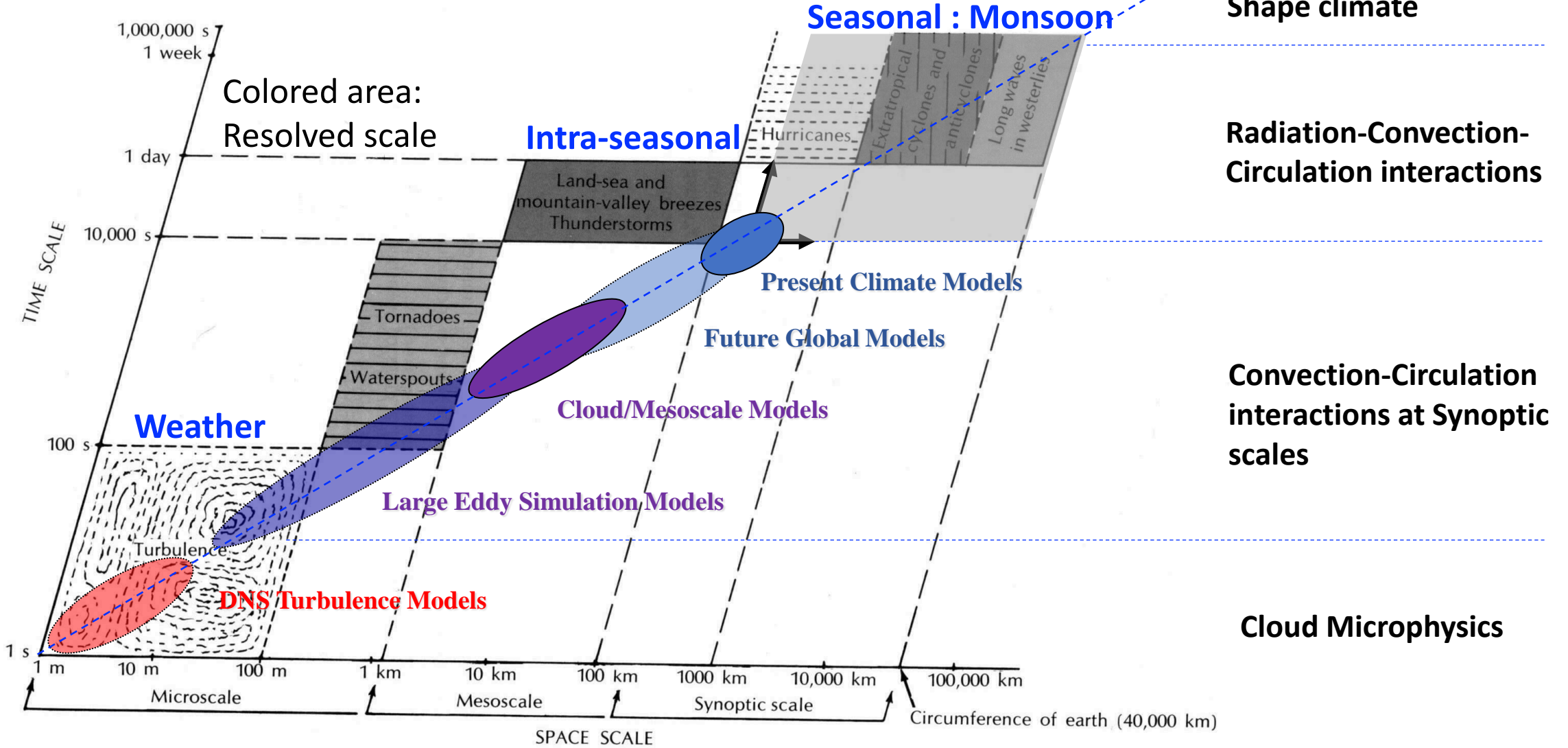


Response to doubling of CO₂ in IPCC Climate models

- uncertainty due to clouds
- Warming only due to CO₂ doubling without feedbacks

Scales of Atmospheric Processes

Climate



Modification of the figure from Andrew Gettleman, NCAR

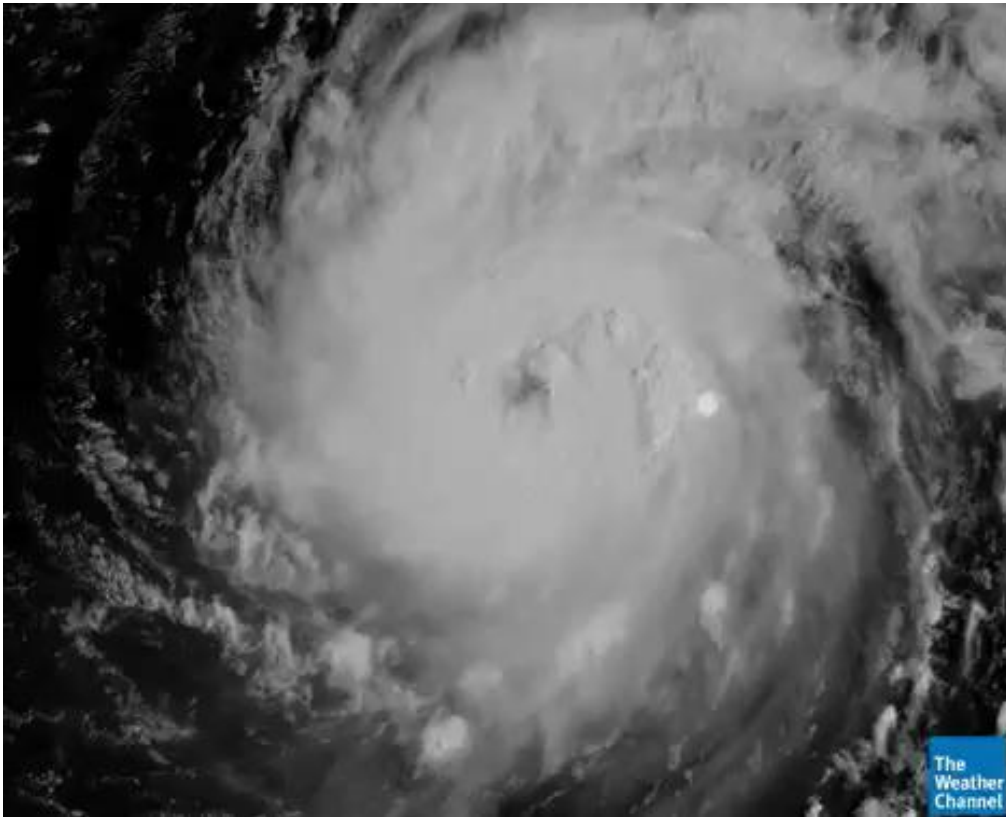
Cloud-Circulation coupling on different time-scales

- Small scales: Microphysics – Turbulence coupling
- Synoptic scales: Convection – Circulation feedbacks
- Planetary scales: Cloud radiation – Circulation feedbacks
- Convective momentum transport feedbacks



Credits: Stu Ostro's twitter handle

Satellite's view

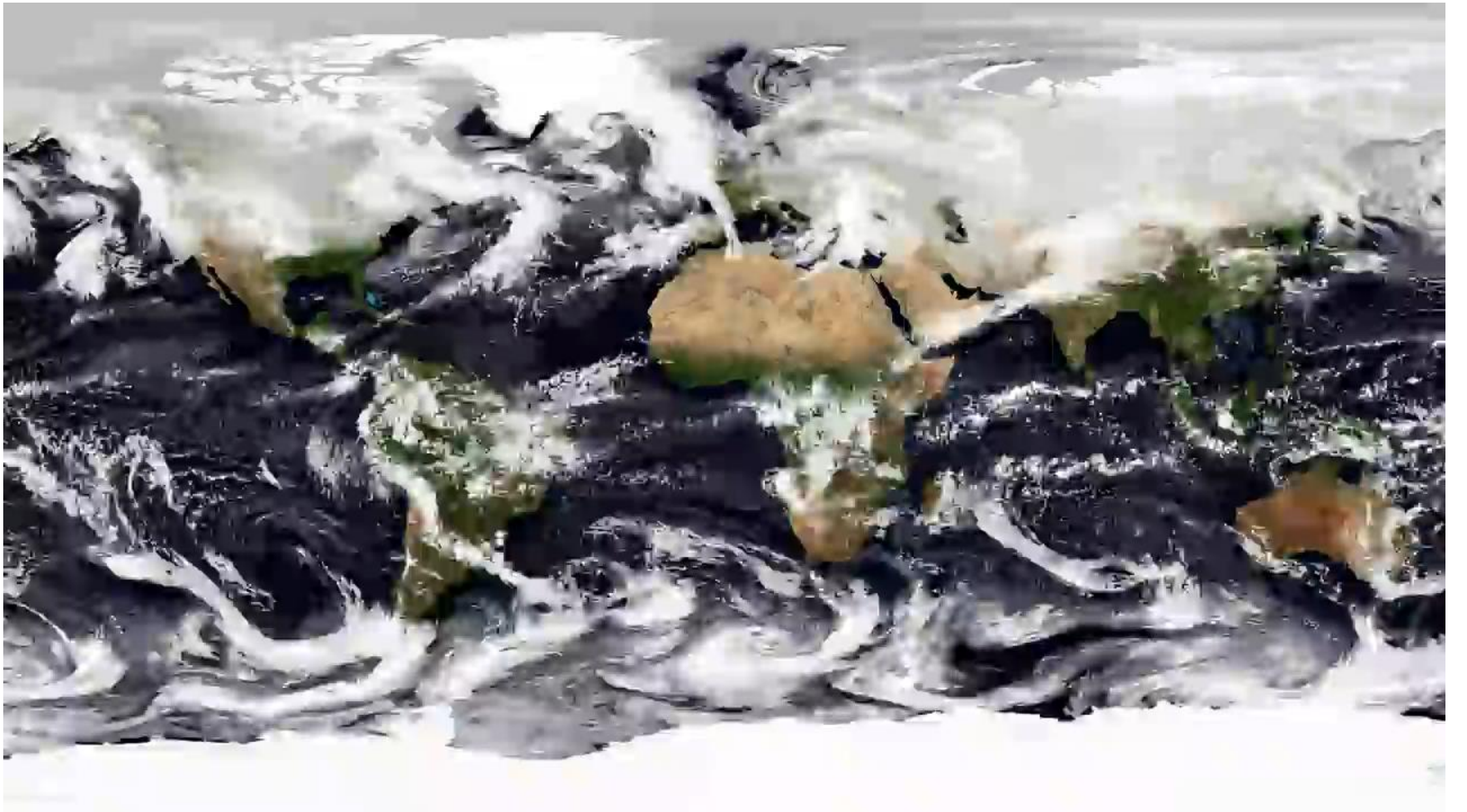


Credits: Twitter handle of "The weather Channel"

What we see



Credits: Stu Ostro's Twitter handle



Source : NASA

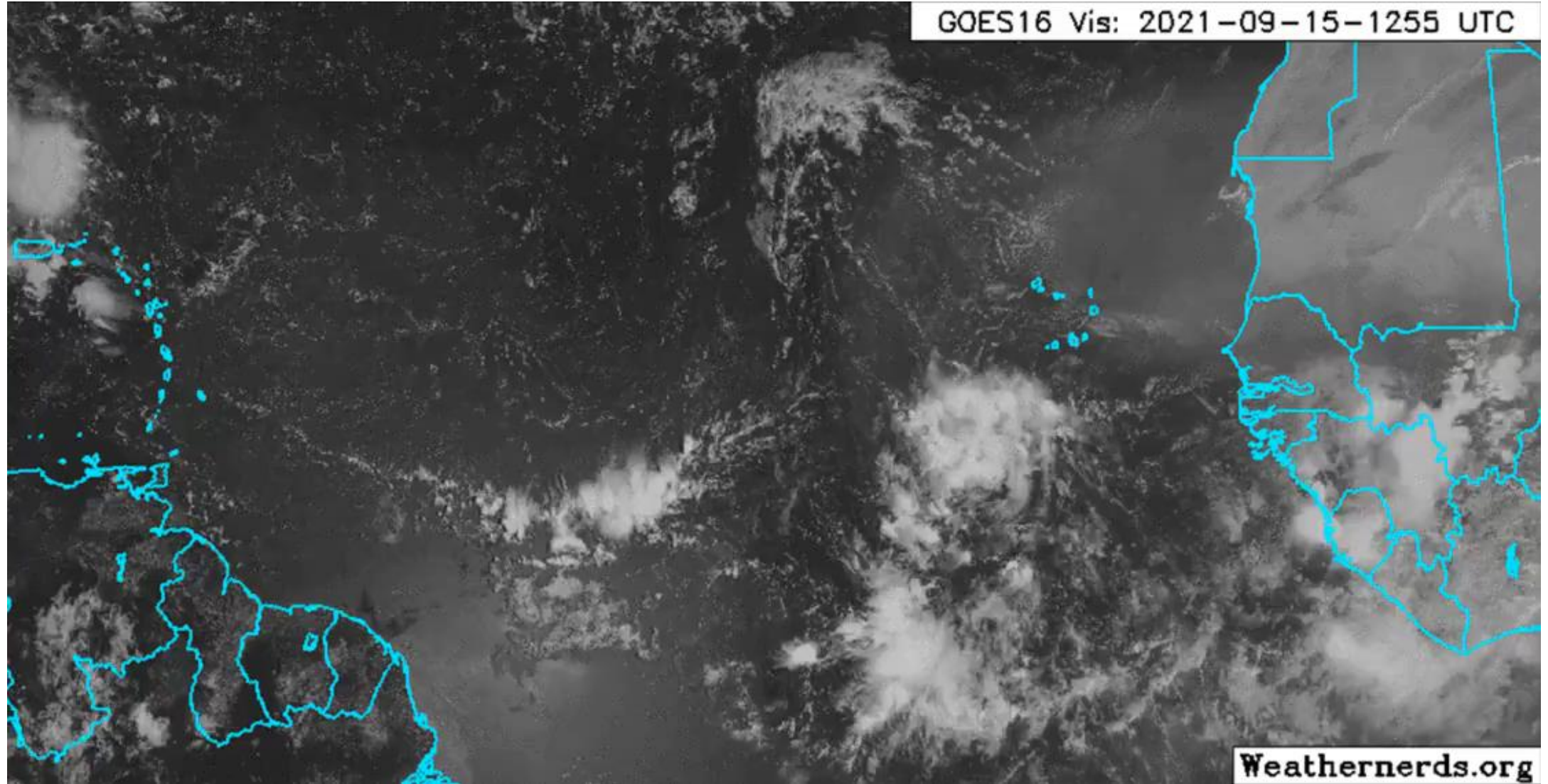


Stu Ostro's twitter



Stu Ostro's twitter

GOES16 Vis: 2021-09-15-1255 UTC



Weathernerds.org



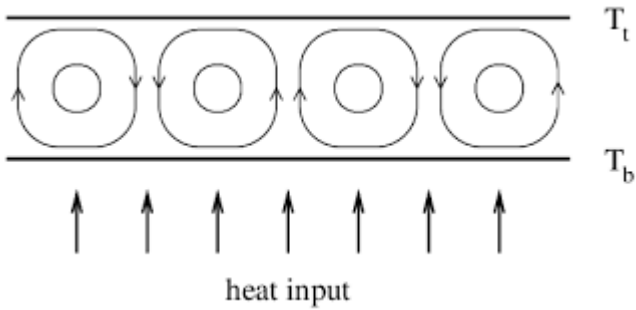
6/28/2023

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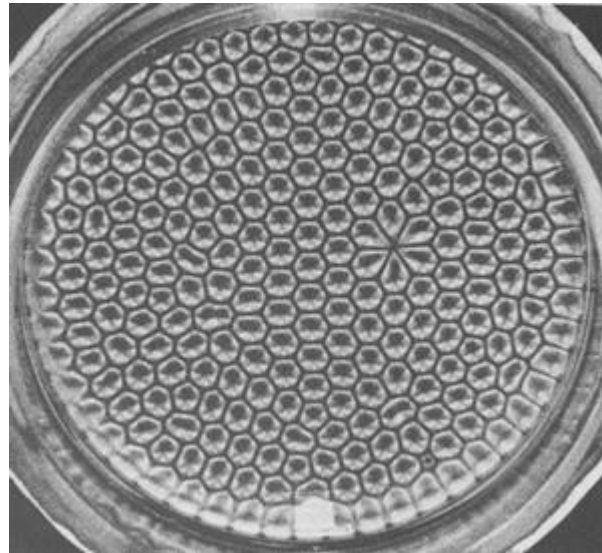
How do we understand this variability in cloud-circulation coupling?

Thermodynamics of Clouds and Convection



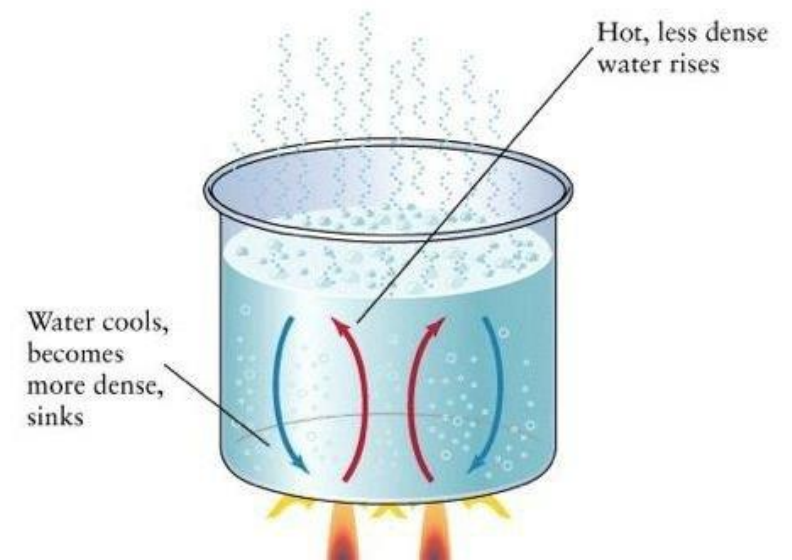
Two plate heated from bottom and cooled from top

$Ra \sim 2000$



Korenin et al. 2019

Note: Upper surface is not a plate



$Ra \sim 10^4-10^8$

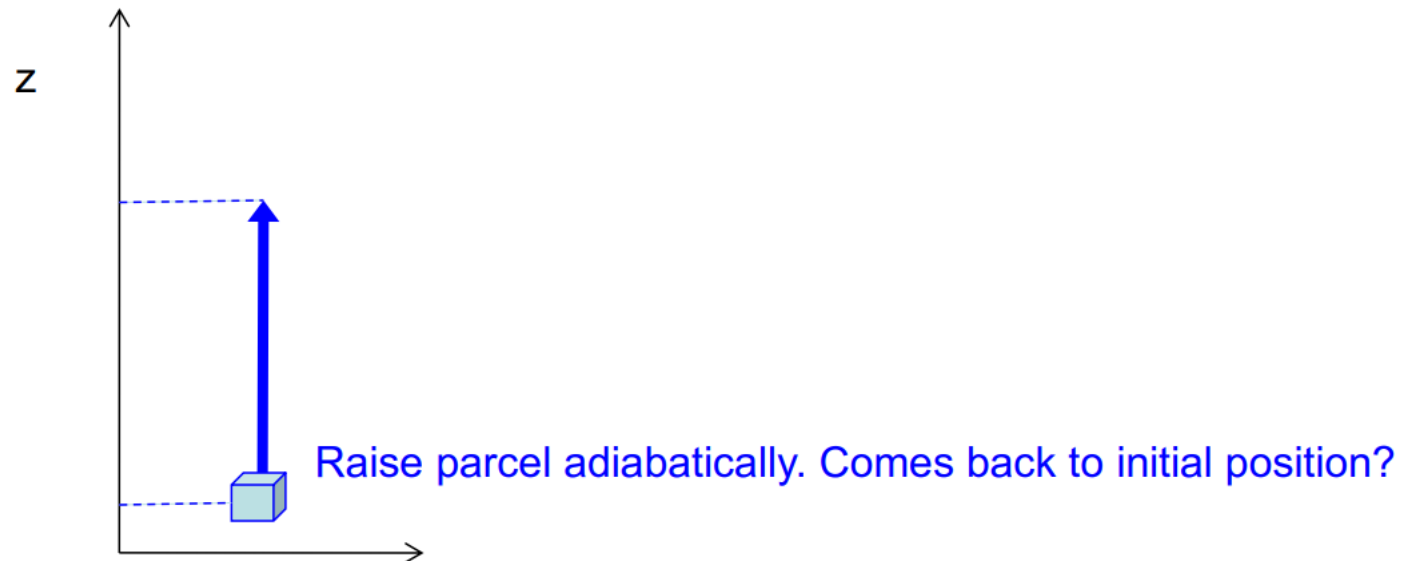
$$Ra = \frac{\text{time scale for thermal transport via diffusion}}{\text{time scale for thermal transport via convection at speed } u}$$

Thermodynamics of Clouds and Convection

Atmospheric dry convection $Ra > 10^{12}$

Unlike the dishpan case or Rayleigh-Benard rolls, both T and P decrease with height in the atmosphere!

Density $\rho = f(T, P)$: How to determine if a particular air mass will rise-up or not?
Clue – Parcel Method



Thermodynamics of Clouds and Convection

- Dry Convection : Potential temperature is conserved under Adiabatic displacement of parcel
- If one can make hydrostatic approximation, then one can show that dry static energy is conserved as well.
- How do we decide the stability of dry atmosphere?
- Dry Adiabatic lapse rate

Dry convection

Potential temperature $\theta = T (p_0 / p)^{R/c_p}$ conserved under adiabatic displacements :

Adiabatic displacement

1st law thermodynamics: $d(\text{internal energy}) = \Delta Q$ (heat added) – ΔW (work done by parcel)

$$c_v dT = - p d(1/\rho)$$

$$\text{Since } p = \rho R T, \quad c_v dT = - p d(R T / p) = - R dT + R T dp / p$$

$$\text{Since } c_v + R = c_p, \quad c_p dT / T = R dp / p$$

$$\Rightarrow d \ln T - R / c_p d \ln p = d \ln (T / p^{R/c_p}) = 0$$

$$\Rightarrow T / p^{R/c_p} = \text{constant}$$

$\Rightarrow \theta = T (p_0 / p)^{R/c_p}$ **potential temperature is conserved** under adiabatic (reversible) displacement

Remark1: ideal gas law: $pV = Nkt \Leftrightarrow p = \rho R T$, $R=k/m$ where m =molecular mass

Remark2: $c_p = c_v + R > c_v$

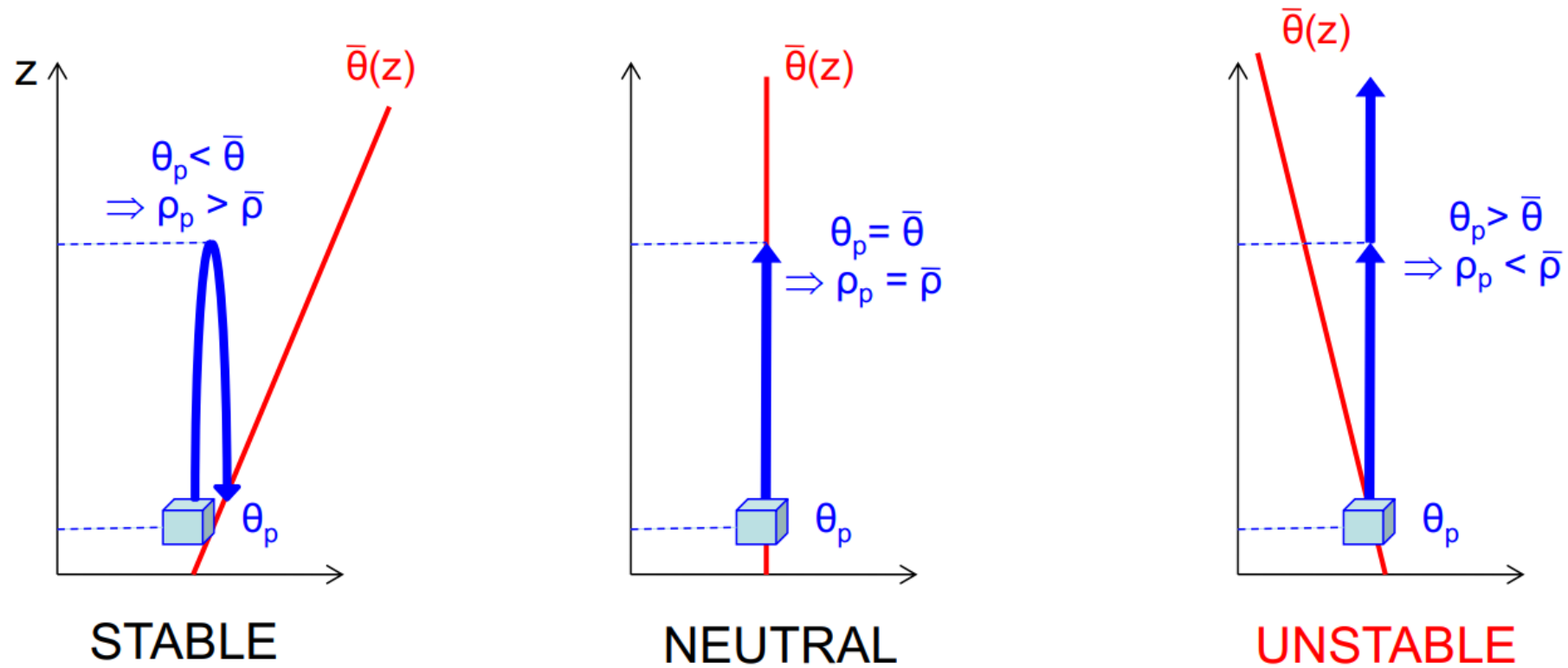
Remark3: We assumed $p_{\text{parcel}} = p_{\text{environment}} \Leftrightarrow$ quasistatic displacement

Remark4: If we make the **hydrostatic** approximation, **dry static energy $h = c_p T + g z$ is conserved** :

$$c_p dT / T = R dp / p \Leftrightarrow c_p dT = R T dp / p = - g dz \Leftrightarrow c_p T + g z = \text{constant}$$

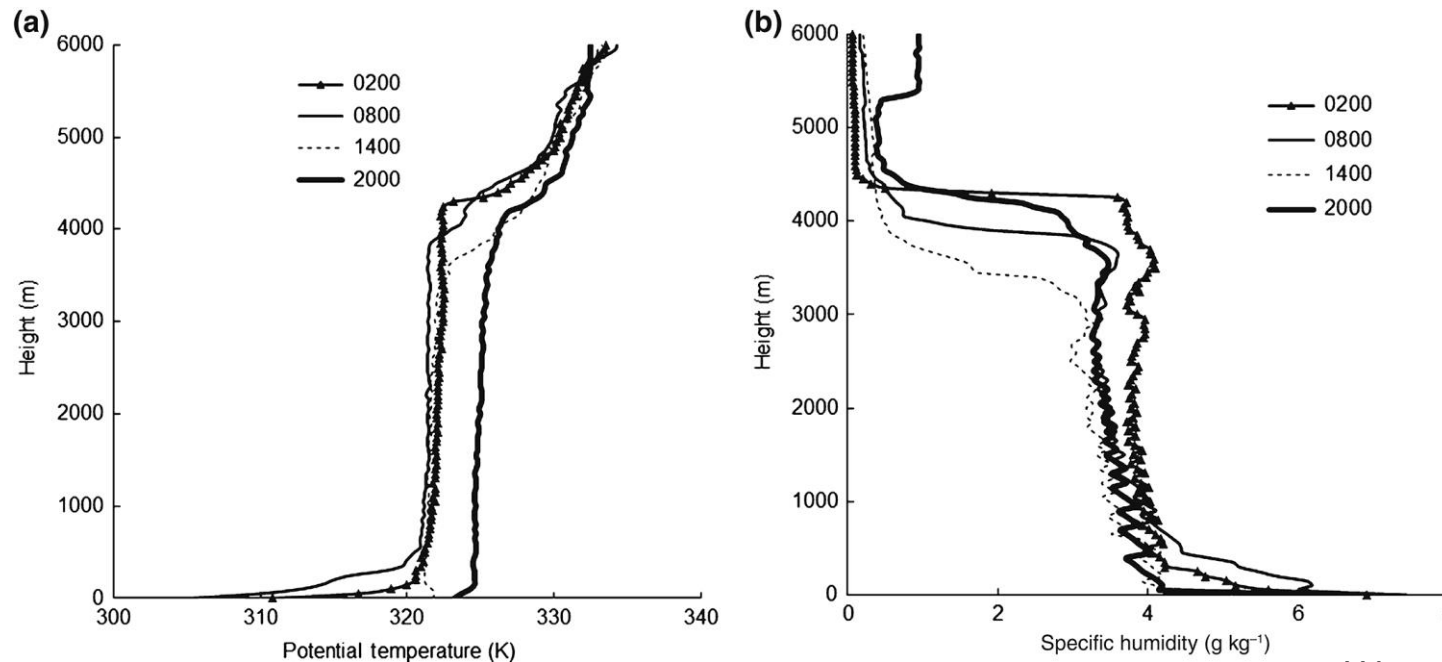
The parcel method:

Small vertical displacement of a fluid parcel adiabatic ($\Rightarrow \theta = \text{constant}$).
During movement, pressure of parcel = pressure of environment.



Thermodynamics of Clouds and Convection

Observations from Taklamakan desert in central Asia



Wang et al, 2016

Desert boundary layer is almost in a neutral state. The dry convection develops very rapidly in response to day-time heating!

Atmospheric Dry Convection

- Buoyancy driven circulation : Gives rise to ‘thermals’ in the boundary layer and clouds in the free troposphere
- Consider the equations of vertical motion (departure from hydrostatic balance) and potential temperature / dry static energy (s) conservation.
- Assume resting, horizontally uniform ‘basic state’

$$\bar{\rho} \frac{\partial w'}{\partial t} = -\frac{\partial p'}{\partial z} - \rho' g ,$$

Overbar – Horizontal average

Prime – perturbation from base state

Dry adiabatic motion

$$\frac{\partial s'}{\partial t} = -w' \frac{\partial \bar{s}}{\partial z} .$$

Pressure term reduces buoyancy effect

Atmospheric dry convection

- Perturbation density scales with dry static energy for parcel

$$-\left(\frac{\rho'}{\bar{\rho}}\right) \approx \frac{T'}{\bar{T}} = \frac{s'}{c_p \bar{T}} \quad \rightarrow \quad \frac{\partial w'}{\partial t} = \frac{g s'}{c_p \bar{T}} .$$

$$w'(t) = w'(0) \operatorname{Re}\{e^{\sigma t}\} ,$$

$$s'(t) = s'(0) \operatorname{Re}\{e^{\sigma t}\} ,$$

$$\sigma^2 = -\frac{g}{c_p \bar{T}} \frac{\partial \bar{s}}{\partial z} .$$

$\partial \bar{s} / \partial z < 0$, σ is real.

$\frac{\partial \bar{s}}{\partial z} < 0$ is the criterion for dry convective instability .

What happens when air is moist?

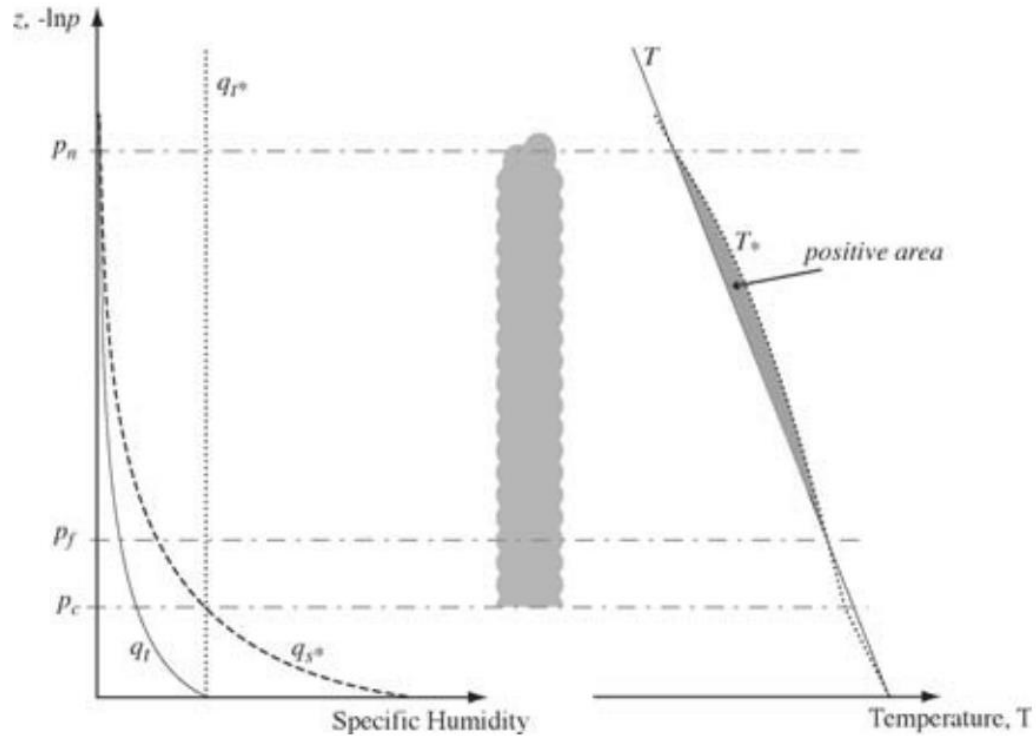
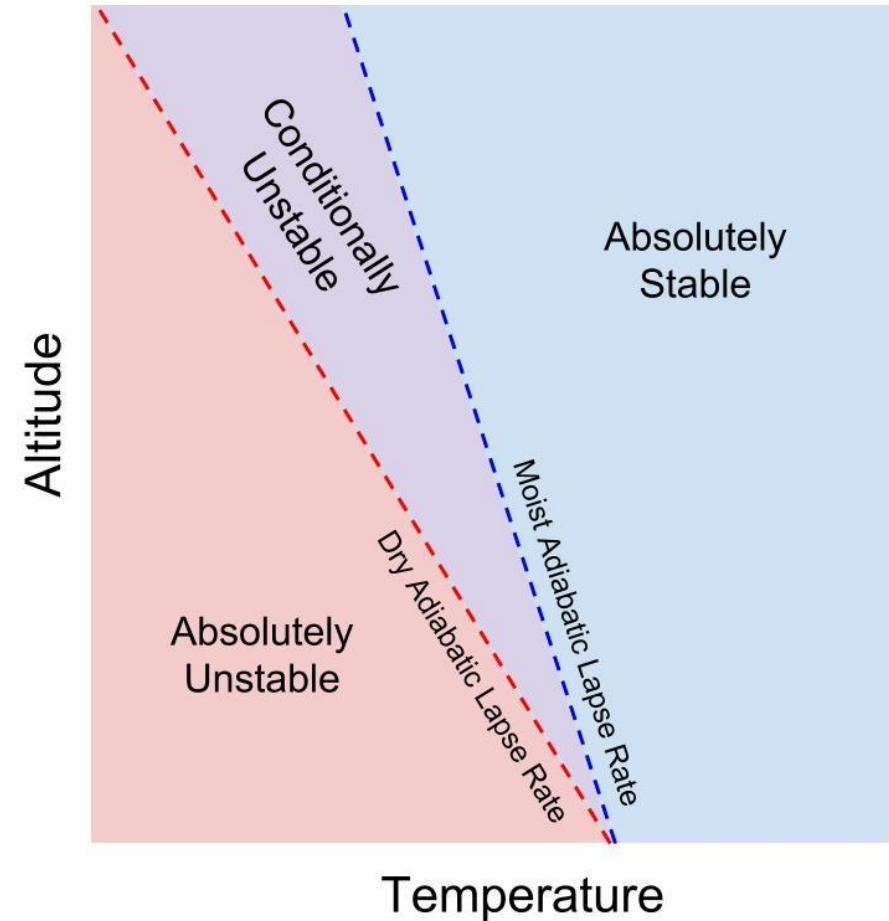
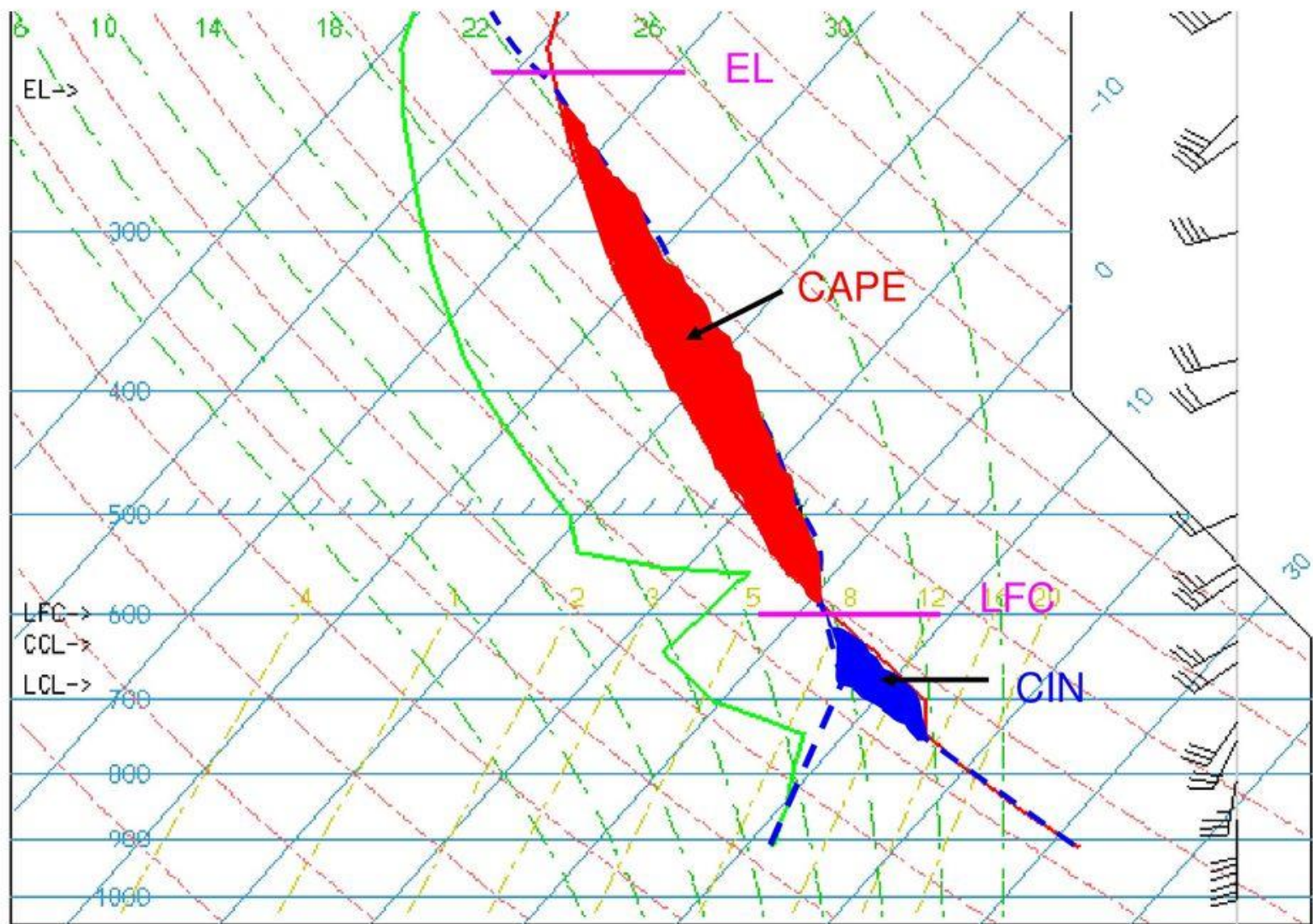


Figure 3 State diagram for an atmosphere stable to infinitesimal, but unstable to finite amplitude, displacements of near surface air.





SKEW-T/LOG-P VALID 0000 UTC 09/15/2004 KDDC Lat = 37.77 , Lon = -99.97

Figure 3: Skew-T Ln-P plot from Dodge City, KS at 0000 UTC on 15 September 2004

Thermodynamics of Clouds and Convection

- Conditional instability

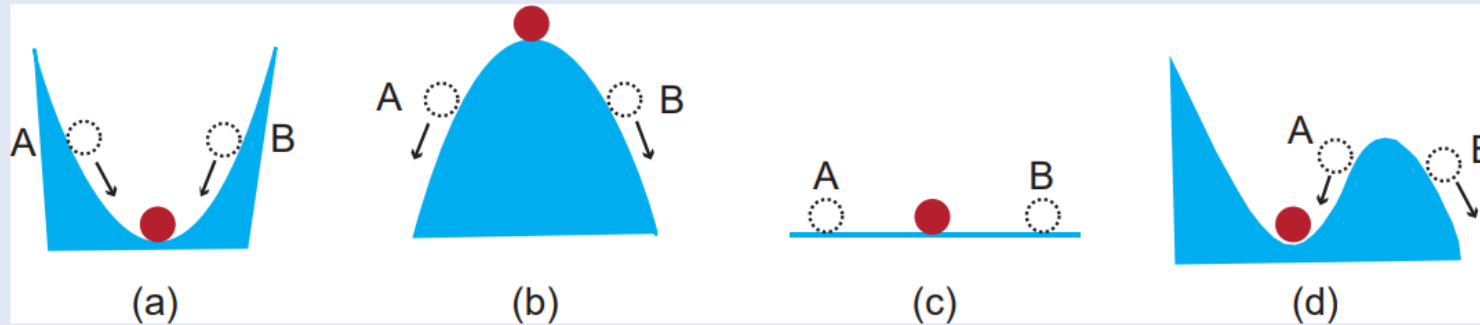


Fig. 3.17 Analogs for (a) stable, (b) unstable, (c) neutral, and (d) conditional instability. The red circle is the original position of the ball, and the white circles are displaced positions. Arrows indicate the direction the ball will move from a displaced position if the force that produced the displacement is removed.

Unlike QG theory a linear stability analysis of this system becomes difficult due to 2 main reasons:

1. The perturbation and stability depends both on temperature and humidity
2. The stability conditions depends on finite amplitude perturbations

What happens when air is moist?

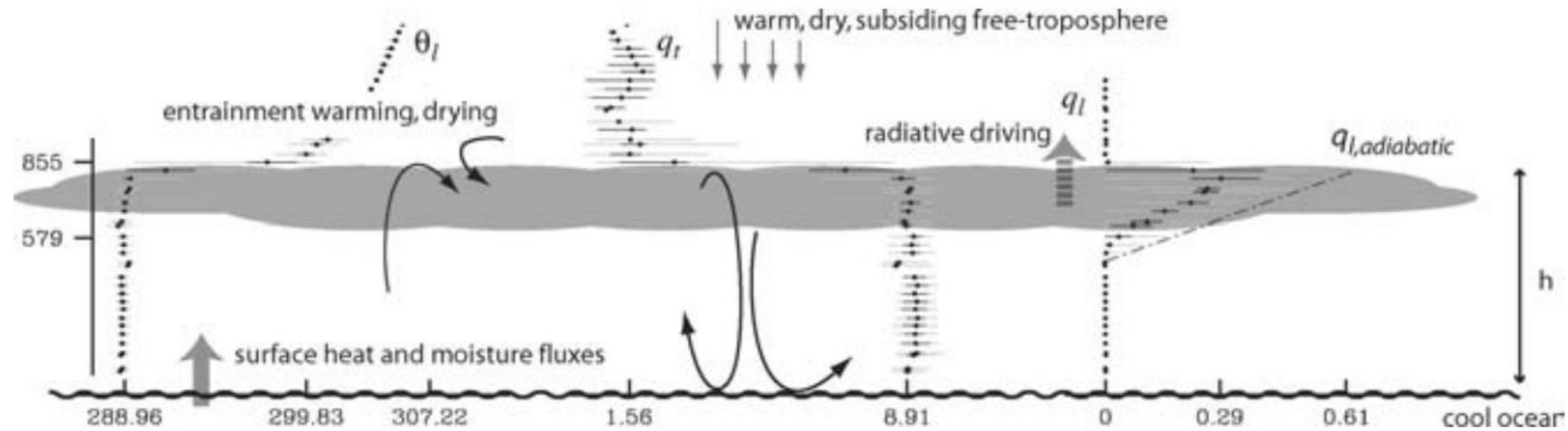
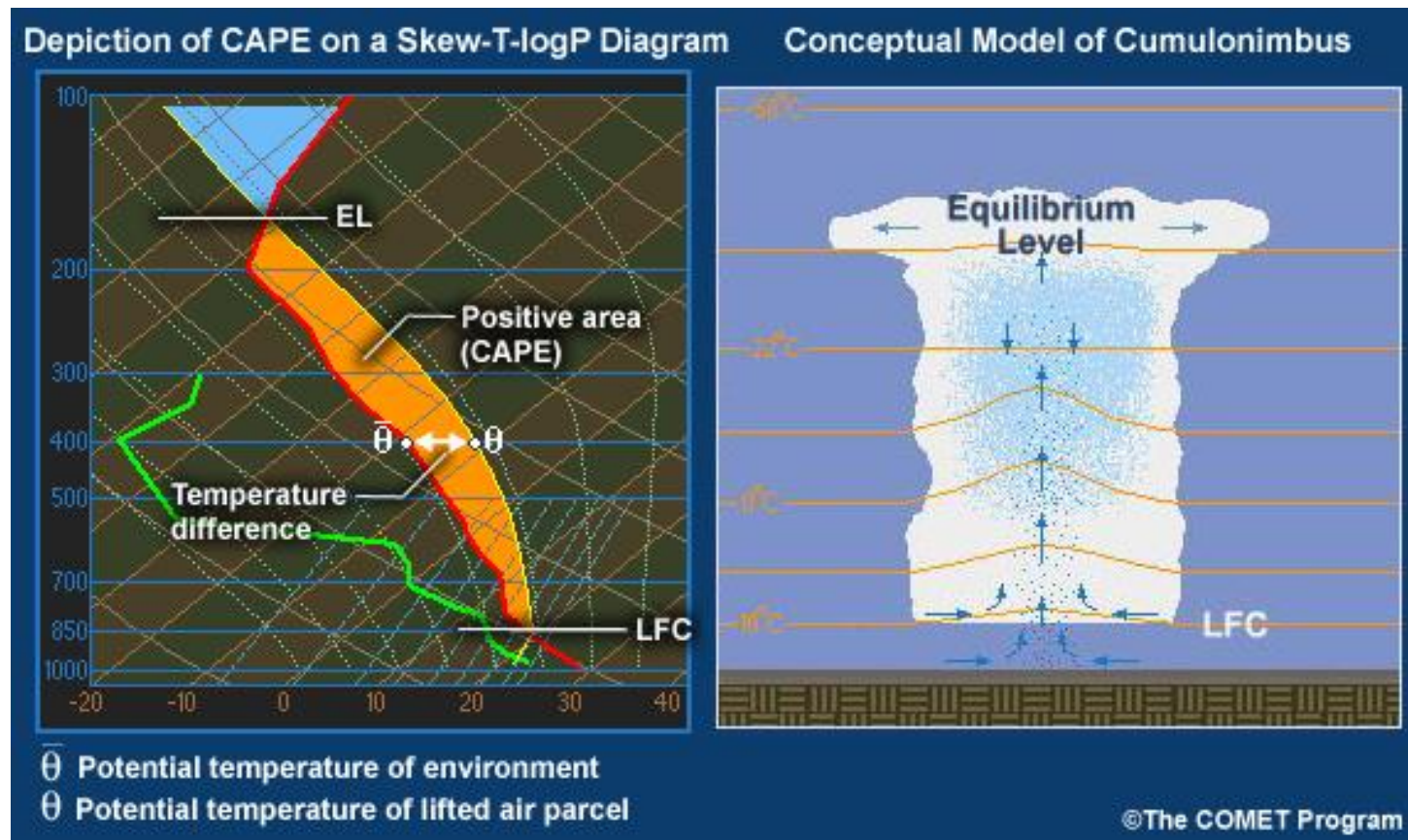


Figure 4 Cartoon of well-mixed, nonprecipitating, stratocumulus layer, overlaid with data from research flight 1 of DYCOMS-II. Plotted are the full range, middle quartile, and mean of θ_l , q_l , and q_l from all the data over the target region binned in 30-m intervals. Heights of cloud base and top are indicated, as are mixed layer values and values just above the top of the boundary layer of various thermodynamic quantities. The adiabatic liquid water content is indicated by the dash-dot line.

Thermodynamics of Clouds and Convection

- How to determine stability of moist atmosphere?



Keeping track of Energy in the Atmosphere

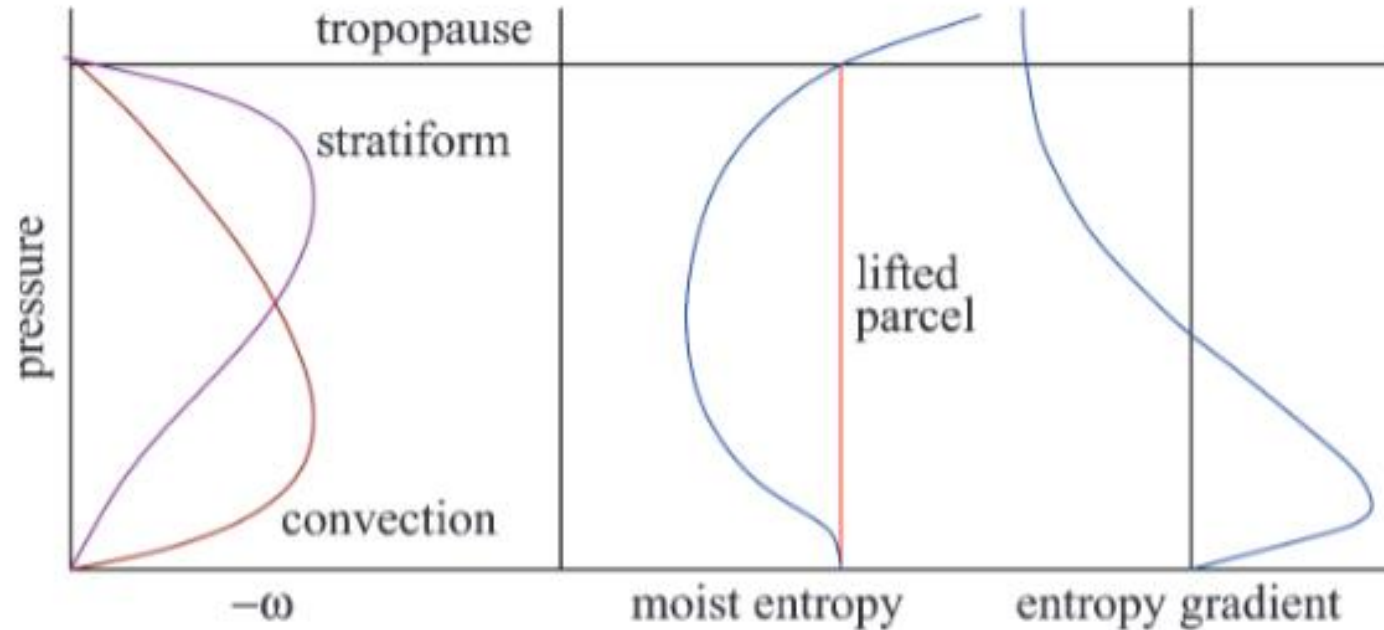
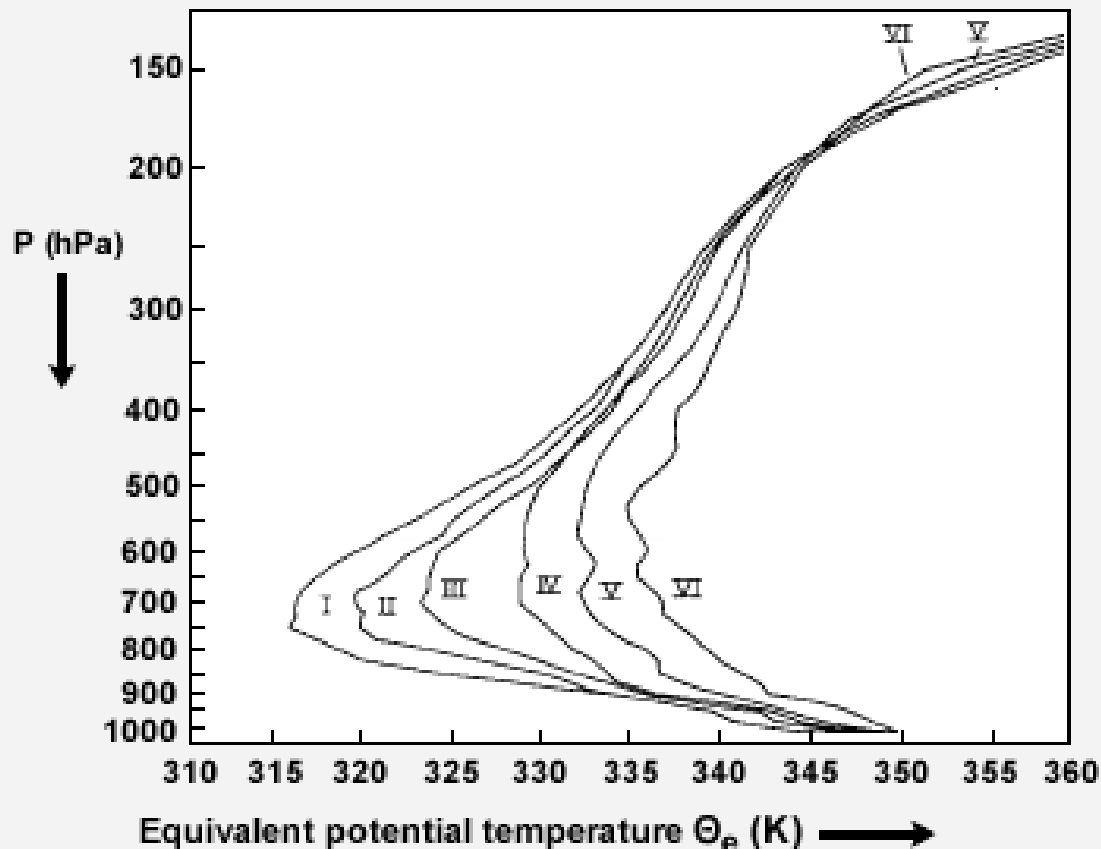


Figure 6. Schematic diagram of a typical tropical profile of moist entropy (middle panel) and its pressure gradient (right panel) with the entropy of a lifted parcel illustrated. Two typical mass flux profiles are illustrated (left panel), a convective profile with a low-level maximum and a stratiform profile with a high-level maximum.

Vertical structure of Equivalent Potential Temperature / Moist Static Energy

Mean Equivalent Potential Temperature near Barbados
(classified by severity of convection and amount of rainfall)



Aspliden (1976)

- Notice that neither mean upward circulation nor diffusion can transport energy upwards.

For fully saturated atmosphere:

$$\frac{\partial h'_{sat}}{\partial t} = -w' \frac{\partial \bar{h}_{sat}}{\partial z} .$$

$$\sigma^2 = -\frac{g}{c_p \bar{T} (1 + \gamma)} \frac{\partial \bar{h}_{sat}}{\partial z} . \quad \gamma \equiv \frac{L}{c_p} \left(\frac{\partial q_{sat}}{\partial T} \right)_p$$

Energy in the Atmosphere

The total energy per unit mass in the atmosphere is given by

$$E = \underbrace{c_V T}_I + \underbrace{g z}_P + \underbrace{L q}_L + \underbrace{\frac{1}{2}(u^2 + v^2)}_K, \quad (4.1)$$

Table 4.1 Amount and distribution of energy per unit surface area in the global atmosphere (from Peixoto and Oort 1992).

		10^6 J m^{-2}	Fraction (%)
Internal energy	I	1,800	70.2
Potential energy	P	700	27.3
Latent energy	L	64	2.5
Kinetic energy	K	1.2	0.05
Total		2,565	100

Energy Transports

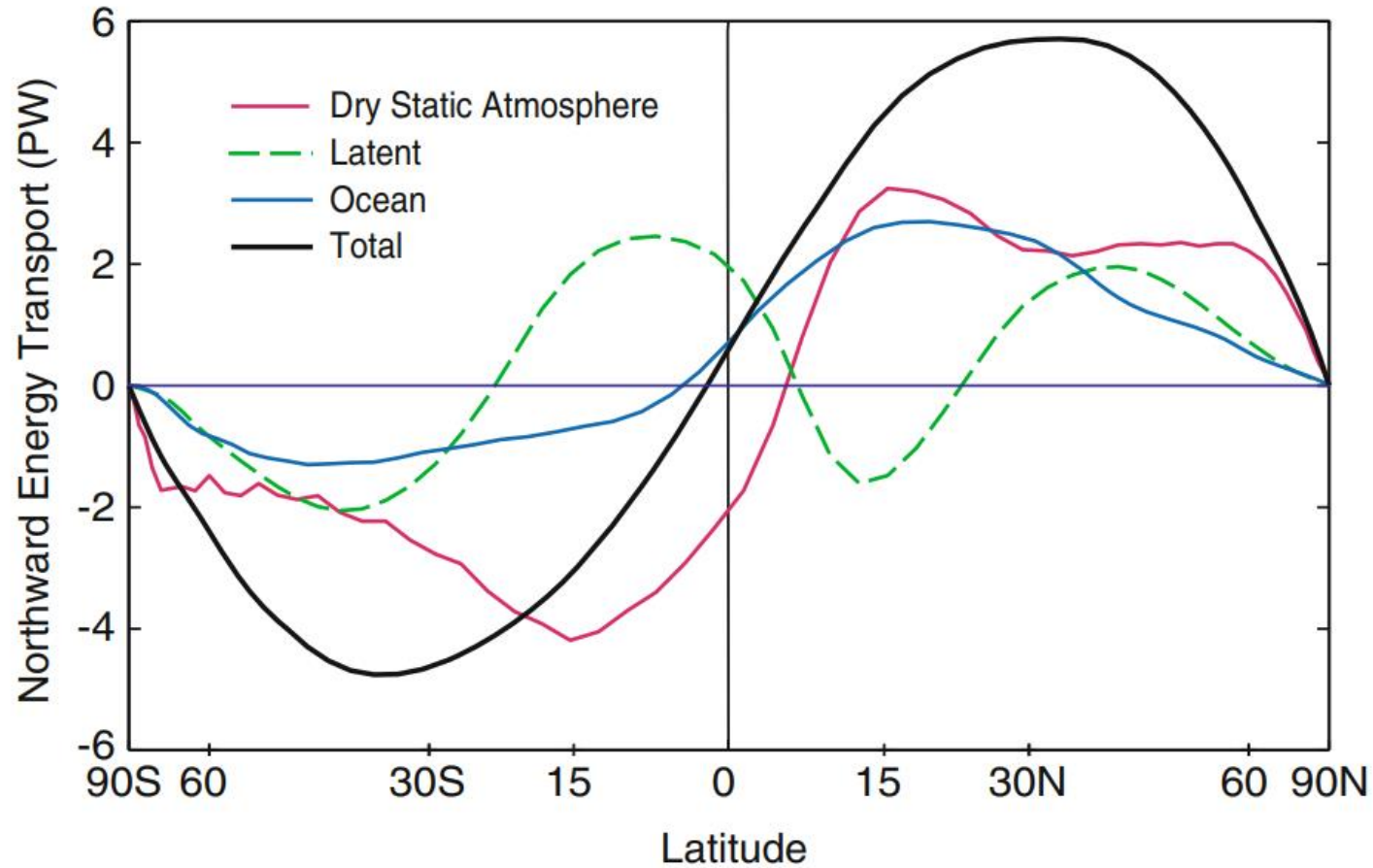


Fig. 4.2 Annual mean meridional heat transport in the atmosphere (latent and dry) and in the ocean. Figure from Siedler et al. (2001).

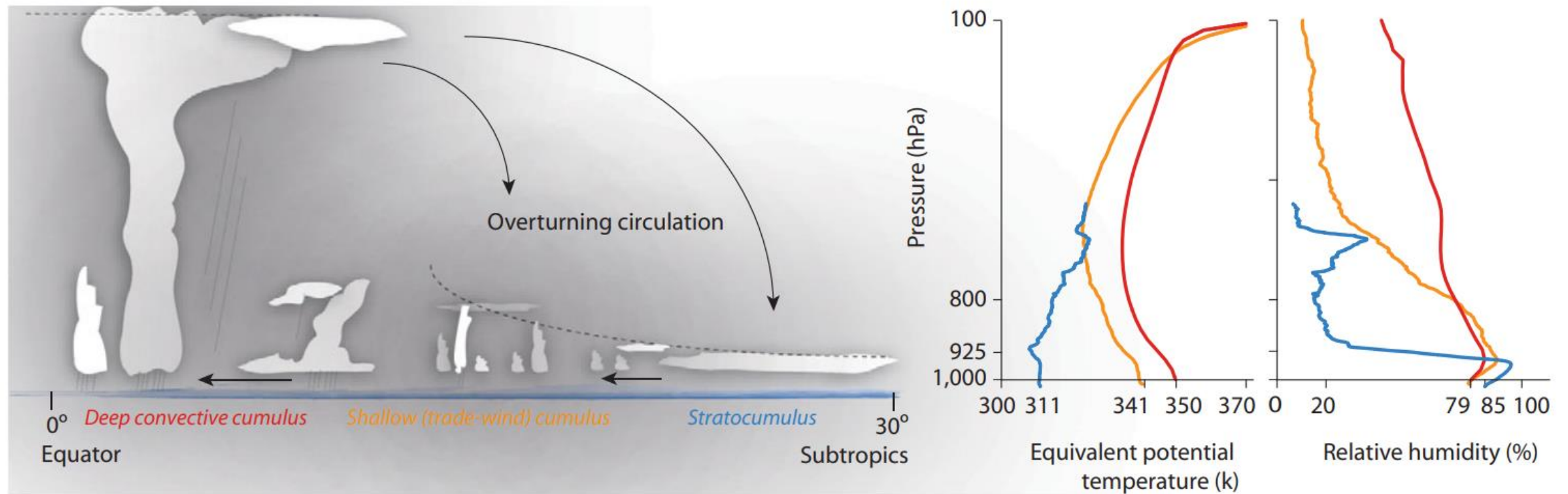


FIGURE 1.15: The zonal-mean large-scale overturning circulation between the subtropics and tropics (the Hadley circulation), along with representative profiles of (saturation) equivalent potential temperature and relative humidity that are typical of the three cloud regimes found throughout the Hadley circulation: in blue, low-level stratocumulus over the eastern ocean boundaries; in orange, shallow (trade-wind) cumulus regime over warmer sea surface temperatures in the trades and, in red, deep cumulus clusters in the inner tropics.

Energy in the Atmosphere

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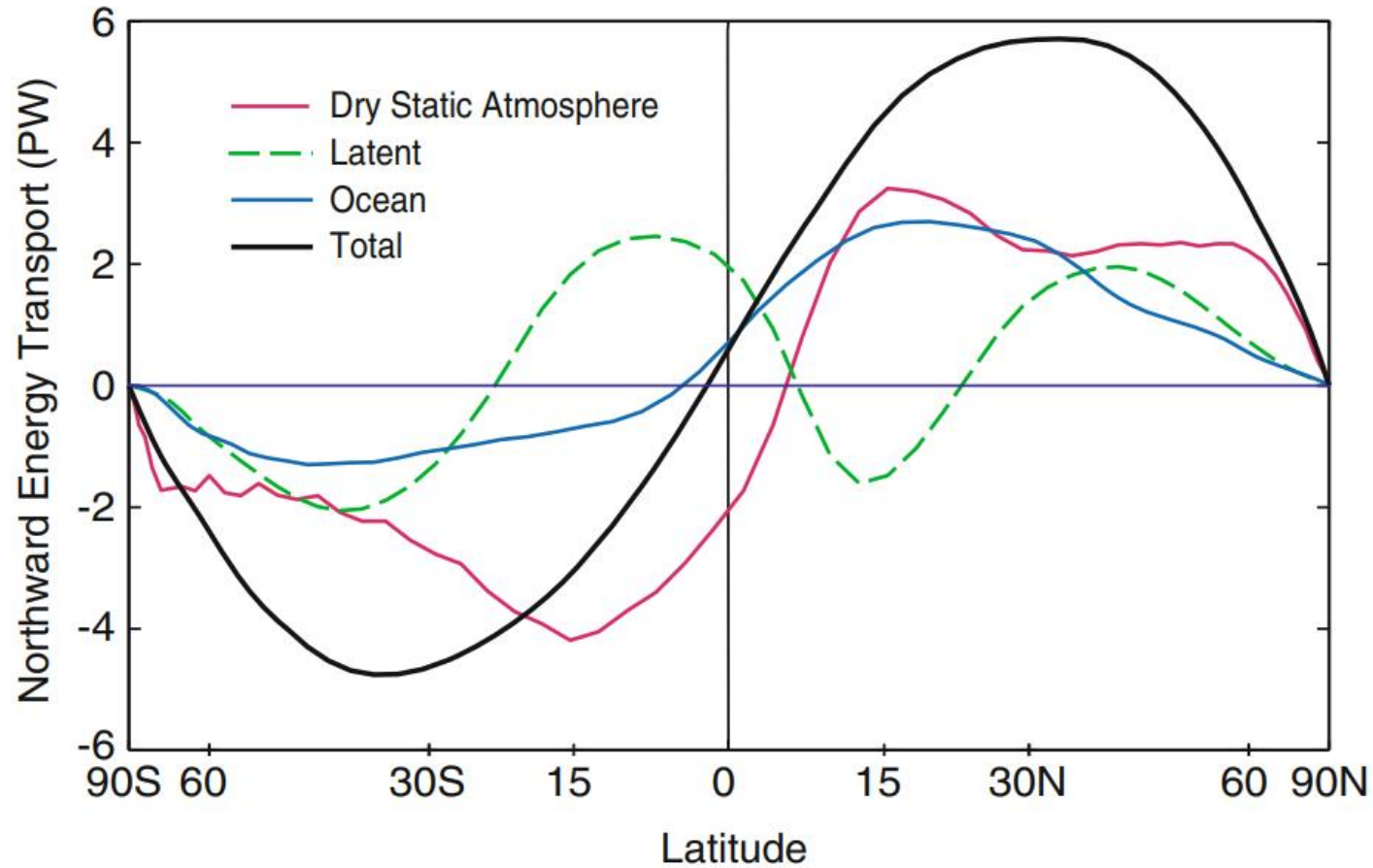


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“Atmosphere” in the Climate model : Simplified form

$$d\bar{\mathbf{V}}/dt + f\mathbf{k} \times \bar{\mathbf{V}} + \nabla\bar{\phi} = \mathbf{F}, \quad (\text{horizontal momentum})$$

$$d\bar{T}/dt - \kappa\bar{T}\omega/p = Q/c_p, \quad (\text{thermodynamic energy})$$

$$\nabla \cdot \bar{\mathbf{V}} + \partial\bar{\omega}/\partial p = 0, \quad (\text{mass continuity})$$

$$\partial\bar{\phi}/\partial p + R\bar{T}/p = 0, \quad (\text{hydrostatic equilibrium})$$

$$d\bar{q}/dt = S_q. \quad (\text{water vapor mass continuity})$$

Harmless looking terms \mathbf{F} , Q , and $S_q \implies$ “physics”

Representation of Physics

- Terms F , Q and S_q represent physical processes.

- **Equation of motion, F**

Turbulent transport, generation and dissipation of momentum

- **Equation of thermodynamic energy, Q**

Convective-scale transport of heat, Sources and Sinks due to phase changes, Radiative sources and sinks

- **Equation of water vapor mass continuity, S_q**

Convective-scale transport of water, Sources and Sinks due to phase changes

Modeling Convection-Circulation Coupling

$$\frac{\partial s}{\partial t} + \nabla \vec{v}_h s + \frac{\partial \omega s}{\partial p} = Q_R + L_v(c - e),$$

$$\frac{\partial q}{\partial t} + \nabla \vec{v}_h q + \frac{\partial \omega q}{\partial p} = -(c - e)$$

$$\frac{\partial \vec{v}_h}{\partial t} + \nabla \vec{v}_h \vec{v}_h + \frac{\partial \omega \vec{v}_h}{\partial p} + \nabla \Phi + f k \times \vec{v}_h = 0$$

$$\underbrace{\frac{\partial \bar{s}}{\partial t} + \bar{\vec{v}}_h \nabla \bar{s}}_{\text{large-scale observable}} + \underbrace{\bar{\omega} \frac{\partial \bar{s}}{\partial p}}_{\text{subgrid convective}} = \underbrace{\bar{Q}_R + L_v(\bar{c} - \bar{e})}_{\text{subgrid convective}} - \underbrace{\frac{\partial \overline{\omega' s'}}{\partial p}}_{\text{subgrid convective}}$$

$$\underbrace{\frac{\partial \bar{q}}{\partial t} + \bar{\vec{v}}_h \nabla \bar{q}}_{\text{large-scale observable}} + \underbrace{\bar{\omega} \frac{\partial \bar{q}}{\partial p}}_{\text{subgrid convective}} = \underbrace{-(\bar{c} - \bar{e})}_{\text{subgrid convective}} - \underbrace{\frac{\partial \overline{\omega' q'}}{\partial p}}_{\text{subgrid convective}}$$

$$\underbrace{\frac{\partial \bar{\vec{v}}_h}{\partial t} + \bar{\vec{v}}_h \nabla \bar{\vec{v}}_h}_{\text{large-scale observable}} + \underbrace{\bar{\omega} \frac{\partial \bar{\vec{v}}_h}{\partial p}}_{\text{subgrid transport}} = \underbrace{-\frac{\partial \overline{\omega' \vec{v}'_h}}{\partial p}}_{\text{subgrid transport}},$$

$$\bar{\chi} = \frac{1}{A} \int_A \chi dA; \quad \chi = \bar{\chi} + \chi'$$

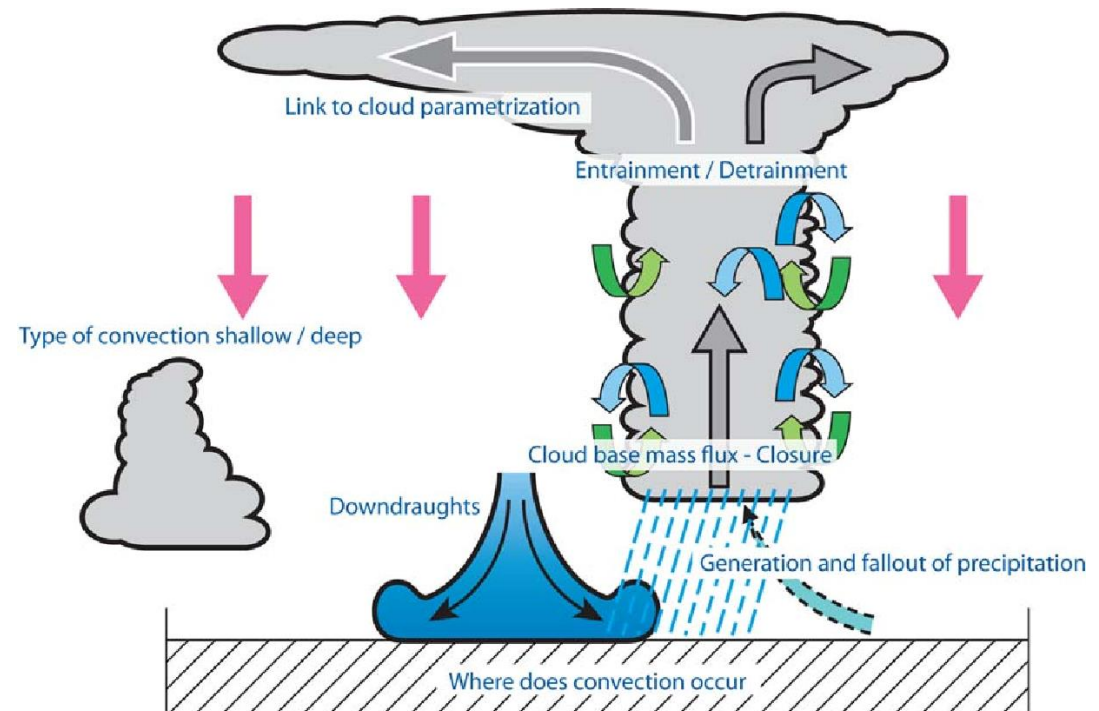


Figure 3. Schematic of a bulk convection scheme with a shallow and deep entraining/detraining clouds

Questions?