

Fourier analysis and number theory

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Modern Trends in Harmonic Analysis, July 2023

Prelude

- Harmonic analysis is concerned with understanding **oscillation**.

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- Relations to many other fields such as: approximation theory, number theory, complex analysis, probability, PDEs, ...

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- Relations to many other fields such as: approximation theory, number theory, complex analysis, probability, PDEs, ...
- I am particularly interested in studying **extremal phenomena**.

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Conference Board of the Mathematical Sciences

CBMS

Regional Conference Series in Mathematics

Number 84

Ten Lectures
on the Interface
Between Analytic
Number Theory
and Harmonic Analysis

Hugh L. Montgomery



American Mathematical Society
with support from the
National Science Foundation



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Basic plan

- This is a conversation in analysis and number theory.

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- We shall discuss three problems in number theory
 - ▶ Prime gaps;
 - ▶ Least quadratic non-residue;
 - ▶ Least prime in an arithmetic progression;and some related Fourier optimization problems.

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- We shall discuss three problems in number theory
 - ▶ Prime gaps;
 - ▶ Least quadratic non-residue;
 - ▶ Least prime in an arithmetic progression;and some related Fourier optimization problems.
- Try to keep the focus on the big picture (on how we arrive at the [Fourier optimization wonderland](#)).

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- **Step I.** Design “a” Fourier optimization problem connected to the number theory problem (proof of concept).

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- **Step II.** Solve the Fourier optimization problem (or at least try to find a good approximation for the solution).
- **Step III.** Evolve towards designing what should be “the correct” Fourier optimization problem. Return to Step II.

Part of the history

Examples of applications of Fourier analysis in number theory include:

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Examples of applications of Fourier analysis in number theory include:

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- Bounds for the Riemann zeta-function on the critical strip.
- Bounds for Montgomery's pair correlation conjecture.
- Bounds for low-lying zeros and vanishing of L -functions.
- and so on...

Part I - Prime gaps

Why is this going to be useful?

Tonight...

Why is this going to be useful?

Tonight...



Our hero

Why is this going to be useful?

Tonight...



Matt

Why is this going to be useful?

Tonight...



Mystery girl



Matt

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Mystery girl



Matt

Matt: How about I buy you a beer?

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Matt: How about I buy you a beer?

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Matt: How about I buy you a beer?

Girl: Sure, but only if you can prove to me that under RH there is always a prime in the interval $[x, x + \frac{93}{100} \sqrt{x} \log x]$, for x large.

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Matt: I guess it is my lucky day!

A classical problem

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$$\widehat{f}(t) = \int_{\mathbb{R}} e^{-2\pi ixt} f(x) dx.$$

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- 3 Answer = 1.

$$F(x) = (\sin(\pi x)/(\pi x))^2$$

A classical problem

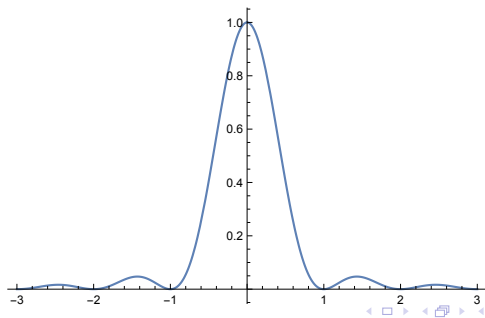
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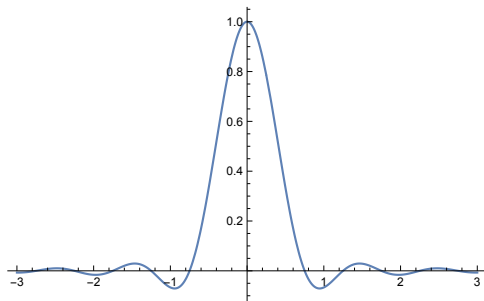


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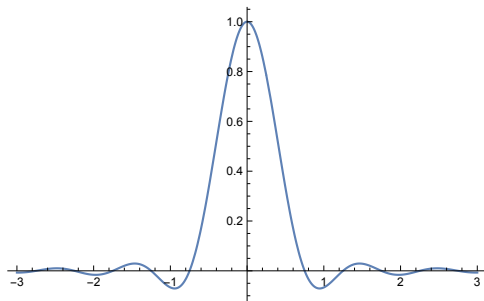
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- 2 $H(x) = \frac{\cos(2\pi x)}{1-16x^2}$ yields $\|H\|_{L^1(\mathbb{R})} = 0.9259\dots$



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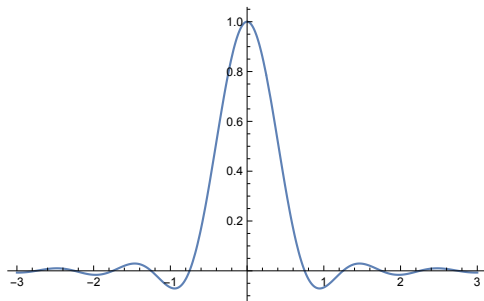


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- 4 There exists a unique extremizer.

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- 3 Hoheisel (1930): There is always a prime in $[x, x + x^\theta]$ for some $0 < \theta < 1$, and x large.
- 4 Baker - Harman - Pintz (2001): There is always a prime in $[x, x + x^{0.525}]$ for x large.

Prime gaps on RH

Cramér's bounds (1920)

1

$$p_{n+1} - p_n = O(\sqrt{p_n} \log p_n),$$

i.e. every interval $[x, x + c\sqrt{x} \log x]$, for some $c > 0$, contains a prime when x is large.

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2 Historic progress:

- ▶ Goldston '83: $c = 4$.
- ▶ Ramaré and Saouter '03: $c = 8/5$
- ▶ Dudek '15: $c = 1 + o(1)$.

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3 Non-asymptotic version: Dudek, Grenié, Molteni '16: for $x \geq 4$,

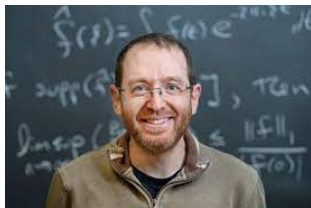
$$[x, x + c\sqrt{x} \log x]$$

contains a prime. Here $c = 1 + \frac{4}{\log x}$.

- ▶ Ramaré and Saouter '03 ($c = 8/5$)

Our team

- M. Milinovich (Mississippi) and K. Soundararajan (Stanford)



Improved estimates

joint with M. Milinovich and K. Soundararajan '19

Theorem (Asymptotic version)

Assume RH. For x large, every interval

$$\left[x, x + \frac{21}{25} \sqrt{x} \log x \right]$$

contains a prime.

Improved estimates

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Theorem (Asymptotic version)

Assume RH. For x large, every interval

$$\left[x, x + \frac{21}{25} \sqrt{x} \log x \right]$$

contains a prime.

Theorem (Non-asymptotic version)

Assume RH. For $x \geq 4$, every interval

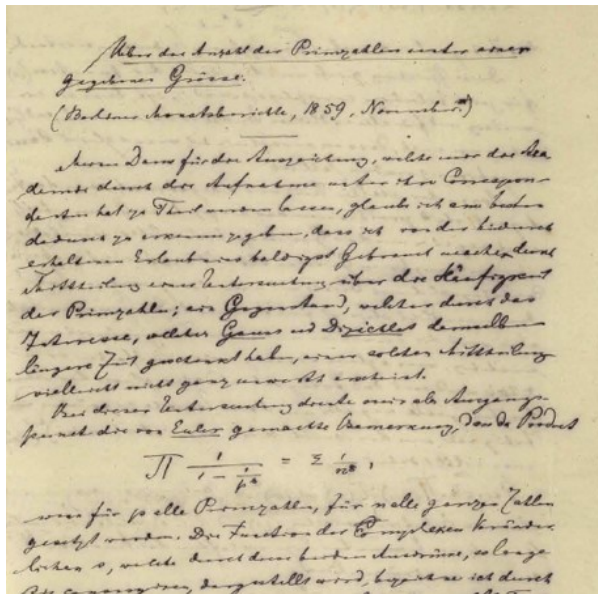
$$\left[x, x + \frac{22}{25} \sqrt{x} \log x \right]$$

contains a prime.

Strategy

- 1 Explicit formula connecting zeros of $\zeta(s)$ and primes.
- 2 Fourier optimization problems.
- 3 Brun-Titchmarsh inequality.

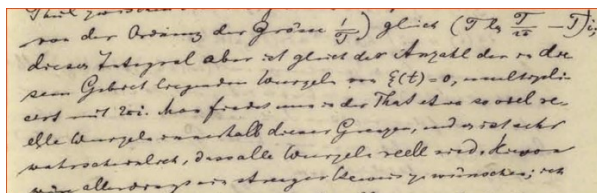
Original manuscript - I



Riemann's 1859 manuscript

(Source: American Institute of Mathematics).

Original manuscript - II

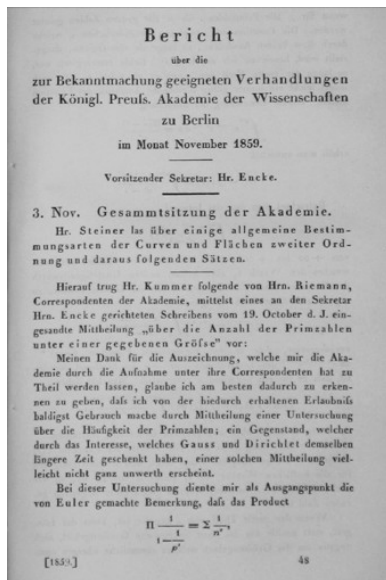


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"Man findet nun in der That etwa so viel reele Wurzeln innerhalb dieser Grenzen, und es is sehr wahrscheinlich, dass alle Wurzeln reele sind."

"One now finds indeed approximately this number of real roots within these limits, and it is very probable that all roots are real."

Original manuscript - III



First expression of the Riemann hypothesis in *Monatsberichte der Berliner Akademie*, November, 1859.

(Source: American Institute of Mathematics).

For $\text{Re}(s) > 1$:

$$\begin{aligned}\zeta(s) &= \sum_{n \geq 1} \frac{1}{n^s} = \left(1 + \frac{1}{2^s} + \frac{1}{2^{2s}} + \dots\right) \left(1 + \frac{1}{3^s} + \frac{1}{3^{2s}} + \dots\right) \dots \\ &= \prod_p \left(1 - \frac{1}{p^s}\right)^{-1}.\end{aligned}$$

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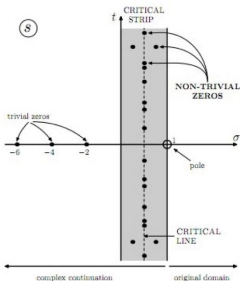
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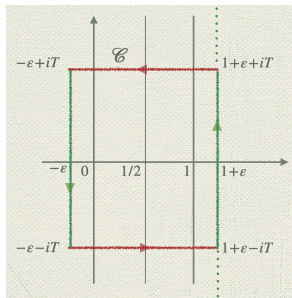
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Explicit formulas

- $\xi(s) = \frac{1}{2}s(s-1)\pi^{-s/2}\Gamma\left(\frac{s}{2}\right)\zeta(s)$. Then $\xi(s) = \xi(1-s)$.
- Now let h be a good function and note that

$$\sum_{\rho; \zeta(\rho)=0} h\left(\frac{\rho-\frac{1}{2}}{i}\right) = \frac{1}{2\pi i} \int_C h\left(\frac{s-\frac{1}{2}}{i}\right) \frac{\xi'(s)}{\xi(s)} ds.$$



Explicit formula

Lemma (Guinand-Weil Explicit Formula)

Let $h(s)$ be analytic in the strip $|\operatorname{Im}(s)| \leq 1/2 + \varepsilon$ for some $\varepsilon > 0$, and such that $|h(s)| \ll (1 + |s|)^{-(1+\delta)}$ when $|\operatorname{Re}(s)| \rightarrow \infty$.

$$\begin{aligned} \sum_{\rho} h(\gamma) &= h\left(\frac{1}{2i}\right) + h\left(-\frac{1}{2i}\right) - \frac{1}{2\pi} \hat{h}(0) \log \pi \\ &\quad + \frac{1}{2\pi} \int_{-\infty}^{\infty} h(u) \operatorname{Re} \frac{\Gamma'}{\Gamma} \left(\frac{1}{4} + \frac{iu}{2}\right) du \\ &\quad - \frac{1}{2\pi} \sum_{n=2}^{\infty} \frac{\Lambda(n)}{\sqrt{n}} \left(\hat{h}\left(\frac{\log n}{2\pi}\right) + \hat{h}\left(\frac{-\log n}{2\pi}\right) \right), \end{aligned}$$

where $\rho = \frac{1}{2} + i\gamma$ are the non-trivial zeros of $\zeta(s)$ and $\Lambda(n)$ is defined to be $\log p$ if $n = p^k$, p a prime and $k \geq 1$, and zero otherwise.

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Idea: to use this with $\hat{h}\left(\pm \frac{\log \cdot}{2\pi}\right)$ localized in an interval without primes.

Setup

For this let f be a smooth function such that $\text{supp}(\widehat{f}) \subset [-1, 1]$, let $0 < \Delta \leq 1$, let $1 < a$, and set

$$g(z) = \Delta f(\Delta z) \quad ; \quad h(z) = g(z)a^{iz}$$

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Assume that for a certain $c > 0$ there is an infinite sequence of $x \rightarrow \infty$ such that $[x, x + c\sqrt{x} \log x]$ contains no primes. Choose

$$[x, x + c\sqrt{x} \log x] = \left[a e^{-2\pi\Delta}, a e^{2\pi\Delta} \right]$$

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Then

$$4\pi\Delta = \log \left(1 + c \frac{\log x}{\sqrt{x}} \right) = c \frac{\log x}{\sqrt{x}} + O\left(\frac{\log^2 x}{x}\right)$$

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$$a = x \left(1 + c \frac{\log x}{\sqrt{x}} \right)^{1/2} = x + O(\sqrt{x} \log x).$$

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Idea: Perform an asymptotic analysis as $x \rightarrow \infty$.

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Main competition

Matters are reduced to

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Observe that

$$\begin{aligned} g\left(\frac{1}{2i}\right) &= \Delta f\left(\frac{\Delta}{2i}\right) = \Delta \int_{-1}^1 e^{\pi t \Delta} \widehat{f}(t) dt \\ &= \Delta \int_{-1}^1 \widehat{f}(t) dt + \Delta \int_{-1}^1 (e^{\pi t \Delta} - 1) \widehat{f}(t) dt \\ &= \Delta f(0) + O(\Delta^2). \end{aligned}$$

Main competition

Matters are reduced to

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We may similarly estimate $g(-\frac{1}{2i})$ and, hence, the (LHS) above is

$$g\left(\frac{1}{2i}\right) a^{1/2} + g\left(-\frac{1}{2i}\right) a^{-1/2} = \Delta f(0)(a^{1/2} + a^{-1/2}) + O(\Delta^2 a^{1/2}).$$

Sum over zeros

Let $N(x)$ denote the number of zeros with $0 < \gamma \leq x$. Using the fact that $N(x) = \frac{x}{2\pi} \log \frac{x}{2\pi} - \frac{x}{2\pi} + O(\log x)$, we evaluate the sum $\sum_{\gamma} |g(\gamma)|$ using summation by parts to get

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Recalling that $g(x) = \Delta f(\Delta x)$,

$$\begin{aligned} \sum_{\gamma} |g(\gamma)| &= \frac{1}{2\pi} \int_{-\infty}^{\infty} |f(y)| \log^+ |y/2\pi\Delta| dy + O(1) \\ &= \frac{\log(1/2\pi\Delta)}{2\pi} \|f\|_1 + O(1). \end{aligned}$$

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$$c \leq \frac{\|f\|_1}{f(0)} \leq 0.9259\dots$$

as we wanted to show.

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- ④ By the PNT (on the left) and work of Iwaniec (on the right):

$$1 \leq \mathbf{B} \leq \frac{36}{11}.$$