

# EIC jet physics and machine learning

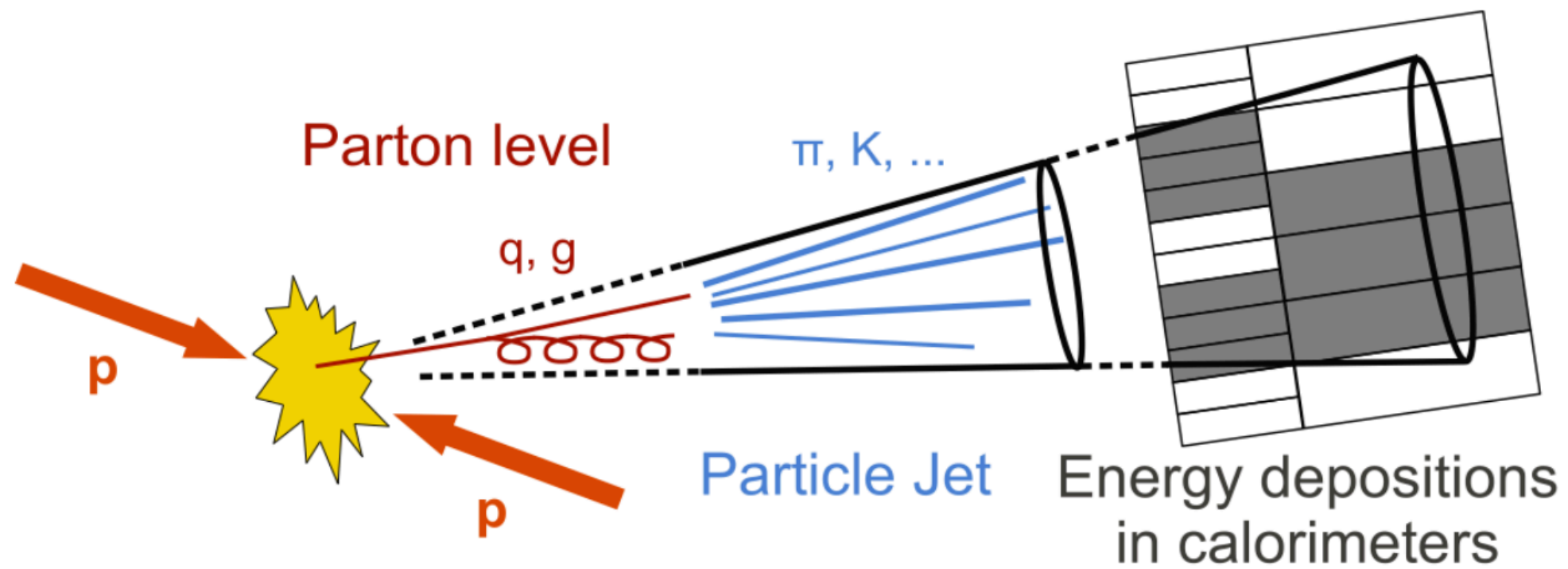
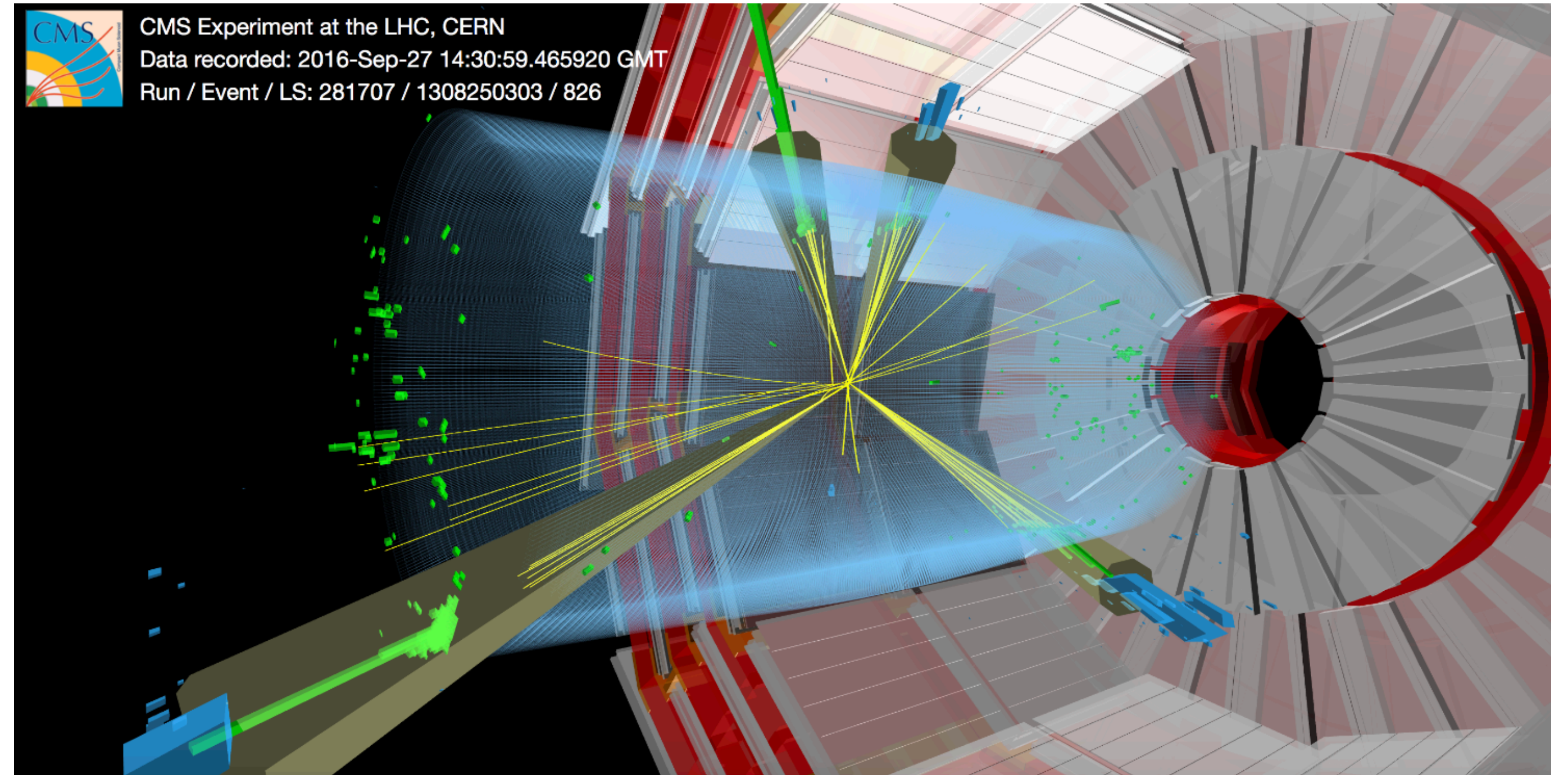
Felix Ringer

Probing Hadron Structure at the Electron-Ion  
Collider, ICTS, Bangalore



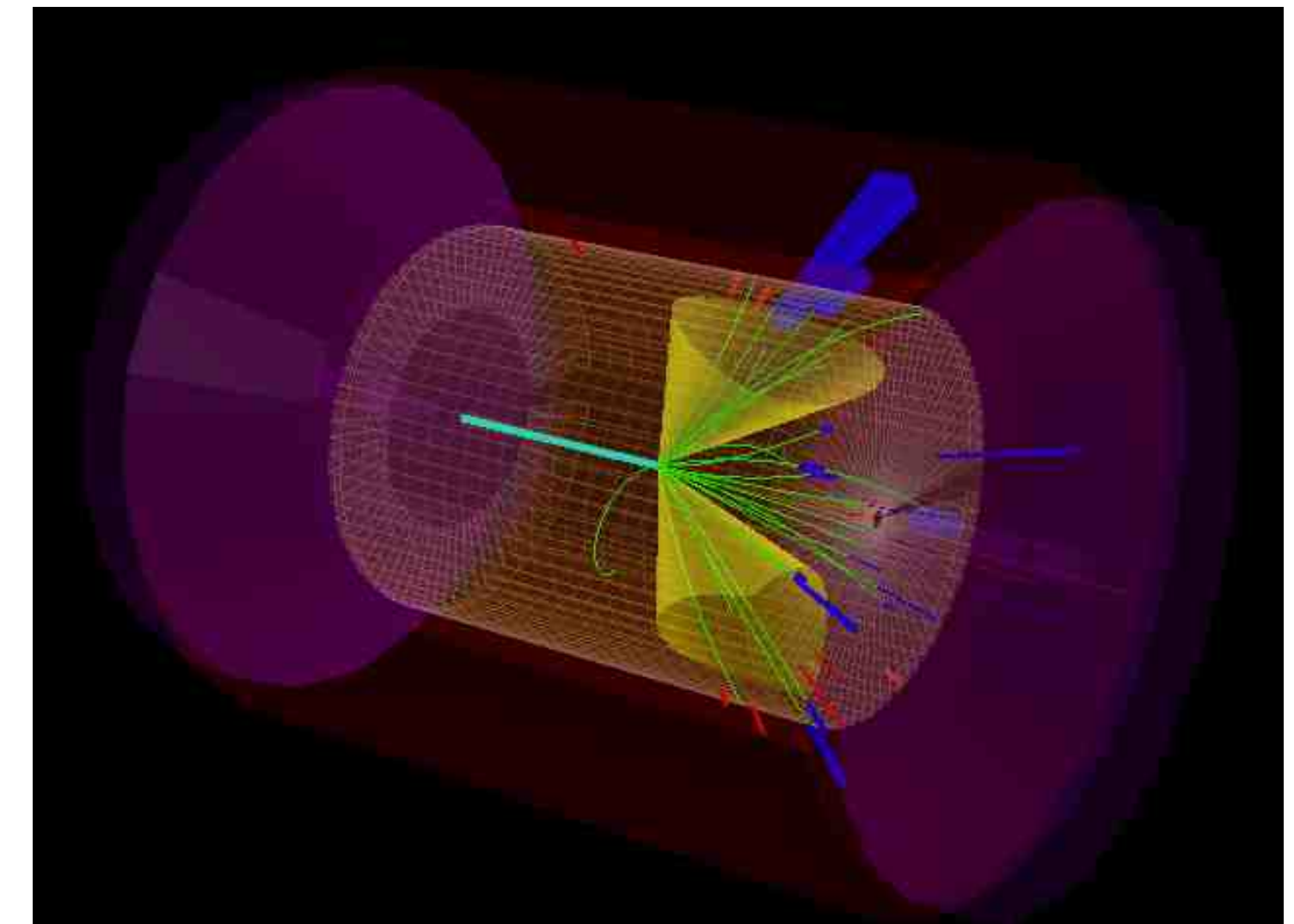
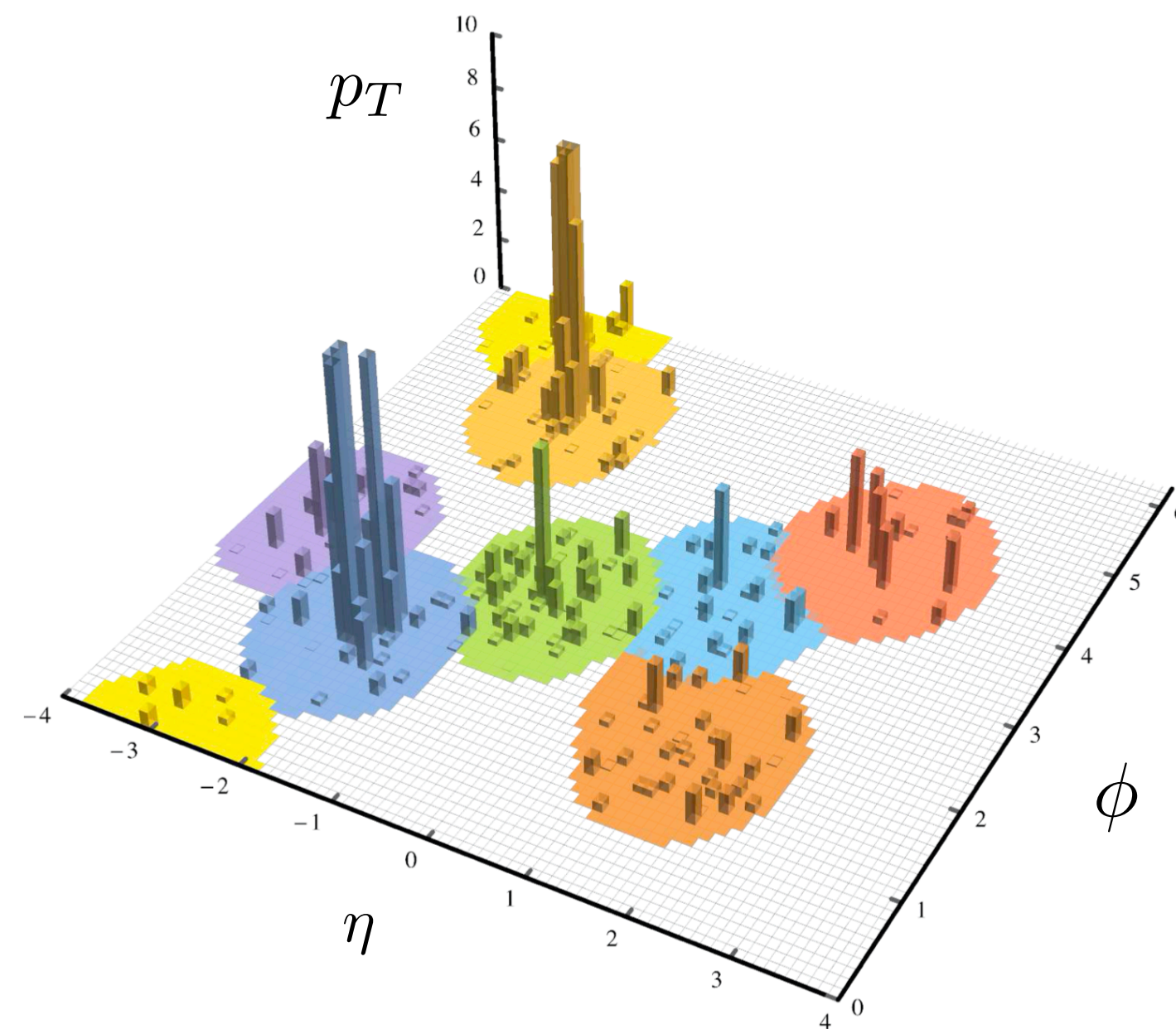
# Jets at collider experiments

- Collimated sprays of particles
- Most direct access to high-energy quarks and gluons



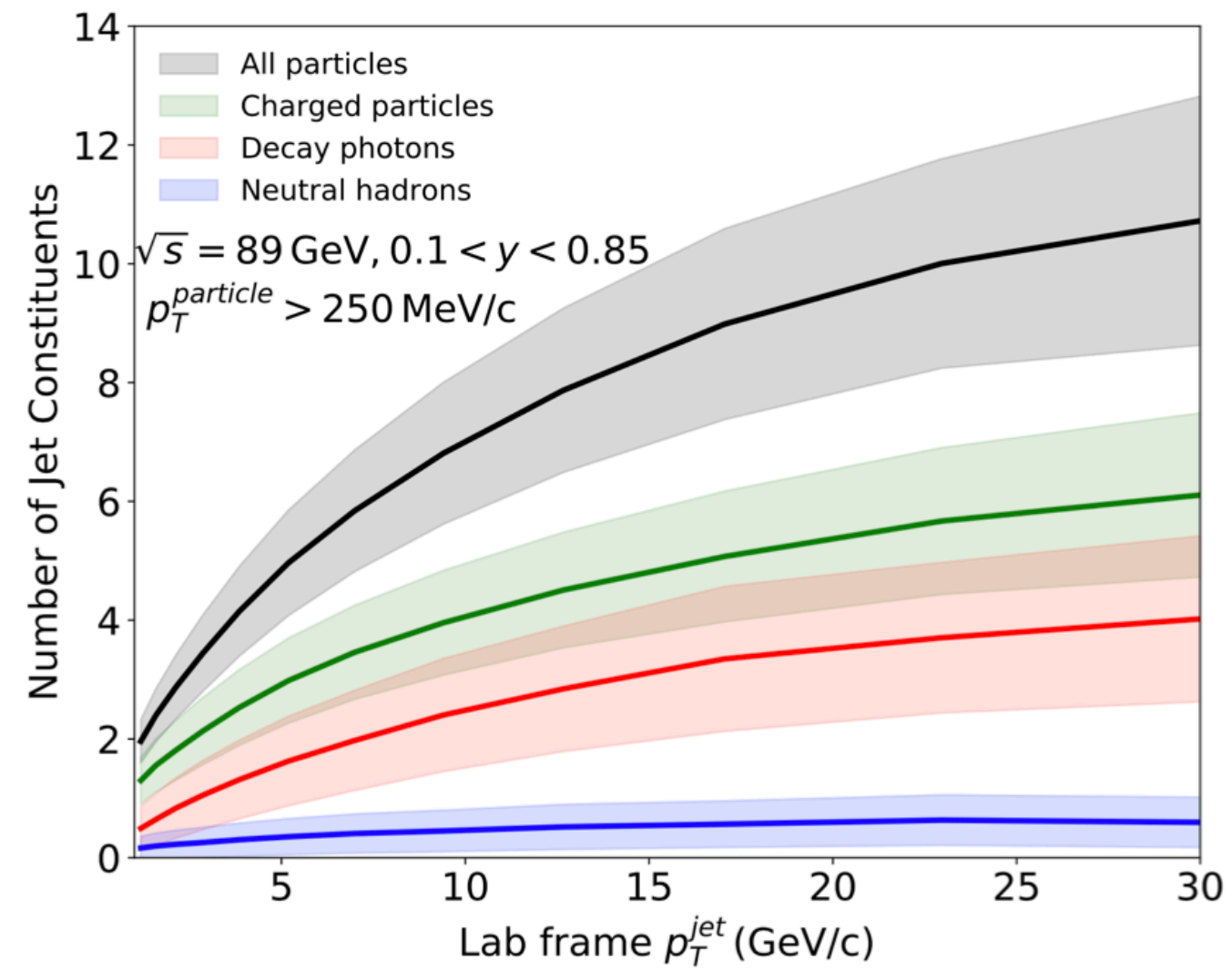
# EIC jet physics

- Versatile jet reconstruction algorithms & frame dependence
- Clean EIC environment
- Jet substructure & correlations
- Relevant for e.g. TMDs, GPDs & hadronization

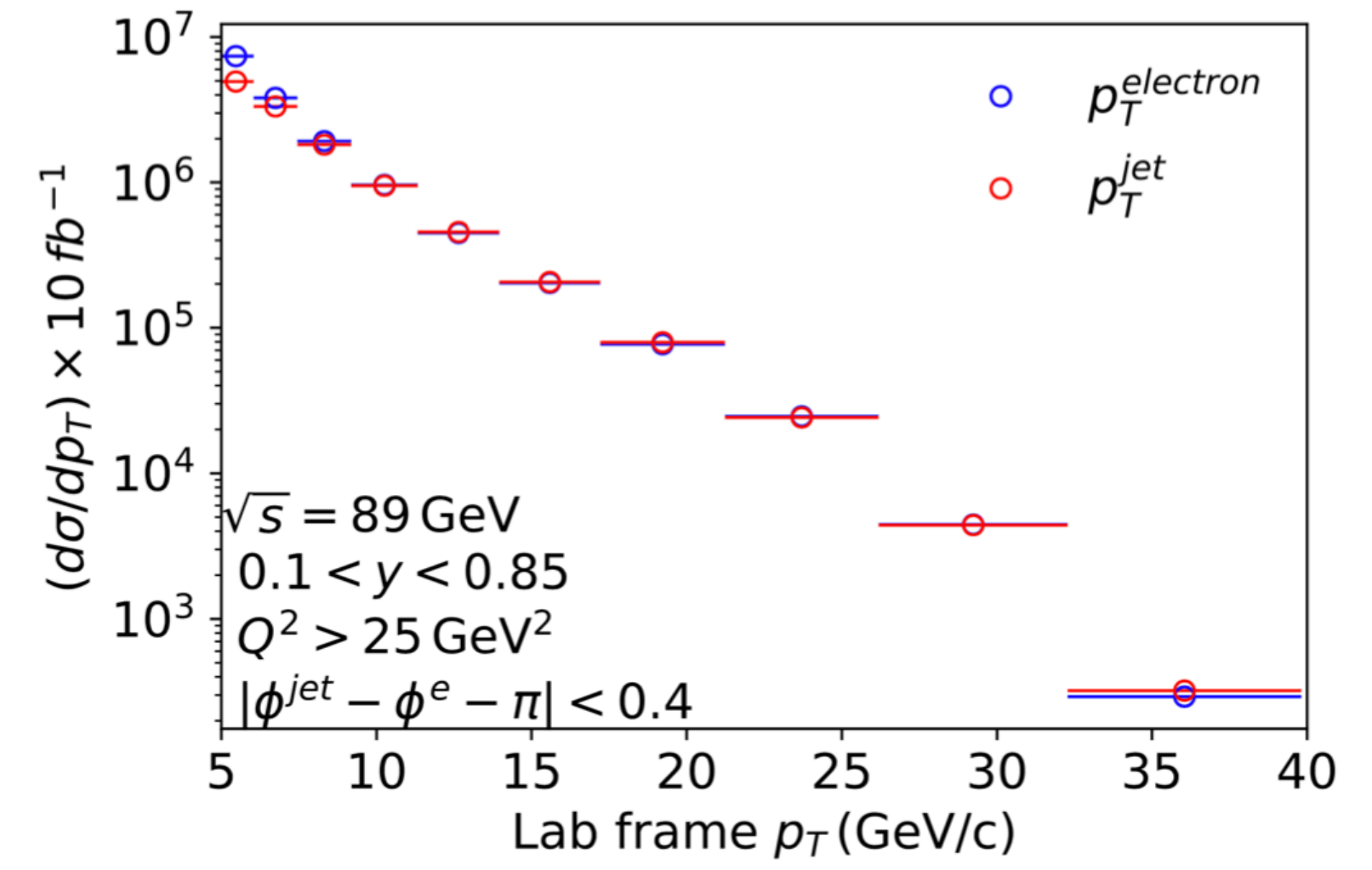


# Nature of jets at the EIC

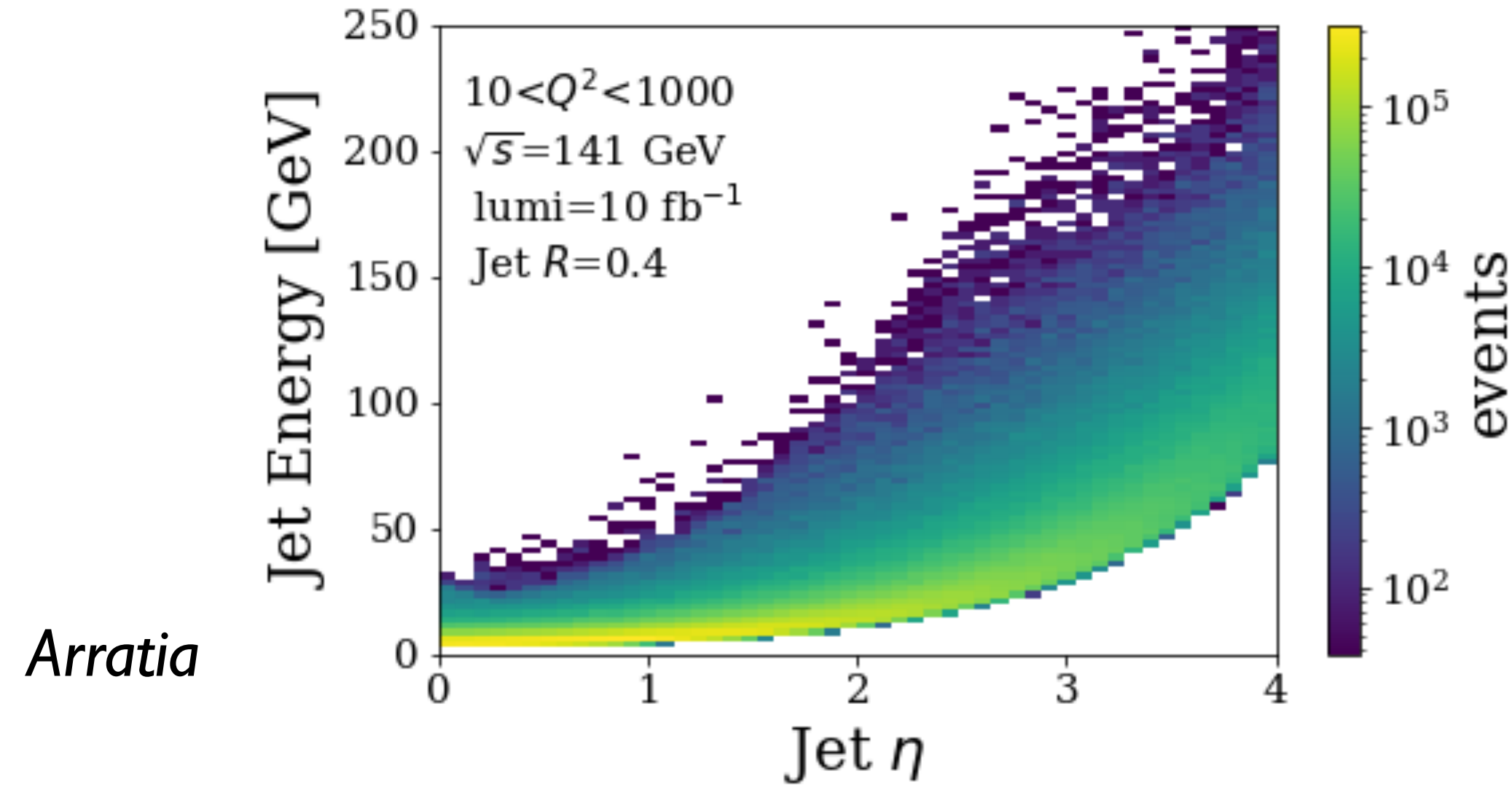
Particle #



Transverse momentum



Jet energy



Arratia

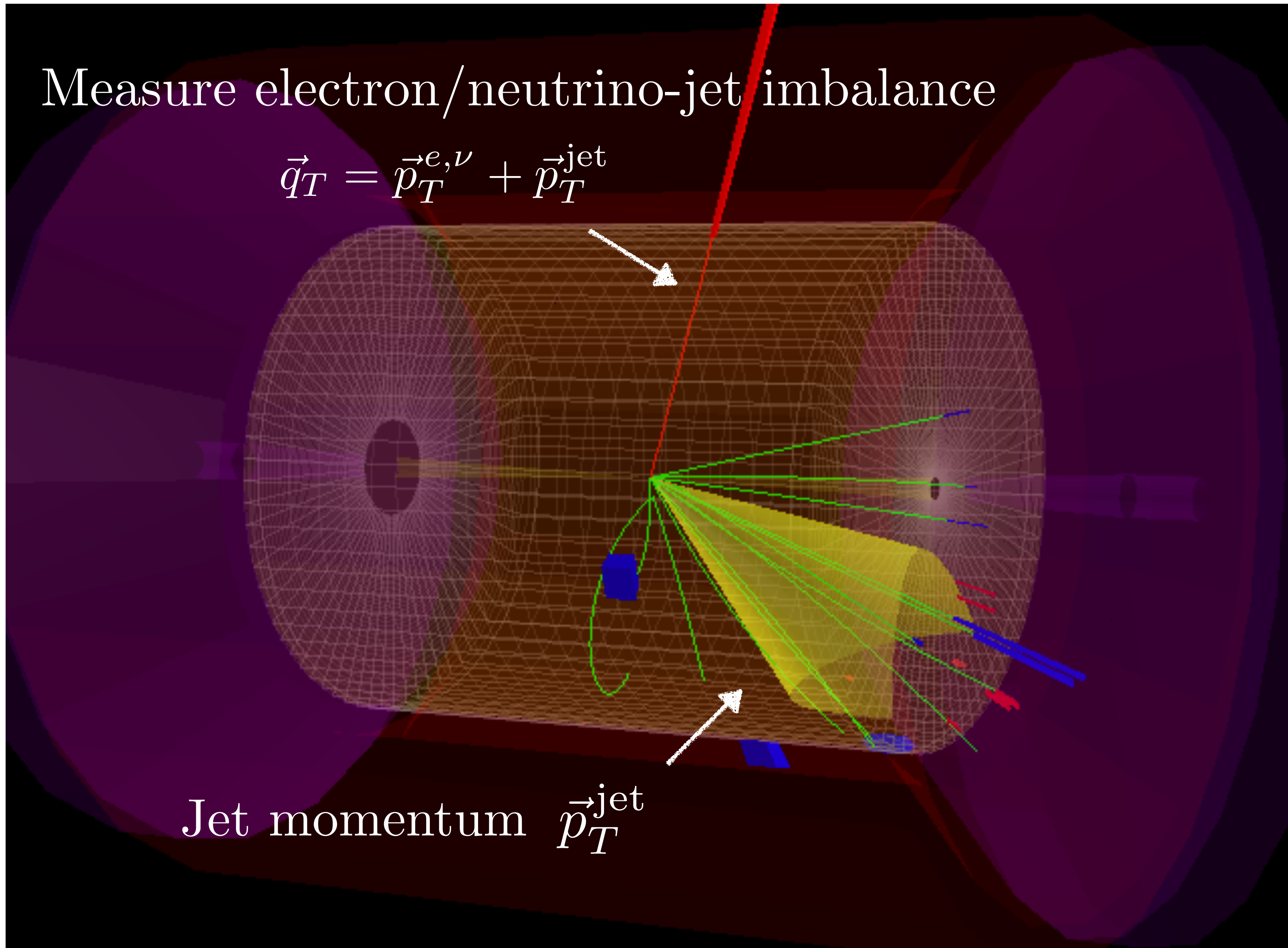
Hard scale  $p_T$   
and/or  $Q^2$

Arratia, Jacak, FR, Song '19  
see also Aschenauer et al.

# Measure electron/neutrino-jet imbalance

Laboratory  
frame

$$\vec{q}_T = \vec{p}_T^{e,\nu} + \vec{p}_T^{\text{jet}}$$



Jet momentum  $\vec{p}_T^{\text{jet}}$

# Electron-jet correlations

Liu, FR, Vogelsang, Yuan '18, '20

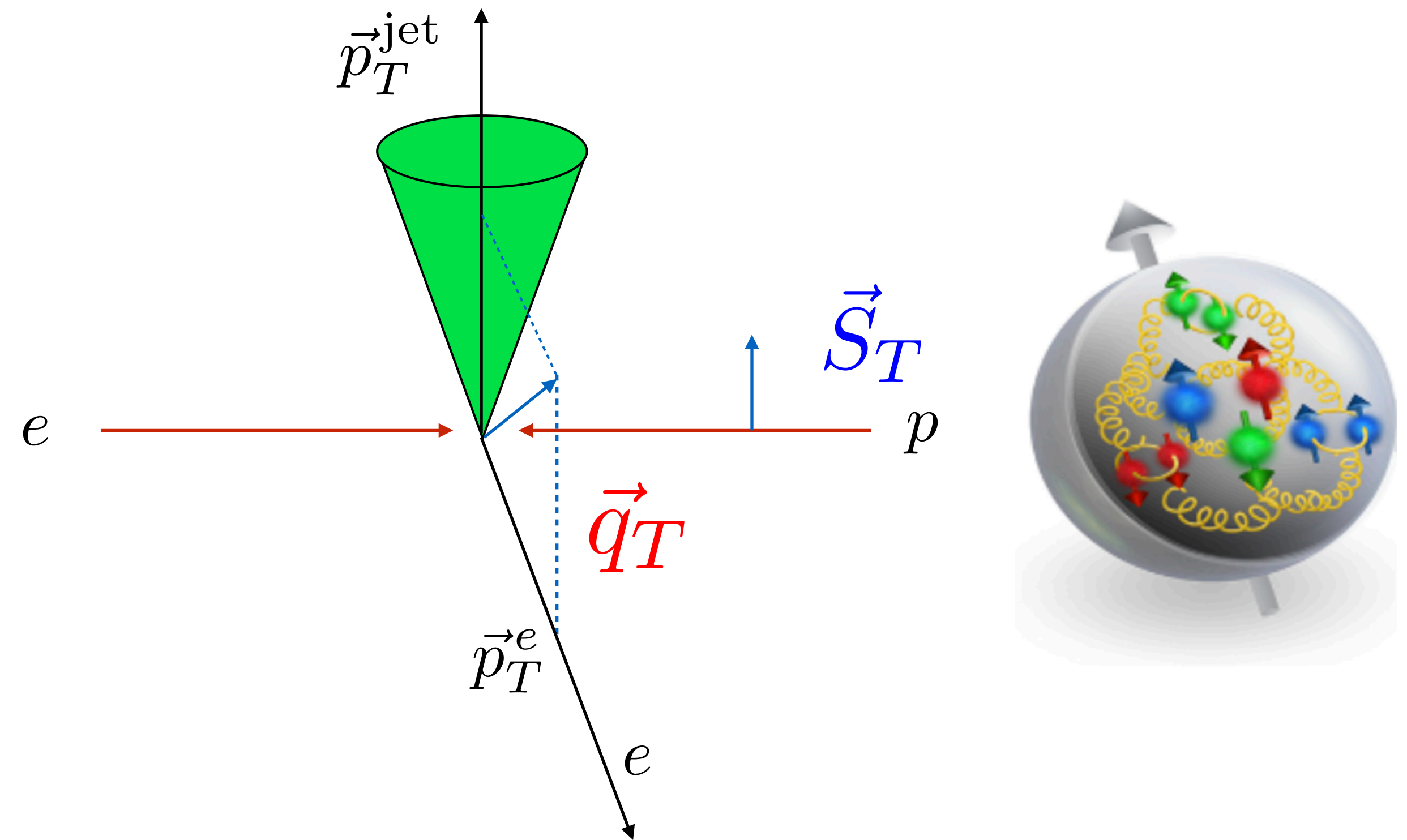
- Electron-jet imbalance at the EIC

$$\vec{q}_T = \vec{p}_T^e + \vec{p}_T^{\text{jet}}$$

- Sensitivity to TMD PDFs but no TMD FF

- TMD factorization

$$F_{UU} = \sigma_0 H_q(Q, \mu) \sum_q e_q^2 J_q(p_T^{\text{jet}} R, \mu) \times \int \frac{d^2 \vec{b}_T}{(2\pi)^2} e^{i \vec{q}_T \cdot \vec{b}_T} f_q^{\text{TMD}}(x, \vec{b}_T, \mu) S_q(\vec{b}_T, y_{\text{jet}}, R, \mu)$$



see also Boer, Vogelsang '05

Gutierrez-Reyes, Scimemi, Waalewijn, Zoppi '18, '19

# Electron-jet correlations

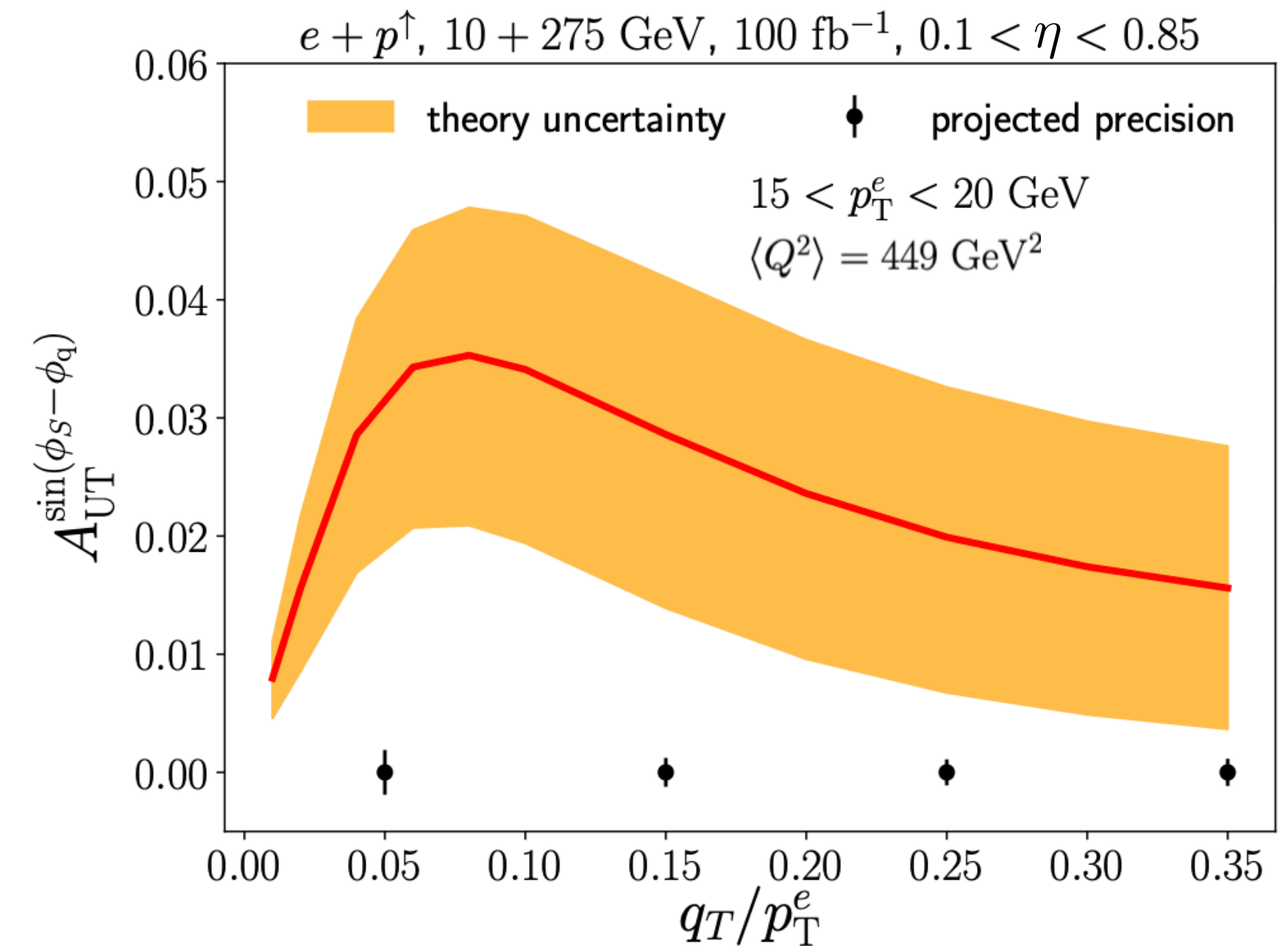
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Liu, FR, Vogelsang, Yuan '18, '20  
 Arratia, Kang, Prokudin, FR'20  
 HI, PRL 128 (2022) 13, 132002

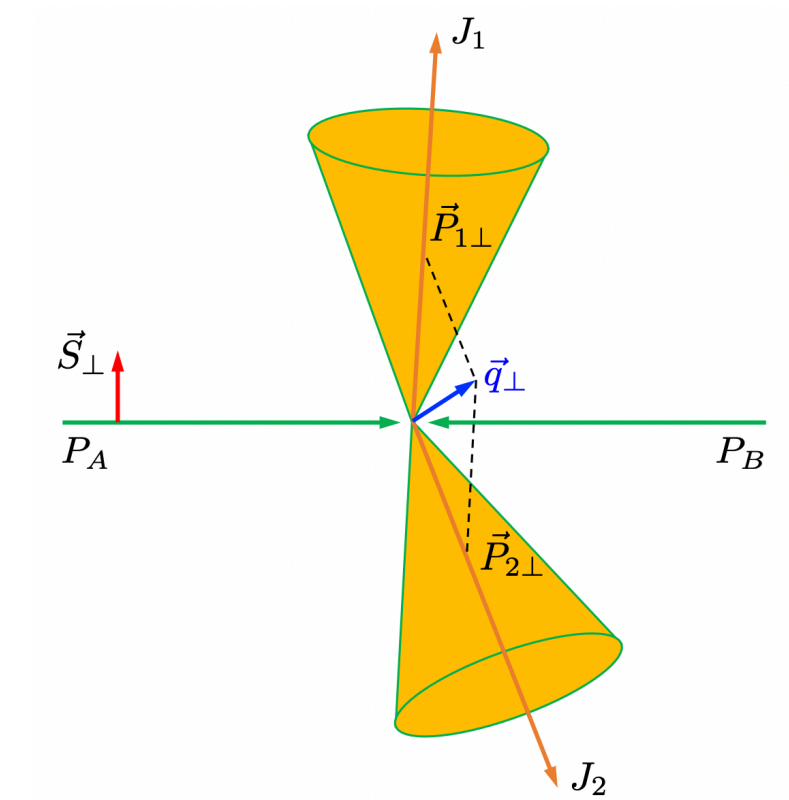
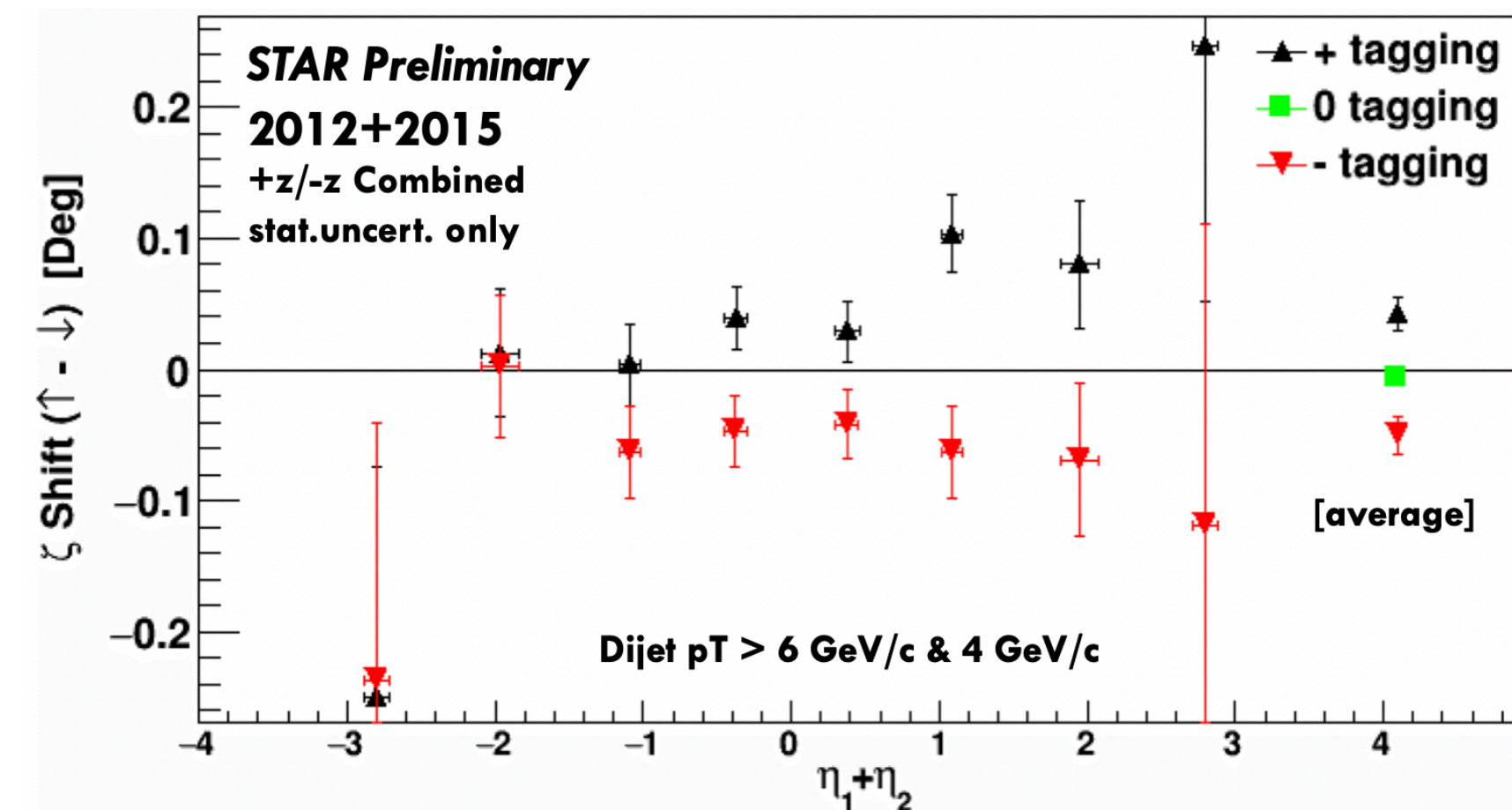
# Jets & spin asymmetries

- E.g. Sivers asymmetries can be small due to large flavor cancellations

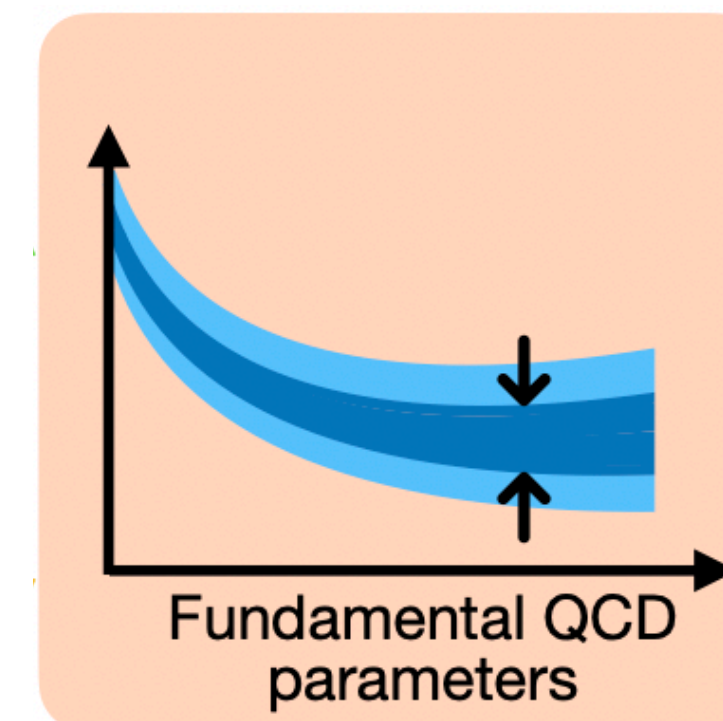
Burkardt sum rule '04

$$\sum_{a=q,\bar{q},g} \int_0^1 dx f_{1T}^{\perp(1)a}(x) = 0$$

Fatemi EINN '19, Liu DNP '19  
see also Kang et al., Yuan et al.



Can we obtain better constraints with ML-based jet classification?





# Jet physics & Machine learning

- Various jet classifiers have been developed
- Typically ML significantly outperformed traditional observables
- Use full event-by-event information instead of low-dimensional projections (observables)

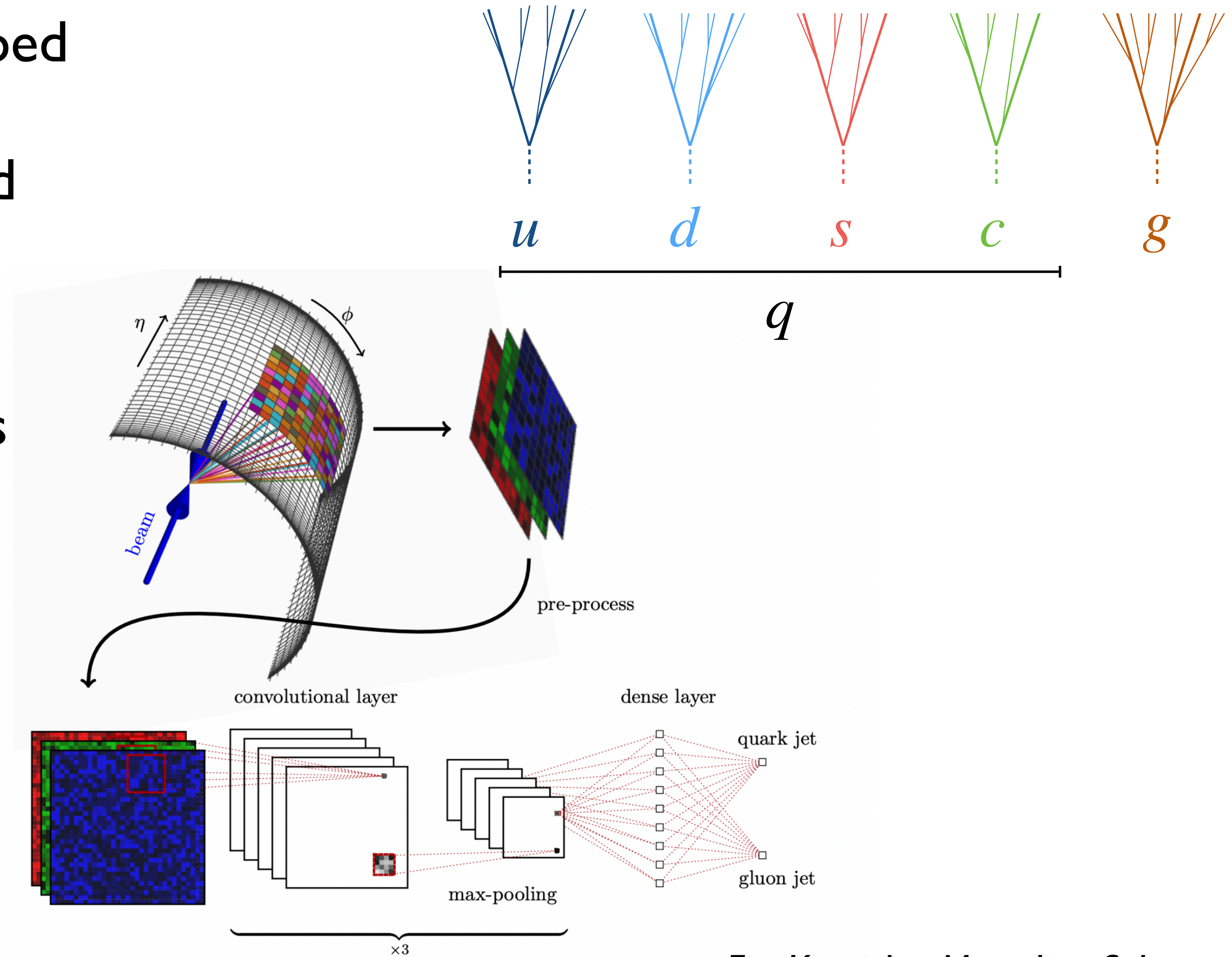
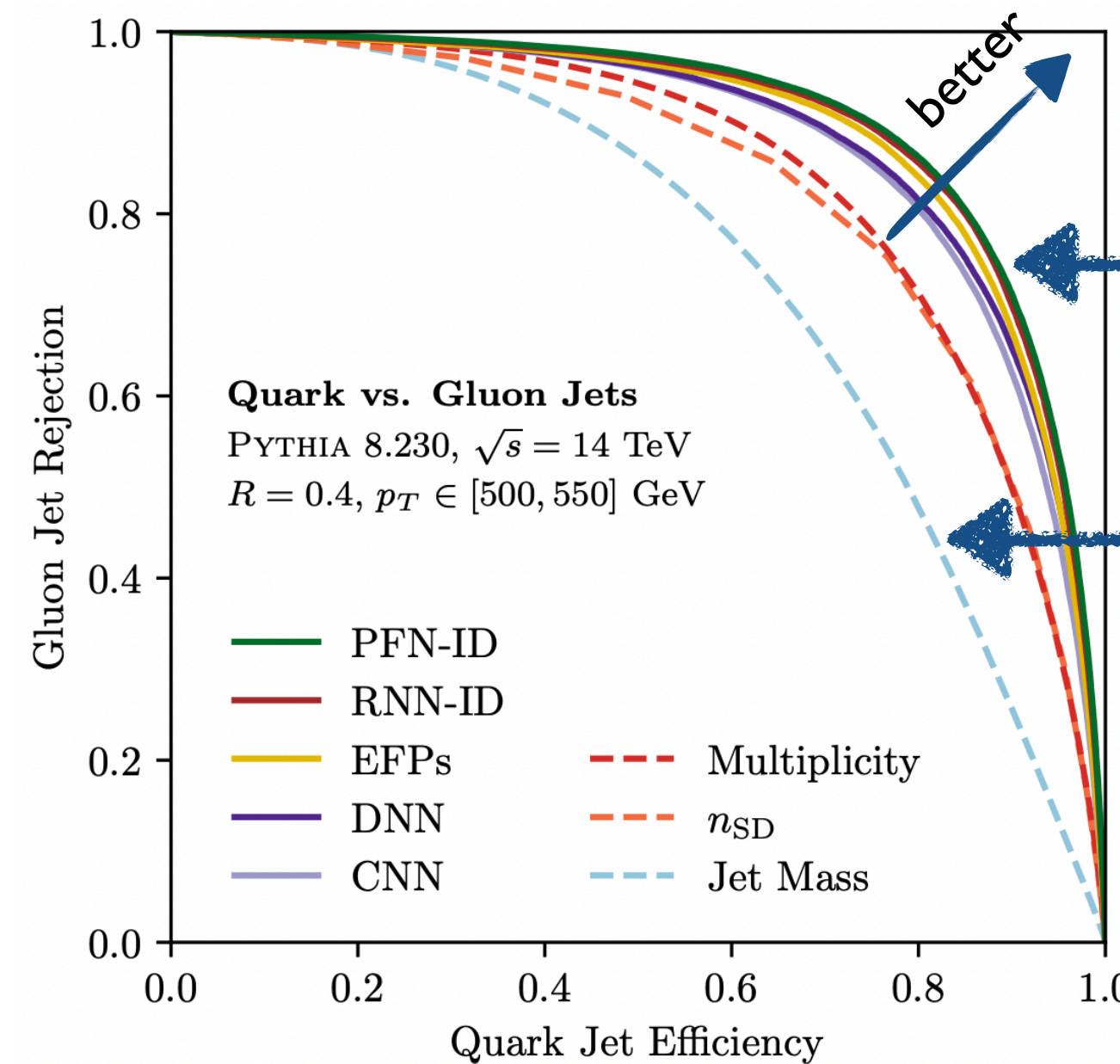
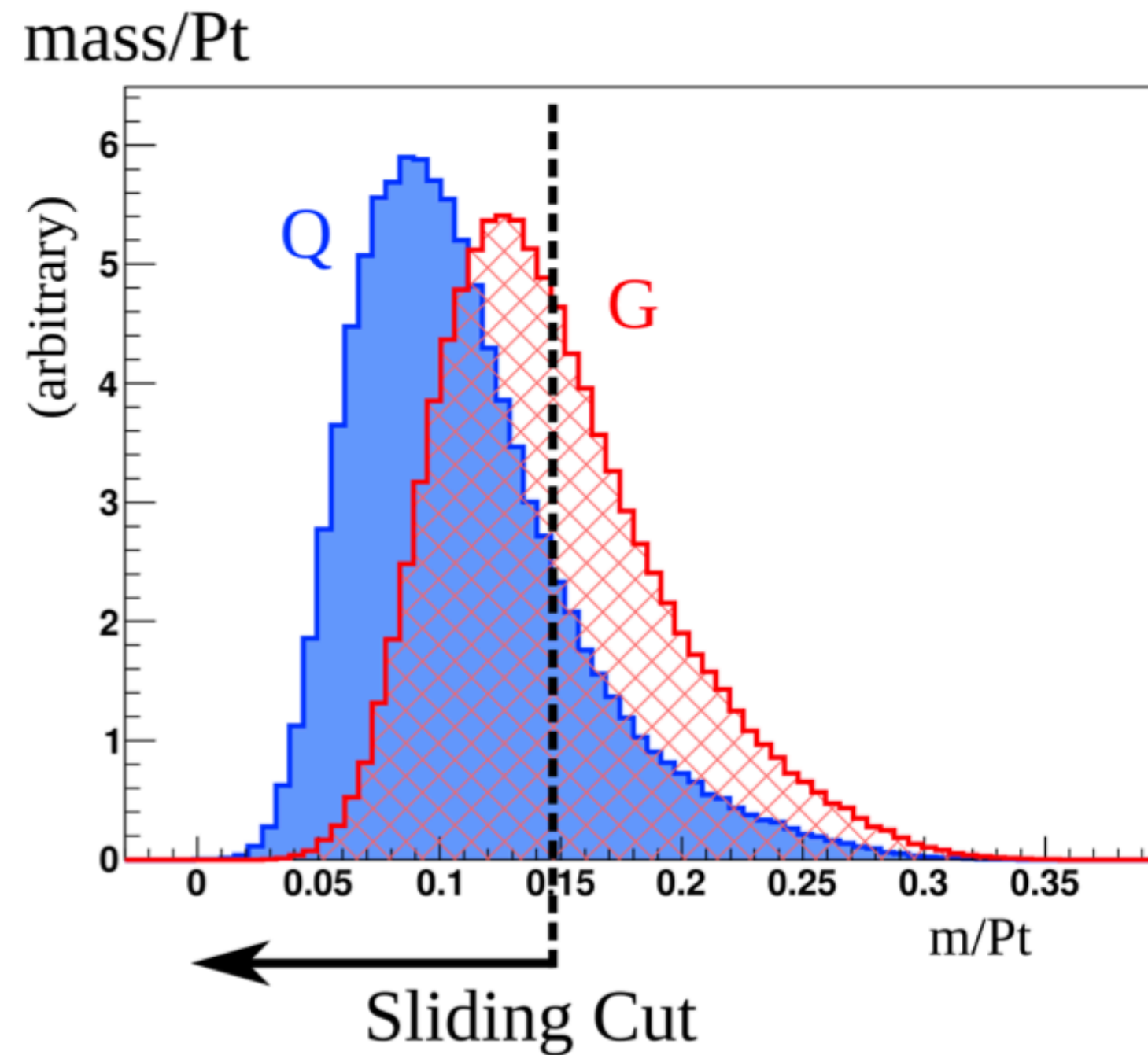
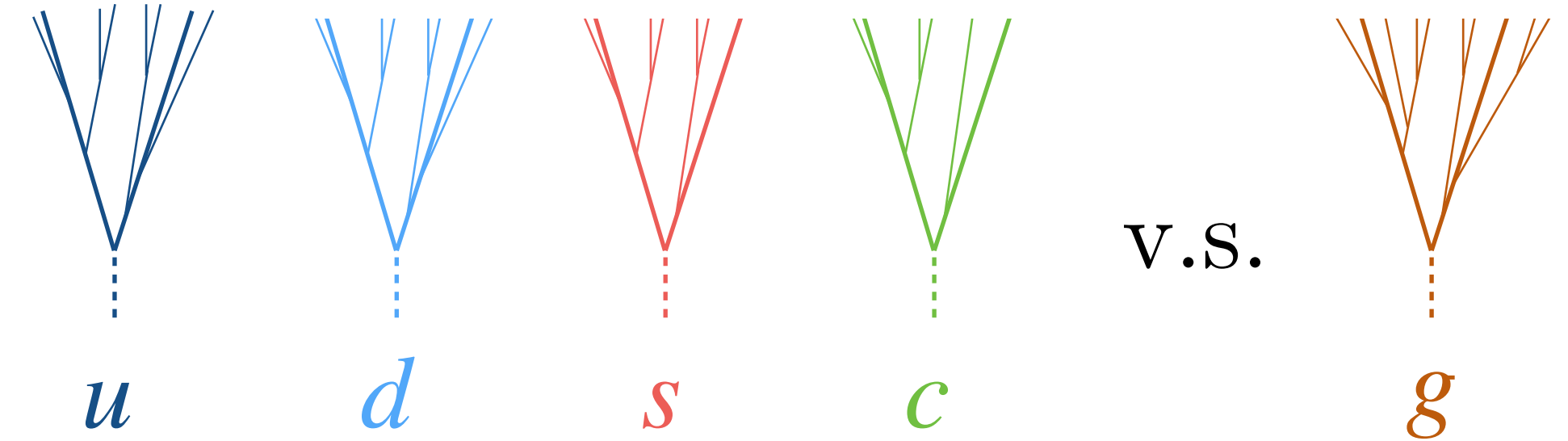


Fig. Komiske, Metodiev, Schwartz

# Jet physics & Machine learning

- Various jet classifiers have been developed
- Example: Quark vs. gluon jet classification
- Quantify using a ROC curve



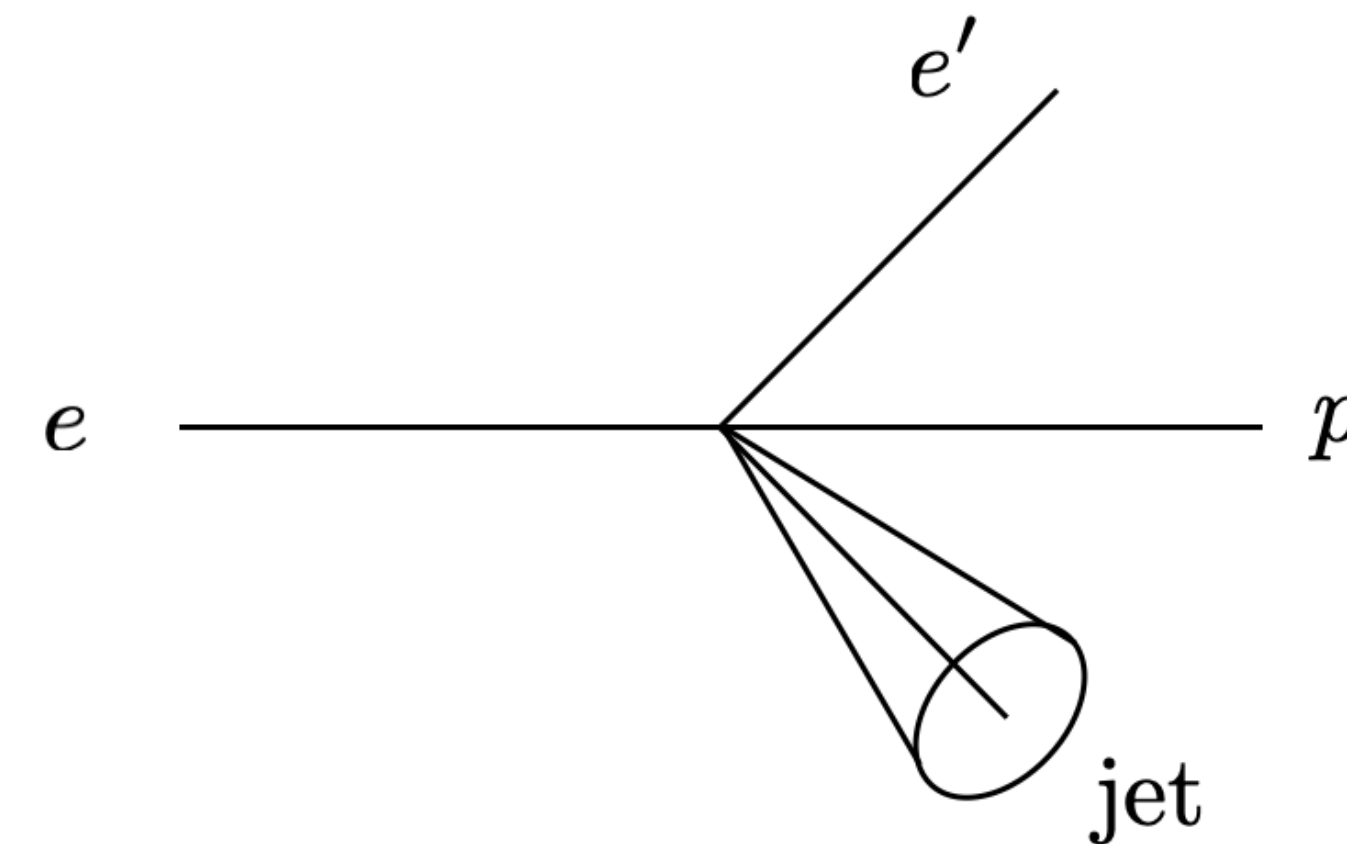
AI/ML  
 Traditional observable

*Gallicchio, Schwartz  
 Komiske, Metodiev, Thaler '19*

# Data sets using Pythia

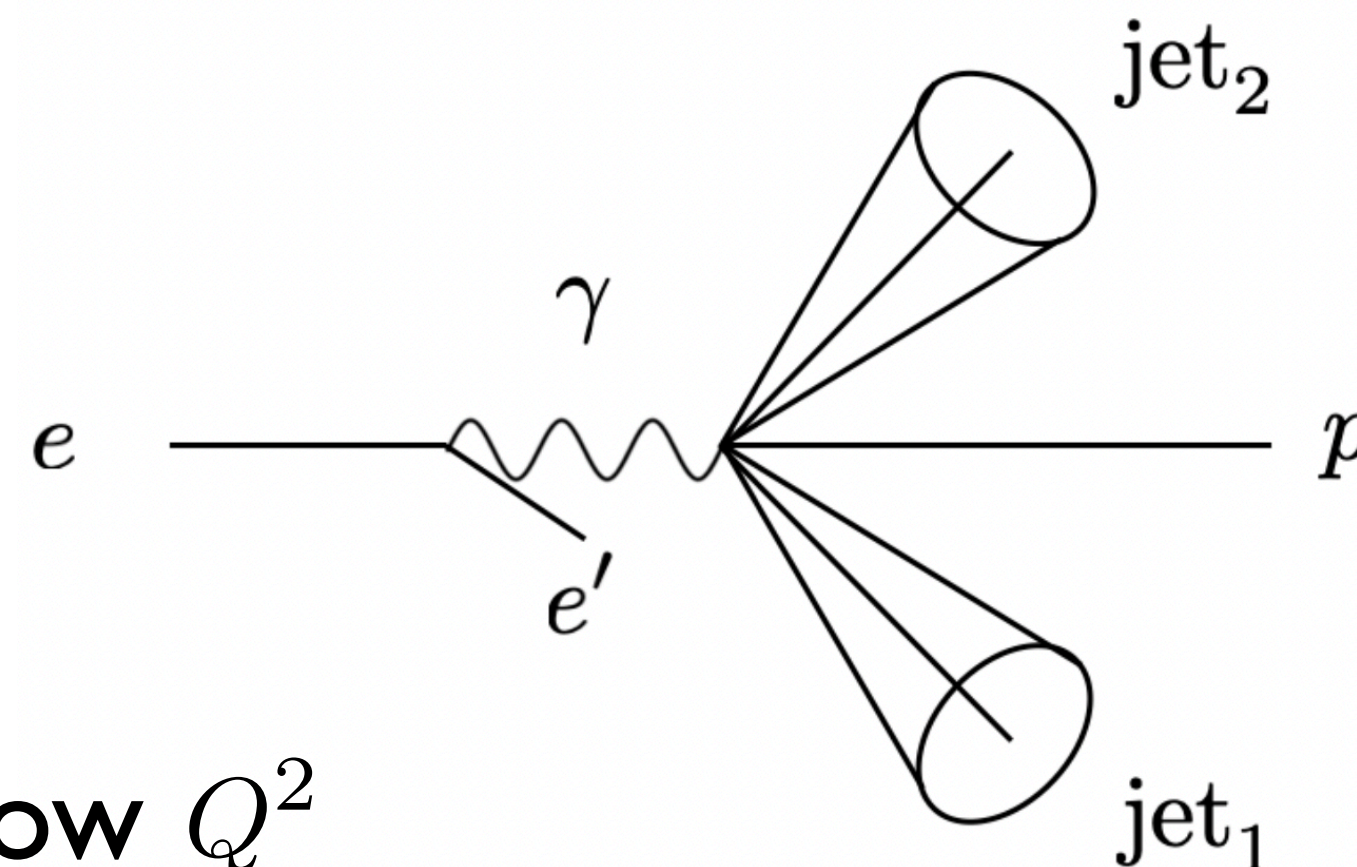
Lee, Mulligan, Ploskon, FR, Yuan '22

- Relatively low particle multiplicities at the EIC
- Pythia 6
  - No detector simulation
  - Laboratory frame jets
  - Particle  $(p_{Ti}, \eta_i, \phi_i, \text{PID}_i)$



$$Q^2 > 25 \text{ GeV}^2,$$
$$p_T > 10 \text{ GeV}$$

$ud, s$  jet classification

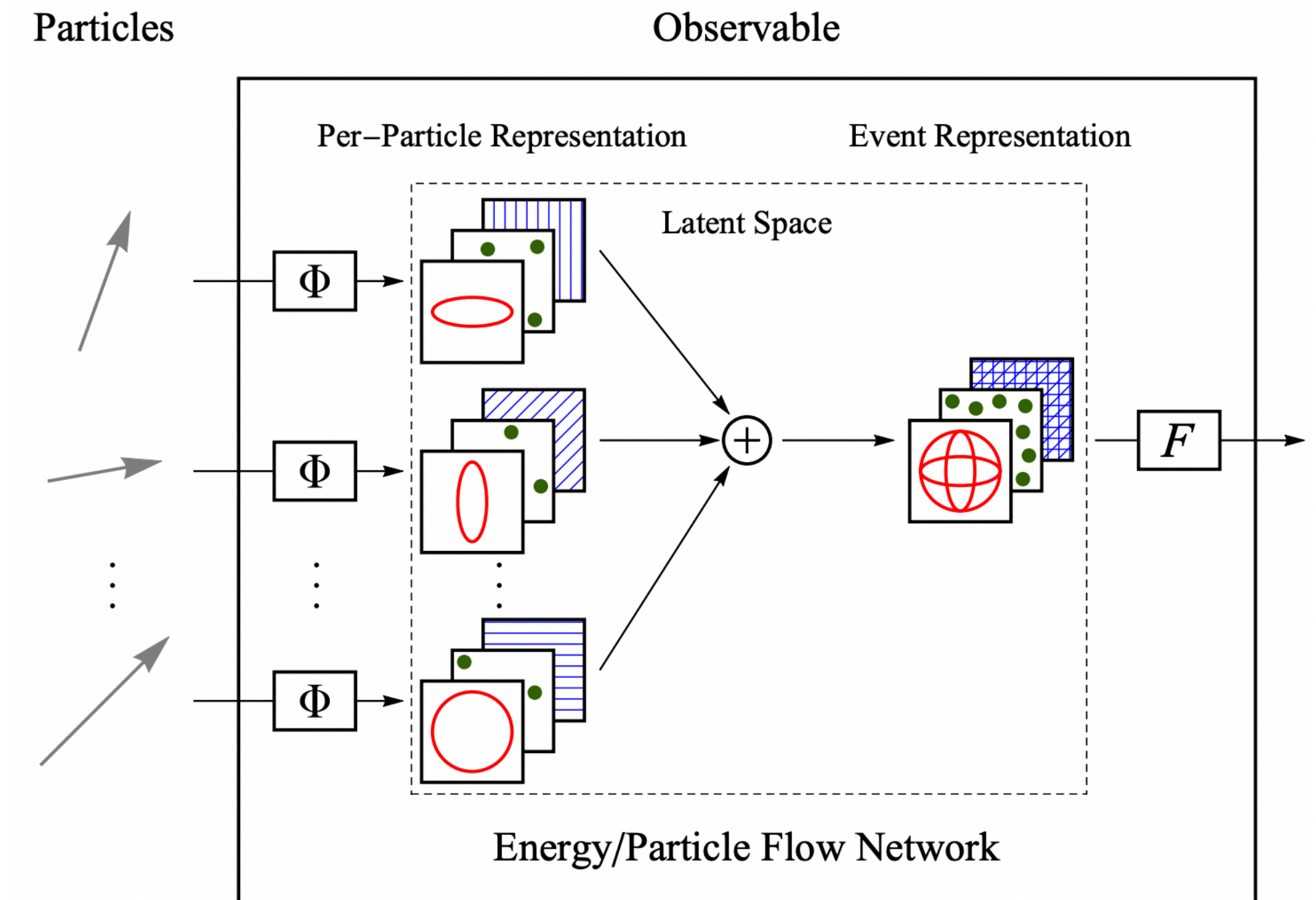
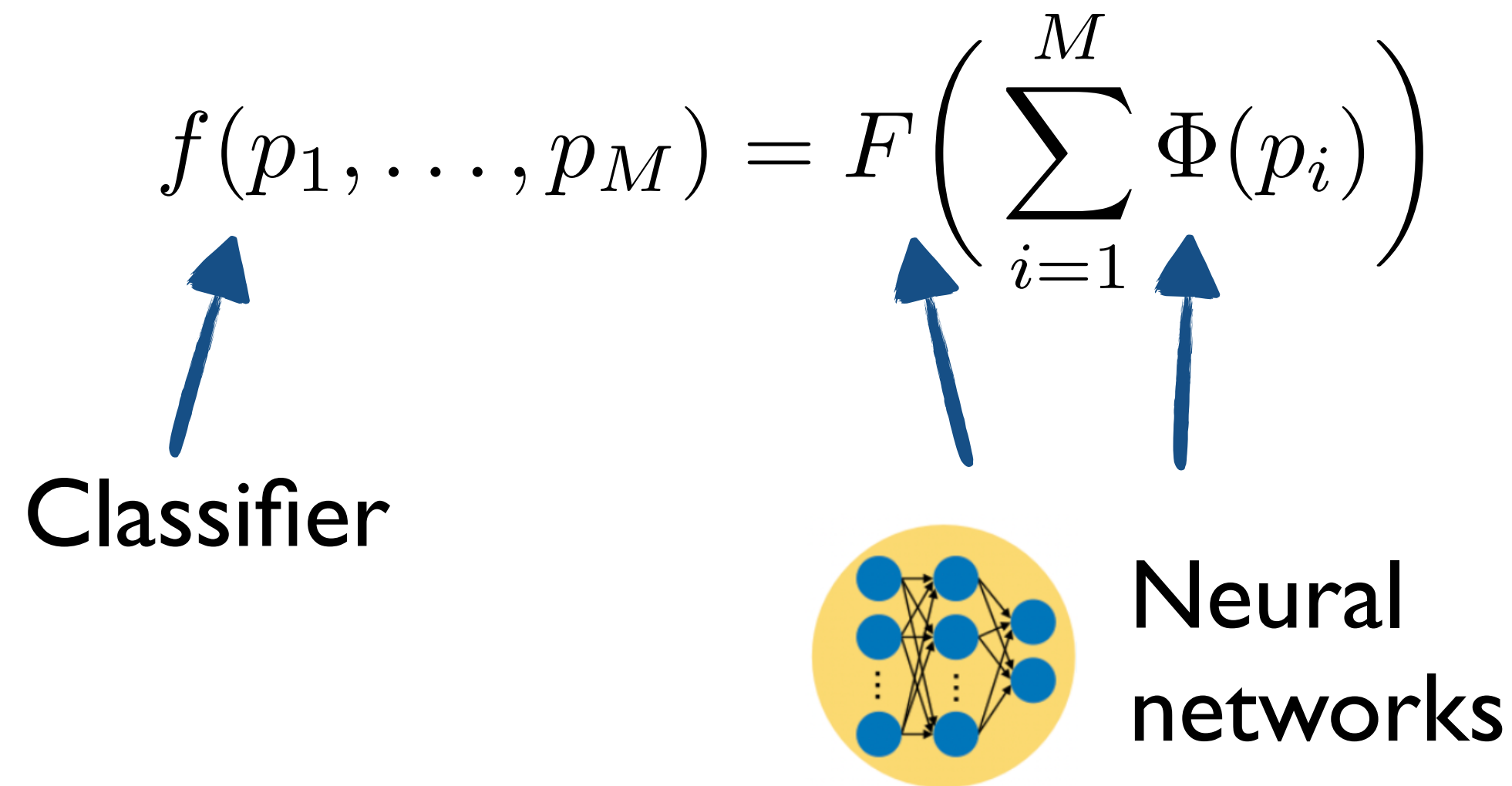


$q, g$  jet classification

Photoproduction, low  $Q^2$

# Machine learning architecture

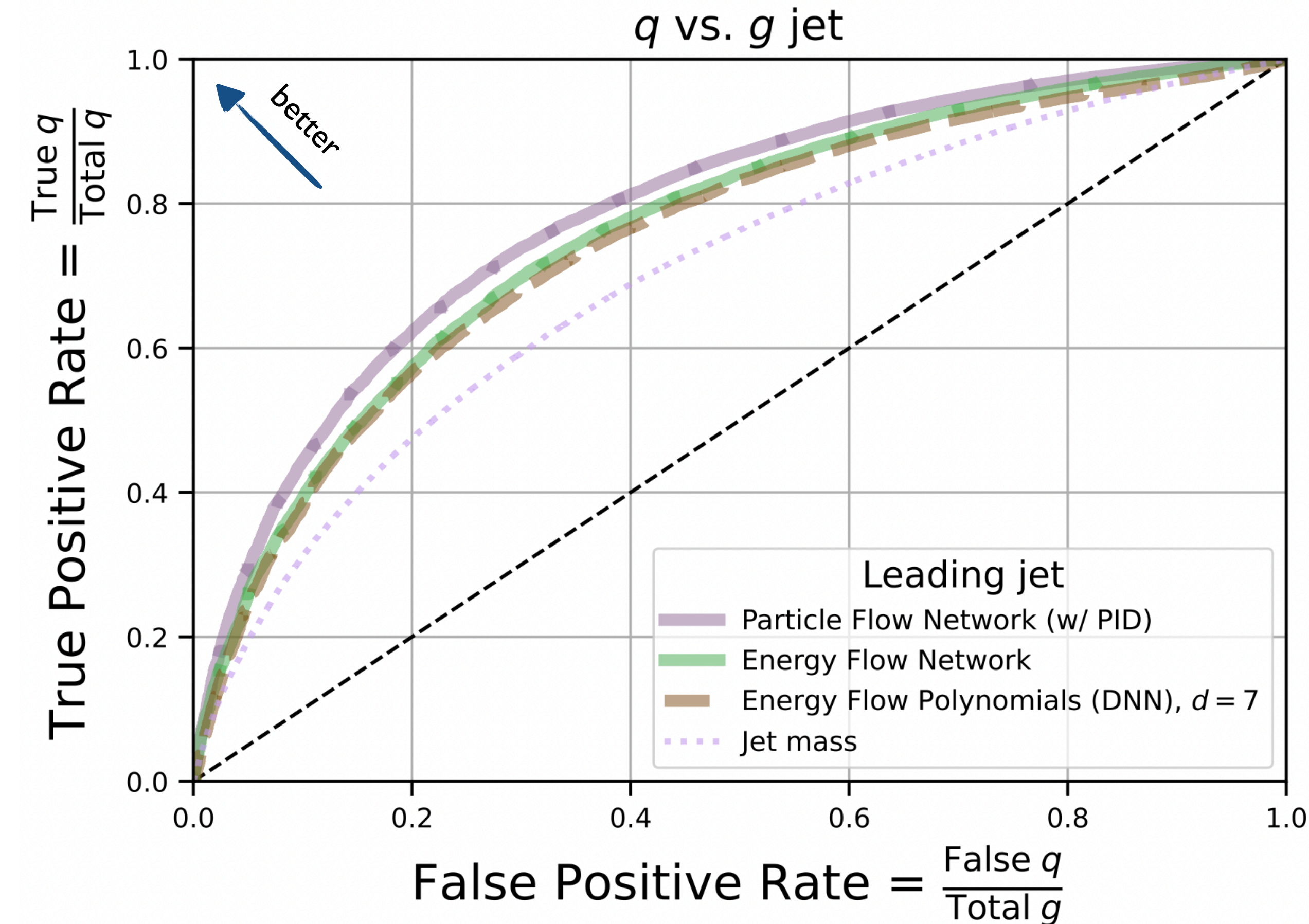
- Binary classification:  $u$  vs.  $d$ ,  $ud$  vs.  $s$ , ...
- Deep sets or Particle Flow Networks



*Komiske, Metodiev, Thaler JHEP 01 (2019) 121*  
 Permutation invariant Deep Sets  
 See also GNNs, transformers

# Quark vs. gluon jet tagging

Lee, Mulligan, Ploskon, FR, Yuan '22



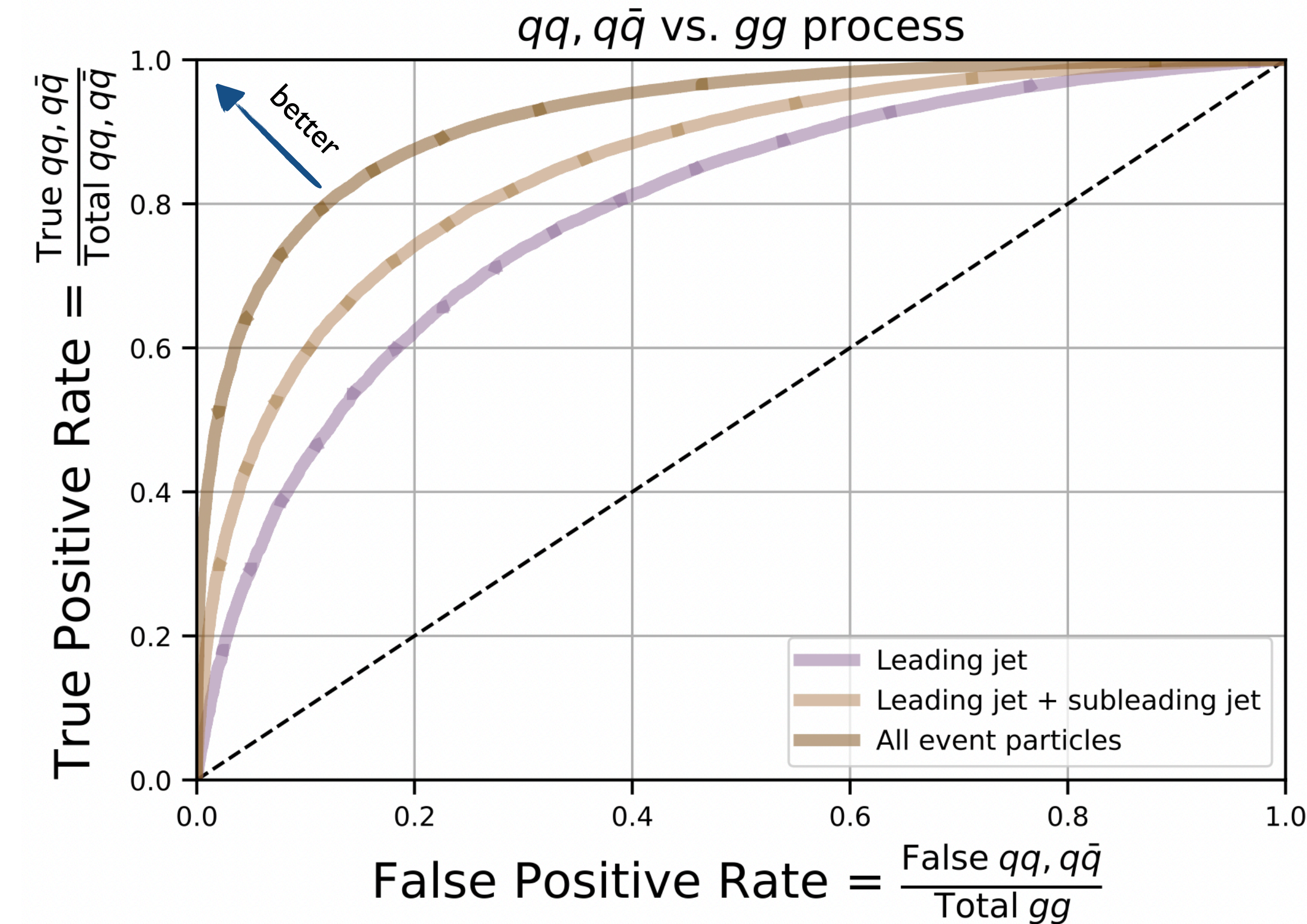
- Some improvement with ML
- Relatively few particles per jet, less information

Data & code available

<https://zenodo.org/record/7538810#.Y8RcaS-B2gQ>

# Quark vs. gluon event tagging

Lee, Mulligan, Ploskon, FR, Yuan '22



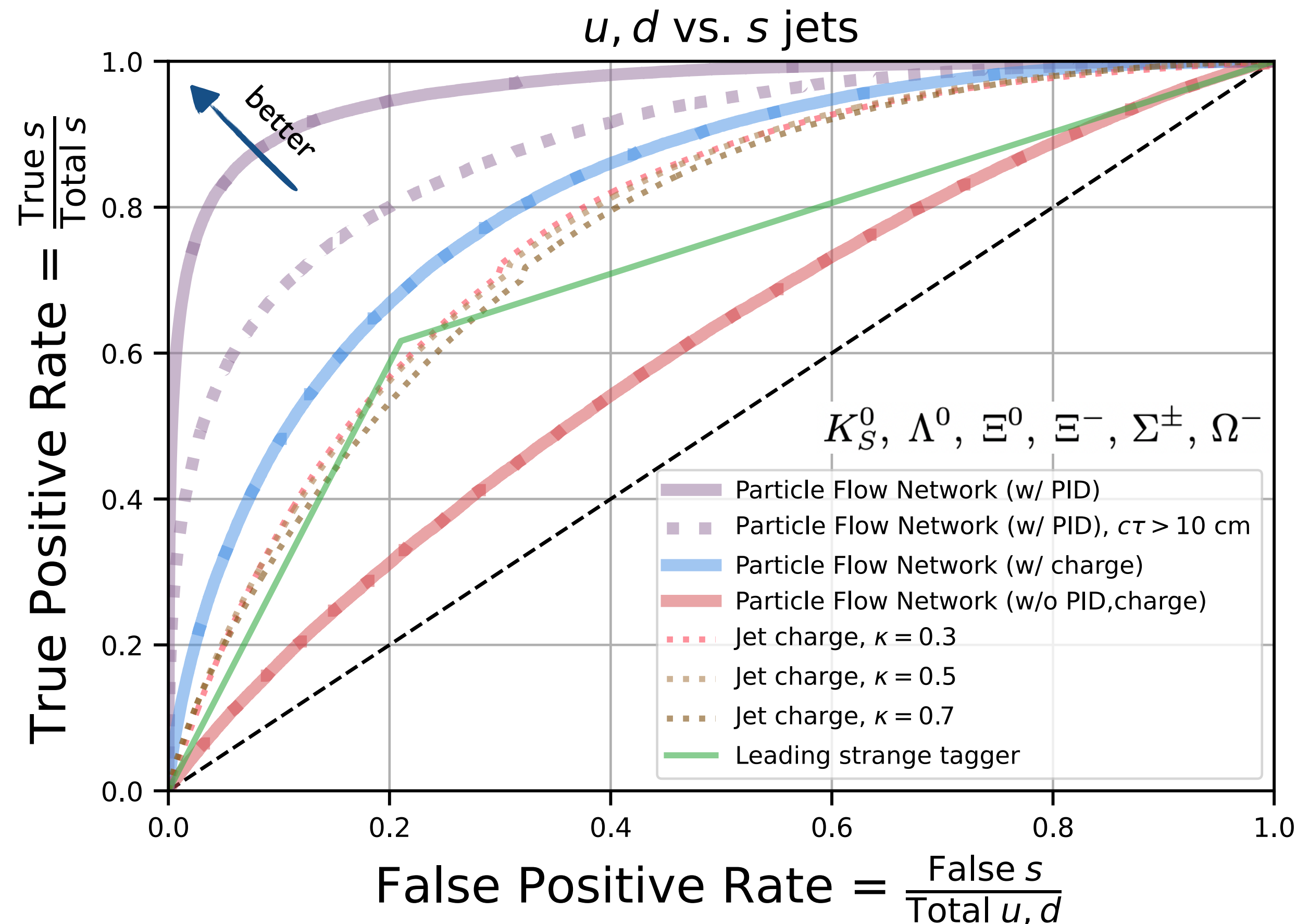
- Significant gain with ML!
- Use full event information
- Quantifies total information content
- Motivates further theory efforts

Data & code available

<https://zenodo.org/record/7538810#.Y8RcaS-B2gQ>

# Strange jet tagging

Lee, Mulligan, Ploskon, FR, Yuan '22



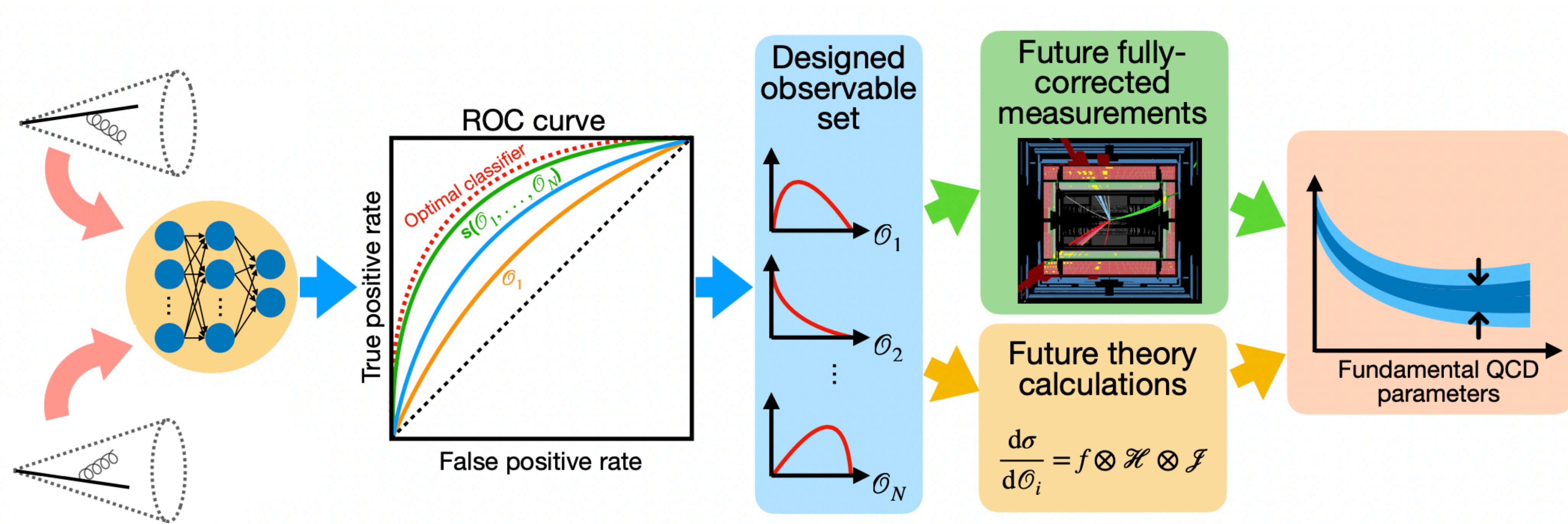
- Significant gain with ML!
- Soft particles, tracking & PID important
- Motivates further theory efforts
- Impact on EIC detector?

Data & code available

<https://zenodo.org/record/7538810#.Y8RcaS-B2gQ>

# Information content of jets & events

How can we make use of all this additional information?

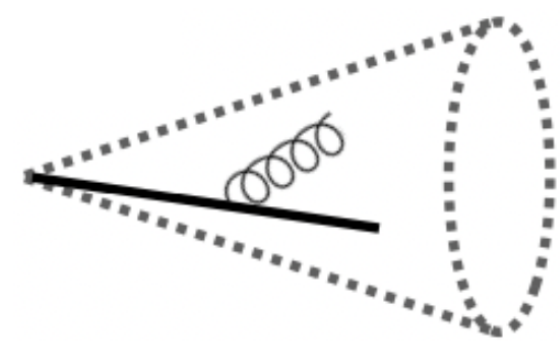




# Information content of jets & events

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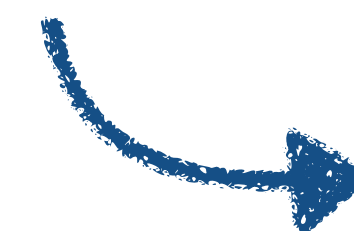
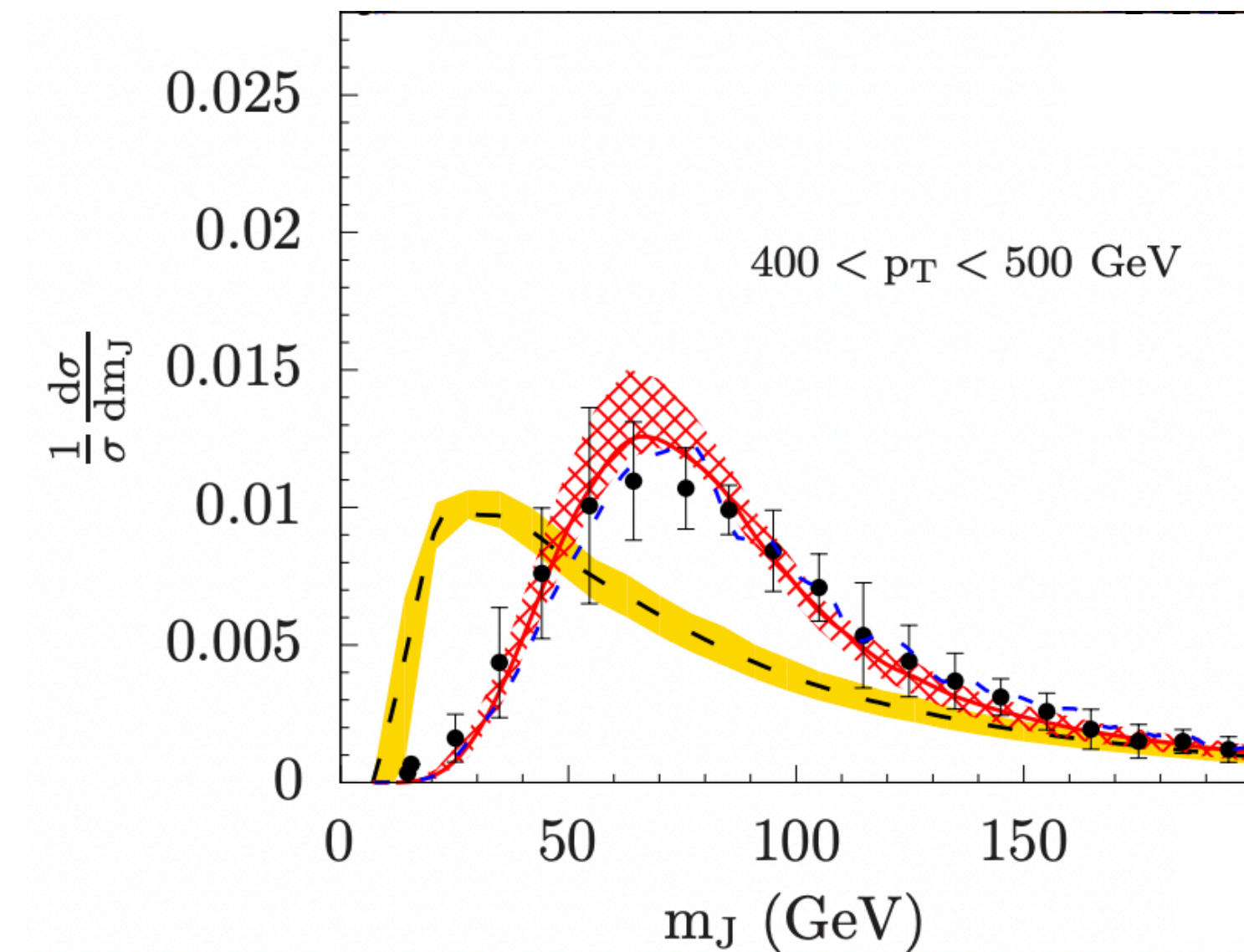
- Need complete sets of observables
- Observables at the level of events vs. ensemble



Measure  $m_J$  per jet



Histogram event samples



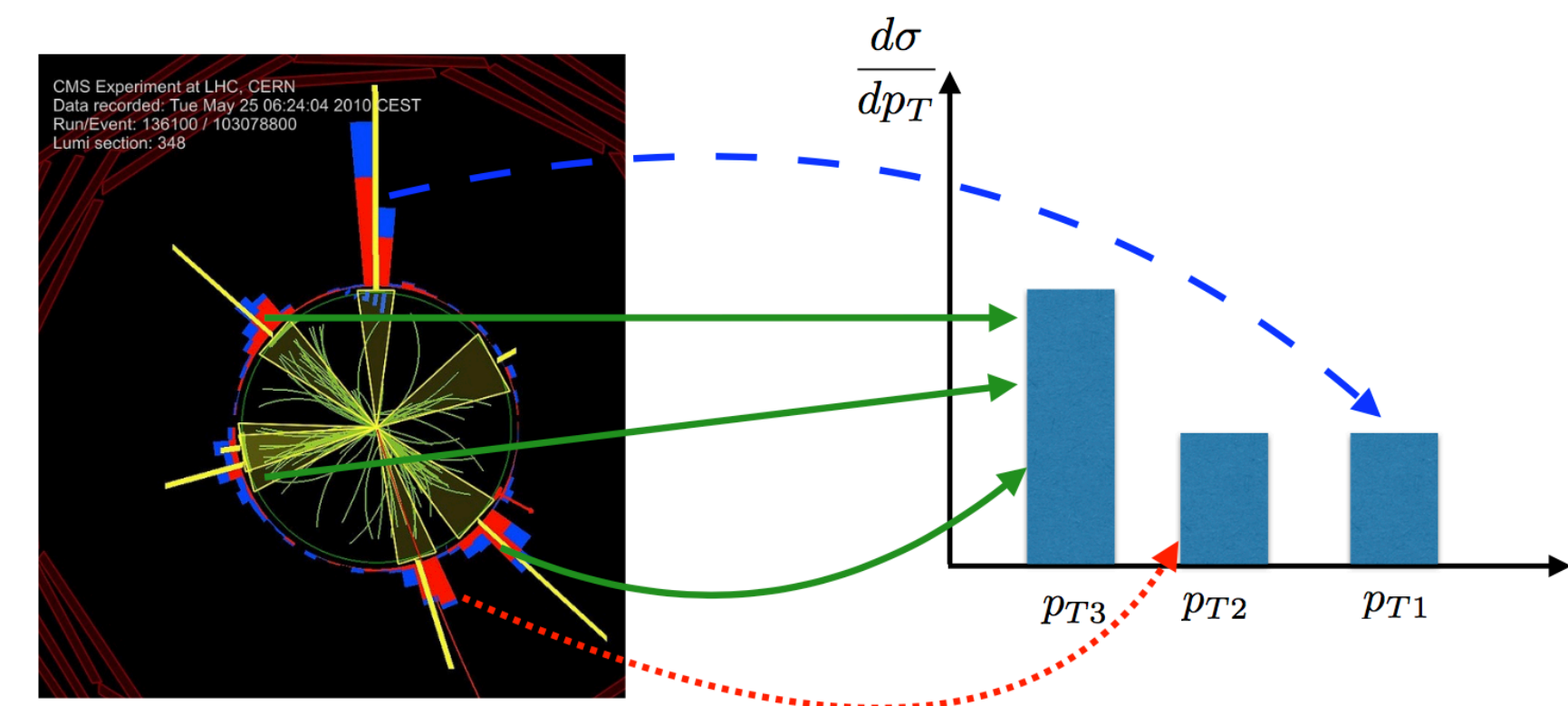
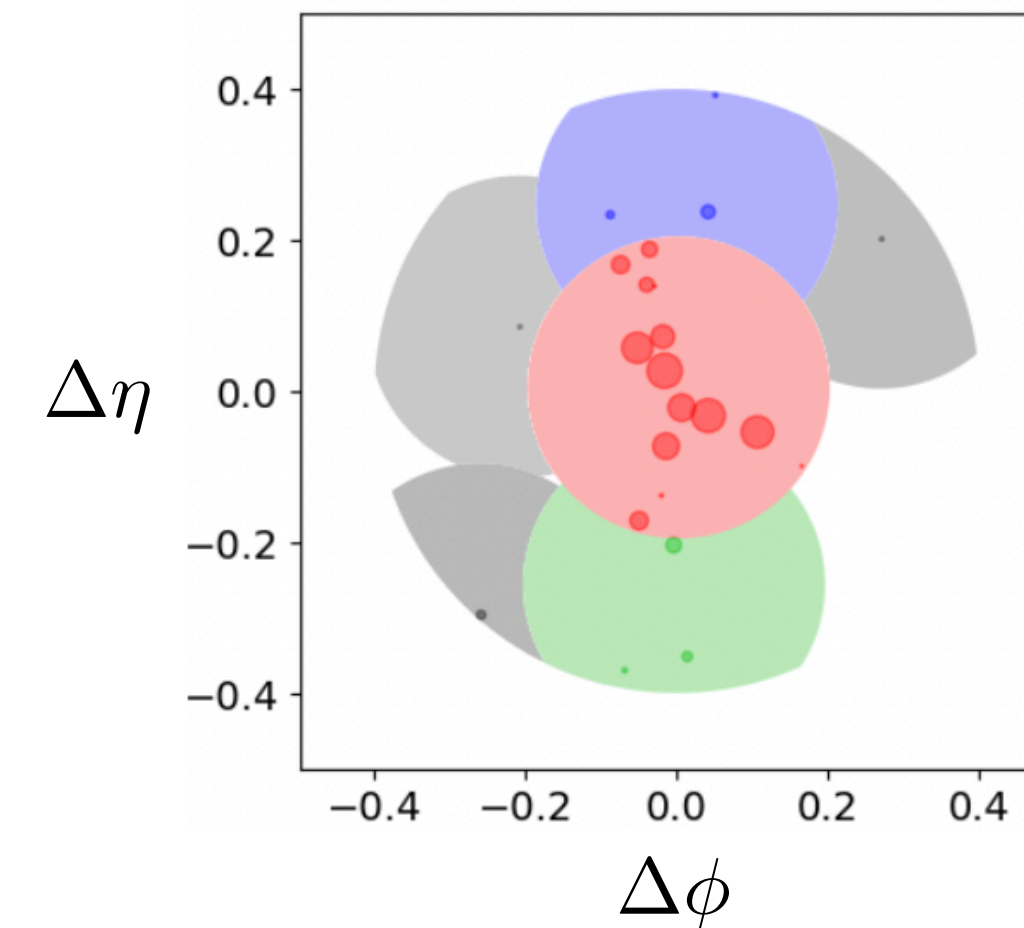
Compare to QCD calculation

# Information content of jets & events

How can we make use of all this additional information?

- Need complete sets of observables
- Observables at the level of events vs. ensemble

- Event only: Position information  $(\eta_i, \phi_i)$
- Ensemble only: Inclusive jets and correlators



# Information content of jets & events

How can we make use of all this additional information?

- Need complete set of observables
- N-jettiness basis & Energy Flow Polynomials

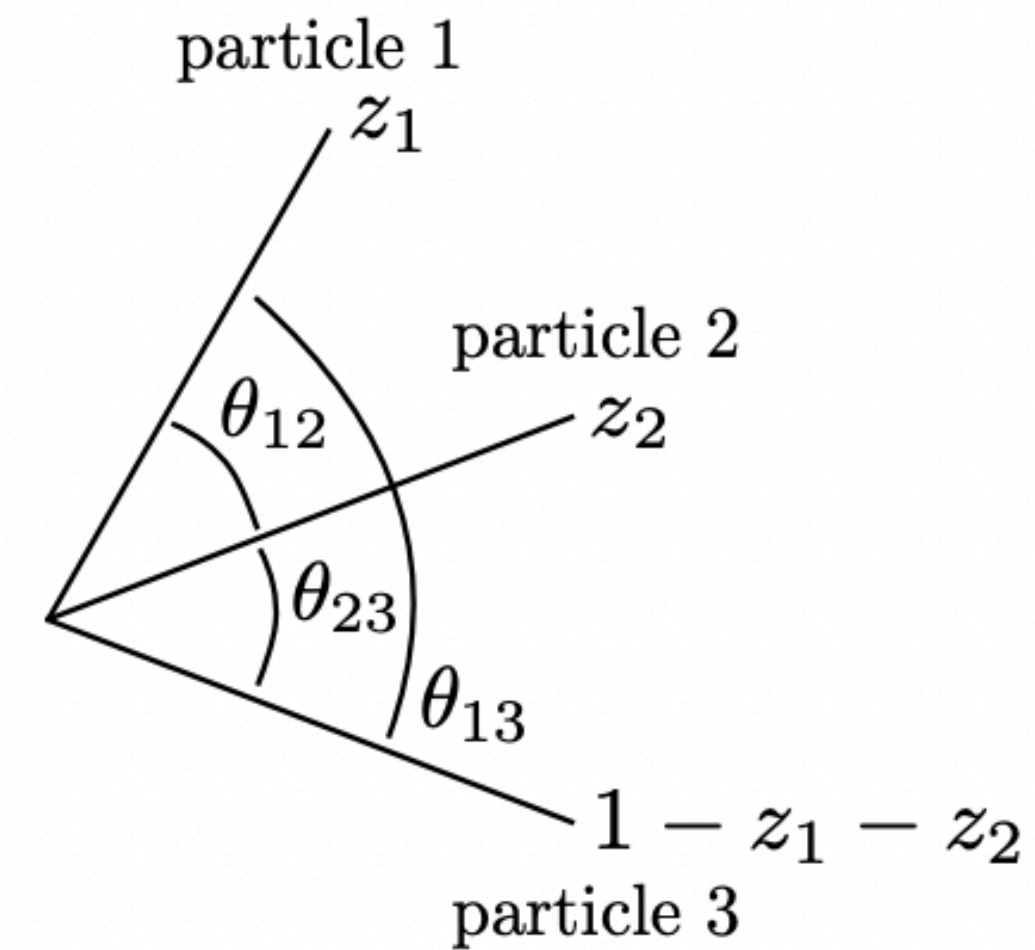
*Datta, Larkoski '17*

*Metodiev, Komiske, Thaler '18*

Both are IRC safe & defined at the event and ensemble level



Can use AI to identify the most useful observables!



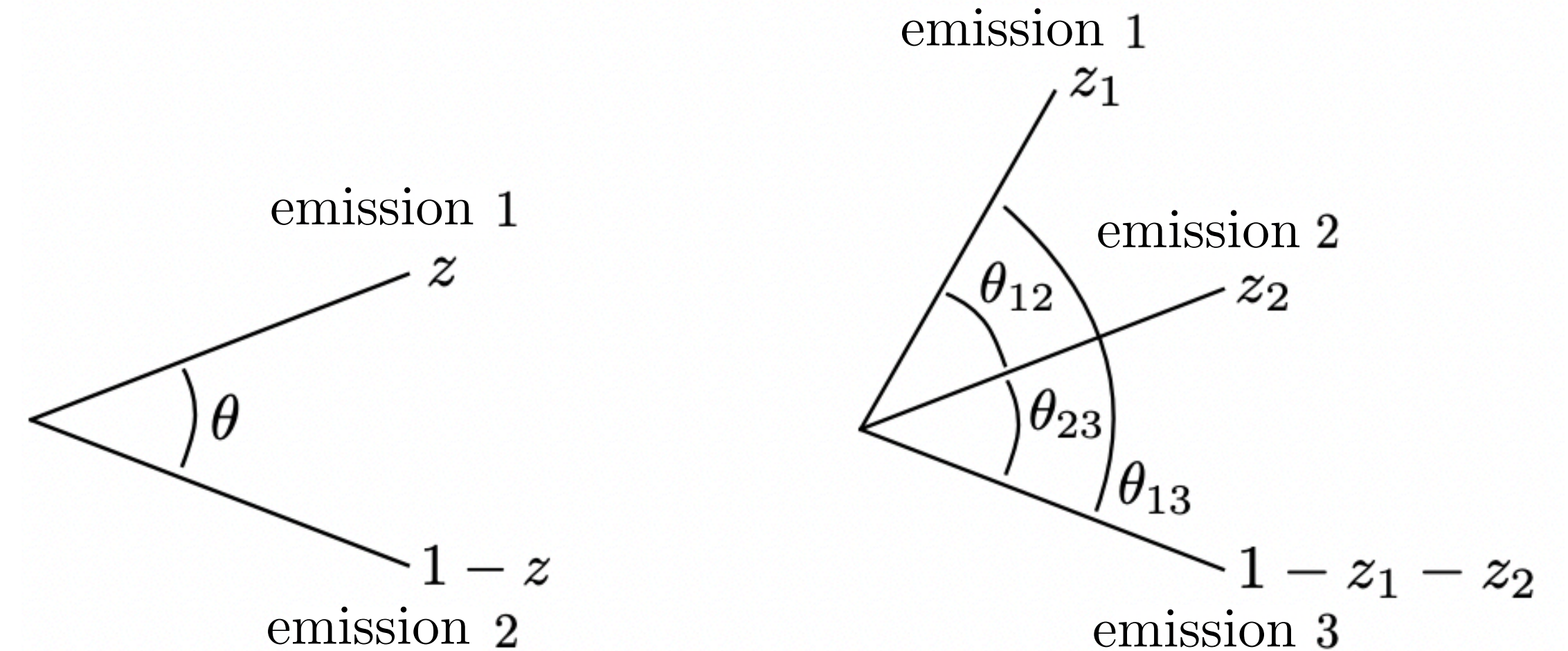
# Information content of jets & events

- **N-jettiness basis** *Datta, Larkoski '17*

Systematically map 3M-4 phase space variables to a set of observables e.g.

$$z(1-z) = \frac{(\tau_1^{(1)})^2}{4\tau_1^{(2)}}, \quad \theta = \frac{2\tau_1^{(2)}}{\tau_1^{(1)}}$$

$$\left\{ \tau_1^{(0.5)}, \tau_1^{(1)}, \tau_1^{(2)}, \tau_2^{(0.5)}, \tau_2^{(1)}, \tau_2^{(2)}, \dots \right\}$$



$$\tau_N^{(\beta)} = \frac{1}{p_T} \sum_{i \in \text{jet}} p_{Ti} \min \left\{ R_{1i}^\beta, R_{2i}^\beta, \dots, R_{Ni}^\beta \right\}$$

Use as input to a neural network for classification and feature selection

see e.g. *Lai, Mulligan, Ploskon, FR '21*

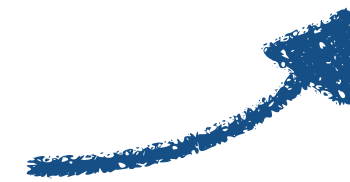
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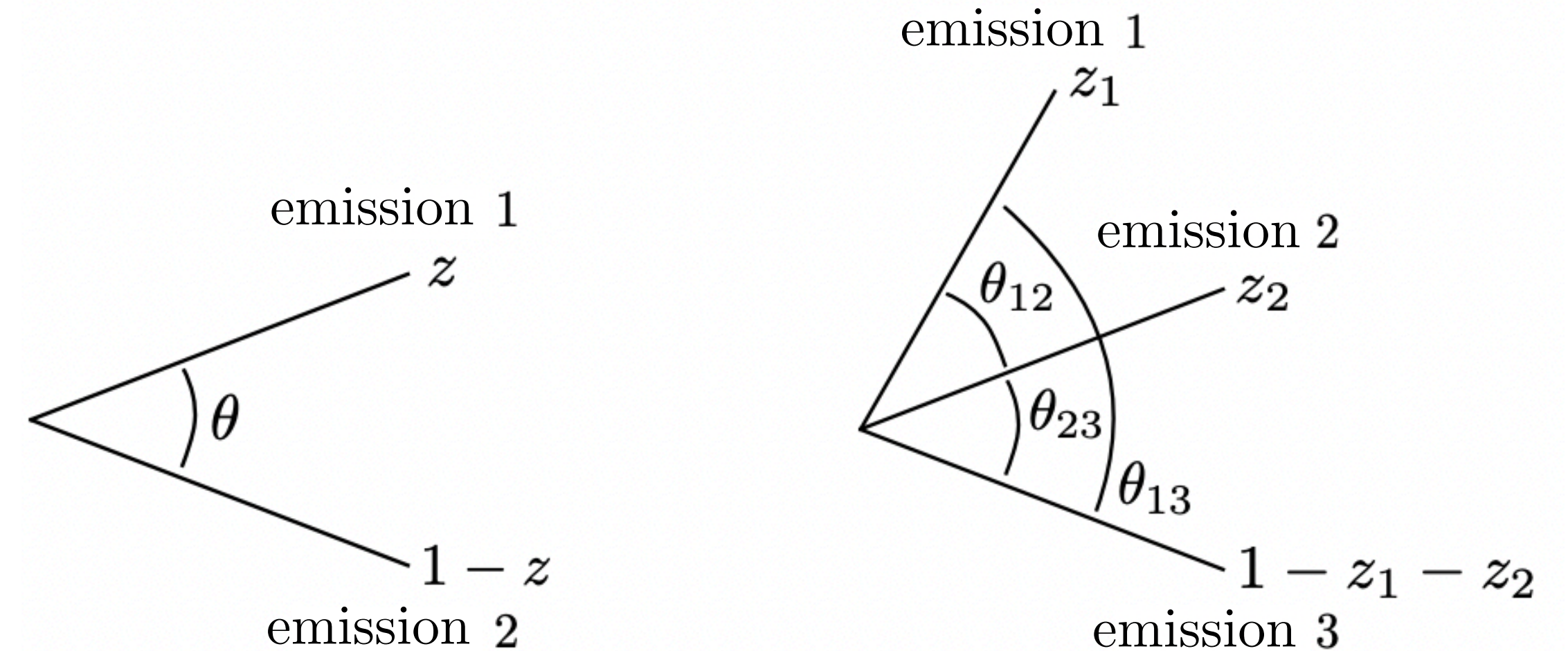
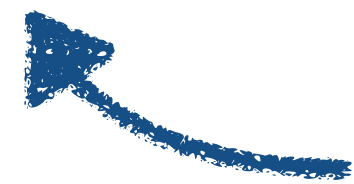
$$z(1-z) = \frac{(\tau_1^{(1)})^2}{4\tau_1^{(2)}}, \quad \theta = \frac{2\tau_1^{(2)}}{\tau_1^{(1)}}$$

Sudakov safe



$$\left\{ \tau_1^{(0.5)}, \tau_1^{(1)}, \tau_1^{(2)}, \tau_2^{(0.5)}, \tau_2^{(1)}, \tau_2^{(2)}, \dots \right\}$$

IRC safe



$$\tau_N^{(\beta)} = \frac{1}{p_T} \sum_{i \in \text{jet}} p_{Ti} \min \left\{ R_{1i}^\beta, R_{2i}^\beta, \dots, R_{Ni}^\beta \right\}$$

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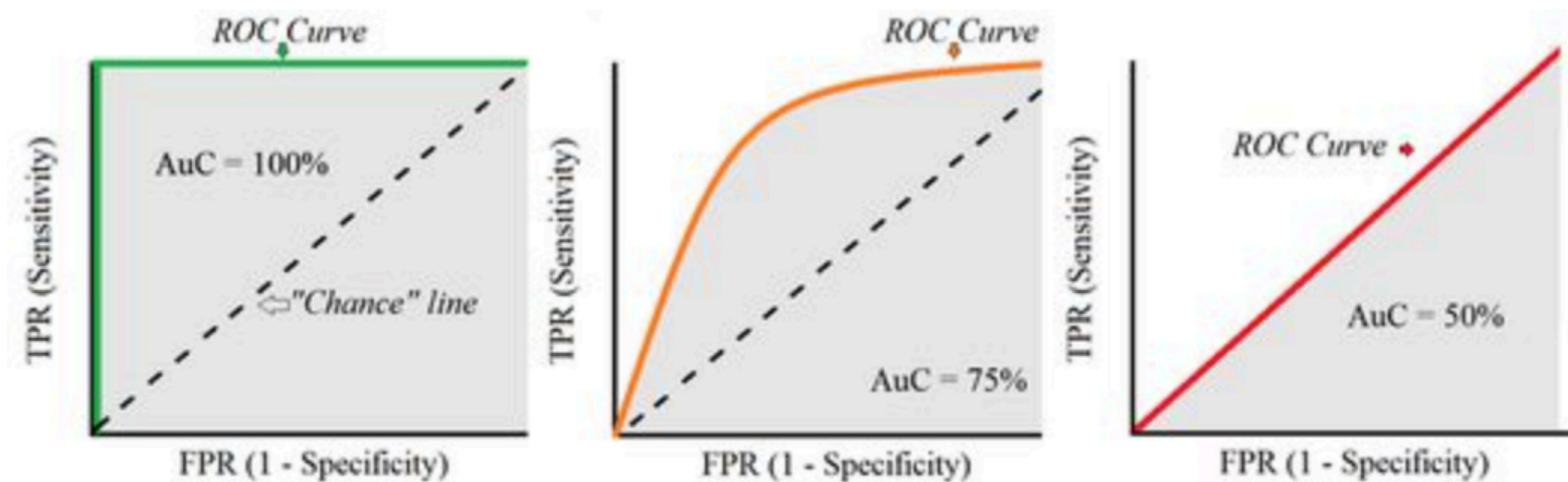
see e.g. *Lai, Mulligan, Ploskon, FR '21*

# Information content of jets & events

- N-jettiness basis *Datta, Larkoski '17*

... but there appears to be a performance gap *e.g. Metodiev, Komiske, Thaler '18*

Quantify using the area under the ROC curve



Model	AUC
PFN-ID	<b>0.9052</b> ± 0.0007
PFN-Ex	0.9005 ± 0.0003
PFN-Ch	0.8924 ± 0.0001
PFN	0.8911 ± 0.0008
EFN	0.8824 ± 0.0005
RNN-ID	0.9010
RNN	0.8899
EFP	0.8919
DNN	0.8849
CNN	0.8781
$M$	0.8401
$n_{SD}$	0.8297
$m$	0.7401

← IRC unsafe classifier

⋮

← N-jettiness observables

# Information content of jets & events

- N-jettiness basis *Datta, Larkoski '17*

... but there appears to be a performance gap *e.g. Metodiev, Komiske, Thaler '18*

The gap could be due to...

- IRC safety?
- the type of input?
- the network architecture?

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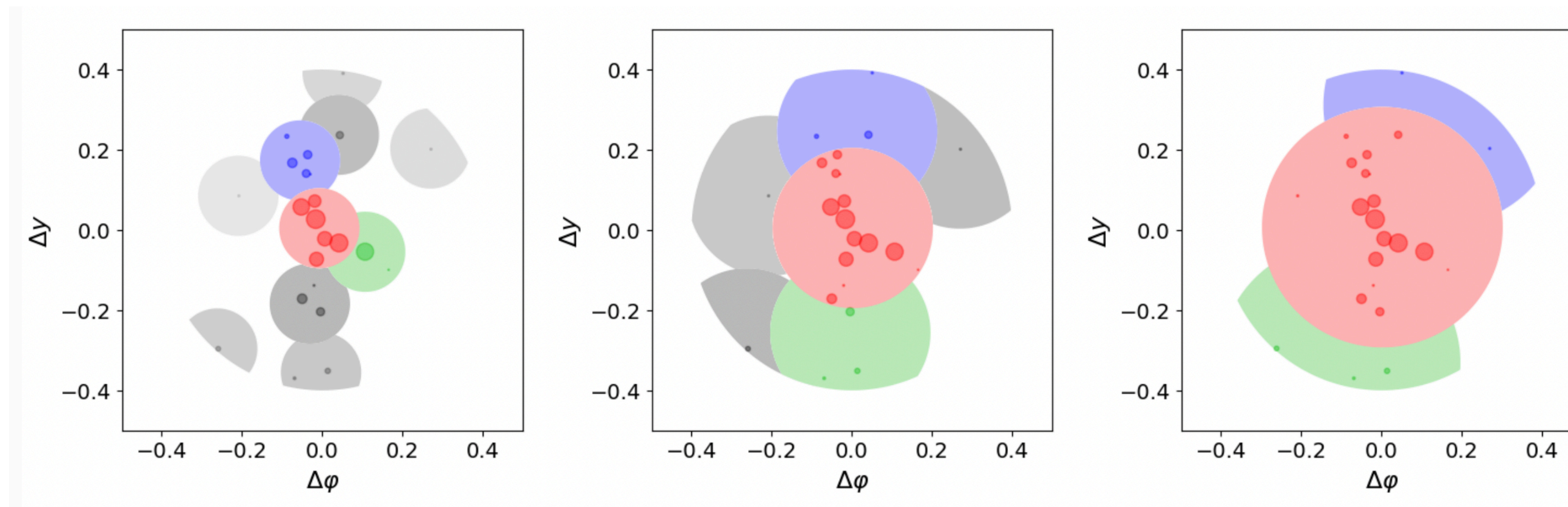
⋮

← N-jettiness observables

# Is IRC-safe information all you need for jet classification?

Athanasakos, Larkoski, Mulligan, Ploskon, FR '23

- Use the same ML algorithm as the best classifier
- ... but cluster jet constituents into subjets first



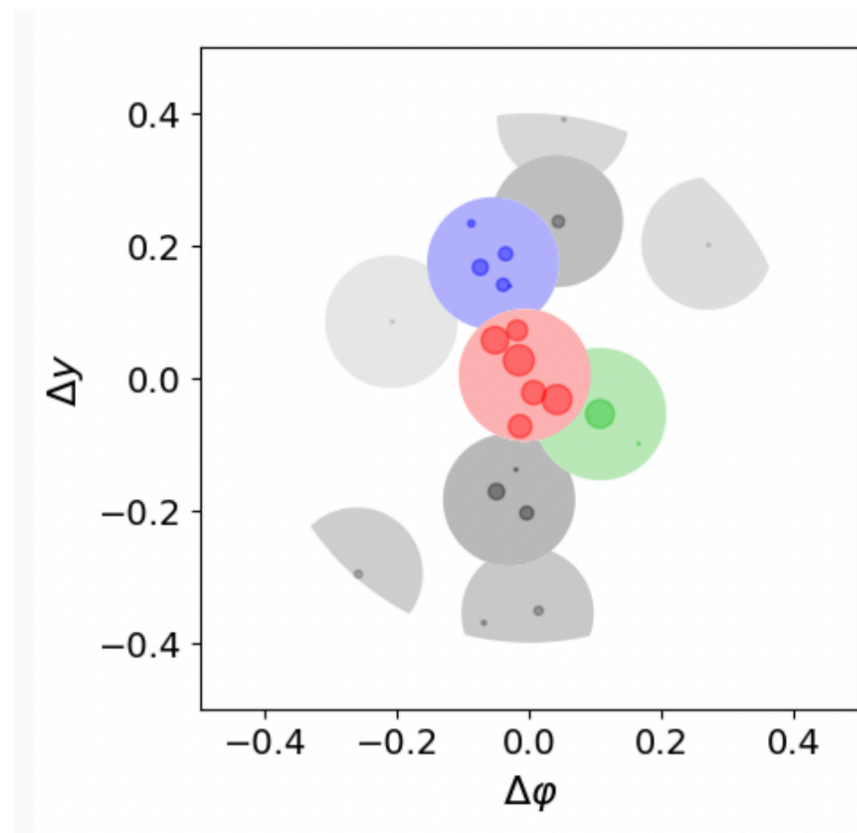
← Decrease subjet radius  $r$



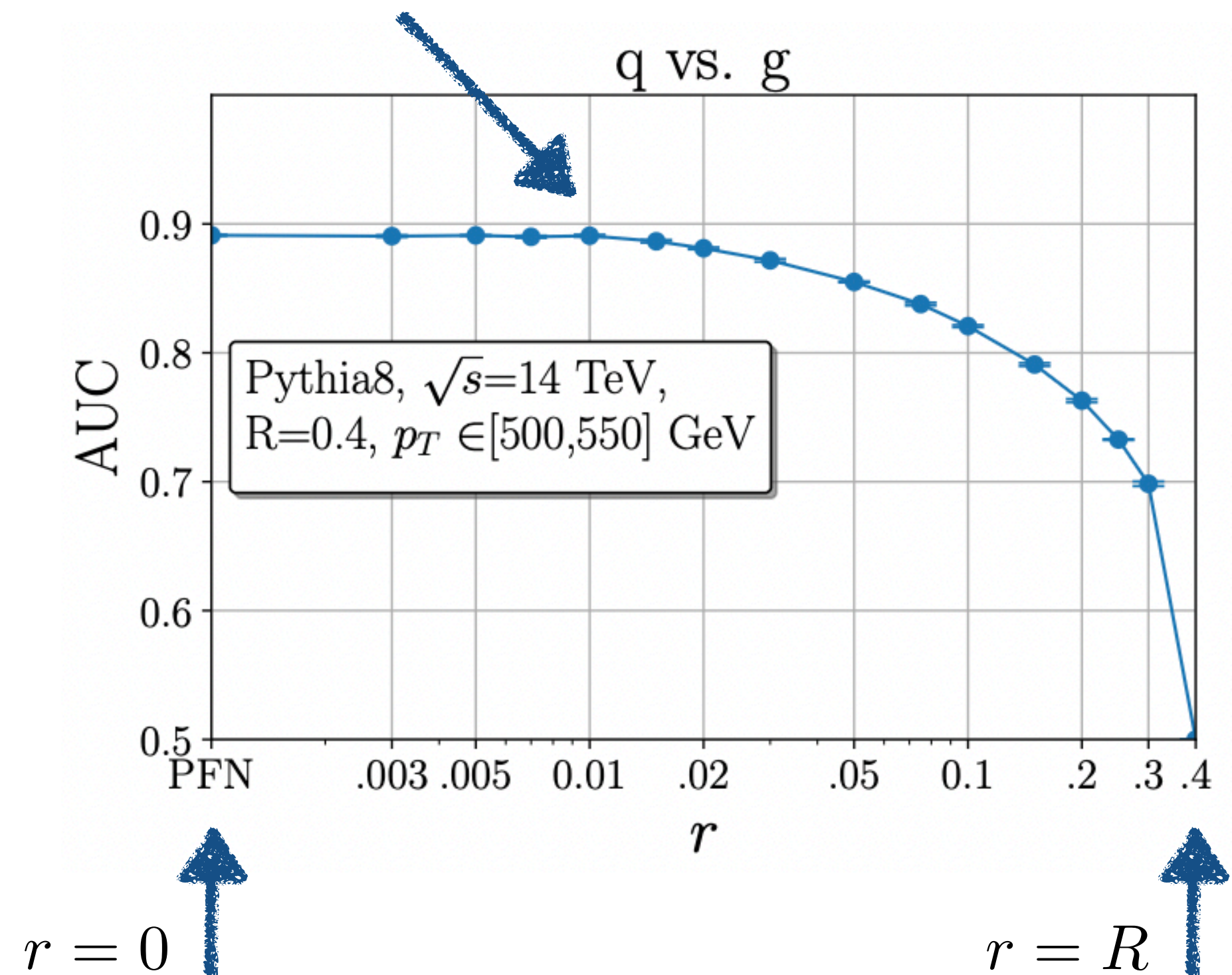
# Is IRC-safe information all you need for jet classification?

Athanasakos, Larkoski, Mulligan, Ploskon, FR '23

- Cluster jet constituents into subjets
- Train deep sets on  $(\eta_i, \phi_i)$  of subjets with different radii
- Max performance, IRC-unsafe limit obtained for  $r \rightarrow 0$



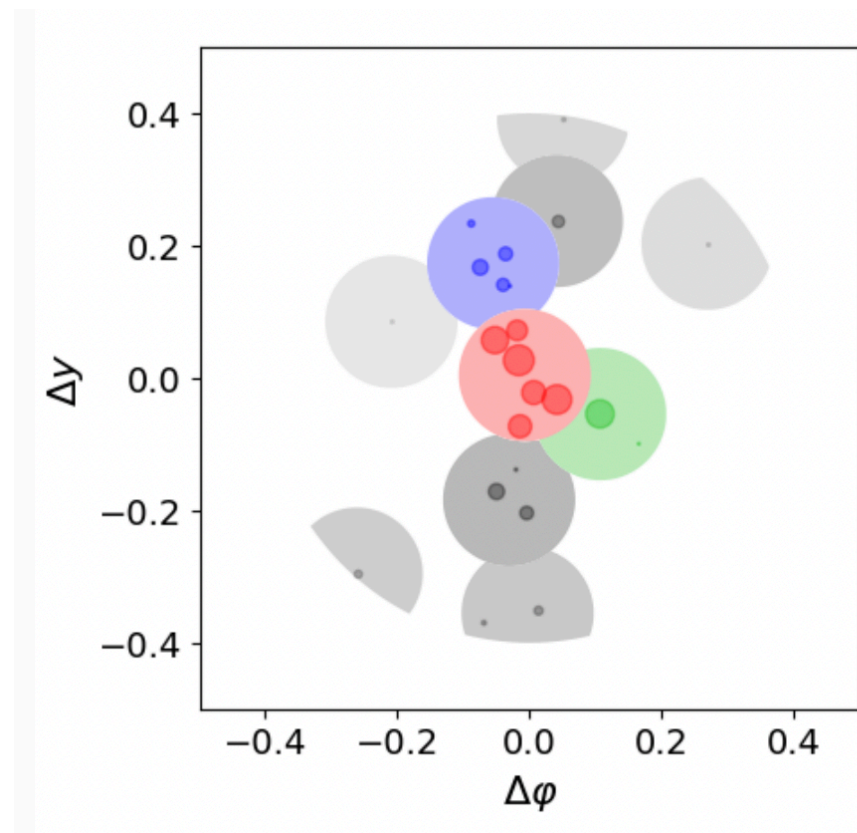
Performance plateaus for a finite subjet radius!



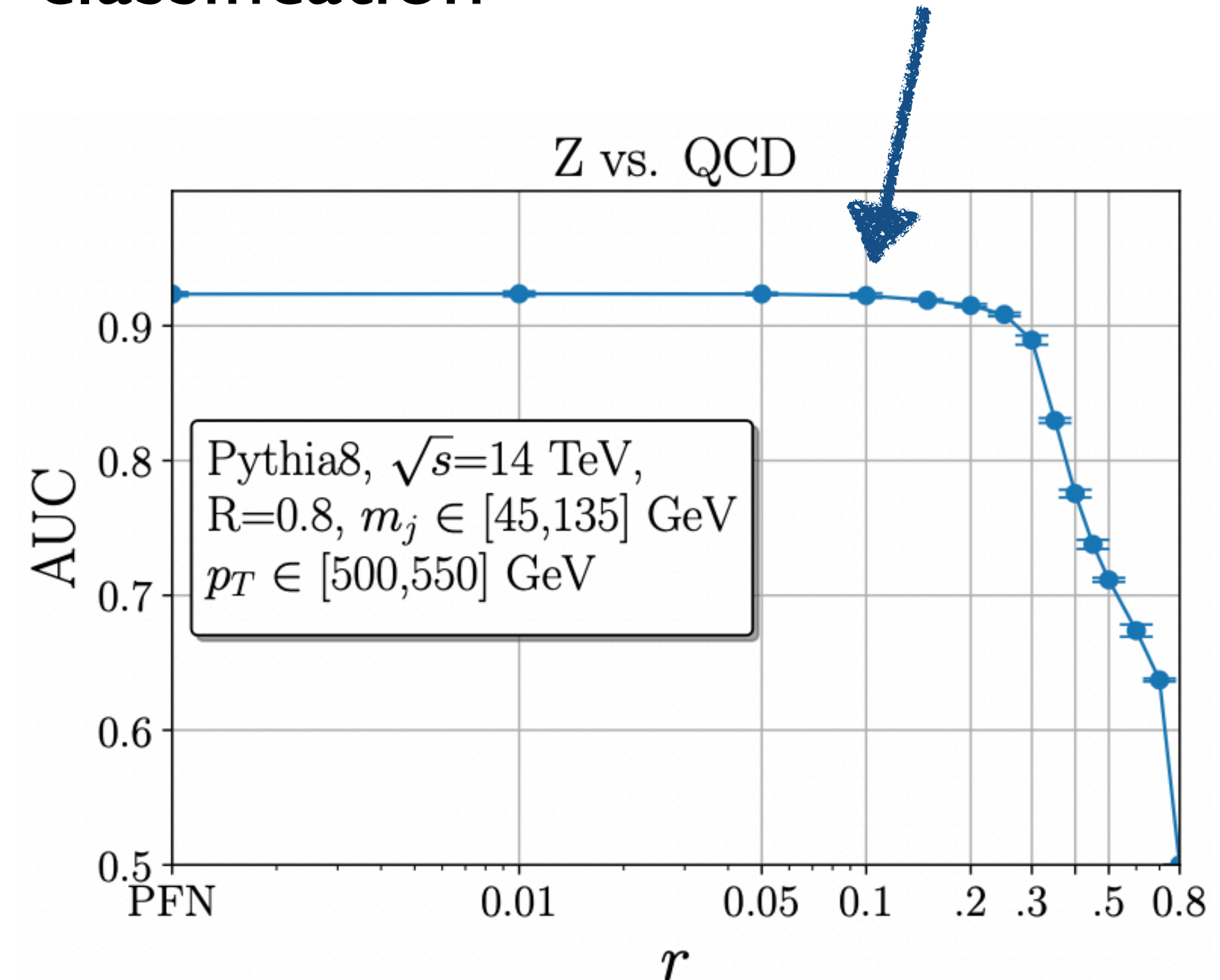
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Similar for QCD vs. Z jet classification



# Is IRC-safe information all you need for jet classification?

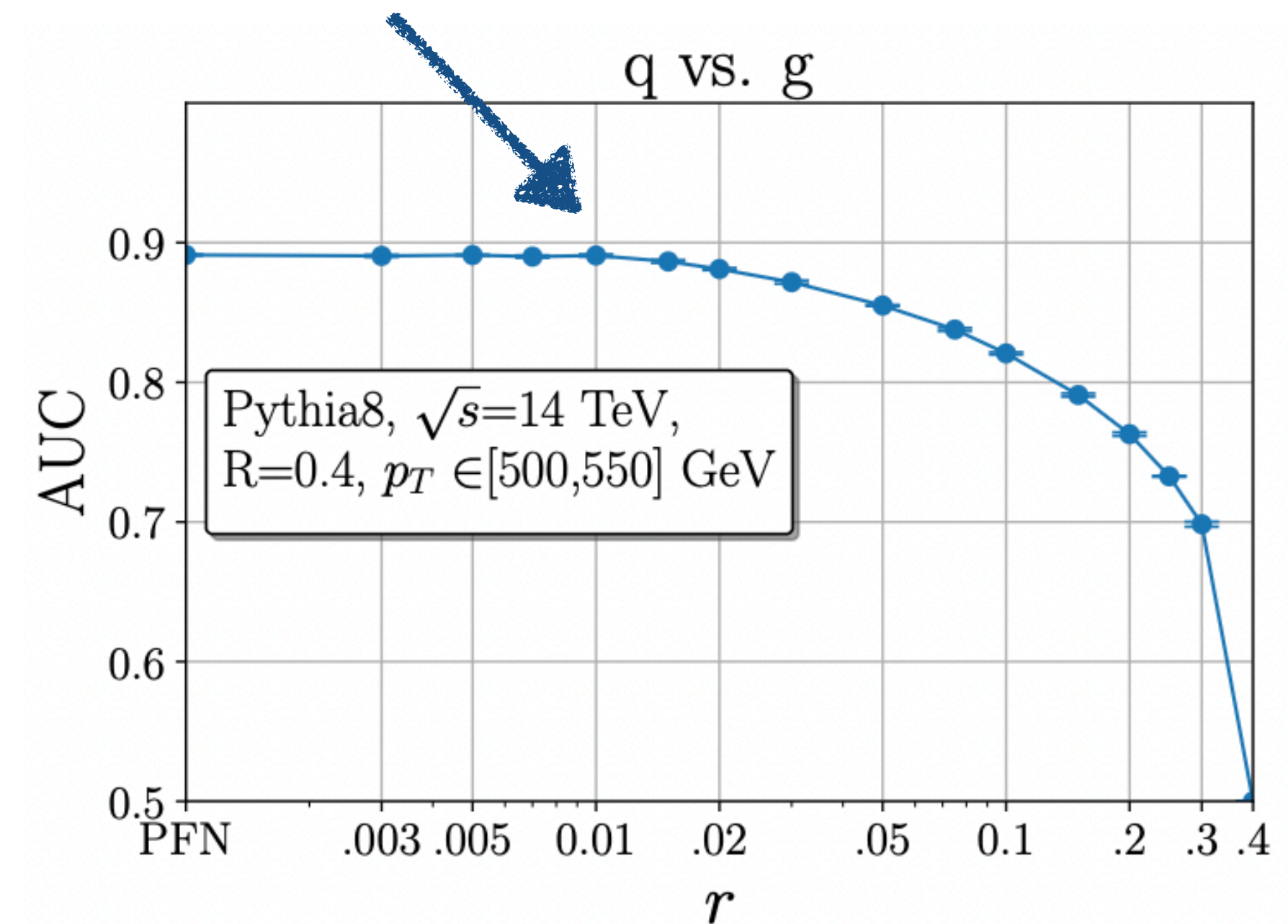
Athanasakos, Larkoski, Mulligan, Ploskon, FR '23

- Tentatively, the answer is - Yes!

*Theoretical perspective, see Metodiev, Larkoski '19*

- Emissions below some angular scale do not contain relevant information
- Jet Flow Networks are “gapless”
- Can identify the scale of the onset of the plateau

$$p_T \cdot r \sim 5 \text{ GeV}$$



# Is IRC-safe information all you need for jet classification?

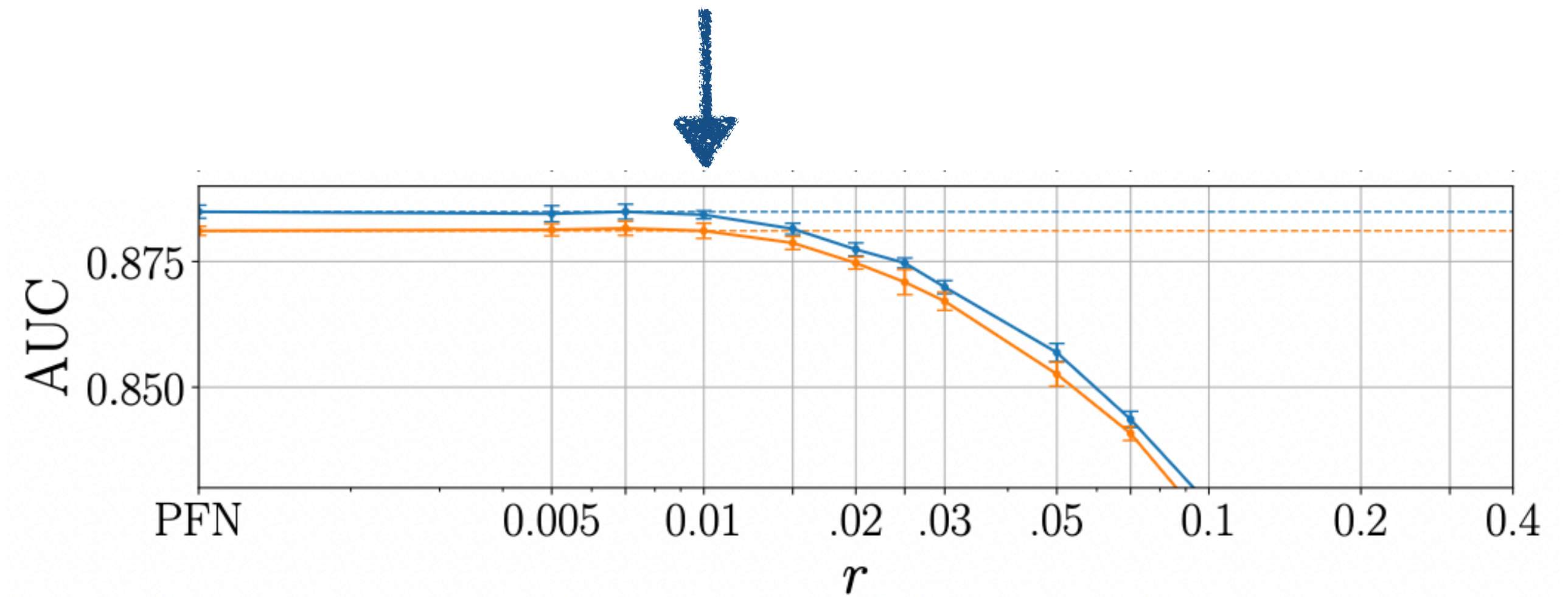
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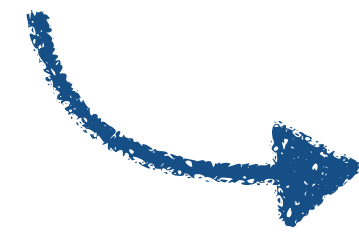
Scale is independent of the shower cutoff in Pythia  $p_T^{\text{cut}}$

# Information content of jets & events

- N-jettiness basis *Datta, Larkoski '17*

- The performance gap could be due to...

- ~~IRC safety?~~ (✓)
- the type of input? (?)
- the network architecture? (?)



Answers will provide guidance for making use of the full information

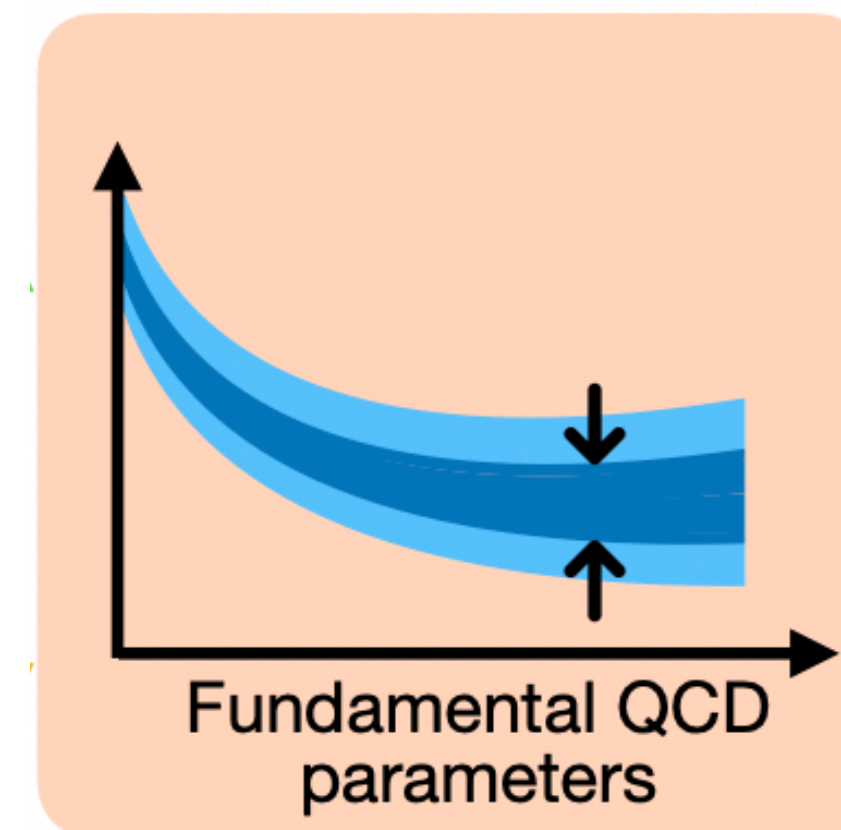
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← IRC unsafe classifier

⋮

← N-jettiness observables

*Metodiev, Komiske, Thaler '18*



# ML for spin spin physics

Lee, Mulligan, Ploskon, FR, Yuan '22

- How can we apply these techniques to spin-dependent observables?

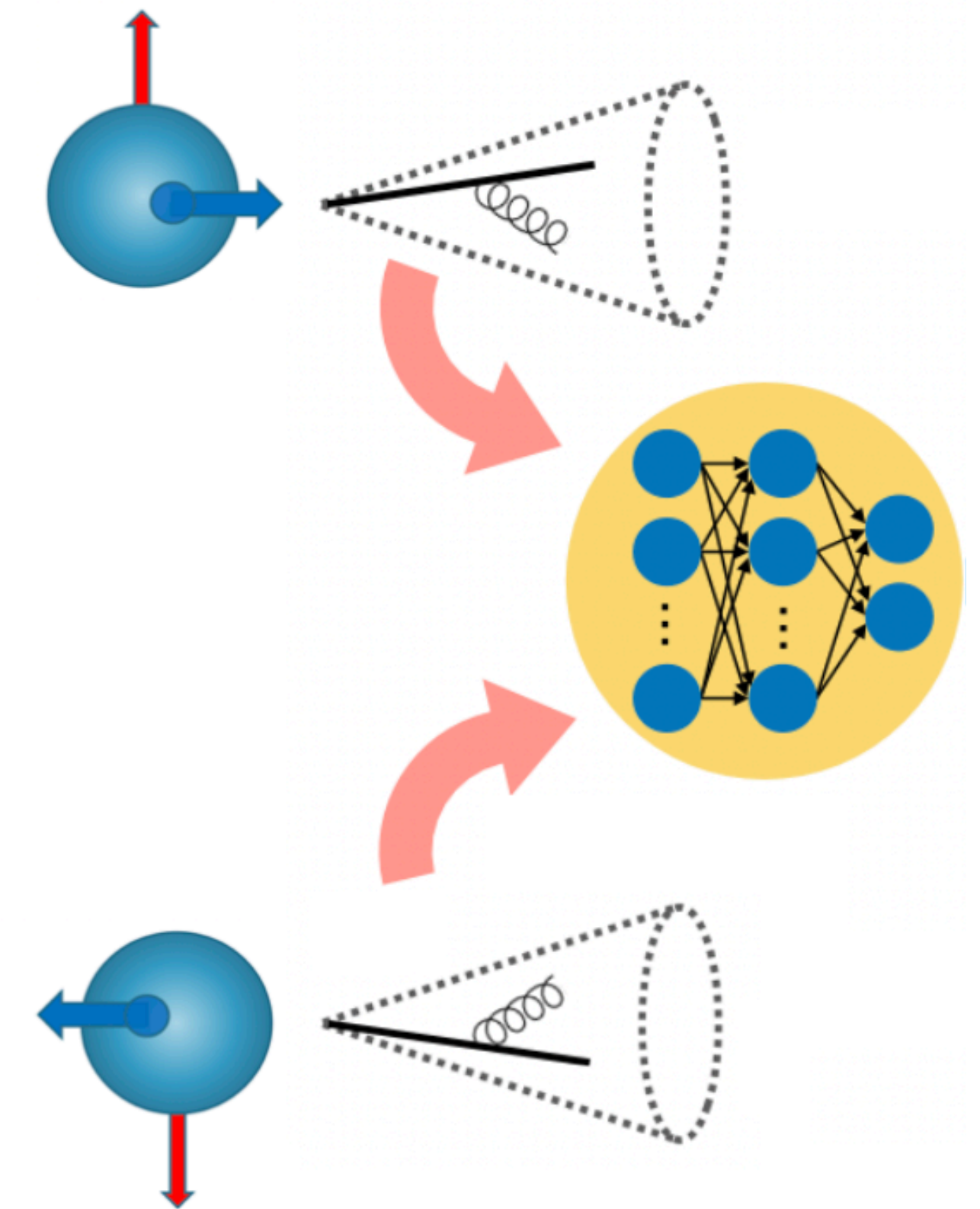
1. Supervised machine learning

2. Train on data e.g.  $A_{UT} = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$

- Reformulate regression task as classification problem  $\max_{\theta} |A_{UT}(\theta)|$

→ Upper limit on what can possibly be achieved

→ Identify new observables



# Summary

- Jets will be versatile tools at the EIC
- Can take advantage of the EIC's clean environment, high luminosity etc.
- AI/ML can complement hadron structure & spin physics program
- Requires coordination with experiment
- ...and can inform detector design?

