A new Weyl group action and a cluster structure for representations of shifted quantum groups

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Weyl group and cluster algebras

# **Classical Theory**

- $\mathfrak{g}$  complex finite-dimensional simple Lie algebra of rank n.
- Simple finite dimensional modules parametrized by dominant weights or monomials in

$$\mathbb{Z}[y_i]_{1\leq i\leq n},$$

where the  $y_i$  correspond to fundamental weights.

• Character morphism :

$$\chi: \mathsf{Rep}(\mathfrak{g}) \to \mathbb{Z}[y_i^{\pm 1}]_{1 \le i \le n}$$

• Image of the character morphism :

$$\operatorname{Im}(\chi) = (\mathbb{Z}[y_i^{\pm 1}]_{1 \le i \le n})^{W}$$

W : Weyl group W generated by the simple reflexions  $s_i$ 

$$s_i(y_j) = y_j a_i^{-\delta_{ij}}$$
 where  $a_i = \prod_{k \in I} y_k^{\mathcal{C}_{ji}}$ 

 $a_i$  corresponds to a simple root (C is the Cartan matrix of g).

## **Classical Theory**

• Example, for  $\mathfrak{g} = \mathfrak{sl}_2$ :  $a_1 = y_1^2$   $s_1(y_1) = y_1 a_1^{-1} = y_1^{-1}$ ,  $s_1^2(y_1) = y_1$   $s_1(y_1 + y_1^{-1}) = y_1 + y_1^{-1}$ .  $\operatorname{Im}(\chi) = (\mathbb{Z}[y_1^{\pm 1}])^W = \mathbb{Z}[y_1 + y_1^{-1}]$ .

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# Quantum affine algebra

- $\hat{\mathfrak{g}}$  : affine Kac-Moody algebra.
- (Central extension of the loop algebra  $\mathfrak{g}\otimes\mathbb{C}[t,t^{-1}]$ ).
- $q \in \mathbb{C}^*$  : quantum parameter (not root of unity).
- Quantum affine algebra :  $\mathcal{U}_q(\hat{\mathfrak{g}})$ .
- $\mathcal{U}_q(\hat{\mathfrak{g}})$  : Hopf algebra, *q*-deformation of  $\mathcal{U}(\hat{\mathfrak{g}})$ .
- For simplicity of the notations : we assume g simply-laced (most results will be for general types).

# Quantum affine algebra

- C : Category of finite-dimensional representations of U<sub>q</sub>(ĝ) : very interesting (and intricated) category.
- $\mathcal{C}$  : tensor category, but not semi-simple and not braided.

#### Theorem (Chari-Pressley)

Simple finite-dimensional representations of  $U_q(\hat{\mathfrak{g}})$  (of type 1) are parameterized by n-tuple of rational fractions of the form

$$q^{deg(P_i)}\frac{P_i(zq^{-1})}{P_i(zq)}$$

where  $P_i(z) = \prod_{a \in \mathbb{C}^*} (1 - za)^{u_{i,a}} \in \mathbb{C}[z]$  and  $P_i(0) = 1$  (Drinfeld polynomials).

• Monomial notation :  $m = \prod_{i \in I, a \in \mathbb{C}^*} Y_{i,a}^{u_{i,a}}$ .

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#### q-characters

• Analogue of character morphism : *q*-character (Frenkel-Reshetikhin) :

$$\chi_q : \operatorname{\mathsf{Rep}}(\mathcal{U}_q(\hat{\mathfrak{g}})) \to \mathcal{Y} = \mathbb{Z}[Y_{i,a}^{\pm 1}]_{1 \le i \le n, a \in \mathbb{C}^*}.$$

 $\bullet$  Injective ring morphism on the Grothendieck ring of  ${\mathcal C}$  :

 $\operatorname{Rep}(\mathcal{U}_q(\hat{\mathfrak{g}})).$ 

- Recovers  $\chi$  by forgetting the spectral parameters a.
- Example : fundamental representations of  $\mathcal{U}_q(\hat{\mathfrak{sl}}_2)$  :

$$\chi_q(V_1(a)) = Y_{1,a} + Y_{1,aq^2}^{-1}.$$

• Weyl-group symmetry ?

### Symmetry of *q*-characters : braid group action

 $\bullet\,$  Braid group approach : Chari defined ring automorphisms of  ${\cal Y}$ 

$$T_i(Y_{j,a}) = Y_{j,a} A_{i,aq}^{-\delta_{i,j}},$$
  
 $A_{i,a} = Y_{i,aq^{-1}} Y_{i,aq} \prod_{j \mid C_{j,i} = -1} Y_{j,a}^{-1}.$ 

• *T<sub>i</sub>* operator of infinite order.

#### Theorem (Chari 2002)

The operators  $T_i$  define a braid group action. The q-characters are "partially" preserved by these operators

• Example : 
$$\mathfrak{g} = sl_2$$
,

$$T_1(Y_{1,a}) = Y_{1,aq^2}^{-1}$$
,  $T_1(Y_{1,aq^2}^{-1}) = Y_{1,aq^4}$ .

Partial symmetry of  $\chi_q(V_1(a)) = Y_{1,a} + Y_{1,aq^2}^{-1}$ 

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# Symmetry of *q*-characters

• Different operators (Frenkel-H. 2022) :

$$\Theta_i(Y_{j,a}) = Y_{j,a} A_{i,aq^{-1}}^{-\delta_{i,j}} \frac{\sum_{i,aq^{-3}}^{\delta_{i,j}}}{\sum_{i,aq^{-1}}^{\delta_{i,j}}}$$

• Here  $\Sigma_{i,a}$  is the solution of the *q*-difference equation

$$\Sigma_{i,a} = 1 + A_{i,a}^{-1} \Sigma_{i,aq^{-2}}$$

in a sum

$$\Pi = \bigoplus_{w \in W} \tilde{\mathcal{Y}}^w$$

of completions  $\tilde{\mathcal{Y}}^w$  of  $\mathcal{Y}$ .

# Symmetry of *q*-character

• Example :  $\mathfrak{g} = sl_2$ ,

$$\Theta_1(Y_{1,a}) = Y_{1,aq^{-2}}^{-1} \frac{\Sigma_{1,aq^{-3}}}{\Sigma_{1,aq^{-1}}}.$$

• Here  $\Sigma_{1,a}$  is the couple

$$(1 + A_{1,a}^{-1}(1 + A_{1,aq^{-2}}^{-1}(1 + \cdots), -A_{1,aq^{2}}(1 + A_{1,aq^{4}}(1 + \cdots)))).$$

It belongs to

$$\mathsf{\Pi}=\mathcal{Y}^{e}\oplus\mathcal{Y}^{s_{1}}.$$

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# Symmetry of *q*-characters

- $\mathcal{Y}$  embeds in  $\Pi$  diagonally.
- We establish that the  $\Theta_i$  define involutions of  $\Pi$ .
- And then :

#### Theorem (Frenkel-H. 2022)

The  $\Theta_i$  define a Weyl group action and

 $\mathcal{Y}^{W} = Im(\chi_q).$ 

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• For  $w \in W$ , we have  $\Theta_w$  well-defined.

### Symmetry of *q*-character

• Example :  $\mathfrak{g} = sl_2$ ,

$$\Theta_1(Y_{1,a}+Y_{1,aq^2}^{-1})=Y_{1,aq^{-2}}^{-1}\frac{\Sigma_{1,aq^{-3}}}{\Sigma_{1,aq^{-1}}}+Y_{1,a}\frac{\Sigma_{1,aq}}{\Sigma_{1,aq^{-1}}}=Y_{1,a}+Y_{1,aq^2}^{-1}.$$

- The Chari operator *T<sub>i</sub>* can be recovered as a "leading term" of one component Θ<sub>i</sub>.
- The Frenkel-Reshetikhin screening operators S<sub>i</sub> can be recovered from the limit of another component of Θ<sub>i</sub>.
- Formally, at "q root of unity", one component of Θ<sub>i</sub> is related to an operator introduced by Inoue.

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# Shifted quantum affine algebras

- Representation theoretical interpretation of the new Weyl group action ?
- We use **shifted** quantum affine algebras.
- Algebras introduced by Finkelberg-Tsymbaliuk in the study of K-theoretical Coulomb branches (in the sense of Braverman-Finkelberg-Nakajima).
- $U_q^{\mu}(\hat{\mathfrak{g}})$ : variations of quantum affine algebras depending on a shift parameter : a coweight  $\mu$  of  $\mathfrak{g}$ .
- The Coulomb branches are realized as quotient of  $\mathcal{U}_q^{\mu}(\hat{\mathfrak{g}})$  (the truncated shifted quantum affine algebras).
- Rational analogues : shifted Yangians (Brundan-Kleshchev, Kamnitzer-Webster-Weekes-Yacobi, Nakajima-Weekes).

# Shifted quantum affine algebras

 Construction : same (Drinfeld) generators as U<sub>q</sub>(ĝ), but certain relations are modified inside the Cartan-Drinfeld subalgebra :

$$\phi_i^-(z) = \mathbf{z}^{\alpha_i(\mu)} \phi_{i,\alpha_i(\mu)}^- \exp\left((q^{-1}-q) \sum_{r>0} h_{i,-r} z^{-r}\right)$$

•  $\mu = 0$  :  $\mathcal{U}_q^0(\hat{\mathfrak{g}})$  is (a central extension) of  $\mathcal{U}_q(\hat{\mathfrak{g}})$ .

•  $\mu$  anti codominant :  $\mathcal{U}^{\mu}_{q}(\hat{\mathfrak{g}})$  contains the Borel algebra

 $\mathcal{U}_q(\hat{\mathfrak{b}}) \subset \mathcal{U}_q^\mu(\hat{\mathfrak{g}})$ 

of the ordinary quantum affine algebra  $\mathcal{U}_q(\hat{\mathfrak{g}})$ .

• There are shift morphisms for  $\mu'$  anti codominant :

$$\mathcal{U}^{\mu}_{m{q}}(\hat{\mathfrak{g}}) o \mathcal{U}^{\mu+\mu'}_{m{q}}(\hat{\mathfrak{g}})$$

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## Representations of shifted quantum affine algebras

• Representations of  $\mathcal{U}^{\mu}_{q}(\hat{\mathfrak{g}})$  very different that for  $\mathcal{U}_{q}(\hat{\mathfrak{g}})$  in general.

Theorem (H. 2020)

 $\mathcal{U}^{\mu}_{q}(\hat{\mathfrak{g}})$  has non-zero finite-dimensional representation if and only if  $\mu$  is codominant.

• For any coweight  $\mu$ ,  $\mathcal{U}^{\mu}_{q}(\hat{\mathfrak{g}})$  has an abelian category  $\mathcal{O}^{\mu}$  of representations : non necessarily finite-dimensional, but with finite-dimensional weight spaces (and the usual cone condition on the weight of a representation).

#### Theorem (H. 2020)

The simple representations in  $\mathcal{O}^{\mu}$  are parameterized by n-tuples of rational fractions  $(\psi_i(z))_{1 \le i \le n}$  regular at 0 and so that

$$deg(\psi_i(z)) = \alpha_i(\mu).$$

### Representations of shifted quantum affine algebras

- $\mu = 0$ : essentially same representations as for  $\mathcal{U}_q(\hat{\mathfrak{g}})$ . We have  $\deg(\psi_i(z)) = 0$  as for Chari-Pressley rational fractions.  $\mathcal{O}^0$  contains finite-dimensional fundamental representations.
- $\mu = \omega_i^{\vee}$ ,  $a \in \mathbb{C}^*$ . We have  $L_{i,a}^+$  in  $\mathcal{O}^{\omega_i^{\vee}}$  positive prefundamental representations where

$$\psi_j(z)=(1-za)^{\delta_{i,j}}.$$

It is of dimension 1 !

•  $\mu = -\omega_i^{\vee}$ ,  $a \in \mathbb{C}^*$ : We have  $L_{i,a}^-$  in  $\mathcal{O}^{-\omega_i^{\vee}}$  negative prefundamental representations where

$$\psi_j(z)=(1-za)^{-\delta_{i,j}}.$$

Infinite dimensional, simple as a  $\mathcal{U}_q(\hat{\mathfrak{b}})$ -module, extends  $\mathcal{U}_q(\hat{\mathfrak{b}})$ -representations of H.-Jimbo (related to Baxter *Q*-operators) : limit of finite-dimensional Kirillov-Reshetikhin modules.

## Grothendieck ring

• The sum of Grothendieck groups

$$\mathcal{K}_0(\mathcal{O}) = \bigoplus_{\mu} \mathcal{K}_0(\mathcal{O}^{\mu})$$

has a ring structure, induced from the fusion product obtained from the Drinfeld coproduct (topological coproduct).

- The structure constants on simple classes are positive.
- We have a notion of *q*-characters in the shifted context. Injective ring morphism

$$\chi_{q}: \mathsf{K}_{0}(\mathcal{O}) \to \tilde{\mathcal{Y}}$$

completion of  $\mathcal{Y}$ .

• For simplicity of notations : in the following parameters  $a \in q^{\mathbb{Z}}$ .

### Interpretation of the Weyl group action

#### Theorem (Frenkel-H.)

We have (up to one-dimensional invertible representations) :

$$Y_{i,a} = \chi_q(L^+_{i,aq^{-1}})/\chi_q(L^+_{i,aq}).$$

(the first component of ) 
$$\Theta_i(Y_{i,a}) = \chi_q(L_{aq^{-1}}^{s_i(\omega_i^{\vee})})/\chi_q(L_{aq}^{s_i(\omega_i^{\vee})}).$$

for a family of simple representations  $L_{i,a}^{s_i(\omega_i^{\vee})}$  in  $\mathcal{O}^{s_i(\omega_i^{\vee})}$ .

• For a general  $w \in W$ ,  $i \in I$ , we introduce

$$Q^{w(\omega_i^ee)}_{a}\in ilde{\mathcal{Y}}^e$$

so that the first component of  $\Theta_w(Y_{i,a})$  is

$$Q_{\mathsf{a}q^{-1}}^{\mathsf{w}(\omega_i^ee)}/Q_{\mathsf{a}q}^{\mathsf{w}(\omega_i^ee)}$$

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### Interpretation of the Weyl group action

• We also introduce a family of simple representations  $L_a^{w(\omega_i^{\vee})}$  (with explicit parameter) and explicit conjectural *q*-character formula :

$$\chi_q(L_a^{w(\omega_i^{\vee})}) = Q_a^{w(\omega_i^{\vee})}$$

- We prove the leading term of Q<sub>a</sub><sup>w(ω<sub>i</sub>)</sup> can be recovered from Chari braid group action by a change of variables.
- Interpretation of  $\Theta_w$  associated to  $w \in W$ : the simple representation  $L_a^{\omega_i^{\vee}}$  is replaced by the simple representation  $L_a^{w(\omega_i^{\vee})}$ .
- Finite-dimensional representations of  $U_q(\hat{\mathfrak{g}})$  are invariant by this substitution.

# QQ-system

- Additional properties of the  $Q_a^{w(\omega_i^{\vee})}$  :
- Theorem (Frenkel-H. 2023)

The series  $Q_a^{w(\omega_i^{\vee})}$  satisfy the QQ-system

$$Q_{aq}^{(ws_i)(\omega_i^{\vee})}Q_{aq^{-1}}^{w(\omega_i^{\vee})} - Q_{aq^{-1}}^{(ws_i)(\omega_i^{\vee})}Q_{aq}^{w(\omega_i^{\vee})} = \prod_{j|C_{i,j}=-1} Q_a^{w(\omega_j^{\vee})}$$

- Motivations : *QQ*-system in the context of affine opers (Masoero-Raimundo-Valeri, Mukhin-Varchenko).
- Example  $(\mathfrak{g} = sl_2)$  : Quantum Wronskian relation :

$$ilde{Q}_{\mathsf{a}\mathsf{q}} Q_{\mathsf{a}\mathsf{q}^{-1}} - ilde{Q}_{\mathsf{a}\mathsf{q}^{-1}} Q_{\mathsf{a}\mathsf{q}} = 1.$$

where 
$$\tilde{Q}_a = Q_a^{-\omega_1^{\vee}}$$
,  $Q_a = Q_a^{\omega_1^{\vee}}$ .

# Cluster algebras (quick reminder)

- Cluster algebra  $\mathcal{A}_Q$  attached to a quiver Q.
- $Q_0$  : set of vertices of Q.
- Start from  $\mathcal{F} = \mathbb{Q}(X_i)_{i \in Q_0}$ .
- $\mathcal{A}_Q$  is the commutative subalgebra of  $\mathcal{F}$  generated by cluster variables.
- Initial cluster variables :  $X_i$  ( $i \in Q_0$ ).
- New cluster variables : obtained from the initial by mutations (exchange relations controlled by the quiver *Q*).
- The cluster variables are grouped into overlapping subsets : the clusters.
- Cluster monomials : monomials in the cluster variables from the same cluster.

### Cluster structure - finite-dimensional representations

- H.-Leclerc : realize Grothendieck rings of representations of quantum groups as cluster algebras ?
- Many developments for the category C of ordinary  $U_q(\hat{\mathfrak{g}})$ : H.-Leclerc, Nakajima, Qin, Kashiwara-Kim-Oh-Park, Bittmann, Brito-Chari...
- Now : C<sup>sh</sup> ⊂ O category of finite-dimensional representations of shifted quantum affine algebras.

Theorem (H.-Leclerc 2016, Kashiwara-Kim-Oh-Park 2020, H. 2020)

 $K_0(\mathcal{C}^{sh})$  is isomorphic to a cluster algebra  $\mathcal{A}_{\Gamma_\infty}$  (explicit quiver  $\Gamma_\infty$ ). Initial cluster variables : classes of positive prefundamental representations. The cluster monomials correspond to certain classes of simple modules.

### Cluster structure - finite-dimensional representation

- Example :  $\mathfrak{g} = \mathfrak{sl}_2$ . Infinite linear quiver :
  - $\cdots \longrightarrow \bullet \longrightarrow \bullet \longrightarrow \cdots$
- Initial seed of 1-dimensional representations

$$\cdots \longrightarrow L^+_{1,q^{-2}} \longrightarrow L^+_{1,1} \longrightarrow L^+_{1,q^2} \longrightarrow \cdots$$

• First step mutations are given by Baxter TQ-relations

$$[L_{1,a}^+][V_1(a)] = [L_{1,aq^2}^+] + [L_{1,aq^{-2}}^+]$$

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where  $V_1$ : 2-dimensional fundamental representation.

### Cluster structure - category $\mathcal{O}$

- Work in progress (with Geiss and Leclerc) :
- $\bullet$  New families of quivers  $\Gamma'_\infty$  attached to each  $\mathfrak{g}.$

#### Theorem (Geiss-H.-Leclerc 2023)

The Grothendieck ring  $K_0(\mathcal{O})$  is isomorphic to (a completion of)  $\mathcal{A}_{\Gamma'_{\infty}}$ .

- Crucial ingredients :
- There is an initial seed with cluster variables of the form Q<sup>w(ω<sup>∨</sup><sub>i</sub>)</sup><sub>i,q<sup>r</sup></sub> for various 1 ≤ i ≤ n, w ∈ W, r ∈ Z.
- The QQ-systems [FH] are identified with distinguished exchange relations.
- "Periodicity" property.

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### Cluster structure - category $\mathcal{O}$

• Example :  $\mathfrak{g} = \mathfrak{sl}_2$ 



Initial seed :

$$\cdots \longrightarrow L^+_{1,q^2} \longrightarrow L^+_{1,1} \longleftarrow L^-_{1,q^{-2}} \longrightarrow L^-_{1,q^{-4}} \longrightarrow \cdots$$

• Mutation at  $L_{1,1}^+$  :

$$\cdots \longrightarrow L^+_{1,q^2} \longleftarrow L^-_{1,1} \longrightarrow L^-_{1,q^{-2}} \longrightarrow L^-_{1,q^{-4}} \longrightarrow \cdots$$

• Mutation at  $L^-_{1,q^{-2}}$  :

$$\cdots \longrightarrow L^+_{1,q^2} \longrightarrow L^+_{1,1} \longrightarrow L^+_{1,q^{-2}} \longleftarrow L^-_{1,q^{-4}} \longrightarrow \cdots$$

### Conjecture

• We conjecture : all cluster monomials in  $\mathcal{A}_{\Gamma'_\infty}$  correspond to classes of simple objects in  $\mathcal O$  through our isomorphism.

Theorem (Geiss-H.-Leclerc 2023)

The conjecture is true for  $\mathfrak{g} = \mathfrak{sl}_2$ .

• Complete list of cluster variables for  $\mathfrak{g} = \mathfrak{sl}_2$  :

$$L^+_{1,a}$$
 ,  $L^-_{1,a}$  ,  $W^{(k)}_{1,a}$ 

where the  $W_{1,a}^{(k)}$  are finite-dimensional Kirillov-Reshetikhin modules.