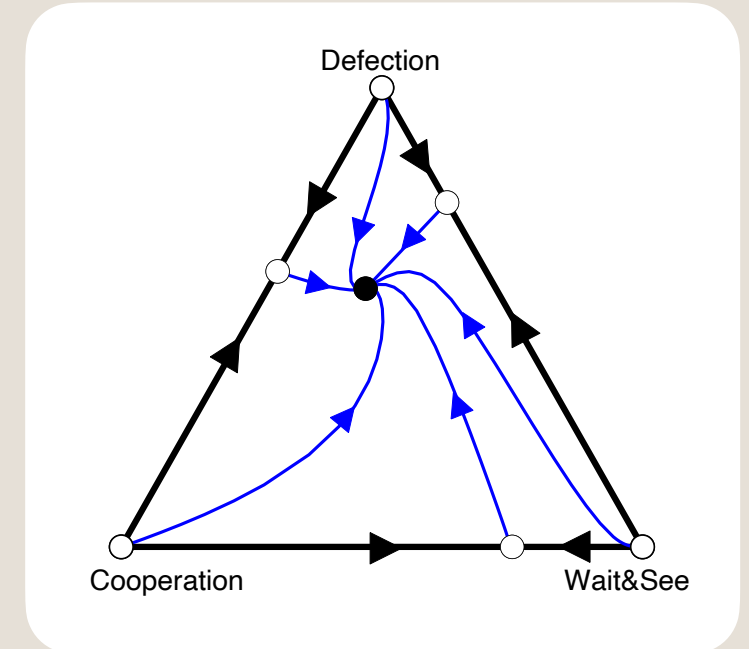


An overview

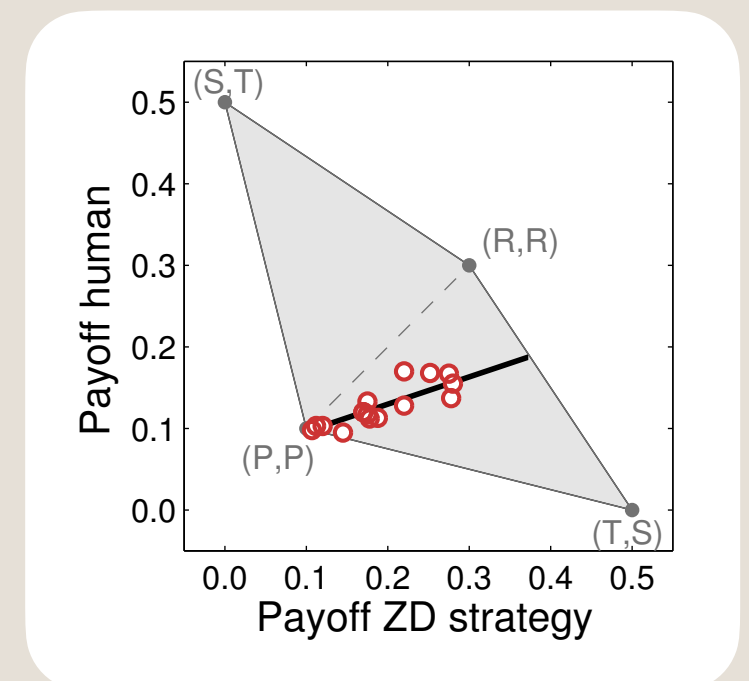
Yesterday's class (March 11, 2025)

- An introduction to evolutionary game theory (Replicator dynamics, games in finite populations)



Today's classes (March 12, 2025)

- Evolution of cooperation & direct reciprocity
- Social norms & indirect reciprocity



Tomorrow's class (March 13, 2025)

- Some current research: Reciprocity in complex environments

Evolution of cooperation: A Review

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⇒ We can explore the evolution of norms with evolutionary game theory.
- Are there stable social norms that prevent people from defecting in the prisoner's dilemma?

Evolution of Indirect reciprocity: Image Scoring

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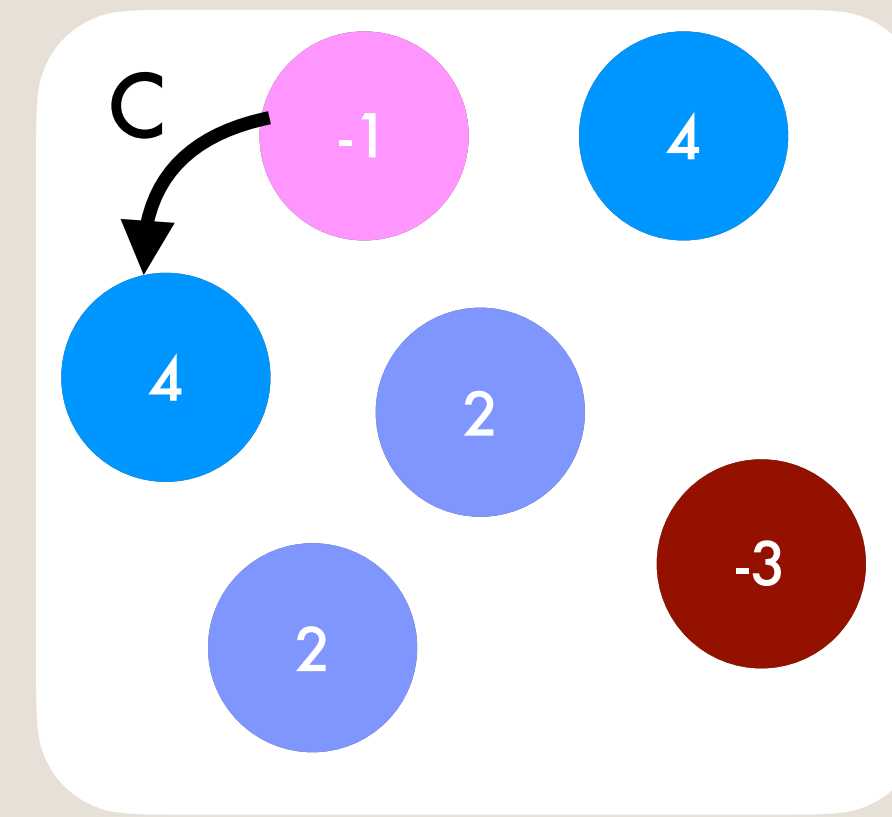
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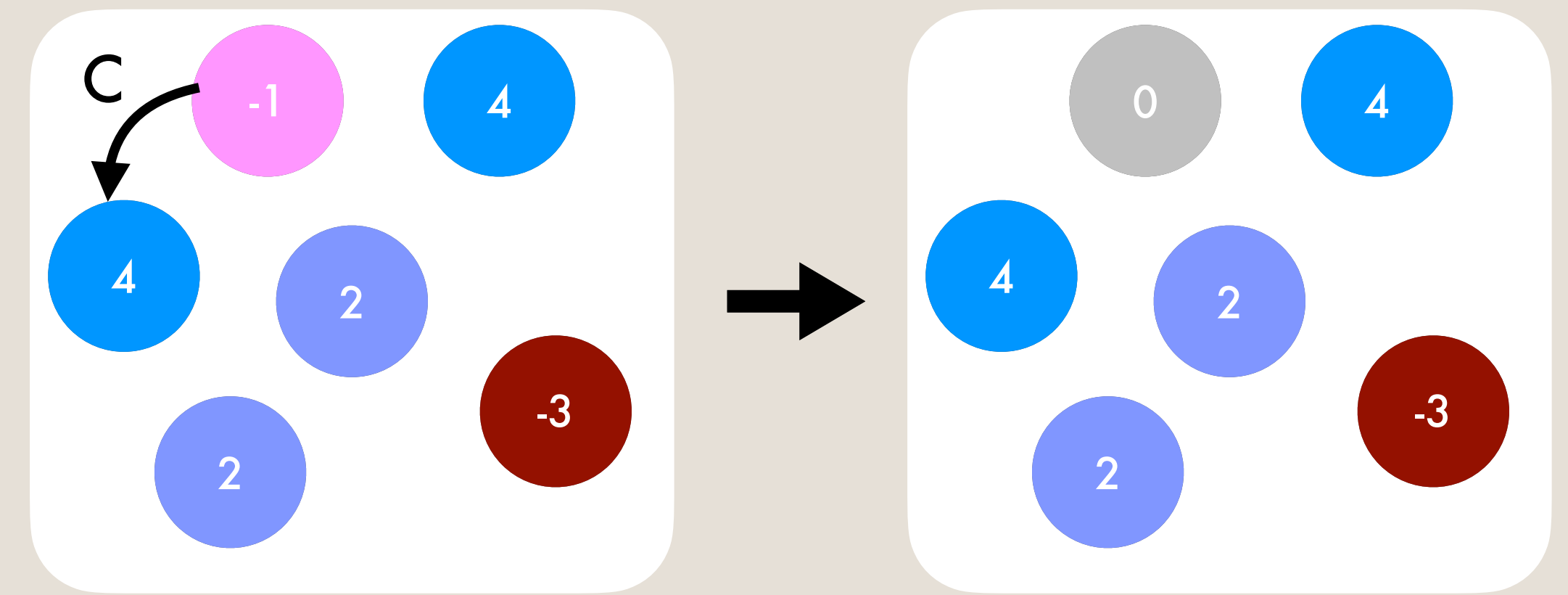
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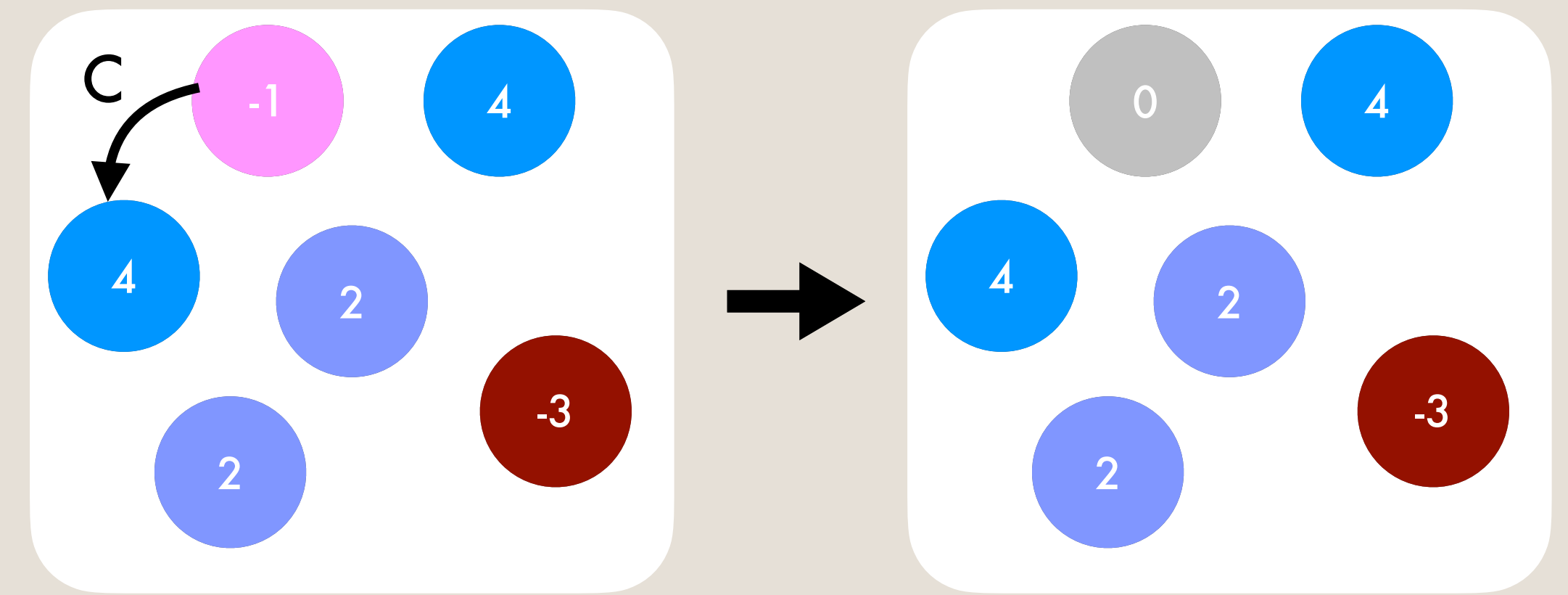
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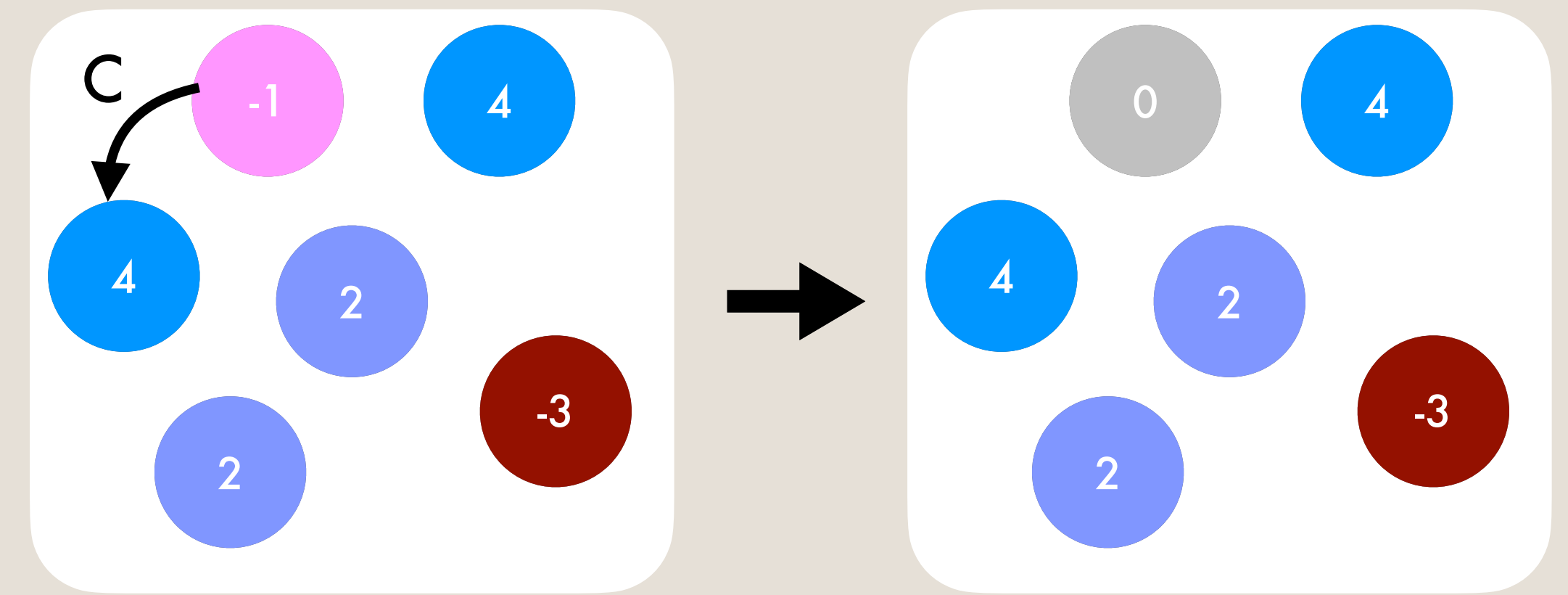
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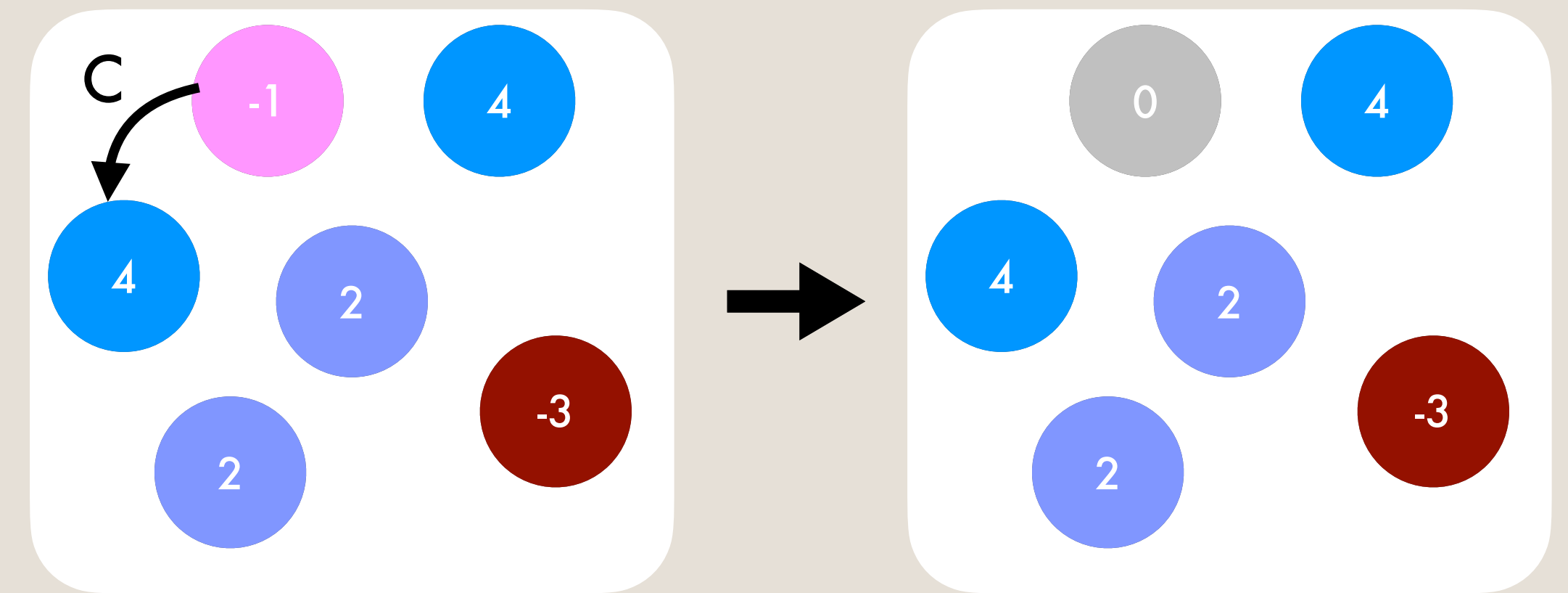
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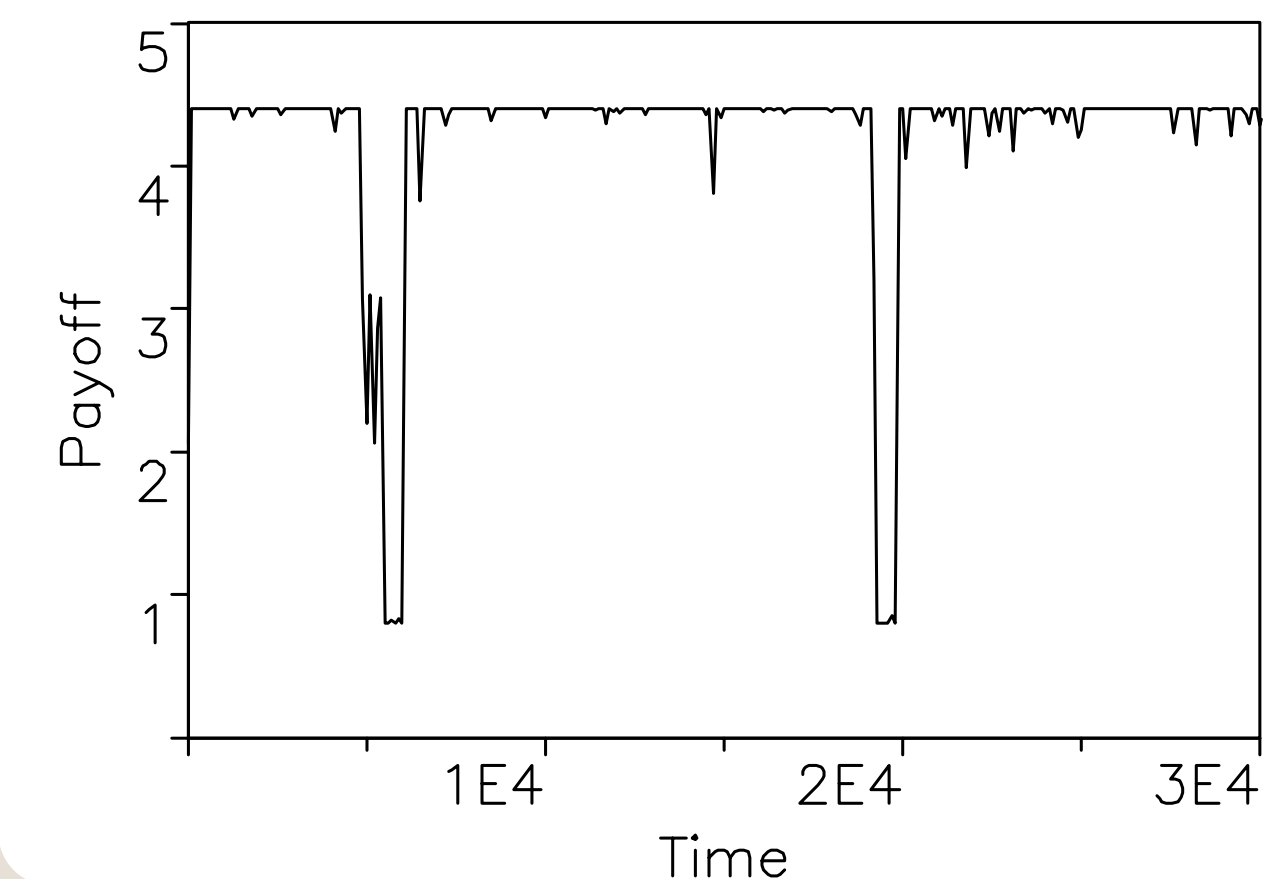
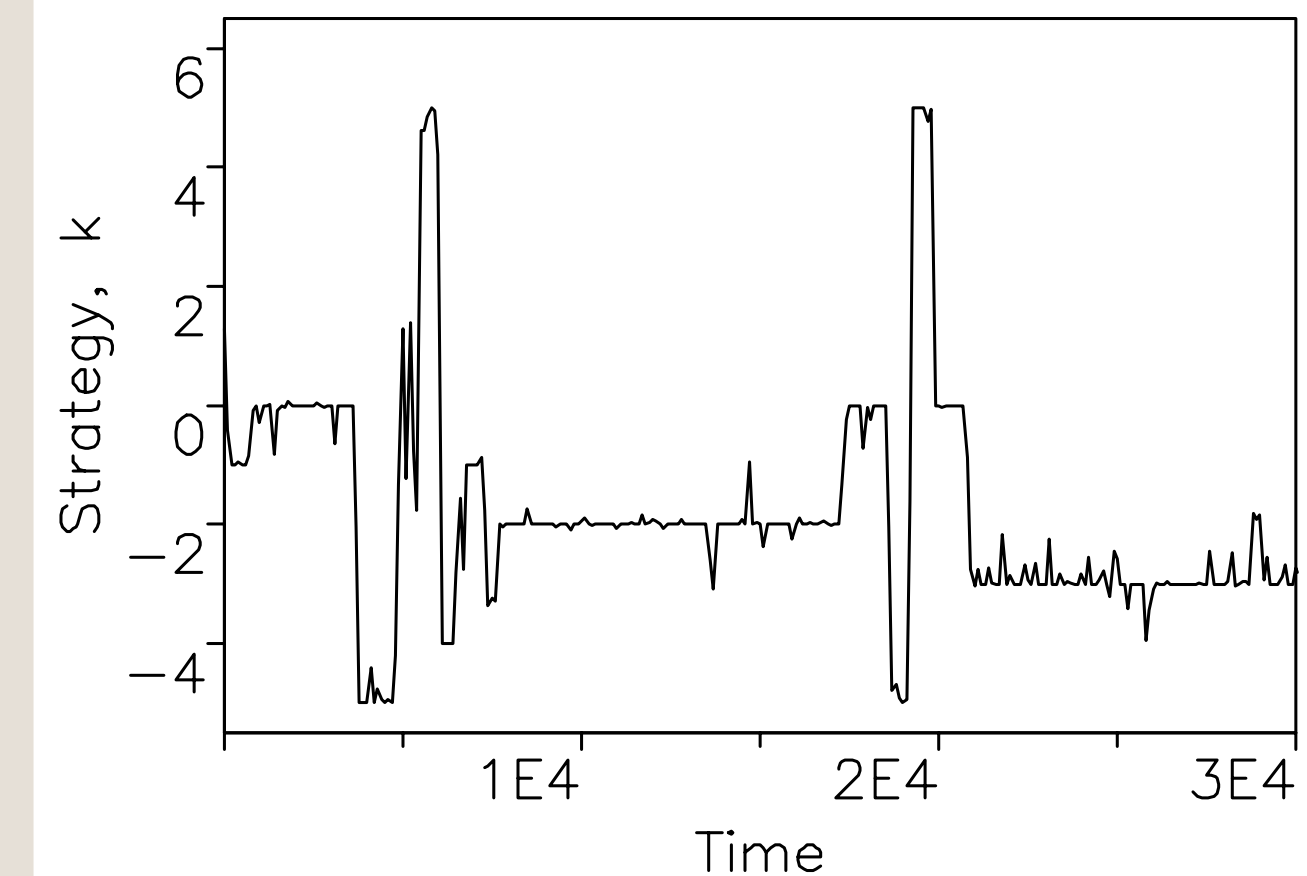
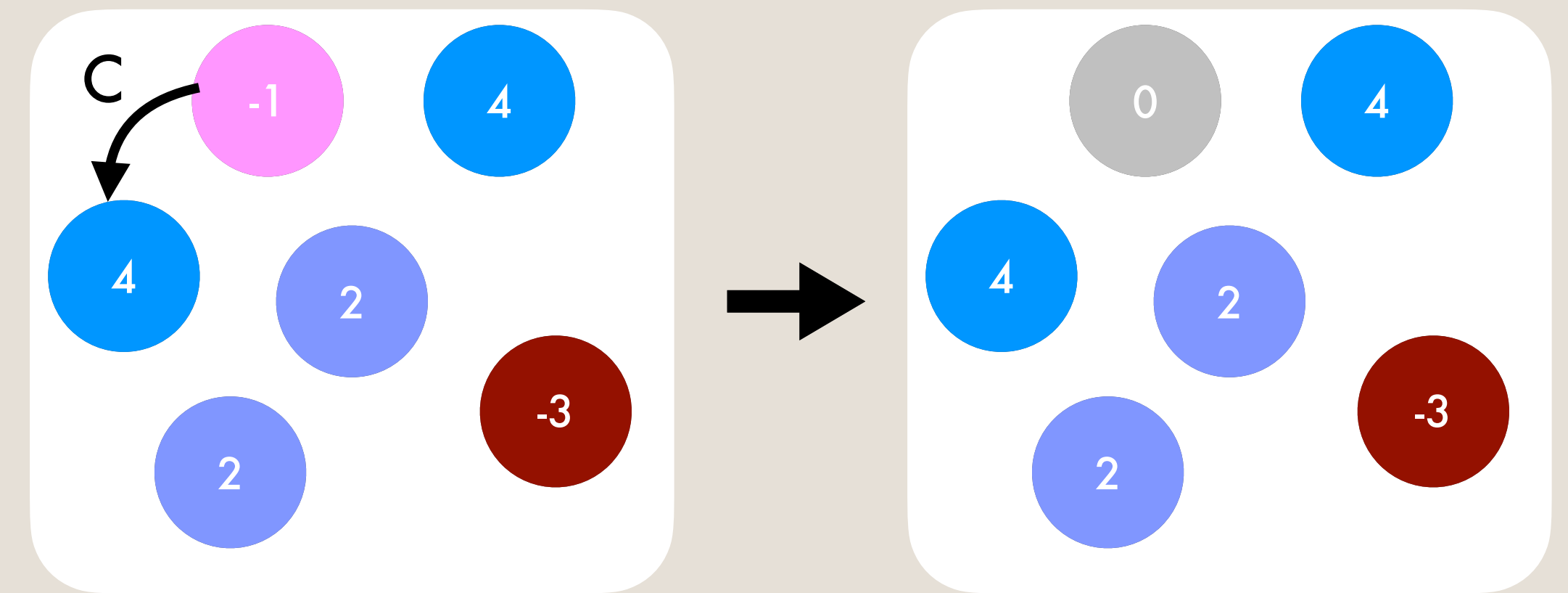
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Evolution of cooperation through indirect reciprocity

Olof Leimar^{1*} and Peter Hammerstein²

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- In principle, this gives rise to $2^{12} = 4,096$ possible social norms to consider.

Evolution of indirect reciprocity: Ohtsuki & Iwasa (2004)

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Remark 3.7. Searching for stable cooperative social norms

Question: Among all these pairs (α, β) , can we identify all social norms with the following two properties:

Evolution of indirect reciprocity: Ohtsuki & Iwasa (2004)

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Question: Among all these pairs (α, β) , can we identify all social norms with the following two properties:

- If the whole population adopts it, then everybody always cooperates in the long run

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 $(\alpha_{gCg}, \alpha_{gCb}, \alpha_{bCg}, \alpha_{bCb}, \alpha_{gDg}, \alpha_{gDb}, \alpha_{bDg}, \alpha_{bDb}) = (1, 1, 1, 1, 1, 1, 1, 1)$
 $(\beta_{gg}, \beta_{gb}, \beta_{bg}, \beta_{bb}) = (1, 1, 1, 1)$
- Simple Scoring (SCO)
 $(\alpha_{gCg}, \alpha_{gCb}, \alpha_{bCg}, \alpha_{bCb}, \alpha_{gDg}, \alpha_{gDb}, \alpha_{bDg}, \alpha_{bDb}) = (1, 1, 1, 1, 0, 0, 0, 0)$
 $(\beta_{gg}, \beta_{gb}, \beta_{bg}, \beta_{bb}) = (1, 0, 1, 0)$

Remark 3.7. Searching for stable cooperative social norms

Question: Among all these pairs (α, β) , can we identify all social norms with the following two properties:

- If the whole population adopts it, then everybody always cooperates in the long run
- The norm is self-enforcing (no population member can gain a higher payoff by deviating from the social norm).

Evolution of indirect reciprocity: Ohtsuki & Iwasa (2004)

How should we define goodness?—reputation dynamics in indirect reciprocity

Hisashi Ohtsuki*, Yoh Iwasa

Remark 3.6. Examples of third-order norms

- Unconditional cooperators (ALLD)
 $(\alpha_{gCg}, \alpha_{gCb}, \alpha_{bCg}, \alpha_{bCb}, \alpha_{gDg}, \alpha_{gDb}, \alpha_{bDg}, \alpha_{bDb}) = (0, 0, 0, 0, 0, 0, 0, 0)$
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 $(\alpha_{gCg}, \alpha_{gCb}, \alpha_{bCg}, \alpha_{bCb}, \alpha_{gDg}, \alpha_{gDb}, \alpha_{bDg}, \alpha_{bDb}) = (1, 1, 1, 1, 1, 1, 1, 1)$
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If these norms exist, how do they look like?

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Remark 3.8. The “Leading eight”

- Using analytical methods and numerical computations, Ohtsuki and Iwasa identified eight social norms that satisfy both properties.

Assessment rule	*	Consistent Standing	Simple Standing	*	*	Stern Judging	Staying	Judging
	L1	L2	L3	L4	L5	L6	L7	L8
Good cooperates with Good	g	g	g	g	g	g	g	g
Good cooperates with Bad	g	b	g	g	b	b	g	b
Bad cooperates with Good	g	g	g	g	g	g	g	g
Bad cooperates with Bad	g	g	g	b	g	b	b	b
Good defects against Good	b	b	b	b	b	b	b	b
Good defects against Bad	g	g	g	g	g	g	g	g
Bad defects against Good	b	b	b	b	b	b	b	b
Bad defects against Bad	b	b	g	g	g	g	b	b
Action rule	L1	L2	L3	L4	L5	L6	L7	L8
Good meets Good	C	C	C	C	C	C	C	C
Good meets Bad	D	D	D	D	D	D	D	D
Bad meets Good	C	C	C	C	C	C	C	C
Bad meets Bad	C	C	D	D	D	D	D	D

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Evolution of indirect reciprocity: Ohtsuki & Iwasa (2004)

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 - A good donor who defects against a bad recipient should keep his/her good reputation (“justified punishment”)

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Evolution of indirect reciprocity: Ohtsuki & Iwasa (2004)

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 - Defecting against a good recipient should always yield a bad reputation.
 - A good donor who defects against a bad recipient should keep his/her good reputation (“justified punishment”)
- The norms disagree on how one should assess
 - Good donors who cooperate with bad recipients
 - Bad donors who cooperate with bad recipients
 - Bad donors who defect with bad recipients

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Evolution of indirect reciprocity: The leading eight

Remark 3.9. Stern Judging

- One important rule among the leading eight is called “Stern Judging” (L6):
The only behaviors that should yield a good reputation are cooperating with good people and defecting with bad people.

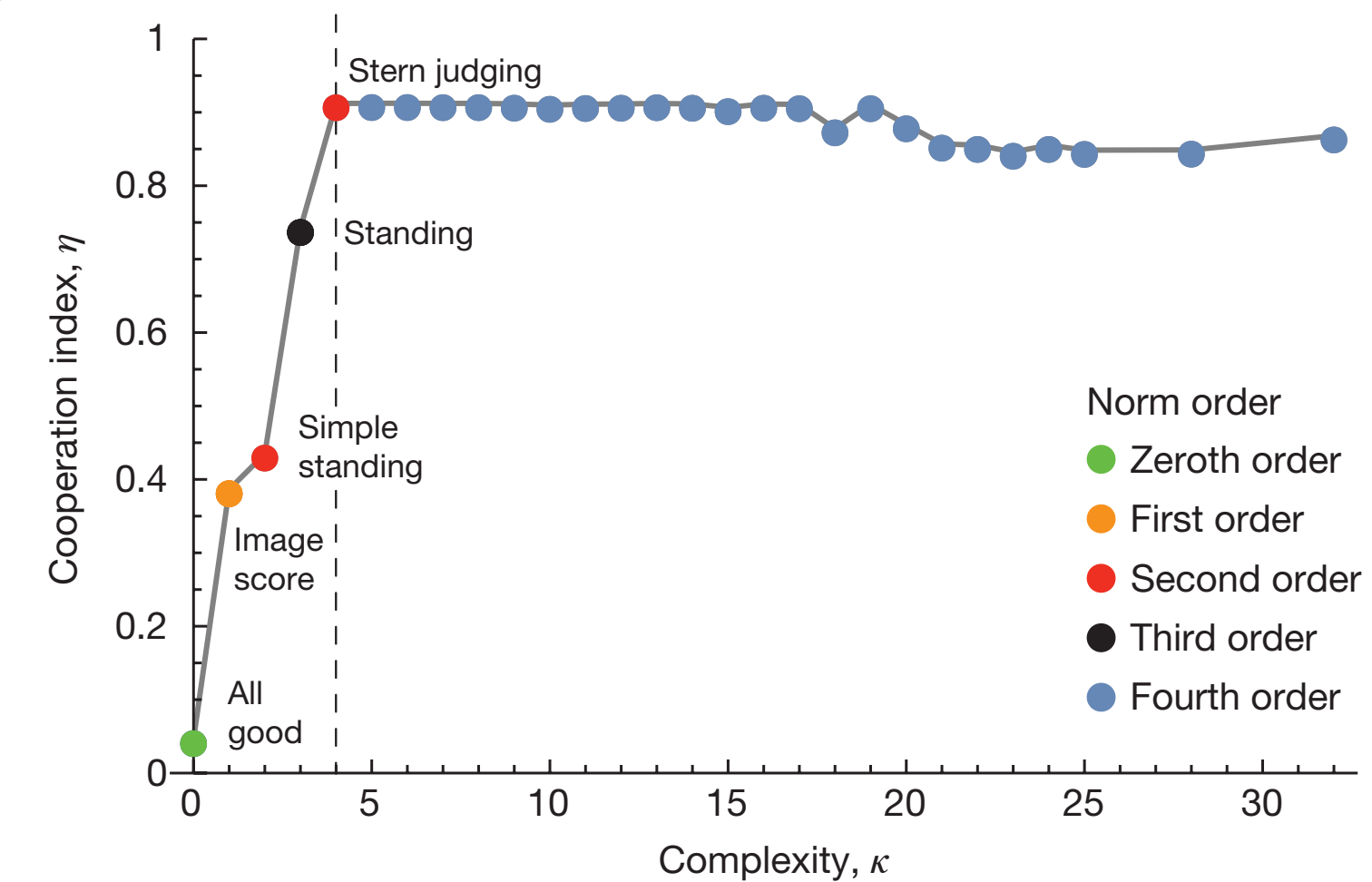
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Social norm complexity and past reputations in the evolution of cooperation

Fernando P. Santos^{1,2}, Francisco C. Santos^{1,2} & Jorge M. Pacheco^{2,3,4}



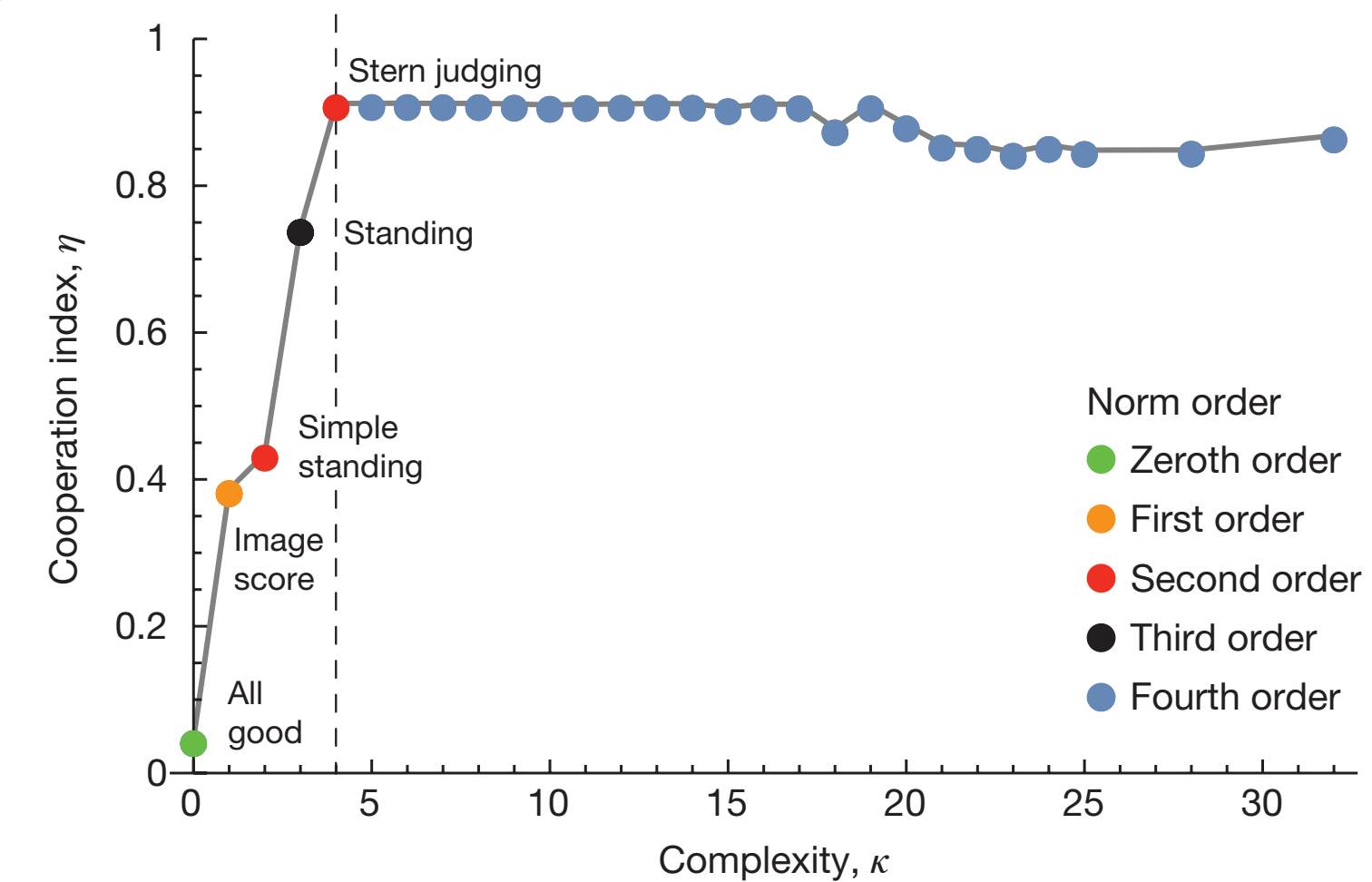
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- Evaluations consistent with this norm can be found even in toddlers (as young as five months old); toddlers do not only show a preference for individuals who helped others, but also for individuals who harmed those who hindered others (Hamlin et al 2011).

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How infants and toddlers react to antisocial others

J. Kiley Hamlin^{a,1}, Karen Wynn^b, Paul Bloom^b, and Neha Mahajan^b

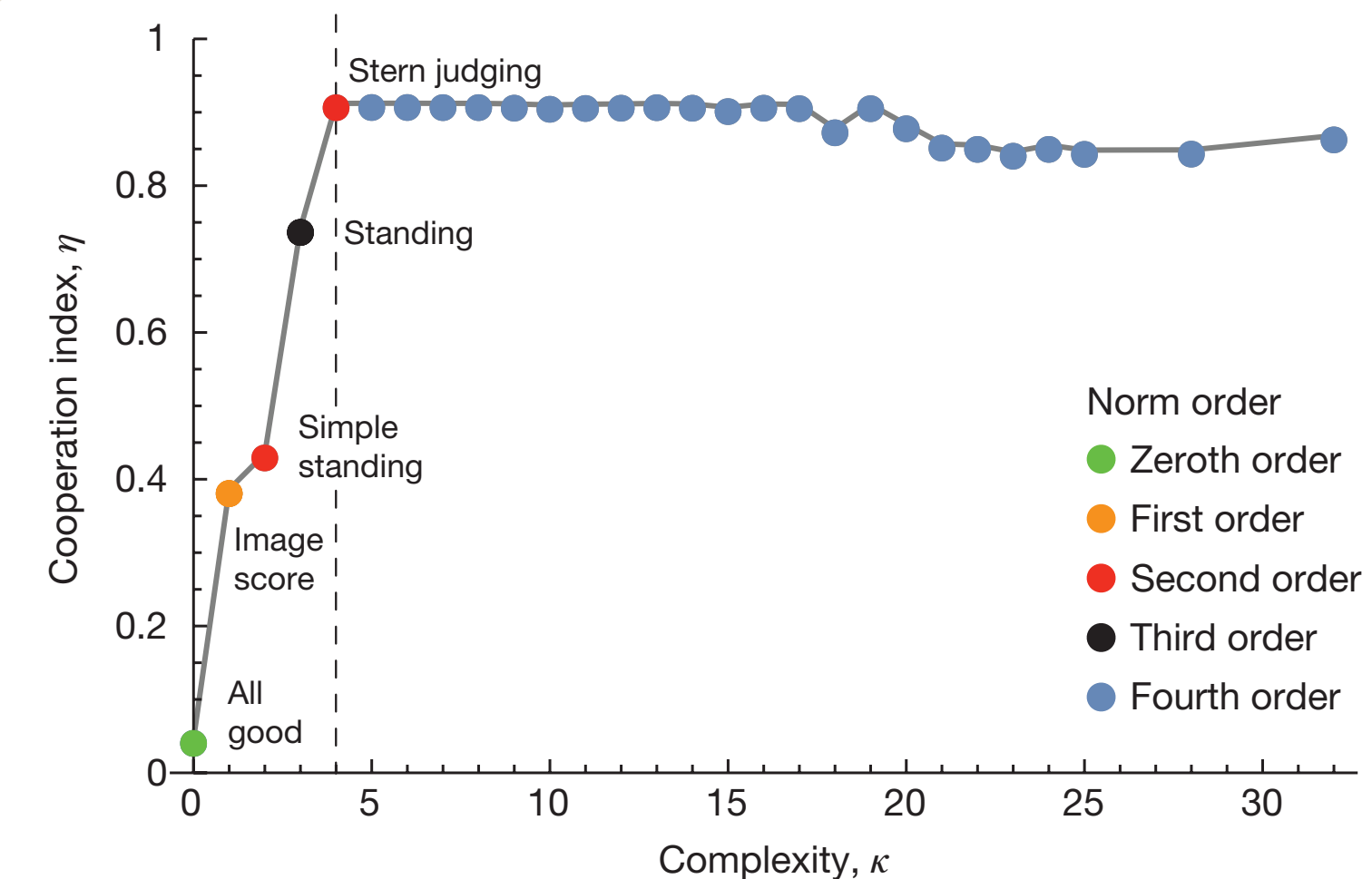
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**Infants prefer a nasty
moose if it punishes an
unhelpful elephant**

Evolution of indirect reciprocity: The impact of noise

Remark 3.10. Indirect reciprocity with noise

- One strong assumption in the model on the leading-eight: All relevant information is public and there are no perception errors.

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- One way to represent these agreements is to consider the image matrix $M(t) = (m_{ij}(t))$, with $m_{ij} = 1$ if and only if player i considers j to be good.

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- Under public information and no noise these image matrices only depend on the column index j .

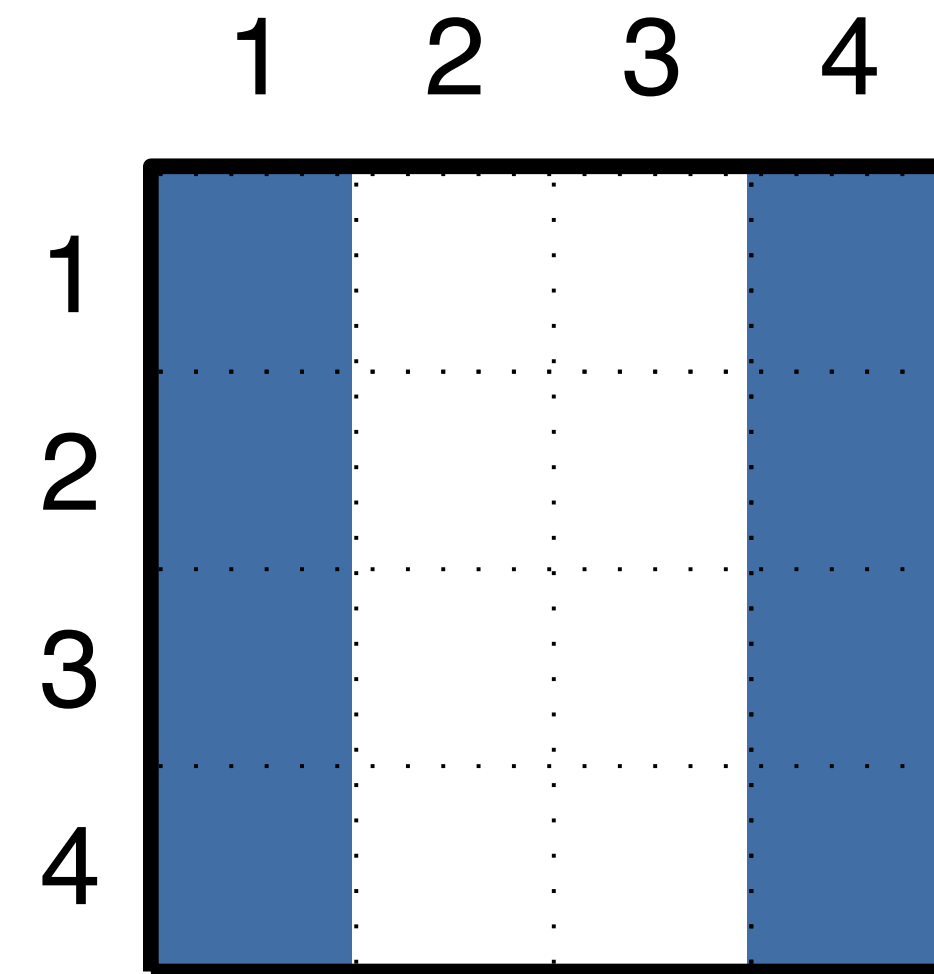
	1	2	3	4
1	1	0	0	1
2	1	0	0	1
3	1	0	0	1
4	1	0	0	1

Under public
information

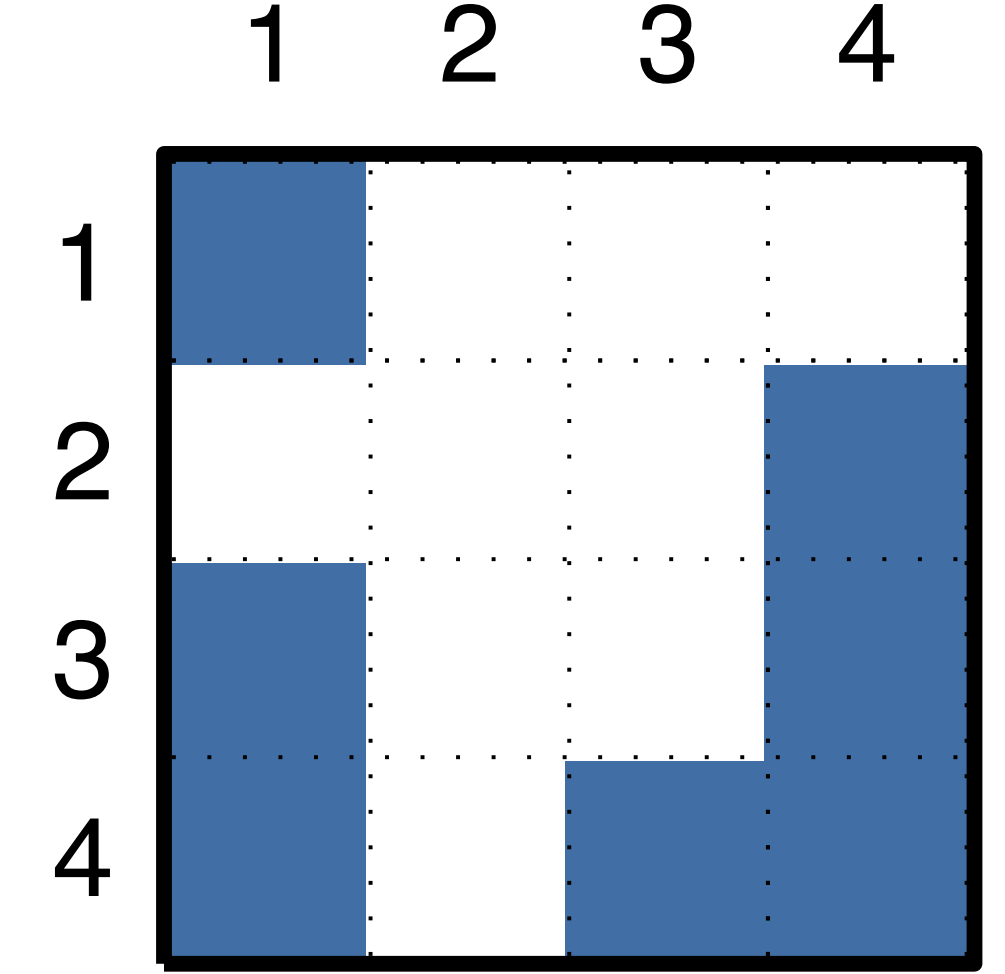
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- Under public information and no noise these image matrices only depend on the column index j .
- When there is private information, or some individuals misinterpret a donor's action, there can be disagreements.



Under public
information



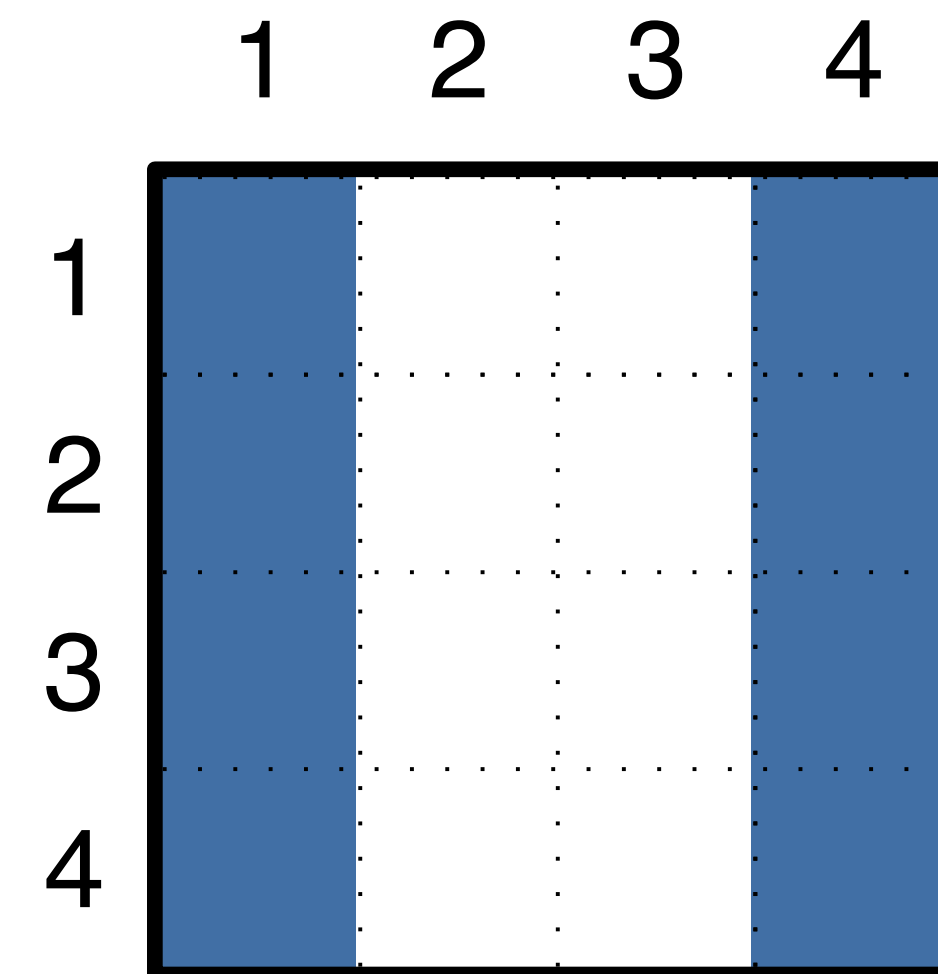
Under private
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Evolution of indirect reciprocity: The impact of noise

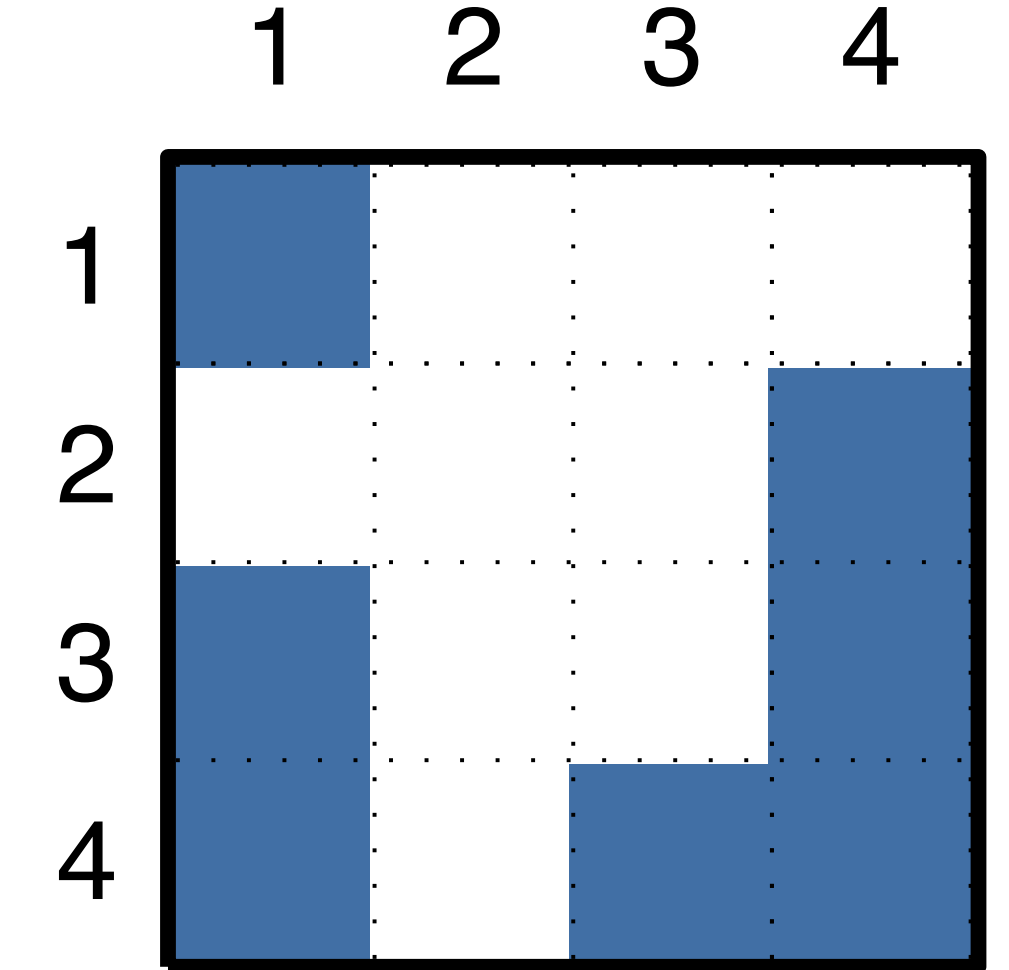
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Question: Assume there are a few initial disagreements between the members of a population. Over time, do these disagreements disappear or do they proliferate? And how does this depend on the population's social norm?



Under public
information



Under private
information

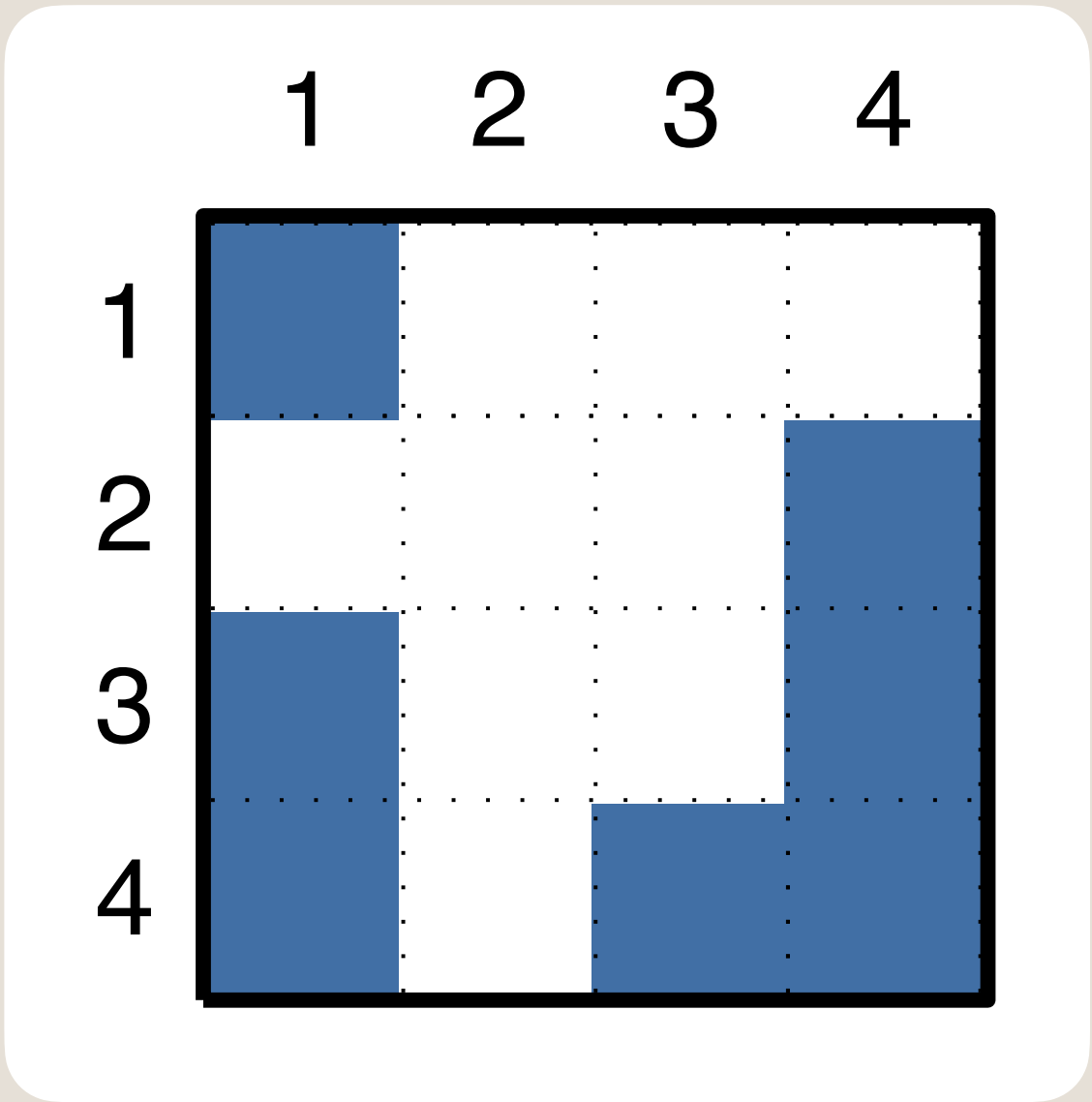
Evolution of indirect reciprocity: The impact of noise

Remark 3.10. Indirect reciprocity with noise (continued)

- Consider a population in which players assign reputations based on private and noisy information

Indirect reciprocity with private, noisy, and incomplete information

Christian Hilbe^{a,1}, Laura Schmid^a, Josef Tkadlec^a, Krishnendu Chatterjee^a, and Martin A. Nowak^{b,c,d}



Under private information

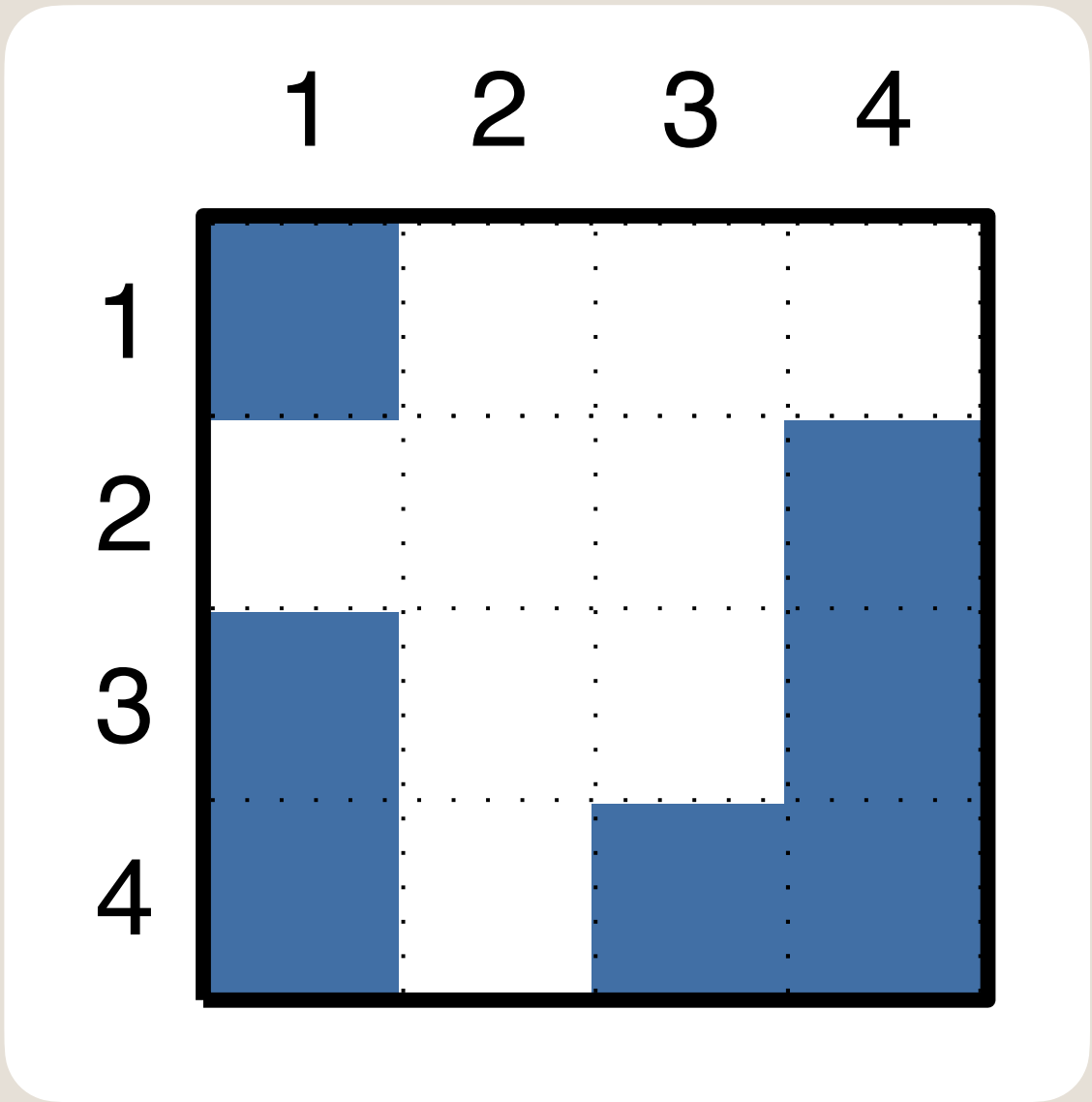
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- Moreover, suppose people in the population follow different social norms; one third uses ALLC, one third uses ALLD, and one third uses some leading-eight social norm.

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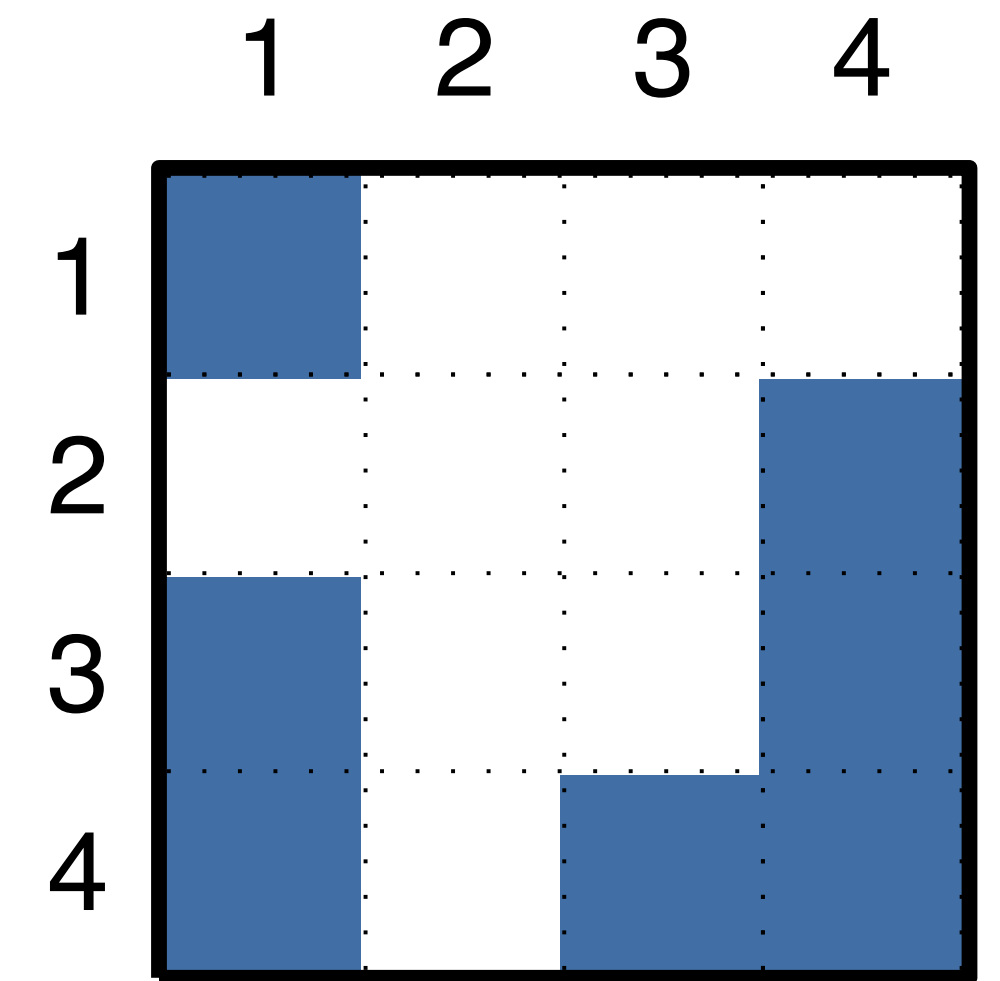
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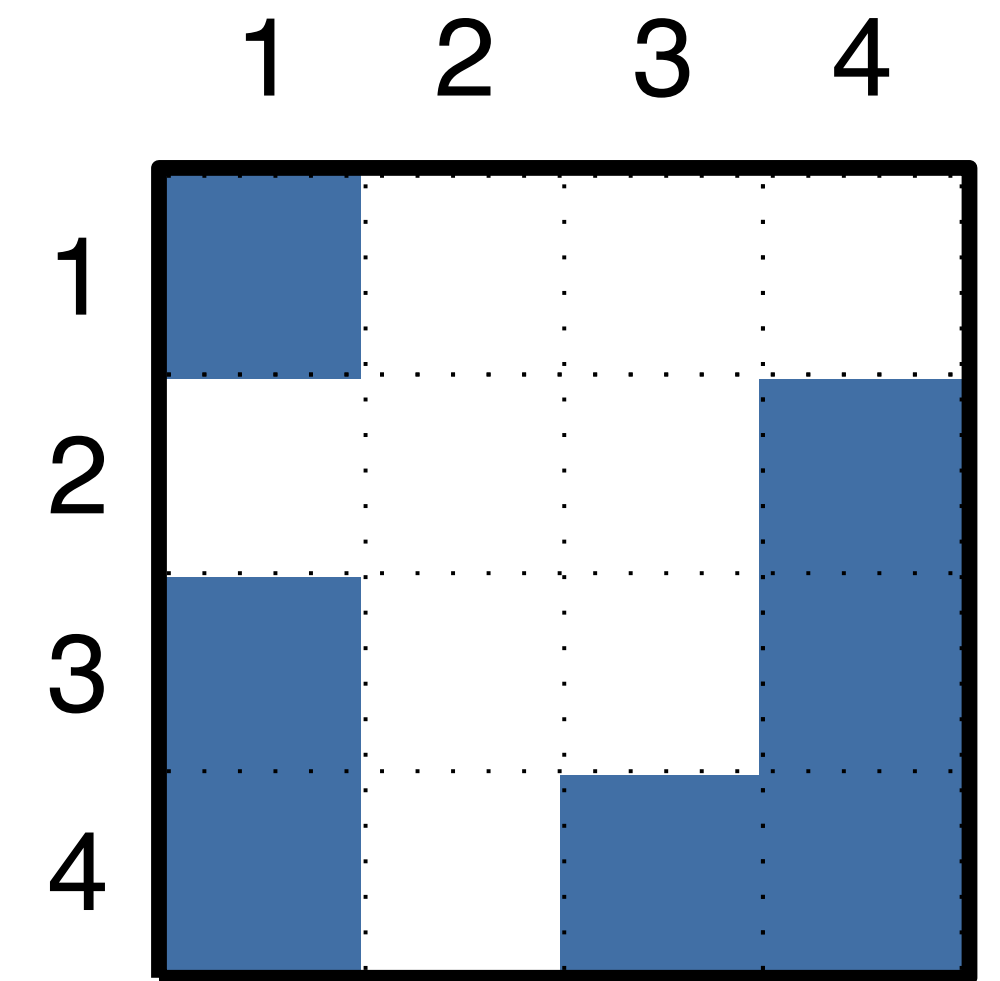
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- How do the population's image matrices evolve in time?

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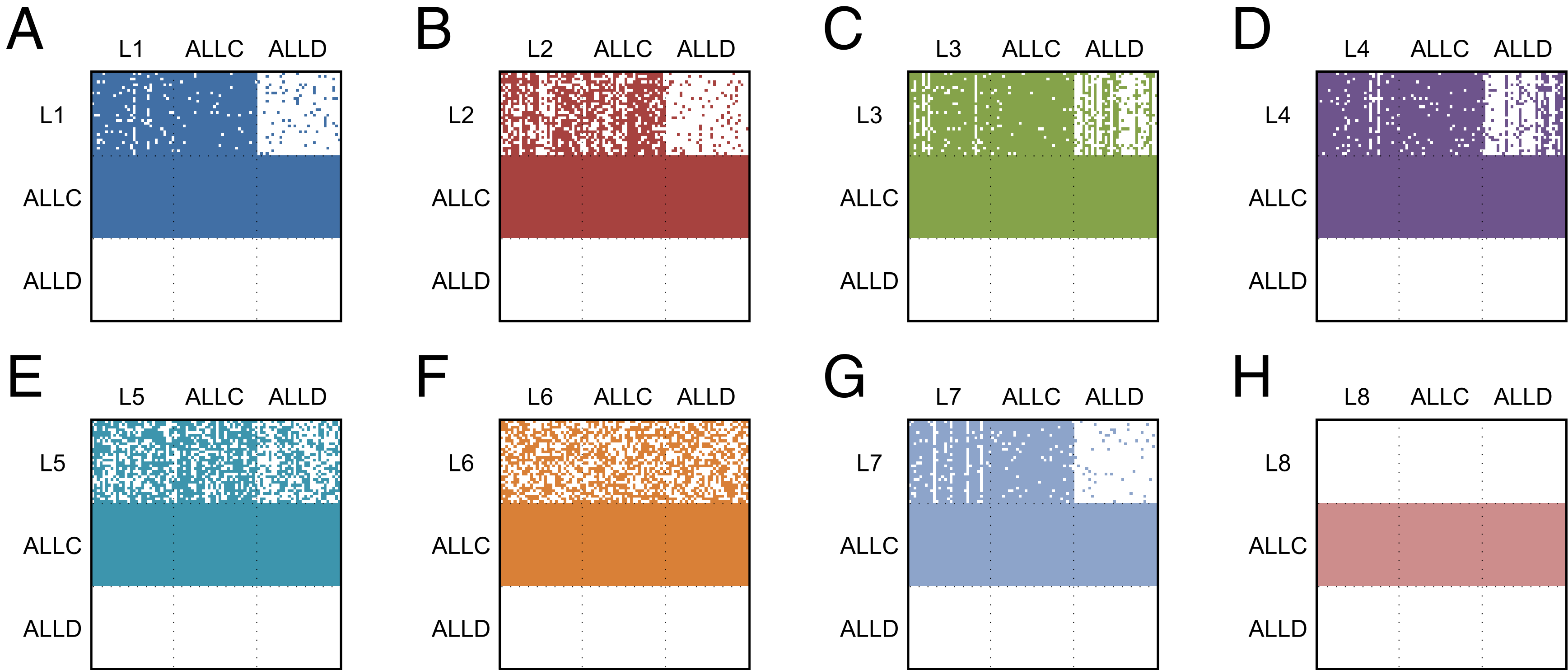
Reputation dynamics with private information

We assume the population consists in equal parts of a leading-eight strategy, of ALLC, and ALLD.
Snapshot after 10^6 interactions:

Evolution of indirect reciprocity: The impact of noise

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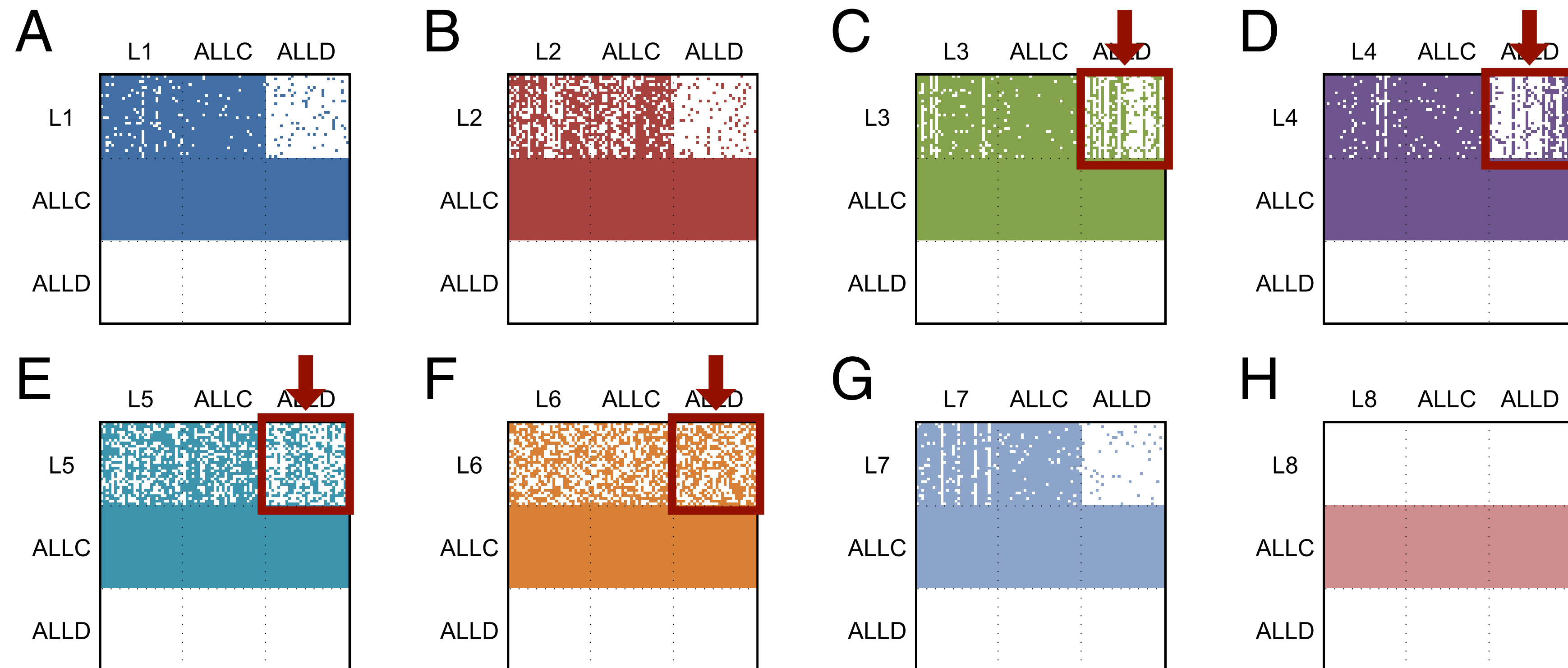
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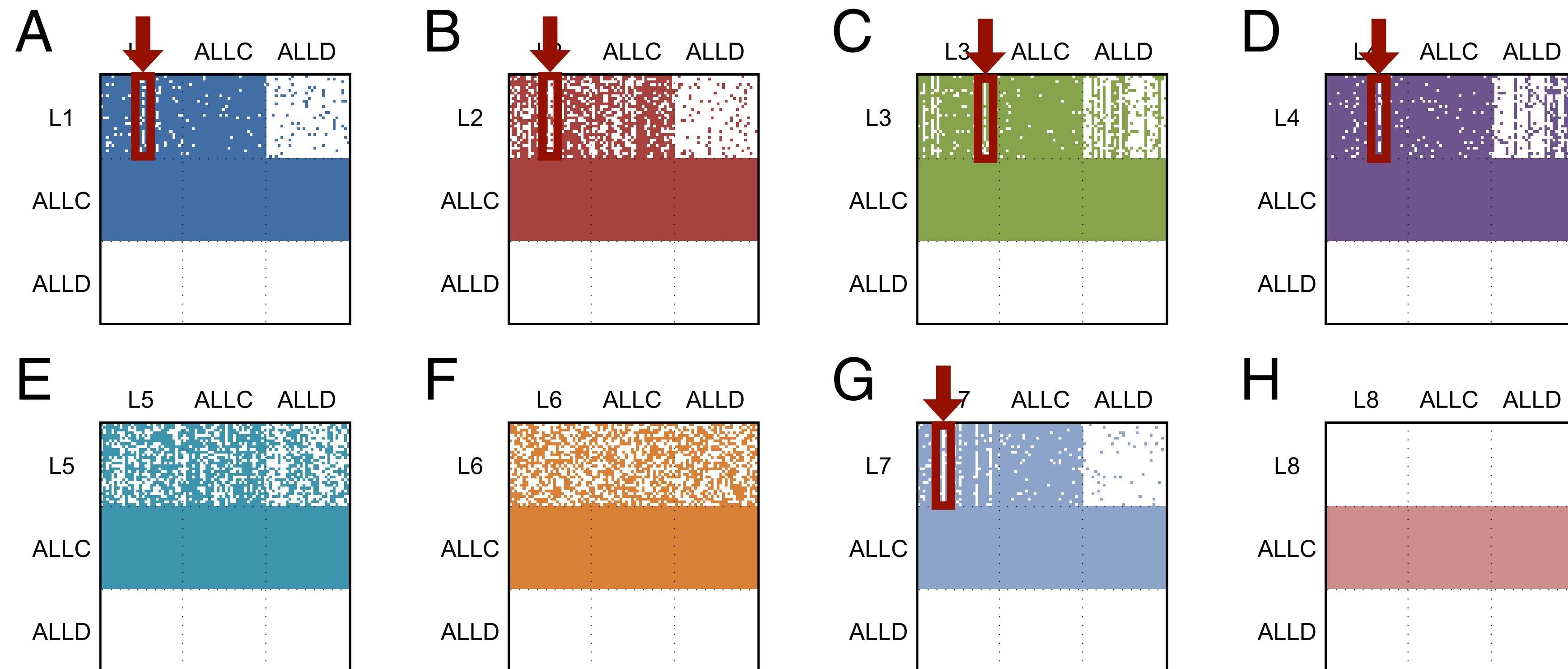
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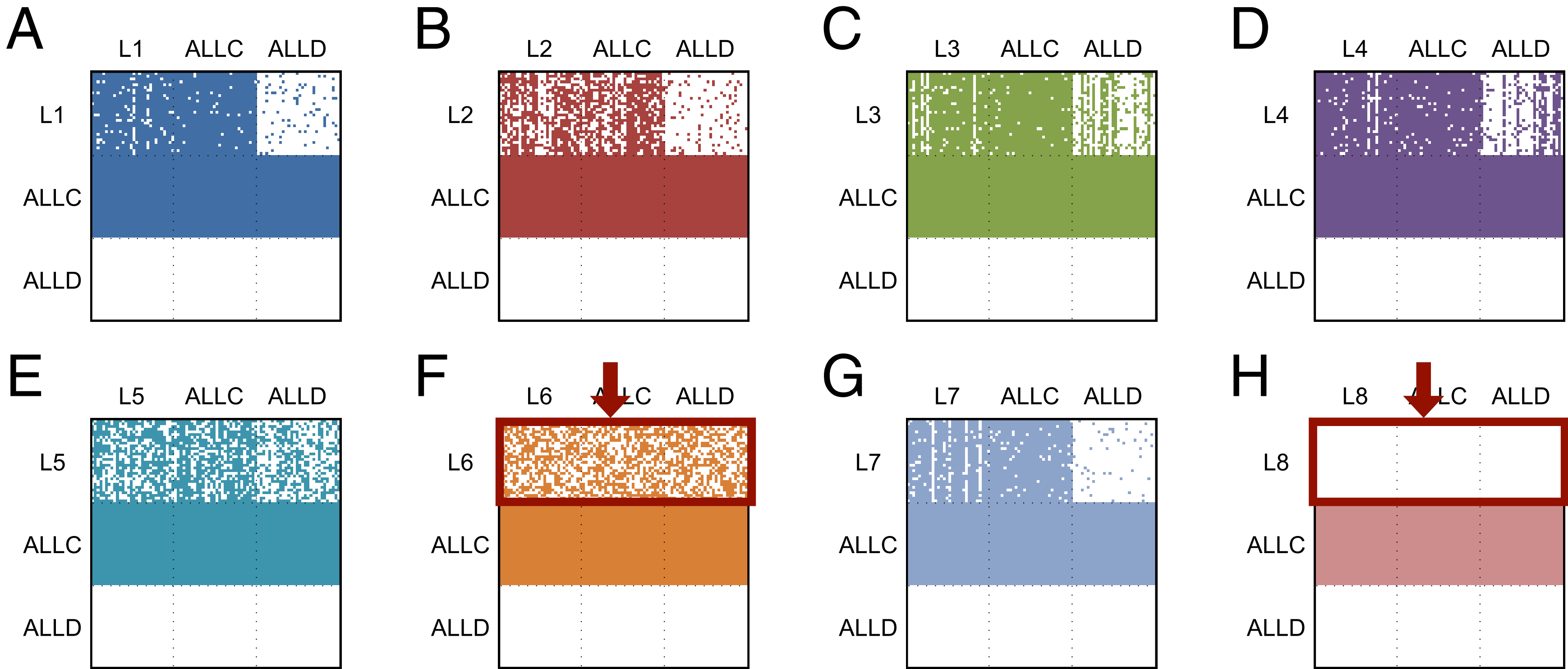


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Evolution of indirect reciprocity: The impact of noise

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- Overall, noise seems to make it harder for the leading-eight to be stable.

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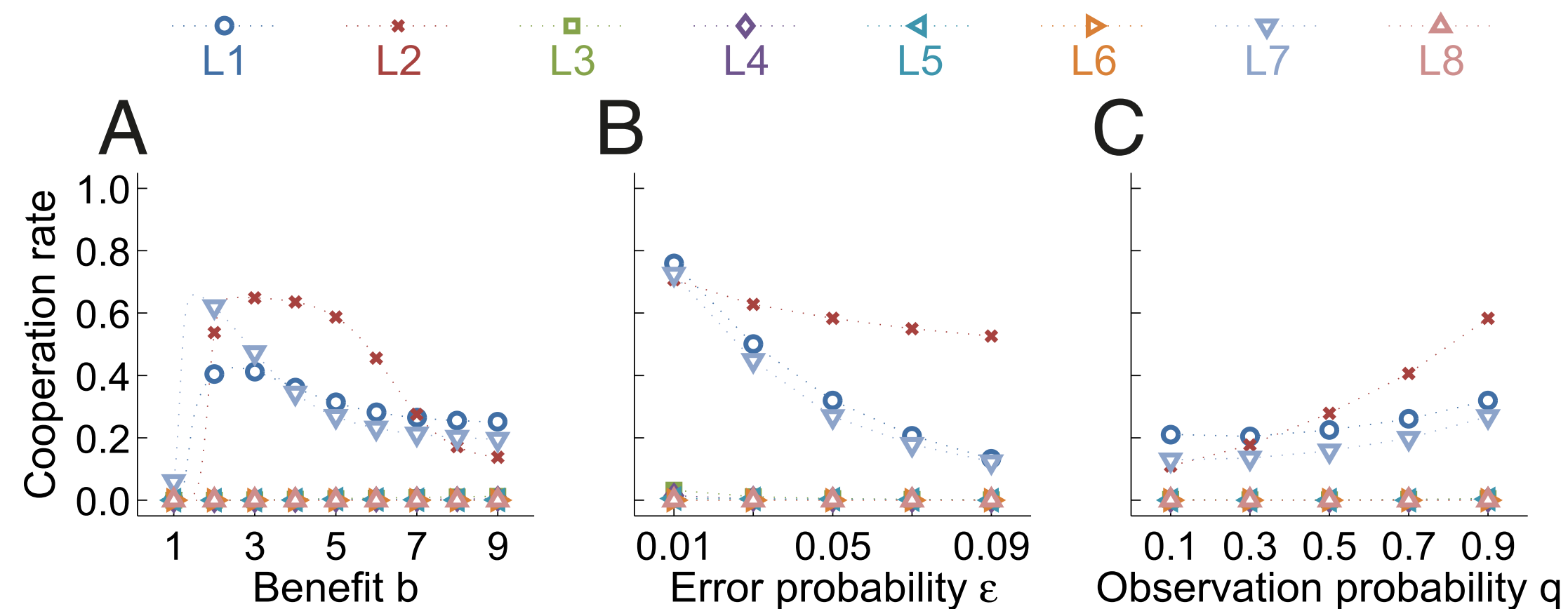
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Evolution of indirect reciprocity: The impact of noise

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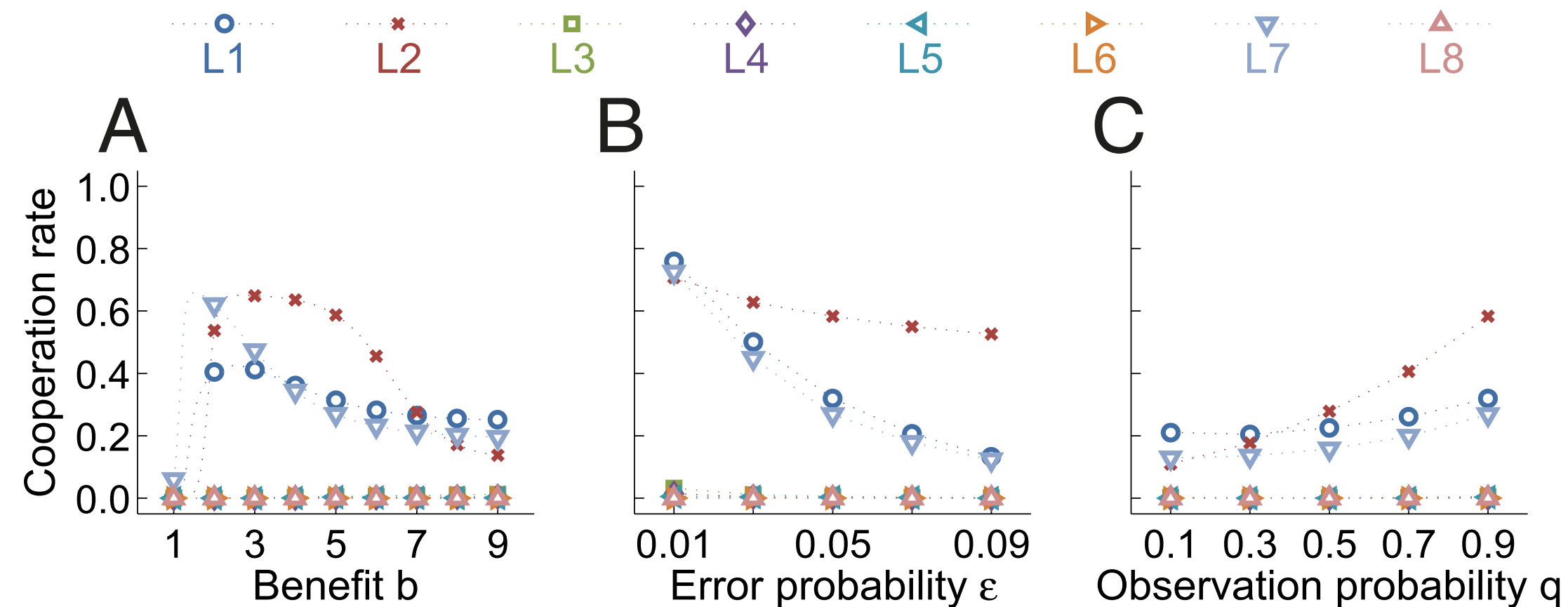
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Possible solutions

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Evolution of empathetic moral evaluation

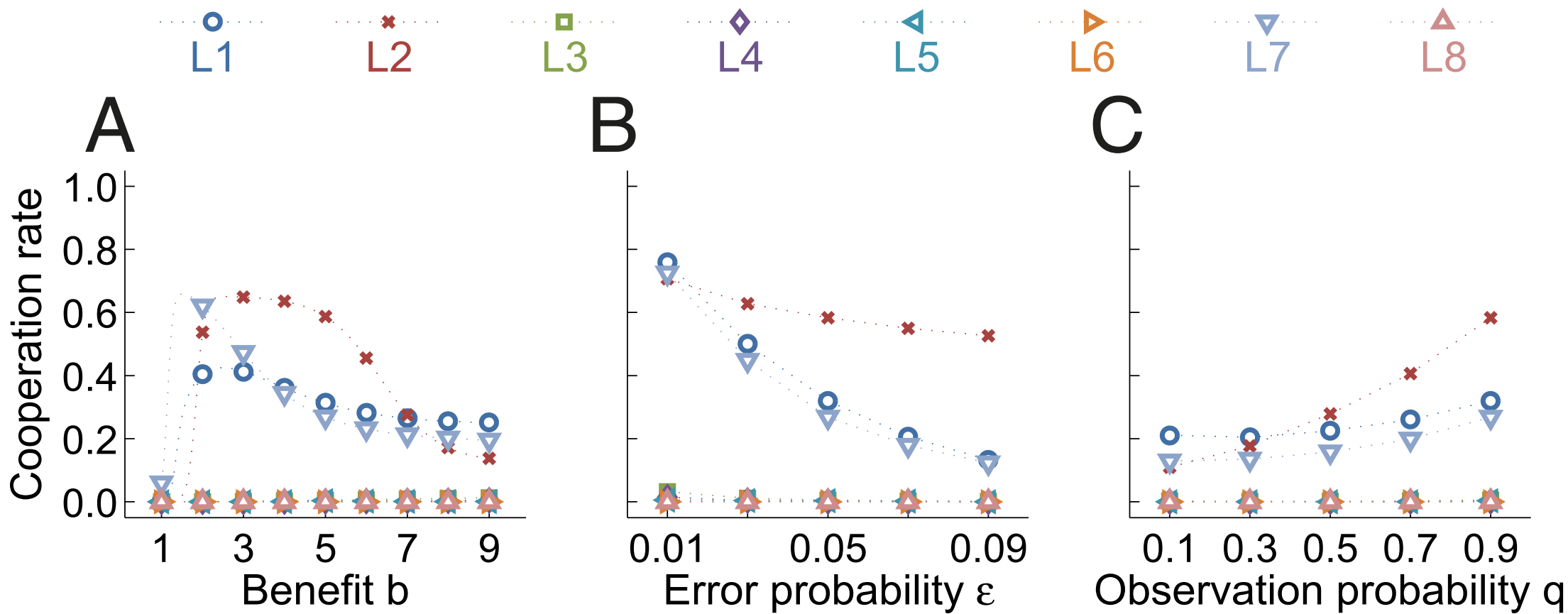
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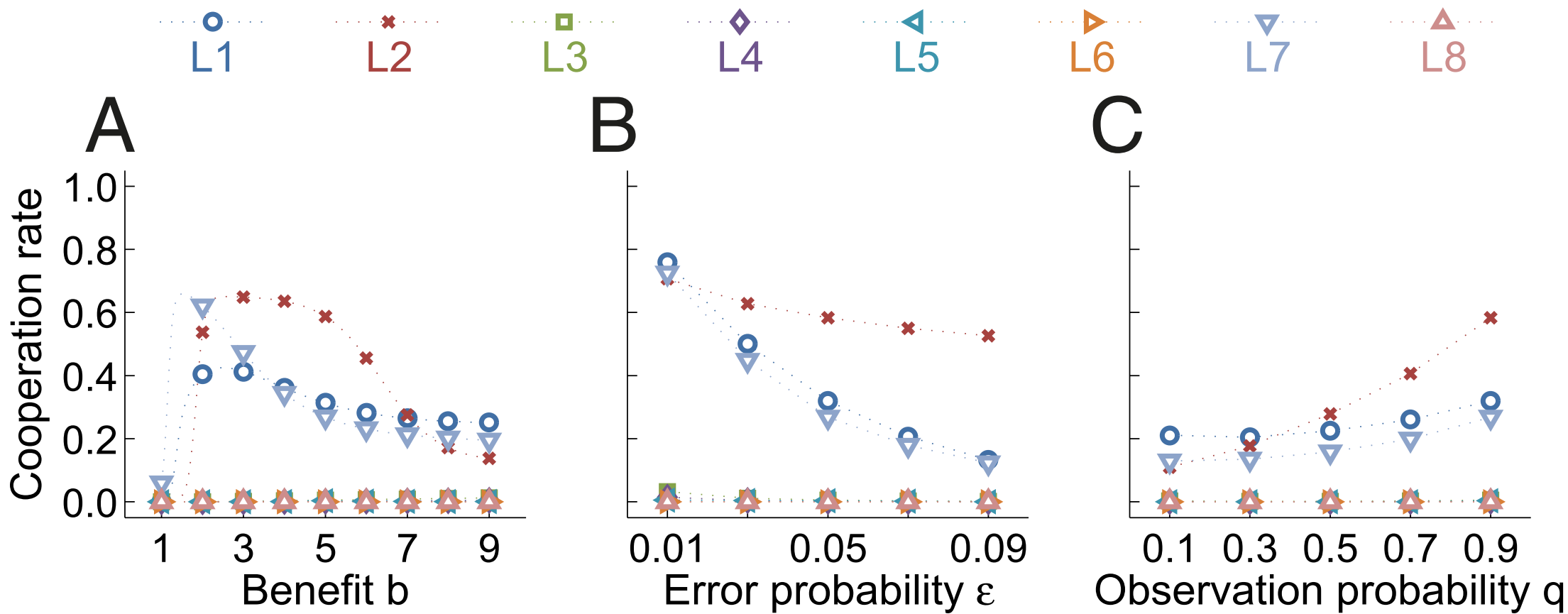
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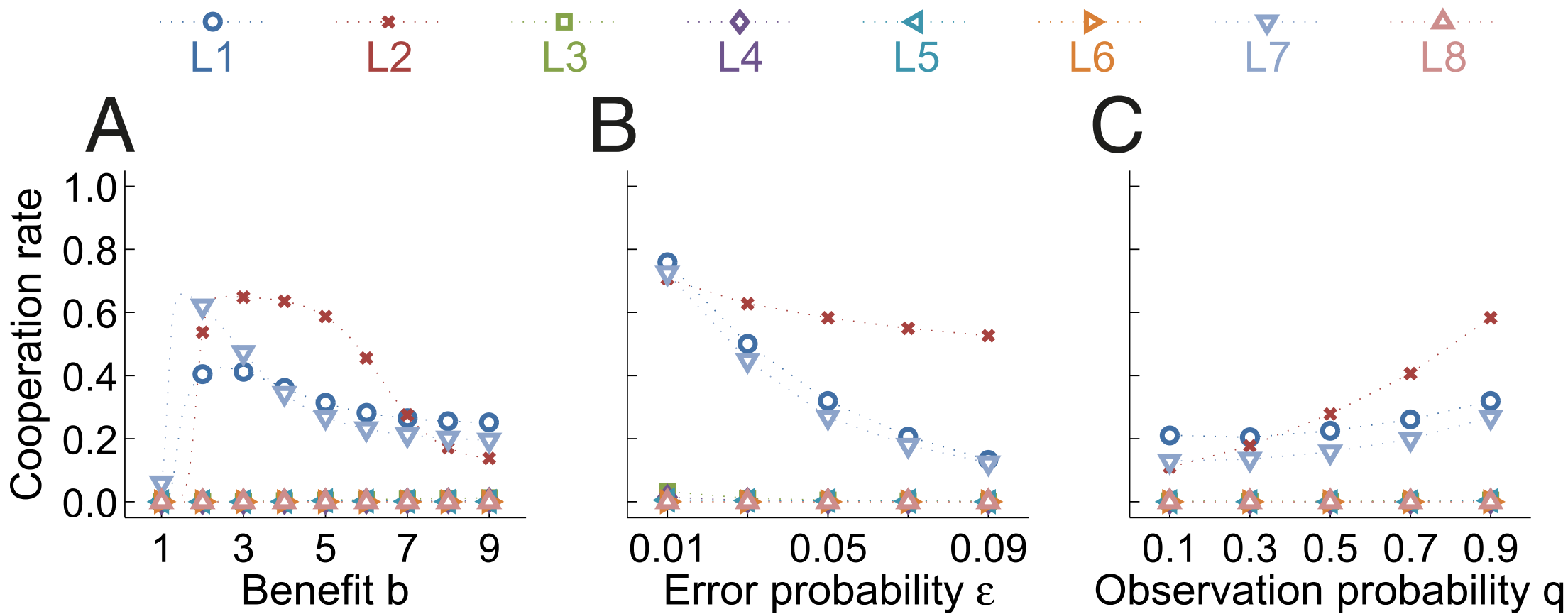
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Opinion synchronization

Indirect reciprocity under opinion synchronization

Yohsuke Murase^{a,1} and Christian Hilbe^b

PNAS, 2024

Evolution of social norms: Humility

Remark 3.11. Why being humble?

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- Examples: Anonymous donations, avoiding to brag about your latest high-impact publication, inconspicuous consumption

A screenshot of the 'The Chronicle of Philanthropy' website. The page displays a search filter for 'Anonymous' donations. Below the filter, a table lists the top results, showing the year, donor, where the donor lives, the recipient, the recipient's location, the cause, the gift type, and the gift value. The table shows four results for the year 2015, all from anonymous donors, with gift values ranging from \$40,000,000 to \$50,000,000.

Year	Donor	Where Donor Lives	Donor's Source of Wealth	Recipient	Recipient Location	Cause	Gift type	Gift Value
2015	Anonymous			Wellesley College (Mass.)	Massachusetts	Colleges and universities		\$50,000,000
2015	Anonymous			College of William & Mary (Williamsburg, Va.)	Virginia	Colleges and universities		\$50,000,000
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2015	Anonymous			Methodist Le Bonheur Healthcare (Memphis)	Tennessee	Health		\$40,000,000

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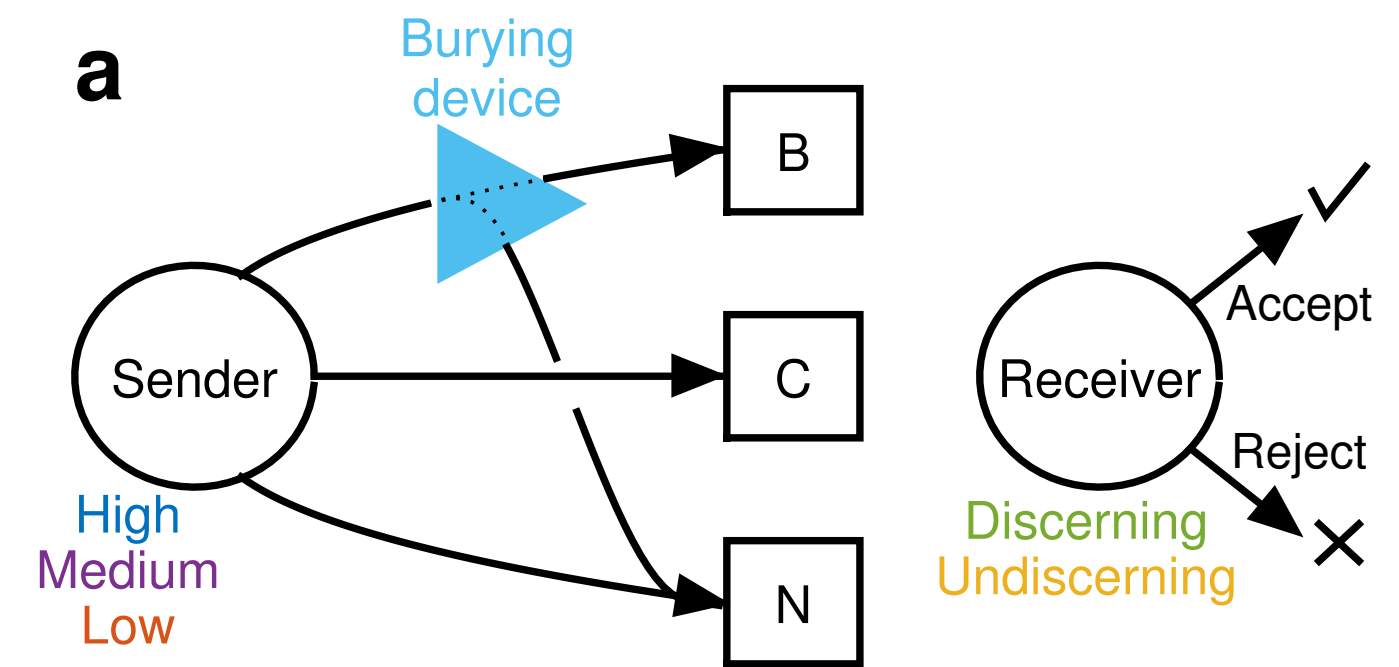
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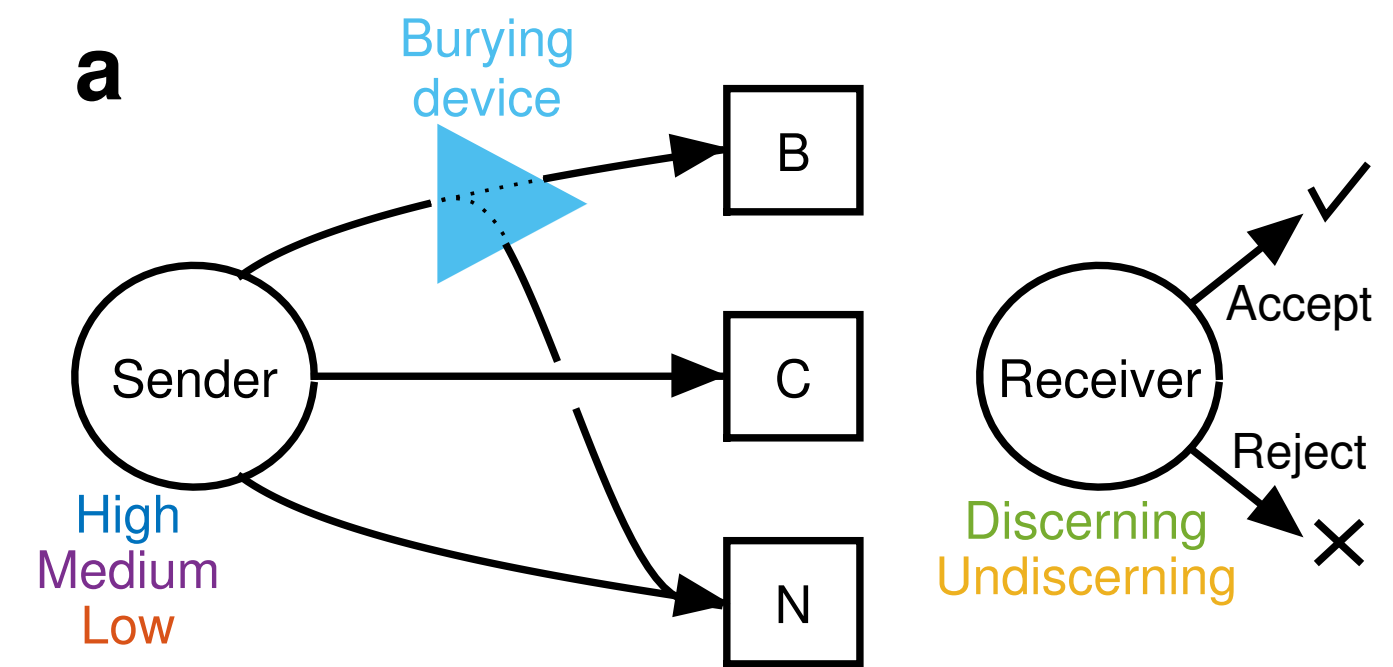
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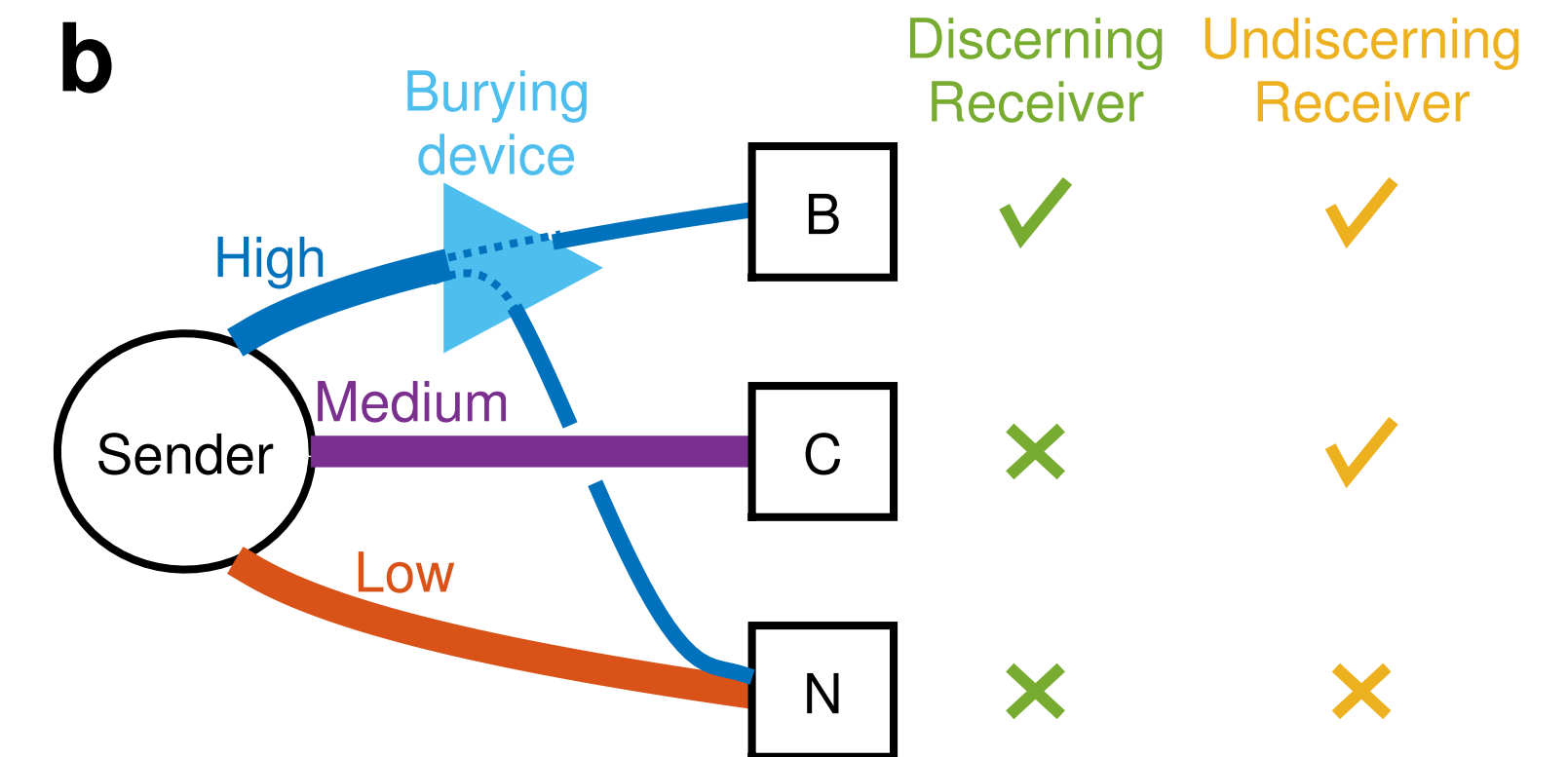
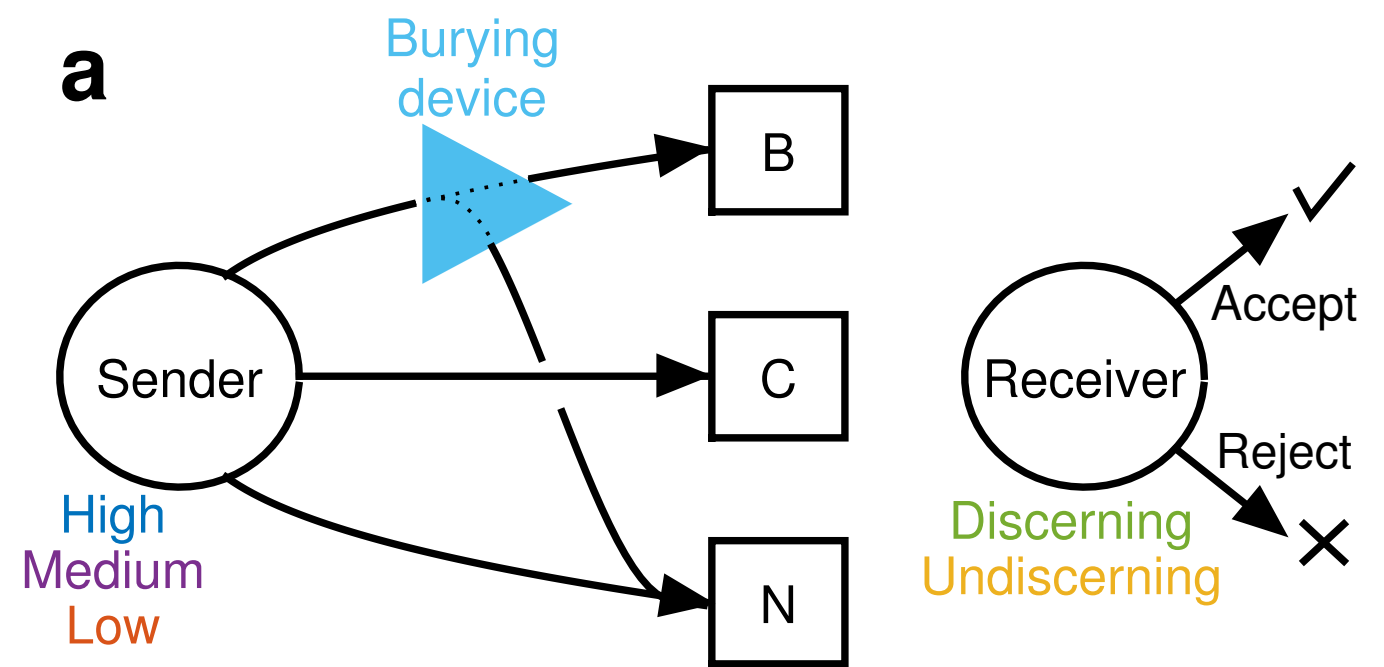
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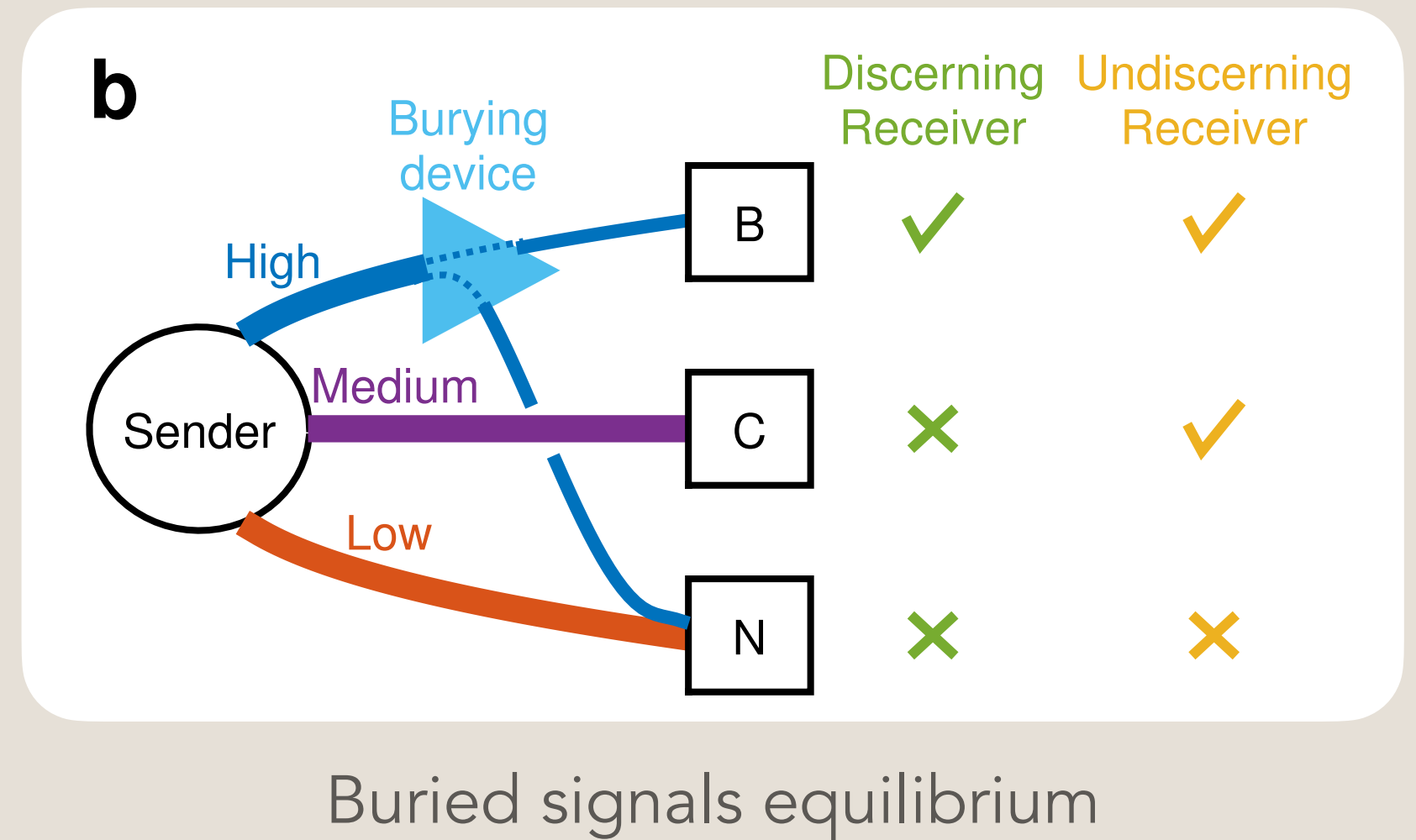


Buried signals equilibrium

Evolution of social norms: Humility

Remark 3.13. Interpretation

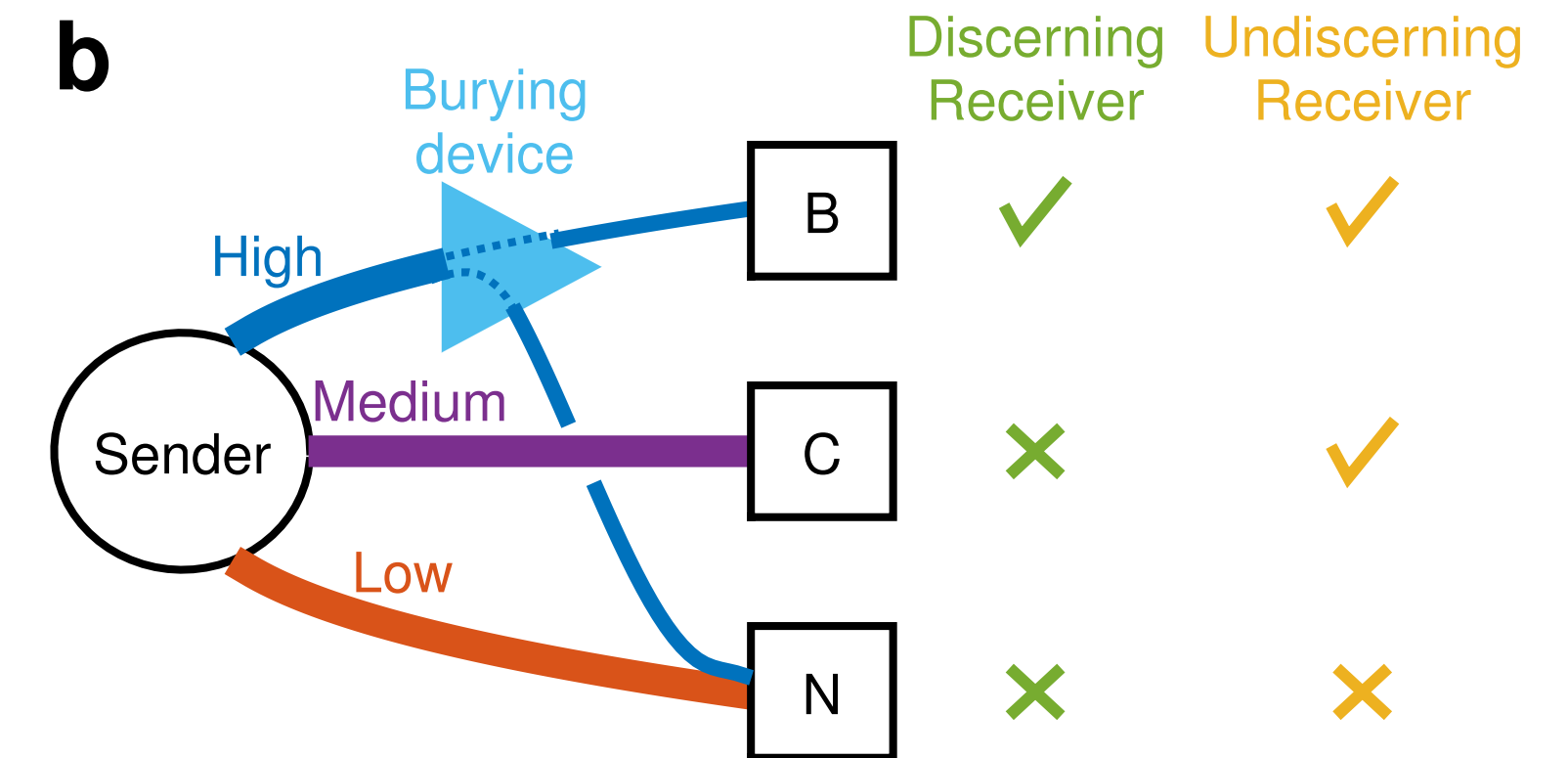
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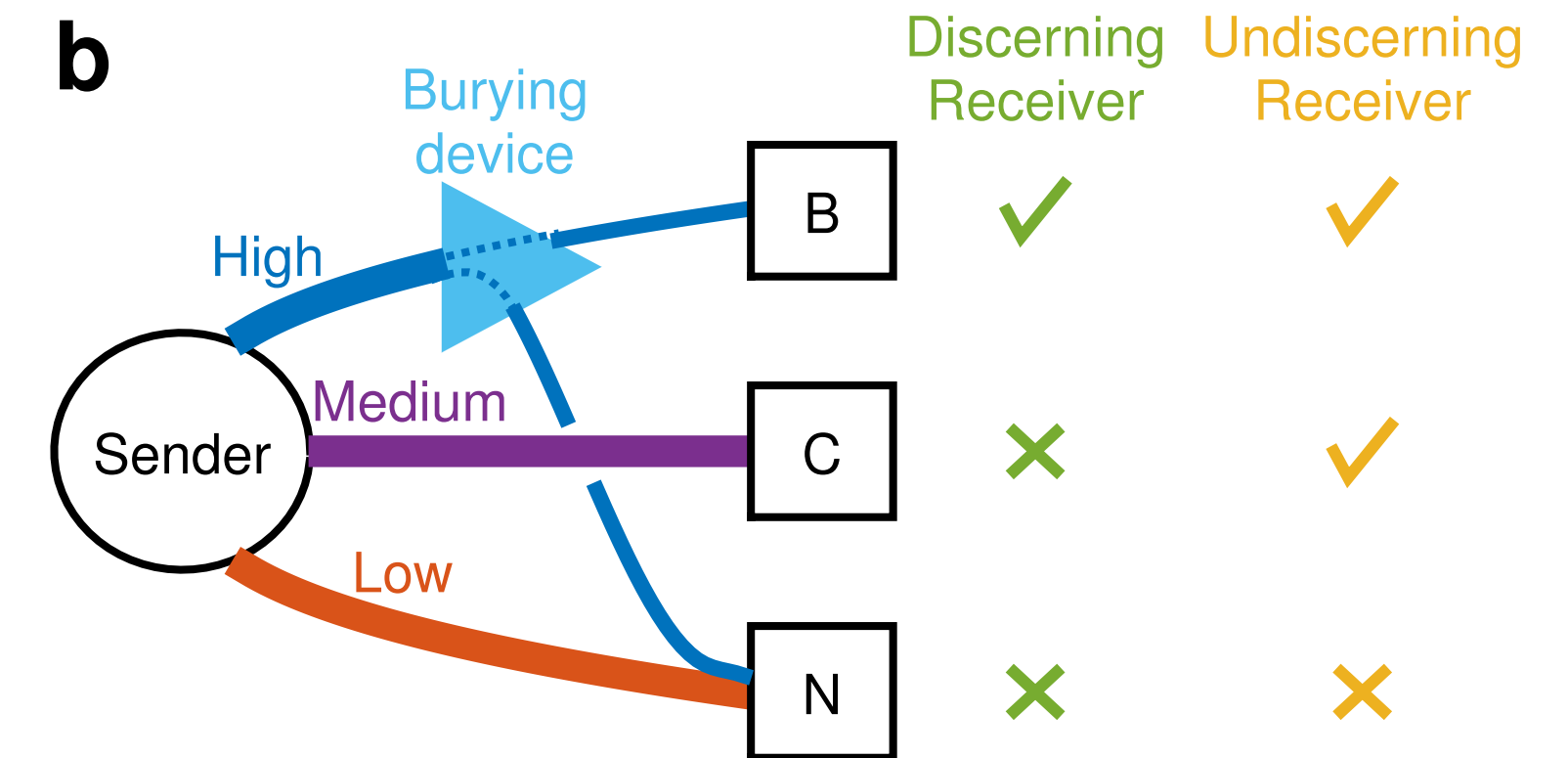
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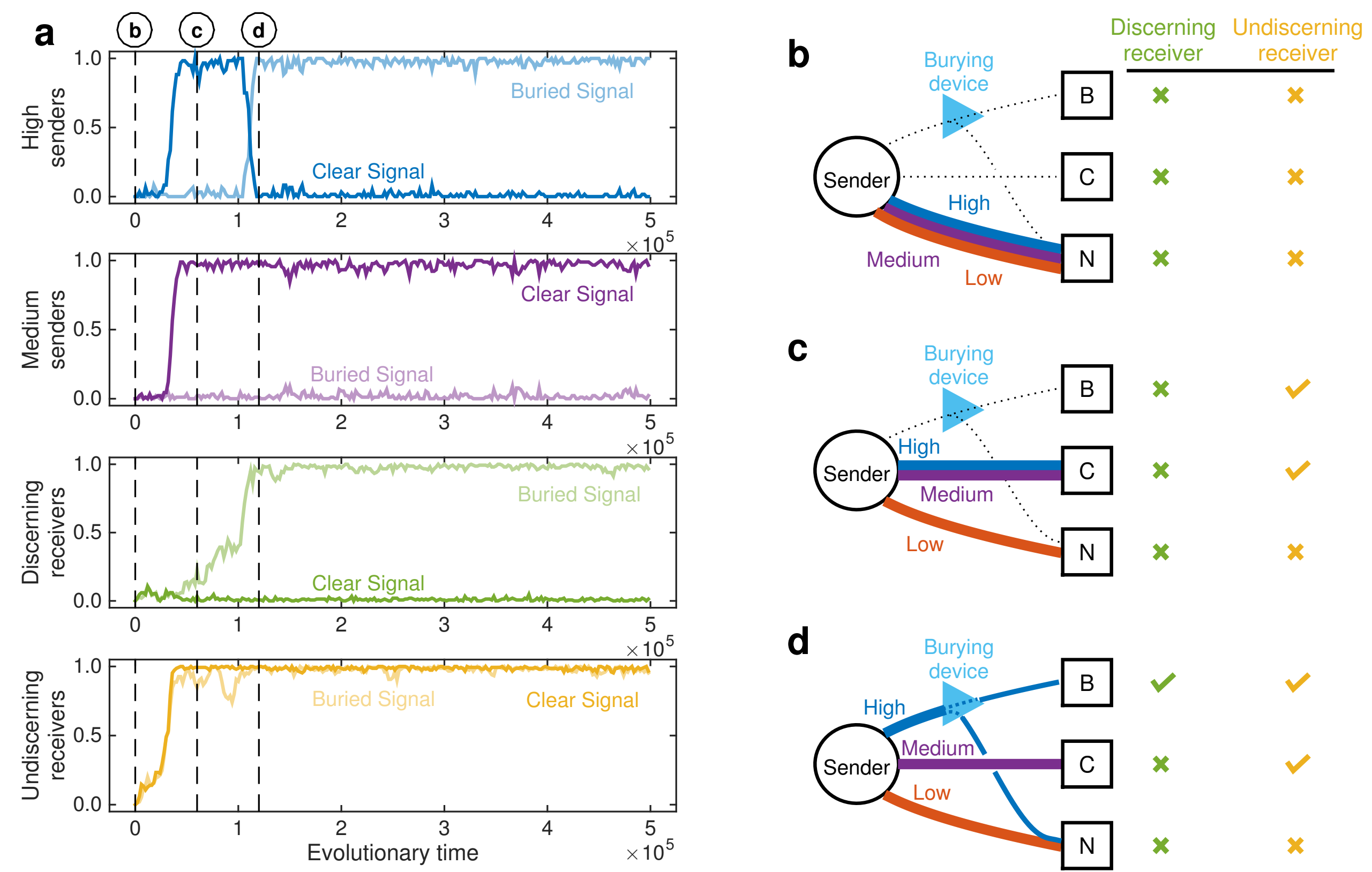
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Wearing a brand handbag may signal wealth, but it also signals that you really want everyone to know it, instead of only those people who are sophisticated enough to know the subtle signals of expense.
- Burying such signals is a great way of showing that you are only interested in a particular group of receivers.



Buried signals equilibrium



Evolution of social norms: Humility

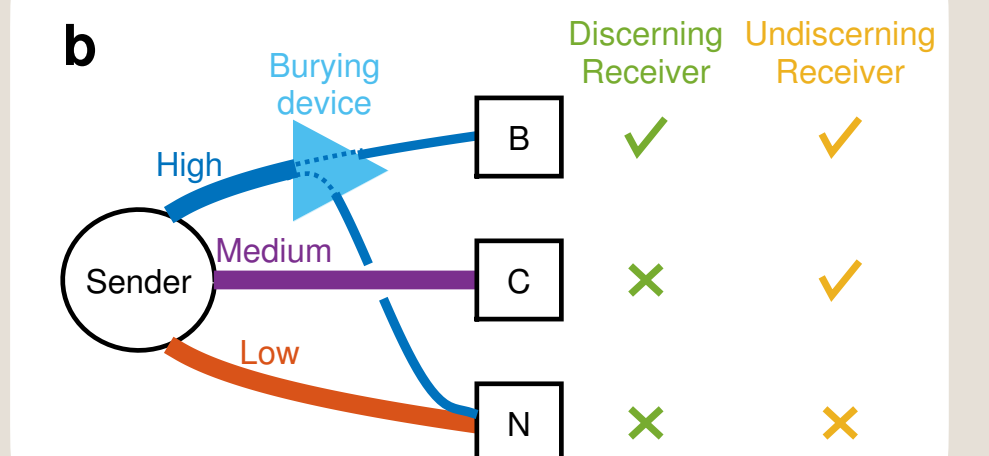
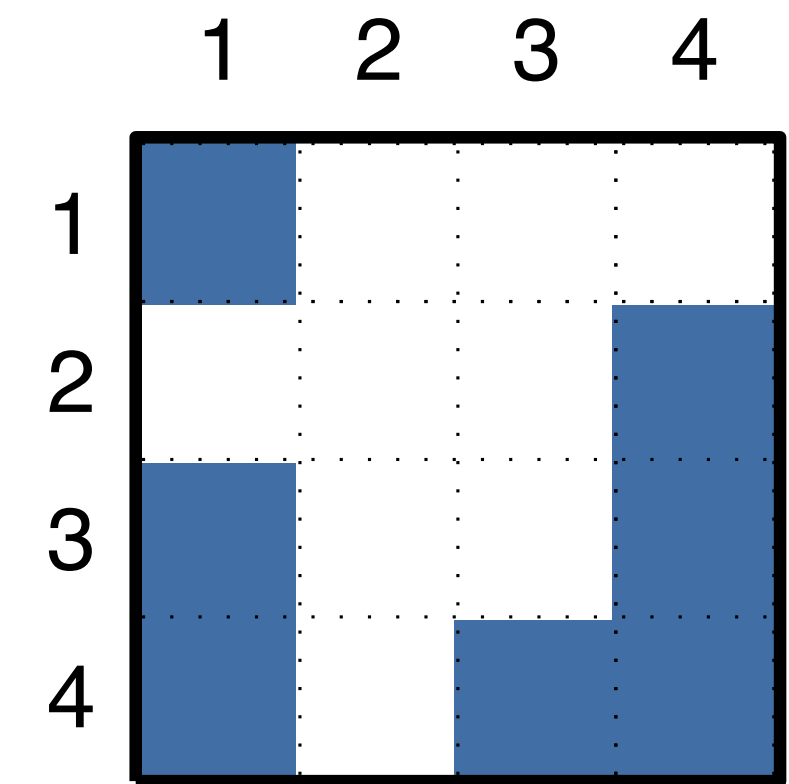


Evolution of the Buried signals equilibrium

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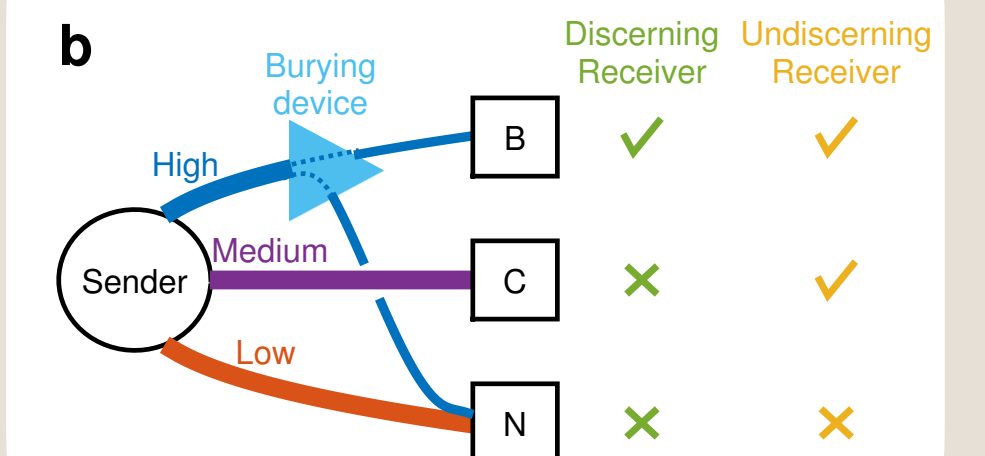
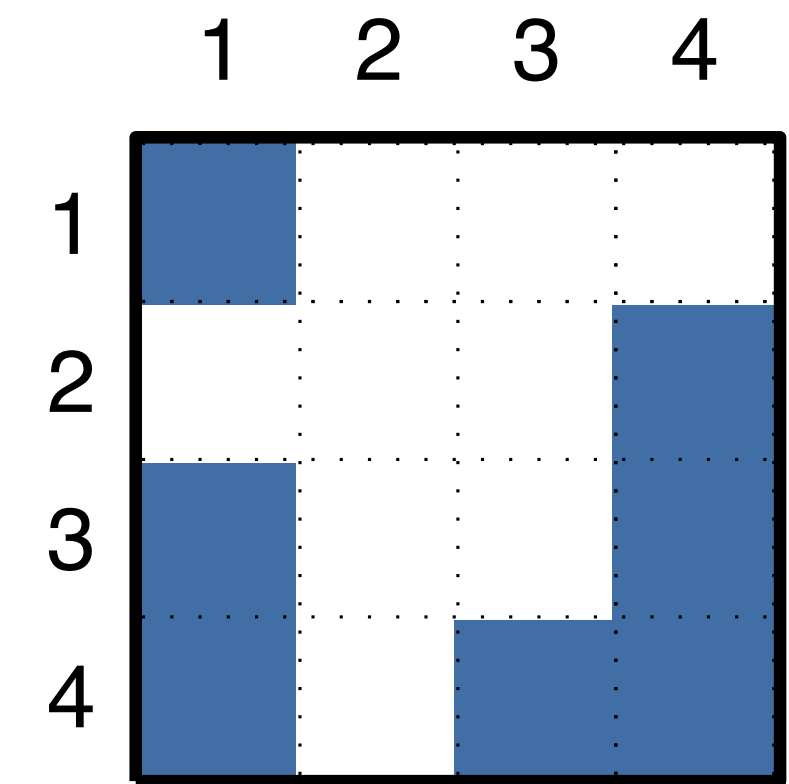
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3. Social norms can also be rather nuanced; as an example, we discussed why people might value modesty. Also such norms can be explained with game theory (in this case: with a signaling model).

