## Arithmetic groups of higher real rank are not left-orderable (after Deroin and Hurtado)

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*Abstract:* B. Deroin and S. Hurtado recently proved the 30-year-old conjecture that if *G* is an almost-simple algebraic  $\mathbb{Q}$ -group, and the real rank of *G* is at least two, then no arithmetic subgroup of *G* is left-orderable. We will discuss this theorem, and explain some of the main ideas of the proof, by illustrating them in the simpler case where the real field  $\mathbb{R}$  is replaced with a *p*-adic field. Harmonic functions and continuous group actions are key tools.

https://deductivepress.ca/dmorris/talks/deroin-hurtado.pdf

## $\Gamma$ = arithmetic group

### (or countable group)

#### Question

- $i \exists left-invariant total order \prec on \Gamma$ ?
  - total:  $x \prec y$  or  $x \succ y$  or x = y
  - left-invariant:  $x \prec y \implies ax \prec ay, \forall x, y, a$

"Is  $\Gamma$  left-orderable?"

#### Example

 $\mathbb{Z}$  is left-orderable (namely, <).

left-orderable:  $\exists \prec, x \prec y \implies ax \prec ay$ 

#### Motivation

#### $\Gamma$ is left-orderable $\Rightarrow$

•  $\Gamma$  has an action on  $\mathbb{R}$ 

(faithful, continuous, orientation-preserving)



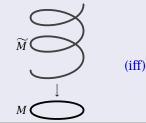
 Group ring Z[Γ] has no zero divisors (conjectured for all torsion-free groups)

• 
$$\mathbb{Z}[\Gamma] \cong \mathbb{Z}[\Lambda] \implies \Gamma \cong \Lambda$$
 [Lagrange-Rhemtulla]

• If 
$$\Gamma = \pi_1(M)$$
:

M

$$\widetilde{M} \hookrightarrow M \times \mathbb{R}$$



#### Example

# $$\begin{split} \Gamma &= \text{free group} \doteq \operatorname{SL}_2(\mathbb{Z}) \doteq \operatorname{SO}(1,2)_{\mathbb{Z}} \\ &\implies \text{every (f.g.) subgroup of } \Gamma \text{ maps onto } \mathbb{Z}. \\ \text{I.e., } \Gamma \text{ is locally indicable.} \end{split}$$

#### Proposition (Burns-Hale 1972)

 $\Gamma$  locally indicable  $\Rightarrow \Gamma$  is left-orderable.

#### Rough idea of proof.

For 
$$x, y \in \Gamma$$
, choose  $\varphi_{x,y} \colon \langle x, y \rangle \twoheadrightarrow \mathbb{Z}$ .  
Define  $x \prec y$  if  $\varphi_{x,y}(x) < \varphi_{x,y}(y)$ .

*Transitive:* For  $x, y, z \in \Gamma$ , assume (Zorn's Lemma)  $\varphi_{x,y}$  = restriction of  $\varphi_{x,y,z}$  to  $\langle x, y \rangle$ or  $x, y \in \ker \varphi_{x,y,z}$ 

#### Example

## $\dot{SL}_2(\mathbb{Z})$ is left-orderable.

#### Theorem (Witte 1994)

 $\dot{SL}_3(\mathbb{Z})$  is **not** left-orderable.

### Conjecture (1990's)

Arith subgroup of **G** left-orderable  $\implies$  rank<sub>R</sub> **G** = 1. *I.e.*, **G**(R)  $\doteq$  SO(1, n) or SU(1, n) or Sp(1, n) or F<sub>4,1</sub>. (if **G** is almost Q-simple)

*Note:* arithmetic subgroups of Sp(1, n) and  $F_{4,1}$  have **Kazhdan's property (T)**.

*Open question:* ¿∃ left-orderable Kazhdan group?

#### Conjecture (1990's)

Arith subgrp  $\Gamma$  of **G** left-orderable  $\implies$  rank<sub>R</sub> **G** = 1.

The conjecture is true [Deroin-Hurtado 2024<sup>+</sup>].

Proof uses group actions and real analysis, including **harmonic functions**.

#### **Definition** (Furstenberg 1963)

Assume:

- $\mu$  is a Borel measure on *G* with  $\mu(G) = 1$ .
- $\varphi: G \to \mathbb{R}$ .

$$\varphi$$
 is  $\mu$ -harmonic if  $\forall x \in G$ :  
 $\varphi(x) = \int_{G} \varphi(gx) d\mu(g)$  for all  $x \in G$ .

 $\Gamma$  = arithmetic subgroup of a simple  $\mathbb{Q}$ -group **G**. Let  $G = \mathbf{G}(\mathbb{R}) = \mathbf{SL}_3(\mathbb{R})$  and assume  $G/\Gamma$  is compact. I.e. **G** is  $\mathbb{Q}$ -anisotropic.

harmonic:  $\varphi(x) = \int_G \varphi(gx) d\mu(g)$ 

**Theorem** (e.g., Ledrappier 1985, Ballmann-Ledrappier 1996) *Every bounded*  $\mu_{\Gamma}$ *-harmonic function on*  $\Gamma$  *extends to a unique*  $\mu_{G}$ *-harmonic function on* G. ( $\exists \ \mu_{G} \ on \ G \ and \ \mu_{\Gamma} \ on \ \Gamma$ )

**Proof.** To simplify, replace  $\mathbb{R}$  with  $\mathbb{Q}_p$ :  $G = \mathbf{G}(\mathbb{Q}_p) = \mathrm{SL}_3(\mathbb{Q}_p)$  (and  $G/\Gamma$  is compact) ( $\Gamma$  is *S*-arithmetic, with  $S = \{\infty, p\}$ )  $G = SL_3(\mathbb{Q}_p)$  and  $G/\Gamma$  is compact Let  $K = SL_3(\mathbb{Z}_p) =$  compact, open subgroup of G.

*K* is open, so  $K \setminus G$  is discrete, so  $K \setminus G / \Gamma$  is finite. For simplicity, assume  $G = K \Gamma$ :  $G \simeq K \times \Gamma$ .

Choose  $\mu_G$  to be bi-*K*-invariant:  $\mu_G(A) = \mu_G(kA) = \mu_G(Ak)$  for all  $k \in K$ .

**Exer.**  $\varphi \mu_G$ -harmonic  $(\varphi(x) = \int_G \varphi(gx) d\mu_G(g))$  $\Rightarrow \varphi(kx) = \varphi(x)$ :  $\varphi$  is left *K*-invariant.

**Corollary** (uniqueness)

 $\varphi, \psi \mid_{G}$ -harmonic,  $\varphi \mid_{\Gamma} = \psi \mid_{\Gamma} \Rightarrow \varphi = \psi$ .

$$\varphi(g)=\varphi(k\gamma)=\varphi(\gamma)=\psi(\gamma)=\psi(k\gamma)=\psi(g)$$

#### $G \simeq K \times \Gamma$ and $\mu_G$ is bi-*K*-invariant

#### Fubini's Theorem: $\mu_G = \mu_K \times \mu_{\Gamma}$ .

#### **Exercise** (existence)

For  $\varphi \colon \Gamma \to \mathbb{R}$ , define  $\hat{\varphi} \colon G \to \mathbb{R}$  by  $\hat{\varphi}(k\gamma) = \varphi(\gamma)$ . Show:  $\varphi$  is  $\mu_{\Gamma}$ -harmonic  $\Rightarrow \hat{\varphi}$  is  $\mu_{G}$ -harmonic.

Hint. For 
$$x \in \Gamma$$
:  

$$\int_{G} \hat{\varphi}(gx) dg = \int_{K} \int_{\Gamma} \hat{\varphi}(kyx) dy dk$$

$$= \int_{K} \int_{\Gamma} \hat{\varphi}(yx) dy dk$$

$$= \int_{\Gamma} \hat{\varphi}(yx) dy$$

$$= \varphi(x)$$

$$= \hat{\varphi}(x).$$

#### This is easier than the real case!

## Vague idea of Deroin-Hurtado proof

**Theorem** (Deroin-Hurtado 2024<sup>+</sup>)

Arith subgrp  $\Gamma$  of **G** left-orderable  $\implies$  rank<sub>R</sub> **G** = 1.

Assume  $\Gamma$  is left-orderable. So  $\Gamma$  acts on  $\mathbb{R}$ .

 $G = \mathbf{G}(\mathbb{R})$  has no (nontrivial, continuous) action on  $\mathbb{R}$ : Stab<sub>*G*</sub>(*x*) is a subgroup of codimension 1.  $\rightarrow \leftarrow$ To get a contradiction, might try to show that the action of  $\Gamma$  extends to an action of *G*.

Unfortunately, it is more complicated than that.

- Assume  $\Gamma$  is left-orderable. So  $\Gamma$  acts on  $\mathbb{R}$ .
- Compactify this Γ-action: (Deroin et al. [2013, 2013, 2024<sup>+</sup>]) construct a compact set *C*, with an action of Γ, s.t. *C* is a union of Γ-inv't copies of ℝ, ("foliation") and the Γ-action has no fixed points.
- Every continuous action on a compact space has a "stationary" measure  $\mu$ : (Func Analysis)  $\gamma \mapsto \mu(\gamma A)$  is harmonic.
- Induce Γ-action on *C* to action of *G*: (classical) embed *C* in larger *Ĉ*, such that *G* acts on *Ĉ* (and same action of Γ on the subset *C*).
- Harmonic functions on Γ extend to *G*:
   ∃ stationary measure μ̂ for the *G*-action.

∃ stationary measure  $\hat{\mu}$  for the *G*-action on  $\hat{C}$ .  $g \mapsto \hat{\mu}(gA)$  is harmonic

- Hard part:  $\hat{\mu}$  is *G*-invariant:  $\hat{\mu}(gA) = \hat{\mu}(A)$ . (Assumes rank<sub>R</sub> **G** > 1)
- By a restriction process, ∃ Γ-inv't measure on *C*, and, hence, a Γ-invariant measure on (a.e.) ℝ.
- This implies  $\Gamma$  acts by translations:  $\forall \gamma, \exists c = c(\gamma) \in \mathbb{R}, \ \gamma(x) = x + c.$
- Then  $c: \Gamma \to \mathbb{R}$  is a homomorphism. So  $c(\gamma) = 0$ . (Assumes rank<sub>R</sub> **G** > 1)

• *Contradiction:* Γ-action has no fixed points.

## Proof of the hard part: $\hat{\mu}$ is *G*-invariant

Let 
$$P = \begin{bmatrix} * & * & * \\ 0 & * & * \\ 0 & 0 & * \end{bmatrix}$$
 and  $A = \begin{bmatrix} * & 0 & 0 \\ 0 & * & 0 \\ 0 & 0 & * \end{bmatrix} \subset P$ .  
For  $a \in A$ ,  $U_a^+ = \left\{ u \in G \mid a^n u a^{-n} \to 1 \\ as n \to -\infty \right\}$ .

#### **Theorem (**Furstenburg 1963)

 $\exists P$ -invariant measure  $\mu_P$ ,  $\hat{\mu} = \int_K k_* \mu_P dk$ .

**Key.**  $U_a^+ \subseteq P$  and *a* is  $\mu_P$  is  $C_G(a)$ -inv't.

 $a^{n} = \begin{bmatrix} \bullet \\ \bullet \end{bmatrix} \Rightarrow U_{a}^{+} = \begin{bmatrix} 1 & 1 & * \\ 1 & 1 & * \\ 1 \end{bmatrix} \Rightarrow \begin{bmatrix} * & * \\ * & * \\ 1 \end{bmatrix} \text{-inv't.}$  $a^{n} = \begin{bmatrix} \bullet \\ \bullet \end{bmatrix} \Rightarrow U_{a}^{+} = \begin{bmatrix} 1 & * & * \\ 1 & 1 \\ 1 \end{bmatrix} \Rightarrow \begin{bmatrix} 1 & * & * \\ * & * \end{bmatrix} \text{-inv't.}$ These centralizers generate *G*.

#### Main reference:

Bertrand Deroin and Sebastian Hurtado: Non left-orderability of lattices in higher rank semi-simple Lie groups. https://arxiv.org/abs/2008.10687

Harmonic functions extend to G:

François Ledrappier: Poisson boundaries of discrete groups of matrices. *Israel J. Math.* 50 (1985), no. 4, 319–336.

Werner Ballmann and François Ledrappier: Discretization of positive harmonic functions on Riemannian manifolds and Martin boundary. *Séminaires et Congrès*, Soc. Math. France (1996) 77–92. MR 1427756

*Compactifying an action on*  $\mathbb{R}$ *:* 

Bertrand Deroin: Almost-periodic actions on the real line. *Enseign. Math.* 59 (2013) 183–194. MR 3113604

Bertrand Deroin, Victor Kleptsyn, Andrés Navas, Kamlesh Parwani: Symmetric random walks on  $Homeo_+(\mathbb{R})$ . *Ann. Probab.* 41 (2013) 2066–2089. MR 3098067