

Introduction to flavour experiments

In memoriam of Sheldon Stone (Feb. 14, 1946 – Oct. 6, 2021)



<https://cerncourier.com/a/sheldon-stone-1946-2021/>

ICTS 2022 Bengaluru (India), April 2022

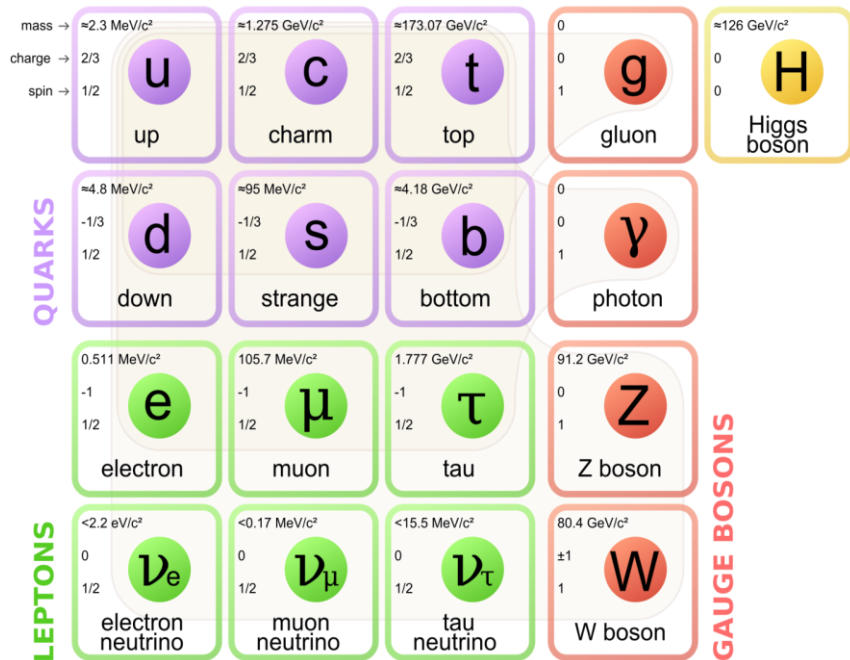
Arantza.Oyanguren@ific.uv.es

Outline

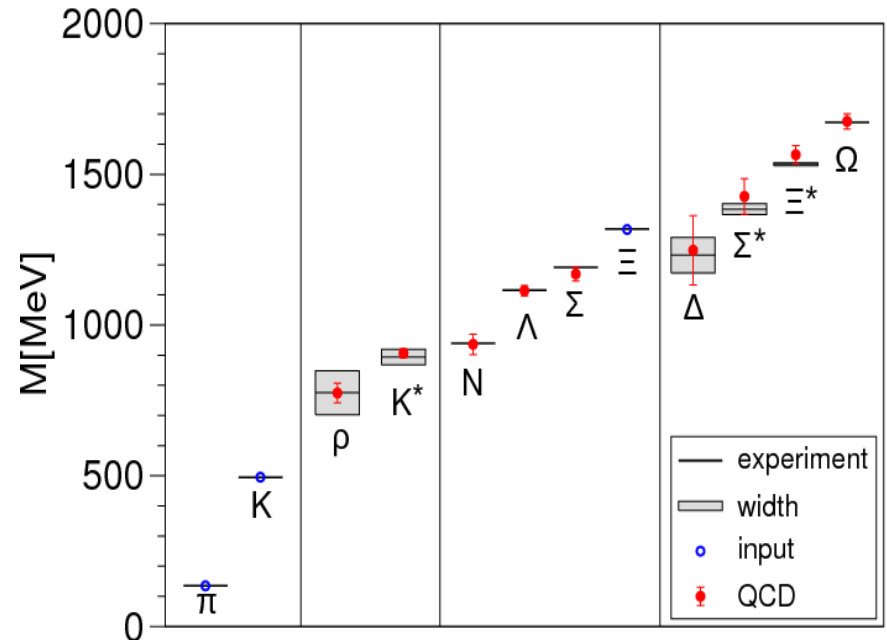
- Lesson 1: Introduction to flavour physics
- Lesson 2: The CKM matrix
- Lesson 3: Rare decays of heavy hadrons
- Lesson 4: Mixing and CP violation

Introduction

The Standard Model of Particle Physics:



+ antiparticles (ex: $e^- \leftrightarrow e^+$)



Flavour Physics: study of the transitions between different types of particles (**quarks** and leptons), governed by the weak interaction (Z,W)

Introduction

The Standard Model of Particle Physics:

Free parameters:

3 gauge couplings: α_{em} , α_{weak} , α_{strong}

2 Higgs parameters m_H , v

6 quark masses

3 quark mixing angles + 1 phase (CKM matrix)

3 (+3) lepton masses

(3 lepton mixing angles + 1 phase) (PMNS matrix)

→ Related to flavour

To be measured by experiments !

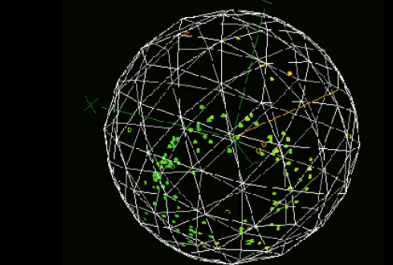
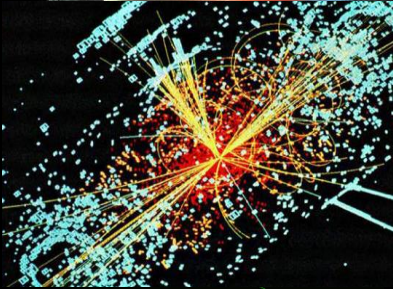
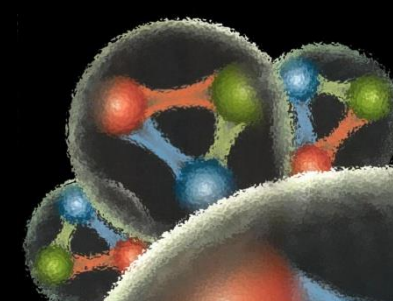
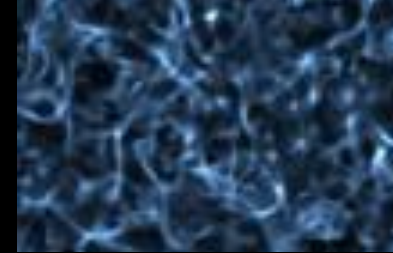
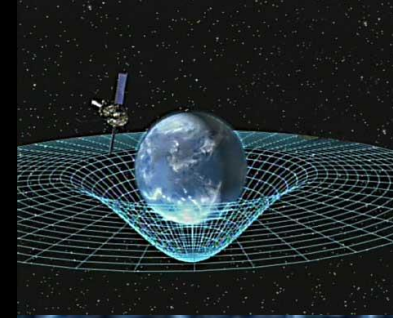
() = with Dirac neutrino masses

Introduction

Unknown in the Standard Model:

- Quantum Theory of Gravity
- Inflation
- **Quark/lepton generation masses: compositeness?**
Substructure? Strings?
Common sub-elements quarks and leptons?
Why three families?
- **Matter-Antimatter asymmetry**
CPV in SM (K, B) + Big Bang ?
- Cosmological constant (dark energy ...)
- Dark matter
- **EW symmetry breaking, Higgs? Forces Unification?**
- **Neutrinos (mass?, hierarchy?...)**

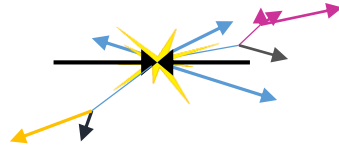
Many of them are related to flavour!



Introduction

Looking for New Physics...

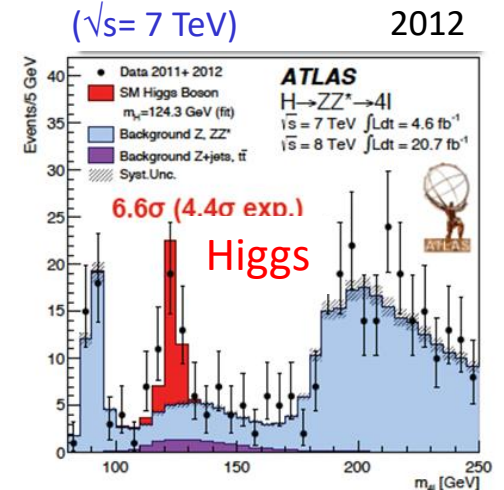
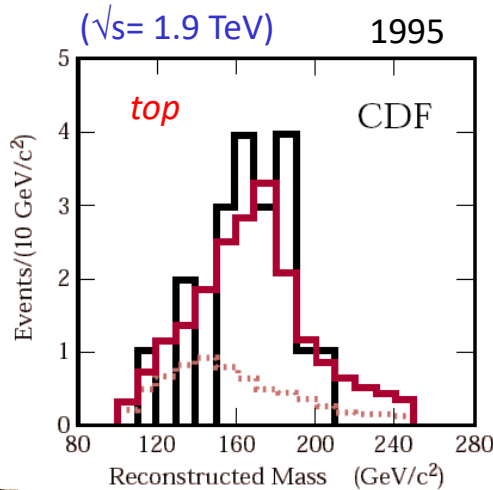
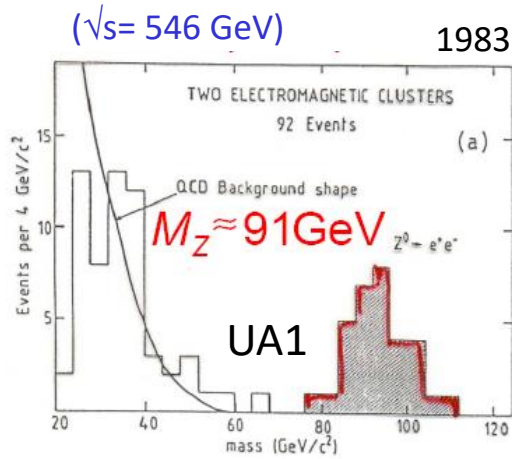
Direct searches:



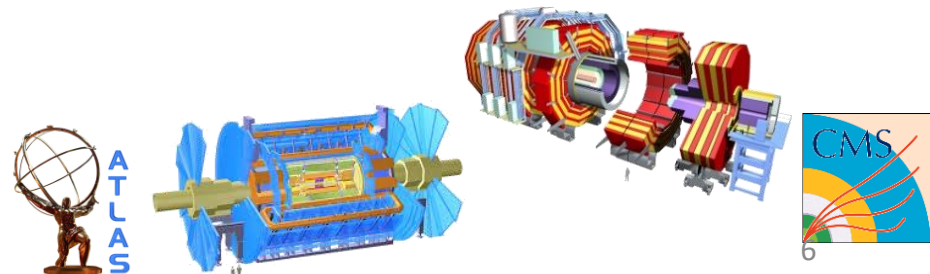
$$E^2 = M^2 c^4 + p^2 c^2$$

High energy

→ particles created *on-shell*: Evidence in mass plots



Higgs discovery, 2012



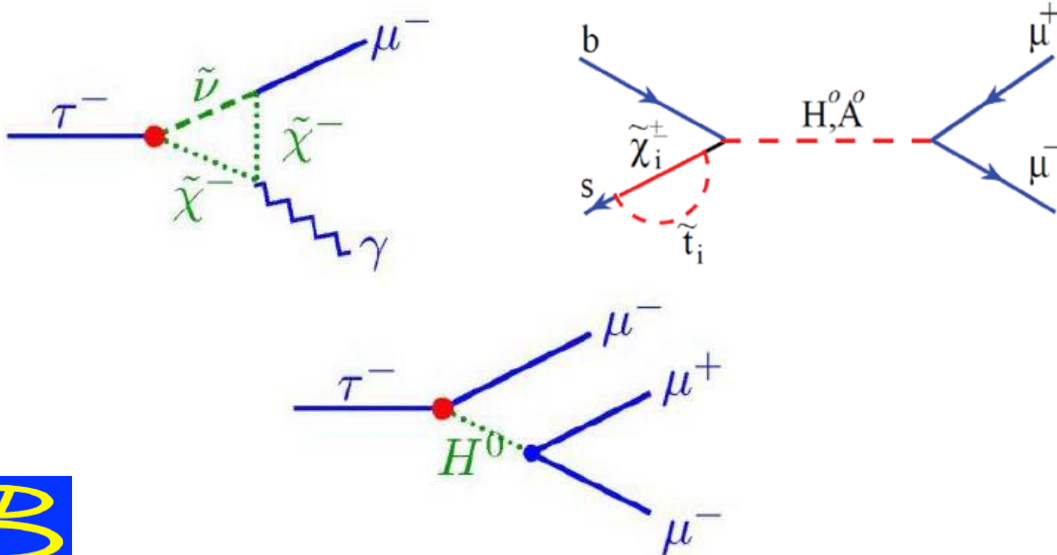
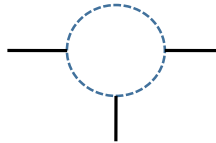
Introduction

Looking for New Physics...

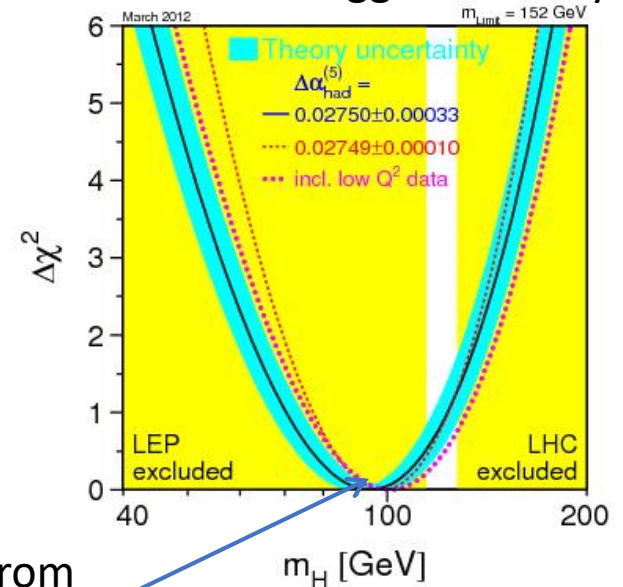
Indirect searches:

High precision

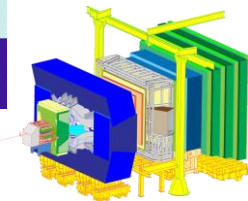
→ particles created *off-Shell*: Evidence in quantum effects (loops)
(BR's, asymmetries...)



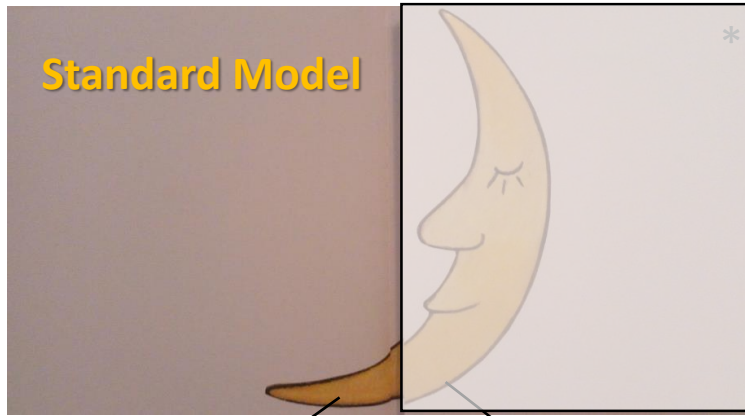
Before the Higgs Discovery...



Predicted from
electroweak
measurements



Introduction



What we see

What we think it is

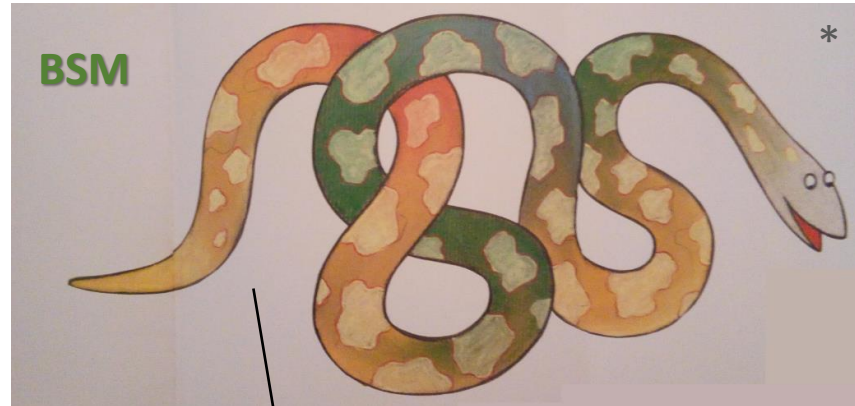
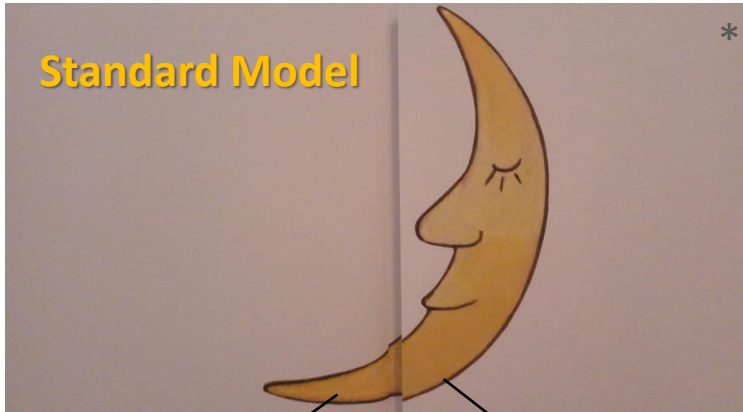
What it is in reality

It can be tested by studying quantum effects:



Number of particles produced, angular distributions, origin point in the detector...

Introduction

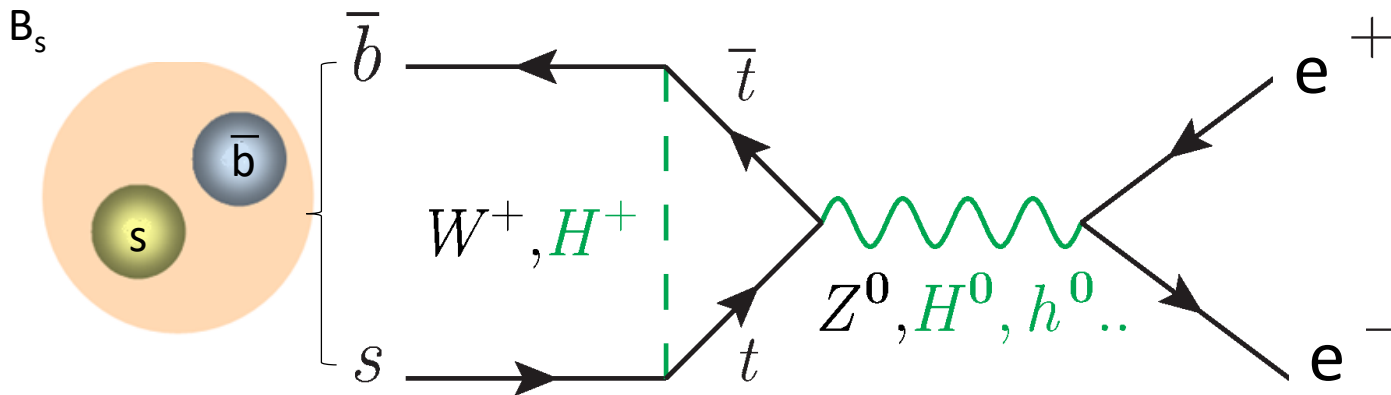


What we see

What we think it is

What it is

It can be tested by studying quantum effects:



Number of particles produced, angular distributions, origin point in the detector...

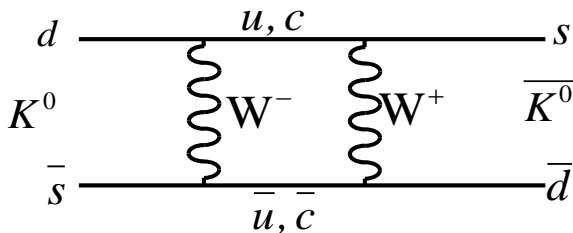
New Particles

Introduction

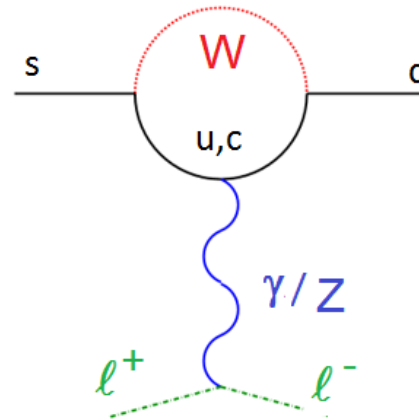
- The GIM mechanism:

In 1970's Glashow, Iliopoulos and Maini described the mechanism by which flavour-changing neutral currents (FCNCs) were suppressed, and predicted the existence of the c quark

- Gaillard, Lee and Rosner :
 $m_c \sim 1.5$ GeV from kaon mixing



$$\Delta m_K = \frac{G_F^2}{4\pi} m_K f_K^2 m_c^2 \cos^2 \theta_c \sin^2 \theta_c$$



- 1974 c quark discovered (B. Richter at SLAC and S. Ting at BNL)

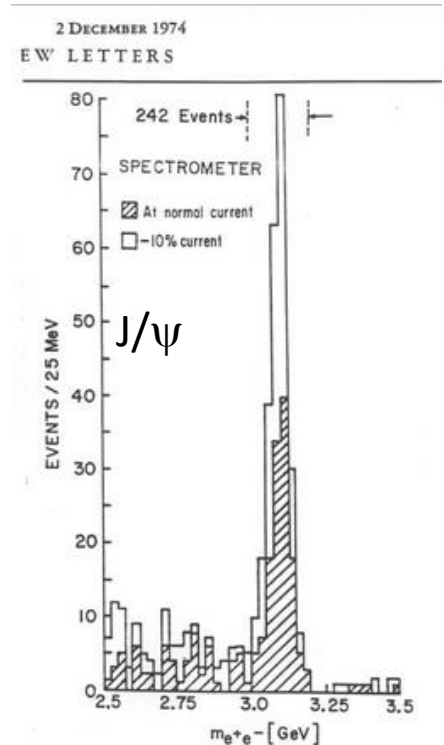
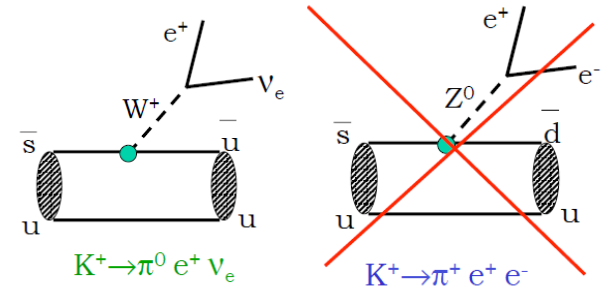
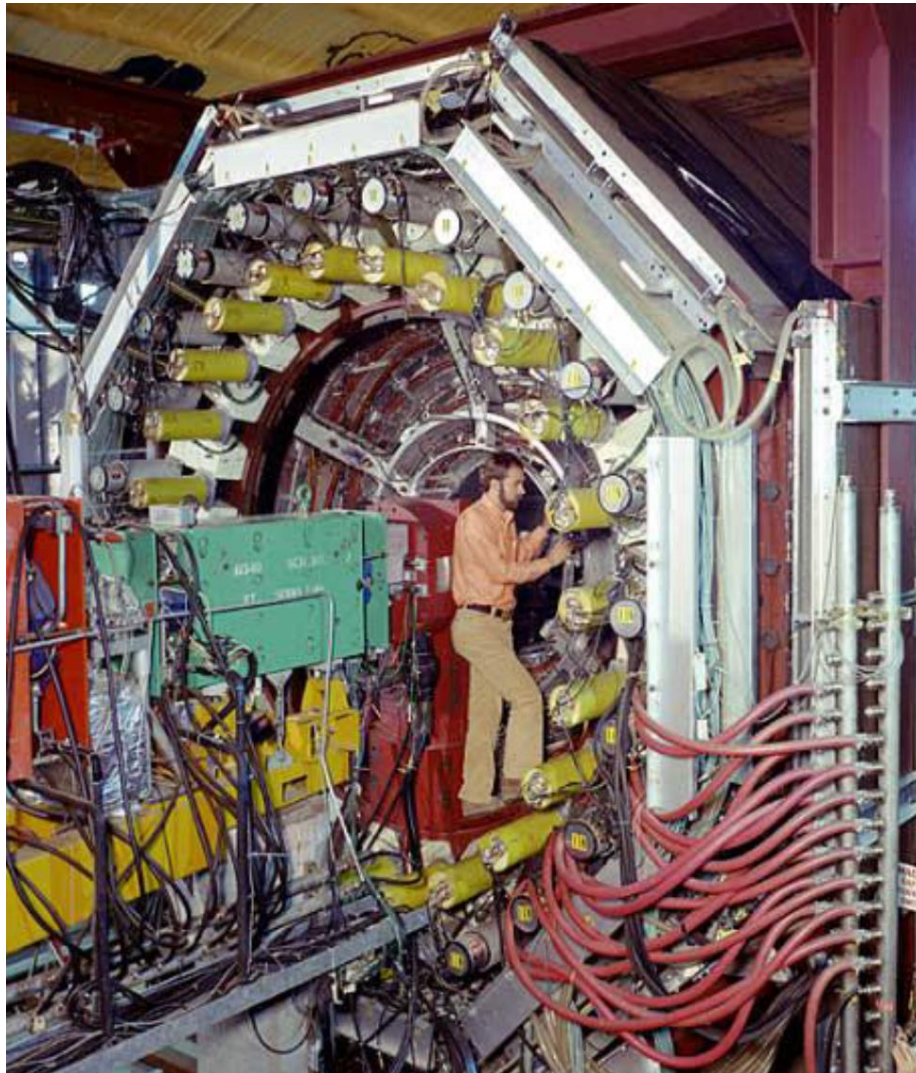
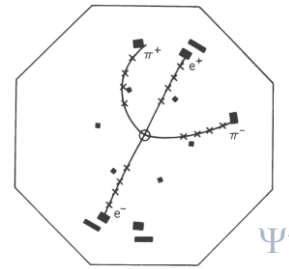


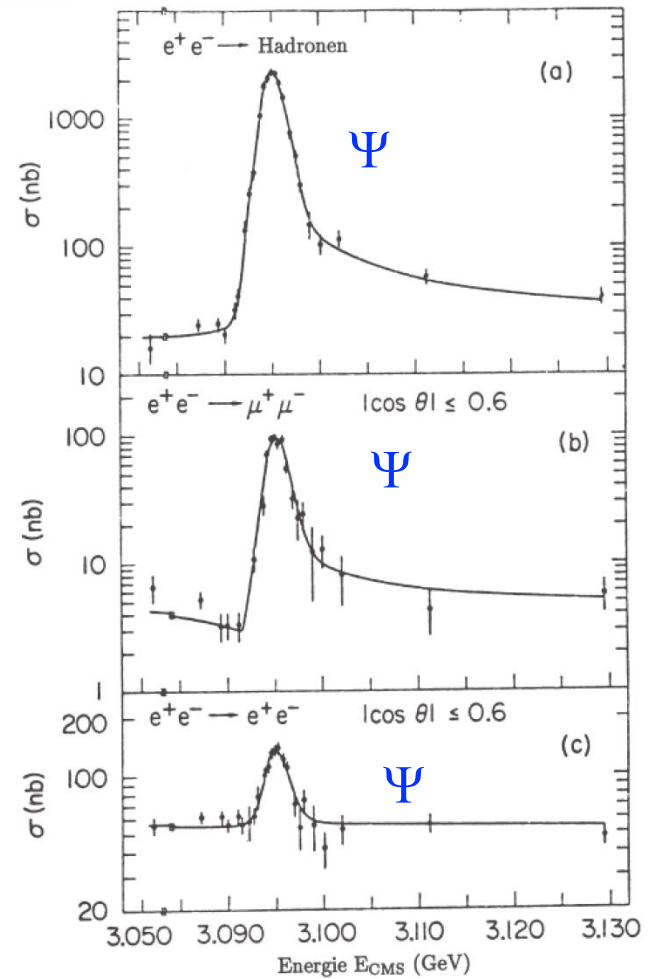
FIG. 2. Mass spectrum showing the existence of J/ψ . Results from two spectrometer settings are plotted showing that the peak is independent of spectrometer currents. The run at reduced current was taken two months later than the normal run.

Introduction

The MARK 1 detector at the e^+e^- storage ring SPEAR (1973-1976) [SLAC-LBL]



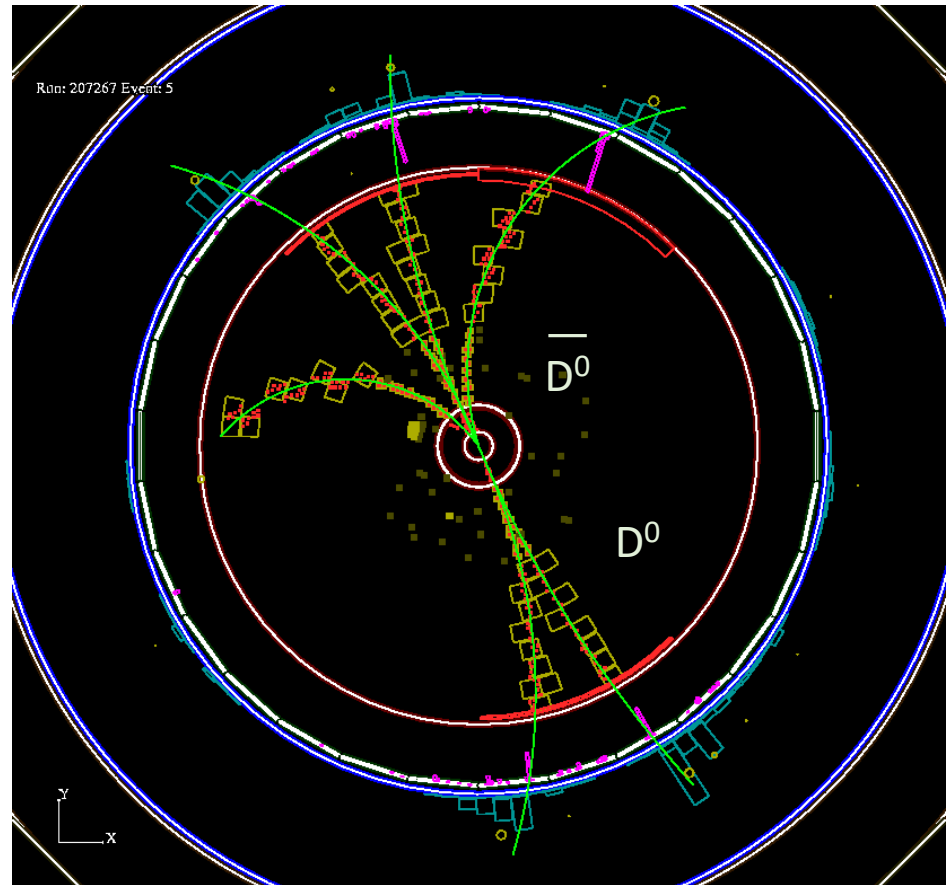
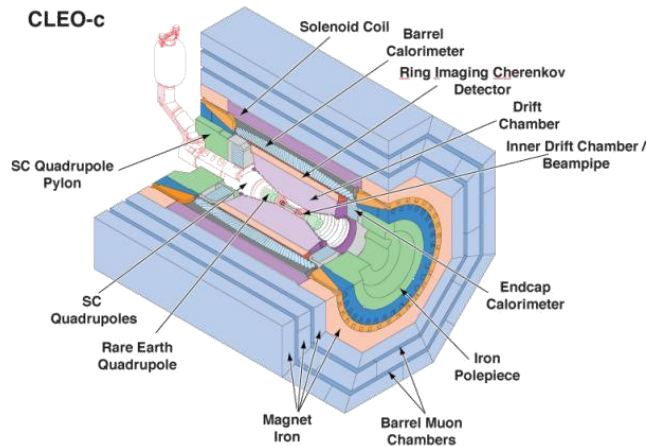
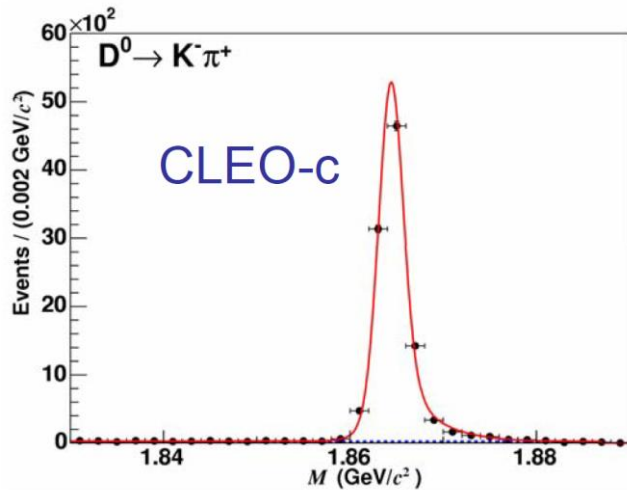
$M_{J/\Psi} [c\bar{c}] \sim 3097 \text{ MeV}$



Introduction

Charm physics has been studied in e^+e^- experiments working at the $\Psi(3770)$ resonance (charm threshold: production of $D\bar{D}$ mesons)

Ex: CLEO-c at CESR and BES III at BEPC



$\psi(3770)$

Introduction

- The CKM mechanism:



Cabibbo



Kobayashi



Maskawa

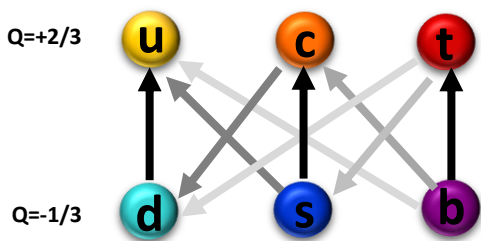


2008

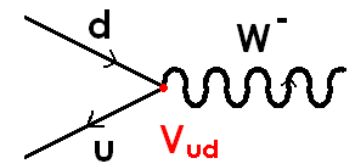
$$V_{CKM} = \begin{pmatrix} & d & s & b \\ u & \blacksquare & \blacksquare & \cdot \\ c & \blacksquare & \blacksquare & \blacksquare \\ t & \cdot & \blacksquare & \blacksquare \end{pmatrix}$$

Introduction

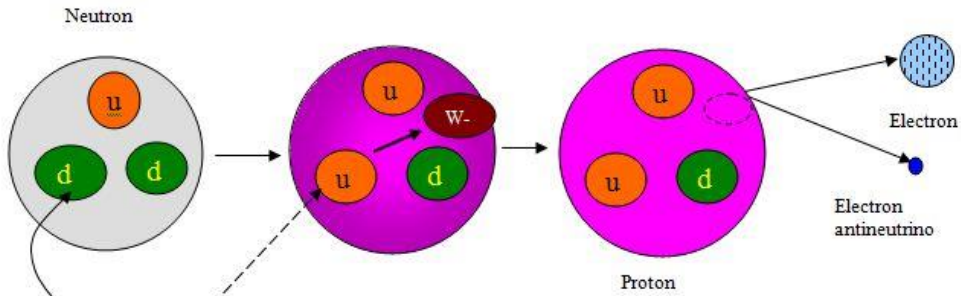
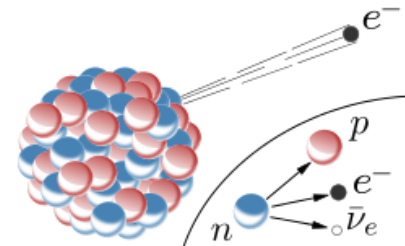
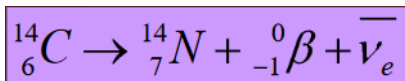
- In the Standard Model of Particle Physics, transitions between different quarks are governed by the CKM mechanism:



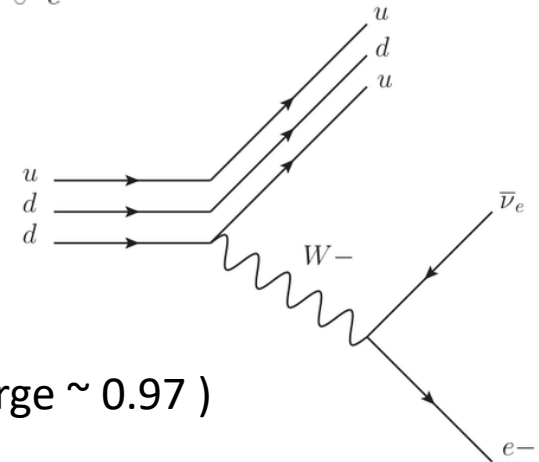
$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



Example: β -decay (very well known)



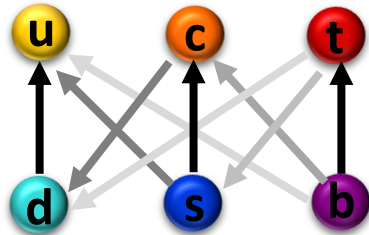
This down quark turns into an up quark by emitting a W⁻ boson



(V_{ud} is large ~ 0.97)

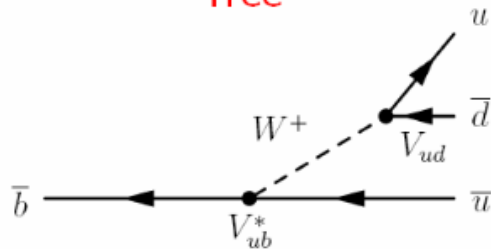
Introduction

Q=+2/3
Q=-1/3

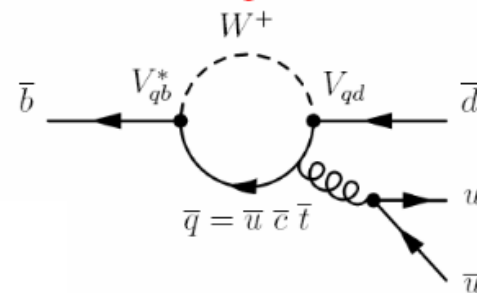


$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \approx \begin{bmatrix} 0.974 & 0.225 & 0.003 \\ 0.225 & 0.973 & 0.041 \\ 0.009 & 0.040 & 0.999 \end{bmatrix},$$

Tree



Penguin



- Transitions between the same family are favoured
- Some of the processes are rare (ex: V_{ub})
- Need to change charge: FCNC not allowed at tree level, need to proceed via loop diagrams (CKM suppressed)
- Matrix elements have to be determined by experiments
- Transition probabilities can be thus calculated in this framework
- If a transition occurs with larger probability than expected
→ **New Particle** (i.e. New Physics)

Introduction

In summary:

- We understand that the Standard Model cannot be the ultimate theory

It should be a low-energy effective theory of a more fundamental theory at a higher energy scale (TeV range or even higher)

→ it could happen than one cannot access it by direct searches at LHC

- New Physics requires to keep the predictions from the SM unaltered, since they are quite successful (hundreds of observables!)
- Flavour mechanism in the SM:
 - provide the suppression mechanism for FCNC processes already observed
 - In the recent years several **anomalies** in different observables have been found
 - we need to measure the flavour structure to distinguish between possible new physics scenarios
- The physics performed at LHCb and Belle II (flavour physics) goes hand-in-hand with direct searches (ATLAS and CMS) but have larger range of accessibility to new physics

Introduction

Why b -hadrons ?

- The b -quark is the heaviest quark forming hadronic bound states ($m \sim 4.7$ GeV)
- Must decay outside the 3rd family
 - Long lifetime (~ 1.6 ps)
 - Many accessible decay channels (small BR's)

Good for experimentalists!



- Type of processes:



Dominant: $b \rightarrow c$ (favoured) and $b \rightarrow u$ (suppressed)



Rare: Flavour Changing Neutral Current (FCNC): $b \rightarrow s, d$



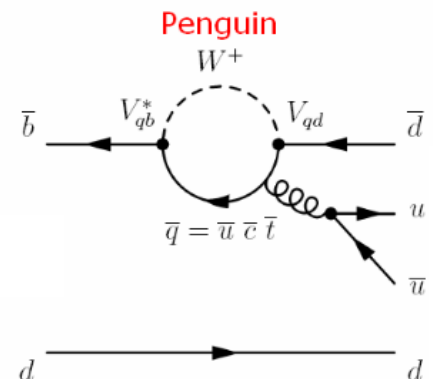
Flavour oscillations and CP violation

THAT'S RIGHT.



Ideal place to probe New Physics effects!

Good for theorists!



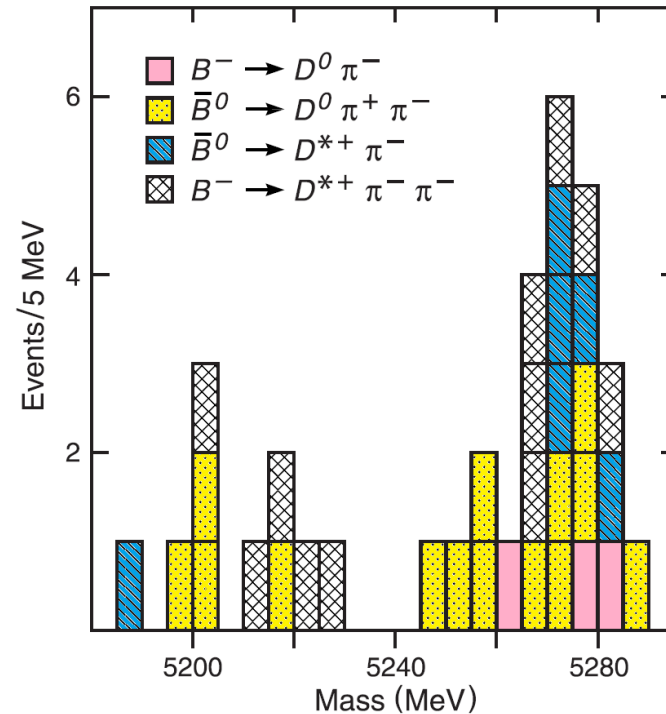
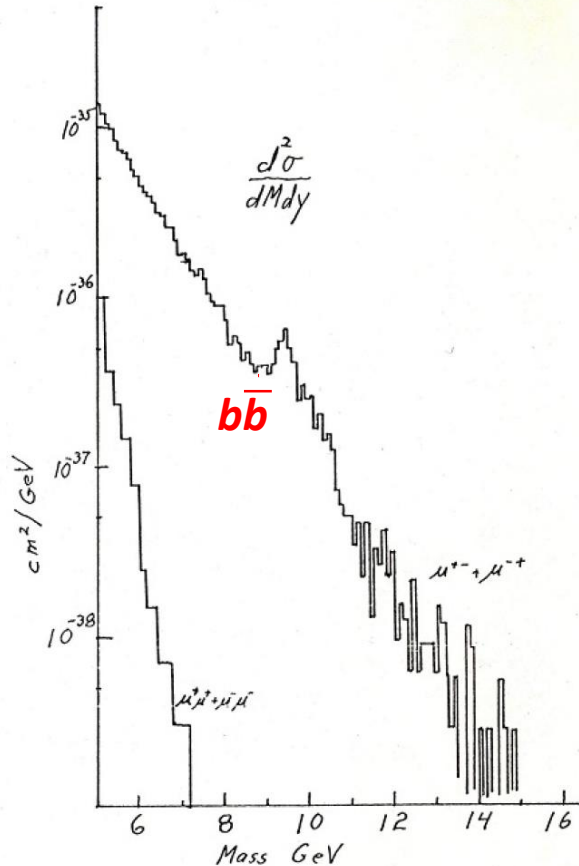
Introduction

☆ The b quark was discovered by the E288 experiment at Fermilab (fixed target p (400 GeV) + Be):

Phys.Rev.Lett. 39 (1977) 252-255

Y(1S) resonance $m \sim 9.5$ GeV

☆ The first measurements of B meson decays were performed by the CLEO experiment at CESR (e^+e^- collider ring) *Phys.Rev.Lett.* 50 (1983) 881
 B mesons were coming from decays of the Y(4S)



$m[\bar{B}^0] = 5274.2 \pm 1.9 \pm 2.0$ MeV

$m[B^-] = 5270.8 \pm 2.3 \pm 2.0$ MeV

Introduction

Some basic definitions:

E_{CM} = center-of-mass energy. Available energy for particle creation

$$\text{Fixed target experiment: } E_{cm}^2 = (m_1^2 + m_2^2 + 2m_2 E_{1,\text{lab}})$$

$$\text{Collider: } E_{cm}^2 = (E_1 + E_2)^2$$

Luminosity = a measurement of the number of collisions that can be produced in a detector per cm^2 and per second

$$\mathcal{L} = f \frac{n_1 n_2}{4\pi \sigma_x \sigma_y} \quad [\text{cm}^{-2}\text{s}^{-1}]$$

n_1, n_2 : the number of particles per bunch

σ_x, σ_y : beam transverse size at the interaction point

f : collision rate

Rate $R = \mathcal{L} \sigma \quad [\text{s}^{-1}]$

σ : cross section of the physics process

[“barn” – $1 \text{ b} = 10^{-24} \text{ cm}^2$]

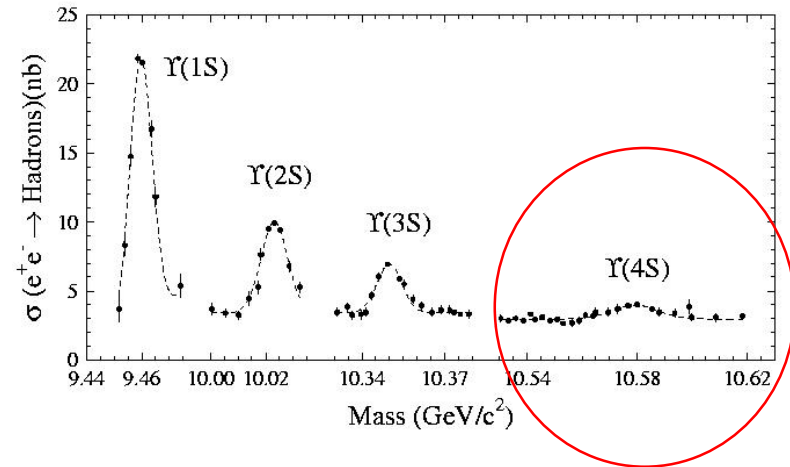
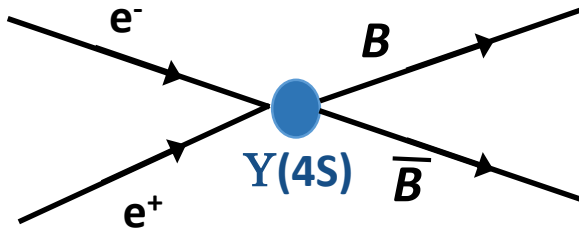
Ex: for $\mathcal{L} = 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ and $\sigma = 1 \text{ nb} \rightarrow R = 10 \text{ Hz}$

Introduction

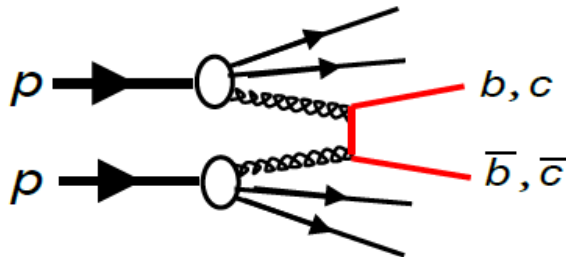
CLEO, ARGUS,
BaBar, BELLE (II)

How b -hadrons are produced?

- ▶ From the decay of the $\Upsilon(4S)$ resonance, produced in e^+e^- collisions:

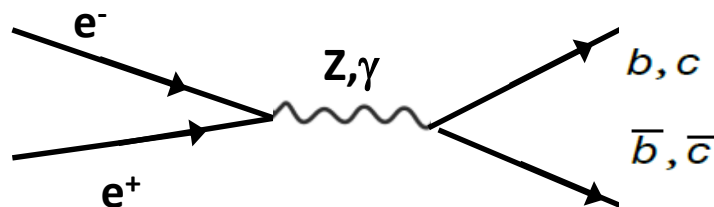


- ▶ From the hadronization of b quarks produced in p - p (or antiproton) collisions:

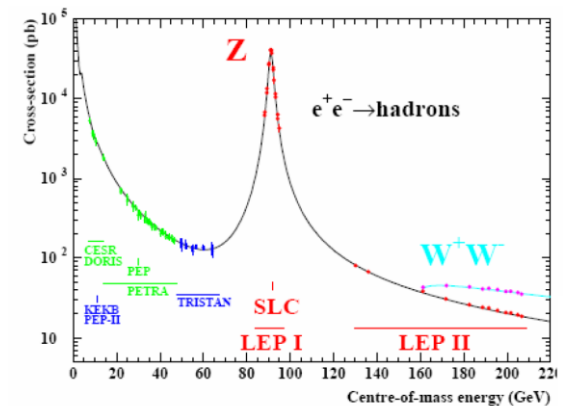


CDF, D0
LHCb, ATLAS, CMS

- ▶ From the decay of the Z resonance, produced in e^+e^- collisions:



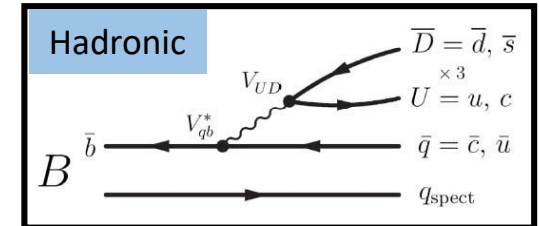
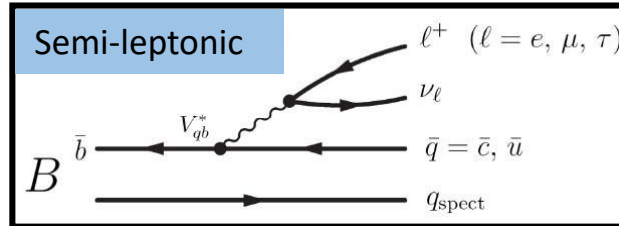
DELPHI, L3,
ALEPH, OPAL
SLD



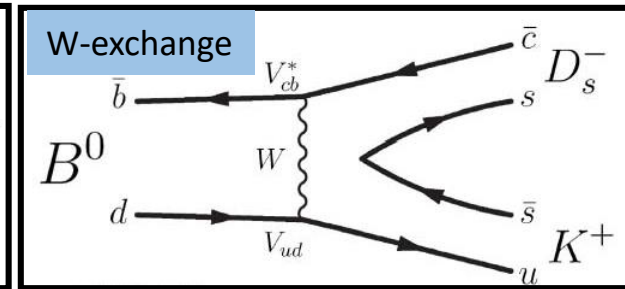
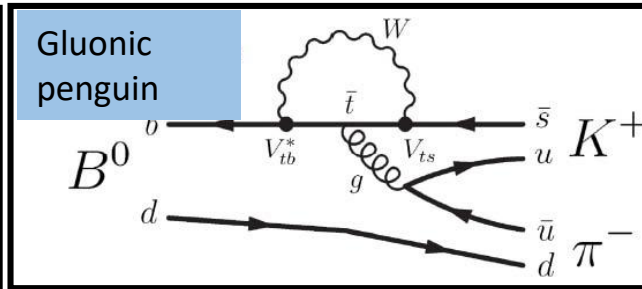
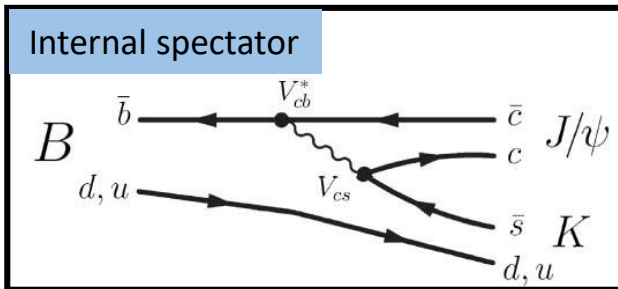
Introduction

How b-hadrons decay?

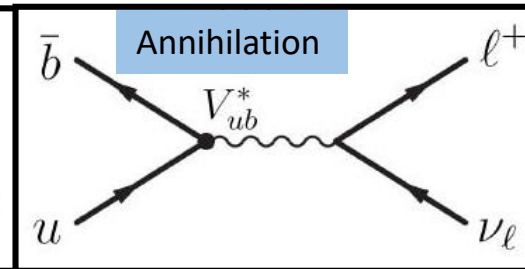
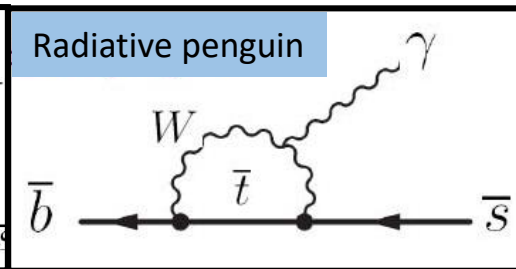
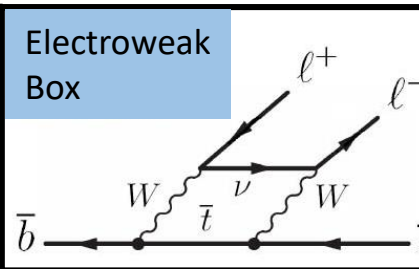
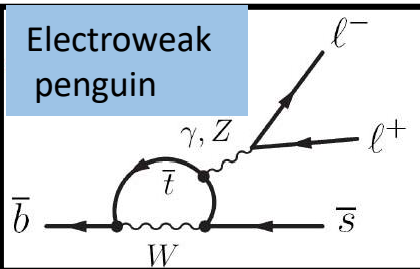
Dominant tree decays:



Rare hadronic decays

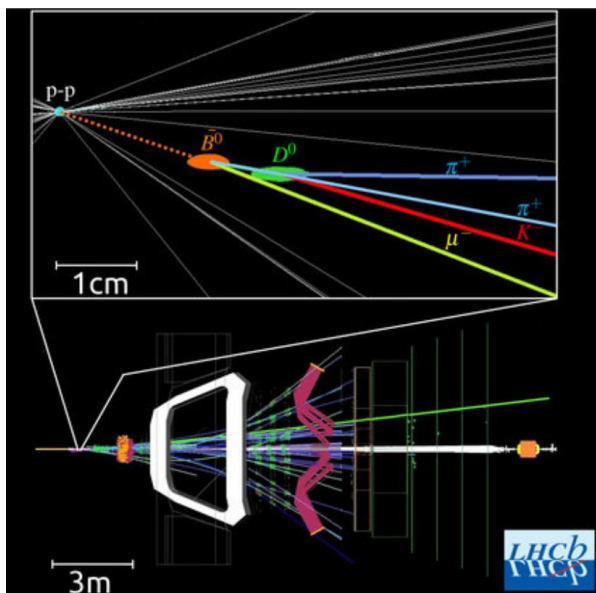
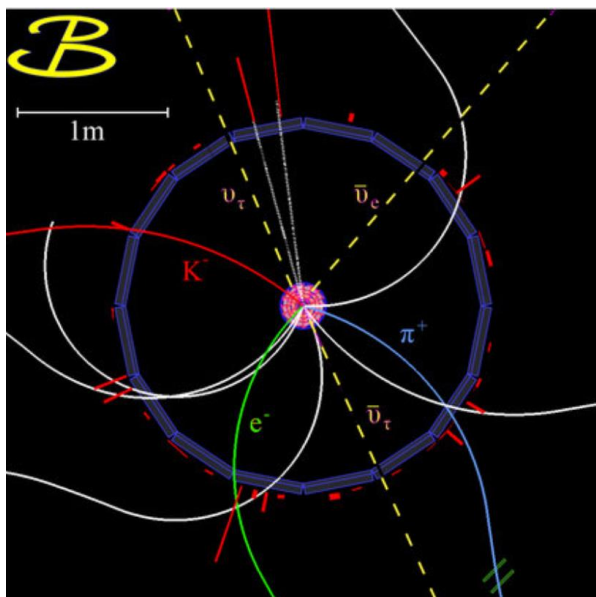


Radiative and leptonic decays

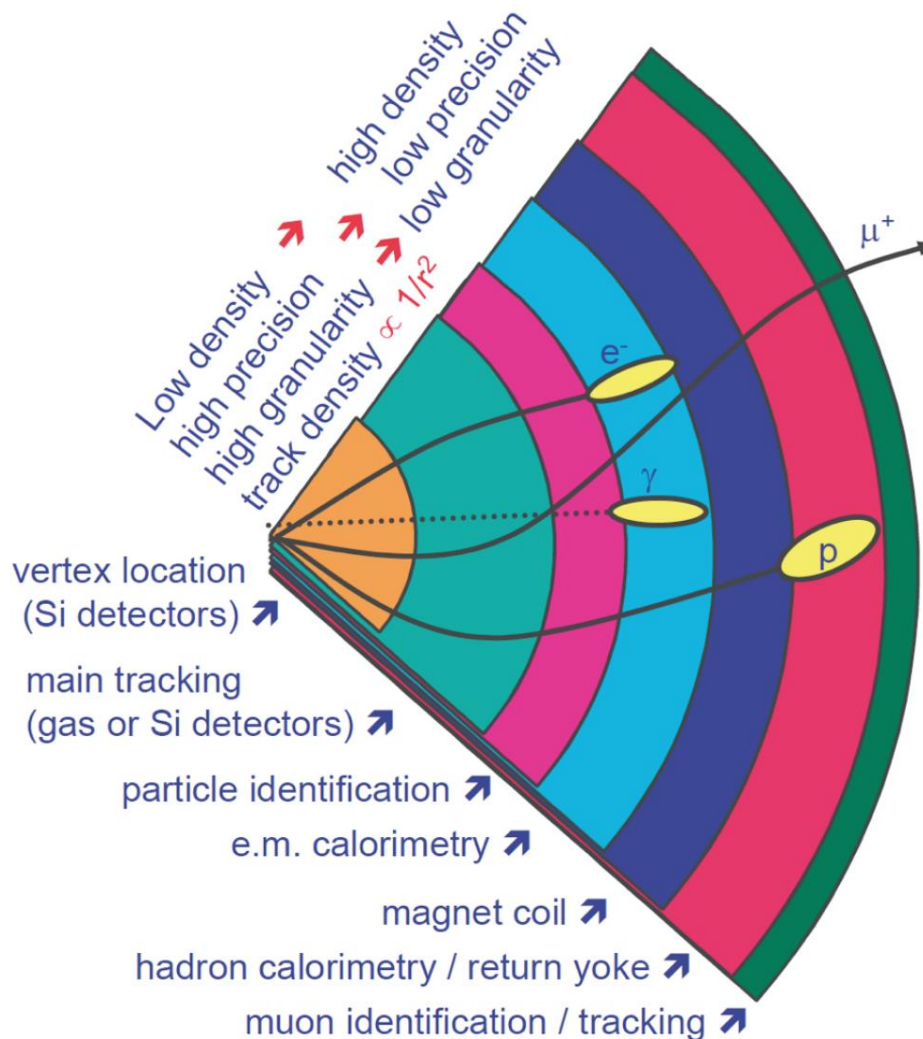


How we detect them?

Introduction



Typical structure of a HEP detector:



(1999 - 2008 / 2010)

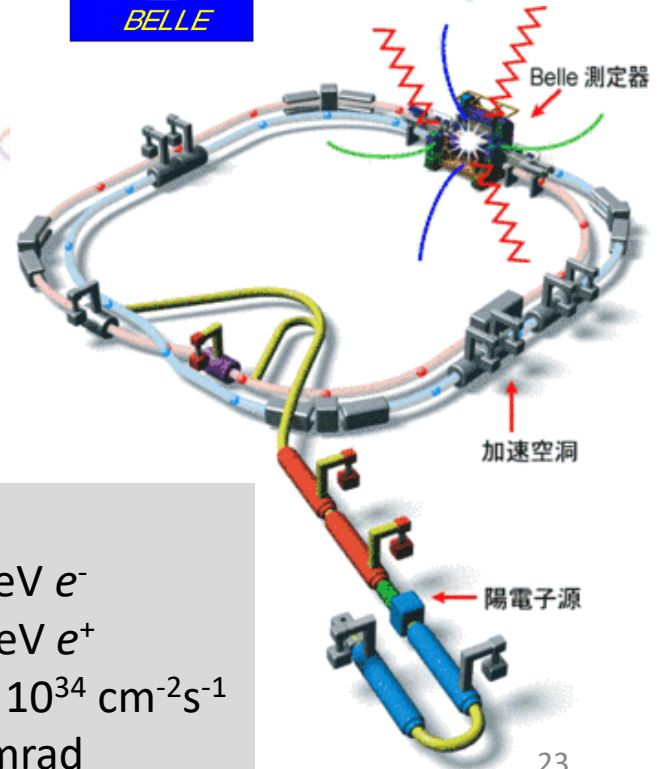
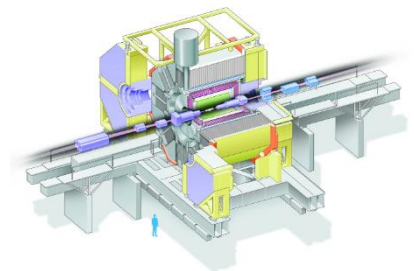
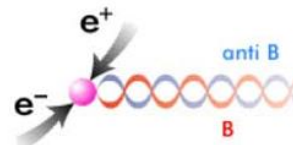
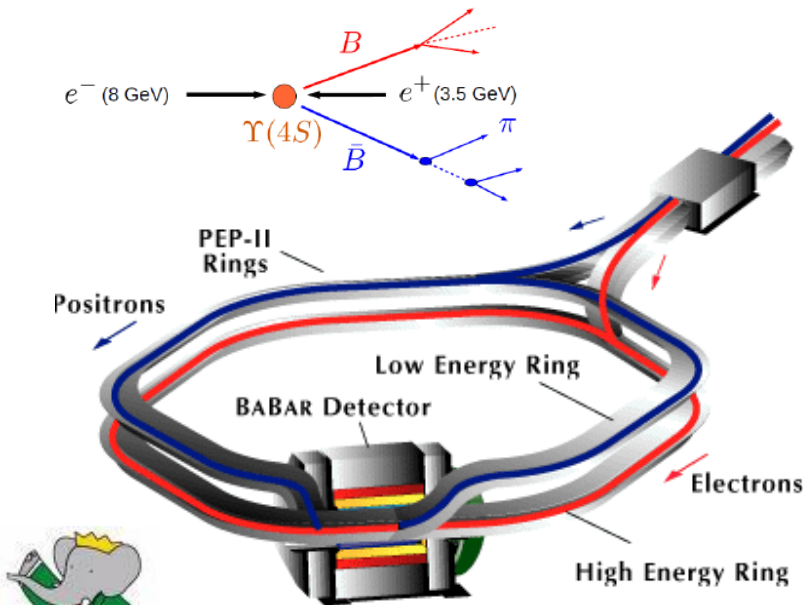
The flavour experiments

- * First measurement of CPV in the B system
- * High precision CKM matrix
- * Discovery of η_b

The precessors, key in flavour physics:

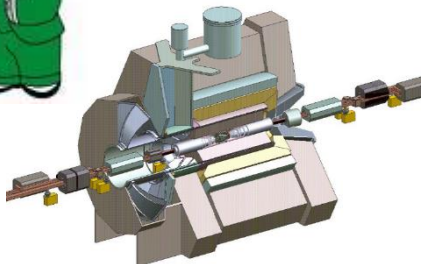
The b-factories: Belle at KEK (Japan) and BaBar at PEP-II (California)

Asymmetric e^+e^- colliders working at the $\Upsilon(4S)$ energy (10.54 GeV).



PEP-II / KEK-B

High Energy Ring : 9.0 / 8.0 GeV e^-
 Low Energy Ring : 3.1 / 3.5 GeV e^+
 Design luminosity : $3 \times 10^{33} / 10^{34} \text{ cm}^{-2}\text{s}^{-1}$
 Beam crossing angle : 0 / 22 mrad



The flavour experiments

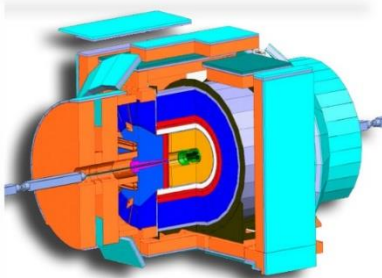
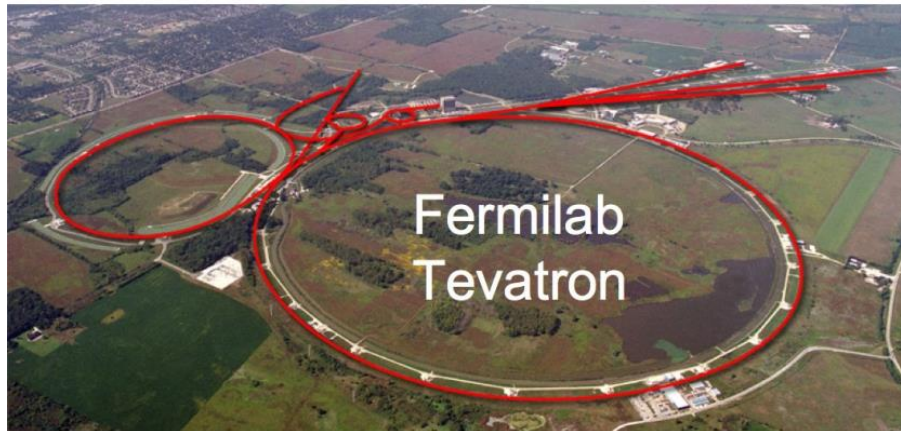
(1987- 2011)

The precessors, key in flavour physics:

The Tevatron at Fermilab (Illinois): CDF and D0

$p\bar{p}$ collider working at cm of mass energy of 1.96 TeV.

- * Discovery of the top quark
- * First measurement of B_s oscillations
- * Discovery of the Ξ_b baryon



TEVATRON

Superconducting $p\bar{p}$ ring

Energy : 1 TeV/beam

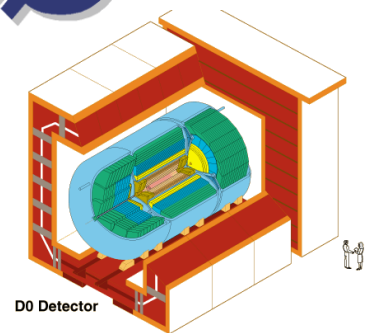
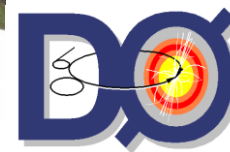
Detectors: CDF, D0

Luminosity: $10^{32} \text{ cm}^{-2}\text{s}^{-1}$

Physics: W, Z, Top Production

Higgs searches

B physics



The flavour experiments

(1989-2000)

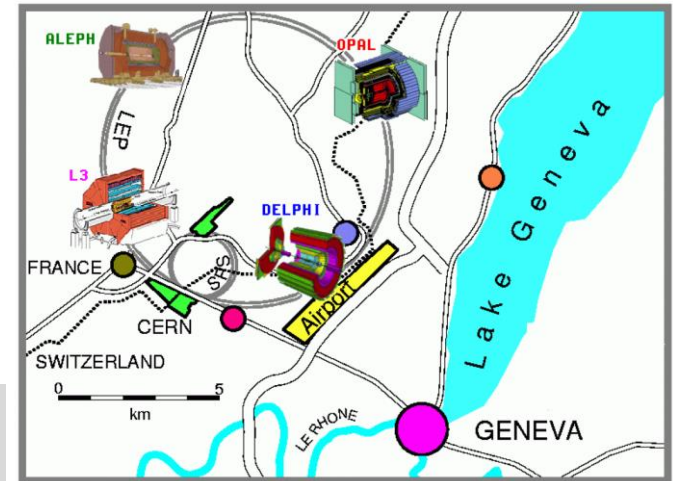
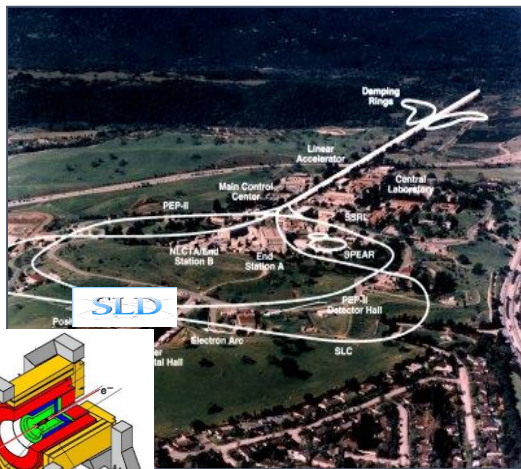
The precessors, key in flavour physics:

The SLC (California): SLD

The LEP (CERN): ALEPH, DELPHI, L3 and OPAL

e^+e^- colliders working at cm of mass energy of the Z

- * R_b, R_c
- * b-hadron lifetimes
- * B oscillations
- * The CKM matrix
- * Discovery of B_s and Λ_b



SLC / LEP

e^+e^- linear collider / ring

Energy : $\sim 50 / 45 - 104$ GeV /beam

Detectors: SLD / ALEPH, DELPHI,
L3, OPAL

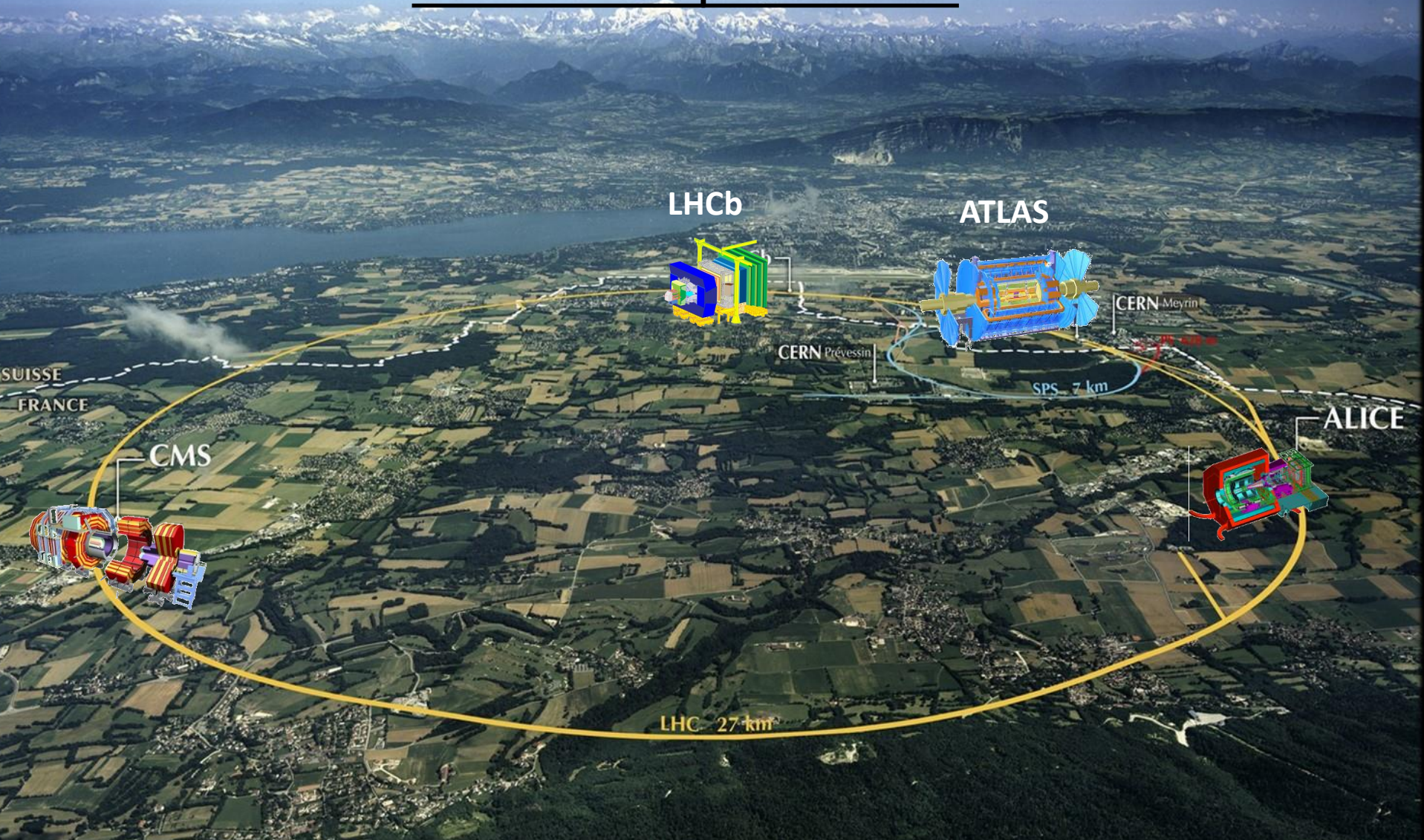
Luminosity: $2 / \sim 20 \times 10^{30} \text{ cm}^{-2}\text{s}^{-1}$

Physics: Z / W, Z

B physics

Higgs searches

The LHC experiments



LHC: the proton-proton collider at CERN with an energy of 13TeV

The LHCb experiment



The LHCb experiment

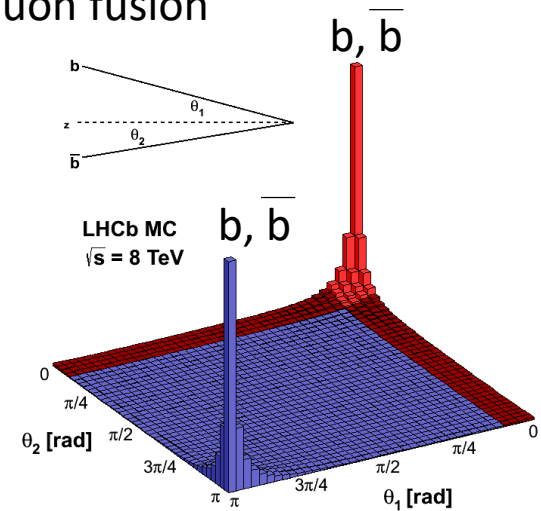
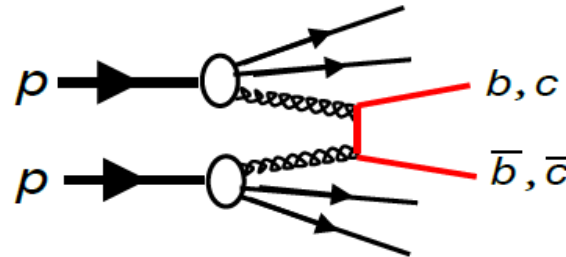
- The $b\bar{b}$ cross section in pp collisions is large, mainly from gluon fusion

~ 300 μb @ $\sqrt{s}=7$ TeV

~ 600 μb @ $\sqrt{s}=13$ TeV

[PRL 118 (2017) 052002]

[JHEP 02 (2021) 023]



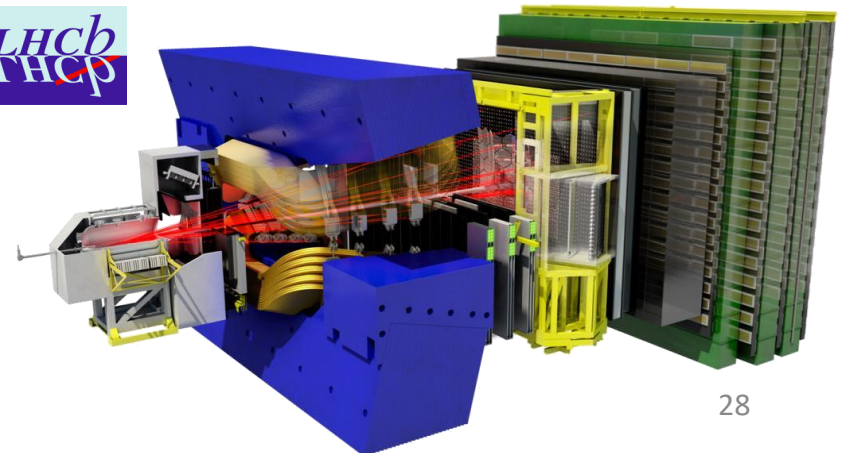
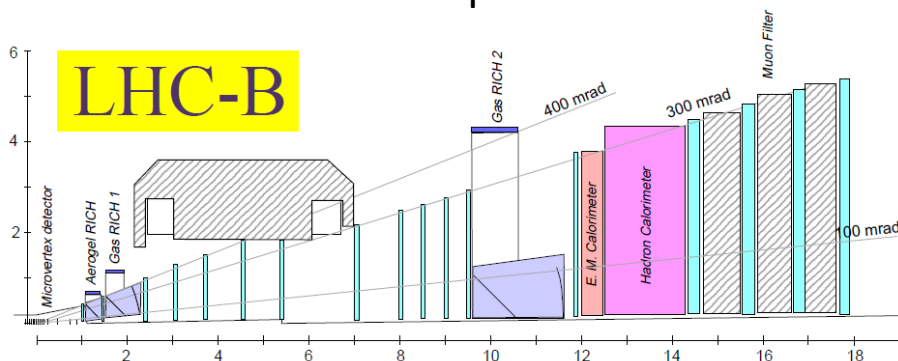
The b quarks hadronize in $B, B_s, B^*_{(s)}$, b -baryons...

→ average B meson momentum ~ 80 GeV

- The LHCb idea: to build a single-arm forward spectrometer:

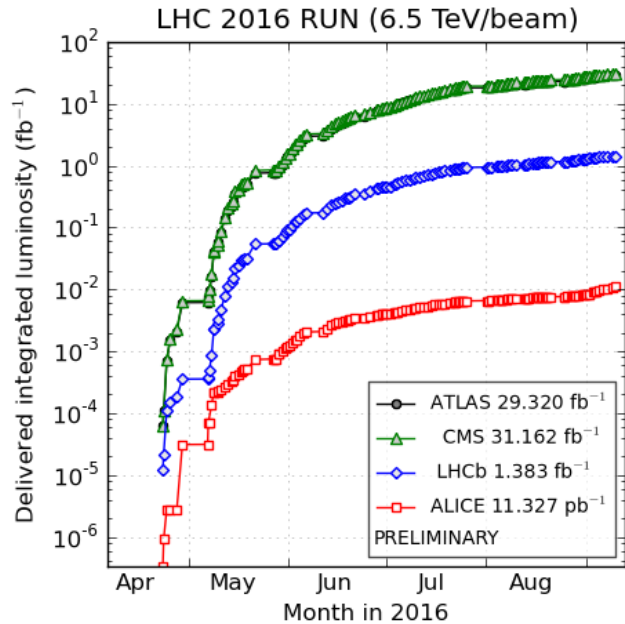
~ 4% of the solid angle ($2 < \eta < 5$),

~30% of the b hadron production

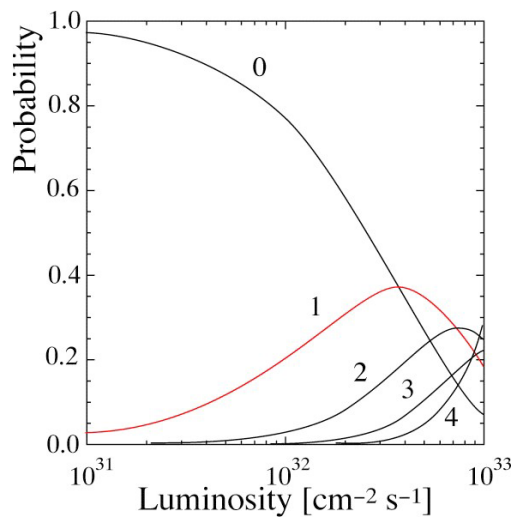
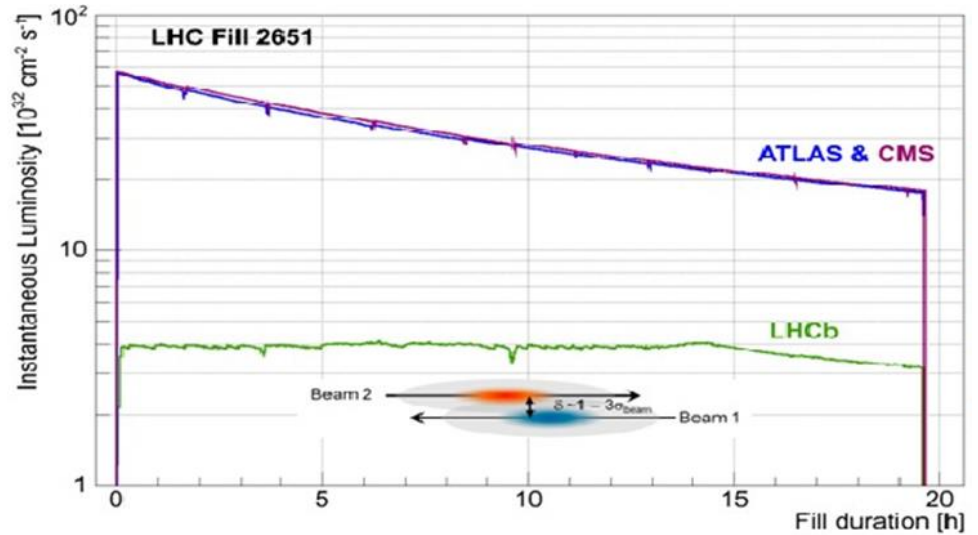


$$N = \int \mathcal{L} \sigma$$

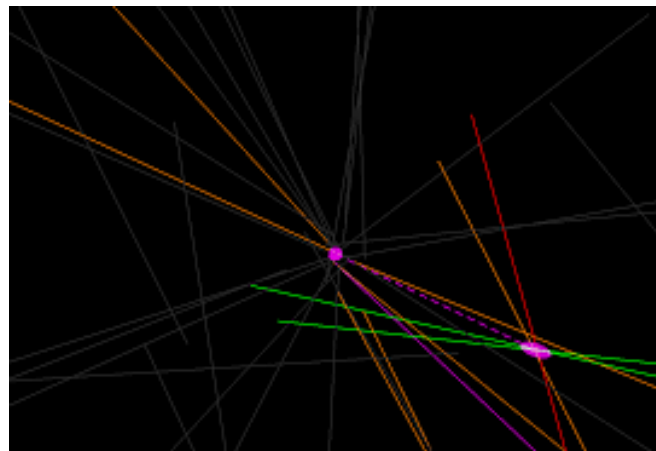
The LHCb experiment



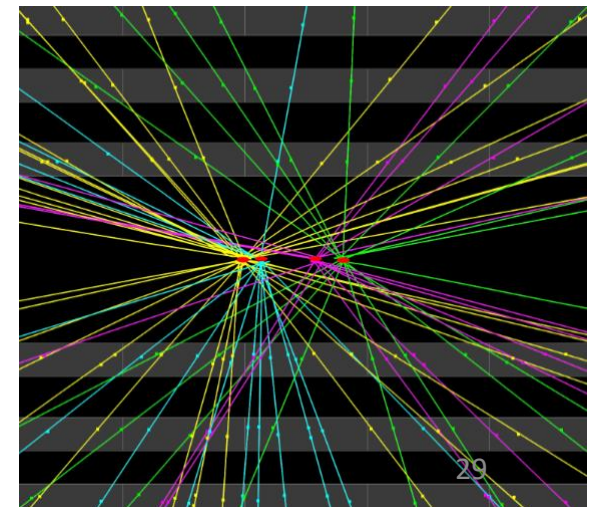
(2016-09-11 11:06 including fill 5288; scripts by C. Barschel)



LHCb



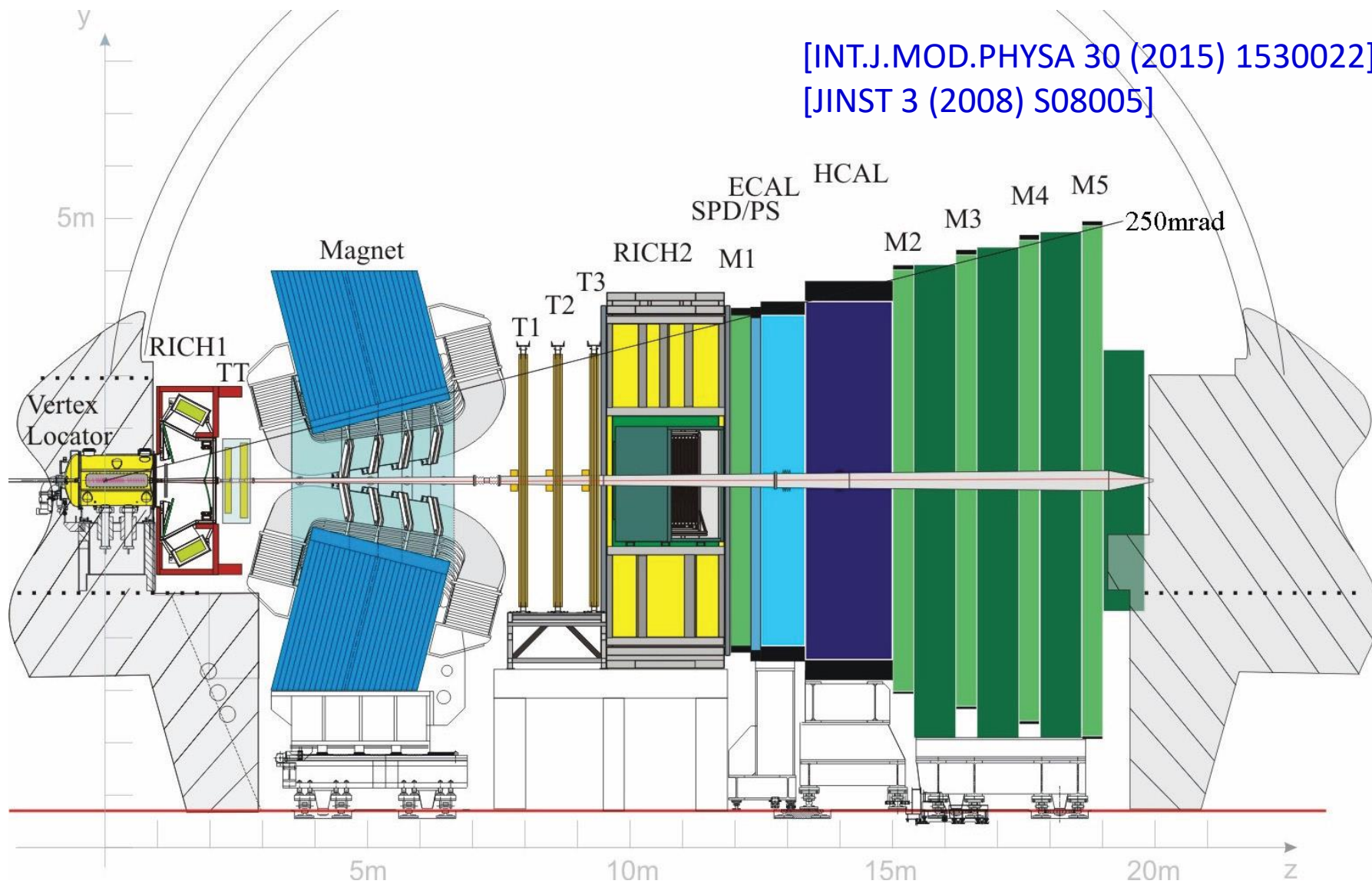
ATLAS, CMS



The LHCb experiment

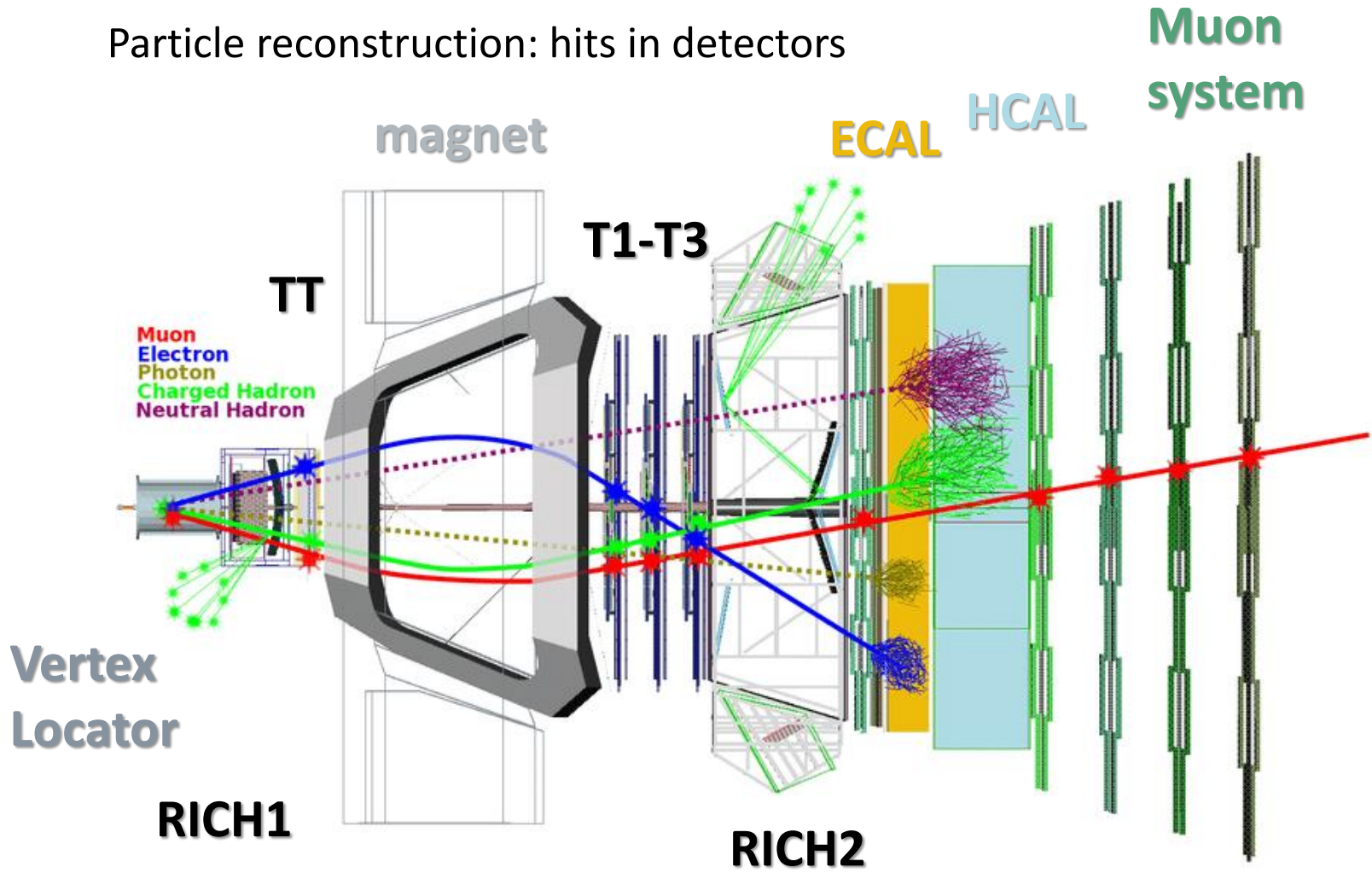
[INT.J.MOD.PHYS A 30 (2015) 1530022]

[JINST 3 (2008) S08005]



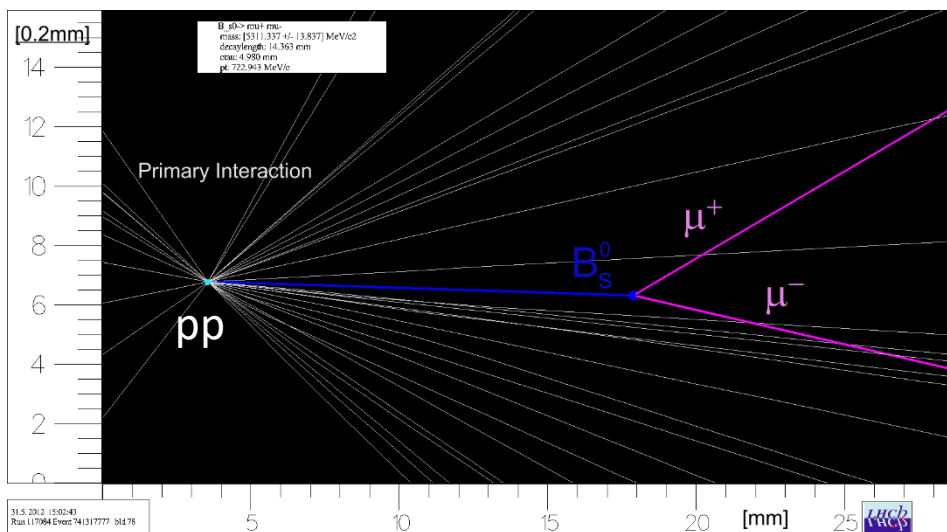
The LHCb experiment

Particle reconstruction: hits in detectors

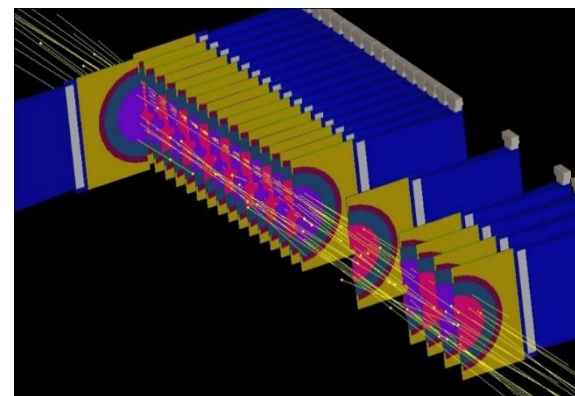
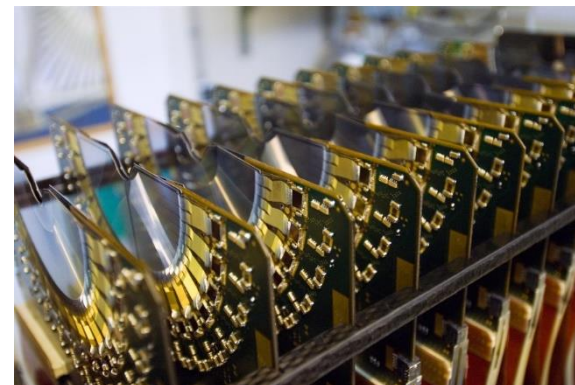


The LHCb experiment

What do we need?



Vertex detector (VELO)



- To reconstruct the production and decay vertices
 - Good decay vertex resolution
 - Good impact parameter resolution
- To reconstruct the particle trajectory
 - Good momentum resolution



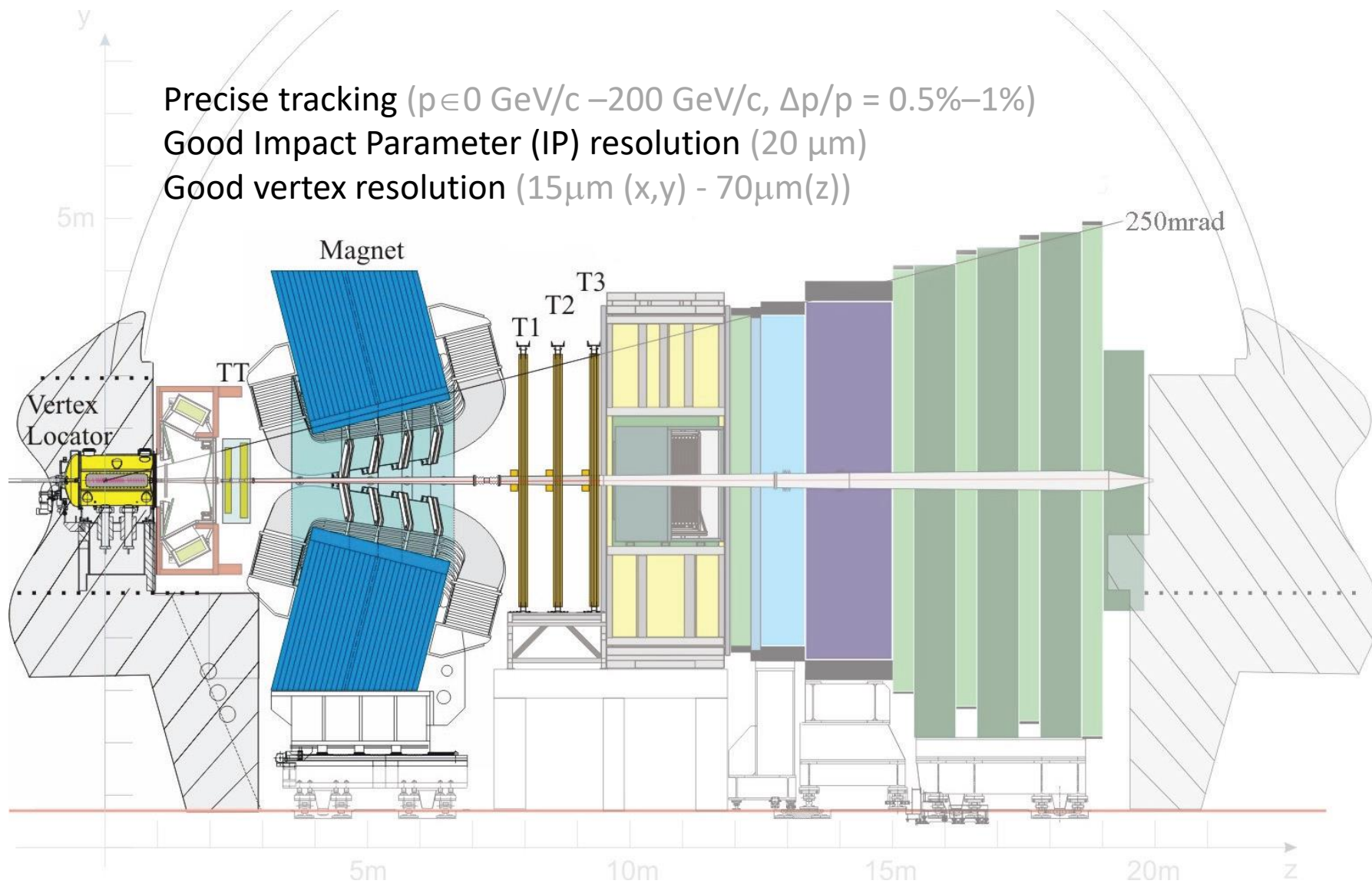
How long will a Λ_b baryon be travelling in the detector before decaying? ($p_{\Lambda_b} \sim 80$ GeV)

The LHCb experiment

Precise tracking ($p \in 0 \text{ GeV}/c - 200 \text{ GeV}/c$, $\Delta p/p = 0.5\% - 1\%$)

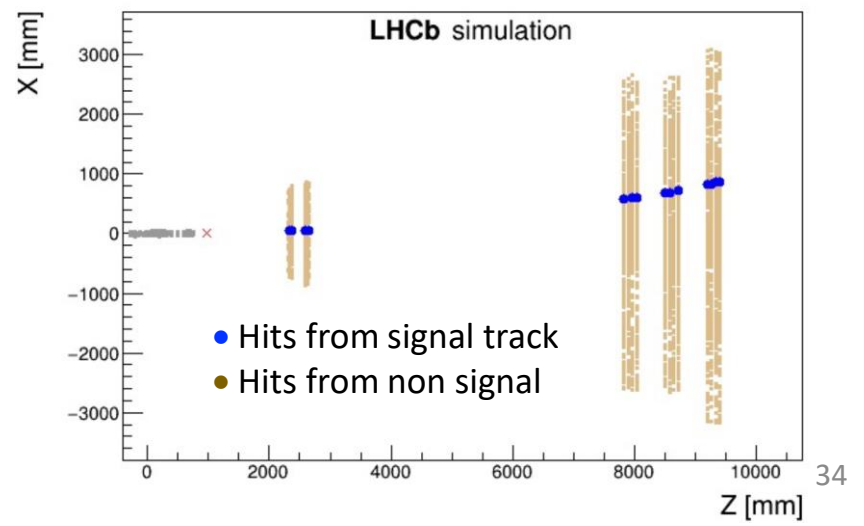
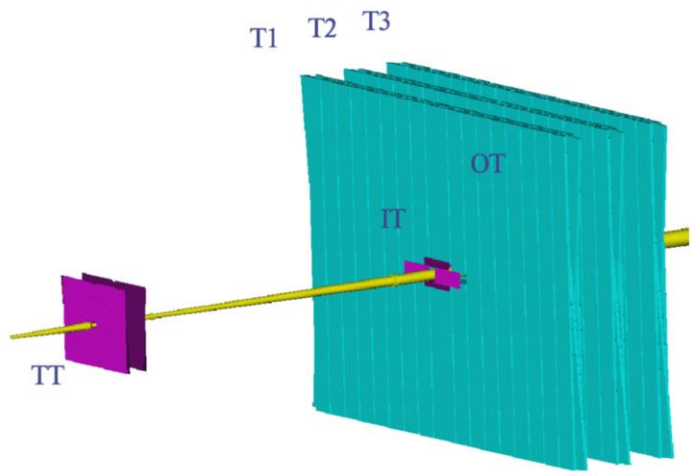
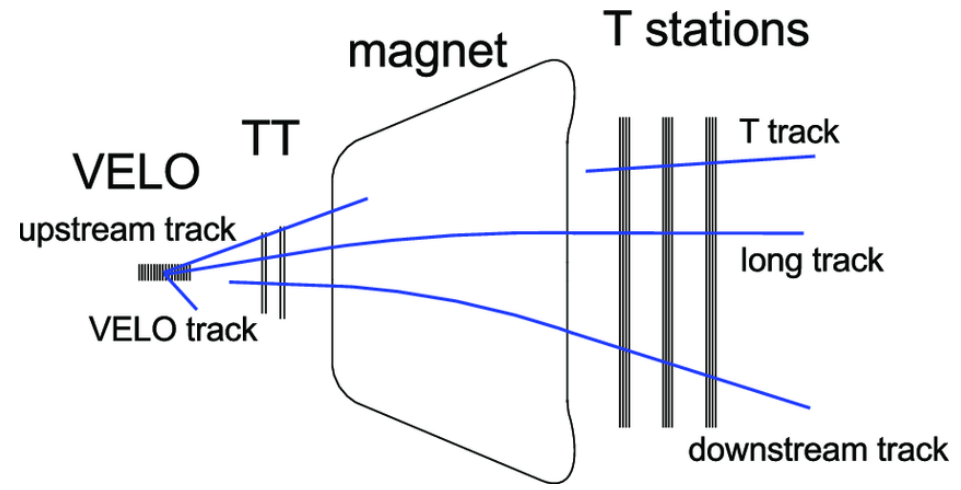
Good Impact Parameter (IP) resolution ($20 \mu\text{m}$)

Good vertex resolution ($15 \mu\text{m}$ (x,y) - $70 \mu\text{m}$ (z))



The LHCb experiment

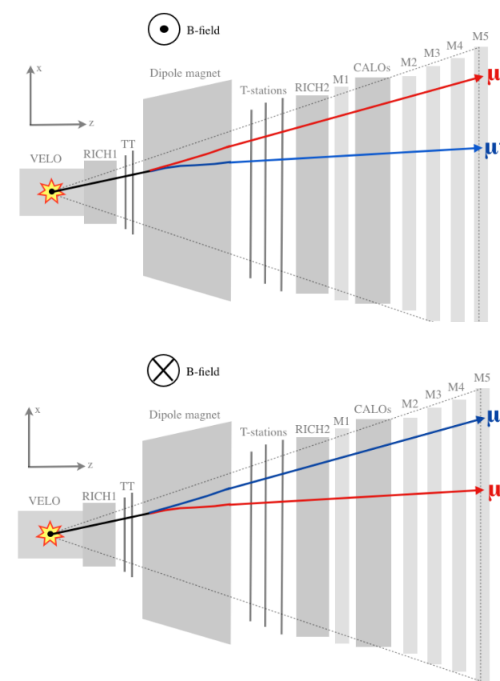
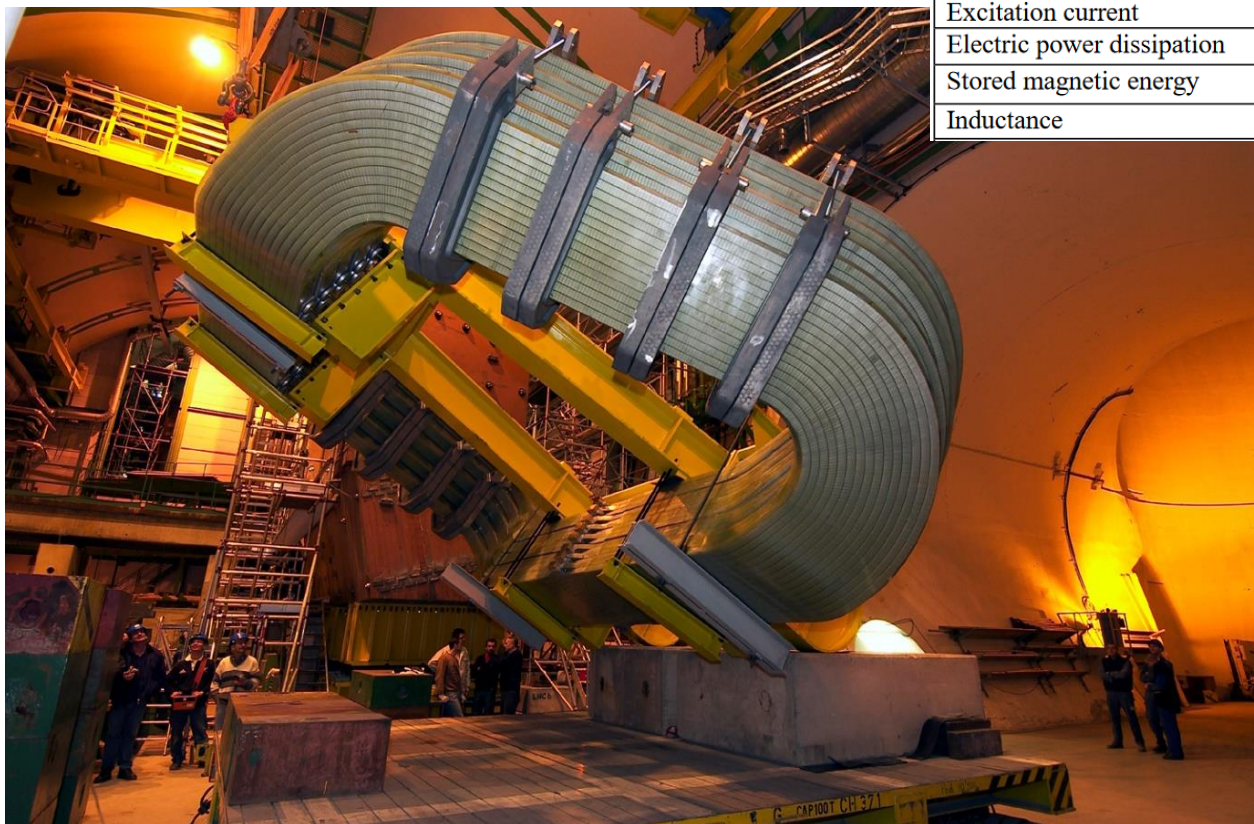
Tracking at LHCb:



The LHCb experiment

The LHCb magnet:

| Magnetic Parameters | |
|---|---|
| Bending power | $\int \vec{B} d\vec{l} = 4 \text{ Tm}$ (10 m track length) |
| Non-uniformity of $\int \vec{B} d\vec{l}$ | $\leq \pm 5\%$ in acceptance (hor.: $\pm 300 \text{ mrad}$, vert.: $\pm 250 \text{ mrad}$) |
| Excitation current | $NI = 2 \times 1.3 \text{ MA}$ |
| Electric power dissipation | $P_e = 4.2 \text{ MW}$ |
| Stored magnetic energy | $W_m \approx 32 \text{ MJ}$ |
| Inductance | $L \approx 2 \text{ H}$ |



→ Inversion of polarity to study detector asymmetries

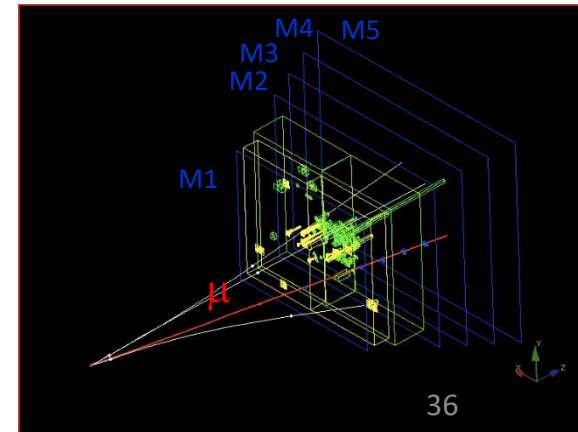
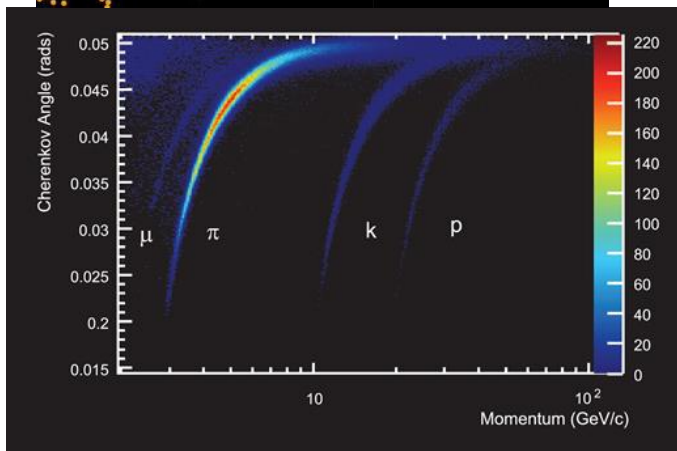
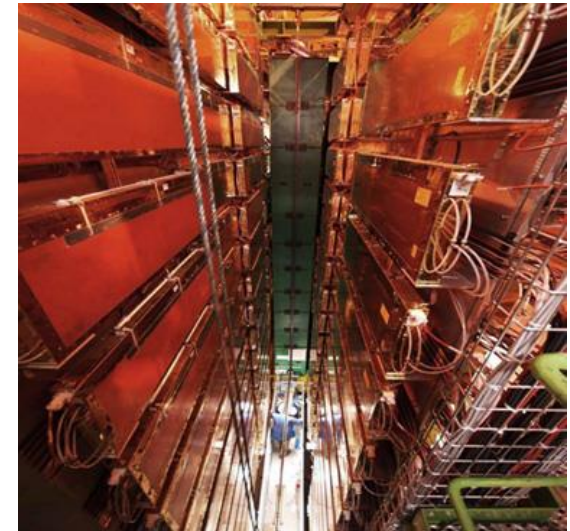
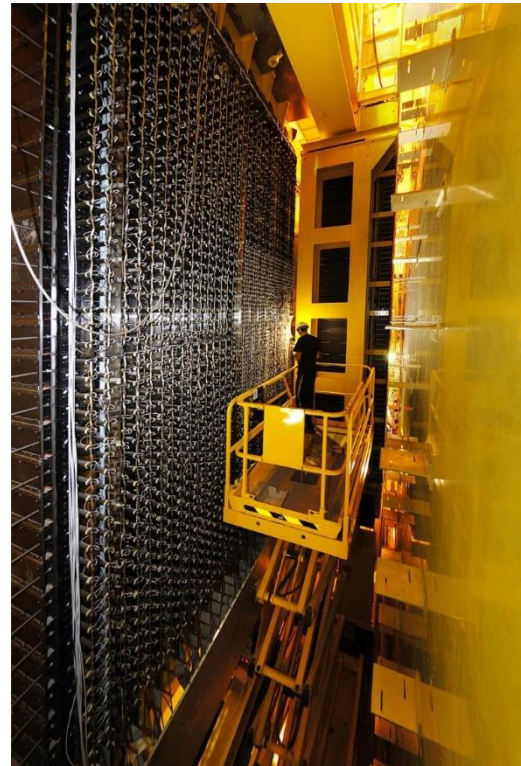
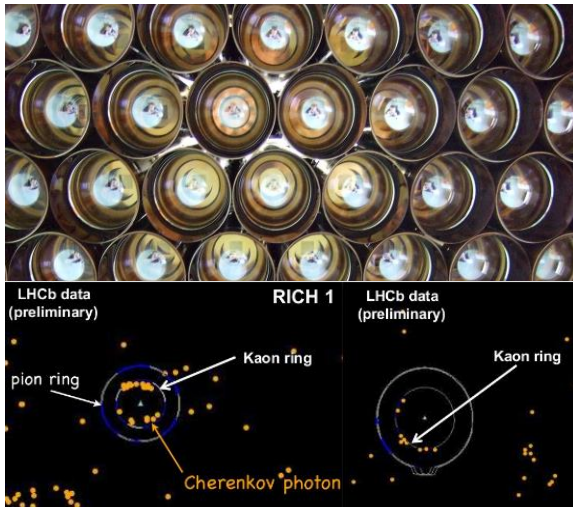
The LHCb experiment

- To recognize the type of particles
 - Good particle identification systems (PID)

Cherenkov detectors (RICH)

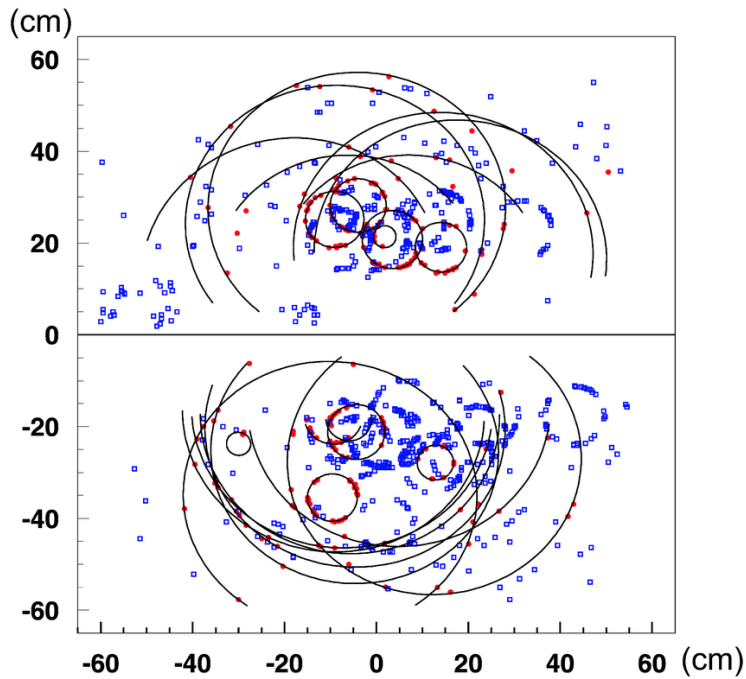
Calorimeters (ECAL, HCAL)

Muon chambers



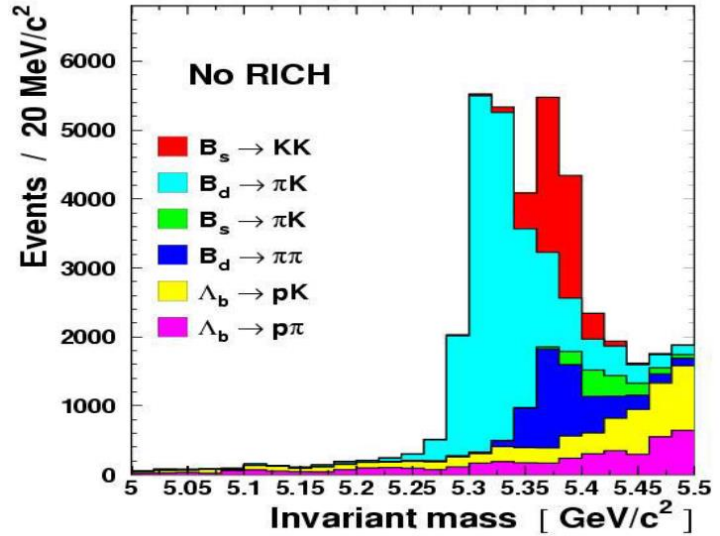
The LHCb experiment

Reconstruction of $B_s \rightarrow K^+K^-$ decays:

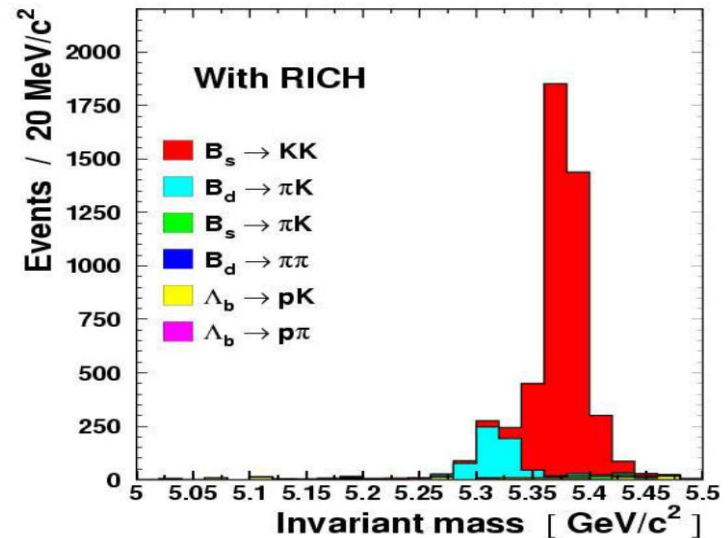


RICH rings are from particle tracks passing through aerogel and C4F10 radiators

Without PID



With PID

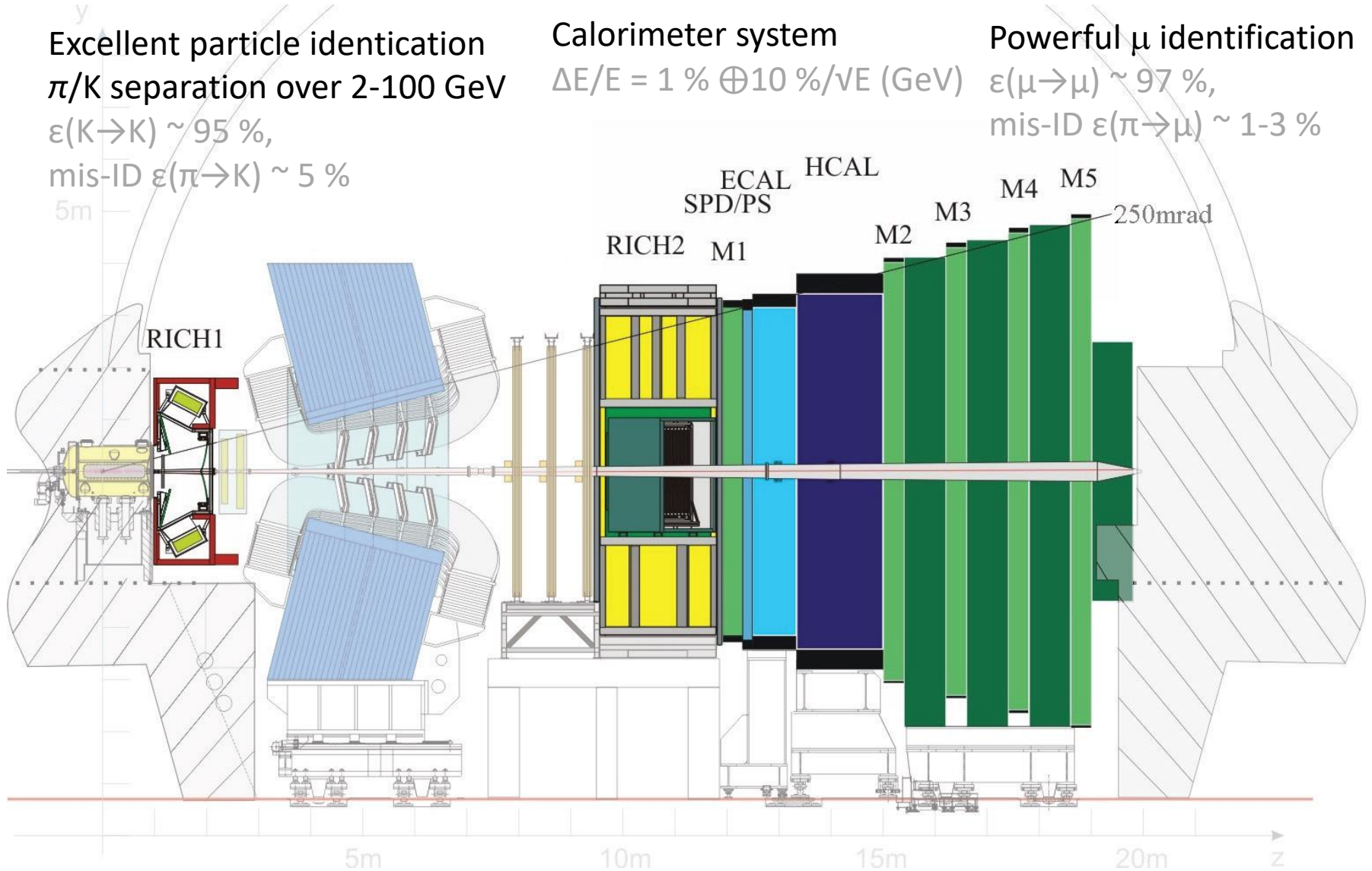


The LHCb experiment

Excellent particle identification
 π/K separation over 2-100 GeV
 $\epsilon(K \rightarrow K) \sim 95\%$,
mis-ID $\epsilon(\pi \rightarrow K) \sim 5\%$

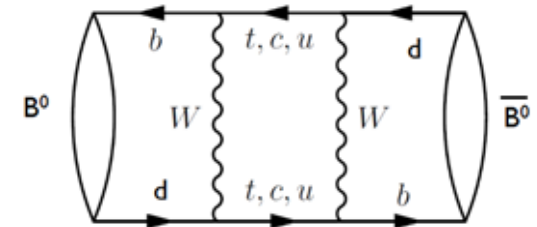
Calorimeter system
 $\Delta E/E = 1\% \oplus 10\%/ \sqrt{E}$ (GeV)

Powerful μ identification
 $\epsilon(\mu \rightarrow \mu) \sim 97\%$,
mis-ID $\epsilon(\pi \rightarrow \mu) \sim 1-3\%$

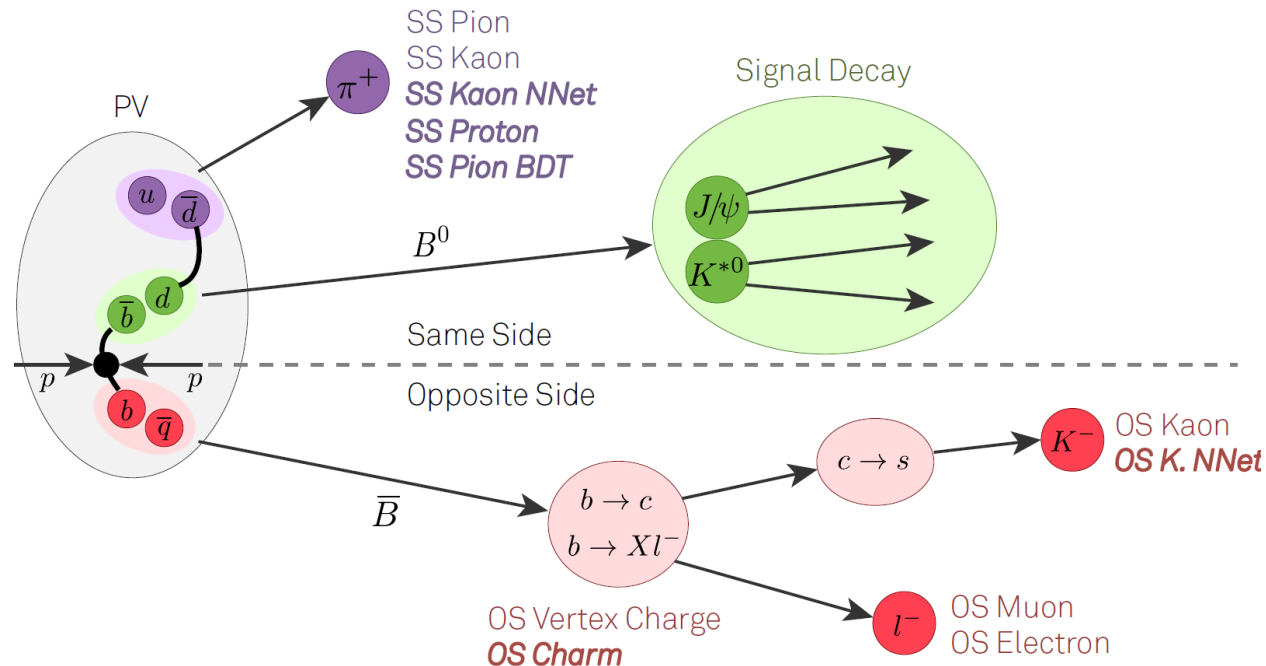


The LHCb experiment

- B mesons oscillates between particle and antiparticle
- We need to know the flavour of the particle at the production point



Flavour tagging Use different algorithms that make use of the characteristics of the fragmentation of the b quark, the charge of the decay products or the charge related to the other b hadron produced in $pp \rightarrow X b \bar{b}$



The LHCb experiment

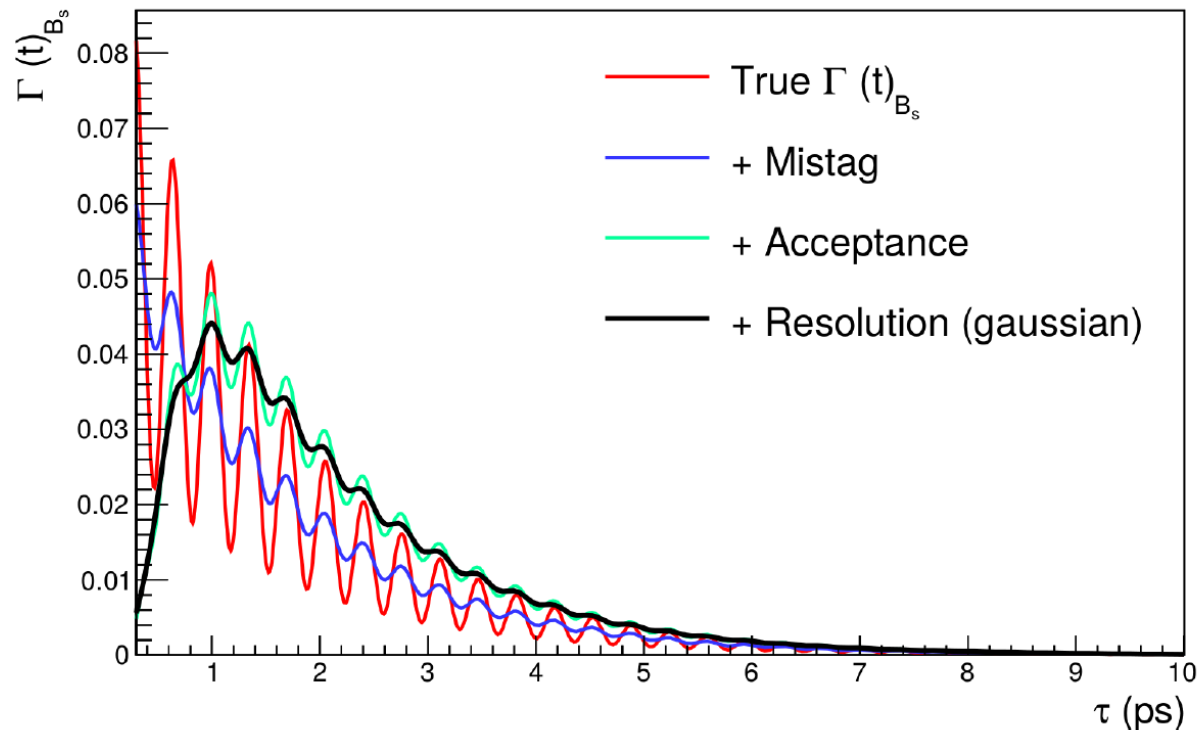
Tagging efficiency ϵ_{tag} : fraction of events with a flavour tag decision

Wrong-tag fraction ω : fraction of tagged events for which tagging decision is wrong

→ Figure of merit: **effective tagging power** $\epsilon_{\text{eff}} = \epsilon_{\text{tag}} D^2 = \epsilon_{\text{tag}} (1 - 2\omega)^2$

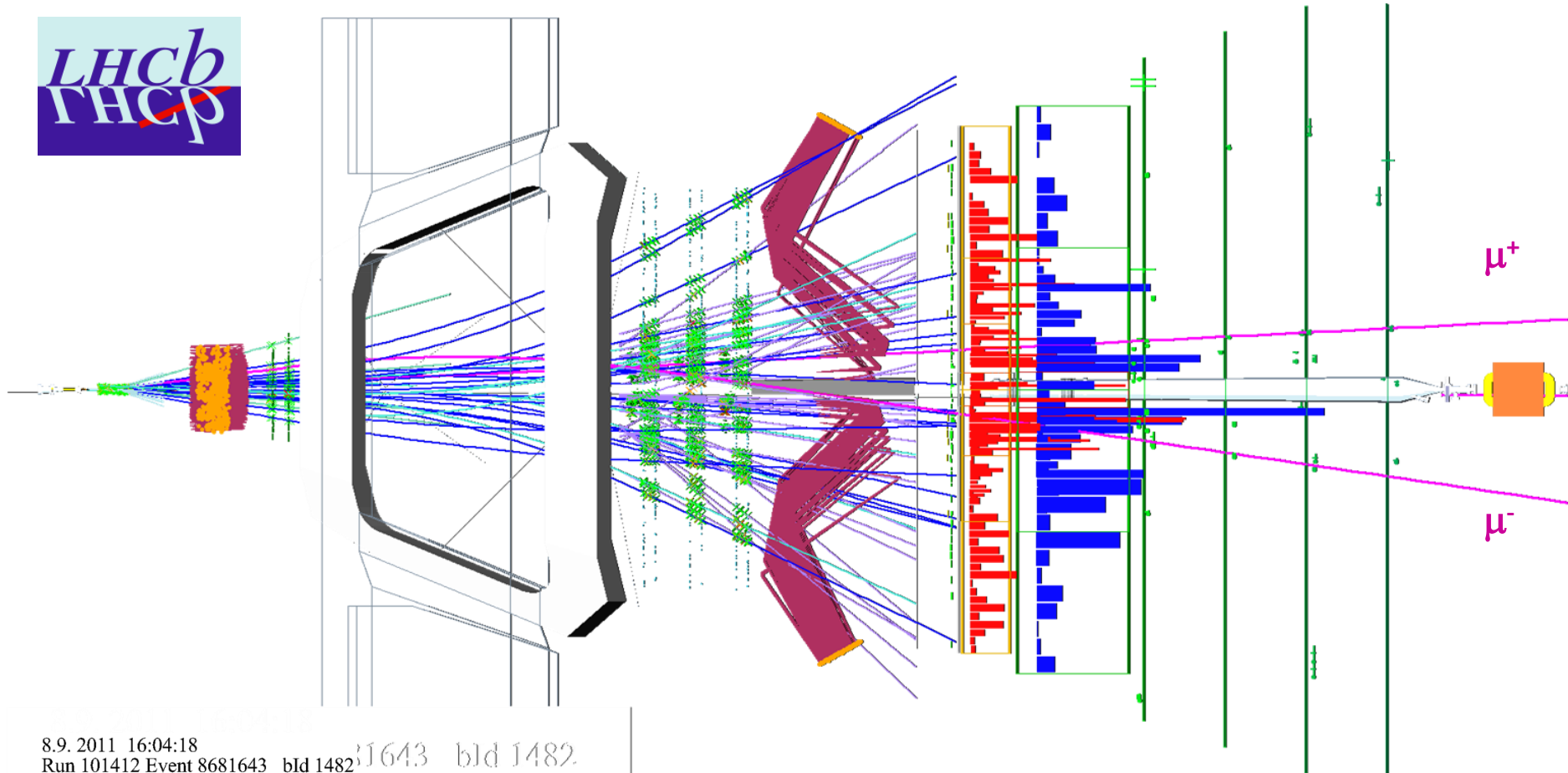
$D^2 \equiv$ dilution factor

$$N_{\text{evts}} \times \epsilon_{\text{tag}} (1 - 2\omega)^2$$



The LHCb experiment

$B_s \rightarrow \mu^+ \mu^-$ event

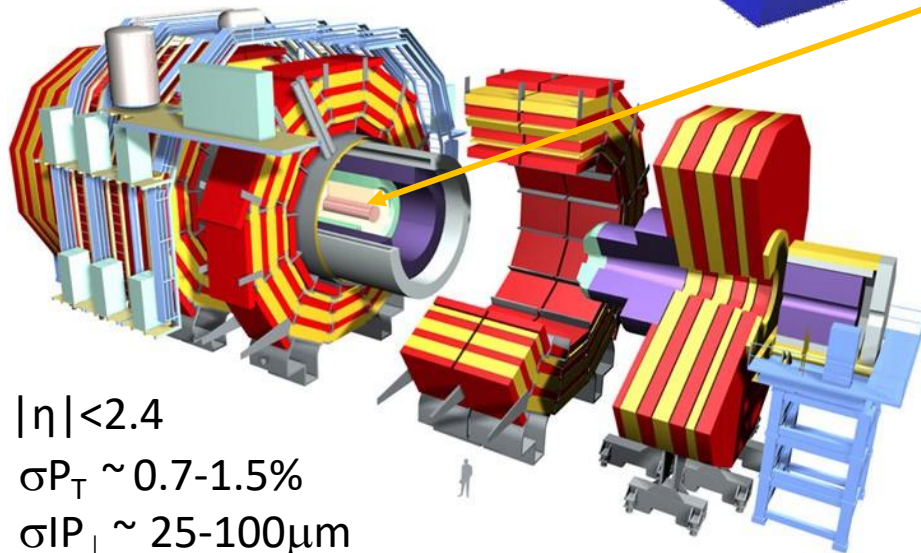
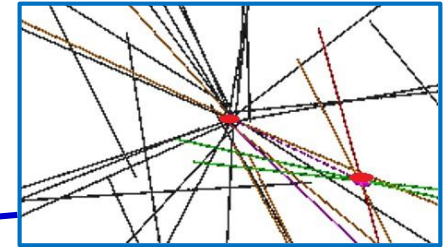
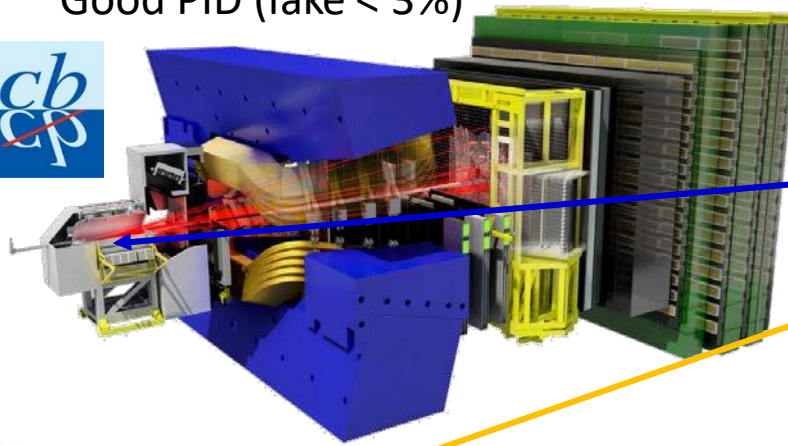


8.9. 2011 16:04:18
Run 101412 Event 8681643 b1d 1482 b1d 1643 b1d 1482

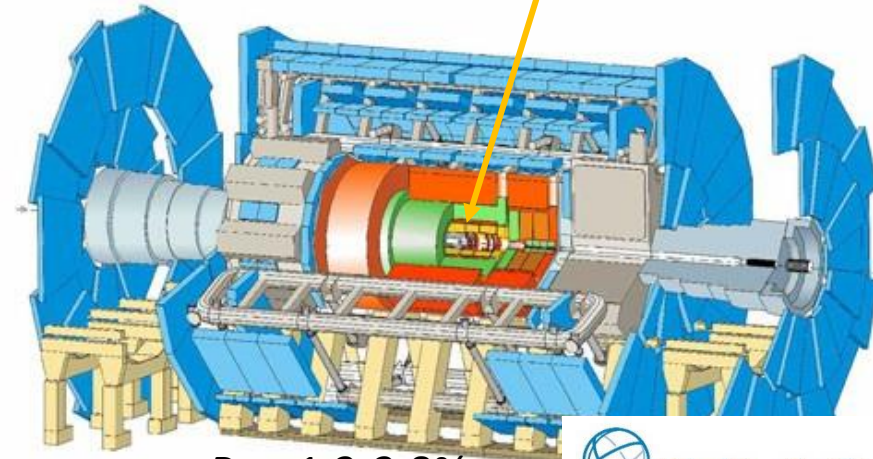
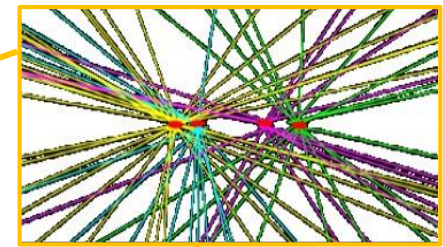
The other LHC experiments

**LHCb,
ATLAS & CMS**

$2 < \eta < 5$ $\sigma_p \sim 0.5-1\%$
 $\sigma_{P_{\perp}} \sim 15-50 \mu\text{m}$
 Good PID (fake < 3%)



$|\eta| < 2.4$
 $\sigma_{P_T} \sim 0.7-1.5\%$
 $\sigma_{P_{\perp}} \sim 25-100 \mu\text{m}$
 Very good PID (fake < 0.1%)

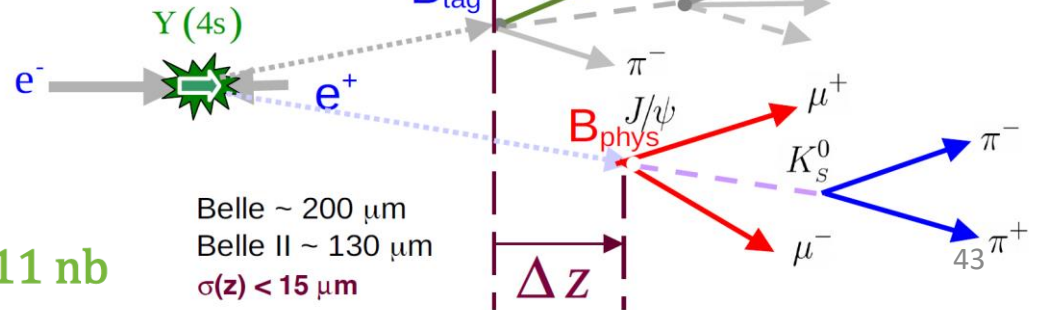
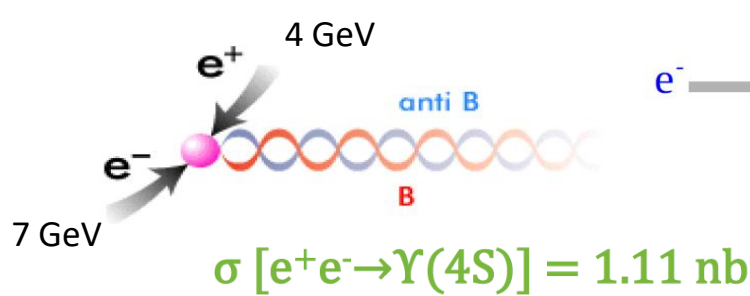
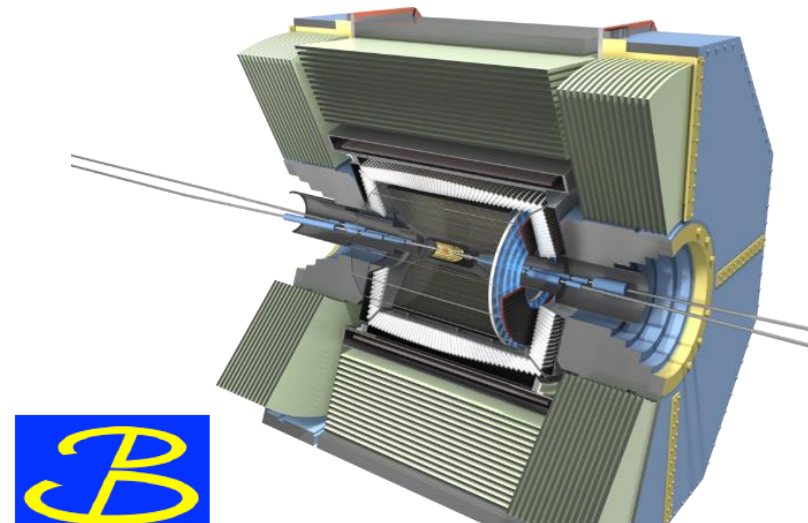
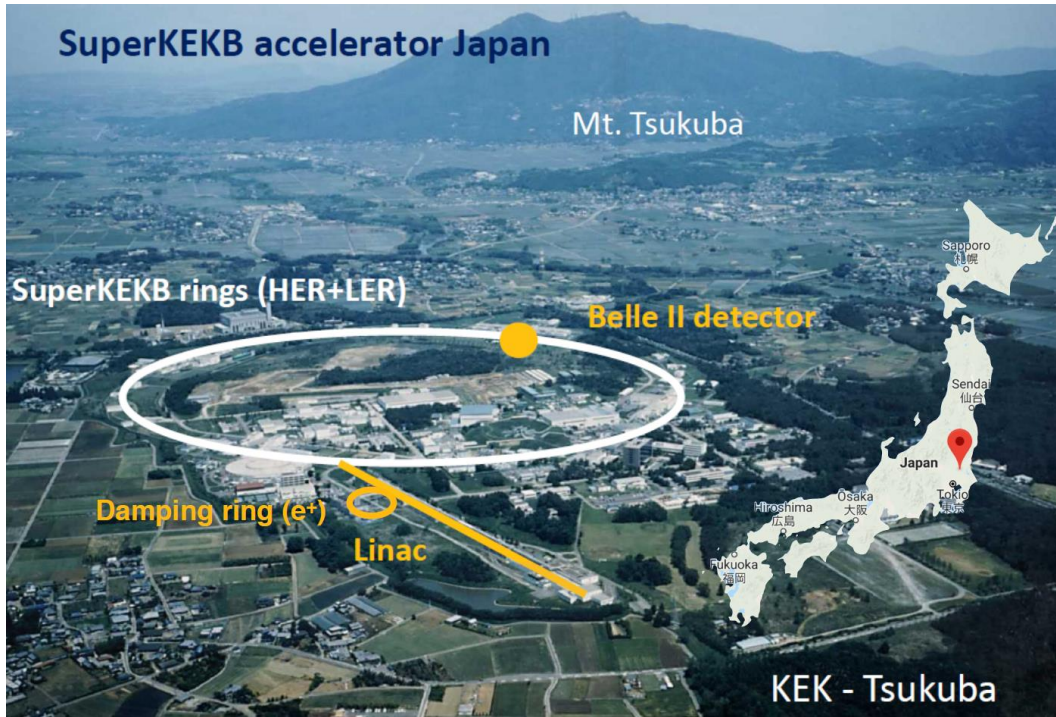


$|\eta| < 2.5$ $\sigma_{P_T} \sim 1.3-3.8\%$
 $\sigma_{P_{\perp}} \sim 25-100 \mu\text{m}$



The Belle II experiment

- Upgrade of the KEK e^+e^- asymmetric accelerator and the Belle experiment, working at the $\Upsilon(4S)$ (10.54 GeV). It is taking data since 2019.



Belle $\sim 200 \mu\text{m}$
 Belle II $\sim 130 \mu\text{m}$
 $\sigma(z) < 15 \mu\text{m}$

The flavour experiments

The data:

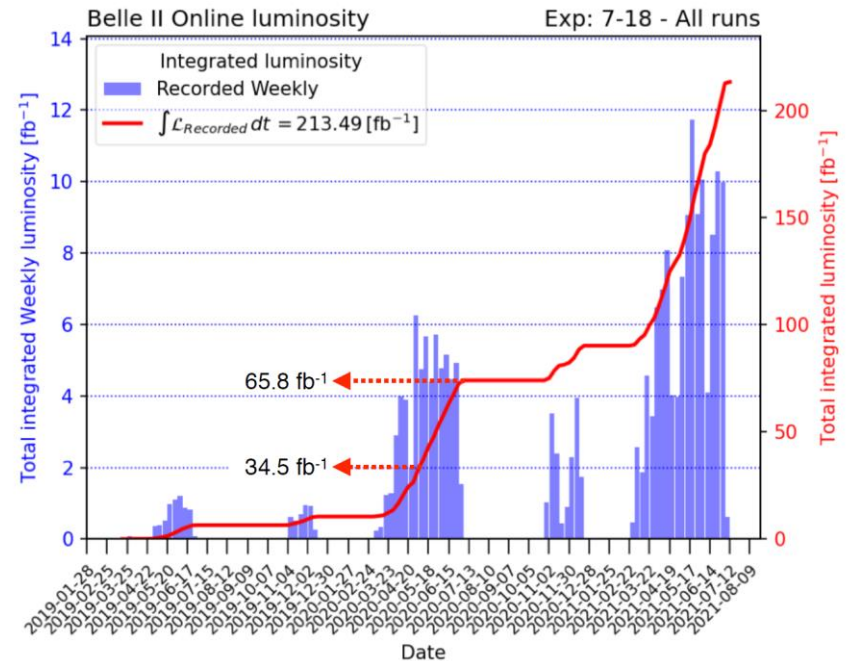
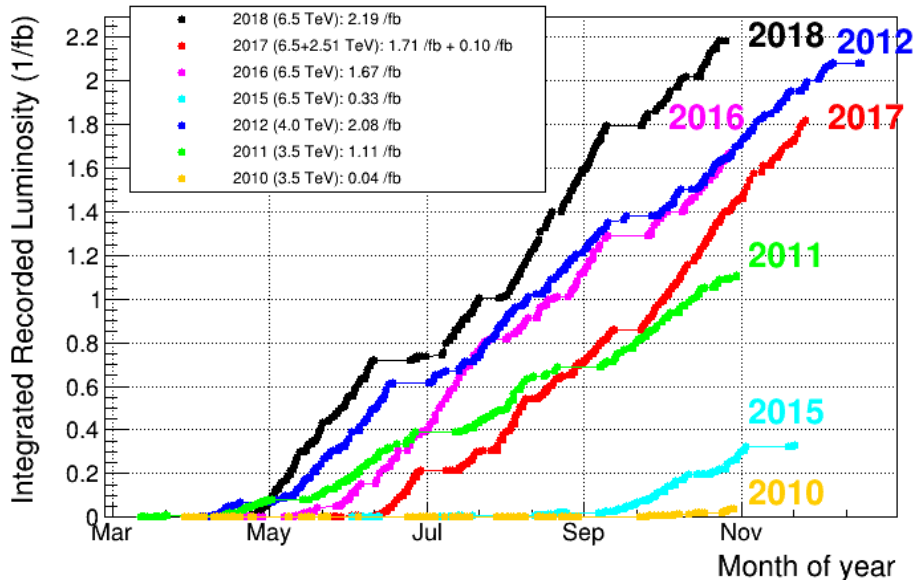


**3 (Run1) + 6 (Run2) fb⁻¹
(2011 - 2018)**



**213 fb⁻¹
(2019 - 2021)**

LHCb Integrated Recorded Luminosity in pp, 2010-2018



In terms of b -hadrons: $N = \int \mathcal{L} \sigma$ at LHCb:

→ $\sigma \sim 600 \mu b$ at 13TeV, x 30% (due to the acceptance) = 180 μb

→ $b\bar{b}$ pairs produced in 1 inverse femtobarn (N/fb^{-1}) = $10^{15} * 180 * 10^{-6}$

$\sim 1.8 \times 10^{11}$

The flavour experiments

- Comparison between facilities:

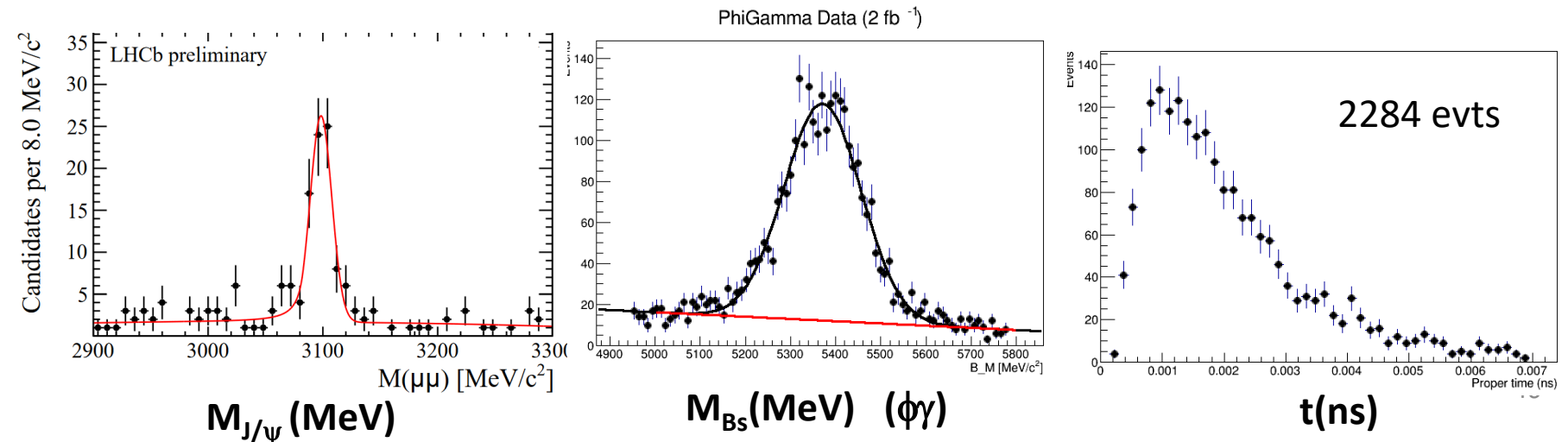
| | $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$ PEP-II, KEKB | $p\bar{p} \rightarrow b\bar{b}X$ ($\sqrt{s} = 2 \text{ TeV}$) Tevatron | $pp \rightarrow b\bar{b}X$ ($\sqrt{s} = 14 \text{ TeV}$) LHC |
|---------------------------------|--|---|--|
| Production cross-section | 1 nb | $\sim 100 \mu\text{b}$ | $\sim 500 \mu\text{b}$ |
| Typical $b\bar{b}$ rate | 10 Hz | $\sim 100 \text{ kHz}$ | $\sim 500 \text{ kHz}$ |
| Pile-up | 0 | 1.7 | 0.5–20 |
| b hadron mixture | B^+B^- (50%), $B^0\bar{B}^0$ (50%) | B^+ (40%), B^0 (40%), B_s^0 (10%), Λ_b^0 (10%), others ($< 1\%$) | |
| b hadron boost | small ($\beta\gamma \sim 0.5$) | large ($\beta\gamma \sim 100$) | |
| Underlying event | $B\bar{B}$ pair alone | Many additional particles | |
| Production vertex | Not reconstructed | Reconstructed from many tracks | |
| $B^0-\bar{B}^0$ pair production | Coherent (from $\Upsilon(4S)$ decay) | Incoherent | |
| Flavour tagging power | $\epsilon D^2 \sim 30\%$ | $\epsilon D^2 \sim 5\%$ | |

Q : Which is the maximum momentum of the pion in the $B \rightarrow \pi \ell \nu$ decay in the lab frame in Belle II at (SuperKEK) and LHCb (at LHC) experiments ?

The flavour experiments

What do we measure? Examples of observables:

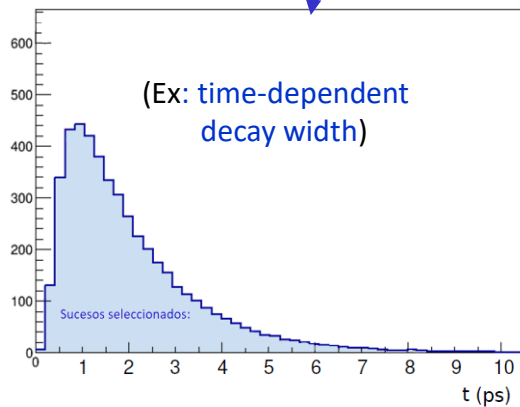
- ▶ **Invariant masses:** from momentum and PID hypothesis of the detected particles
- ▶ **Decay time distributions:** from distance between the origin and decay vertices (and using information of the particle momentum)
- ▶ **Angular distributions:** from directions of the decay products (momentum, vertices)
- ▶ **Branching fractions:** from the mass distributions, counting the number of events
- ▶ **Differential decay widths:** as function of q^2 , for instance, the 4-momentum transfer
- ▶ **Time-dependent asymmetries** (needed flavour tagging!)
- ▶ **Ratios of observables:** cancellation of experimental or theoretical uncertainties



The flavour experiments

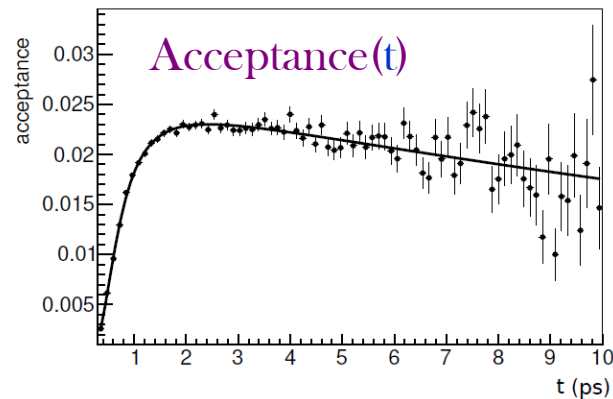
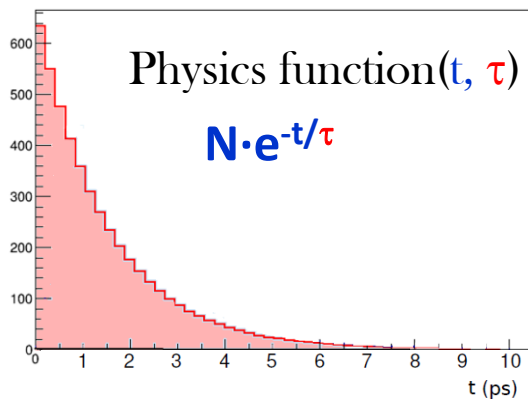
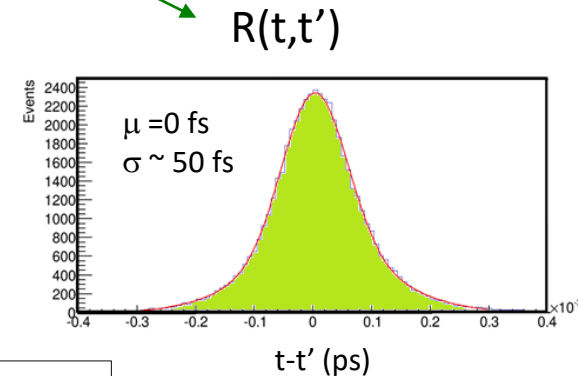
Including experimental effects:

$$\text{Observable}(X') = \text{Physics}(X, P) \cdot \text{Acceptance}(X) \times \text{Resolution}(X, X')$$



Efficiency dependent of X

$$\frac{\# \text{ detected } (X)}{\# \text{ produced } (X)}$$



- One can use MC simulations to study the acceptance and resolution functions
- Better: use control samples from data (similar to the signal channel) to extract them

The flavour experiments

Some key references:

The Physics of B factories

<https://arxiv.org/abs/1406.6311>

PDG (reviews)

<https://pdg.lbl.gov/>

Heavy Flavour Averaging Group:

<https://hflav.web.cern.ch/>