

# Neural coding and adaptation

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Adrienne Fairhall

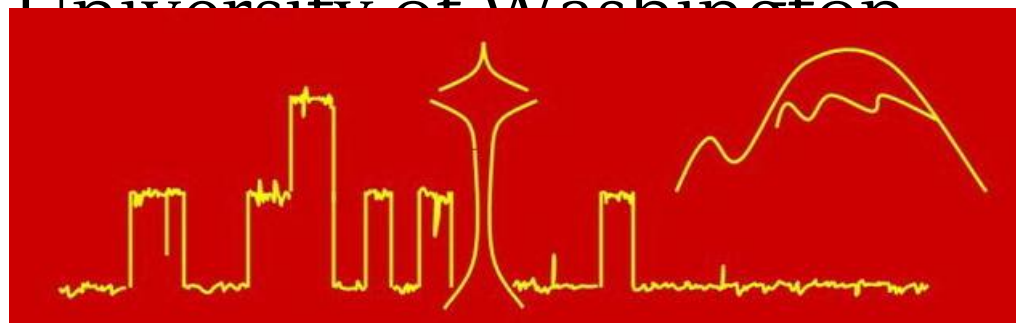
Physiology and Biophysics

Center for Computational Neuroscience

UW Swartz Center for Theoretical

Neuroscience

University of Washington



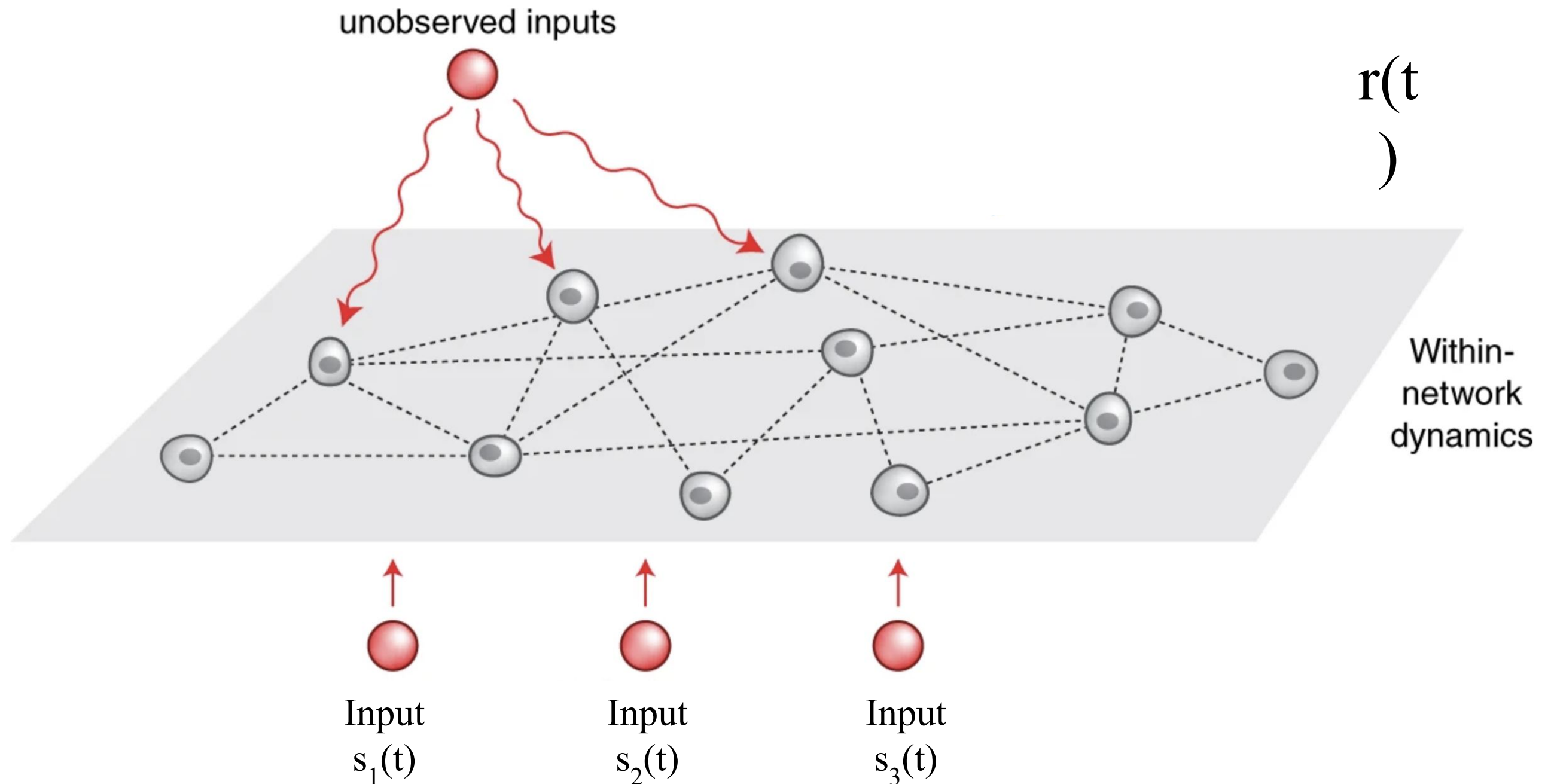
# Plan

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- Neural coding
- Some basic methods for exploring coding
- Coding is a moving target: adaptation
- Adaptation and its role in coding

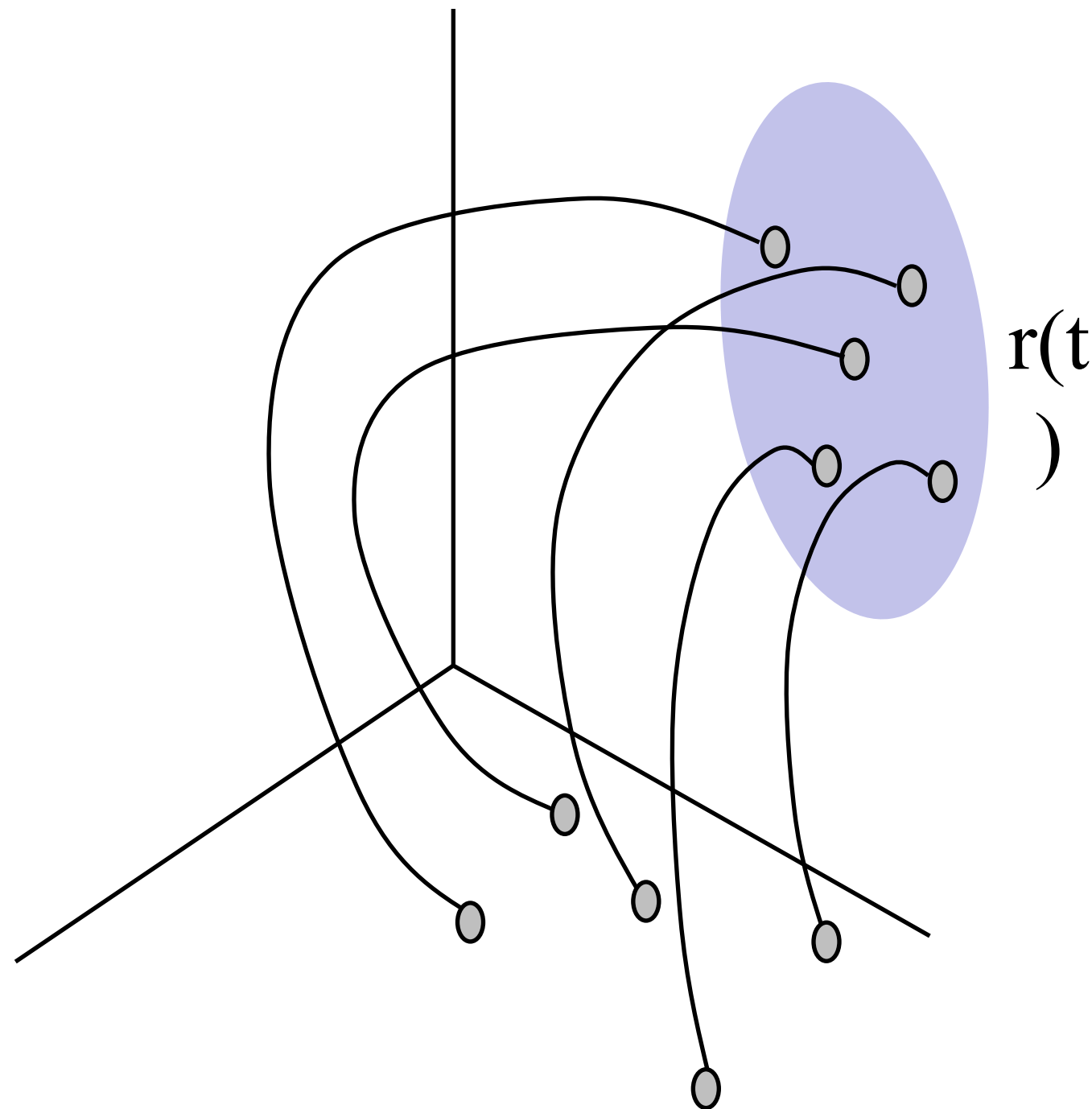
# What could neural coding mean?

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# The concept of *representation*

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$$\frac{dr(t)}{dt} = F(r(t) + I(t))$$

What is the relationship between external variables and  $r$ ?

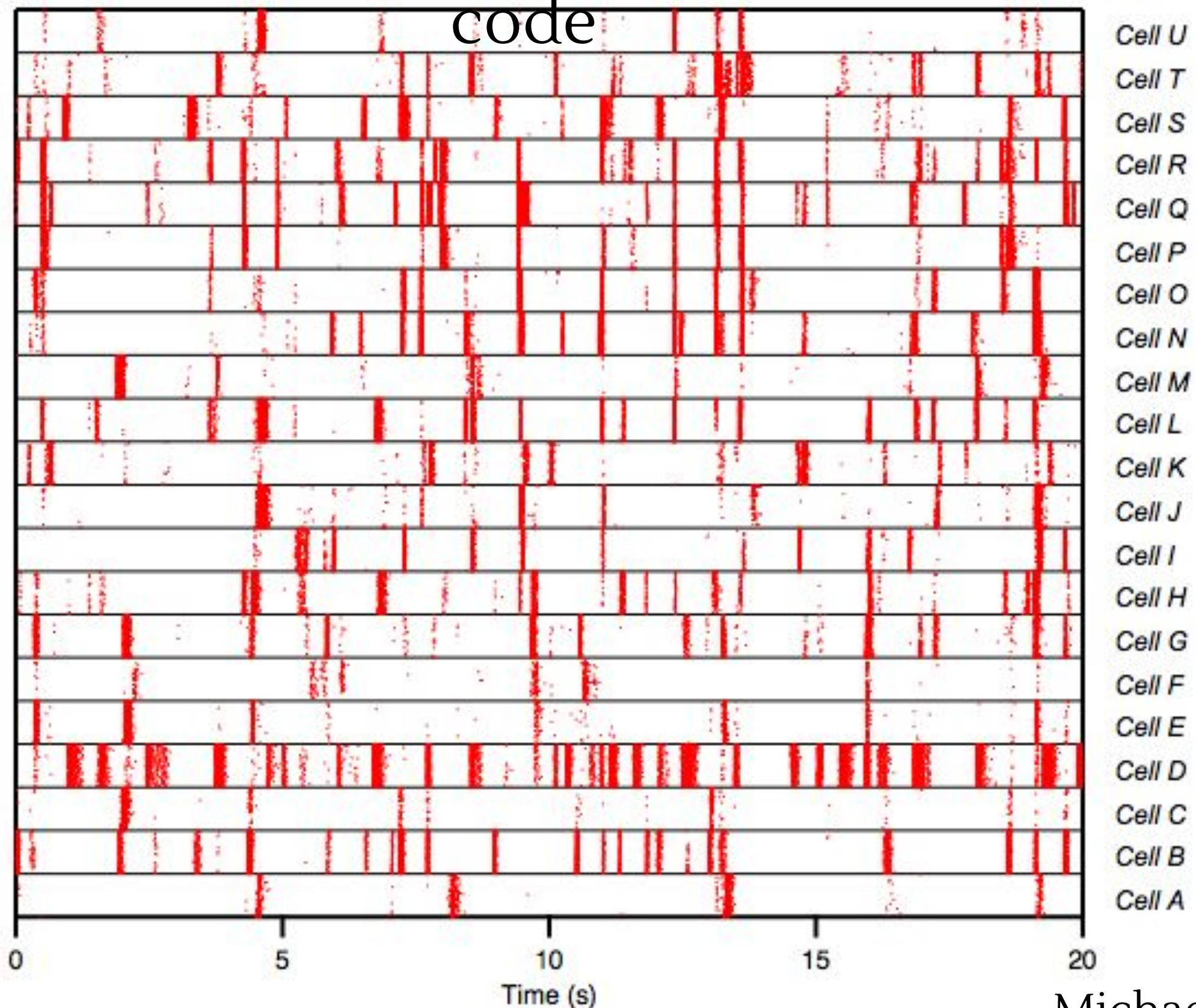
What can one infer about brain state from observing  $r$ ?

Is  $r$  the right variable set?

What low dimensional structure do these response states have?

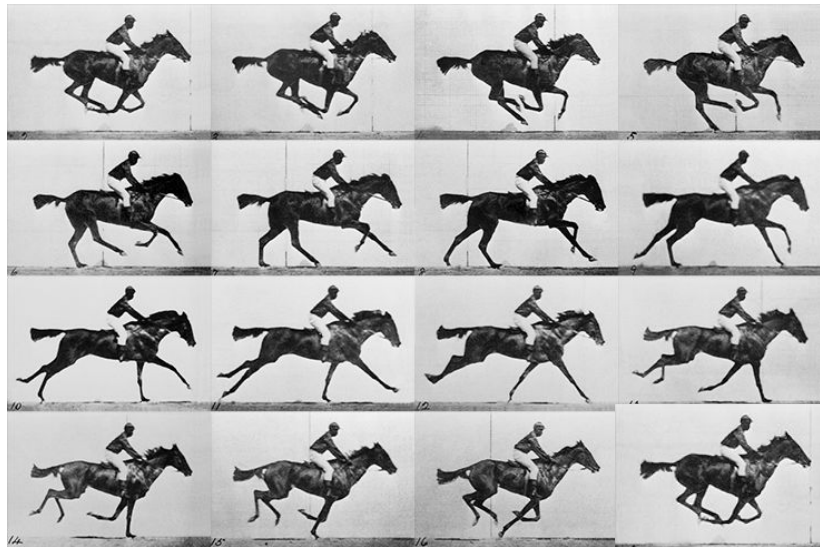
What dynamics does the network carry out and what computation does it embody?

At the sensory end, this definitely looks like a  
code

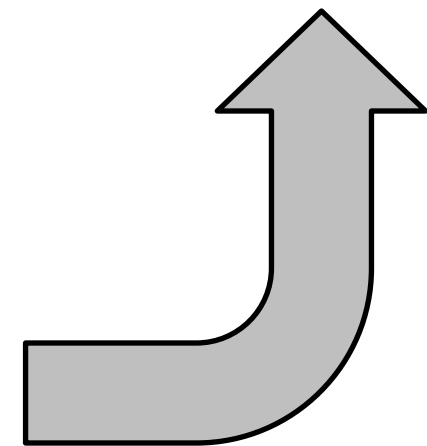
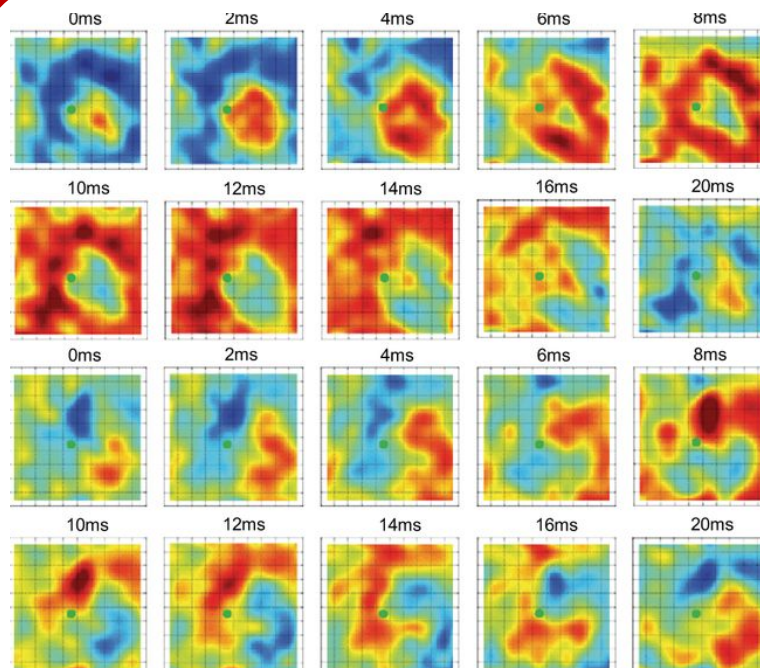
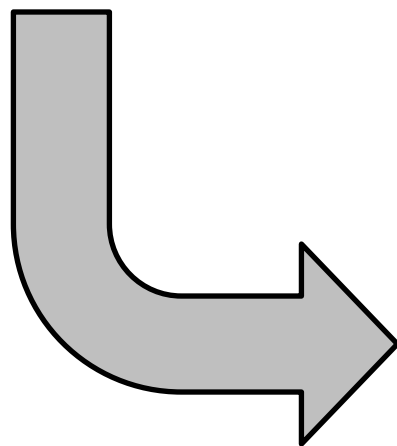
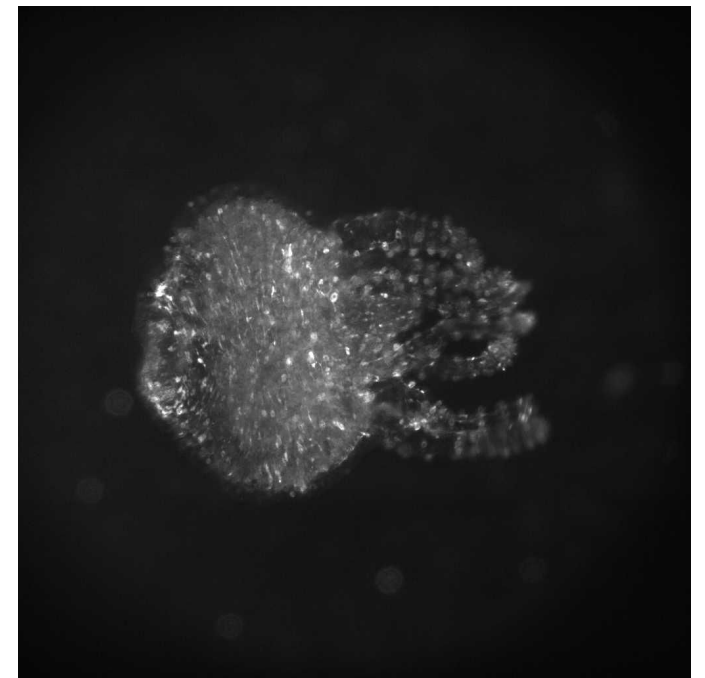




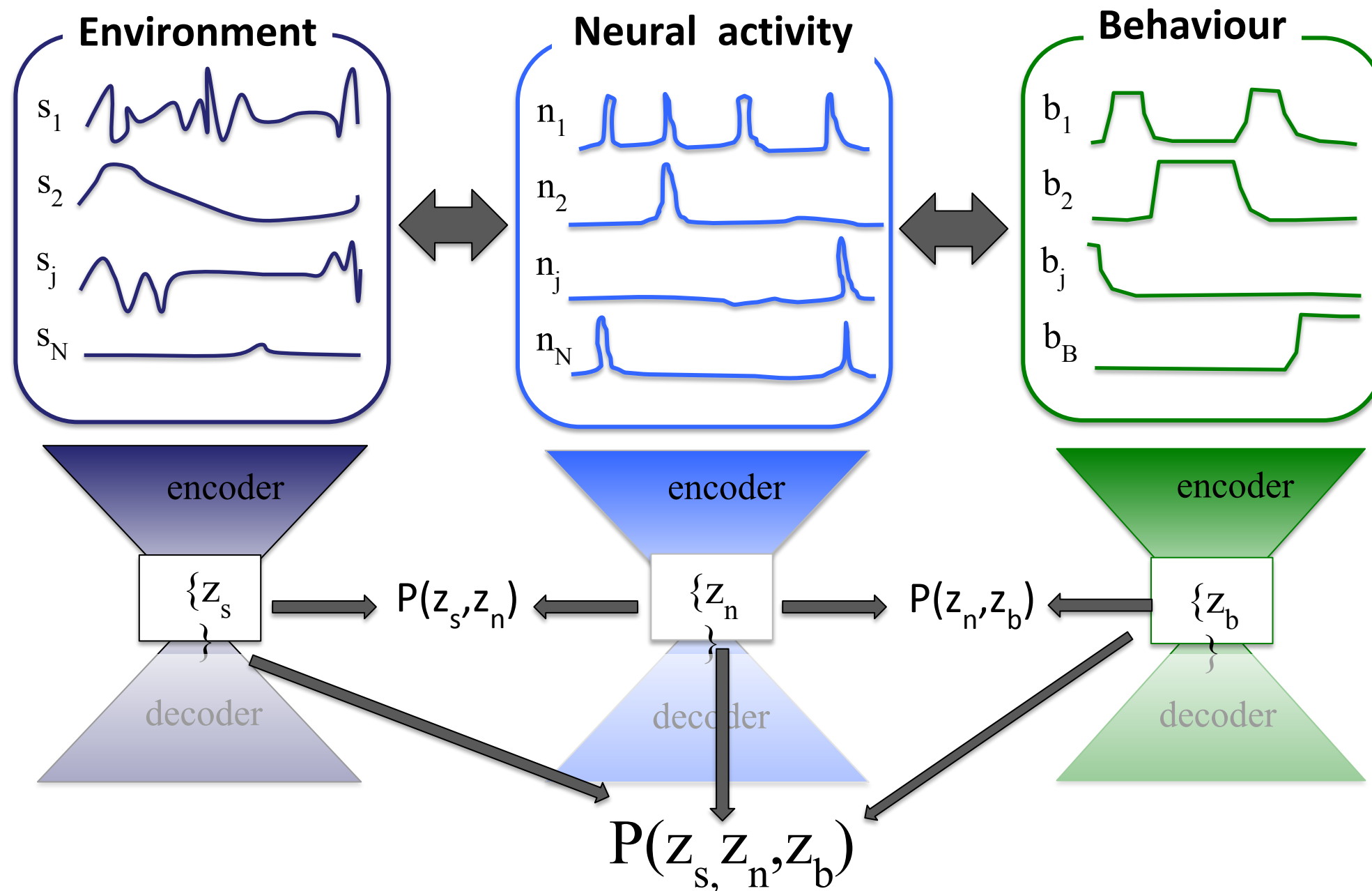
# Neural coding



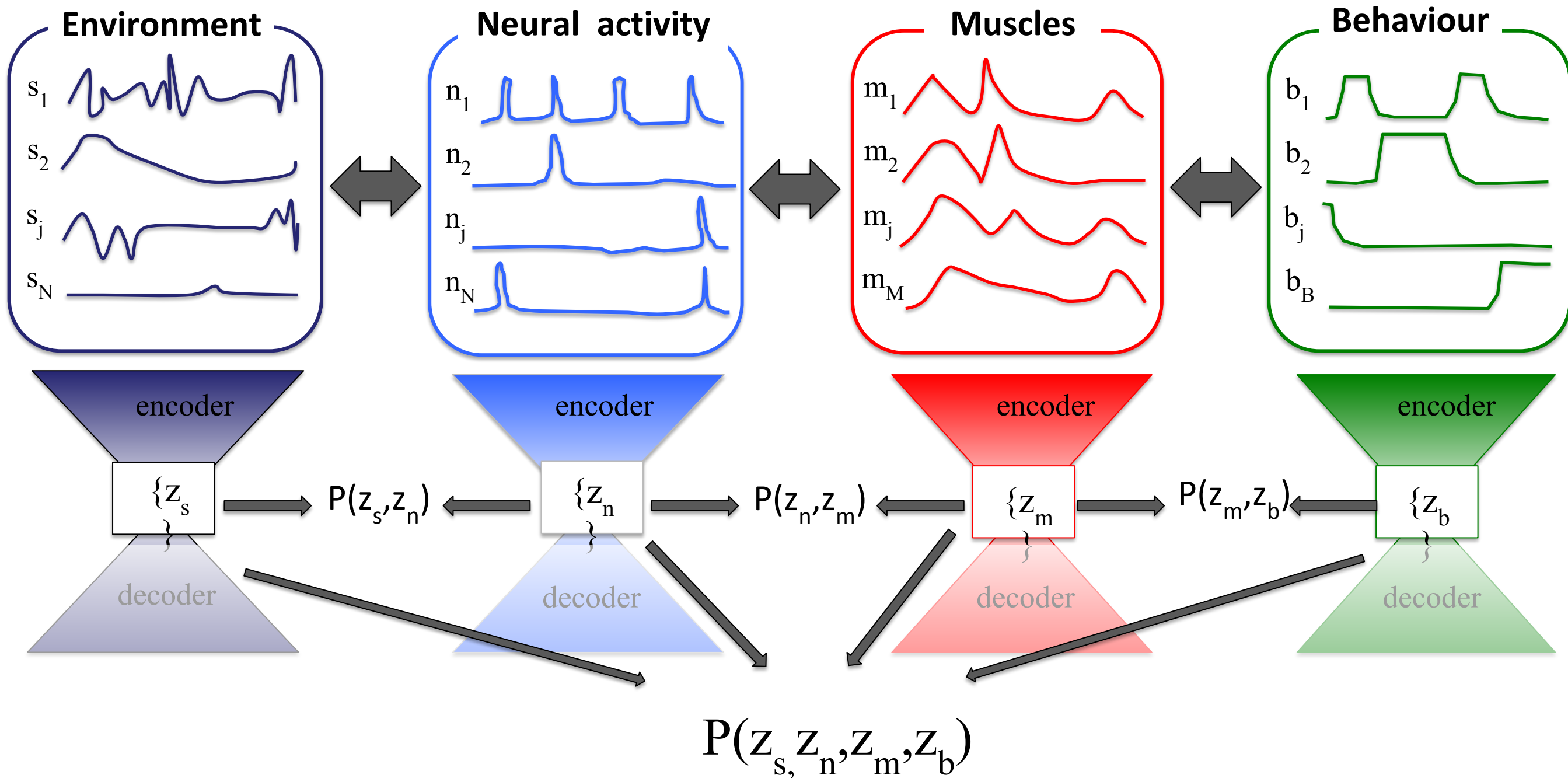
$$P(X|Y)$$



# Statistical models in neuroscience



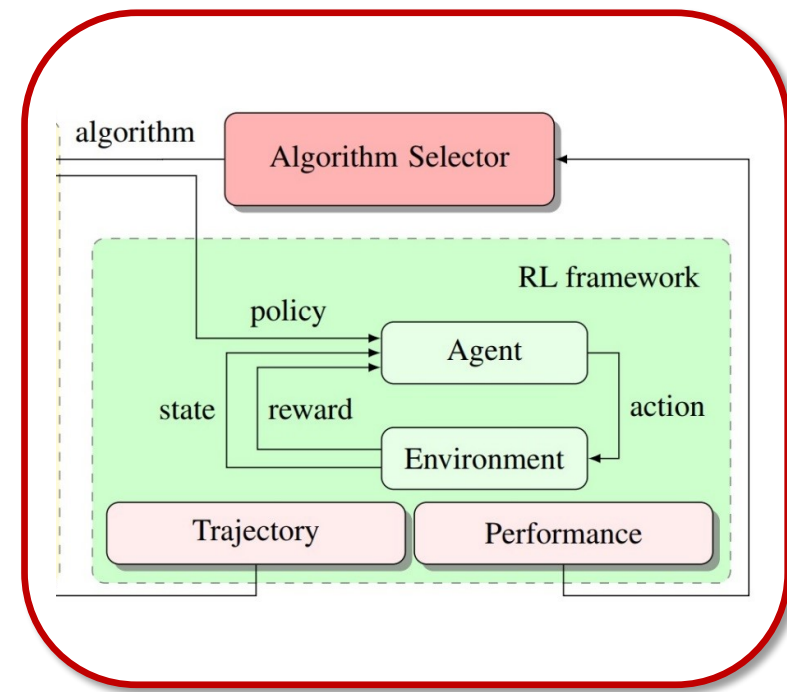
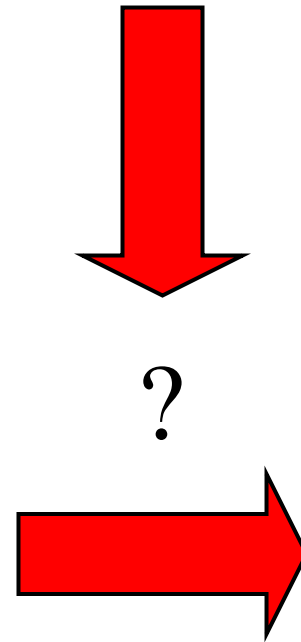
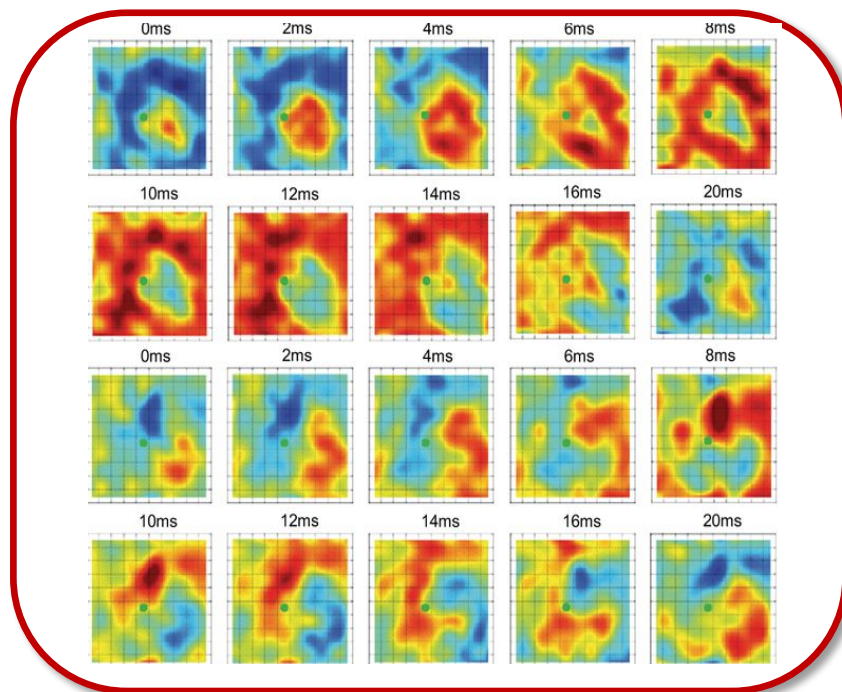
# Statistical models in neuroscience





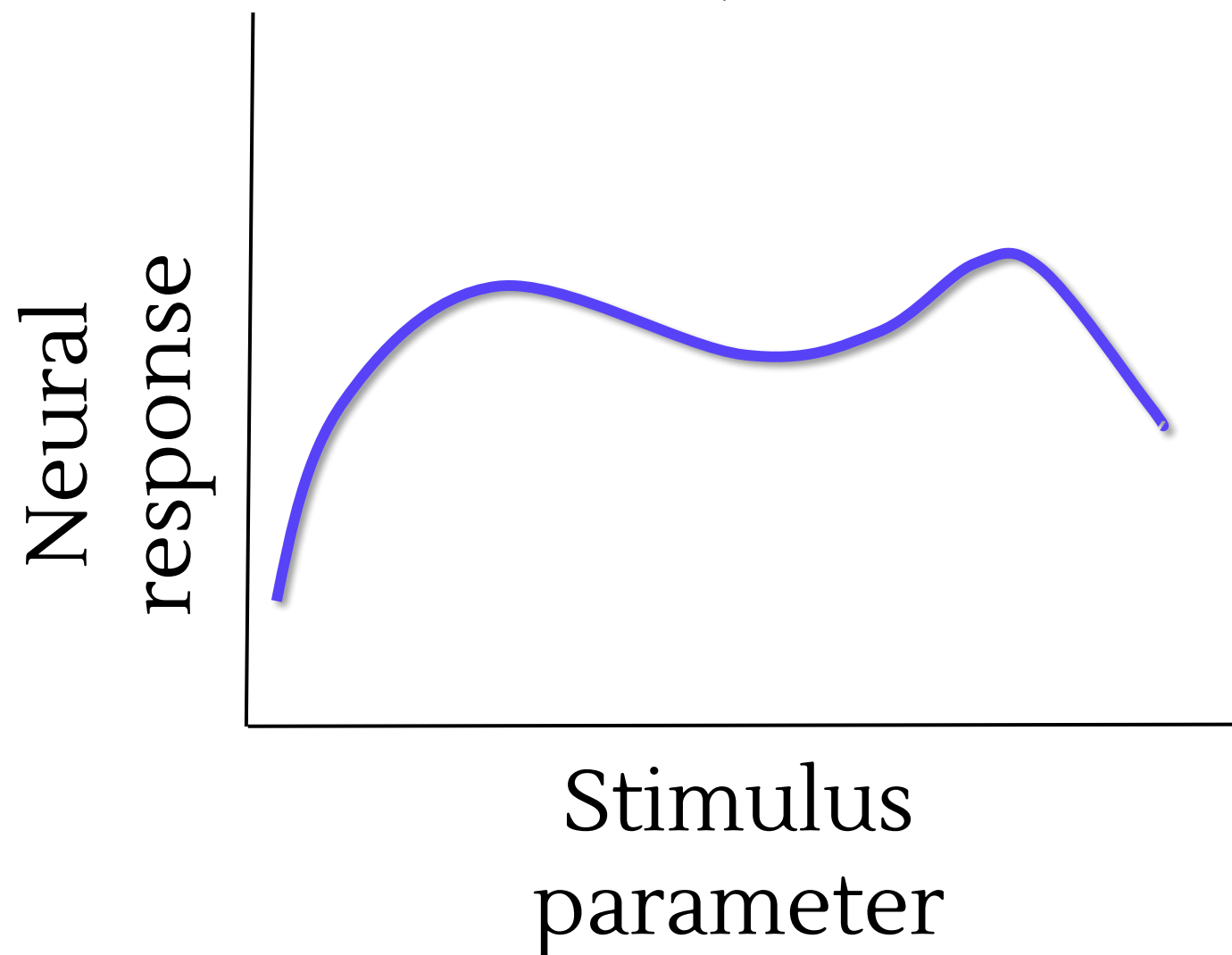
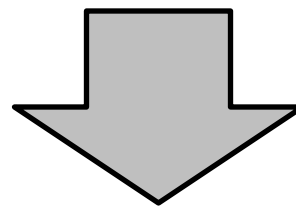
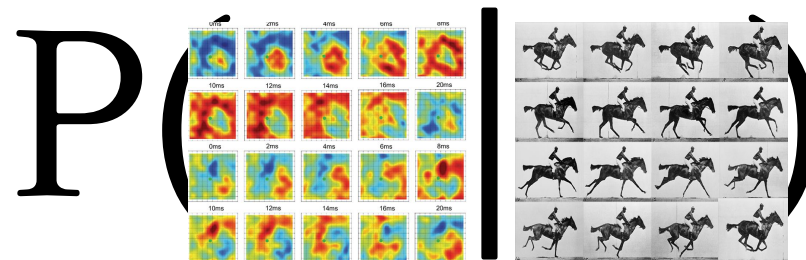
# Finding representations is just a starting point

$$P(z_s, z_n, z_m, z_b)$$



# “Neural coding” is a quest to reduce dimensionality

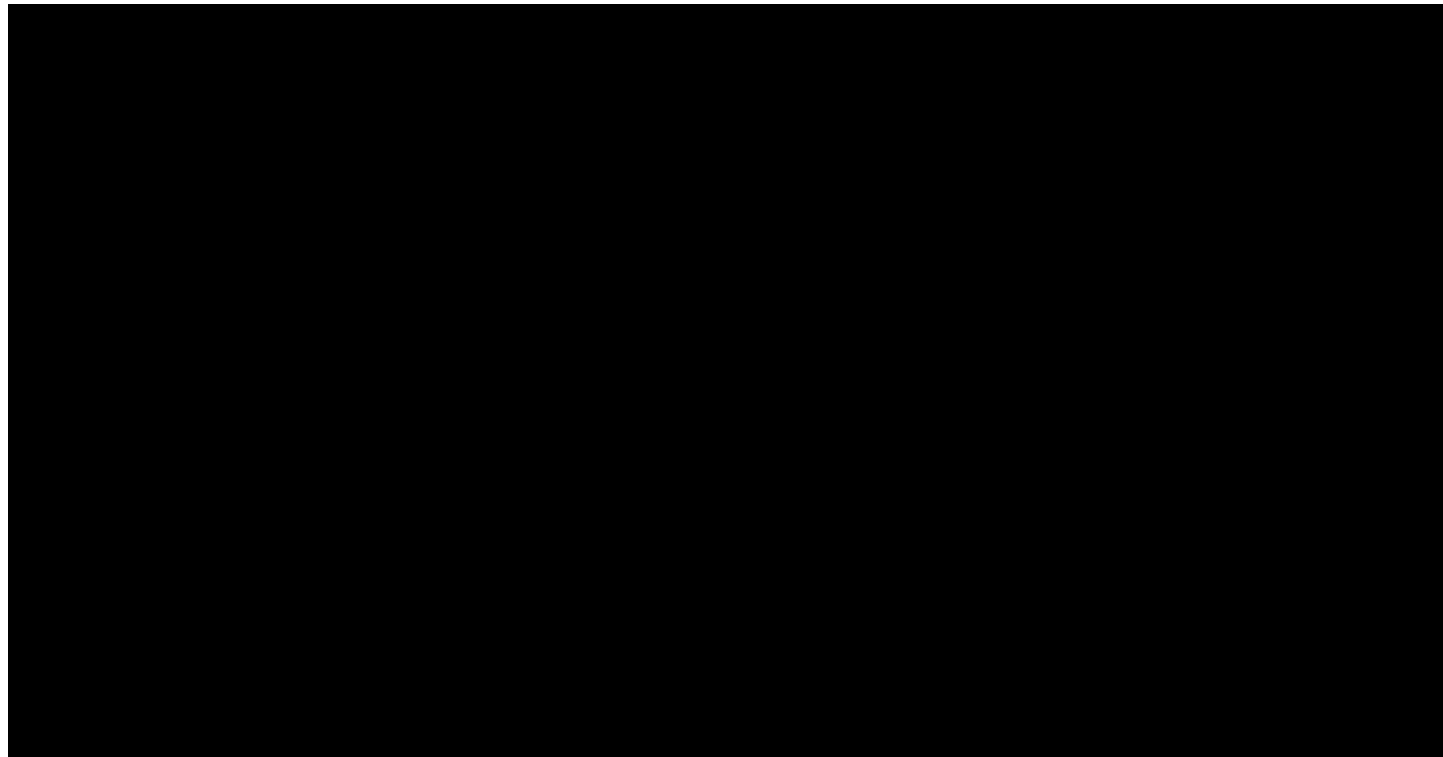
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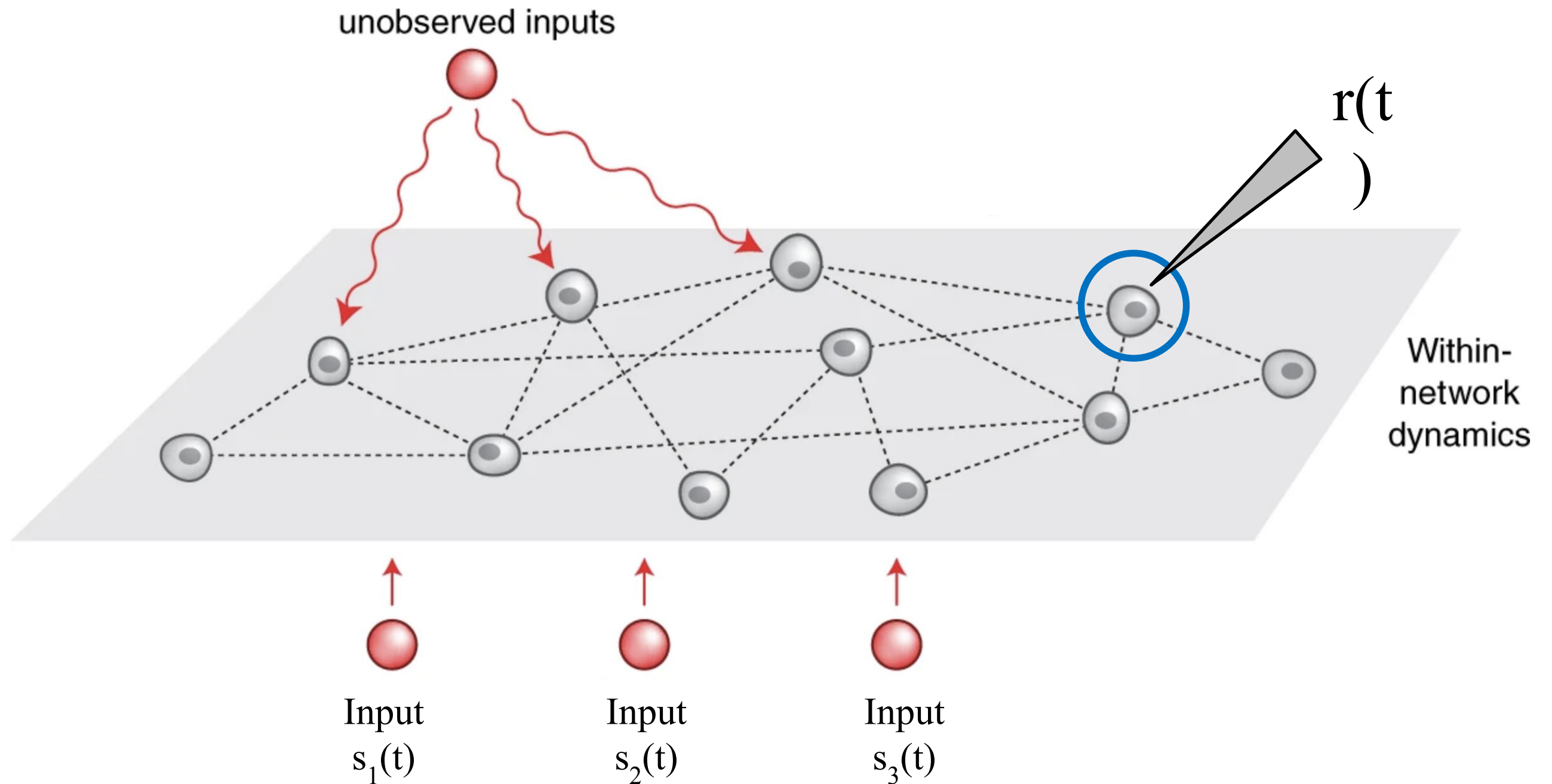
# Dimensionality reduction

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$$S(t) = \sum a_i(t) \varphi_i$$

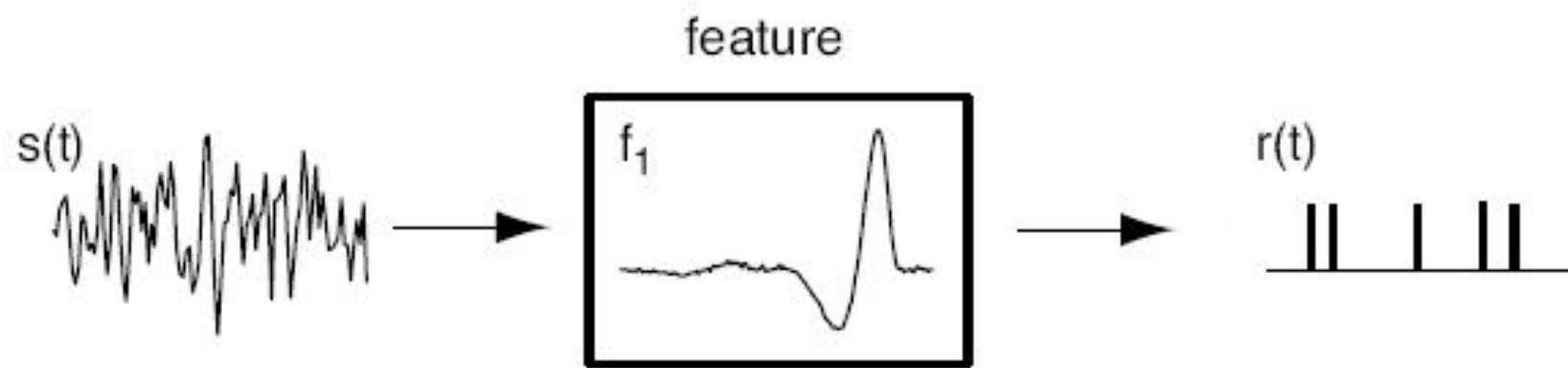


# Coding



# Build from linear coding model

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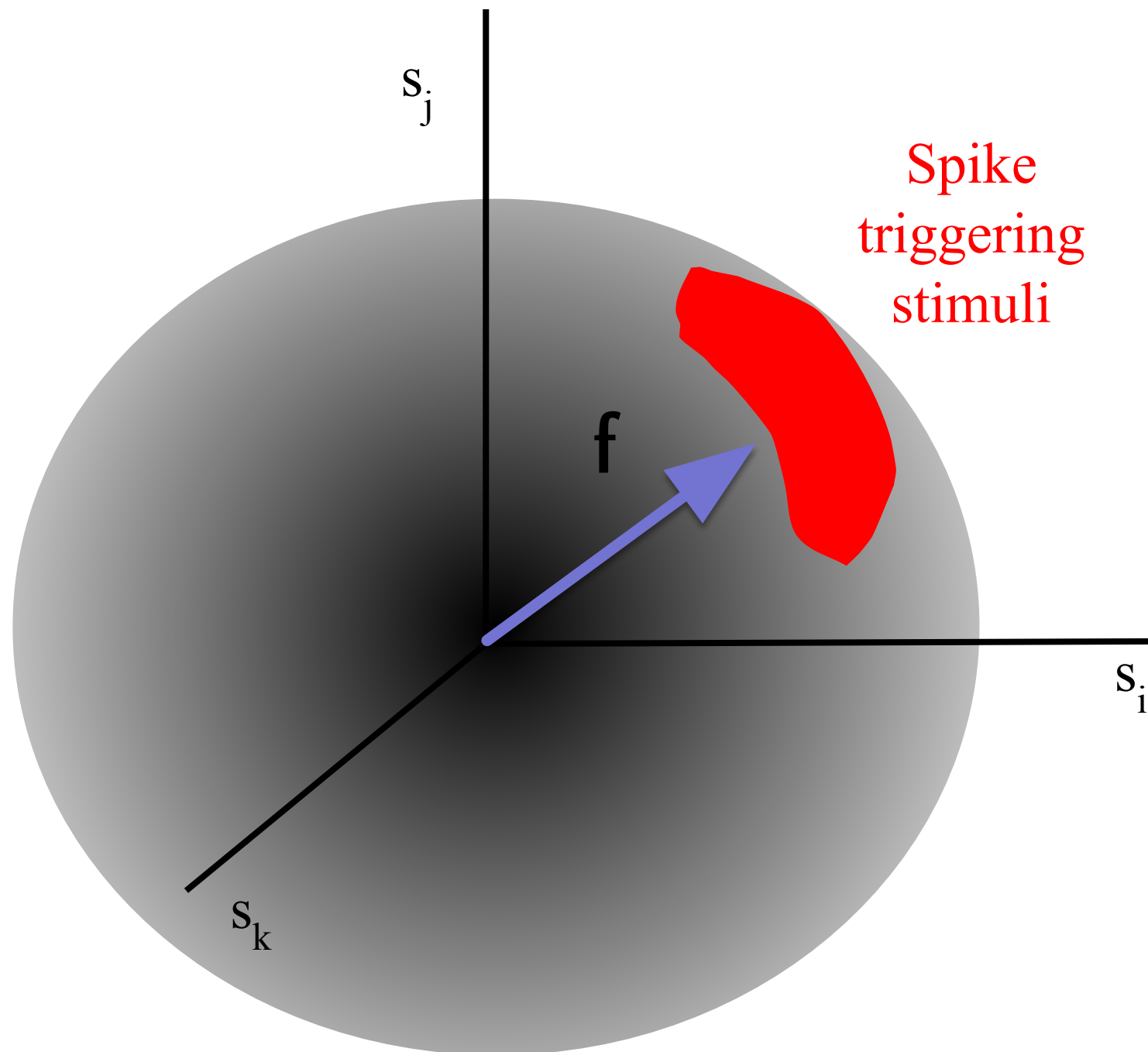
Linear filter: 
$$r(t) = \int f(\tau) s(t-\tau) d\tau$$



# The weights $f$ are a linear filter

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Linear filter: 
$$r(t) = \int f(\tau) s(t-\tau) d\tau$$

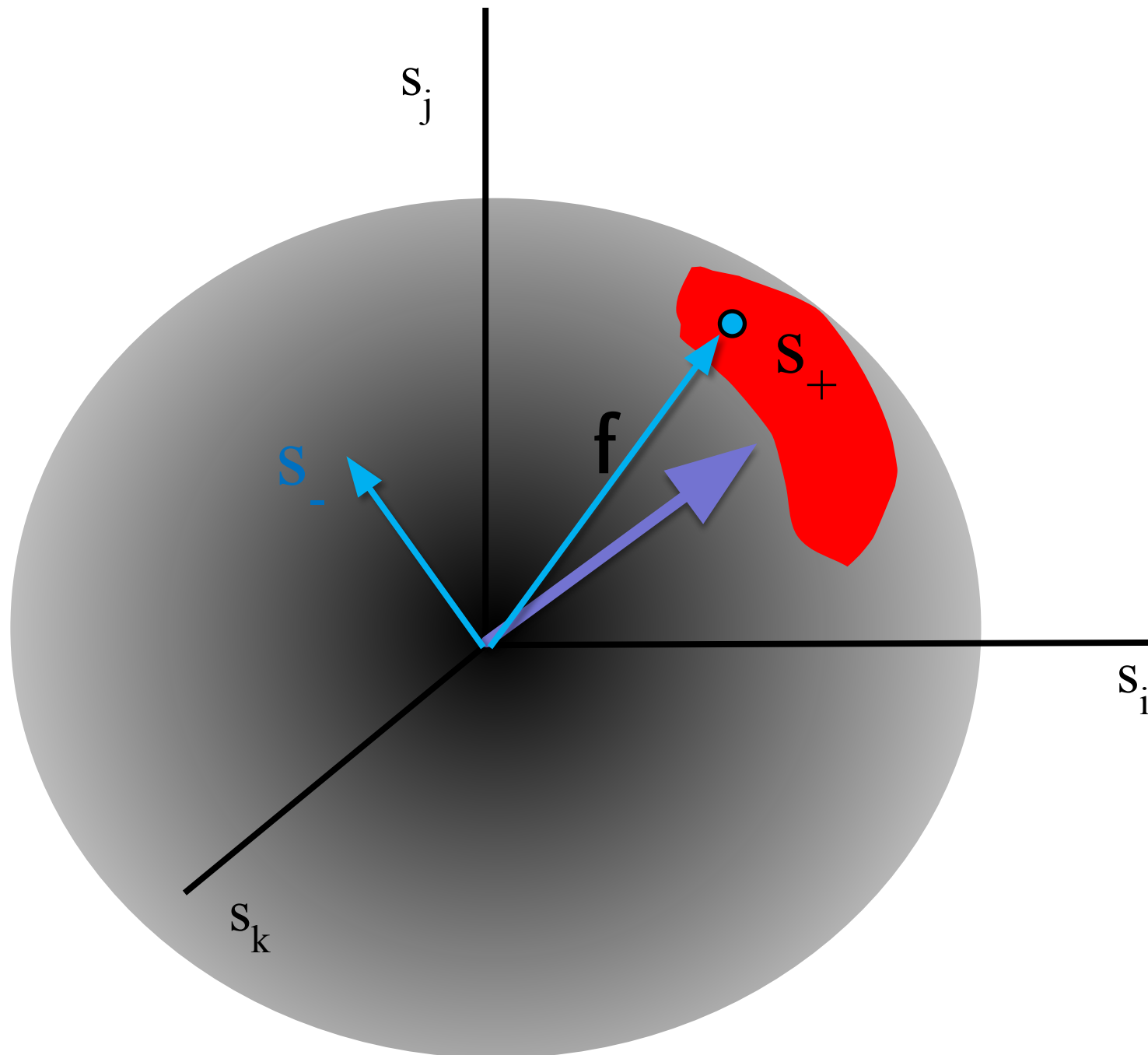


Filtering =  
convolution =  
projection =  
feature  
template

Linear filtering = convolution =  
projection

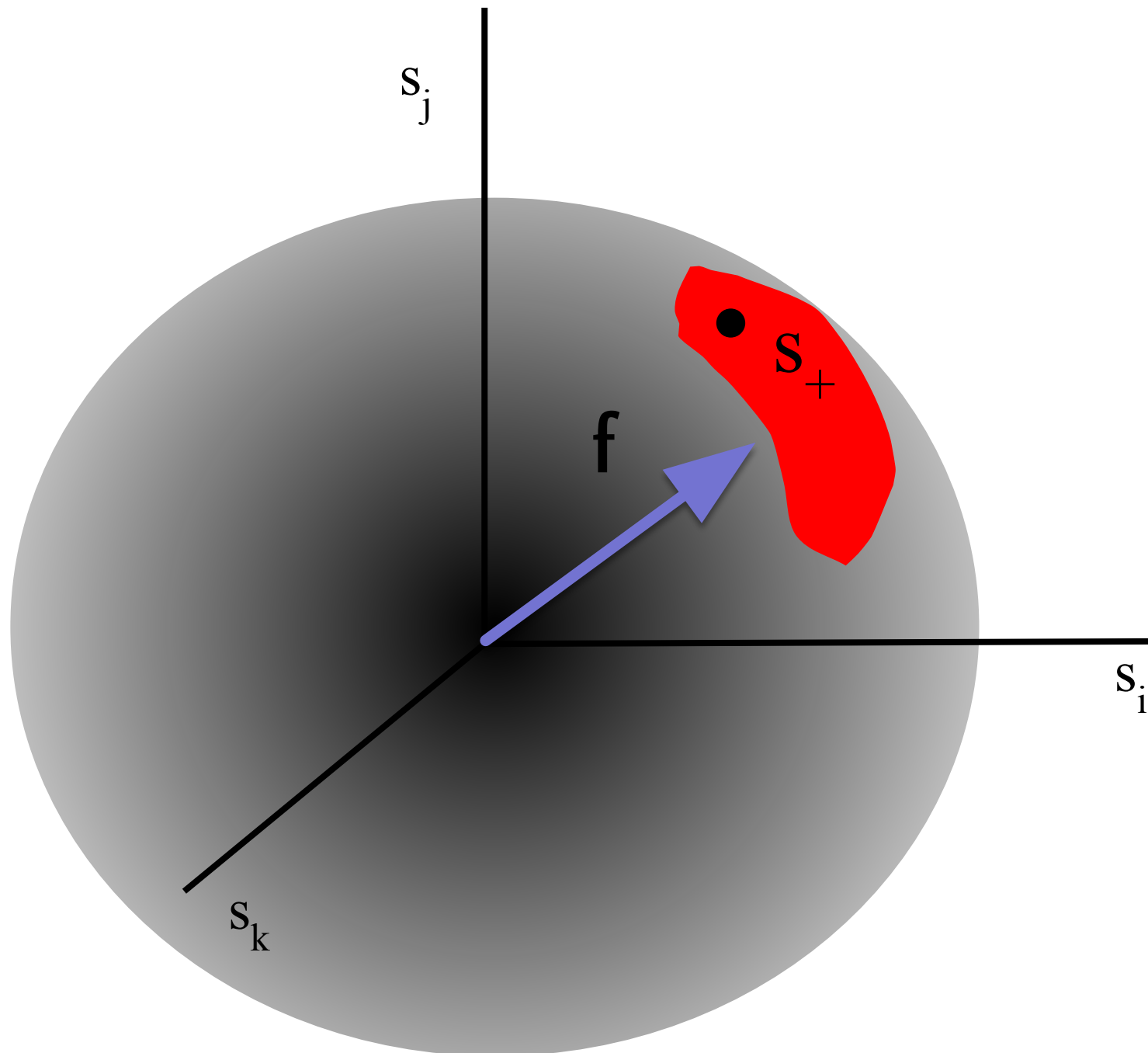
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$$\text{Linear filter: } r(t) = \int f(\tau) s(t-\tau) d\tau$$



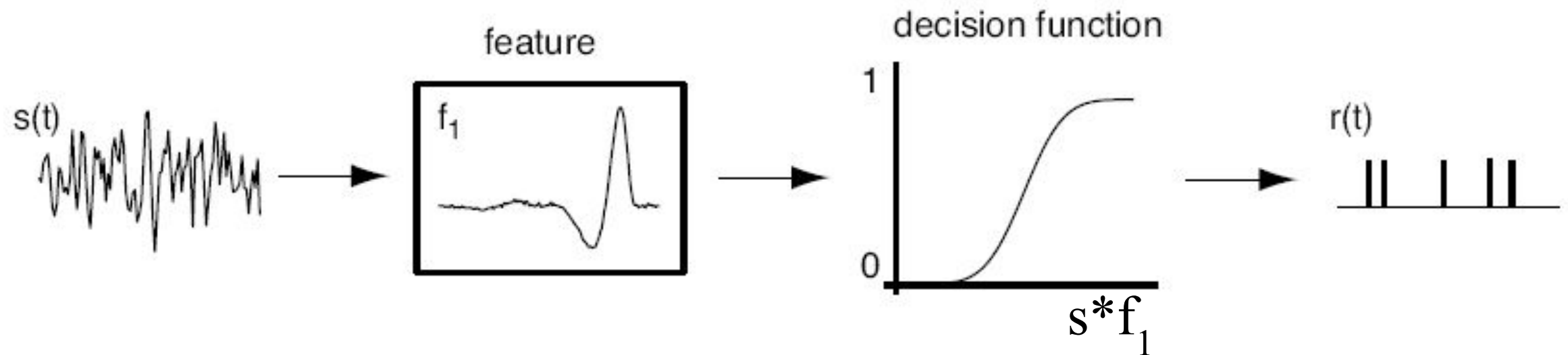
# Need another step

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# Next most basic coding model

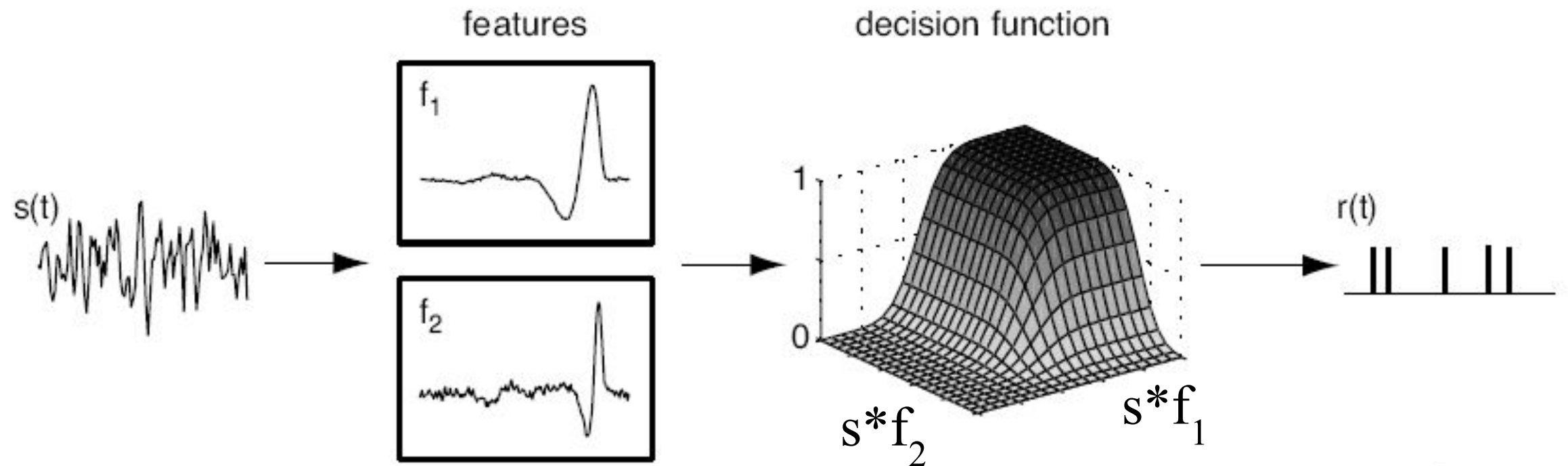
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Linear filter & nonlinearity:  $r(t) = g\left(\int f(\tau) s(t-\tau) d\tau\right)$

# Why stop there: multidimensional

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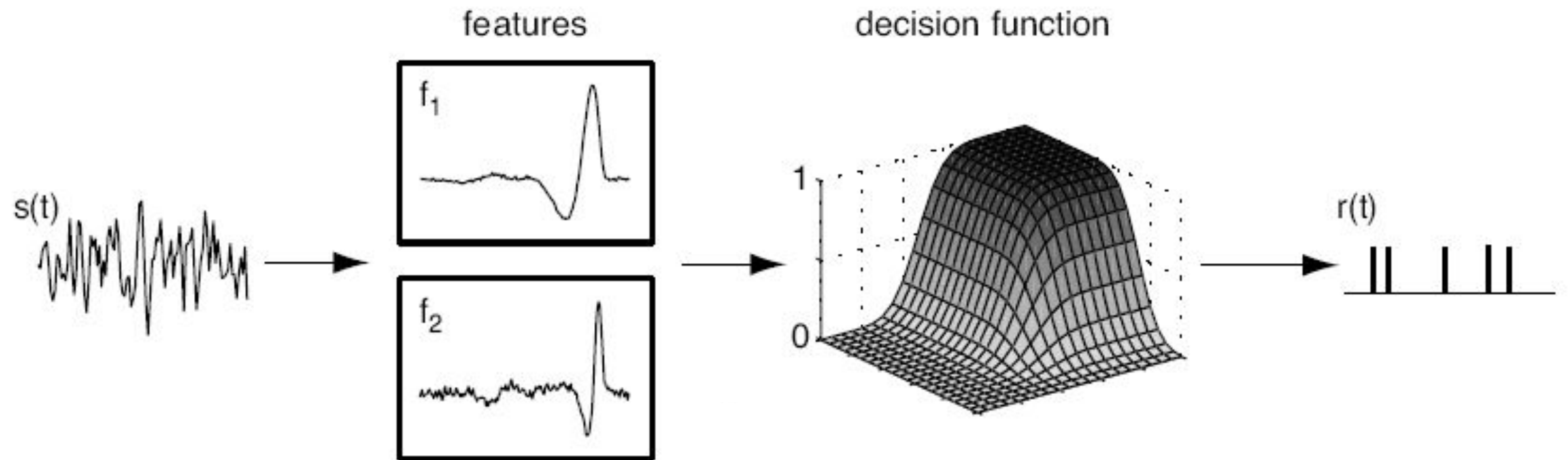


Linear filters & nonlinearity:  $r(t) = g(s*f_1, s*f_2, \dots, s*f_n)$



# Coding

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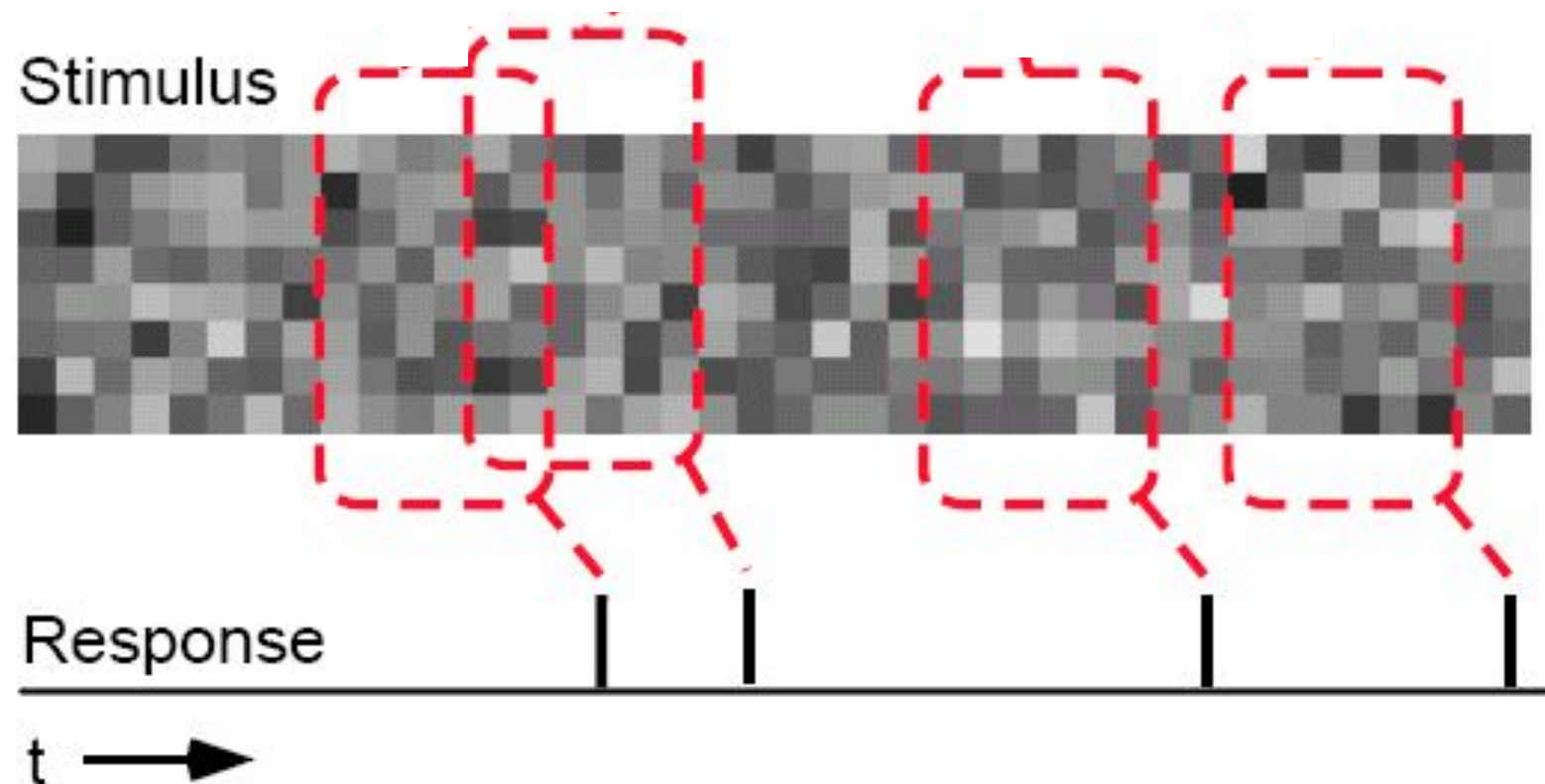


Generalizes the idea of representation to any arbitrary stimulus feature and any arbitrary nonlinearity

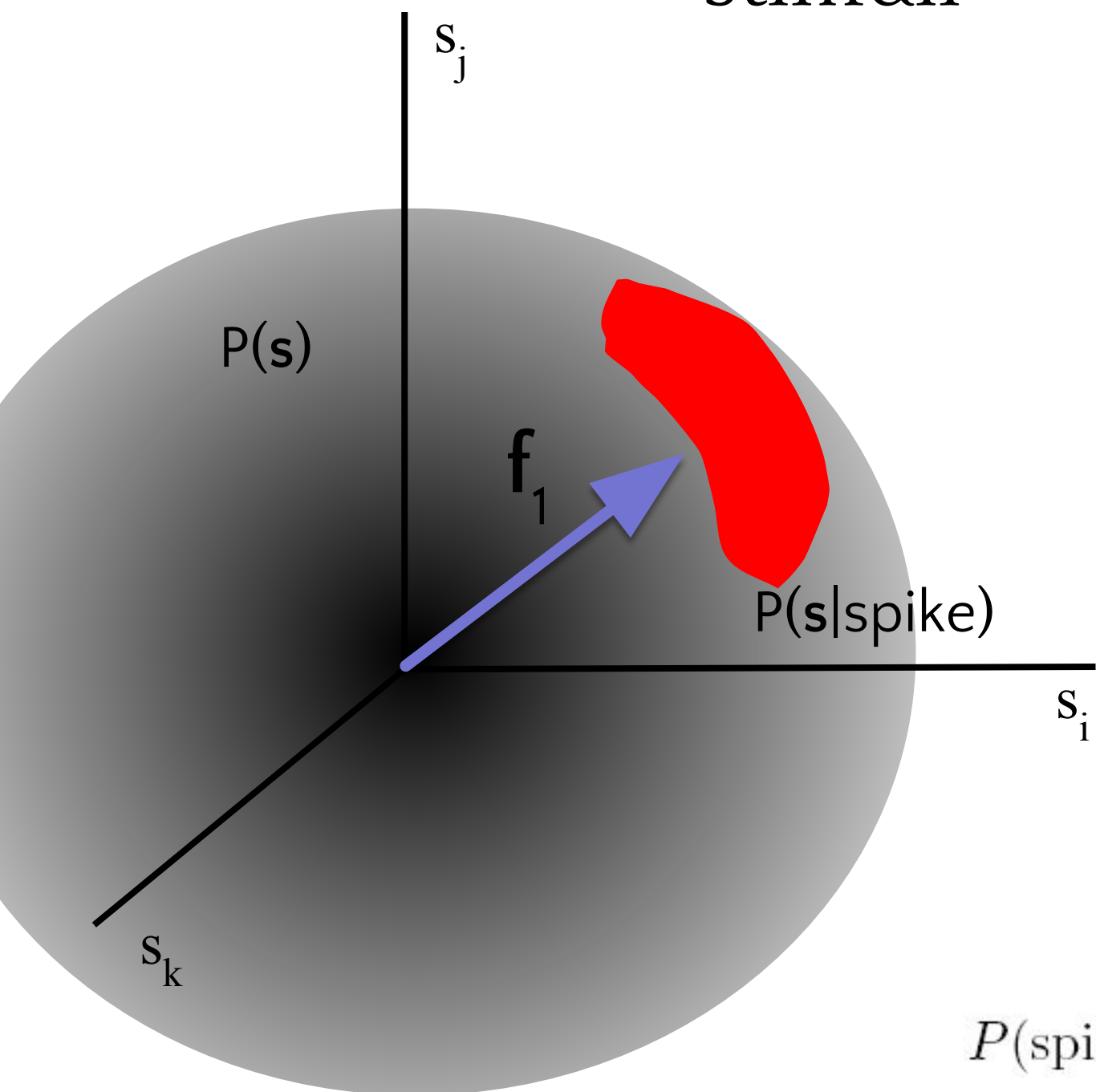
Allows us to quantify how neural representations change

# Determining models from random stimuli

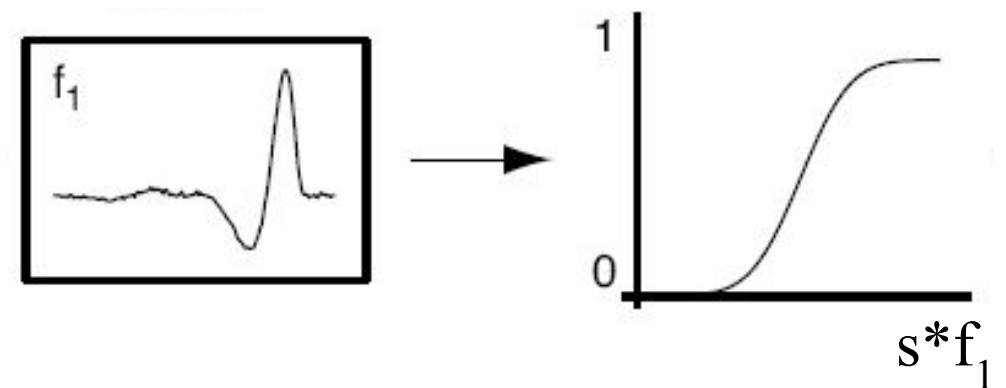
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# Determining models from Gaussian stimuli



mean



$$P(\text{spike}|\text{stimulus}) = \frac{P(\text{stimulus}|\text{spike})P(\text{spike})}{P(\text{stimulus})}$$

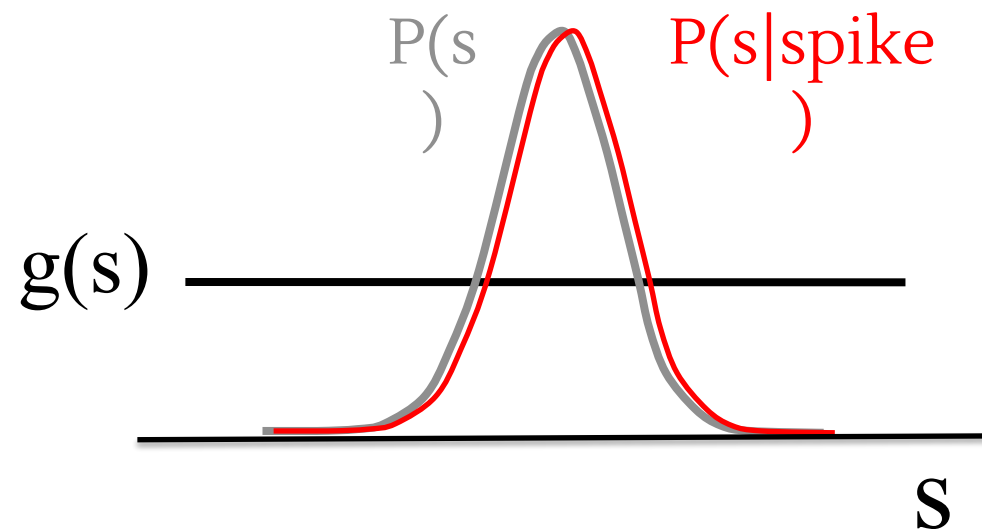
# Finding the nonlinearity

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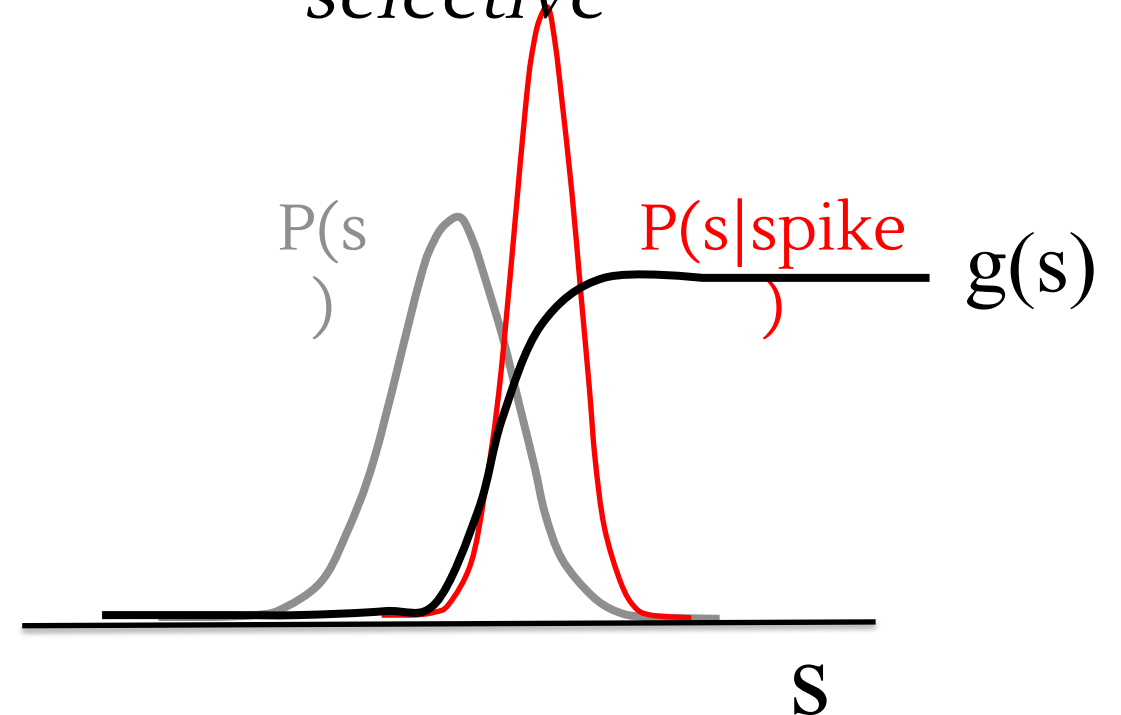
$$s = \text{stimulus} * f$$

Input/output: 
$$P(\text{spike}|s) = \frac{P(s|\text{spike}) P(\text{spike})}{P(s)}$$

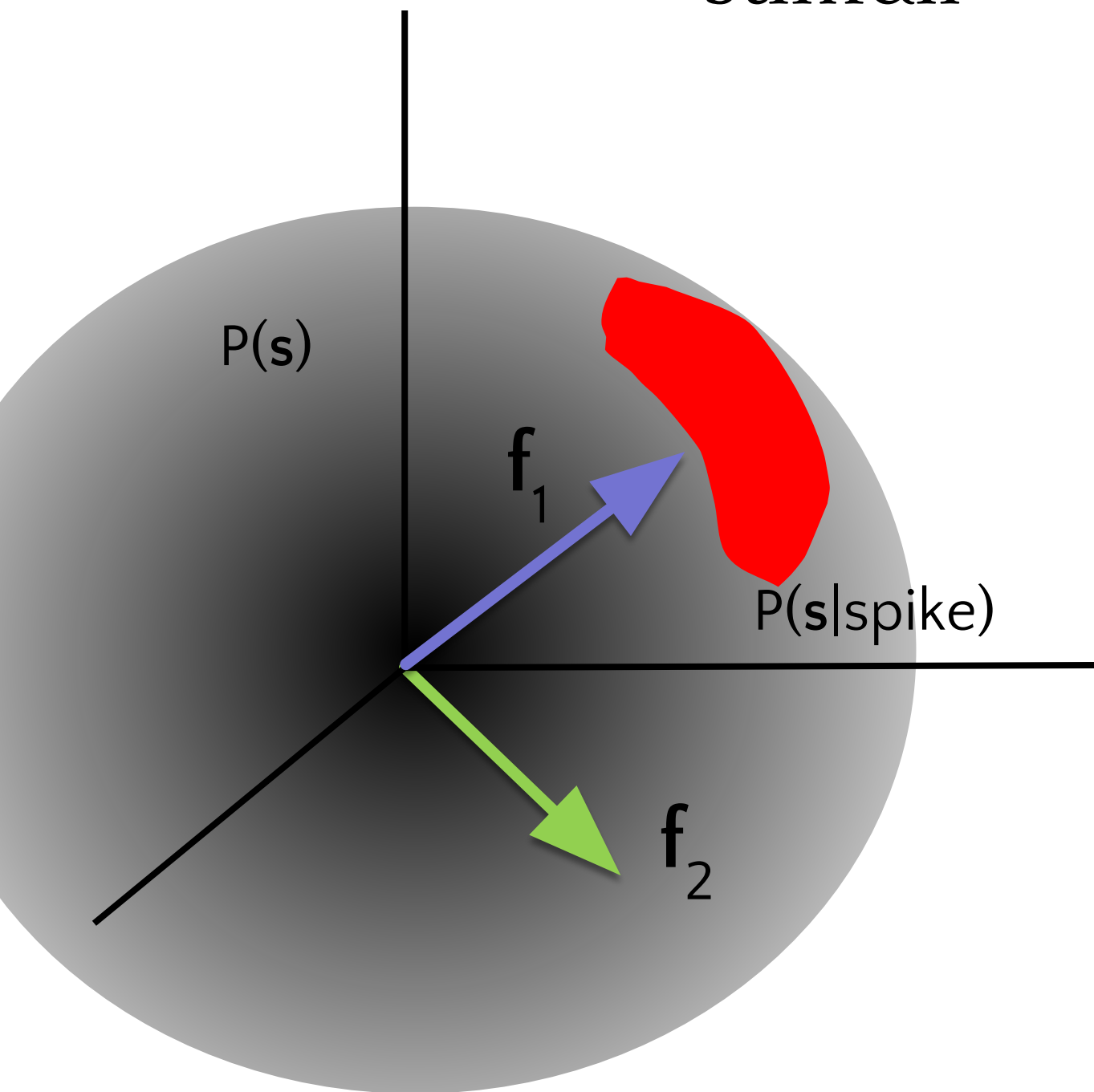
Fail: spikes *unrelated* to stimulus



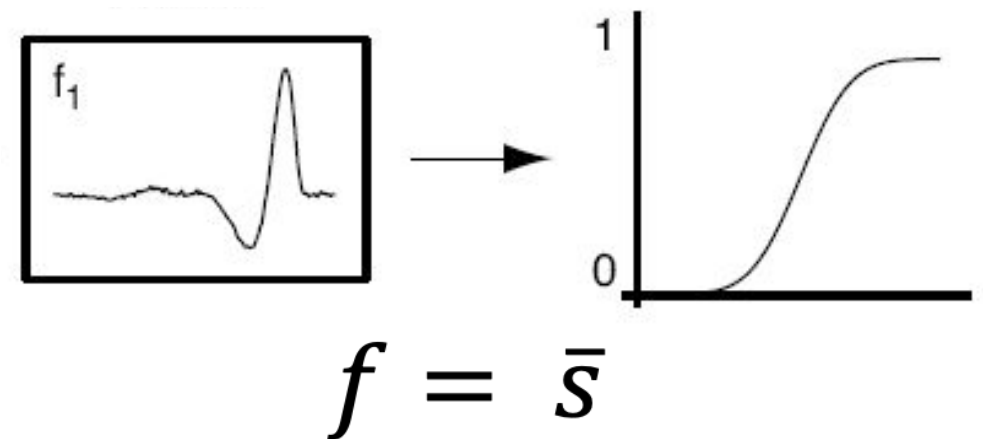
Interesting: spikes are *selective*



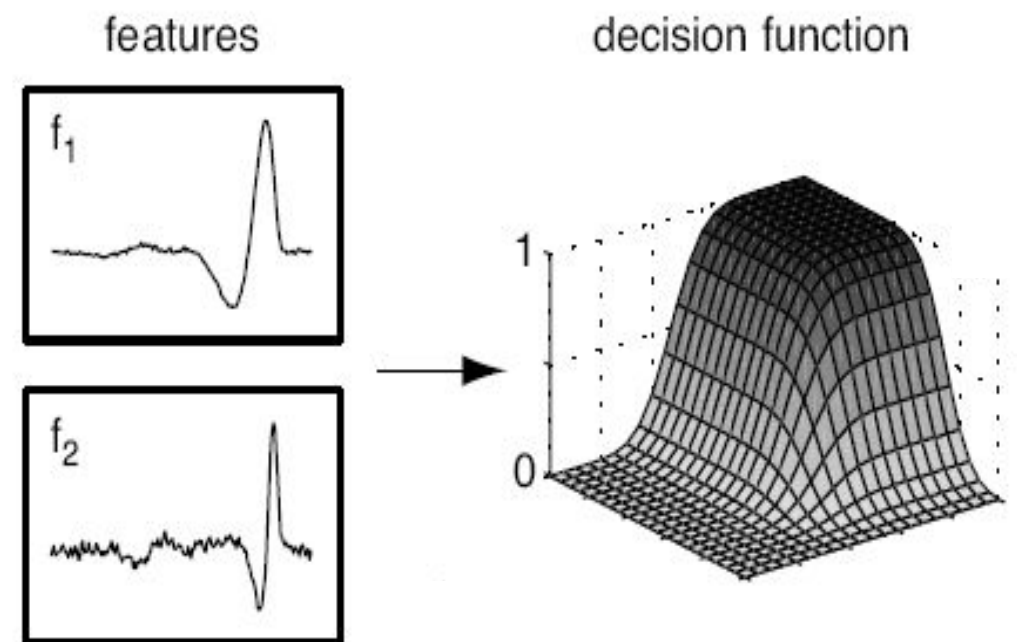
# Determining models from random stimuli



mean



covariance



$f_i, f_j \rightarrow$  eigenmodes

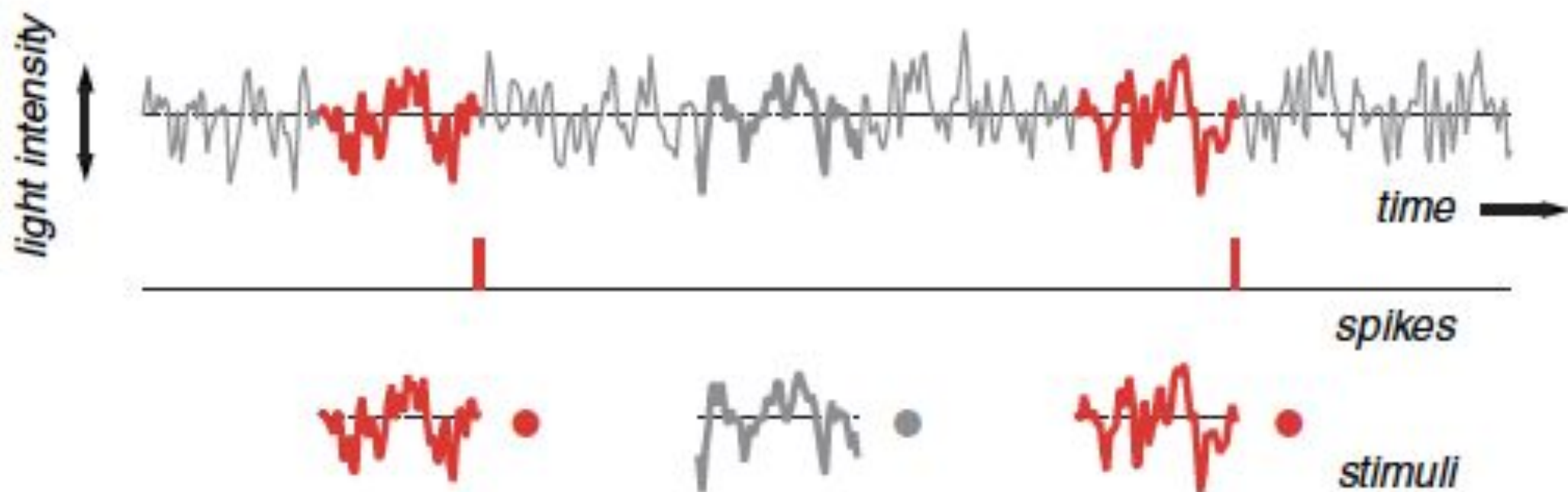


# Identifying multiple features

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Compute the covariance matrix of spike-conditioned stimulus samples:

$$C_{ij} = \langle (s(t - i\tau) - \bar{s}_i)(s(t - j\tau) - \bar{s}_j) \rangle$$

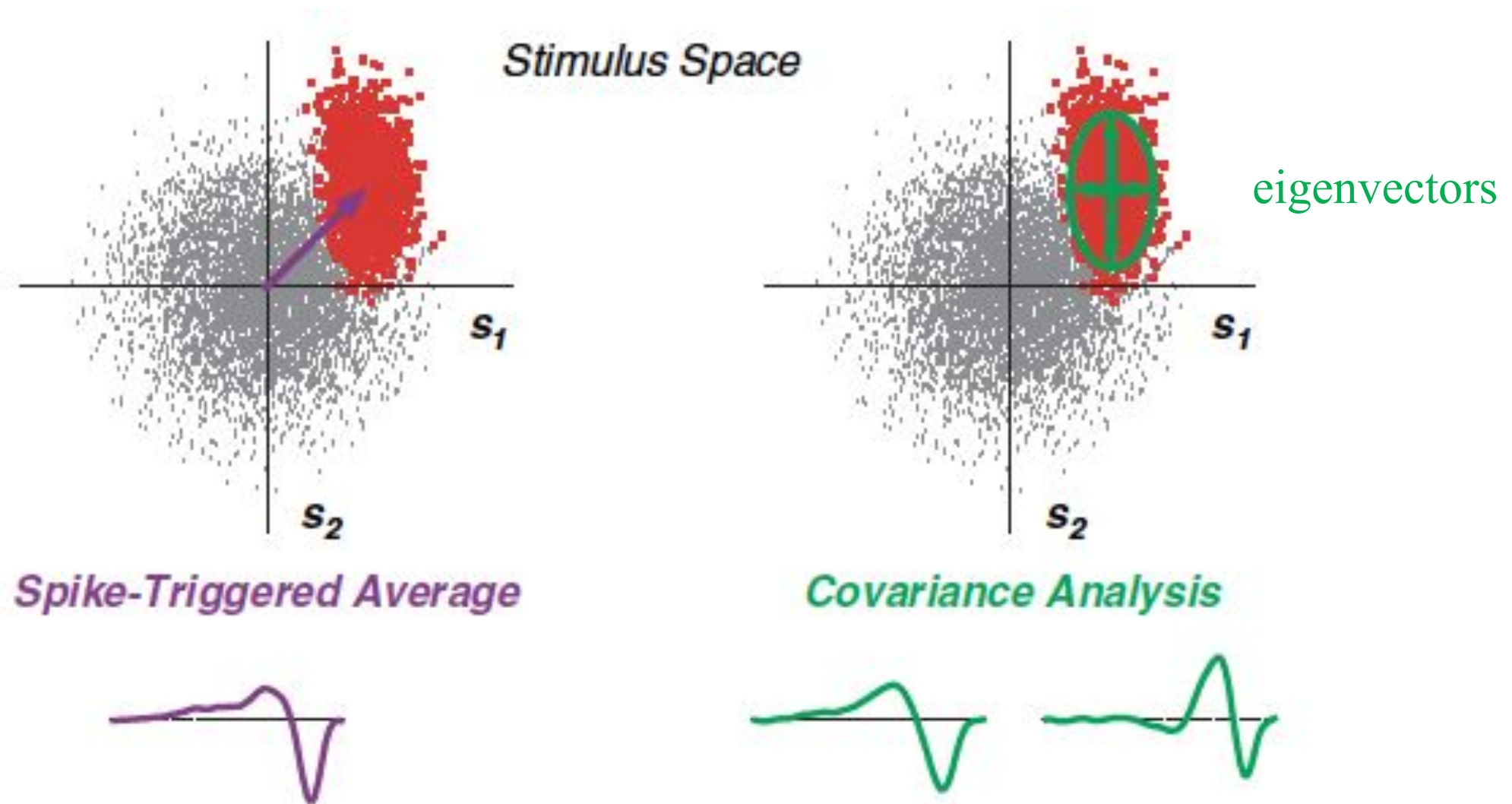


# Identifying multiple features

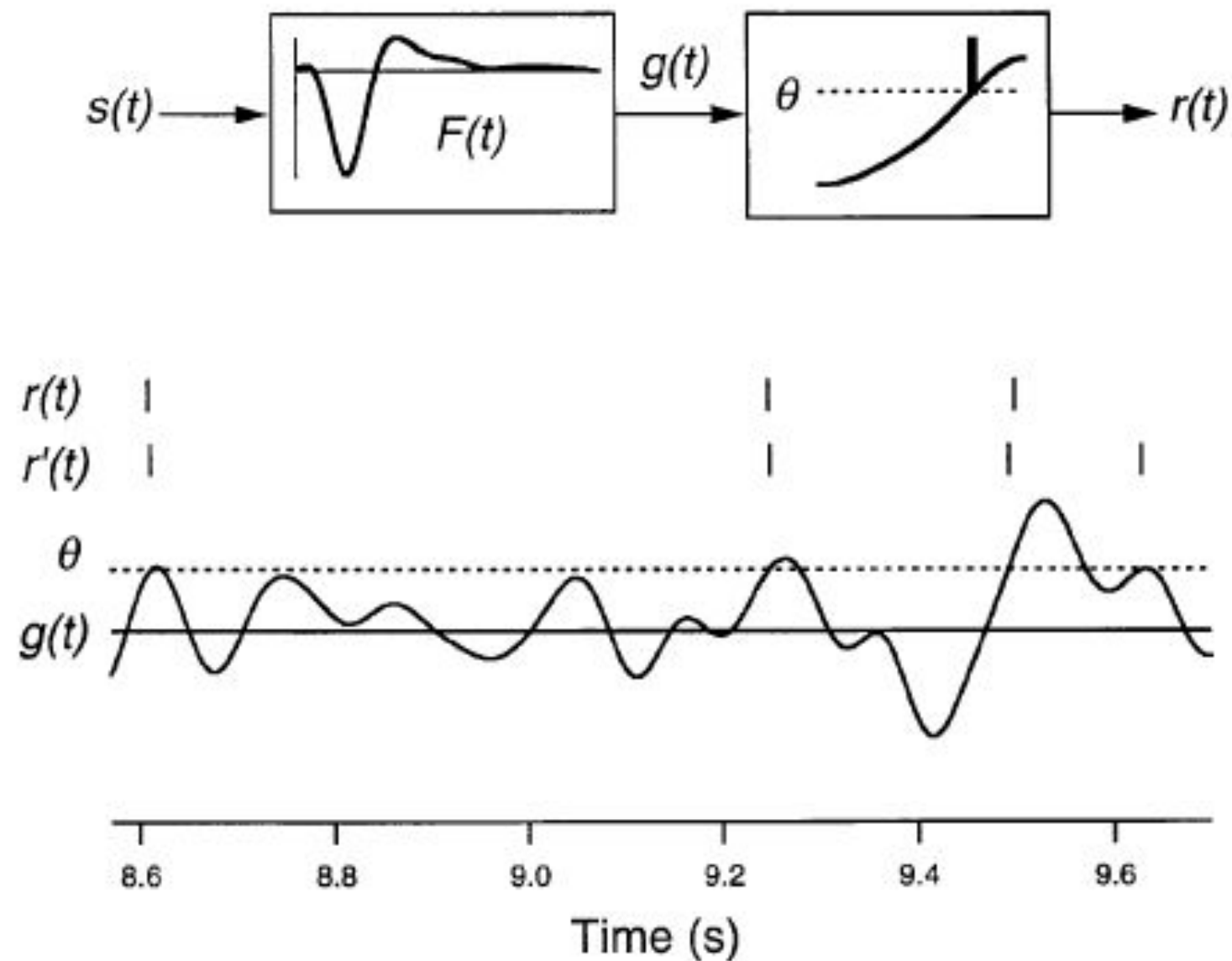
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Compute the covariance matrix of spike-conditioned stimulus samples:

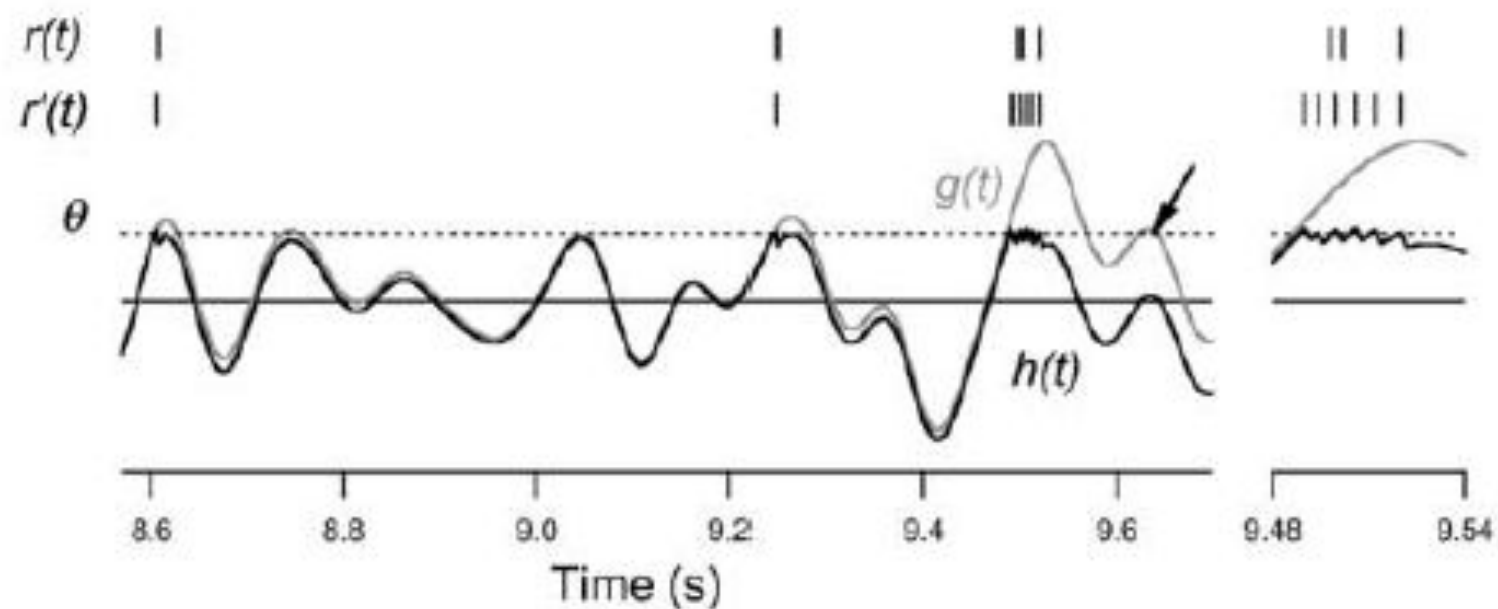
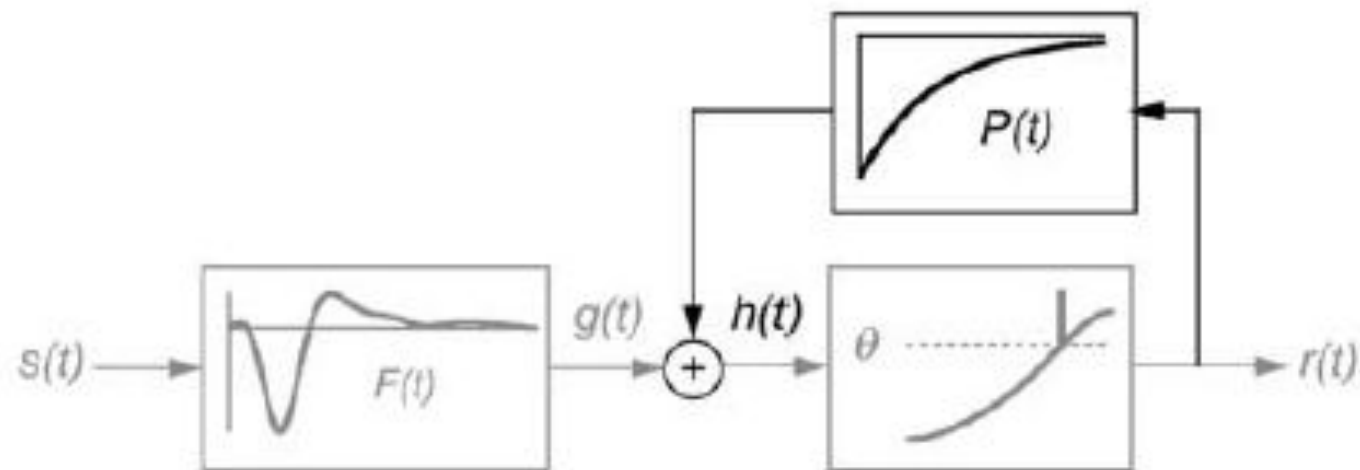
$$C_{ij} = \langle (s(t - i\tau) - \bar{s}_i)(s(t - j\tau) - \bar{s}_j) \rangle$$



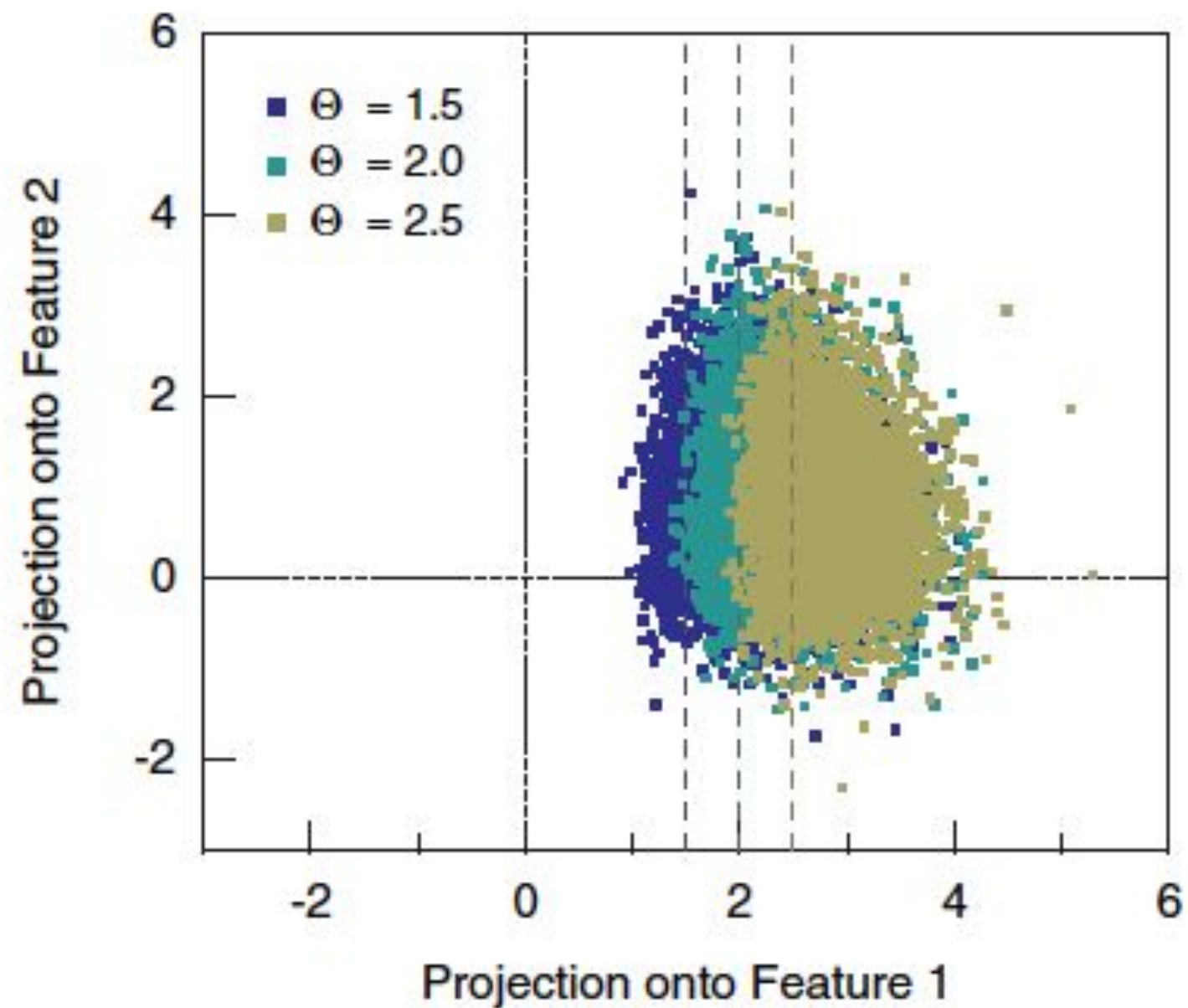
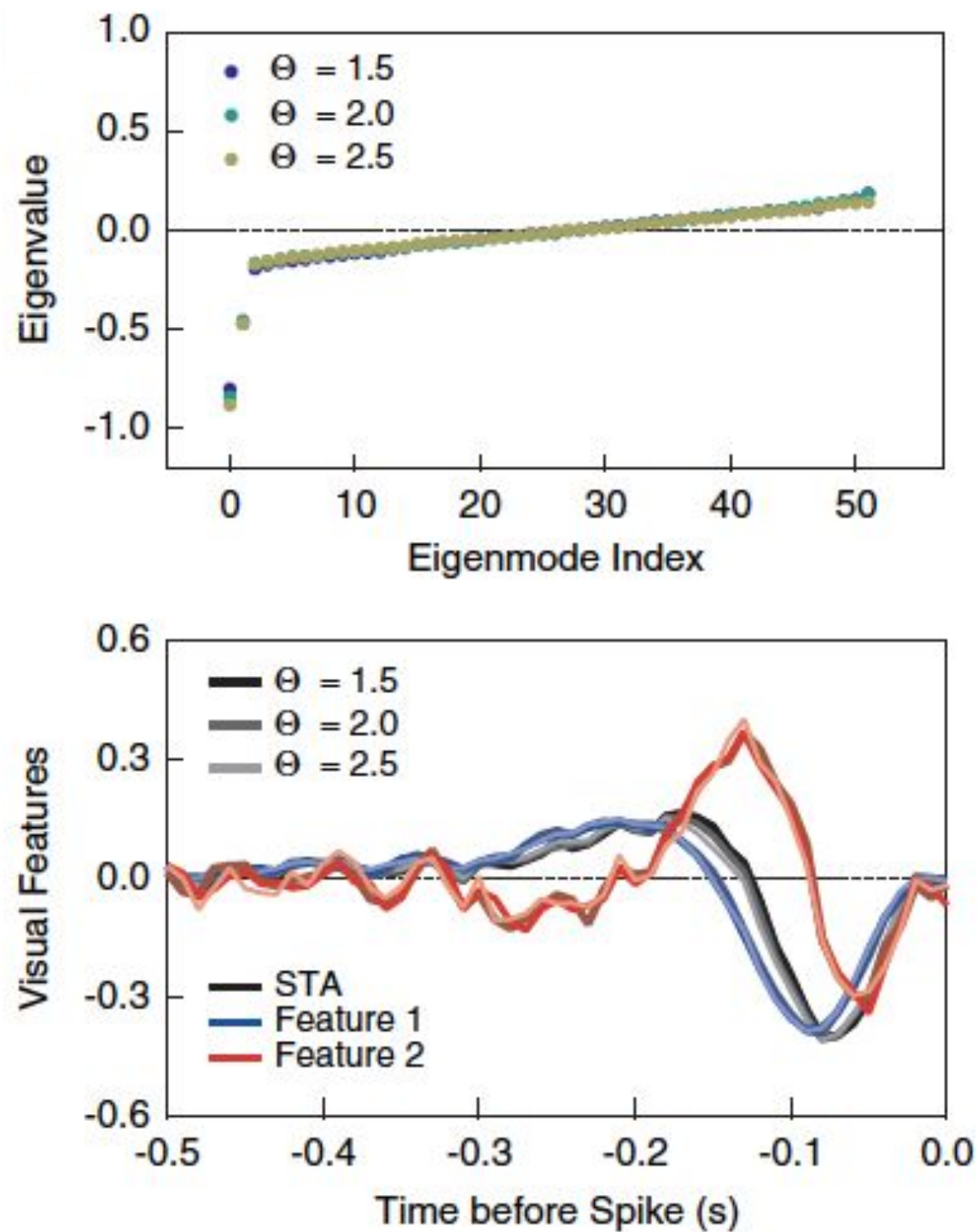
# How does this work with simple models?



# How does this work with simple models?



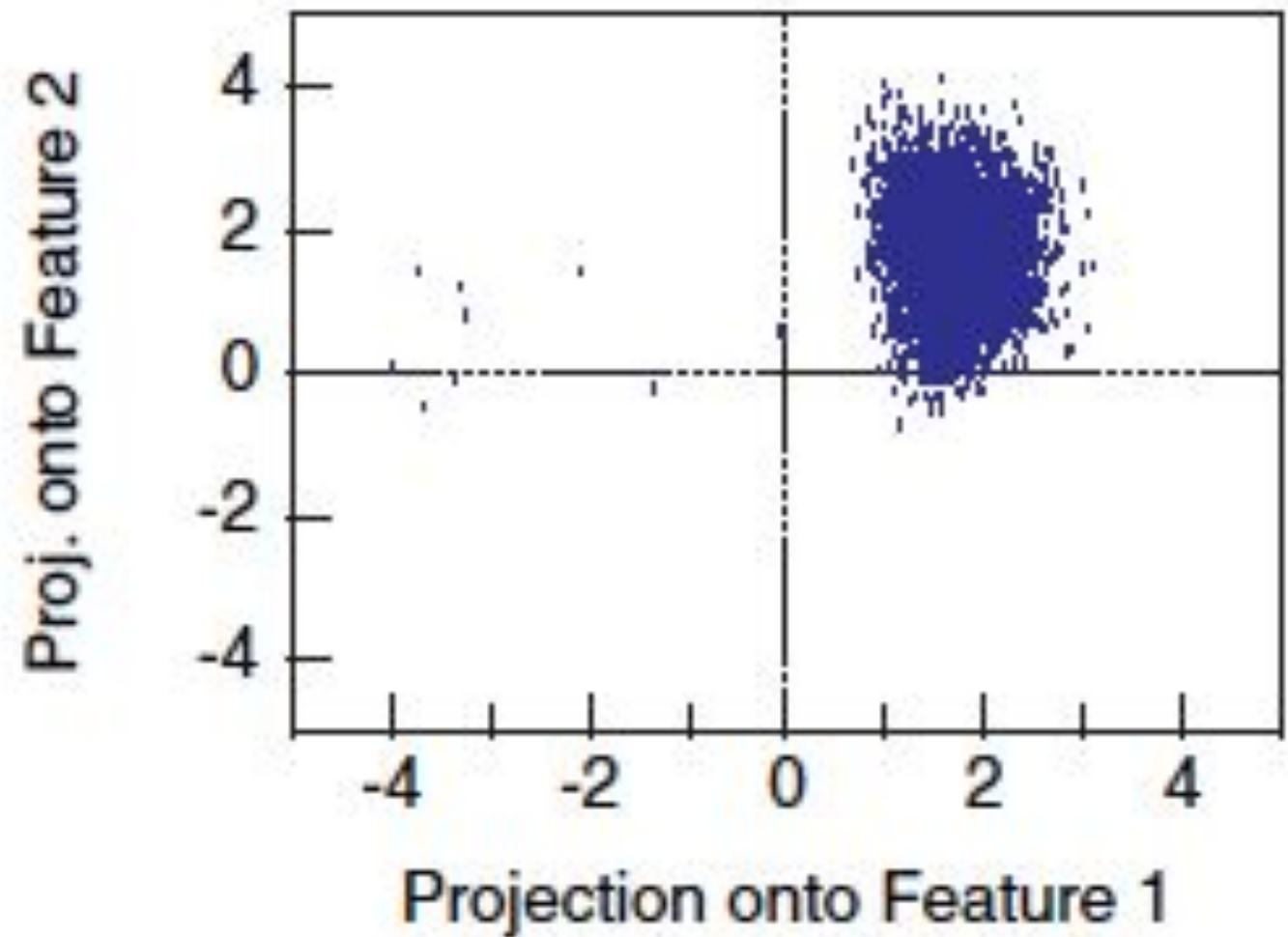
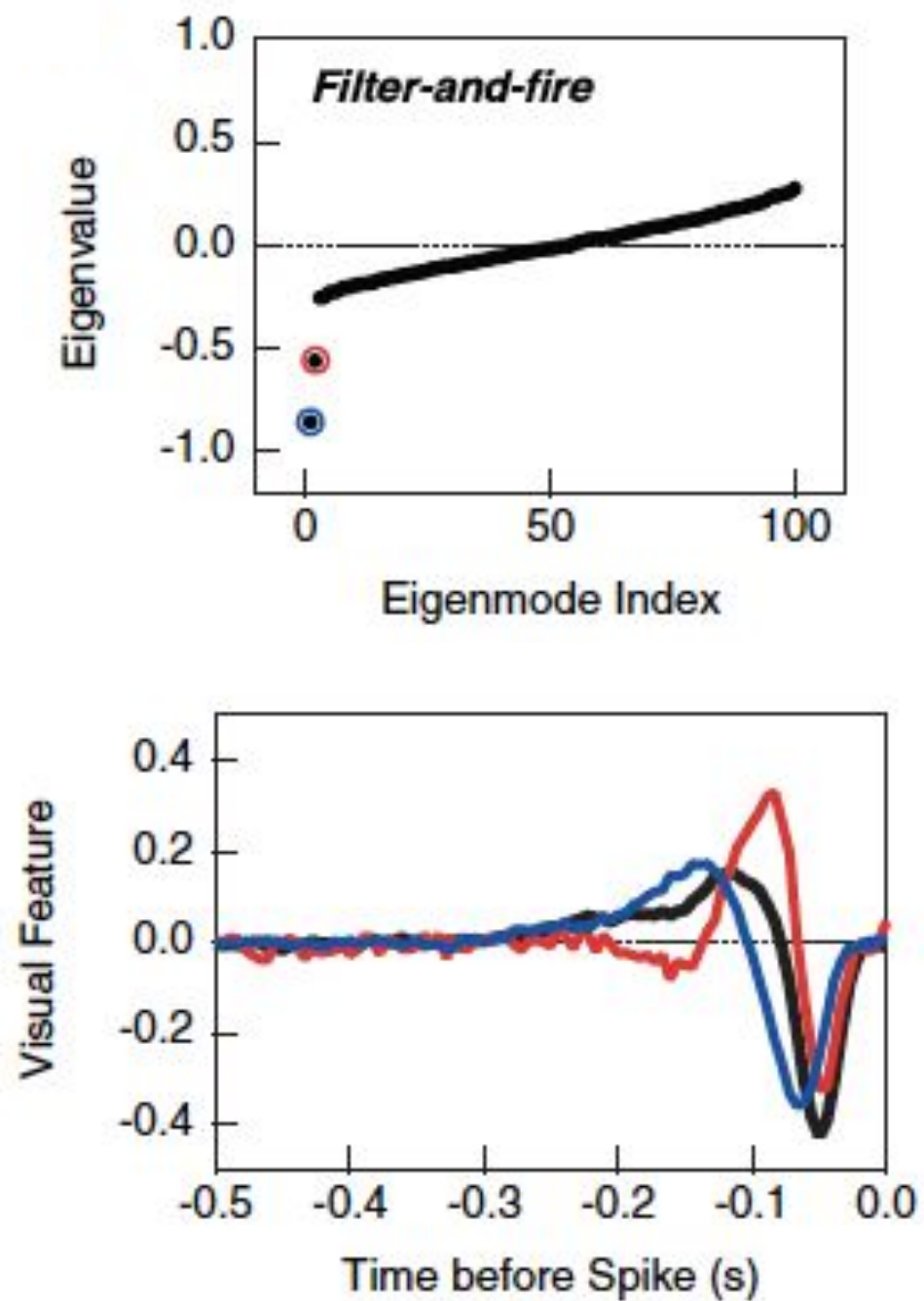
# Filter and fire model



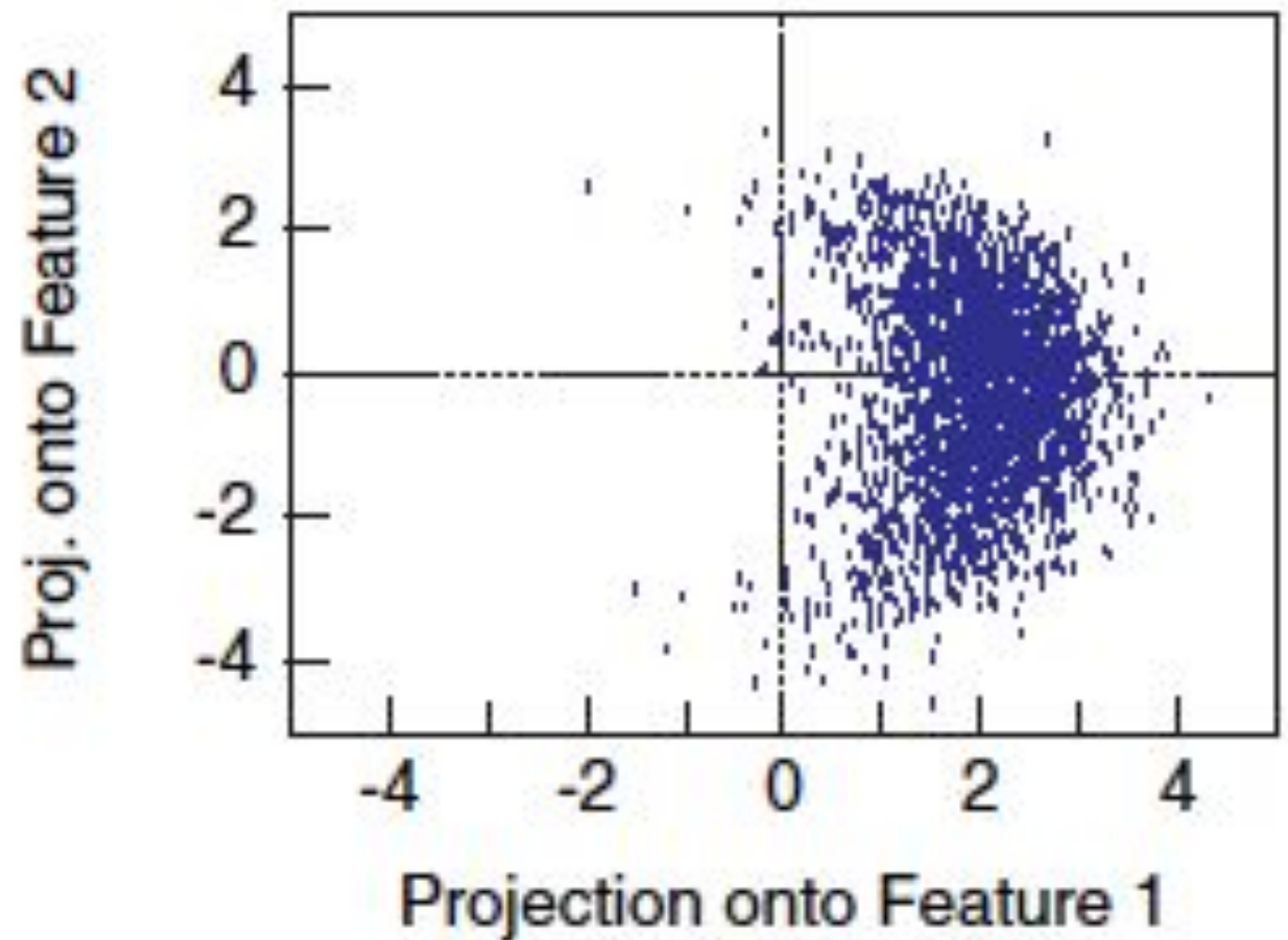
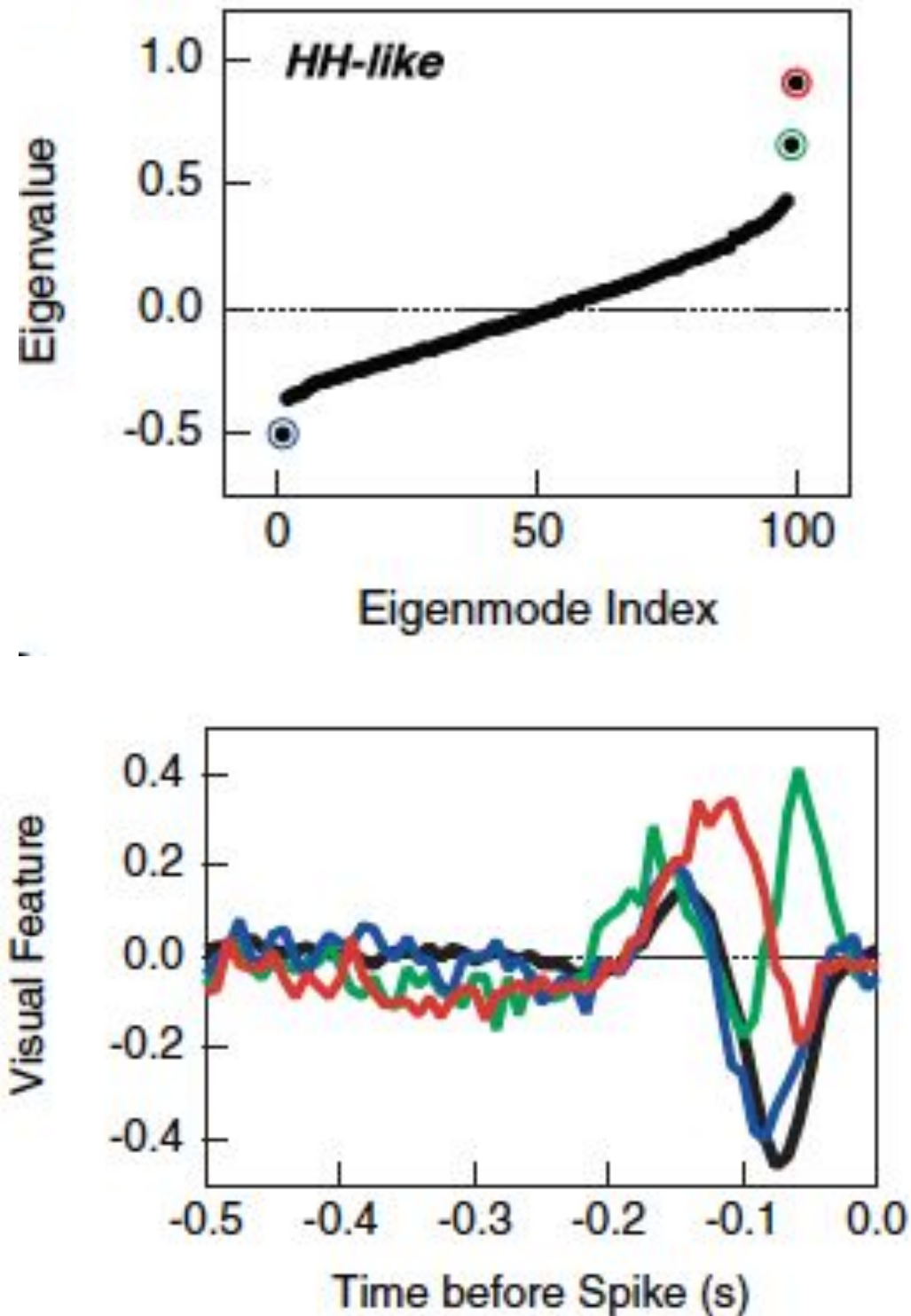


# Retinal ganglion cell types

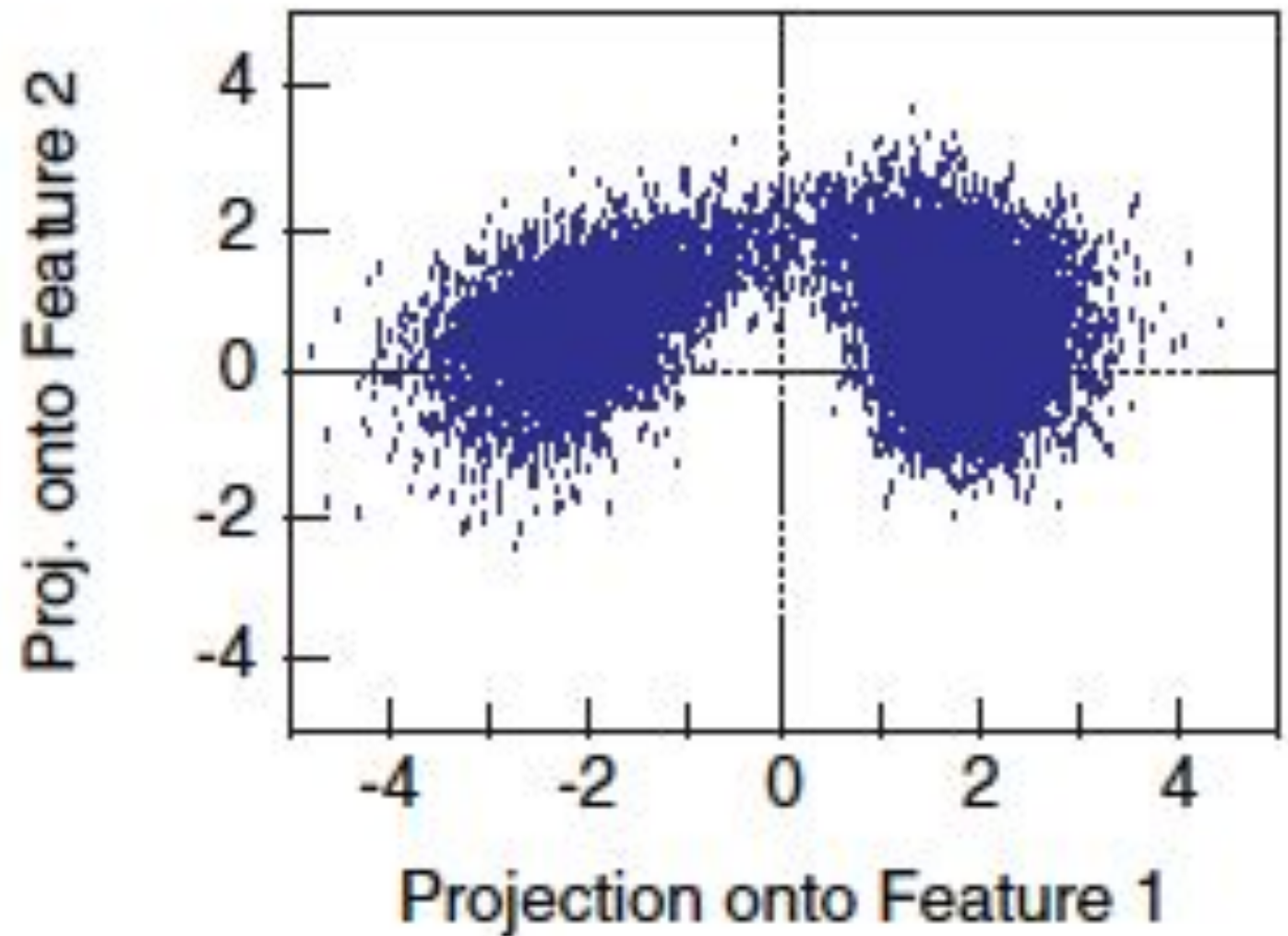
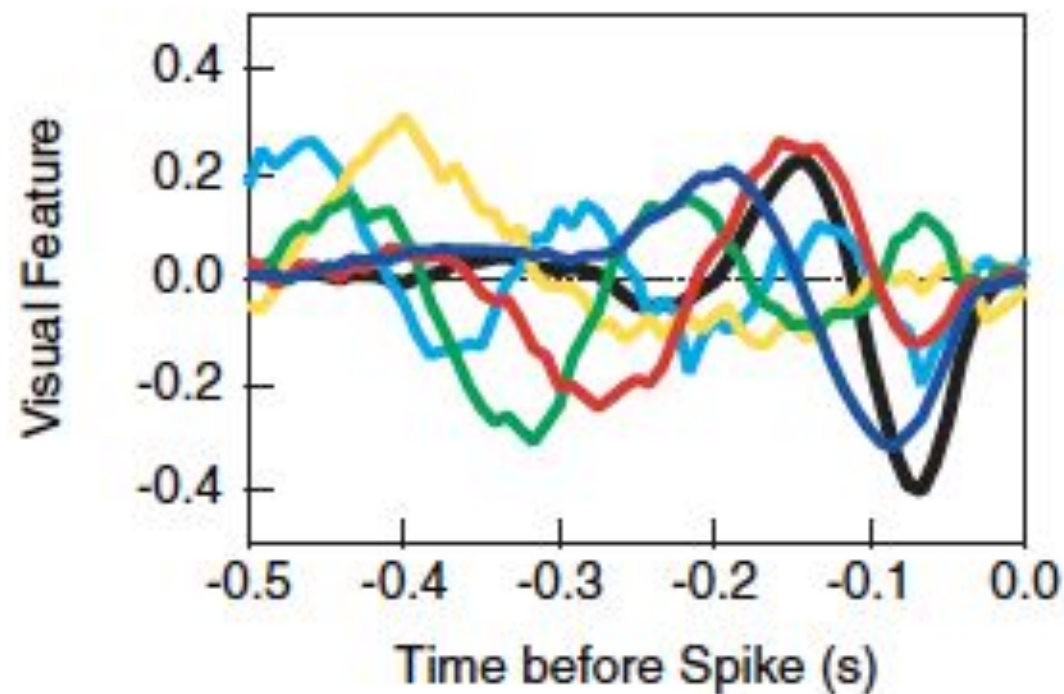
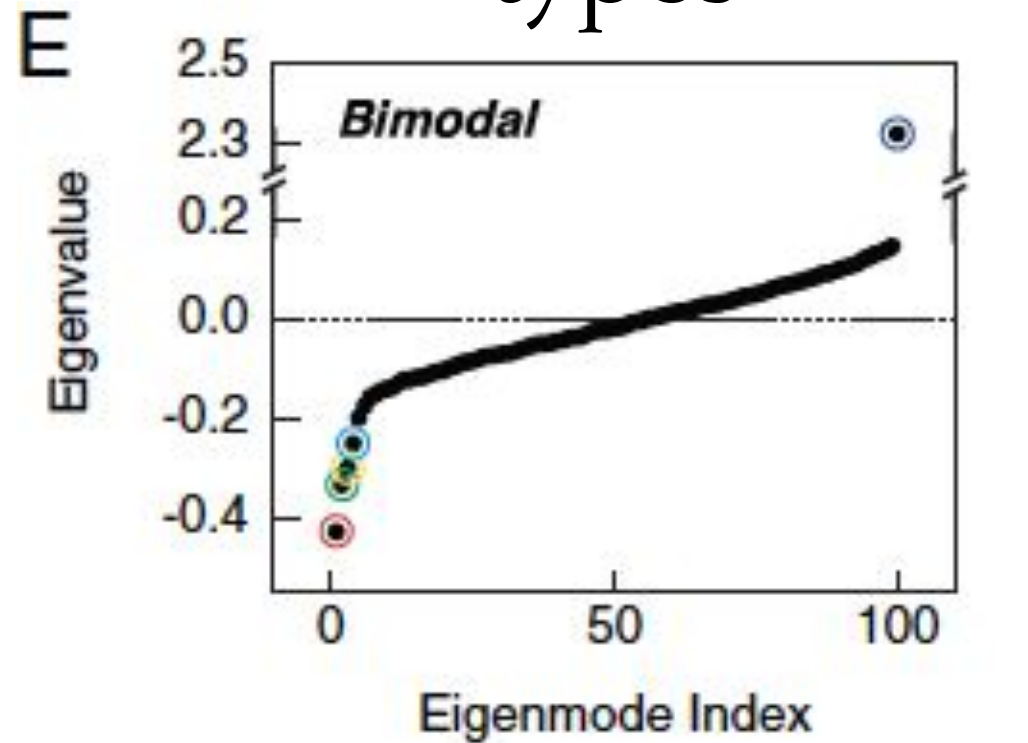
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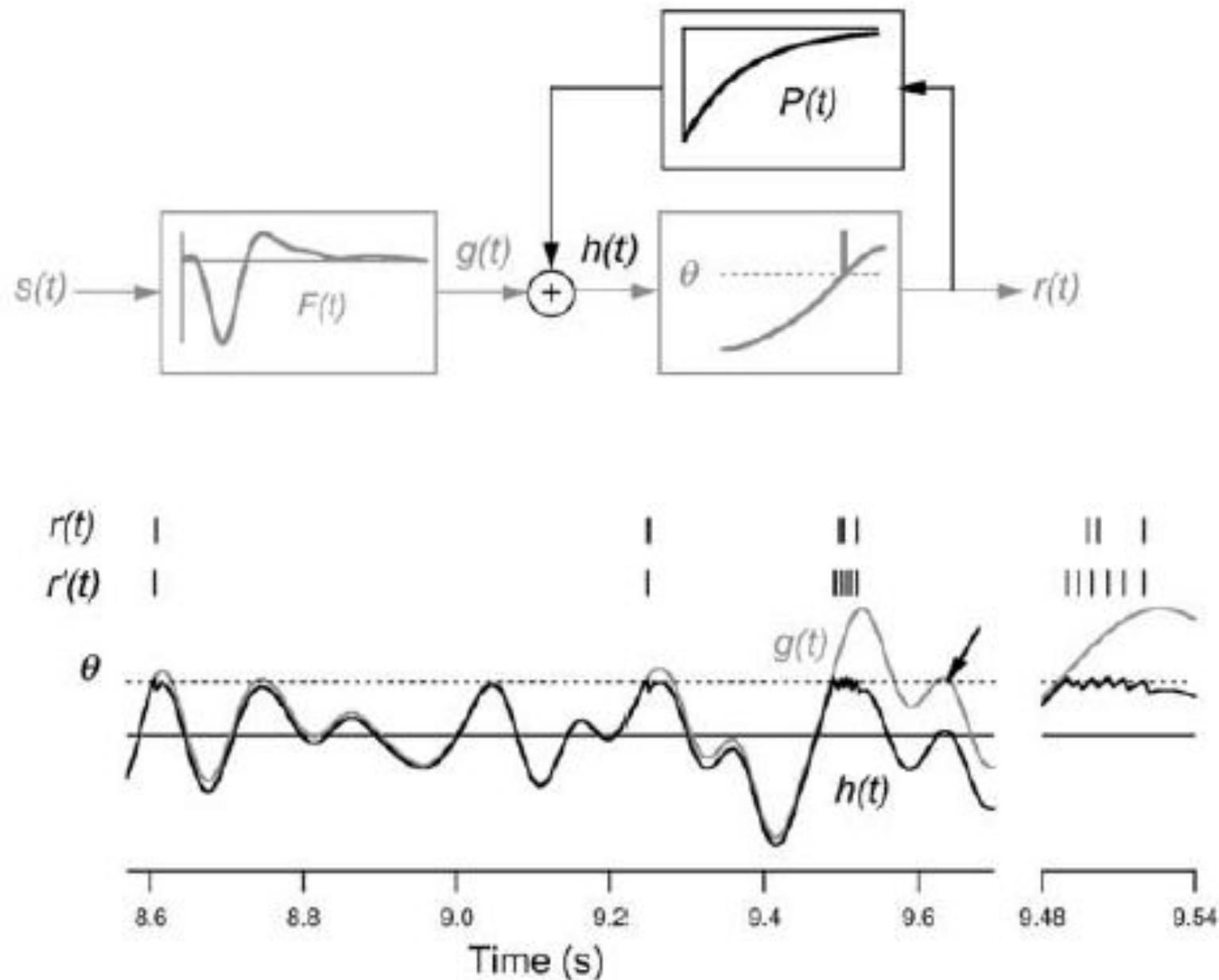
# Retinal ganglion cell types



# Retinal ganglion cell types

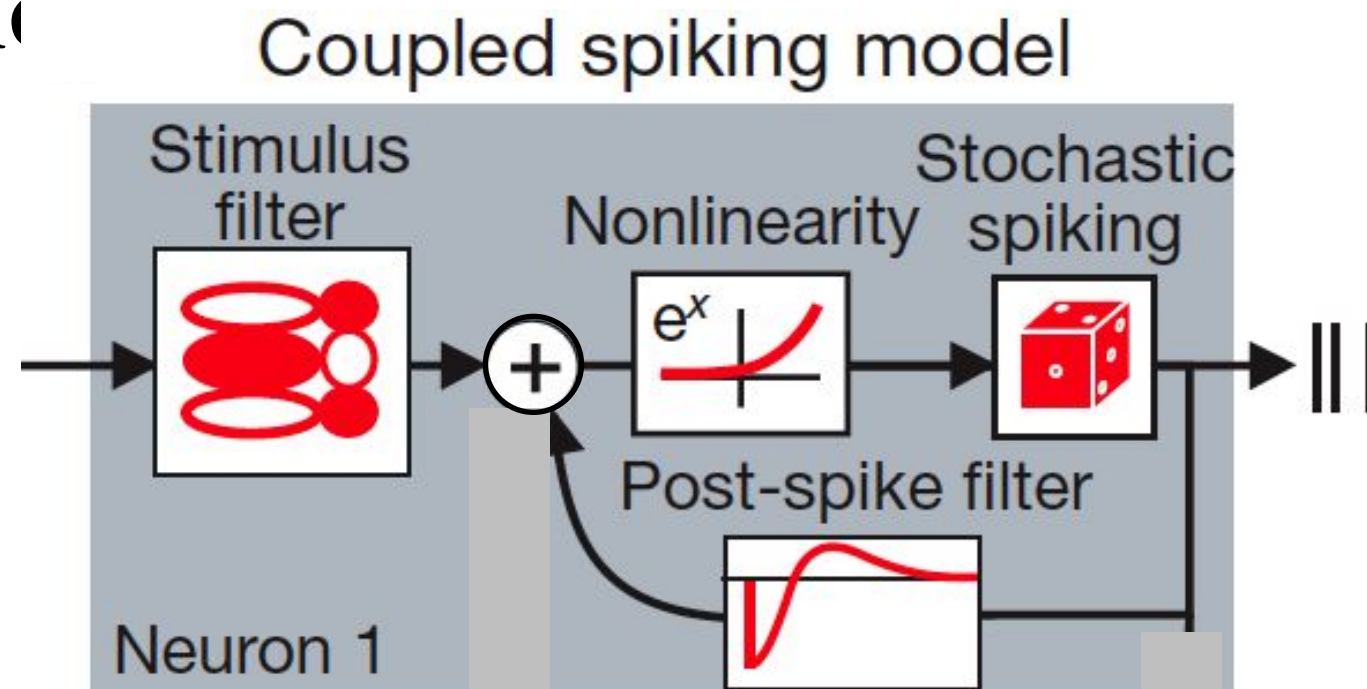


# How might one deal with spike history effects?





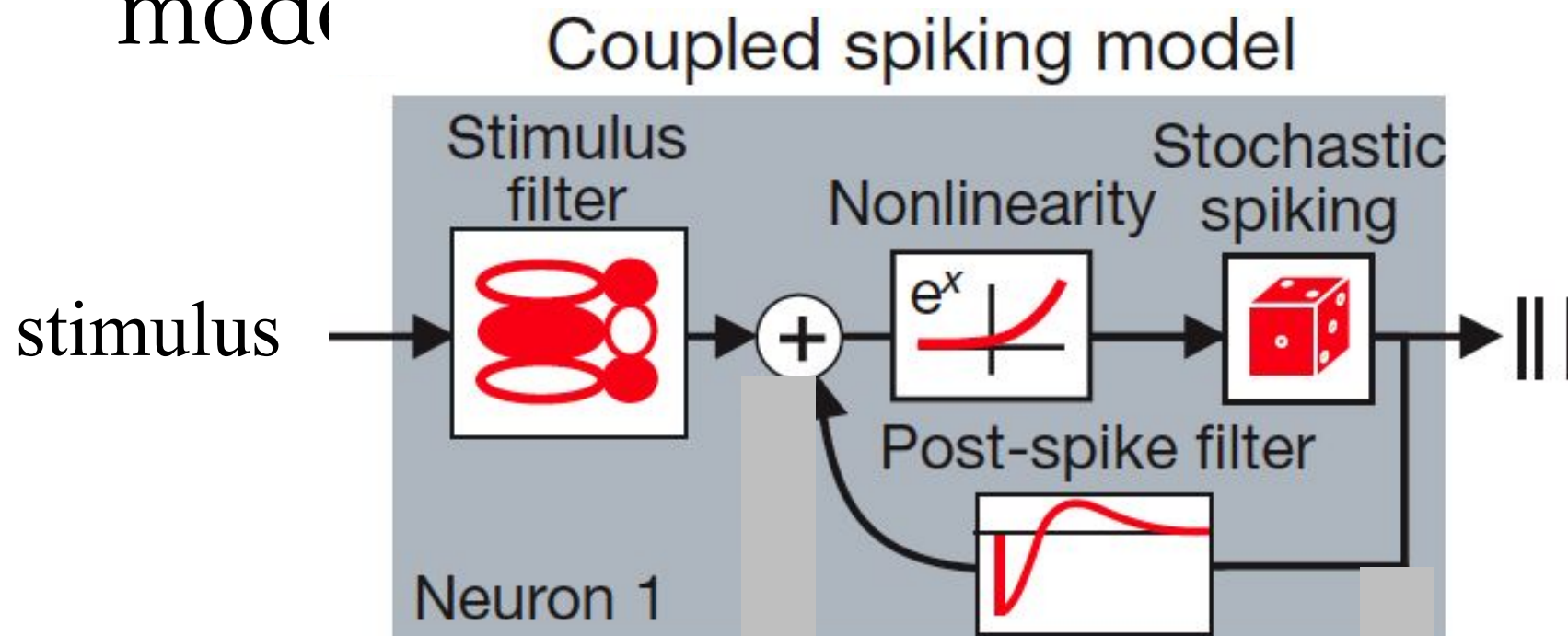
# Generalized linear model



$$\text{GLM: } r(t) = g(f*s + h*r + \dots)$$

# Generalized linear

model



$$\text{GLM: } r(t) = g(f*s + h*r + \dots)$$

Fit by writing down the *likelihood* of the spike data given

a choice of model, and maximizing over the free parameters of the model ( $g, f, h, \dots$ )

*Poisson*

$$P_T(k) = \frac{(r(t)T)^k \exp(-r(t)T)}{k!}$$

Pillow et al., *Nature* 2008; Truccolo, .., Brown, *J. Neurophysiol.*



# Comparison for real-life data

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## Neuron Primer

### Analysis of Neuronal Spike Trains, Deconstructed

Johnatan Aljadeff,<sup>1,2,\*</sup> Benjamin J. Lansdell,<sup>3</sup> Adrienne L. Fairhall,<sup>4,5</sup> and David Kleinfeld<sup>1,6,7,\*</sup>

<sup>1</sup>Department of Physics, University of California, San Diego, San Diego, CA 92093, USA

<sup>2</sup>Department of Neurobiology, University of Chicago, Chicago, IL 60637, USA

<sup>3</sup>Department of Applied Mathematics, University of Washington, Seattle, WA 98195, USA

<sup>4</sup>Department of Physiology and Biophysics, University of Washington, Seattle, WA 98195, USA

<sup>5</sup>WRF UW Institute for Neuroengineering, University of Washington, Seattle, WA 98195, USA

<sup>6</sup>Section of Neurobiology, University of California, San Diego, La Jolla, CA 92093, USA

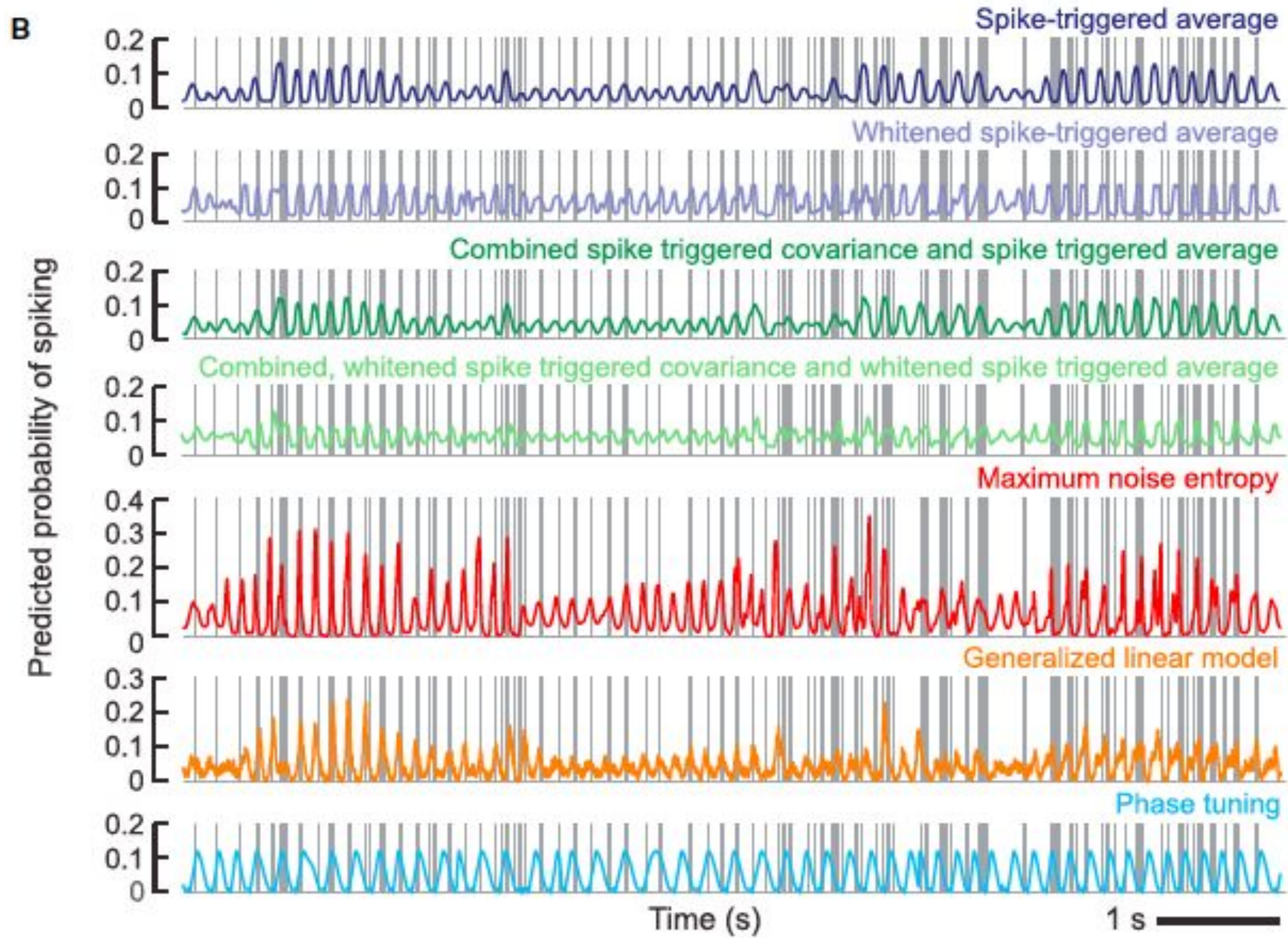
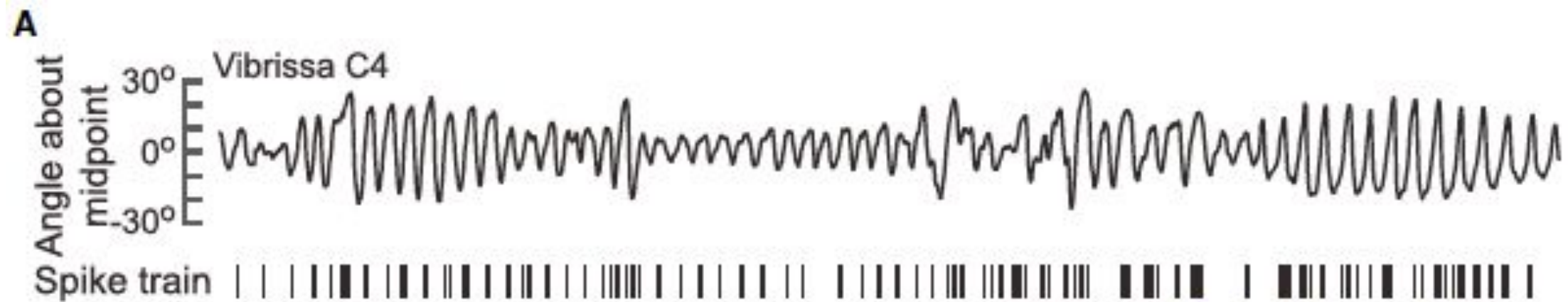
<sup>7</sup>Department of Electrical and Computer Engineering, University of California, San Diego, La Jolla, CA 92093, USA

\*Correspondence: [aljadeff@uchicago.edu](mailto:aljadeff@uchicago.edu) (J.A.), [dk@physics.ucsd.edu](mailto:dk@physics.ucsd.edu) (D.K.)

<http://dx.doi.org/10.1016/j.neuron.2016.05.039>

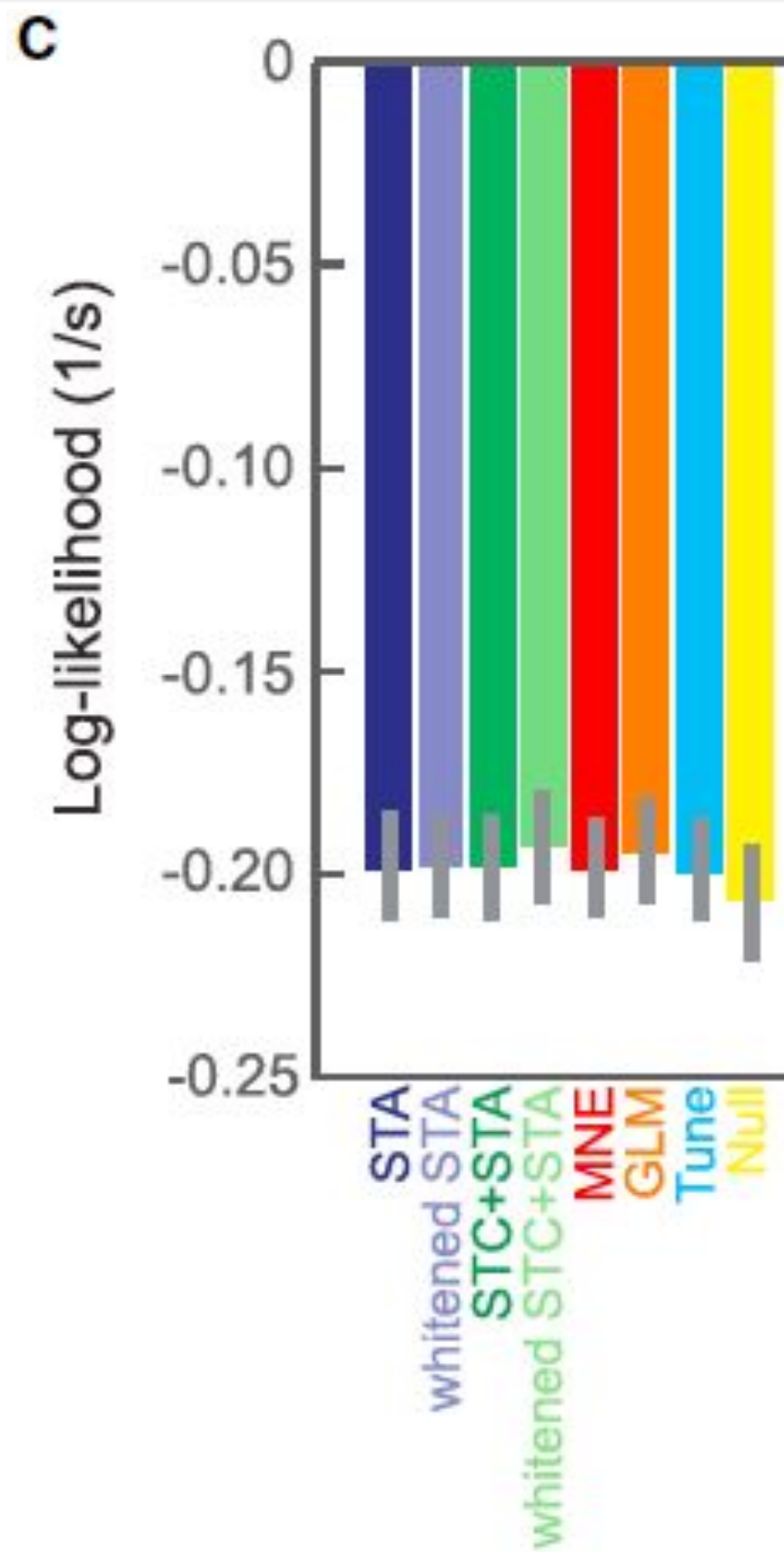
As information flows through the brain, neuronal firing progresses from encoding the world as sensed by the animal to driving the motor output of subsequent behavior. One of the more tractable goals of quantitative neuroscience is to develop predictive models that relate the sensory or motor streams with neuronal firing. Here we review and contrast analytical tools used to accomplish this task. We focus on classes of models in which the external variable is compared with one or more feature vectors to extract a low-dimensional representation, the history of spiking and other variables are potentially incorporated, and these factors are nonlinearly transformed to predict the occurrences of spikes. We illustrate these techniques in application to datasets of different degrees of complexity. In particular, we address the fitting of models in the presence of strong correlations in the external variable, as occurs in natural sensory stimuli and in movement. Spectral correlation between predicted and measured spike trains is introduced to contrast the relative success of different methods.





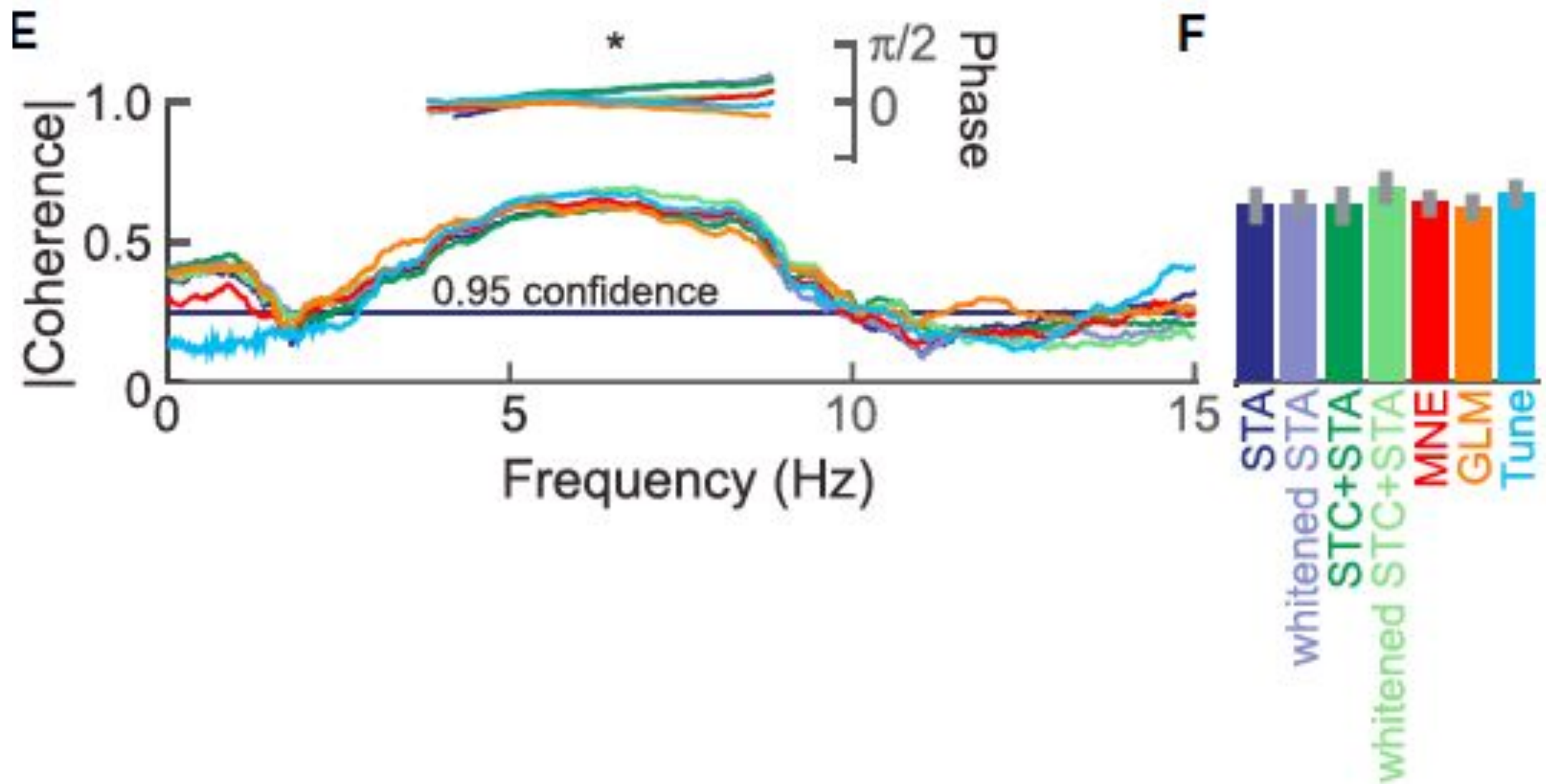
# Who wins?

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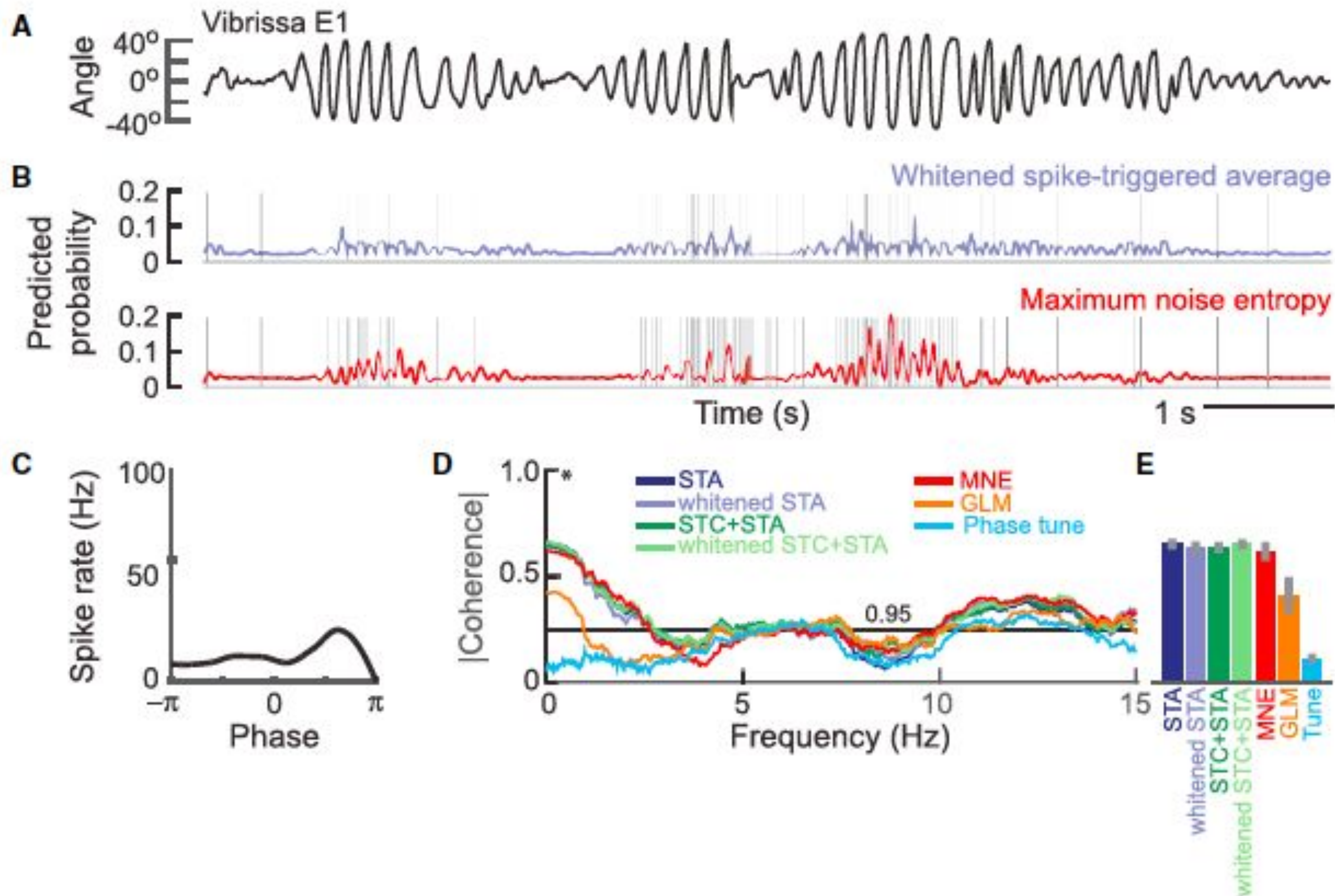


# Who wins?: coherence



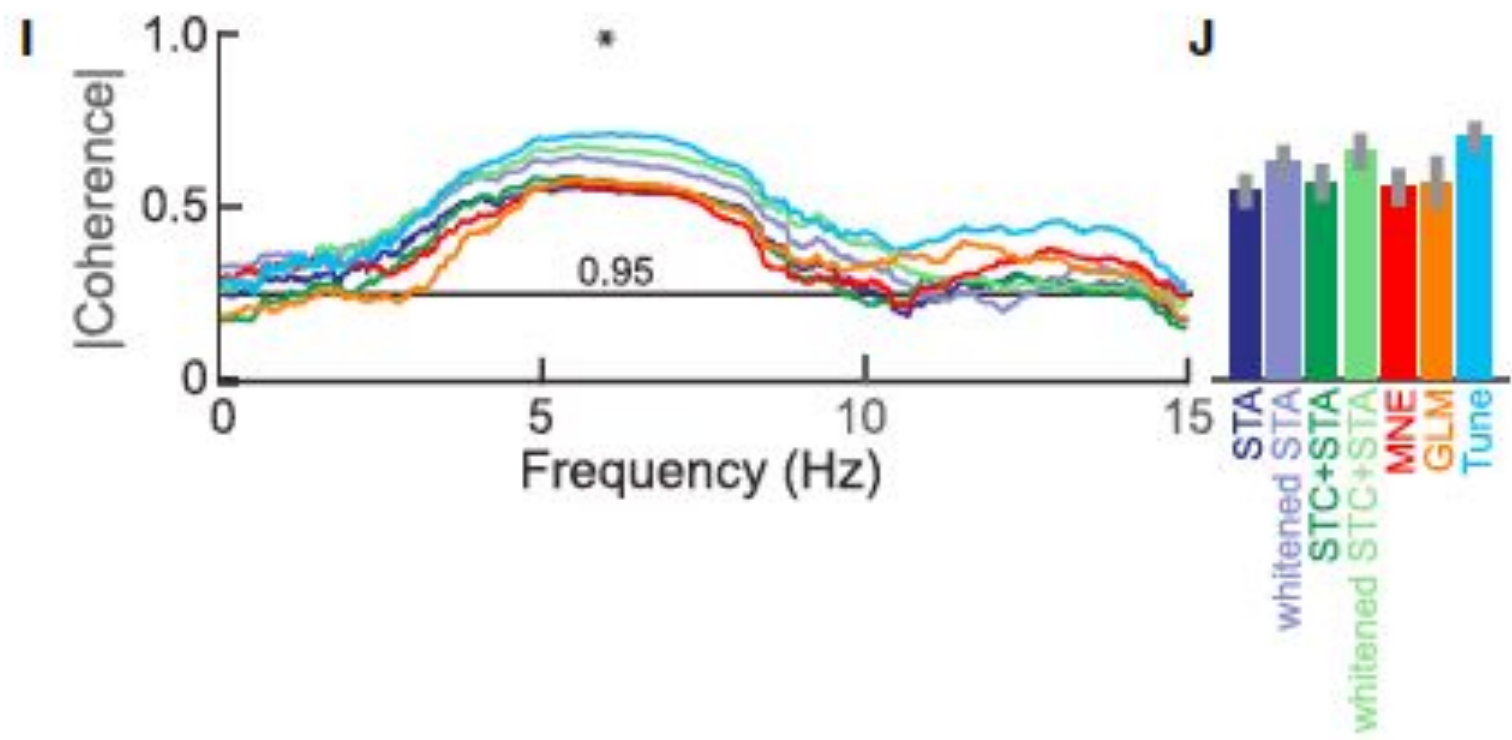
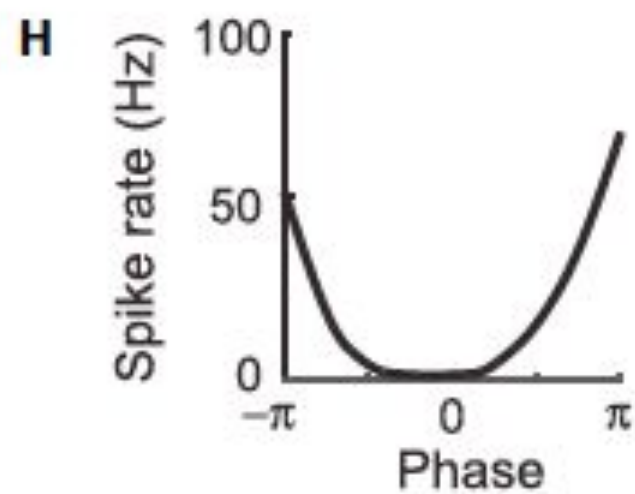
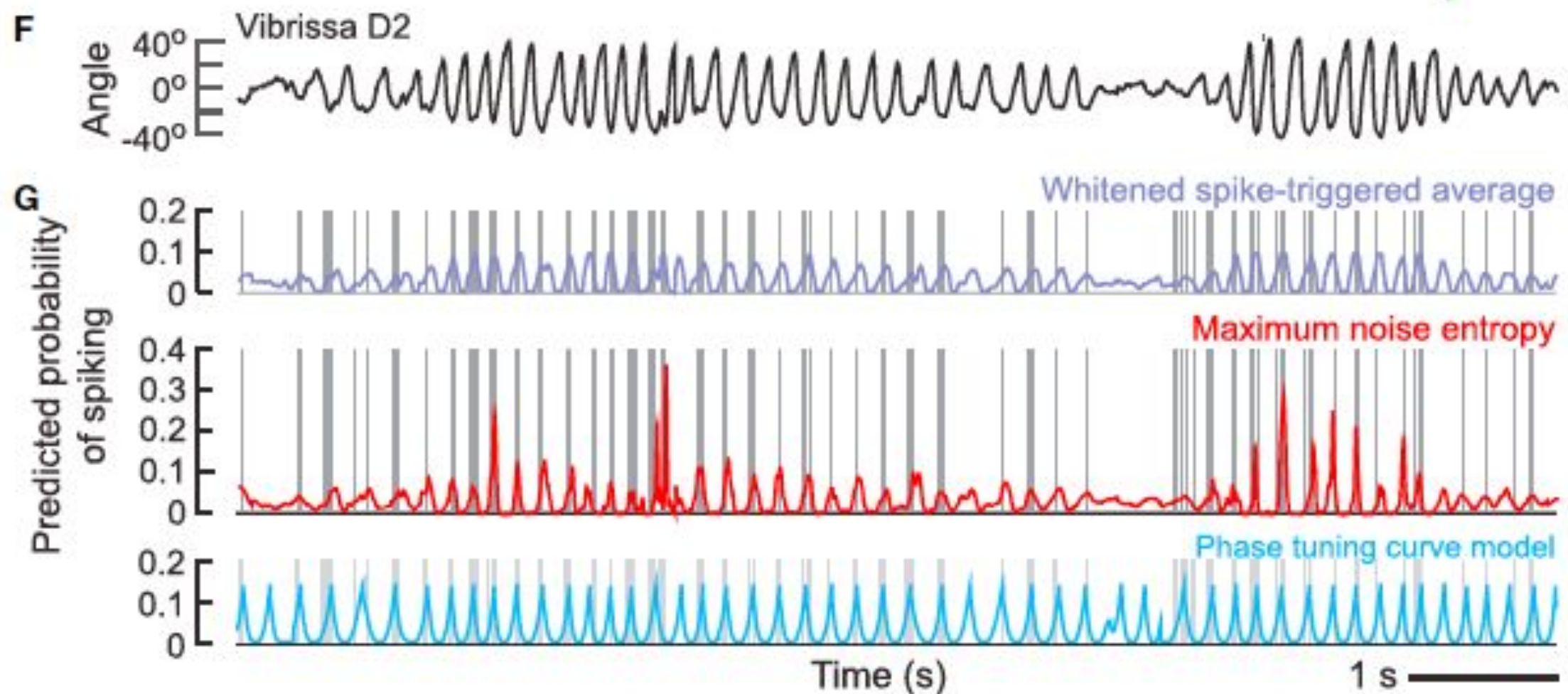
# Who

wins?



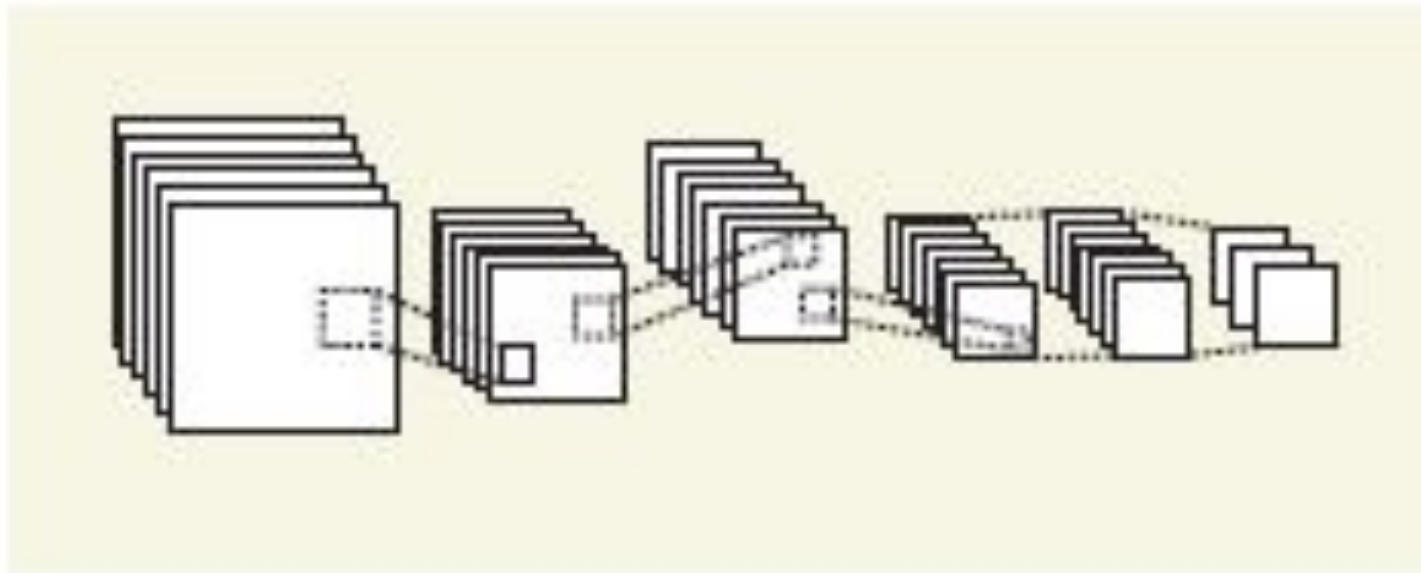
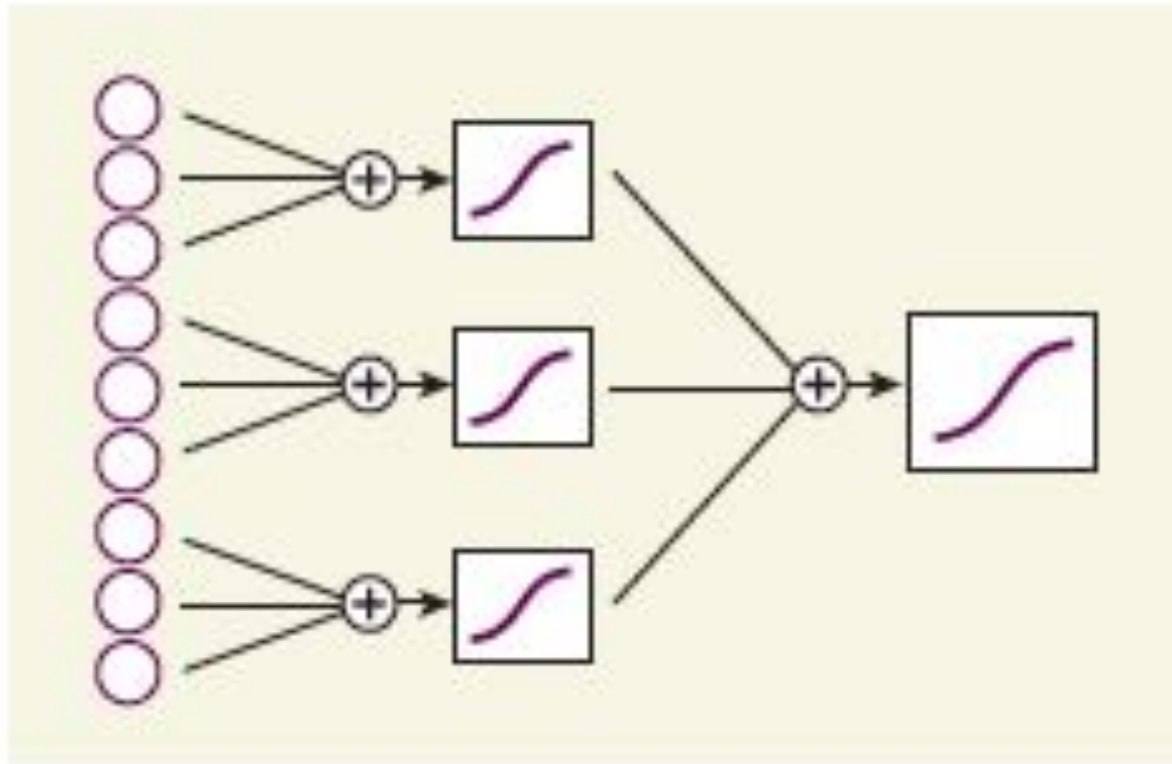


# Who



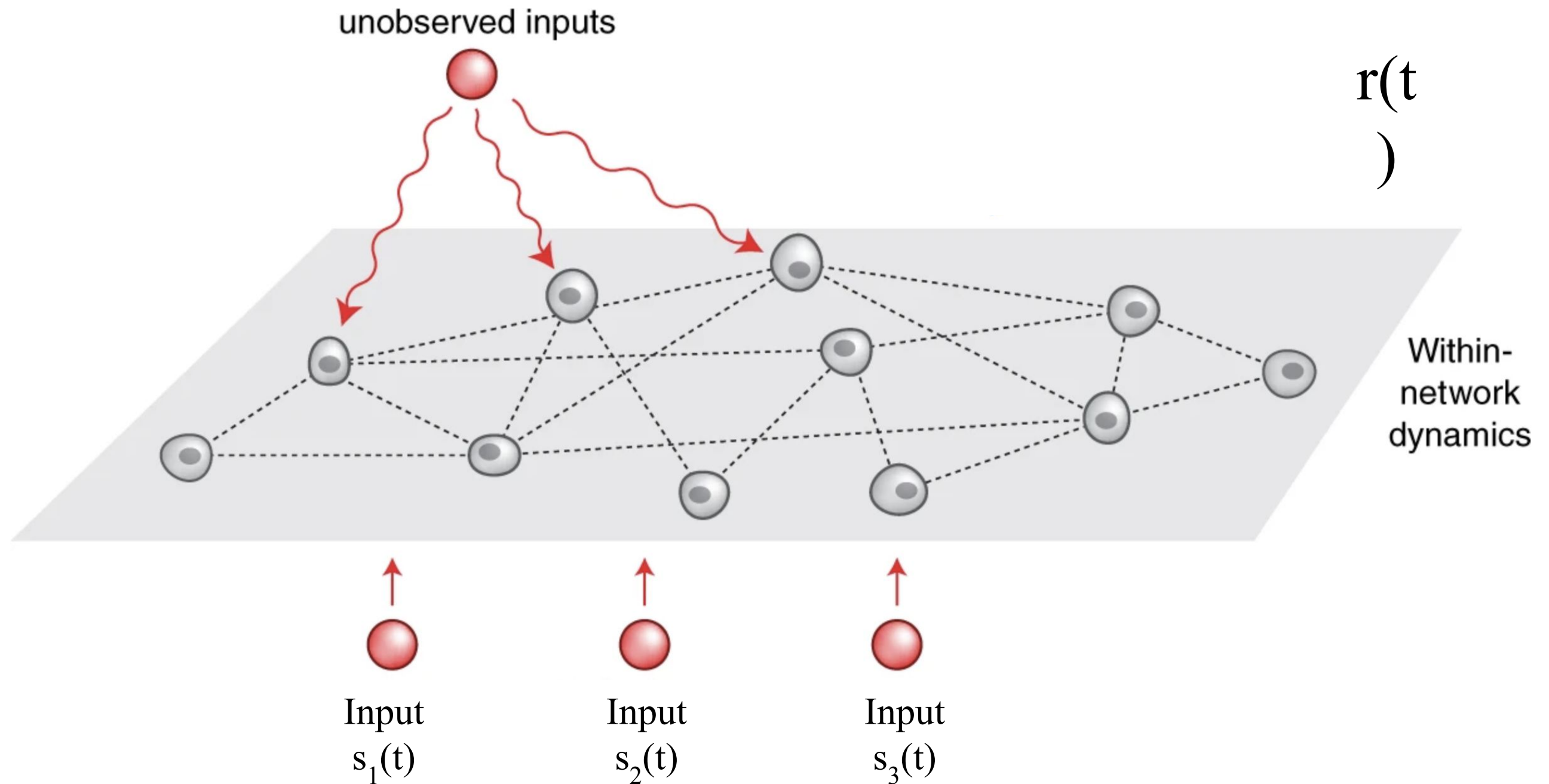
# Next gen models to fit input/output

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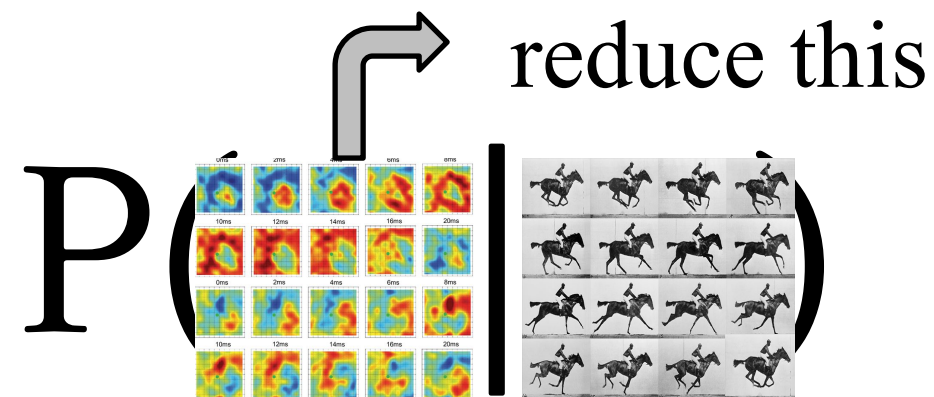
# Complexities

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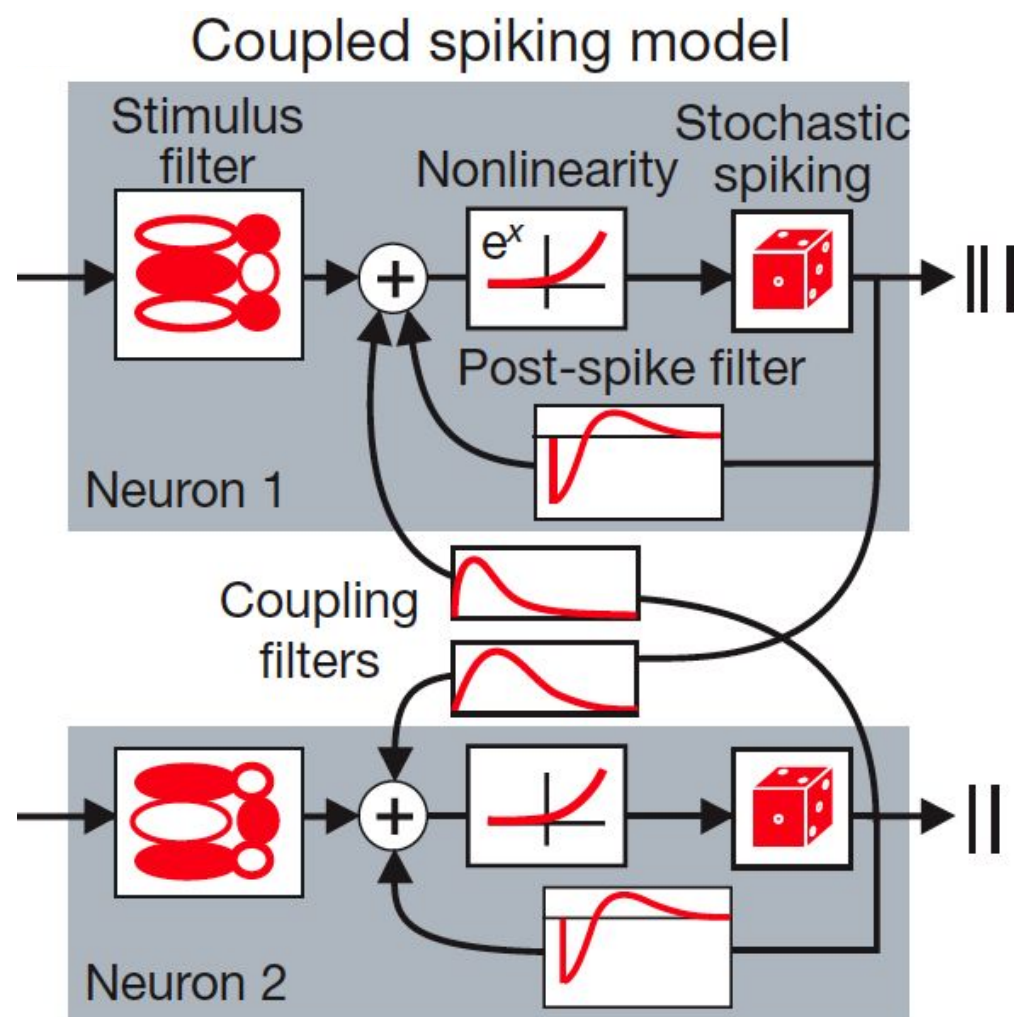




# Neuronal populations



Generalize to networks

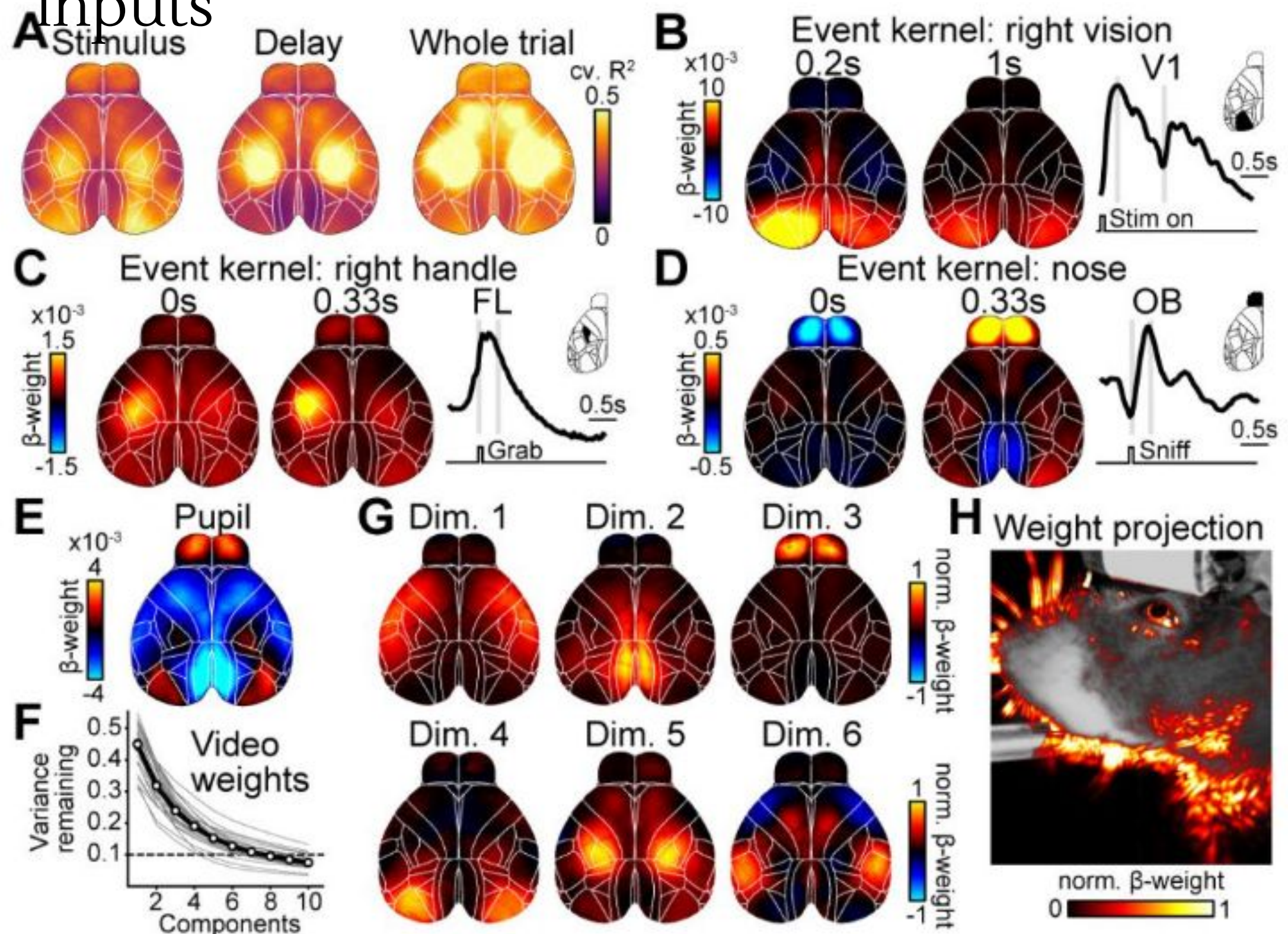


canonical  
correlation  
analysis

dPCA

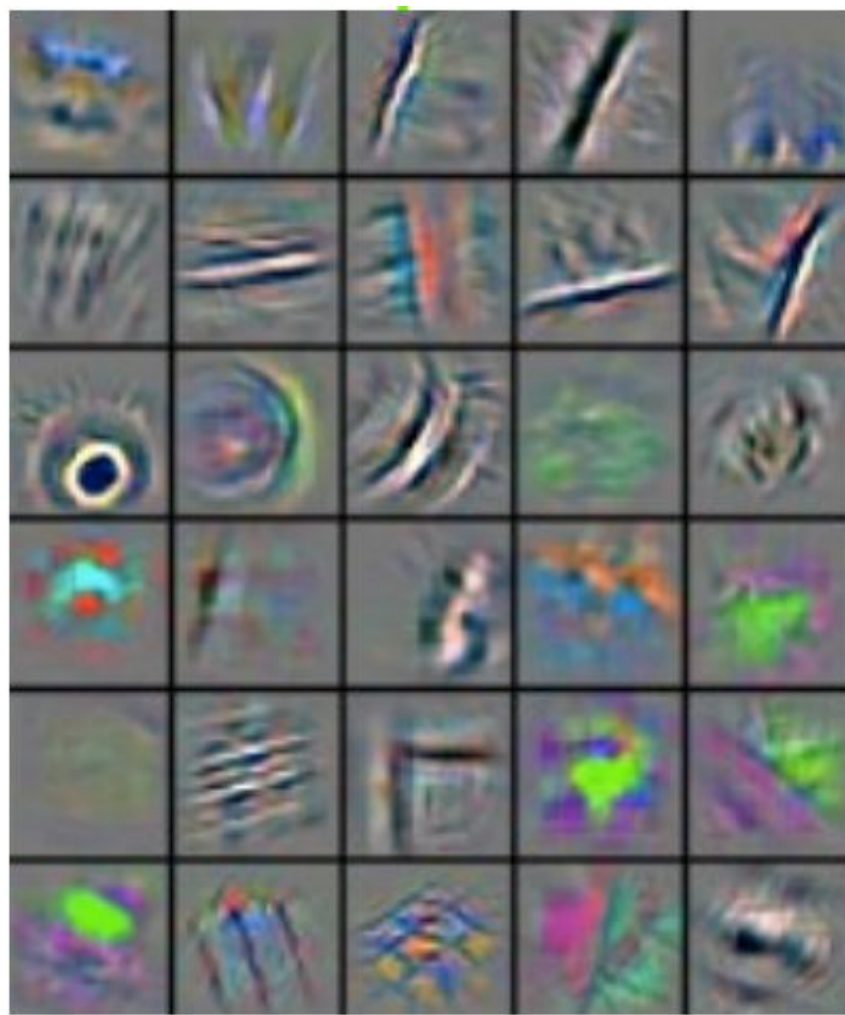
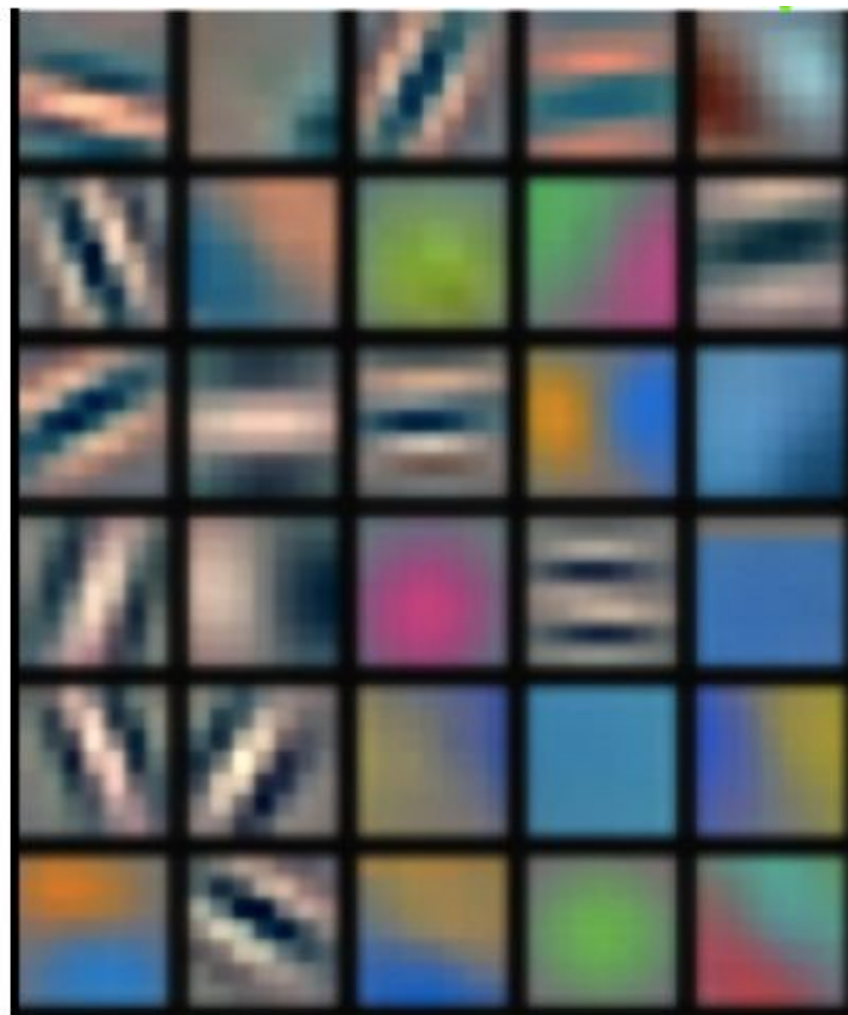
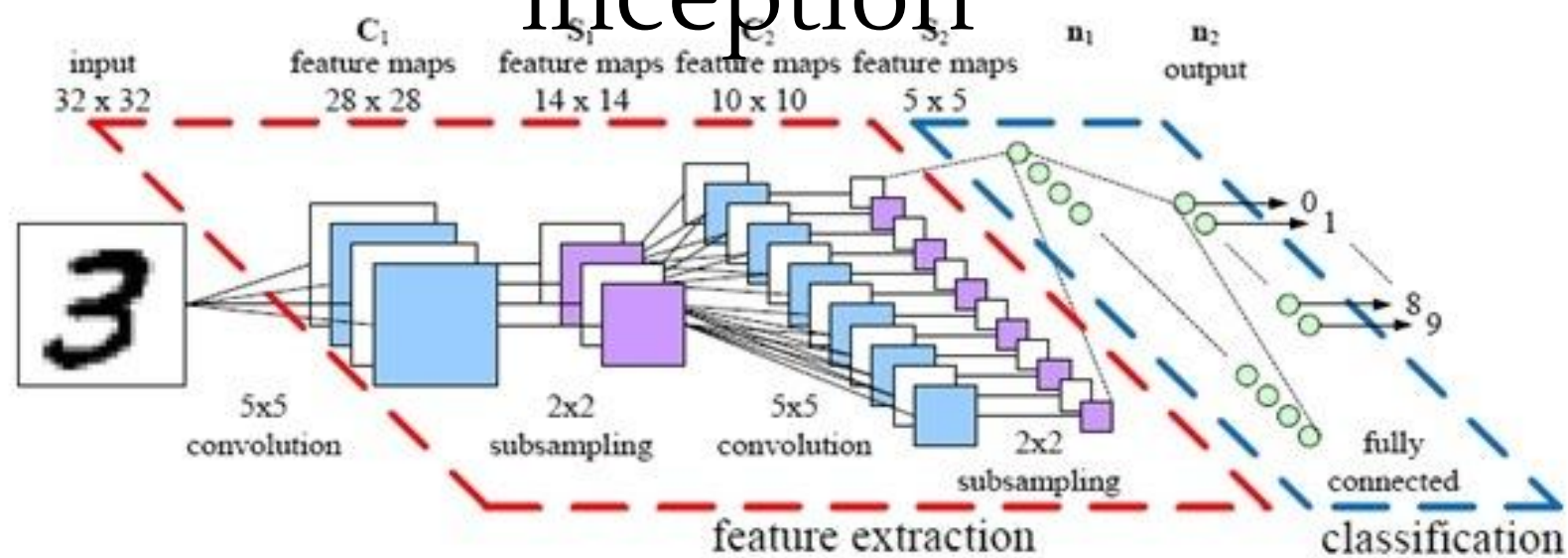
# Unobserved

inputs





# Complex feature spaces: artiphysiology and inception



Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]



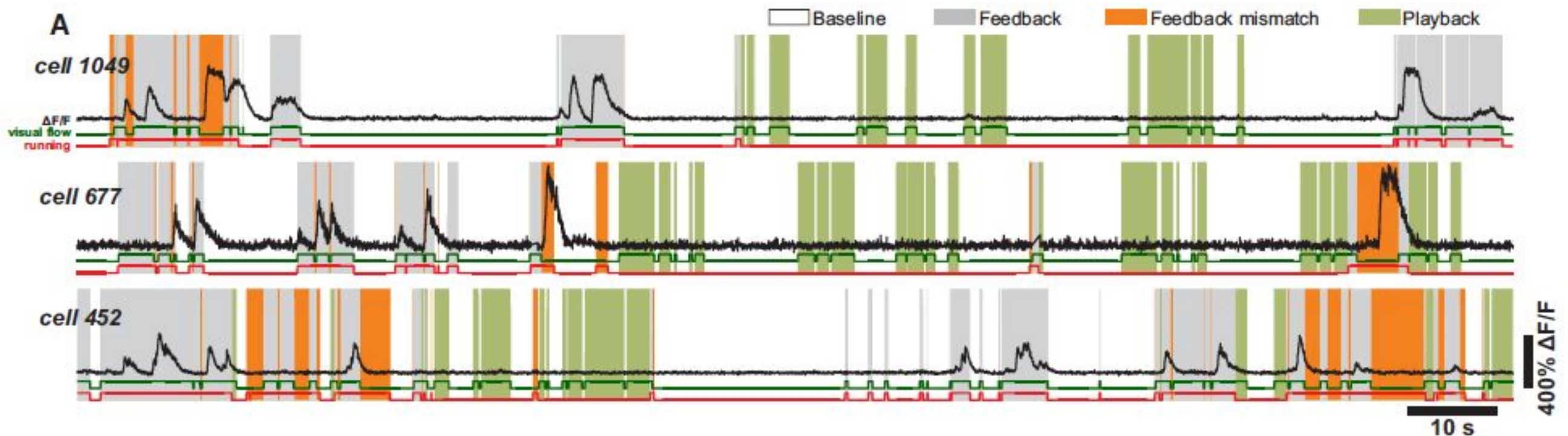
# Top-down effects: signatures of “internal models”

## Sensorimotor Mismatch Signals in Primary Visual Cortex of the Behaving Mouse

Georg B. Keller,<sup>1,2,\*</sup> Tobias Bonhoeffer,<sup>1</sup> and Mark Hübener<sup>1,\*</sup>

<sup>1</sup>Max Planck Institute of Neurobiology, 82152 Munich-Martinsried, Germany

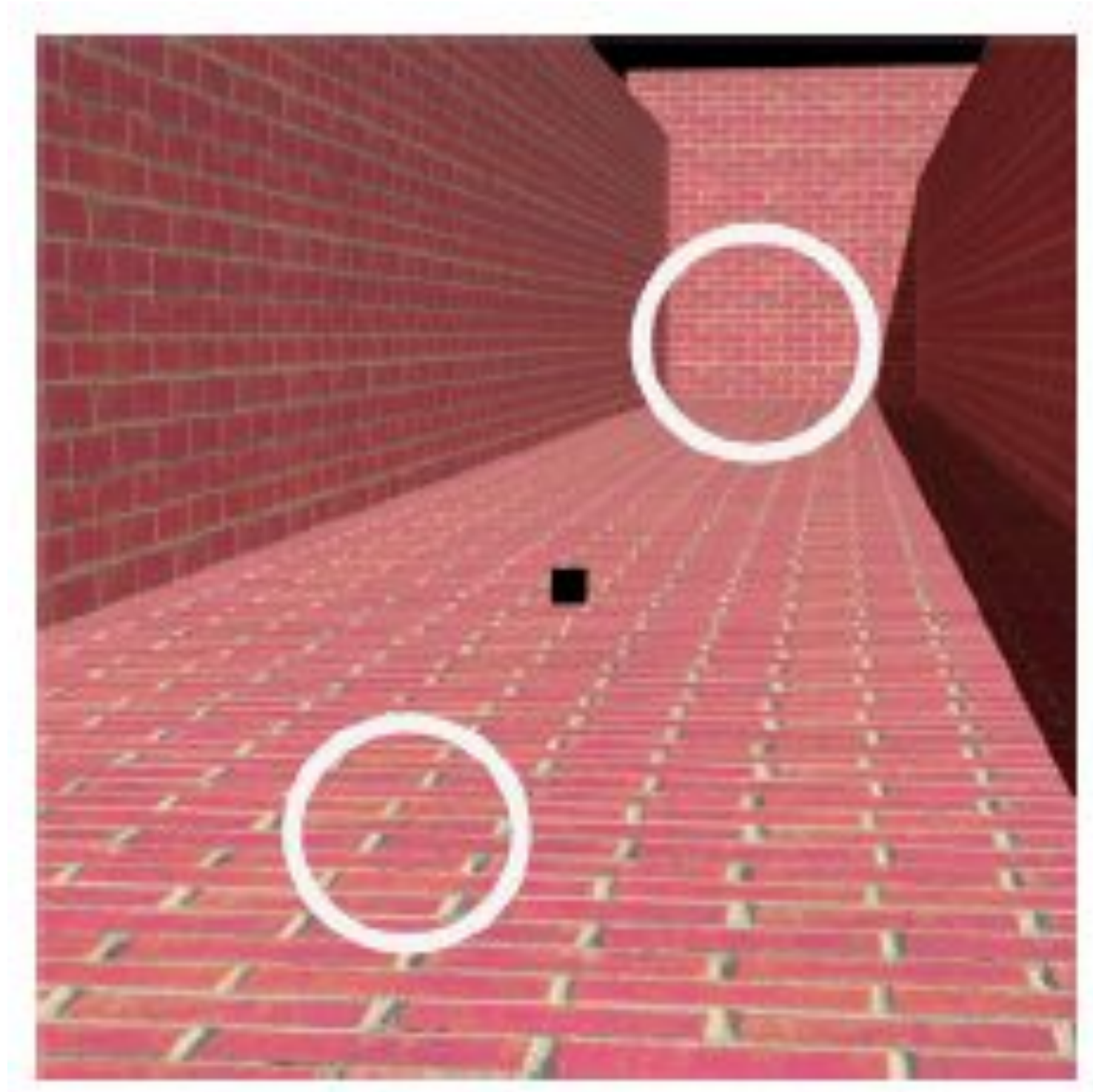
<sup>2</sup>Present address: Friedrich Miescher Institute for Biomedical Research, Maulbeerstrasse 66, CH-4058 Basel, Switzerland



# Internal models: top-down effects

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Scott Murray, Dan  
Kersten,  
Greg Horwitz



Ni et al., Curr. Biology,

# Plan

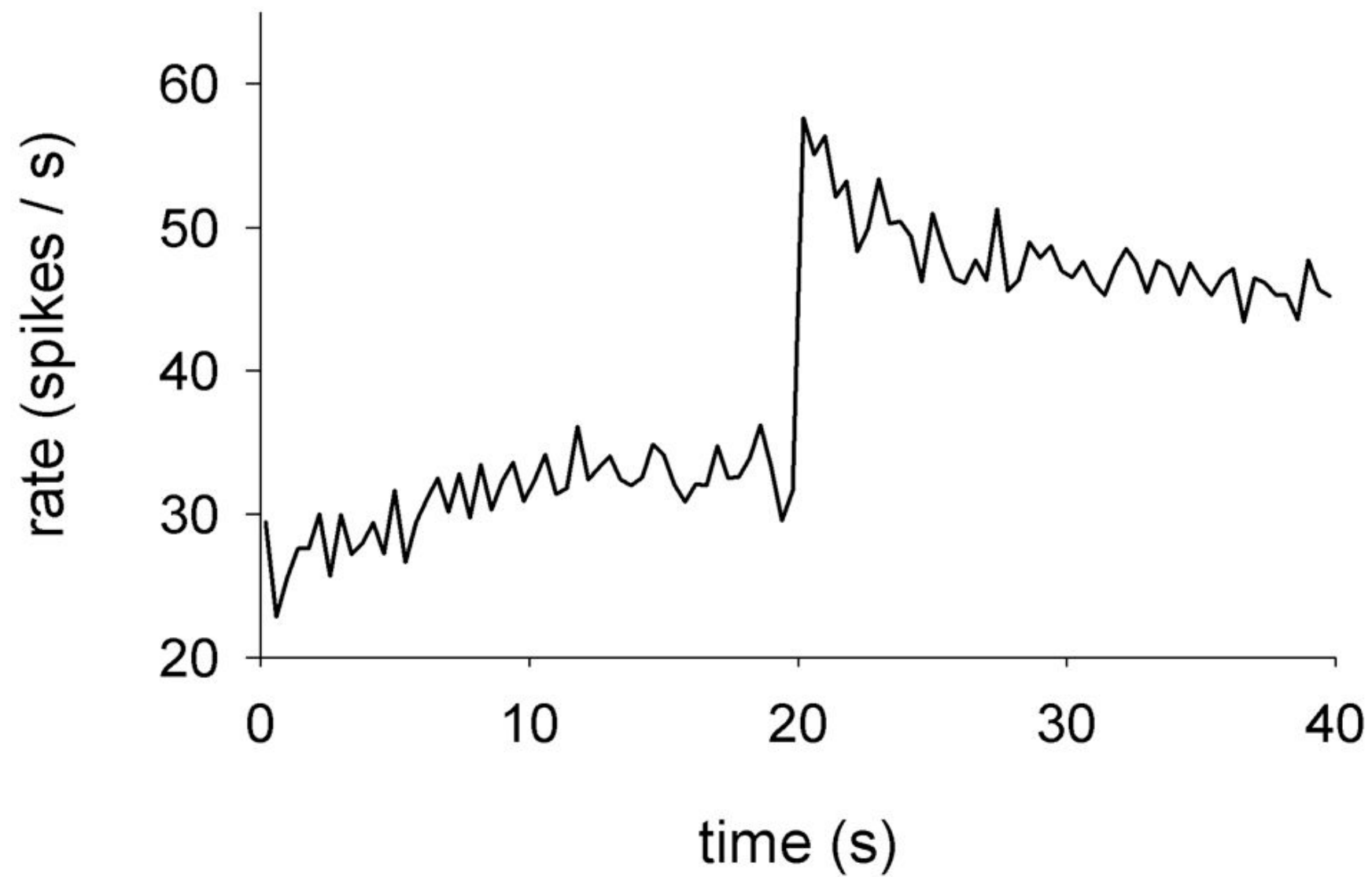
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- ✓ Neural coding
- ✓ Some basic methods for exploring coding
  - Coding is a moving target: adaptation

Adaptation

n

stimulus





# History dependence

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- Ion channel dynamics
- Synaptic dynamics
- Network dynamics

... forms of short term memory

... enrich computational properties of neurons



# Encoding complex signals

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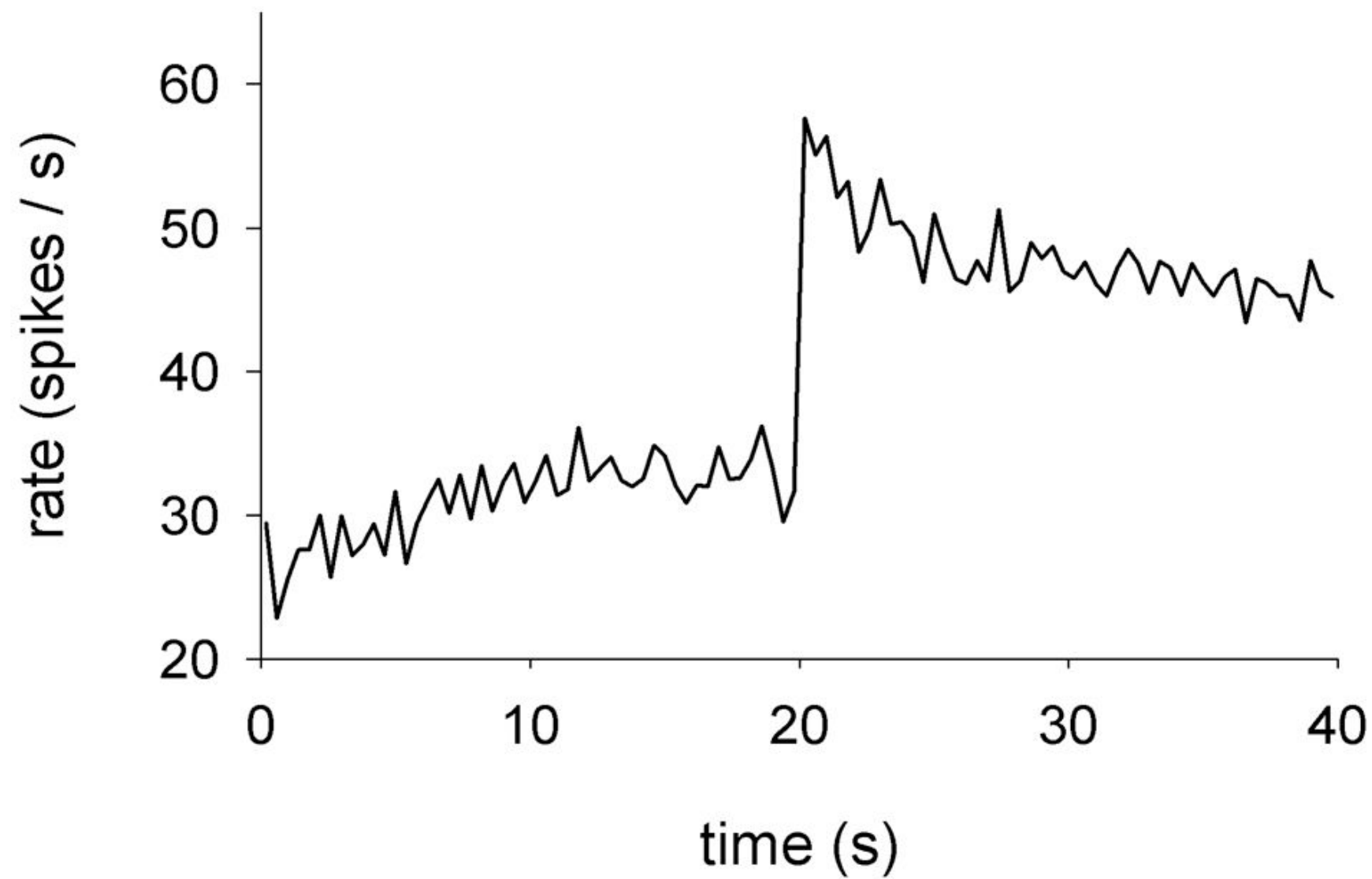


How do neural systems maintain high fidelity representations of stimulus details in the face of fluctuating amplitudes?

Adaptation

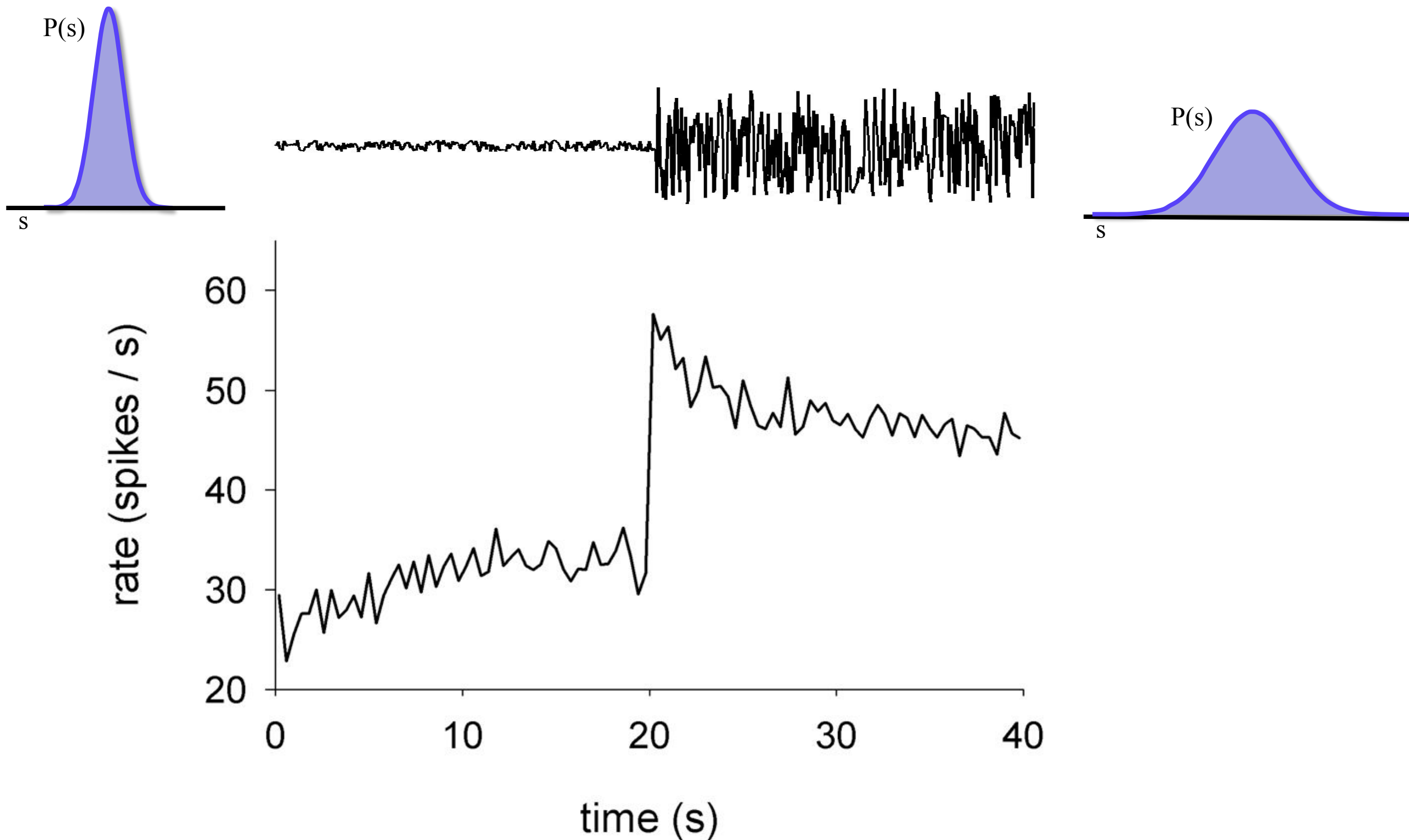
n

stimulus



# Adaptation to a change in stimulus distribution

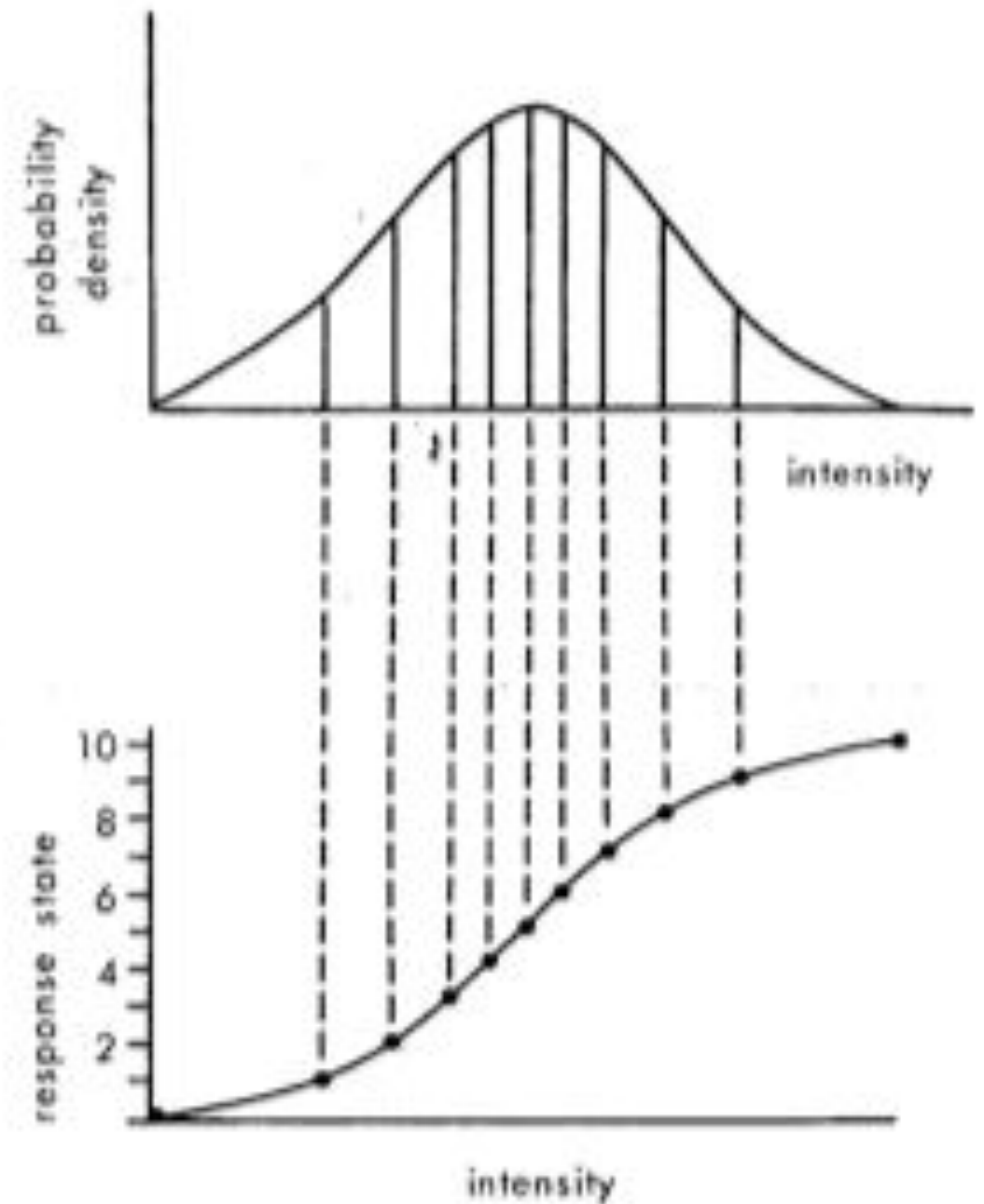
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# Input/output curves depend on the stimulus

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- Optimal coding: sensory systems should maximize information transmission
- Goal: efficient use of available response bandwidth
- Predicts dependence of I/O curves on input distribution



# Dynamically optimal coding

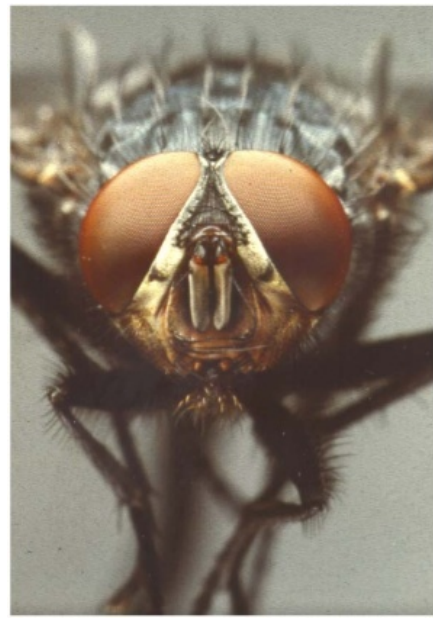
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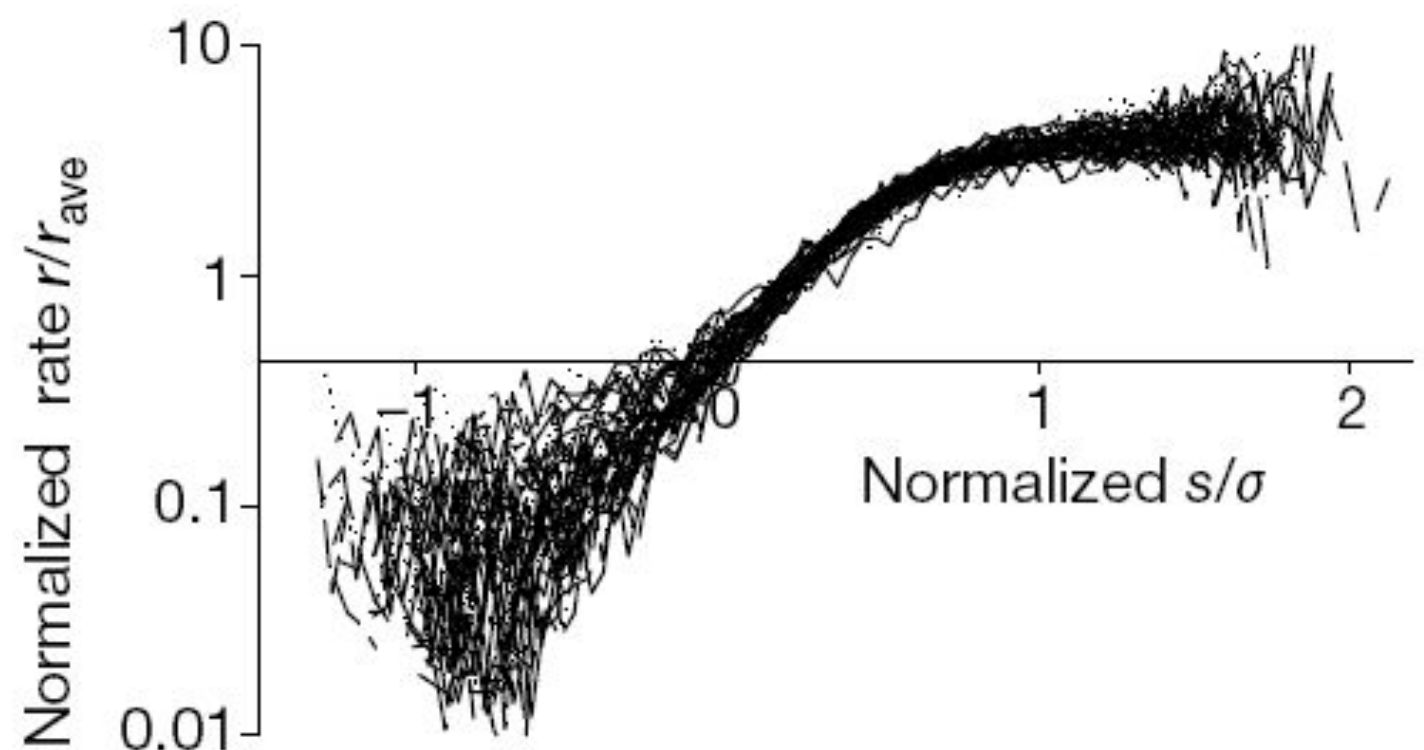
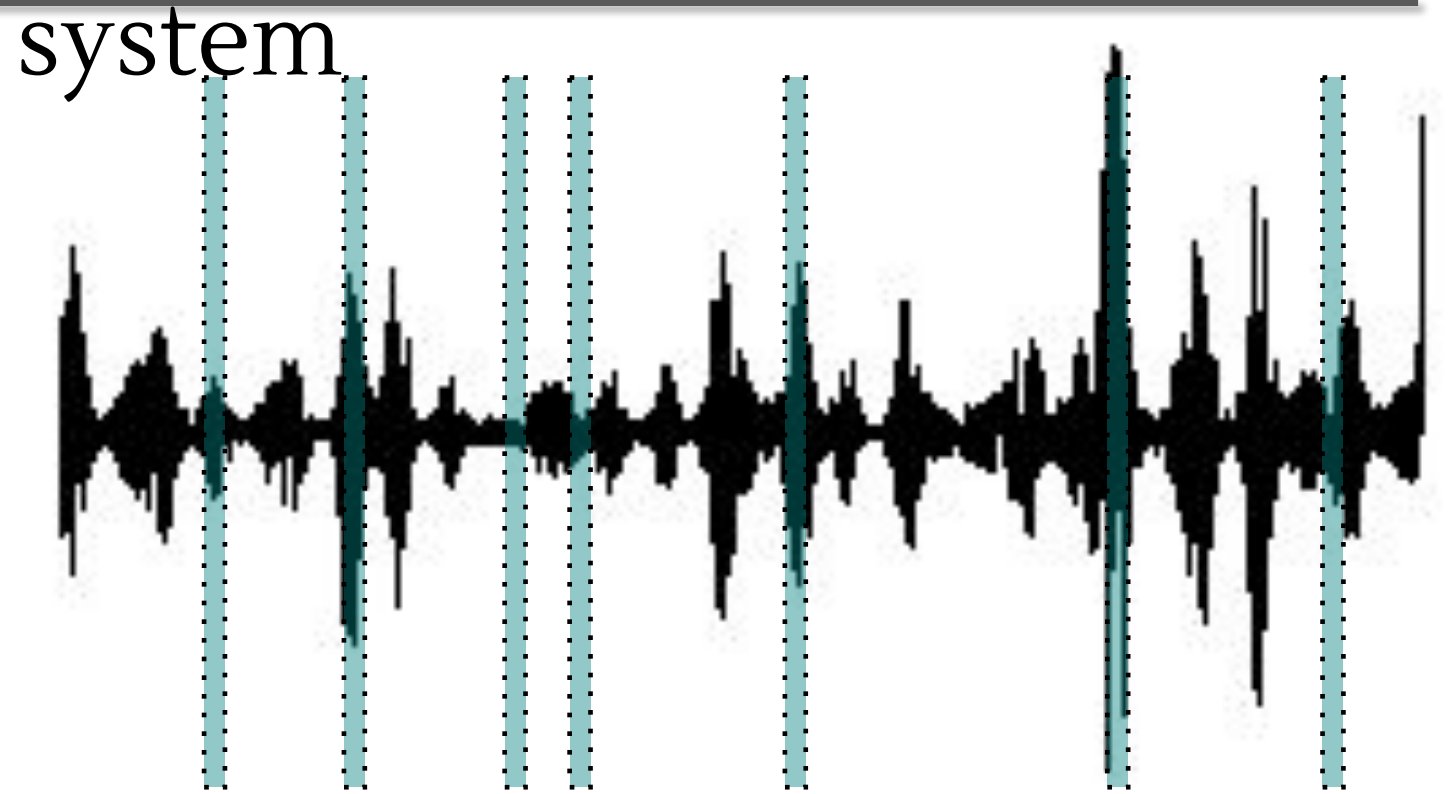
What would an optimal neuron do?



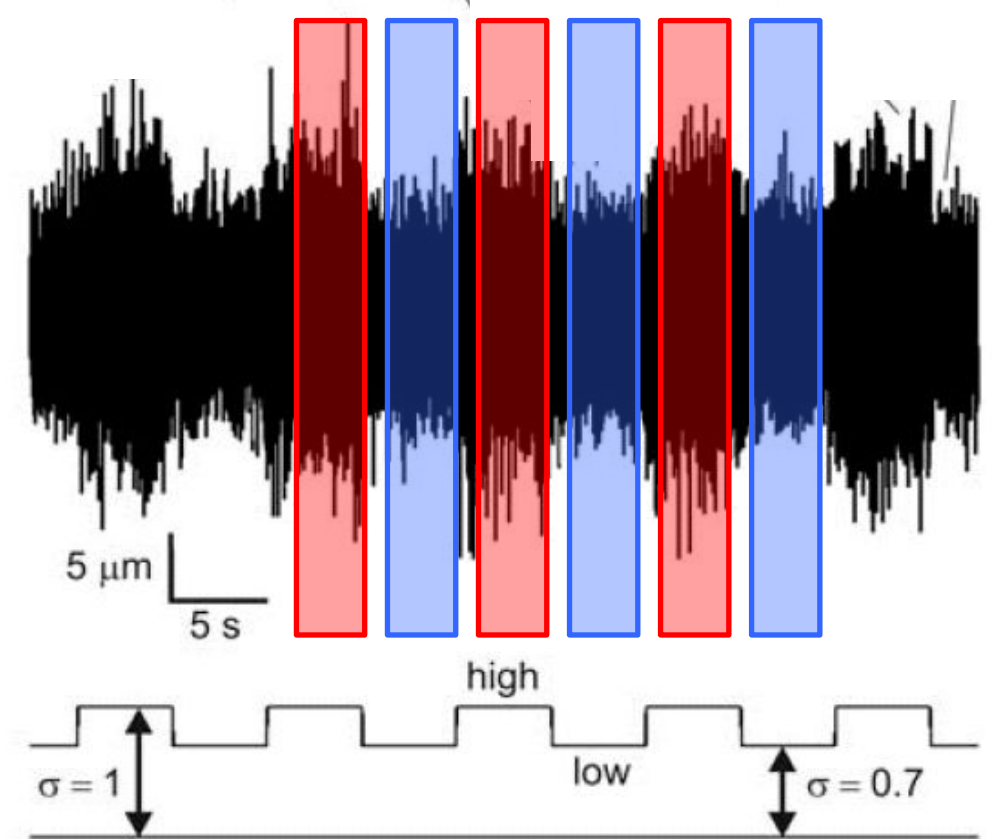
# Normalized stimulus representation in the fly visual system



For fly neuron H1,  
determine the  
input/output  
relations throughout the  
stimulus presentation

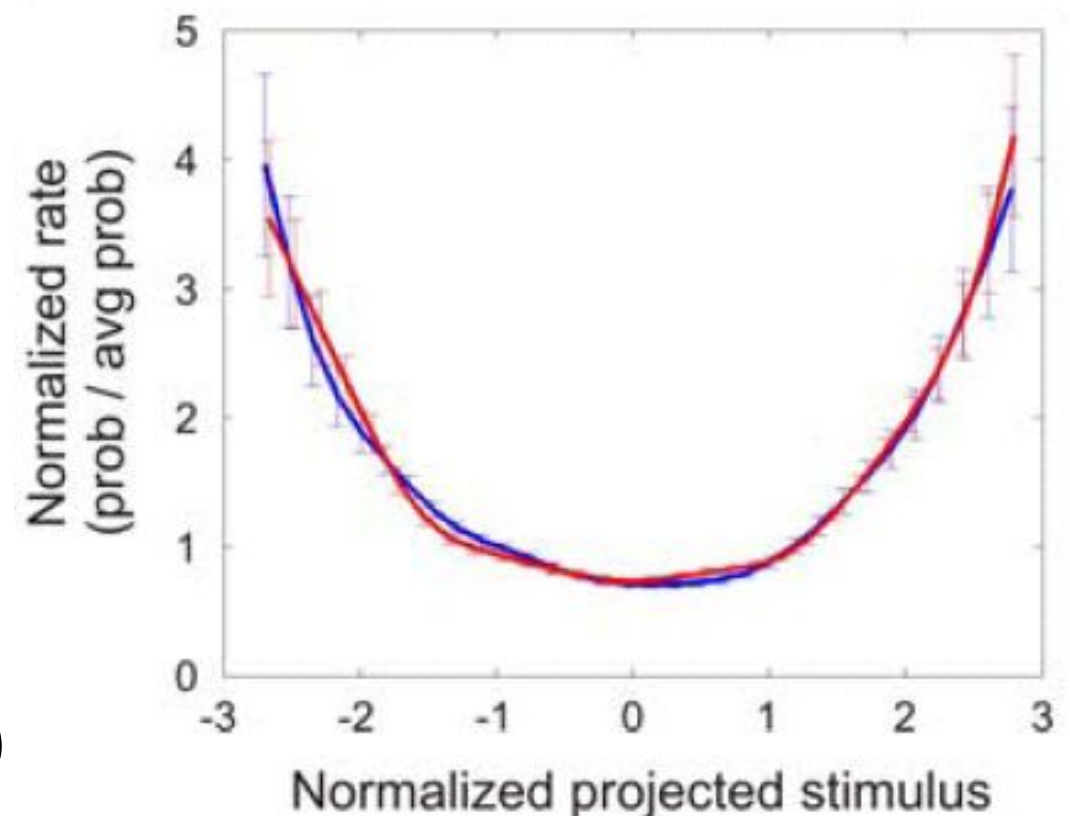


# Normalized stimulus representation in rat barrel cortex



Extracellular *in vivo*  
recordings  
of responses to whisker  
motion

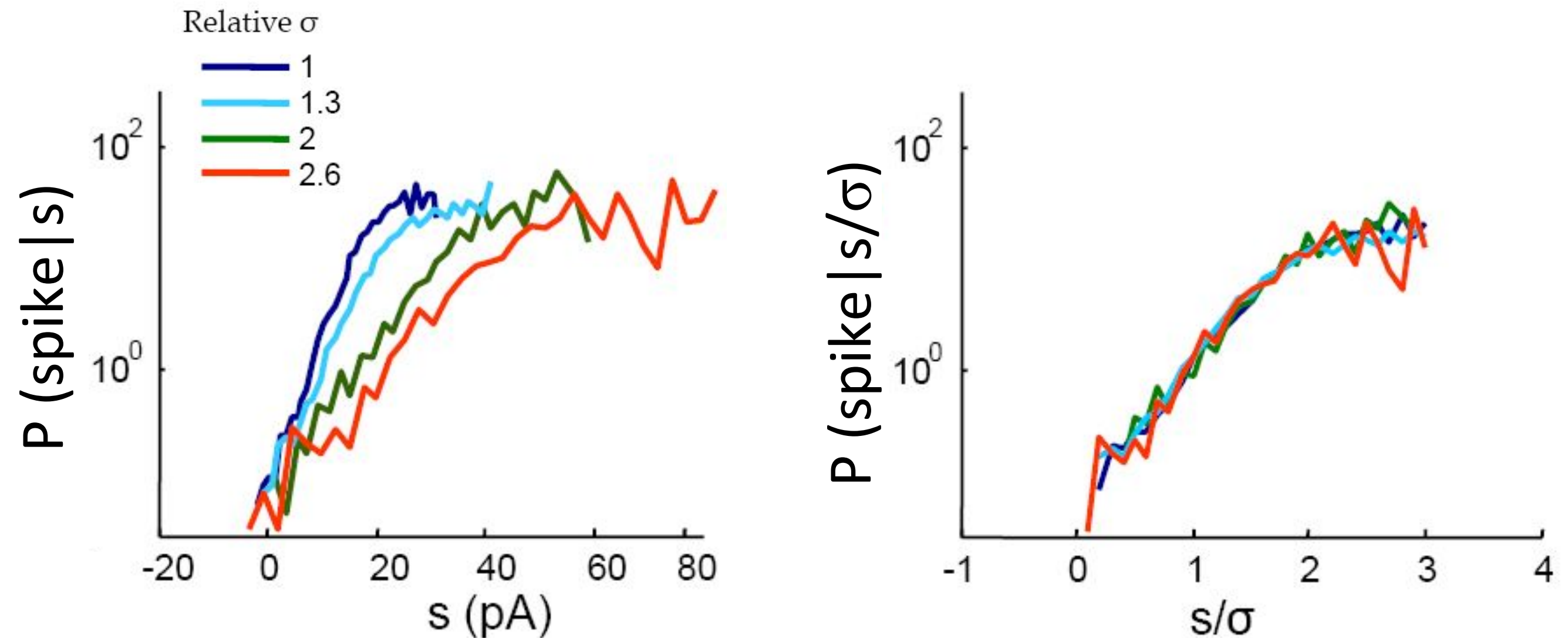
S1 barrel cortex in the  
anesthetized rat



M. Maravall et al., PLoS Biology (2007)

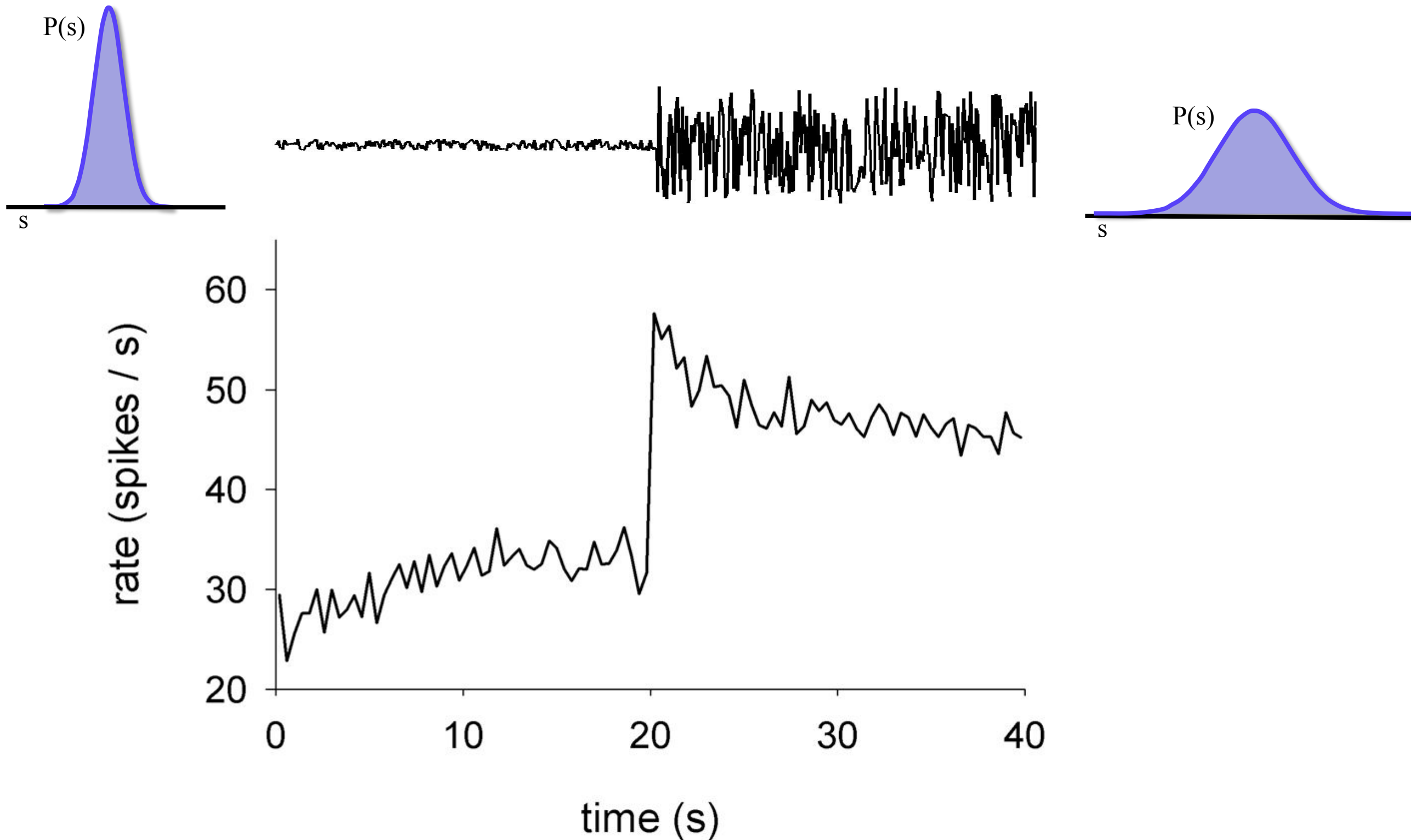


# Normalized input representation in single cortical neurons



R. Mease, A. Fairhall and W. Moody

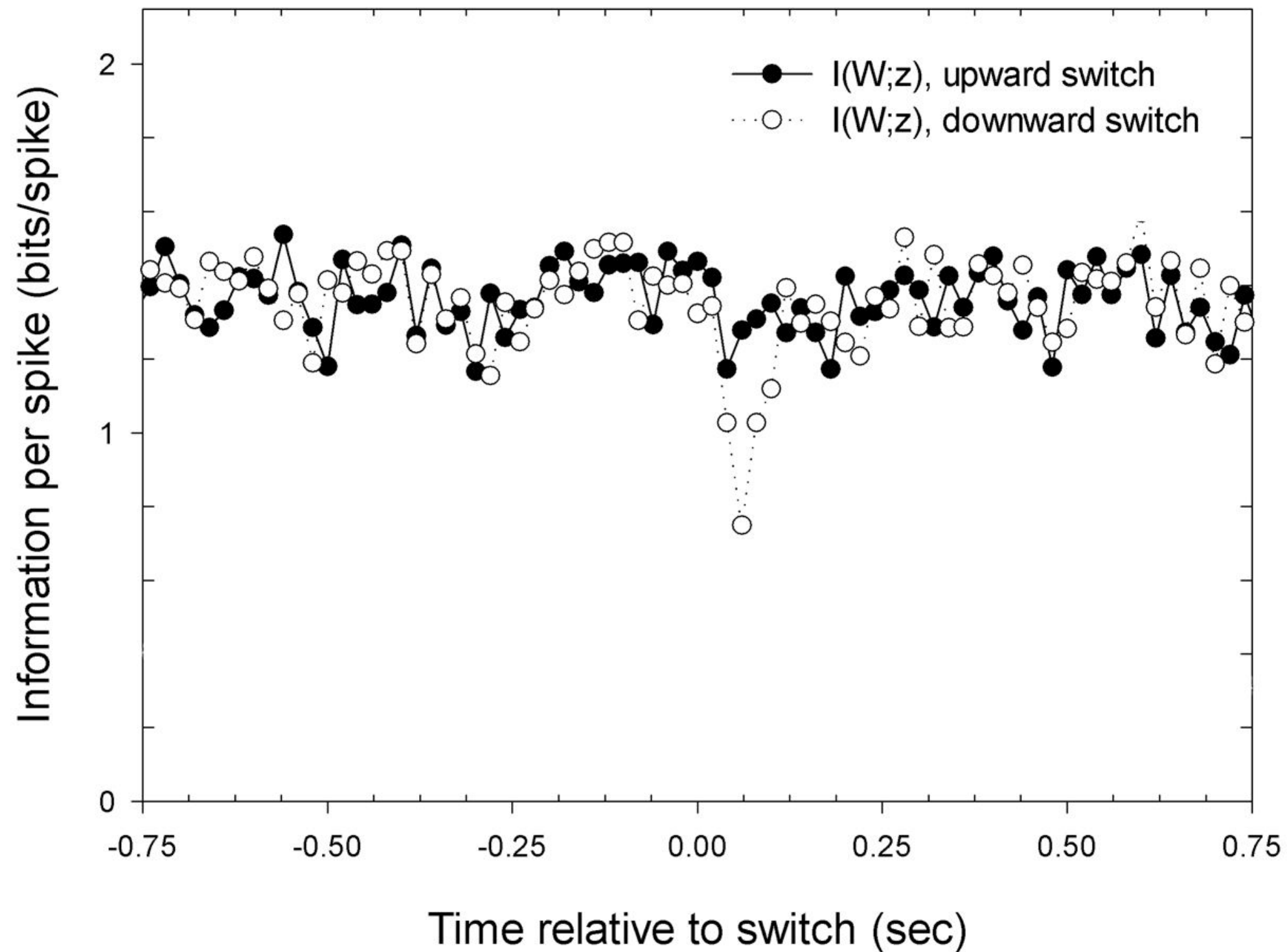
# How rapidly is gain rescaling happening?





# Using information to evaluate efficient coding

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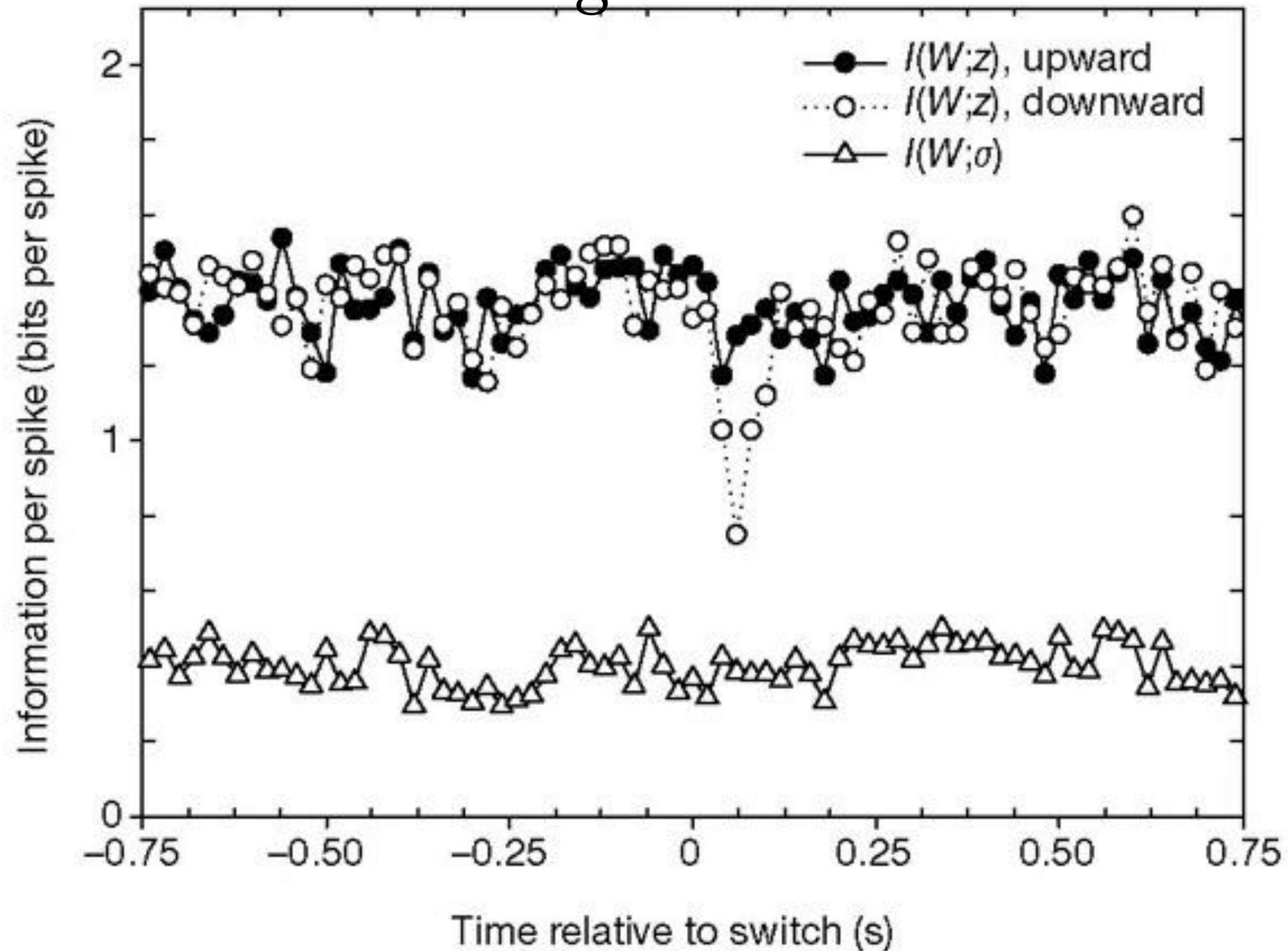




Does normalized coding lead to  
ambiguity?

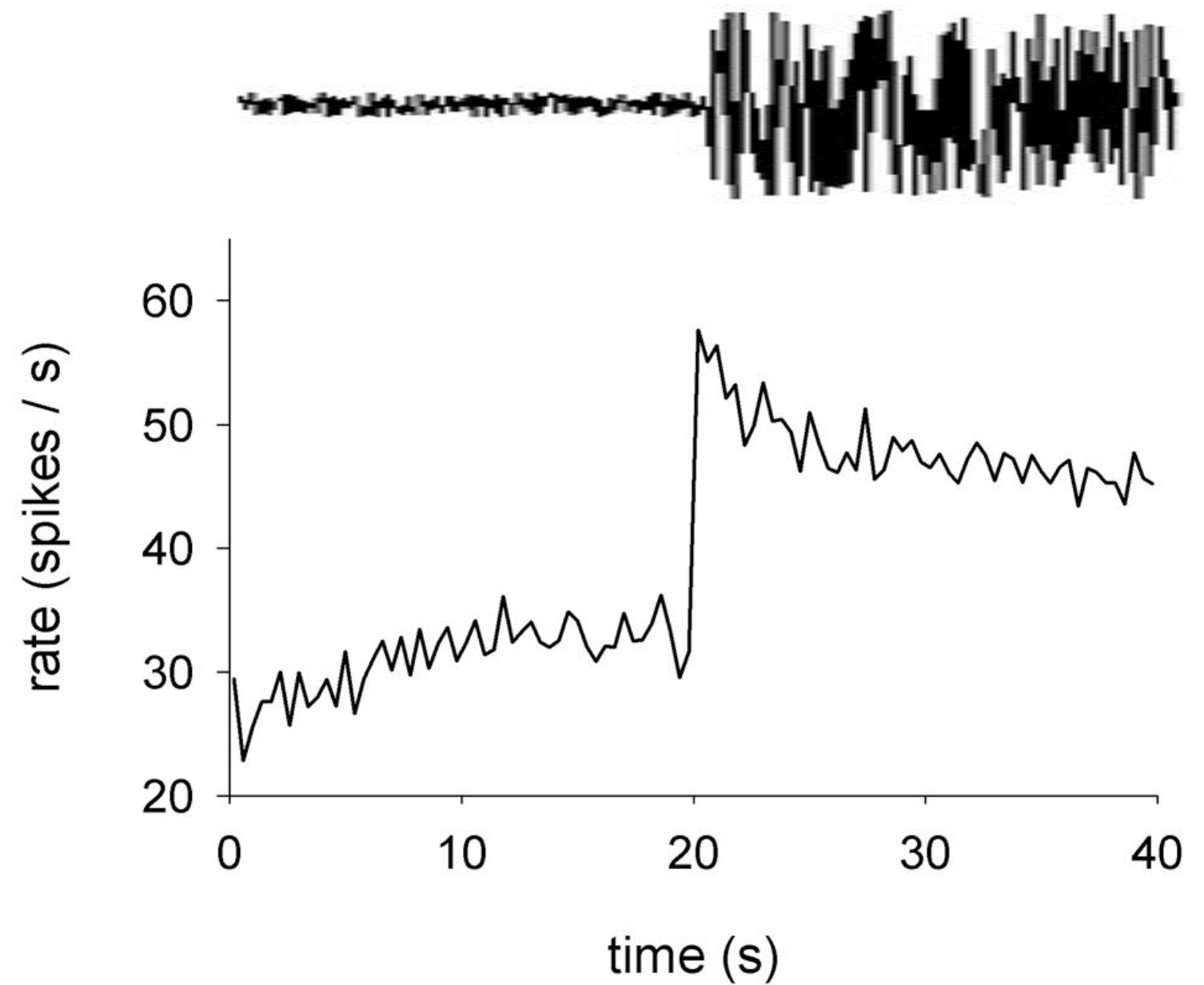
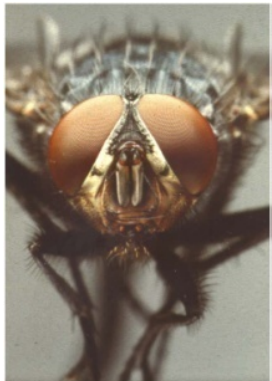
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# Using information to evaluate *envelope* coding



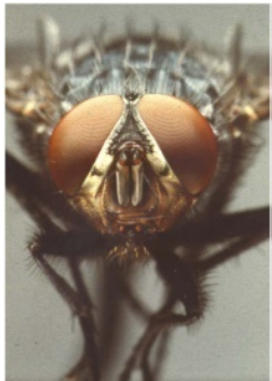
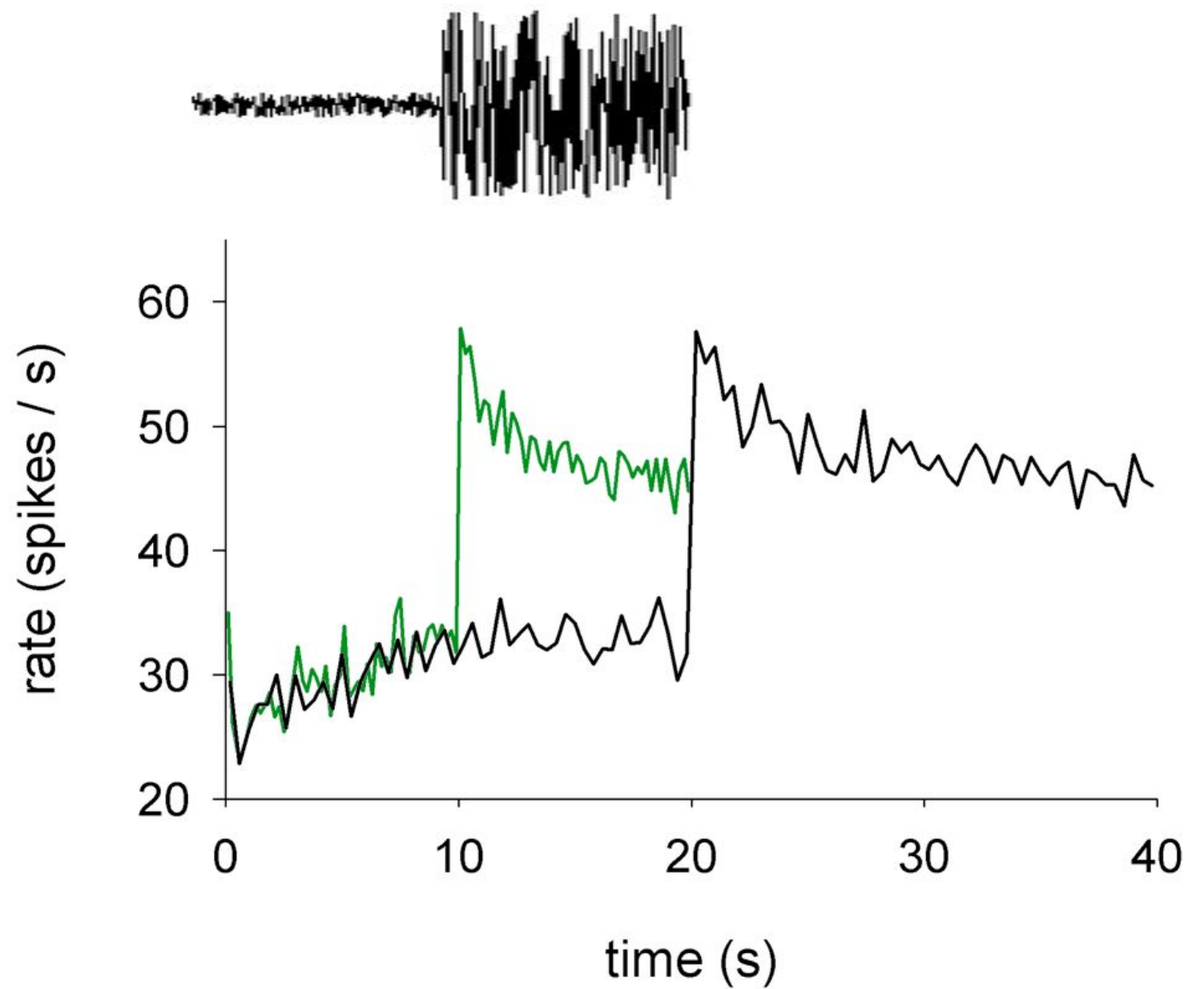
# Firing rate adaptation to signal statistics

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# Firing rate adaptation to signal statistics

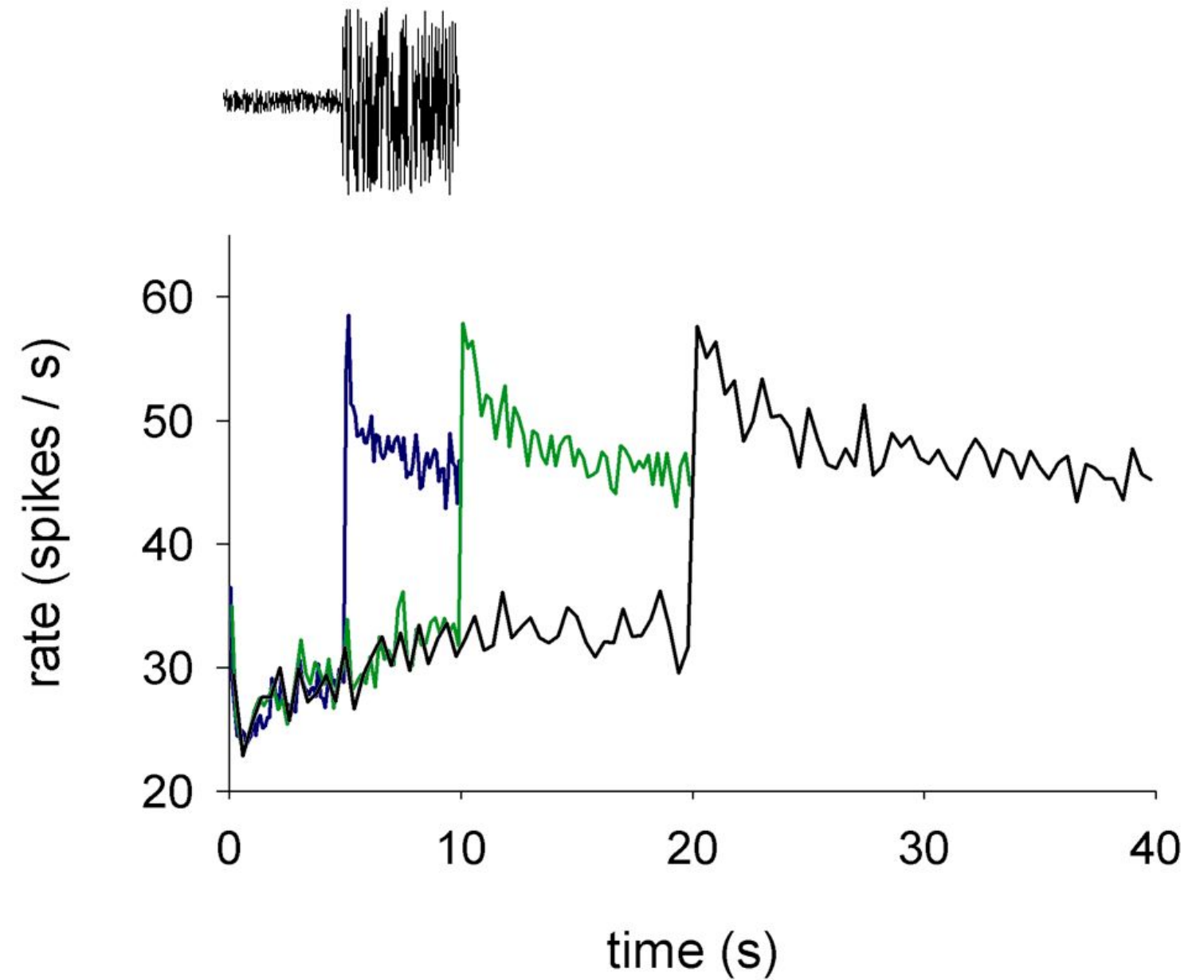
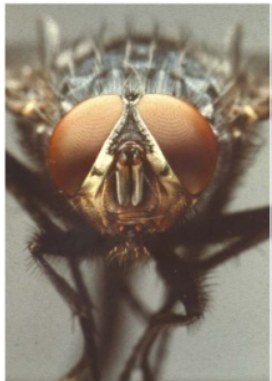
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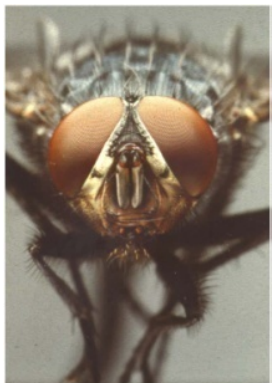
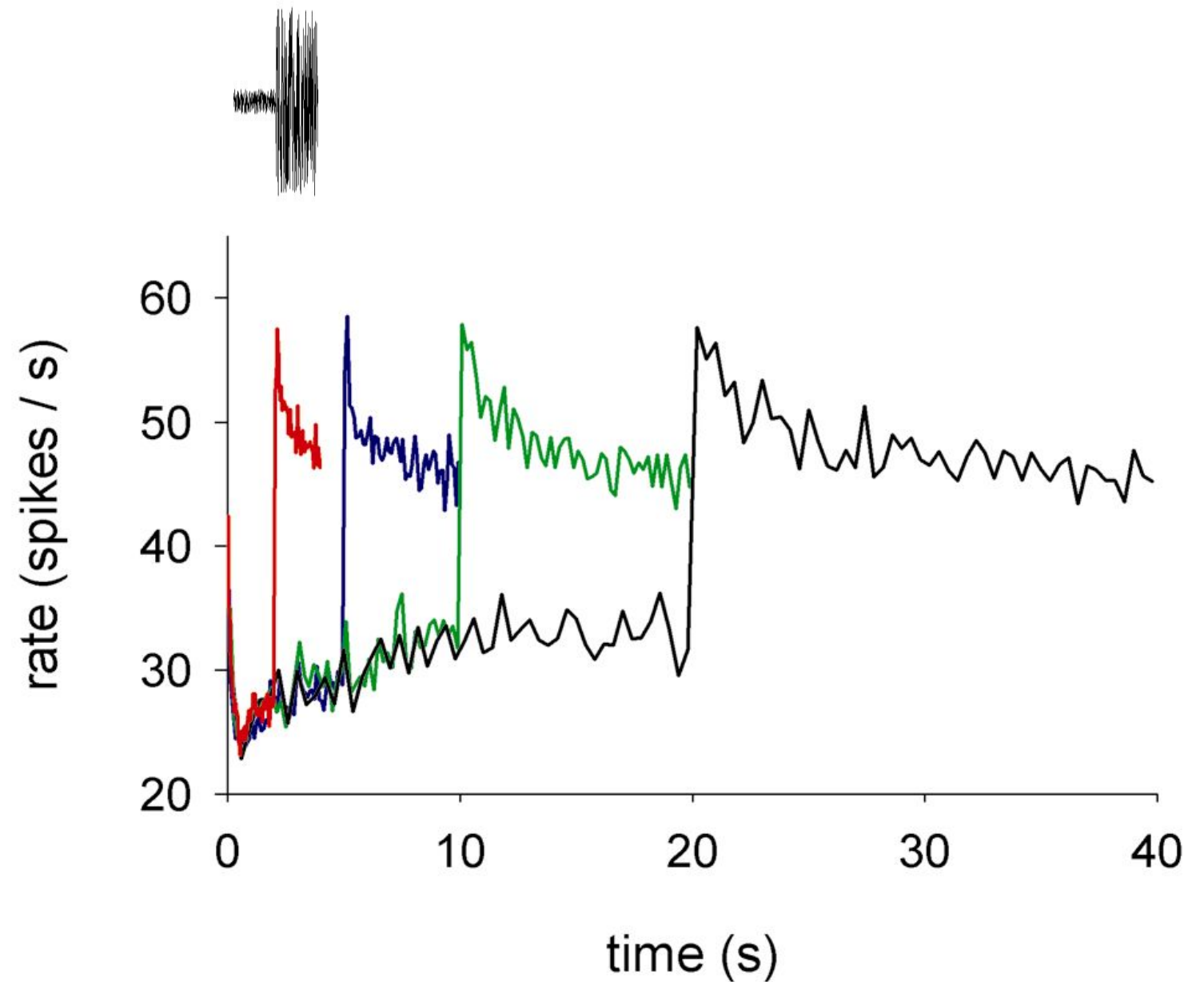
# Firing rate adaptation to signal statistics

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# Firing rate adaptation to signal statistics

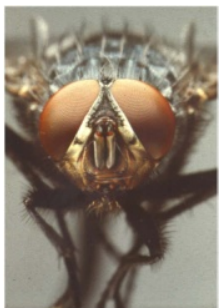
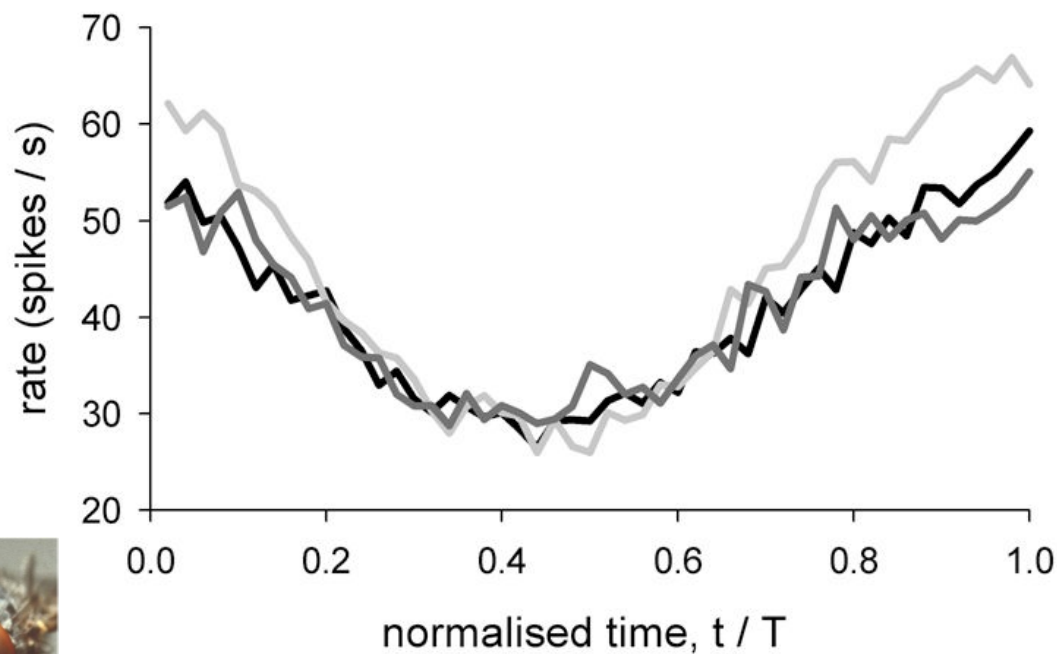
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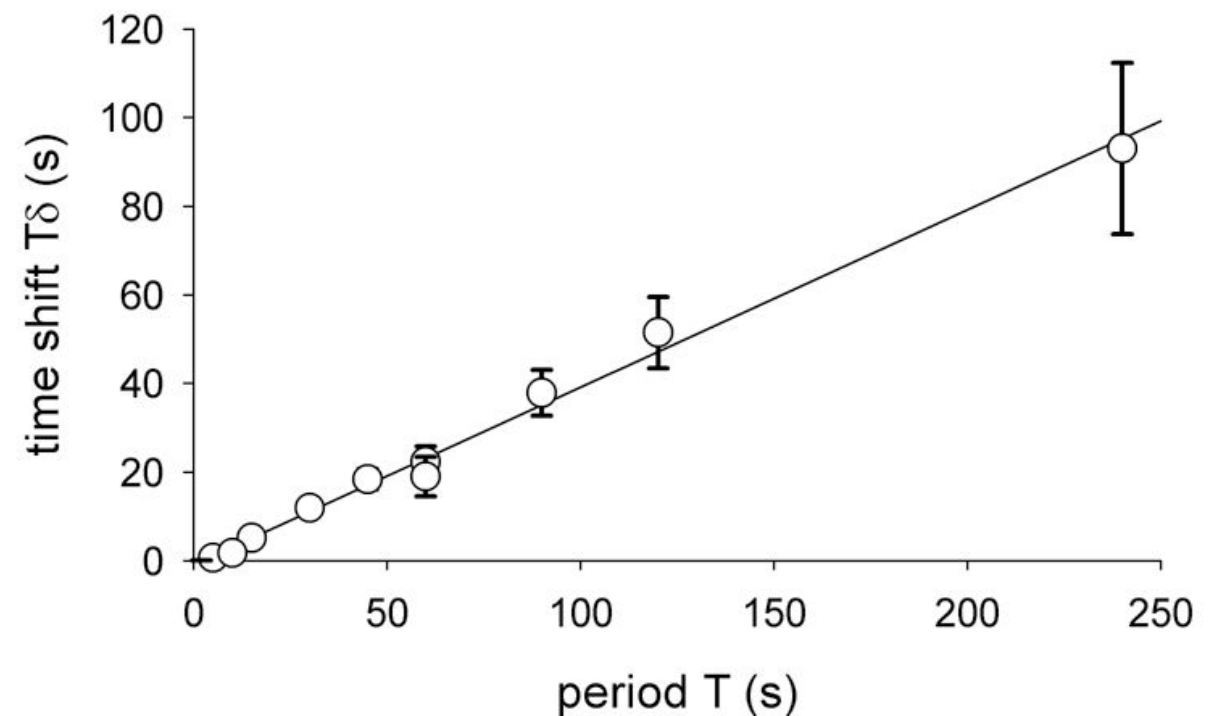
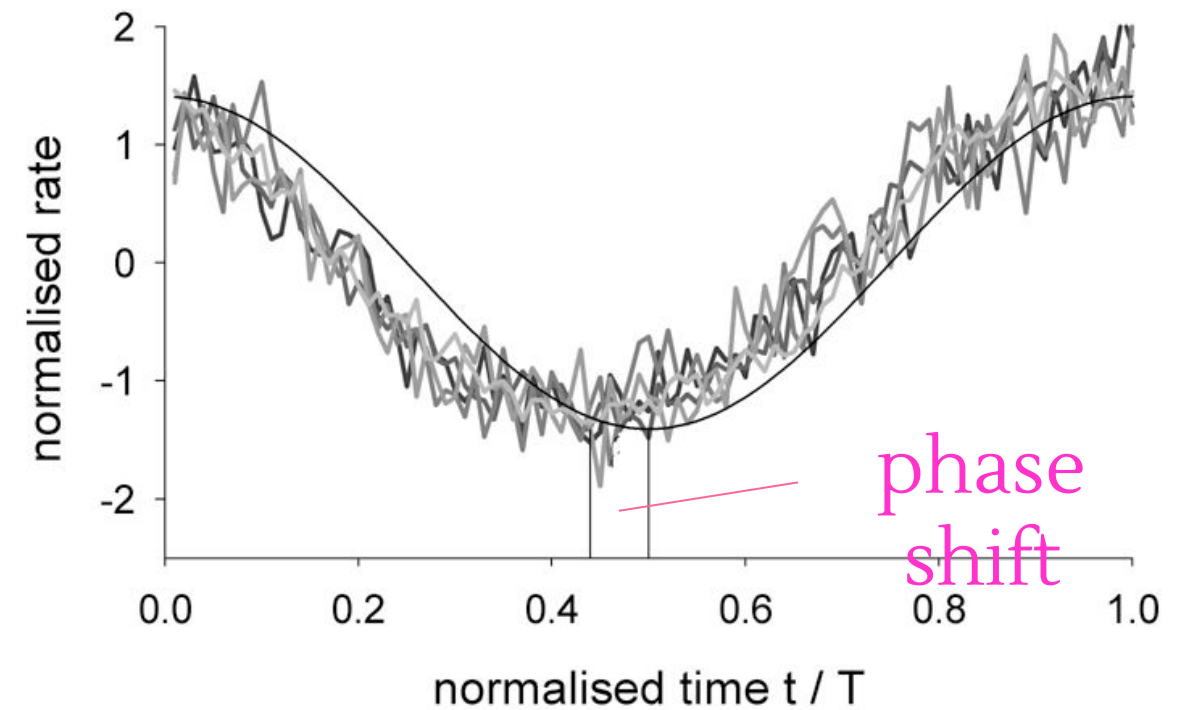
Fairhall, Bialek, Lewen and de Ruyter  
(2001)

# Finding the transfer function

- Stimulate with a set of sine waves at different frequencies
- Variance envelope  $\sim \exp[\sin t/T]$



$T = 30\text{s}, 60\text{s}, 90\text{s}$

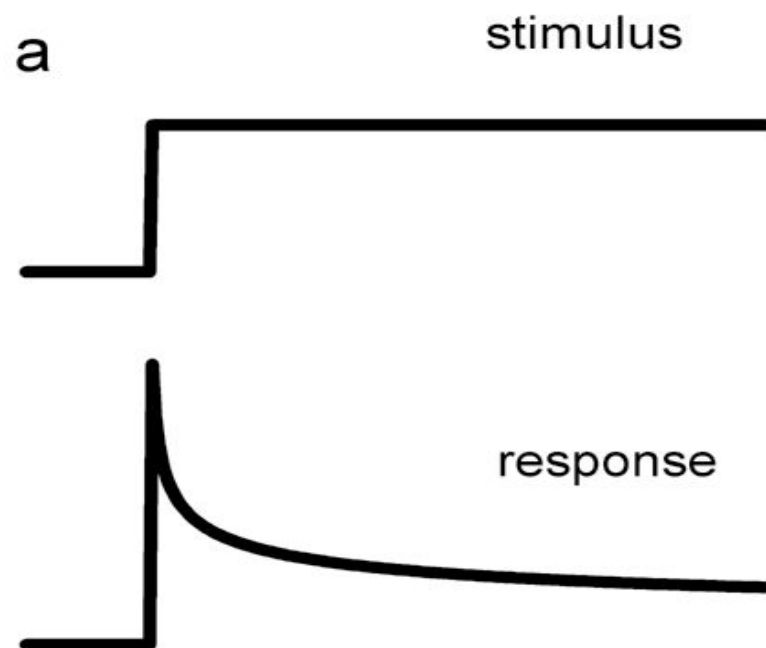


# The transfer function: *fractional differentiation*

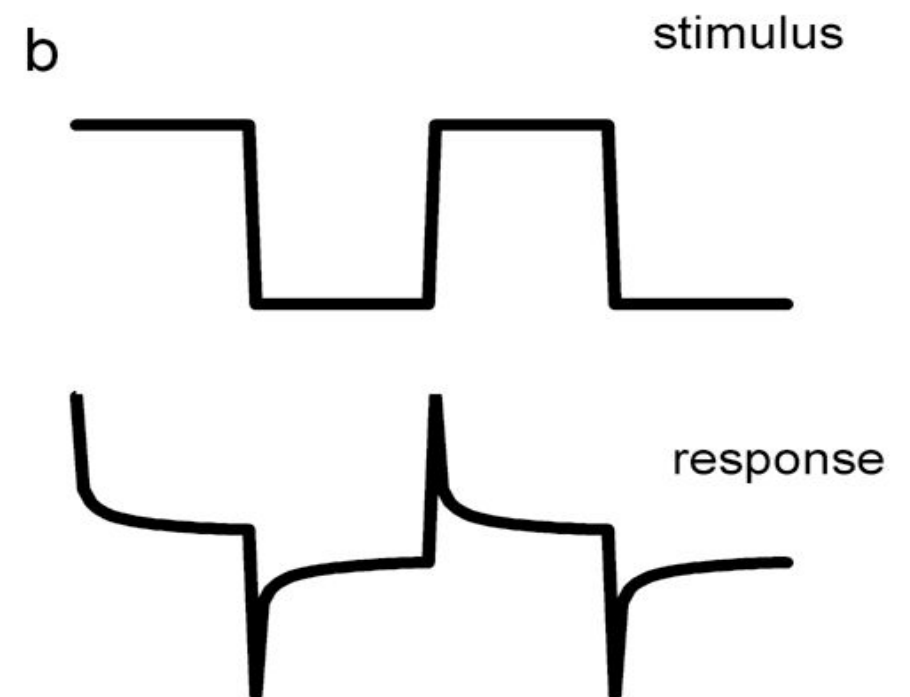
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Fourier representation  $(i\omega)^\alpha$  :  
each frequency component scaled by  $\omega^\alpha$   
and with phase shifted by a **constant phase**  $i^\alpha = \alpha\pi/2$

power-law  
response



scaling  
response  
to a square

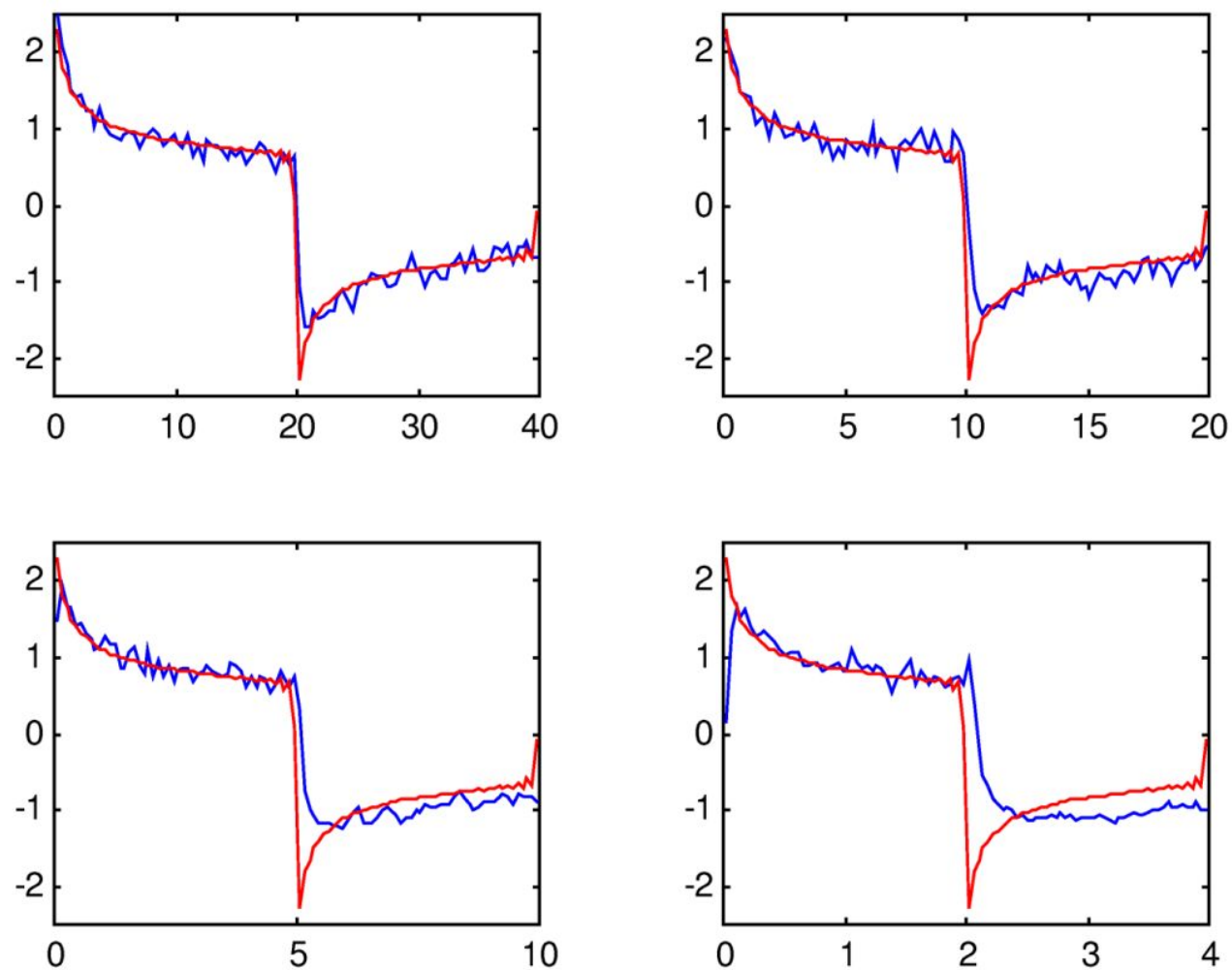




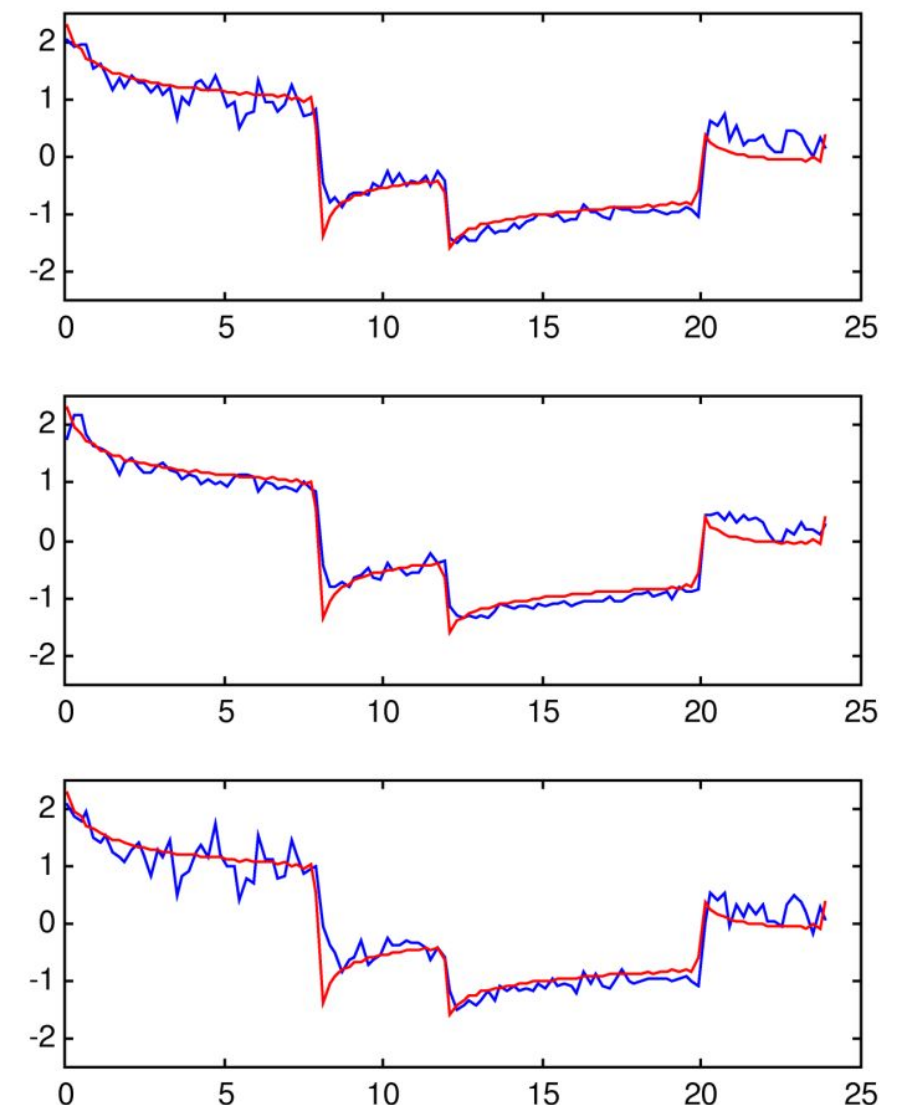
# Fractional differentiation

From sinusoid experiments, find exponent  $\alpha$   
 $\sim 0.2$

## Two-state switching



## Three-state switching



# Multiplexin

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g

- **Spike timing** optimally encodes instantaneous fluctuations
- **Spike rate** encodes envelope (optimally?)

# Common rules for temporal information processing in cortex?

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S1



Ilan Lampl

V1



Nicholas Priebe

A1



Eli Nelken



Kenneth Latimer



# Common rules for information processing in cortex?

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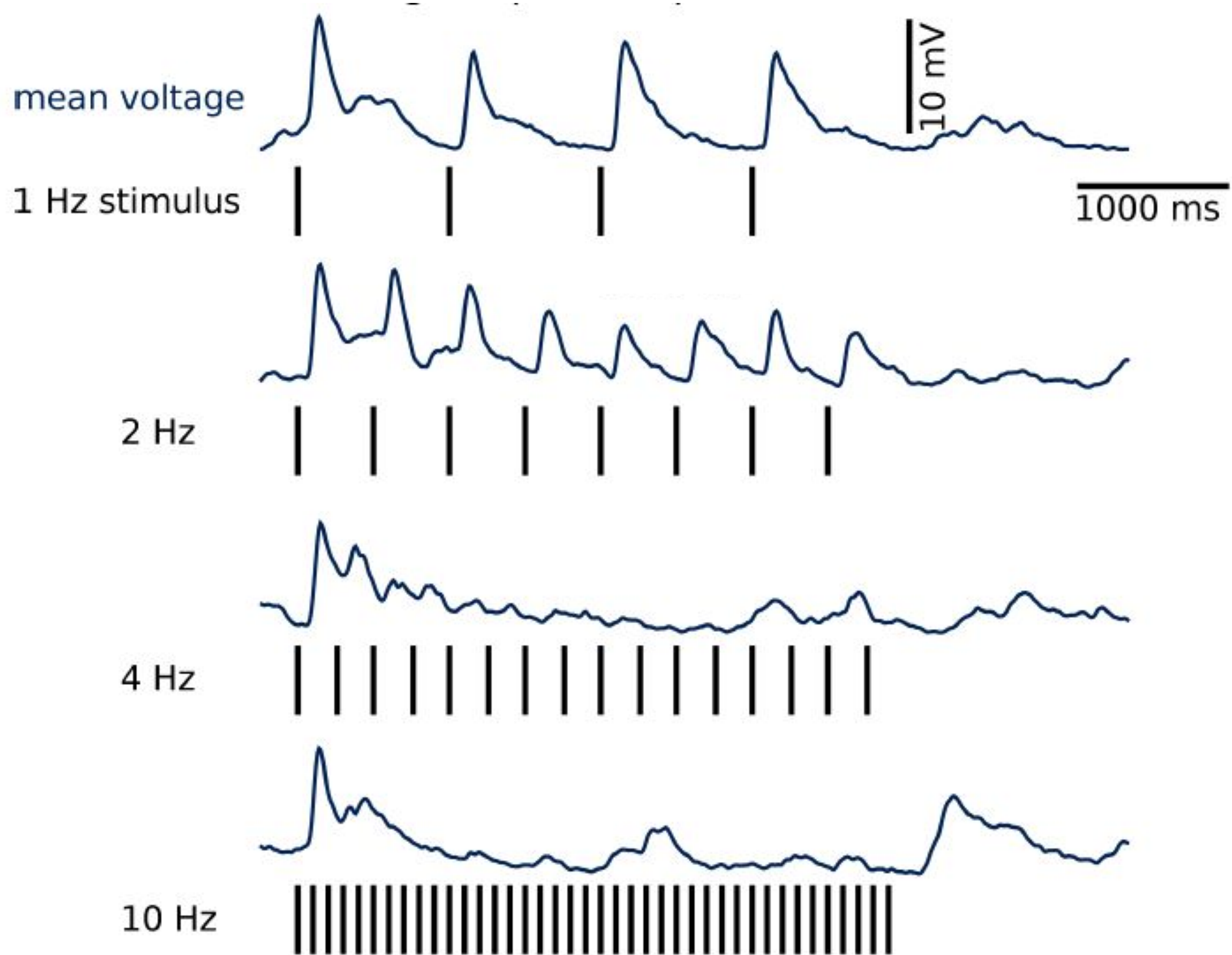
Common stimulus design: 20ms pulses



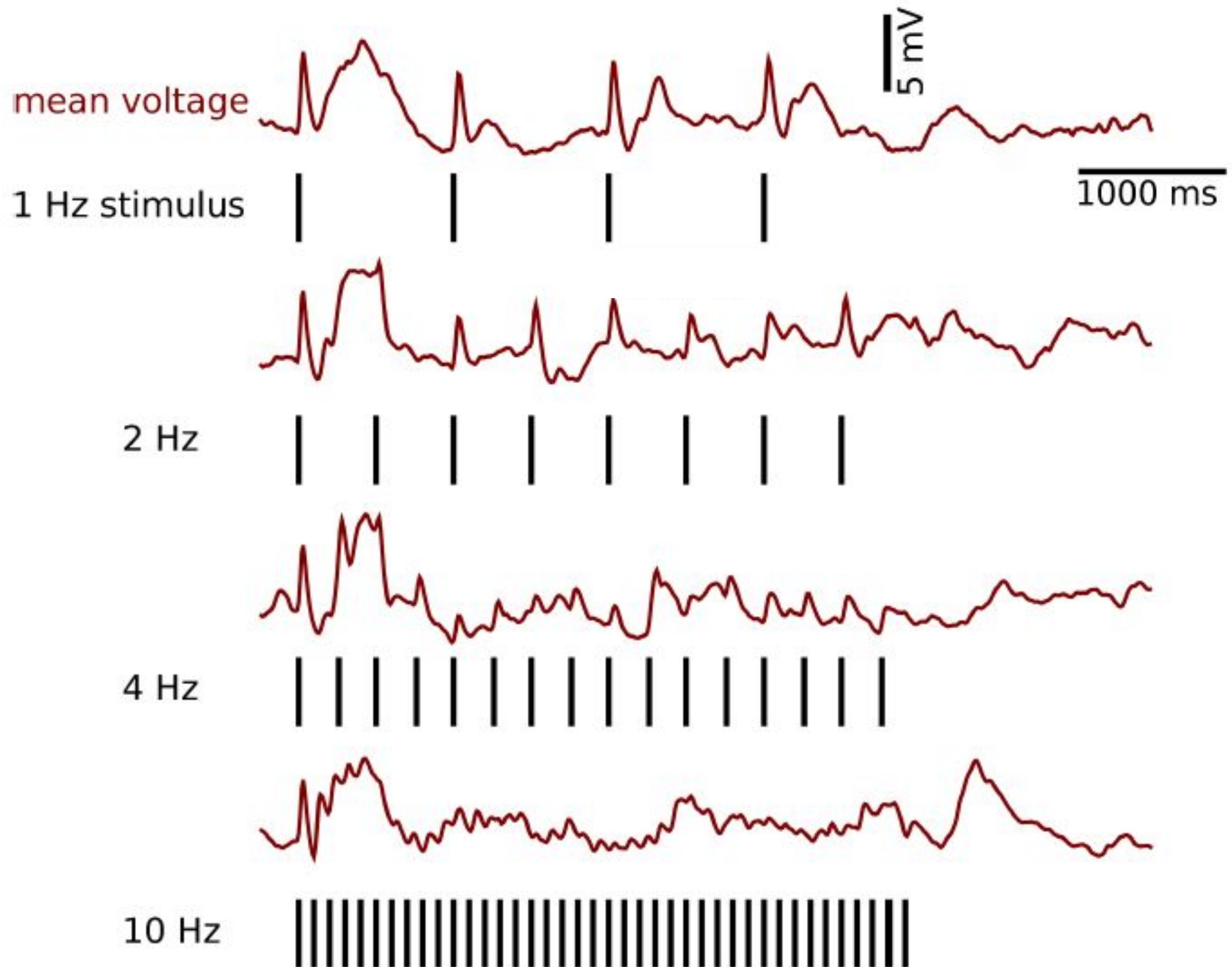
- Same mouse strain (C57BL/6)
- Same anaesthesia (urethane and chlorproxithene)
- Whole cell current clamp recording



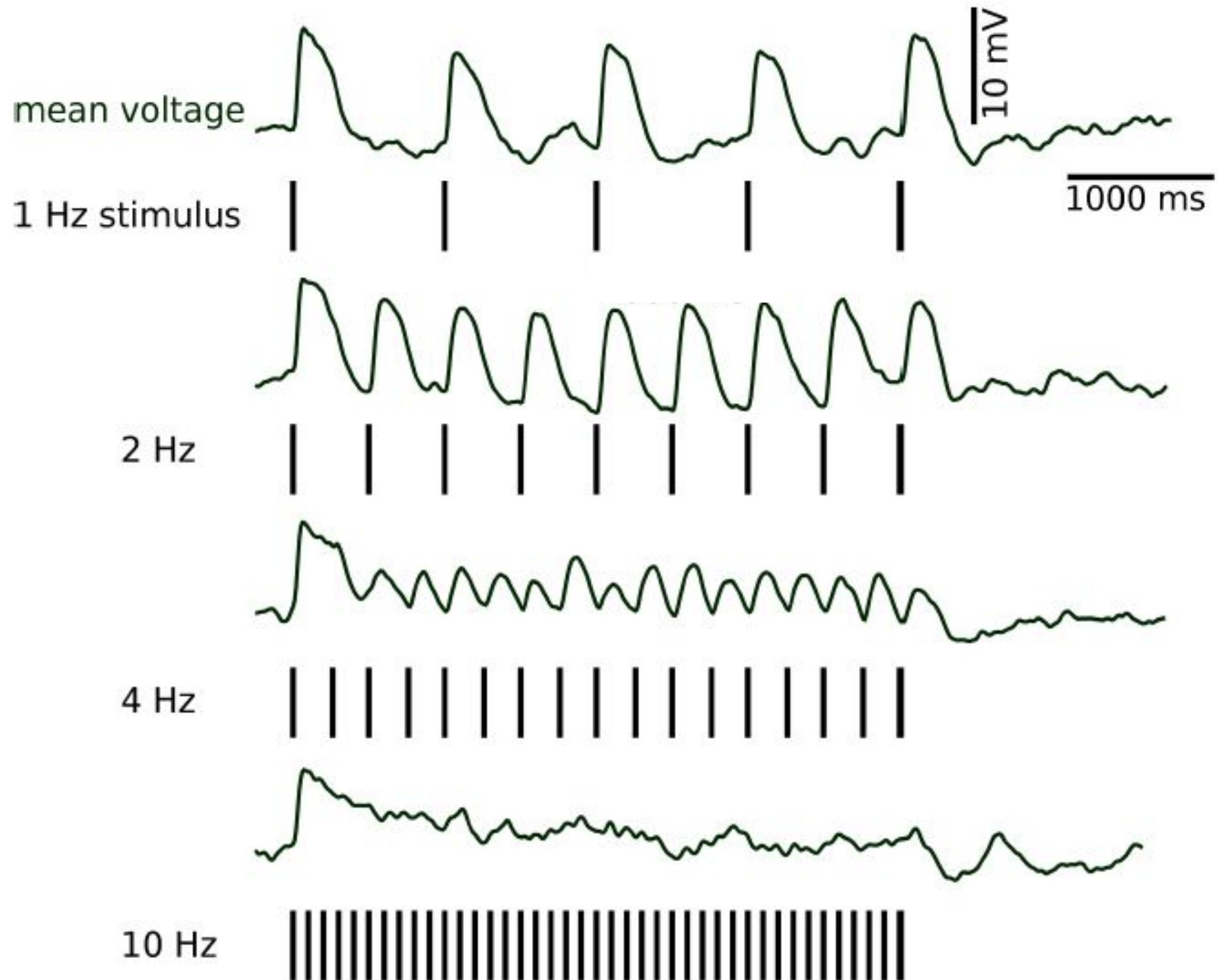
# Pulse responses in V1



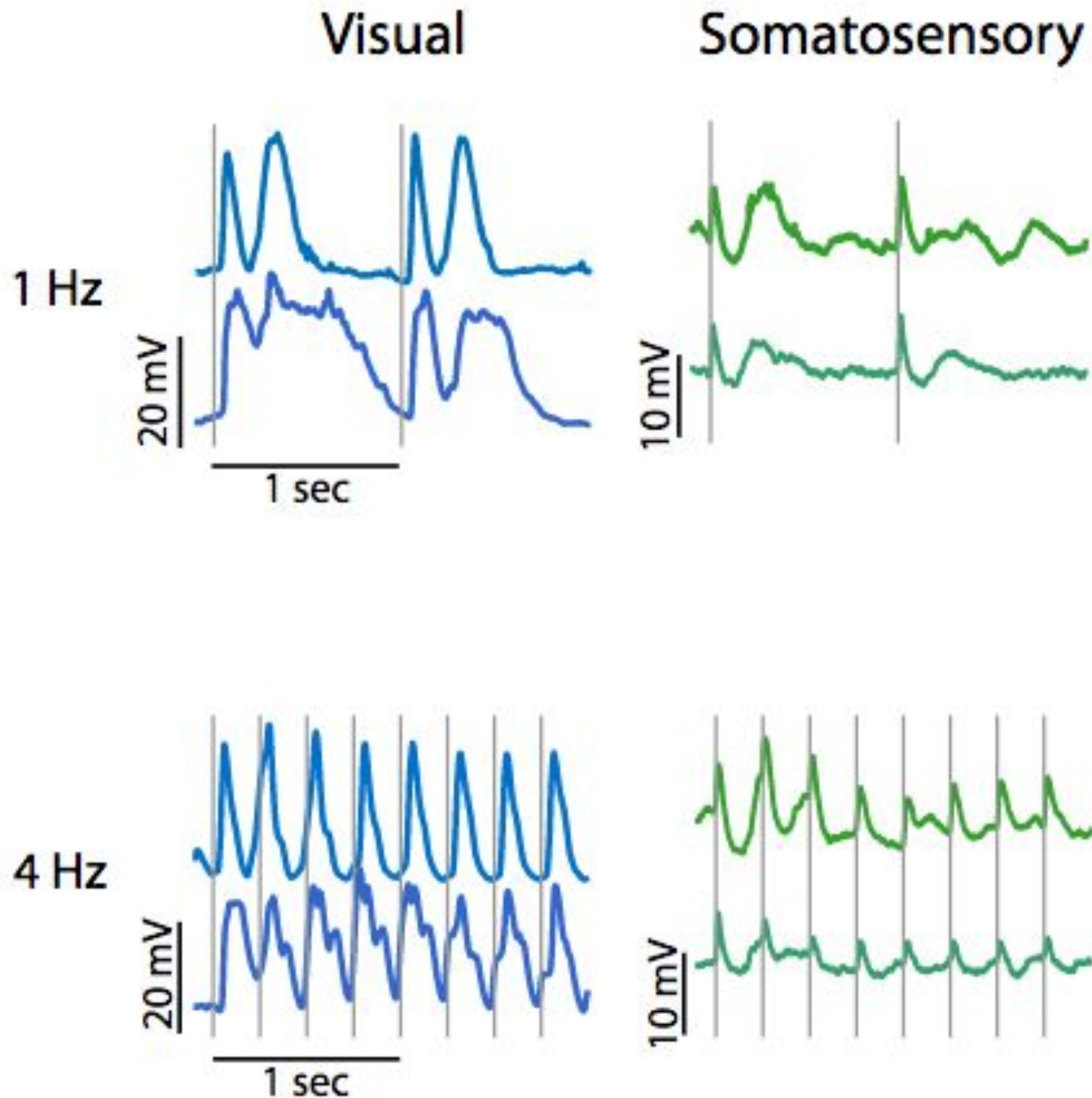
# Pulse responses in S1



# Pulse responses in A1



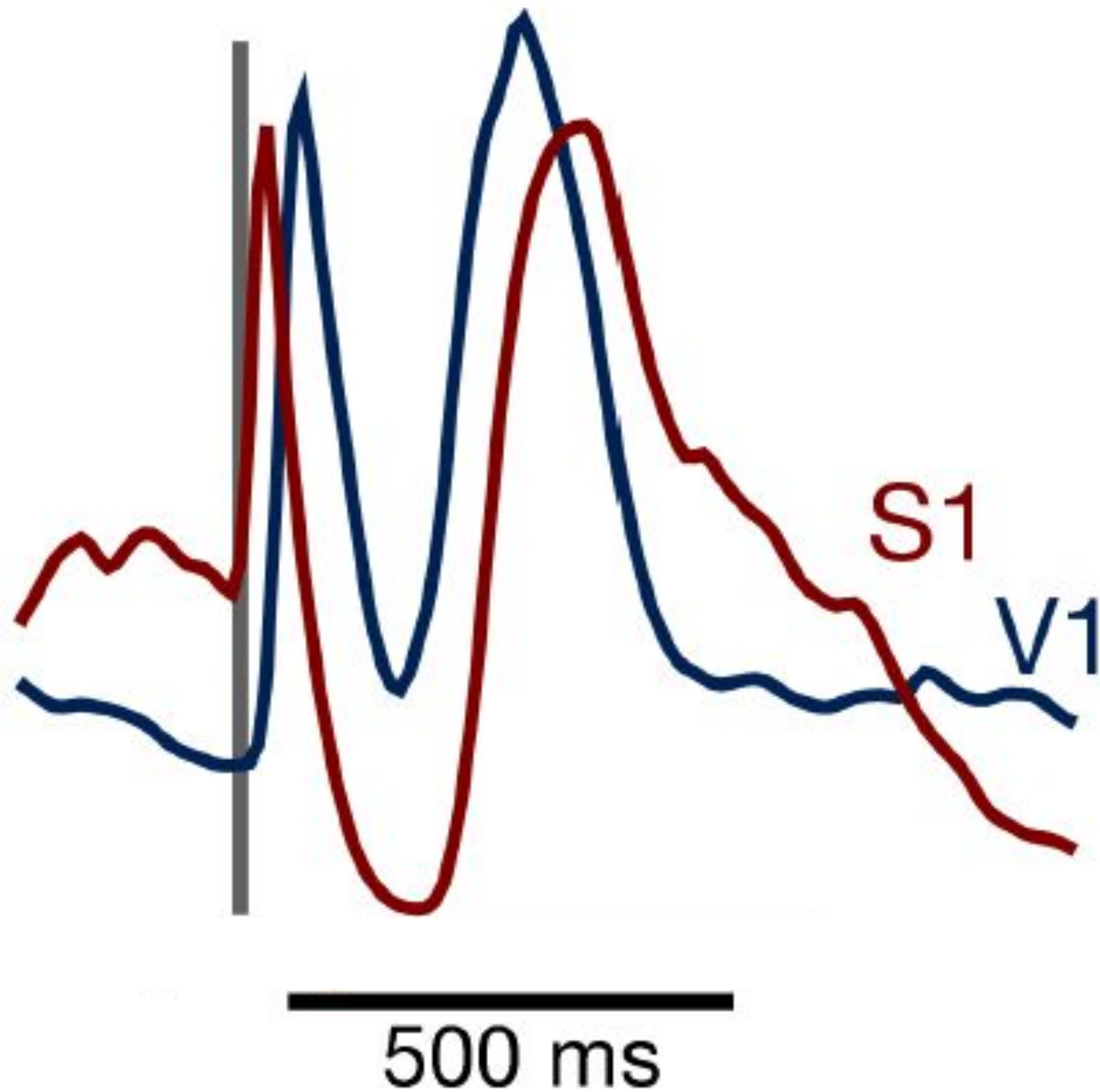
# Complex single-pulse response in V1 and S1





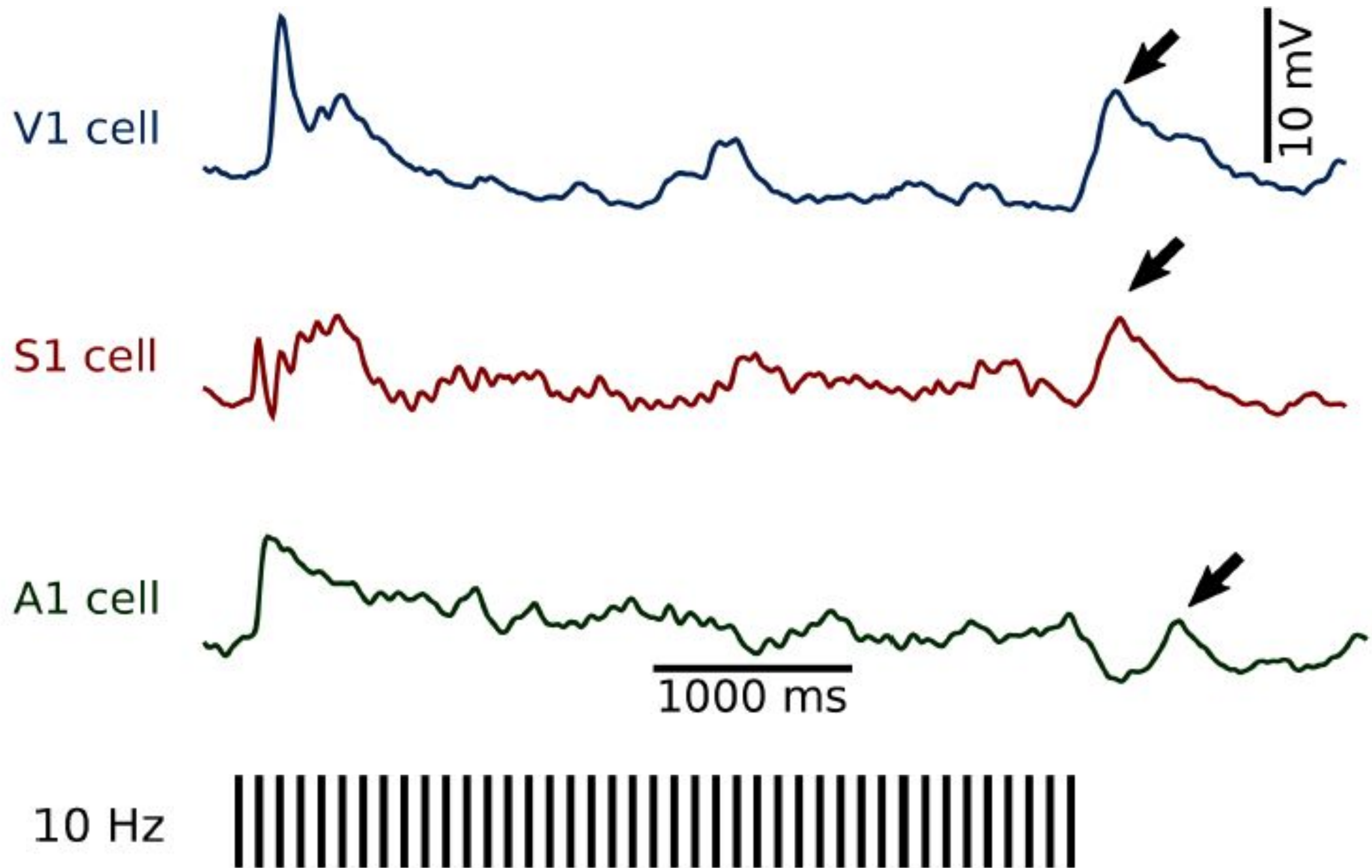
# Complex single-pulse response in V1 and S1

---



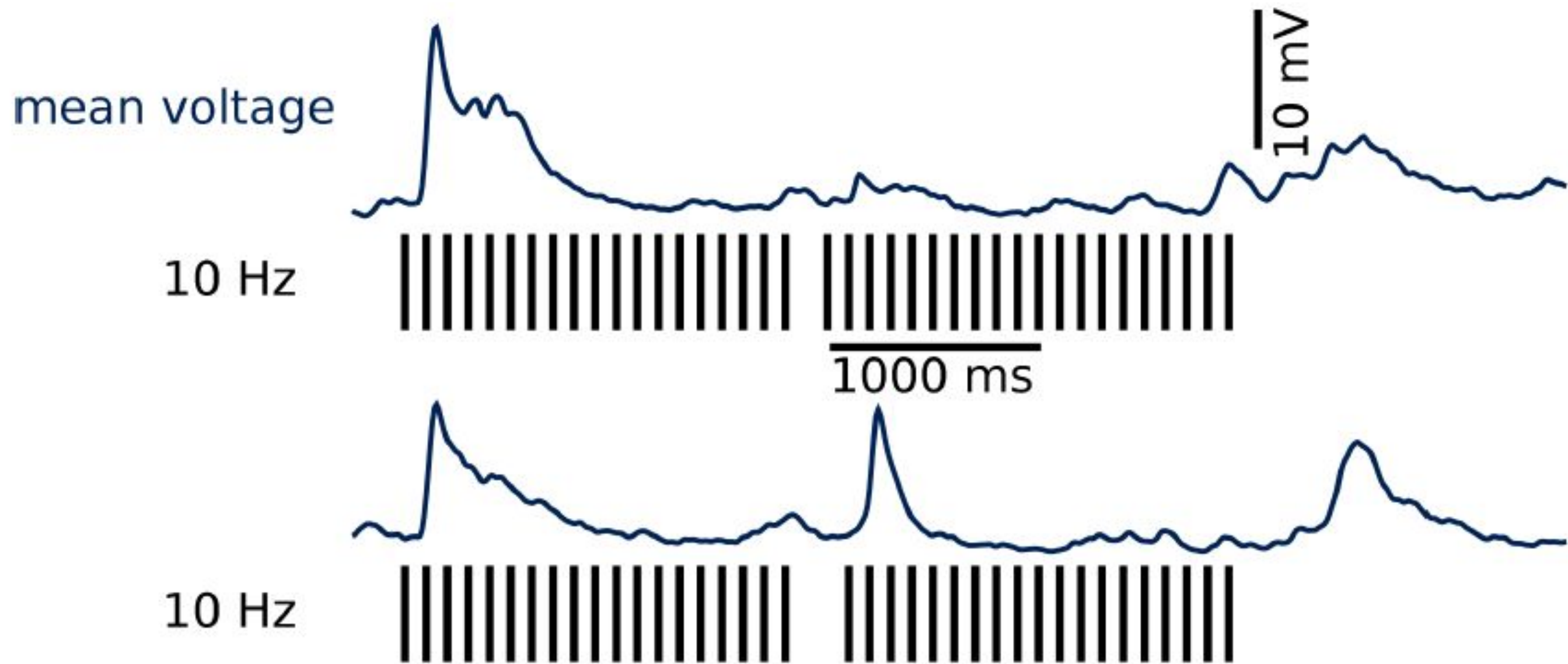


# Temporal pattern detection: offset response

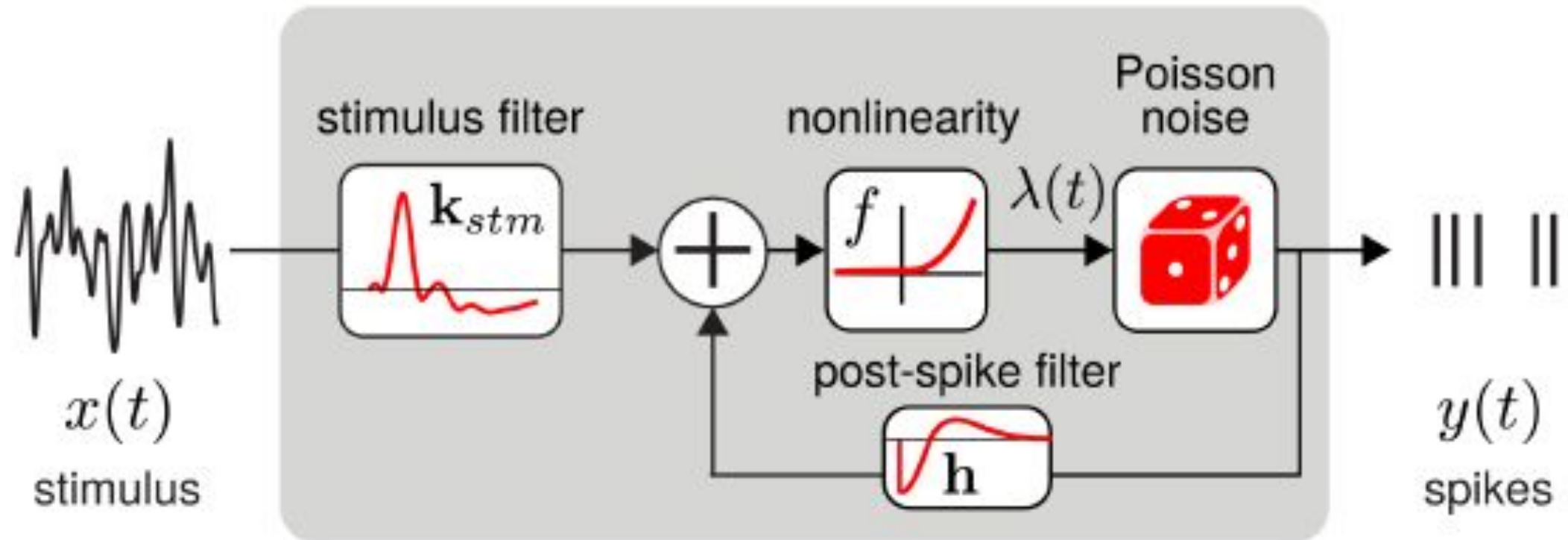


# Omitted stimulus response

---

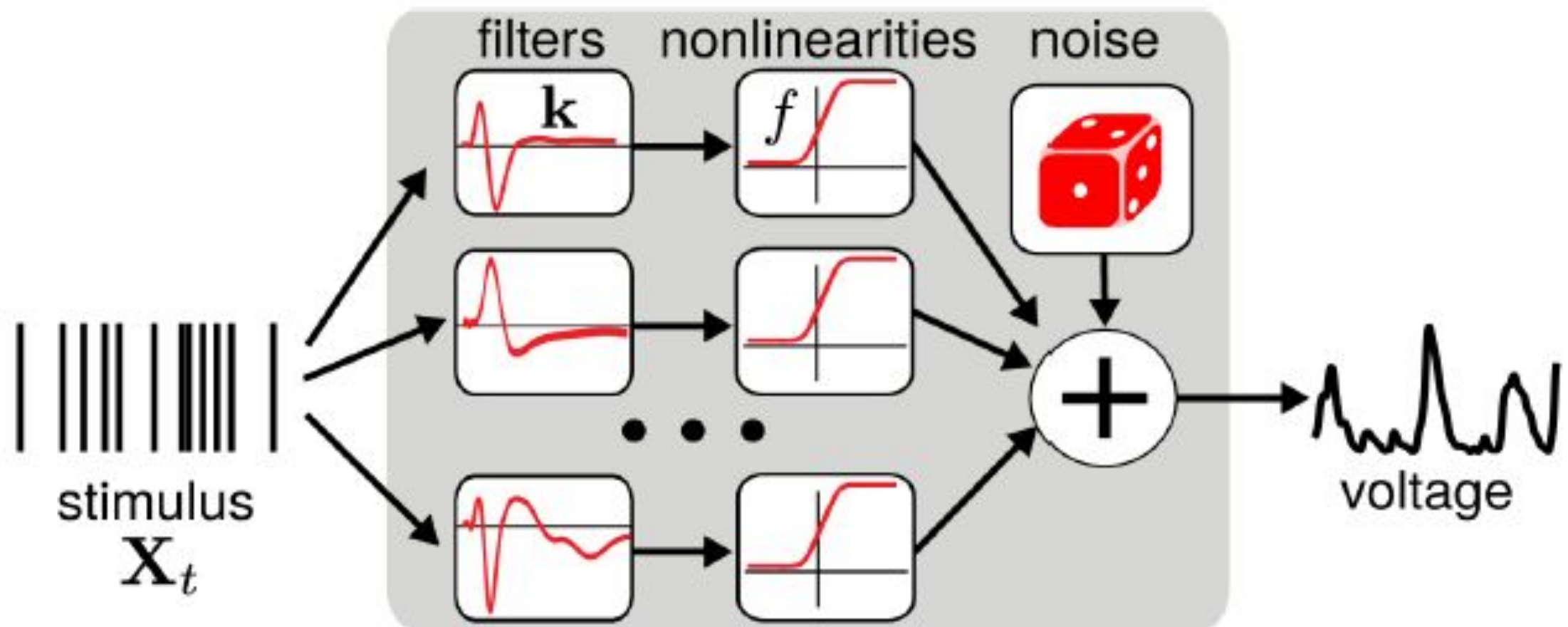


# First pass approach: model



Fit model with Poisson inputs

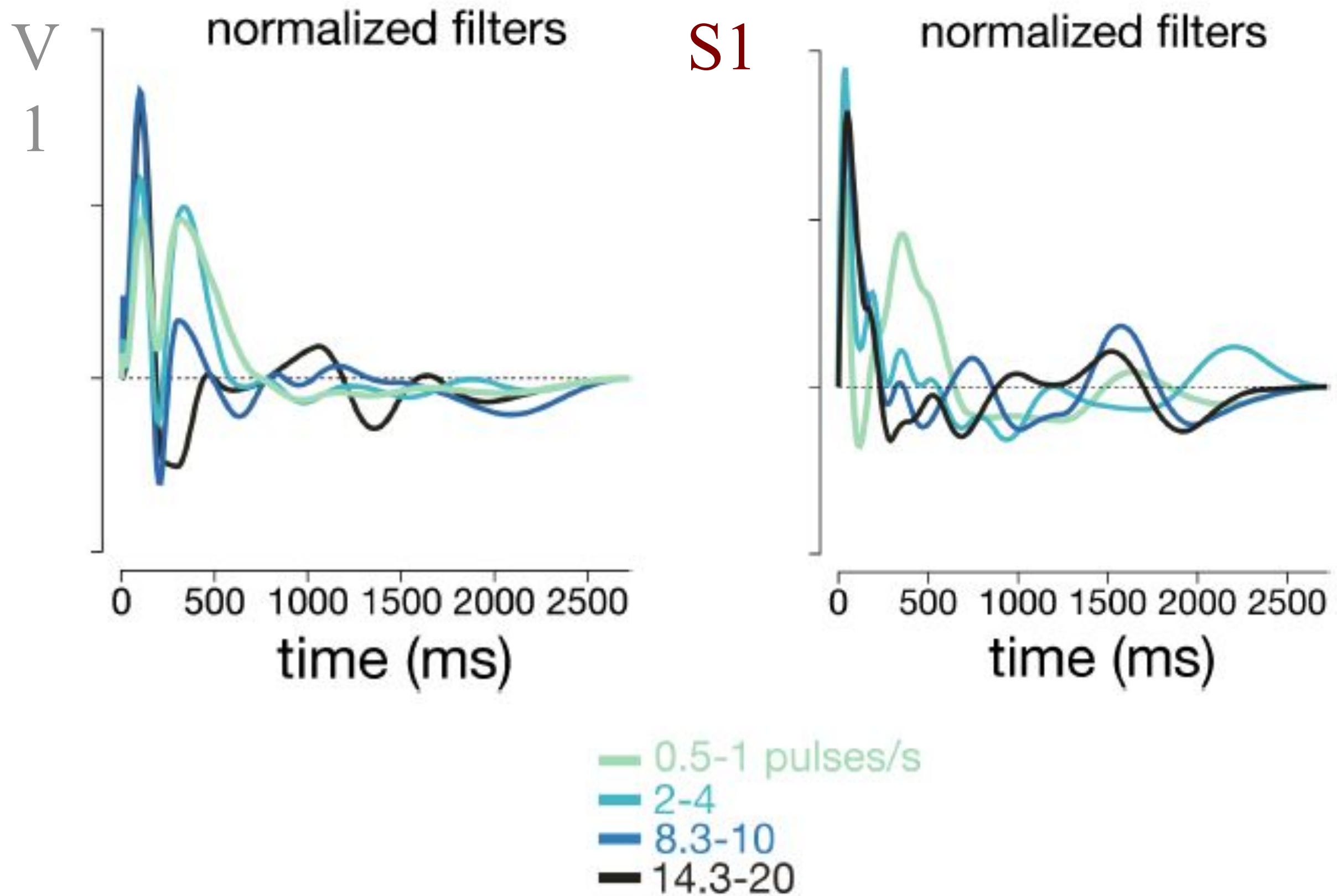
# Extended GLM models



$$V_t = \sum_{i=1}^N f(\mathbf{X}_t^\top \mathbf{k}_i) + b + \epsilon_t, \quad \epsilon_t \sim \mathcal{N}(0, \sigma^2)$$

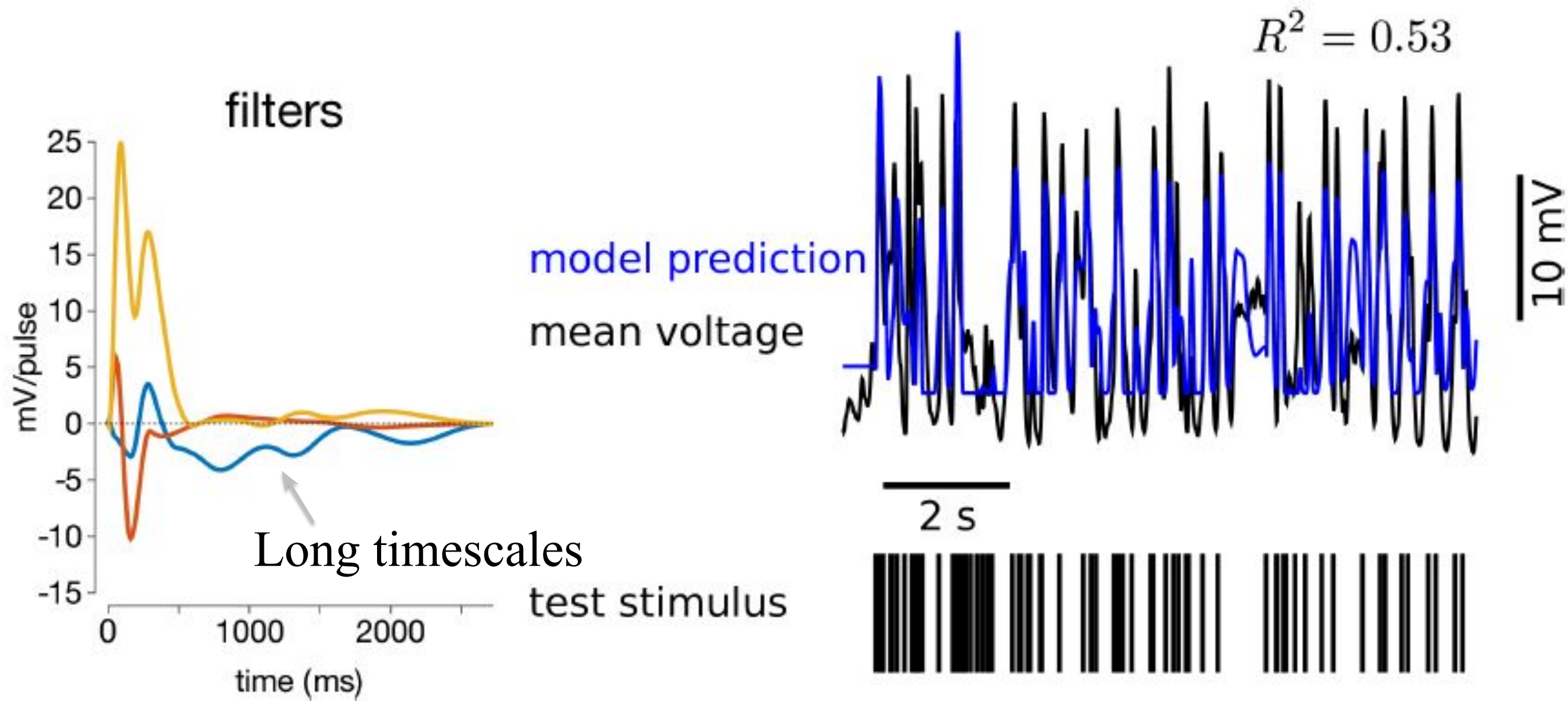
*“subunits”*

# Filters depend on stimulus frequency

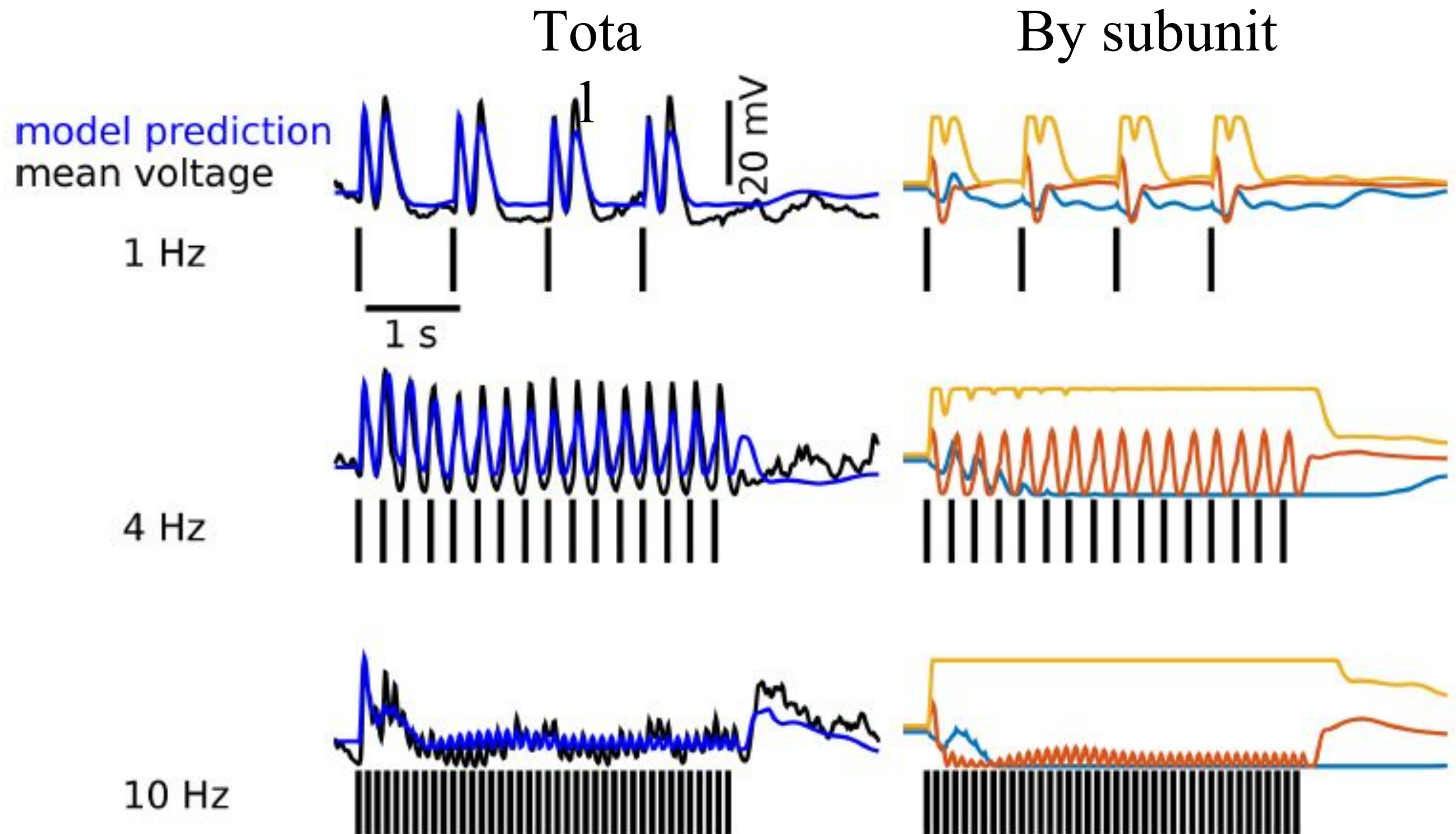




# Fit using Poisson trains of multiple frequencies



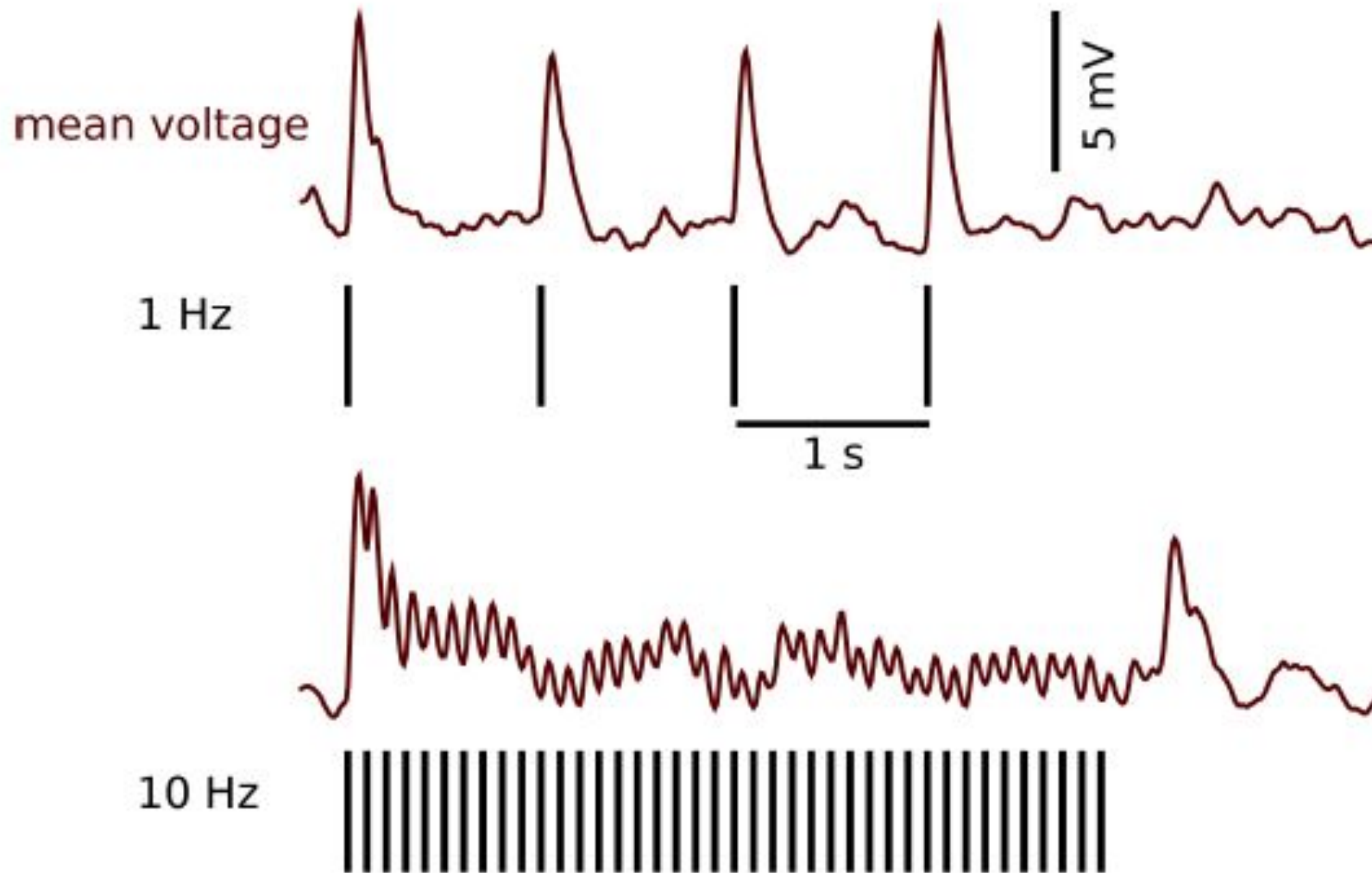
# Use model to predict response to periodic stimulus



Different components responsible for biphasic pulse response and offset

# S1 example: can have simple pulse response and offset

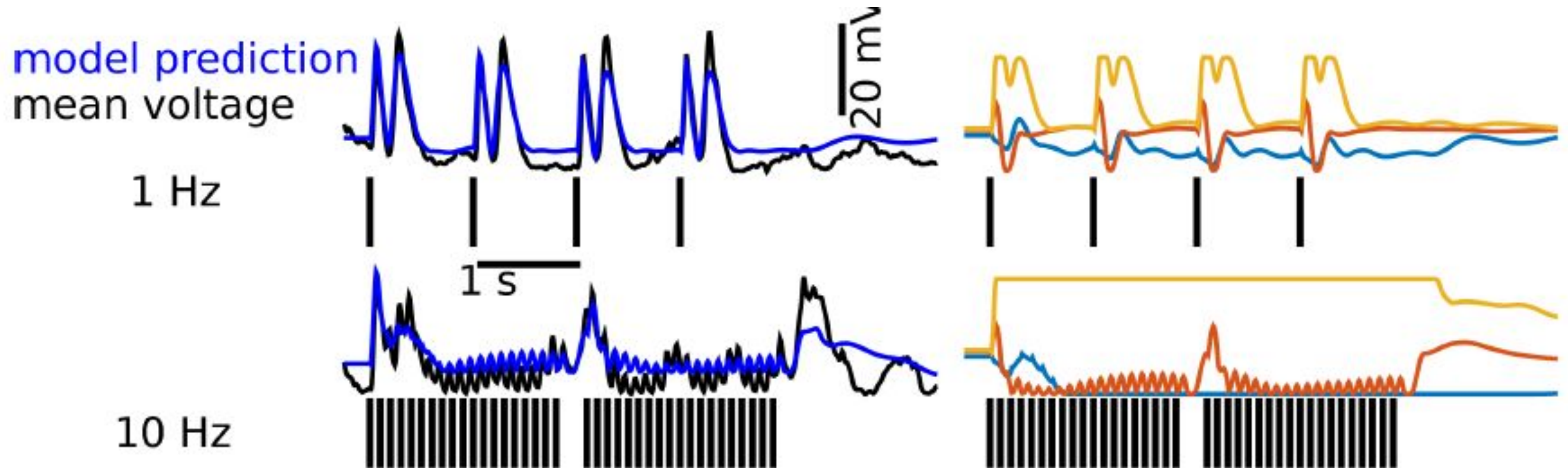
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# Offset subunit captures omitted response

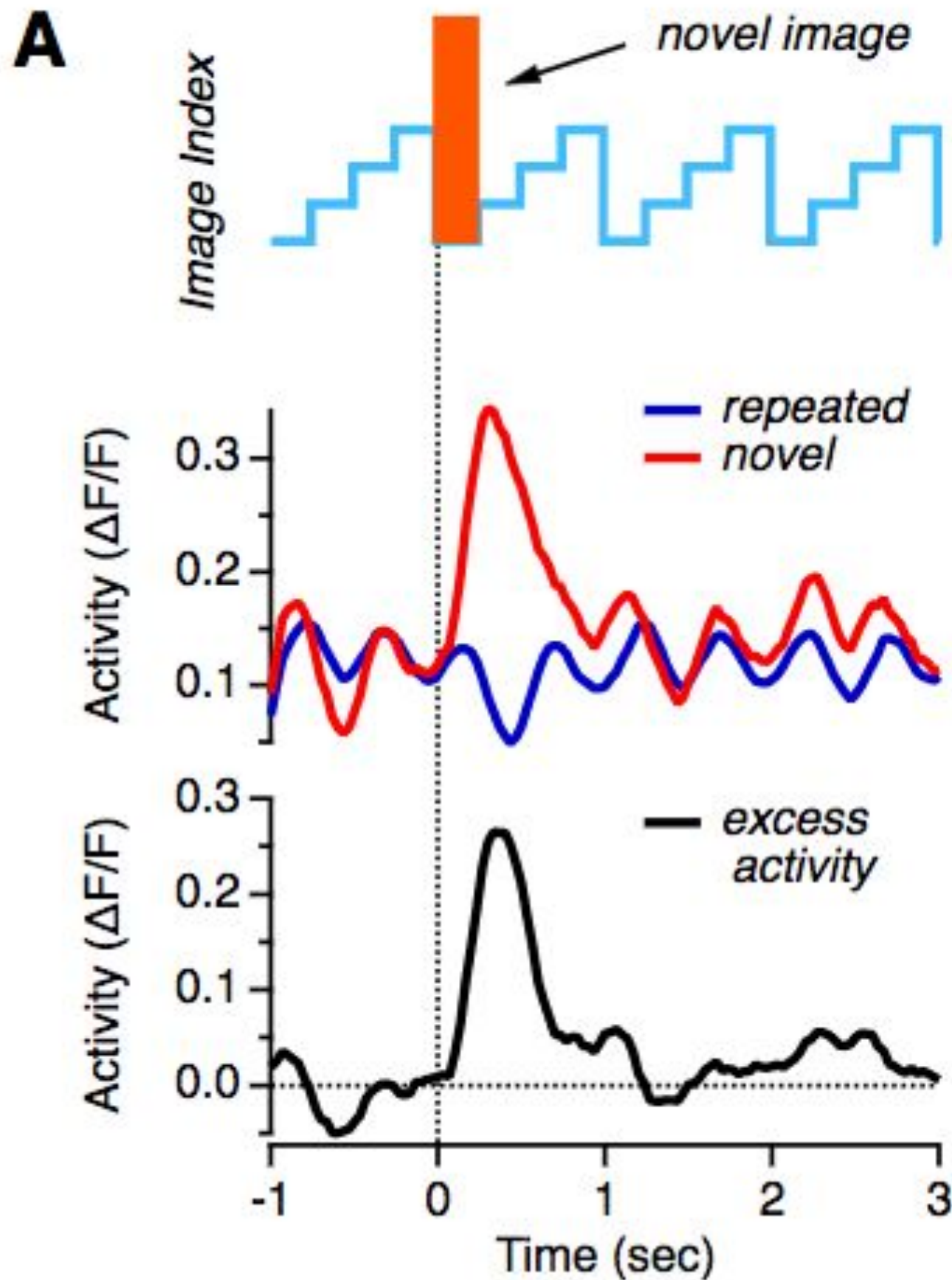
Tota  
1

By subunit





# Novelty detection in sequences





# Subsummary

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- Complex multiple timescale dynamics: a substrate for pattern detection
- Captured by relatively simple filter model; requires several filters
- Timescale and some feature differences between different cortical areas
- Some responses may be inherited from thalamus; some thalamocortical
- Little differentiation in response by cell type: differences in circuit
- Caveat: all anaesthetized!

# Capturing adaptation

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$$\frac{dr}{dt} = F(r, s) \quad \longrightarrow \quad r(t) = f(s_\tau(t))$$

With adaptation, apply a separation of timescales:

$$r(t) = f(s_\tau(t), \theta_T(t)) \quad \text{where} \quad \theta_T(t) = g(s_T(t))$$

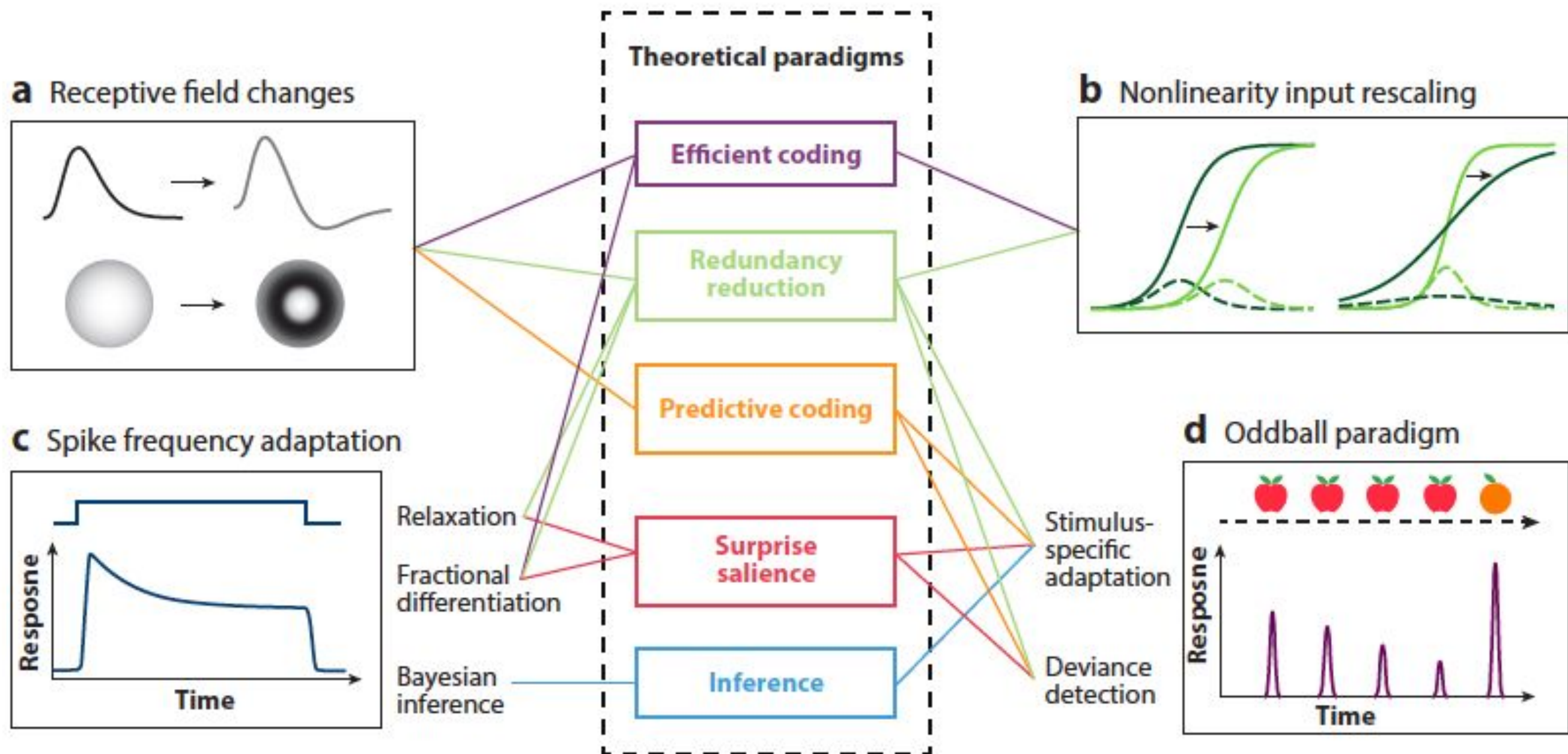
E.g. in fly H1:

$$r(t) = R(\sigma(t))f(s_\tau(t)/\sigma(t))$$

However, likely need to consider:

$$r(t) = h(s_T(t))$$

# Theoretical paradigms for adaptation



# Summary

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- Sensory systems use common strategies to optimally represent stimulus information in dynamically varying environments
- Can capture multiple timescales of responses in statistical models
- Adaptation can be thought of as encoding longer timescale temporal properties
- Can resolve ambiguities by considering temporally multiplexed code
- Low-level biological properties confer computational richness