

## 1 Lecture 1: Examples of U-modules

What does a module look like? A module is a vector space with some (linear) operators. To work with a vector space, specify a basis, and to work with linear operators, specify their matrices with respect to that basis.

### 1.1 The module $L(\varepsilon_1)$ for $\mathbf{U} = U_t(\mathfrak{sl}_\infty)$

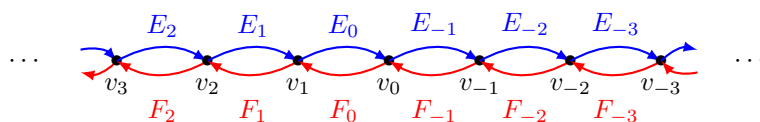
Let  $E_{ij} \in M_{\mathbb{Z} \times \mathbb{Z}}(\mathbb{C})$  denote the matrix with 1 in the  $(i, j)$  entry and 0 elsewhere.

Let

$$L(\varepsilon_1) \text{ be a vector space with basis } \{v_i \mid i \in \mathbb{Z}\}.$$

For  $i \in \mathbb{Z}$ , define

$$E_i = E_{i,i+1}, \quad F_i = E_{i+1,i}, \quad K_i = 1 + (t-1)E_{ii} + (t^{-1}-1)E_{i+1,i+1}.$$



### 1.2 The module $L(\varepsilon_1)$ for $\mathbf{U} = U_t(L\mathfrak{sl}_n)$

Let  $n \in \mathbb{Z}_{>2}$ .

Let  $E_{ij} \in M_{\mathbb{Z} \times \mathbb{Z}}(\mathbb{C})$  denote the matrix with 1 in the  $(i, j)$  entry and 0 elsewhere.

Let

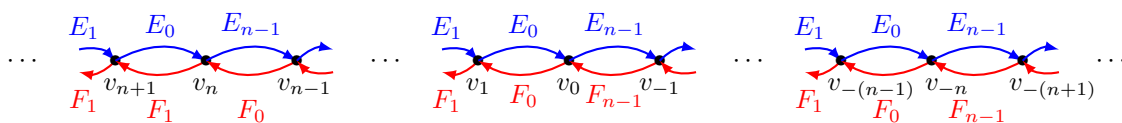
$$L(\varepsilon_1) \text{ be a vector space with basis } \{v_i \mid i \in \mathbb{Z}\}.$$

For  $i \in \mathbb{Z}/n\mathbb{Z}$ , define

$$E_i = \sum_{\substack{k \in \mathbb{Z} \\ k \equiv i \pmod{n}}} E_{k,k+1}, \quad F_i = \sum_{\substack{k \in \mathbb{Z} \\ k \equiv i \pmod{n}}} E_{k+1,k}$$

and

$$K_i = 1 + \sum_{\substack{k \in \mathbb{Z} \\ k \equiv i \pmod{n}}} (t-1)E_{kk} + (t^{-1}-1)E_{k+1,k+1}.$$



### 1.3 Coiling $L(\varepsilon_1)$ for $U_t(L\mathfrak{sl}_n)$

Let  $n \in \mathbb{Z}_{>2}$ .

Let  $E_{ij} \in M_{\mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}}(\mathbb{C}[\epsilon, \epsilon^{-1}])$  denote the matrix with 1 in the  $(i, j)$  entry and 0 elsewhere.

Let  $\{v_0, v_1, \dots, v_{n-1}\}$  be a basis of  $\mathbb{C}^n$ . Then the vector space

$$\mathbb{C}^n[\epsilon, \epsilon^{-1}] = \mathbb{C}[\epsilon, \epsilon^{-1}] \otimes_{\mathbb{C}} \mathbb{C}^n \quad \text{has } \mathbb{C}\text{-basis } \{\epsilon^\ell v_i \mid i \in \mathbb{Z}/n\mathbb{Z}, \ell \in \mathbb{Z}\}.$$

Define  $\mathbb{C}[\epsilon, \epsilon^{-1}]$ -linear endomorphisms of  $\mathbb{C}^n[\epsilon, \epsilon^{-1}]$  by

$$E_i = E_{i,i+1}, \quad F_i = E_{i+1,i}, \quad K_i = 1 + (t-1)E_{ii} + (t^{-1}-1)E_{i+1,i+1}, \quad \text{for } i \in \{1, \dots, n-1\},$$

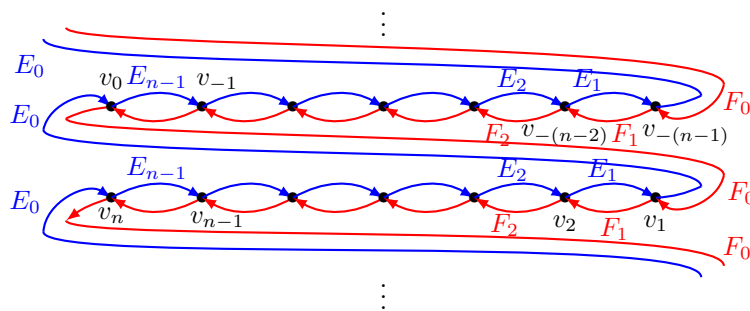
and define  $E_0, F_0, K_0$  by

$$E_0 = \epsilon E_{0,1}, \quad F_0 = \epsilon^{-1} E_{1,0}, \quad K_0 = 1 + (t-1)E_{0,0} + (t^{-1}-1)E_{1,1},$$

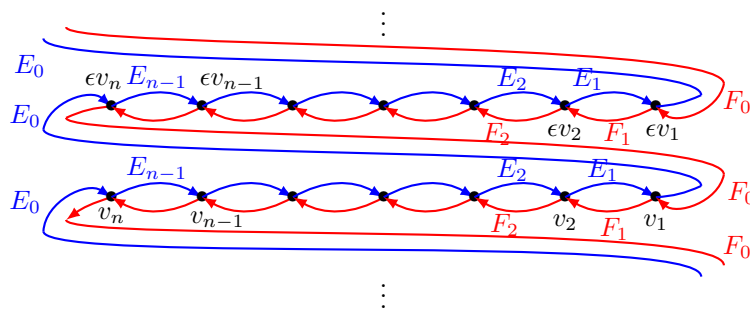
Then

$$\begin{aligned} \mathbb{C}^n[\epsilon, \epsilon^{-1}] &\rightarrow L(\epsilon_1) \\ \epsilon^\ell v_i &\mapsto v_{i-\ell} \end{aligned} \quad \text{is an isomorphism of } U_t(L\mathfrak{sl}_n)\text{-modules.}$$

Pictorially,



is isomorphic to



#### 1.4 The module $L^{\text{fin}}(u_1 - a)$ for $U_t(L\mathfrak{sl}_n)$

Let  $a \in \mathbb{C}$ .

Let  $n \in \mathbb{Z}_{>1}$  and let  $E_{ij} \in M_{\mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}}(\mathbb{C})$  denote the matrix with 1 in the  $(i, j)$  entry and 0 elsewhere. Let

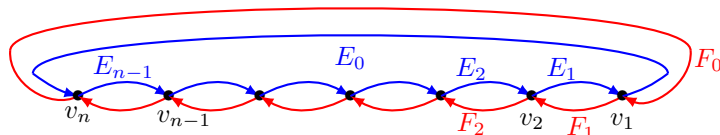
$$\mathbb{C}^n \quad \text{be a vector space with basis } \{v_i \mid i \in \mathbb{Z}/n\mathbb{Z}\}.$$

For  $i \in \{1, \dots, n\}$ , define

$$E_i = E_{i,i+1}, \quad F_i = E_{i+1,i}, \quad K_i = 1 + (t-1)E_{ii} + (t^{-1}-1)E_{i+1,i+1}, \quad \text{for } i \in \{1, \dots, n-1\},$$

and define  $E_0, F_0, K_0$  by

$$E_0 = aE_{0,1}, \quad F_0 = a^{-1}E_{1,0}, \quad K_0 = 1 + (t-1)E_{0,0} + (t^{-1}-1)E_{1,1},$$



**HW:** Assume that  $t$  is not a root of unity. Prove that  $L^{\text{fin}}(u_1 - a)$  is an irreducible  $U_t(L\mathfrak{sl}_n)$ -module.

**HW:** Assume that  $t$  is not a root of unity. Prove that if  $a_1, a_2 \in \mathbb{C}$  with  $a_1 \neq a_2$  then

$$L^{\text{fin}}(u_1 - a_1) \quad \text{is not isomorphic to} \quad L^{\text{fin}}(u_1 - a_2).$$

### 1.5 Skew shapes and column strict tableaux

A *box* is an element of  $\mathbb{Z}^2$  (rows and columns are indexed as for matrices). The *content* of box  $= (i, j)$  is the diagonal number of the box  $(i, j)$ ,

$c(\text{box}) = j - i.$

	...	1	2	3	4	...
⋮	0	1	2	3	4	5
1	-1					
2	-2					
3	-3					
4	-4					
5	-5					
6						
⋮						

$b = (2, 4)$  has content  $c(b) = 2,$   
 $b = (6, 1)$  has content  $c(b) = -5.$

A *skew shape* is a finite subset of  $\mathbb{Z}^2$  such that

If  $r \in \mathbb{Z}_{>0}$  and  $(i, j), (i + r, j + r) \in \nu/\mu$  then  $(i + a, j + b) \in \nu/\mu$  for  $a, b \in \{0, 1, \dots, r\}$ .

	...	-1	0	1	2	3	...
⋮							
-2							
-1							
0							
1							
⋮							

 $= \left\{ \begin{array}{l} (-3, 4), (-2, 4), \\ (-1, 1), (-1, 2), (-1, 3), (-1, 4), \\ (0, 1), (0, 2), (0, 3), (0, 4), \\ (1, 1), (1, 2), (1, 3), \\ (2, -2), (2, -1), (2, 0), \end{array} \right\}$ 

A *column strict tableau of shape  $\nu/\mu$  filled from  $\{1, \dots, n\}$*  is a function  $T: \nu/\mu \rightarrow \{1, \dots, n\}$  such that

- (a) if  $(i, j), (i + 1, j) \in \nu/\mu$  then  $T(i, j) > T(i + 1, j)$ ,  $\begin{array}{|c|} \hline \times \\ \hline \end{array}$
- (b) if  $(i, j), (i, j + 1) \in \nu/\mu$  then  $T(i, j) \leq T(i, j + 1)$ .  $\begin{array}{|c|} \hline \wedge \\ \hline \end{array}$

For example,

	...	-1	0	1	2	3	...
⋮							
-2							
-1							
0							
1							
⋮							

 $T = \begin{array}{|c|c|c|c|c|} \hline & & & & 1 \\ \hline & & & & 5 \\ \hline & & 2 & 2 & 2 & 6 \\ \hline & & 4 & 4 & 6 & 7 \\ \hline & & 5 & 5 & 8 & \\ \hline 1 & 1 & 4 & & & \\ \hline \end{array}$ 

is a column strict tableau filled from  $\{1, 2, \dots, 9\}$ .

Let

$$B(\nu/\mu) = \{\text{column strict tableaux of shape } \nu/\mu \text{ filled from } \{1, \dots, n\}\}.$$

The set  $B(\nu/\mu)$  is empty if  $\nu/\mu$  contains a column of length  $> n$ . If  $B(\nu/\mu)$  is nonempty then it contains the *column reading tableau*  $T^+ \in B(\nu/\mu)$  determined by

- (a) if  $(i, j) \in \nu/\mu$  and  $(i - 1, j) \in \nu/\mu$  then  $T^+(i, j) = 1,$
- (b) if  $(i, j), (i, j + 1) \in \nu/\mu$  then  $T^+(i, j + 1) = T^+(i, j) + 1.$

## 1.6 The $\mathbf{U}'$ -module $L^{\text{fin}}(\nu/\mu)$

Let  $\mathbf{U}' = U_t(L\mathfrak{sl}_n)$  and let

$$L^{\text{fin}}(\nu/\mu) \quad \text{be the vector space with basis} \quad \{v_T \mid T \in B(\nu/\mu)\}.$$

For  $T \in B(\nu/\mu)$ , define

$$\gamma_T(u_0, u_1, \dots, u_{n-1}, u_n) = \prod_{b \in \nu/\mu} \frac{1 - u_{T(b)} t^{2c(b)+T(b)-1}}{1 - u_{T(b)-1} t^{2c(b)+T(b)}}.$$

For  $i \in \{1, \dots, n-1\}$ , let  $\gamma_T^{(i)}(u)$  be  $\gamma_T(u_0, u_1, \dots, u_{n-1}, u_n)$  evaluated at  $u_i = u$  and  $u_j = 0$  at  $j \neq i$ ,

$$\gamma_T^{(i)}(u) = \gamma_T(0, \dots, 0, u, 0, \dots, 0).$$

For  $i \in \{1, \dots, n\}$  and  $u, w, z \in \mathbb{C}^\times$ , define operators  $\mathbf{q}^{(i)}(u)$ ,  $\mathbf{x}_i^+(t^a)$  and  $\mathbf{x}_i^-(t^a)$  on  $L^{\text{fin}}(\nu/\mu)$  by

$$\mathbf{q}_+^{(i)}(u)v_T = q^{\deg(\gamma_T^{(i)})} \frac{\gamma_T^{(i)}(t^{-1}u)}{\gamma_T^{(i)}(tu)} v_T, \quad \text{and} \quad \mathbf{q}_-^{(i)}(u)v_T = q^{-\deg(\gamma_T^{(i)})} \frac{\gamma_T^{(i)}(tu)}{\gamma_T^{(i)}(t^{-1}u)} v_T,$$

and

$$\mathbf{x}_i^+(t^a)v_T = \begin{cases} (\text{const})v_{\tilde{e}_{i,a}T}, & \text{if } T \text{ has a box } b \text{ with } T(b) = i \text{ and } c(b) = a, \\ 0, & \text{otherwise.} \end{cases}$$

$$\mathbf{x}_i^-(t^a)v_T = \begin{cases} (\text{const})v_{\tilde{f}_{i,a}T}, & \text{if } T \text{ has a box } b \text{ with } T(b) = i+1 \text{ and } c(b) = a, \\ 0, & \text{otherwise,} \end{cases}$$

where

$\tilde{e}_{i,a}T$  is  $T$  except with  $i$  changed to  $i+1$  in a box of content  $a$ ,

$\tilde{f}_{i,a}T$  is  $T$  except with  $i+1$  changed to  $i$  in a box of content  $a$ ,

## 2 Lecture 2: The affine Weyl group

### 2.1 The finite Weyl group $W_{\text{fin}}$

Before we start the game we wish to play we are given some symbols  $\delta, \Lambda_0$  and  $\alpha_1^\vee, \dots, \alpha_n^\vee$  and some integers denoted

$$a_0, a_1, \dots, a_n \in \mathbb{Z}_{>0}, \quad \text{and} \quad C_{ij}, \text{ for } i, j \in \{1, \dots, n\}.$$

$$a_0^\vee, a_1^\vee, \dots, a_n^\vee \in \mathbb{Z}_{>0},$$

Fix a  $\mathbb{C}$ -vector space  $\mathfrak{a}$  and its dual  $\mathfrak{a}^*$  so that

$$\begin{aligned} \mathfrak{a} \text{ has } \mathbb{C}\text{-basis } \{\alpha_1^\vee, \dots, \alpha_n^\vee\}, \\ \mathfrak{a}^* \text{ has } \mathbb{C}\text{-basis } \{\omega_1, \dots, \omega_n\} \end{aligned} \quad \text{with} \quad \langle \omega_i, \alpha_j^\vee \rangle = \delta_{ij}.$$

Let

$$\mathfrak{h}^* \text{ be the vector space with basis } \{\delta, \omega_1, \dots, \omega_n, \Lambda_0\}.$$

For  $j \in \{1, \dots, n\}$  define

$$\alpha_j = C_{1j}\omega_1 + \dots + C_{nj}\omega_n$$

and

$$s_j: \mathfrak{h}^* \rightarrow \mathfrak{h}^* \quad \text{by} \quad s_j(a\delta + \lambda + \ell\Lambda_0) = a\delta + \lambda - \langle \lambda, \alpha_j^\vee \rangle \alpha_j + \ell\Lambda_0,$$

for  $a, \ell \in \mathbb{C}$  and  $\lambda \in \mathfrak{a}^*$ . The *finite Weyl group*

$$W_{\text{fin}} \subseteq GL(\mathfrak{h}^*) \quad \text{is generated by } s_1, \dots, s_n.$$

### 2.2 The affine Weyl group

Let

$$\mathfrak{a}_{\mathbb{Z}}^{\text{ad}} = \mathbb{Z}\text{-span}\{\alpha_1^\vee, \dots, \alpha_n^\vee\}.$$

For  $\mu^\vee \in \mathfrak{a}_{\mathbb{Z}}^{\text{ad}}$  define  $t_{\mu^\vee}: \mathfrak{h}^* \rightarrow \mathfrak{h}^*$  by

$$t_{\mu^\vee}(a\delta + \lambda + \ell\Lambda_0) = (a + (\lambda - \ell\frac{1}{2}\mu^\vee)(\mu^\vee))\delta + \lambda + \ell\mu^\vee + \ell\Lambda_0,$$

where  $a, \ell \in \mathbb{C}$ ,  $\lambda \in \mathfrak{a}^*$  and  $\mu^\vee$  is viewed as an element of  $\mathfrak{a}^*$  by the isomorphism

$$\begin{aligned} \mathfrak{a} &\xrightarrow{\sim} \mathfrak{a}^* \\ a_j^\vee \alpha_j^\vee &\mapsto a_j \alpha_j \end{aligned}$$

The *affine Weyl group* is

$$W^{\text{ad}} = \mathfrak{a}_{\mathbb{Z}}^{\text{ad}} \rtimes W_{\text{fin}} = \{t_{\mu^\vee} w \mid \mu^\vee \in \mathfrak{a}_{\mathbb{Z}}^{\text{ad}}, w \in W_{\text{fin}}\}$$

with

$$t_{\mu^\vee} t_{\nu^\vee} = t_{\mu^\vee + \nu^\vee} \quad \text{and} \quad w t_{\mu^\vee} = t_{w\mu^\vee} w, \quad \text{for } \mu^\vee, \nu^\vee \in \mathfrak{a}_{\mathbb{Z}}^{\text{ad}} \text{ and } w \in W_{\text{fin}}.$$

### 2.3 The Heisenberg group

The matrices of  $s_i$  and  $t_{\mu^\vee}$ , with respect to the basis  $\{\delta, \omega_1, \dots, \omega_n, \Lambda_0\}$  of  $\mathfrak{h}^*$ , are

$$s_i = \left( \begin{array}{c|cccc|cccc} 1 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ \hline 0 & 1 & \cdots & 0 & -\alpha_i(h_1) & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 & -\alpha_i(h_2) & 0 & \cdots & 0 \\ \vdots & & & & \vdots & & & \vdots \\ 0 & 0 & \cdots & 1 & -\alpha_i(h_{i-1}) & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 & -1 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 & -\alpha_i(h_{i+1}) & 1 & \cdots & 0 \\ \vdots & & & & \vdots & & & \vdots \\ 0 & 0 & \cdots & 0 & -\alpha_i(h_n) & 0 & \cdots & 0 \\ \hline 0 & 0 & \cdots & 0 & 0 & 0 & \cdots & 1 \end{array} \right), \quad \text{for } i \in \{1, \dots, n\}, \text{ and} \quad (2.1)$$

$$t_{\mu^\vee} = \left( \begin{array}{c|ccc|c} 1 & k_1 & \cdots & k_n & -\frac{1}{2}\langle \mu^\vee, \mu^\vee \rangle \\ \hline \vdots & & & & \mu_1^\vee \\ 0 & & 1 & & \vdots \\ \vdots & & & & \mu_n^\vee \\ \hline 0 & \cdots & 0 & \cdots & 1 \end{array} \right), \quad \text{for} \quad \begin{aligned} \mu^\vee &= k_1 h_1 + \cdots + k_n h_n \\ &= \frac{k_1 a_1}{a_1^\vee} \alpha_1 + \cdots + \frac{k_n a_n}{a_n^\vee} \alpha_n \\ &= \mu_1^\vee \omega_1 + \cdots + \mu_n^\vee \omega_n \text{ in } \mathfrak{a}_{\mathbb{Z}}^{\text{ad}}, \end{aligned} \quad (2.2)$$

so that  $-\frac{1}{2}\langle \mu^\vee, \mu^\vee \rangle = -\frac{1}{2}(\mu_1^\vee k_1 + \cdots + \mu_n^\vee k_n)$ . The *Heisenberg group* is the subgroup of  $GL(\mathfrak{h}^*)$  consisting of transformations for which, with respect to the basis  $\{\delta, \omega_1, \dots, \omega_n, \Lambda_0\}$  of  $\mathfrak{h}^*$ , the matrices are

$$\left( \begin{array}{c|ccc|c} 1 & a_1 & \cdots & a_n & z \\ \hline \vdots & & & & \gamma_1 \\ 0 & & 1 & & \vdots \\ \vdots & & & & \gamma_n \\ \hline 0 & \cdots & 0 & \cdots & 1 \end{array} \right) \quad \text{with } a_1, \dots, a_n, \quad \gamma_1, \dots, \gamma_n, \quad z \text{ in } \mathbb{R}.$$

### 2.4 Orbit representatives of the $W^{\text{ad}}$ action on $(\mathfrak{h}^*)_{\text{int}}$

Let

$$\mathfrak{h}_{\mathbb{Z}}^* = \mathbb{C}\delta + \mathbb{Z}\text{span}\{\omega_1, \dots, \omega_n, \Lambda_0\}.$$

For  $\ell \in \mathbb{Z}_{>0}$ , define

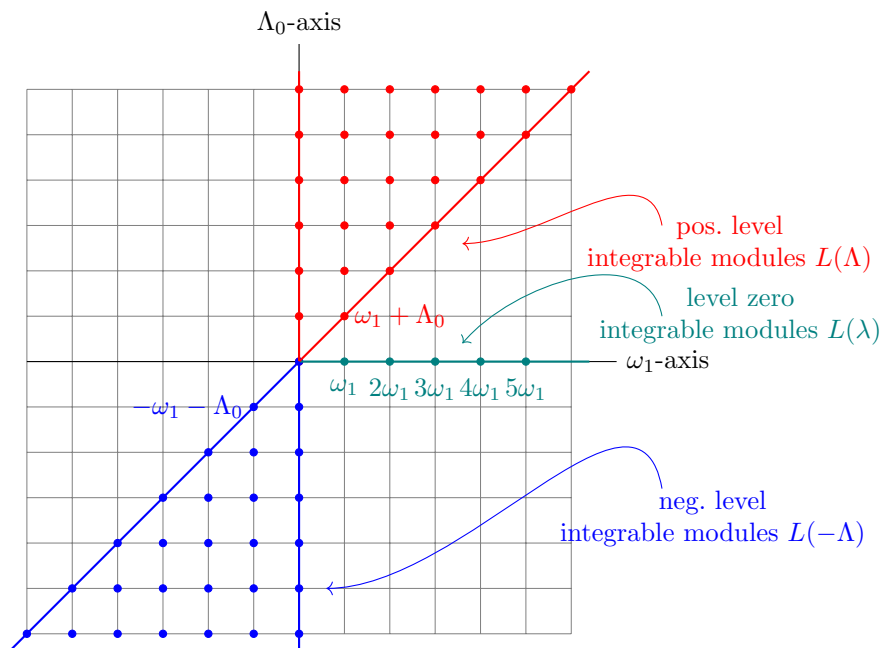
$$A_\ell = \{a\delta + \lambda + \ell\Lambda_0 \in \mathfrak{h}_{\mathbb{Z}}^* \mid a \in \mathbb{C}, \lambda(h_\theta) \leq \ell, \text{ and } \lambda(h_{\alpha_i}) \geq 0 \text{ for } i \in \{1, \dots, n\}\},$$

$$A_0 = \{a\delta + \lambda \in \mathfrak{h}_{\mathbb{Z}}^* \mid a \in \mathbb{C}, \text{ and } \lambda(h_{\alpha_i}) \geq 0 \text{ for } i \in \{1, \dots, n\}\} \quad \text{and}$$

$$A_{-\ell} = \{a\delta + \lambda + \ell\Lambda_0 \in \mathfrak{h}_{\mathbb{Z}}^* \mid a \in \mathbb{C}, \lambda(h_\theta) \geq \ell, \text{ and } \lambda(h_{\alpha_i}) \leq 0 \text{ for } i \in \{1, \dots, n\}\}.$$

A set of representatives of the  $W^{\text{ad}}$  orbits on  $\mathfrak{h}_{\mathbb{Z}}^*$  is

$$\mathfrak{h}_{\text{int}}^* = \cdots \cup A_{-2} \cup A_{-1} \cup A_0 \cup A_1 \cup A_2 \cup \cdots.$$



## 2.5 Classifications of integrable modules

Let  $\mathbf{U} = U_t(\mathfrak{g})$  be the quantum group corresponding to the affine Kac-Moody algebra

$$\mathfrak{g} = \mathbb{C}d \oplus \mathfrak{g}[\epsilon, \epsilon^{-1}] \oplus \mathbb{C}K.$$

Let  $\mathbf{U}'$  be  $\mathbf{U}$  but without the generator  $D = t^d$ .

Let  $\ell \in \mathbb{Z}_{>0}$ . The sets  $A_\ell$  provide index sets for classes of  $\mathbf{U}$ -modules:

$$A_0 \leftrightarrow \{\text{finite dimensional } U_t(\mathfrak{g}) \text{ modules}\}$$

$$A_\ell \leftrightarrow \{\text{level } \ell \text{ irreducible integrable } U_t(\mathfrak{g}) \text{ modules}\}$$

$$A_{-\ell} \leftrightarrow \{\text{level } -\ell \text{ irreducible integrable } U_t(\mathfrak{g}) \text{ modules}\}$$

$$A_0 \leftrightarrow \{\text{level 0 integrable extremal weight modules } U_t(\mathfrak{g}) \text{ modules}\}$$

Let

$$\mathbb{C}[u]_{\text{mon}}^{\oplus n} = \{a_1(u)\omega_1 + \cdots + a_n(u)\omega_n \mid a_i(u) \in \mathbb{C}[u] \text{ and } a_i(u) \text{ is monic}\}$$

Then

$$\mathbb{C}[u]_{\text{mon}}^{\oplus n} \leftrightarrow \{\text{irreducible finite dimensional } U_t(\mathfrak{g})\text{-modules}\}$$

## 2.6 Type $A_1^{(1)}$

For Type  $A_1^{(1)}$  the initial data consists of the matrices  $B = DC$  given by

$$B = \begin{pmatrix} 2 & -2 \\ -2 & 2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 2 & -2 \\ -2 & 2 \end{pmatrix} = DC.$$

Since

$$\begin{pmatrix} 2 & -2 \\ -2 & 2 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \quad \text{then} \quad K = \alpha_0^\vee + \alpha_1^\vee \quad \text{and} \quad \Lambda_1 = \omega_1 + \Lambda_0.$$

The matrices

$$t_{k\alpha_1^\vee} = \begin{pmatrix} 1 & -k & -k^2 \\ 0 & 1 & 2k \\ 0 & 0 & 1 \end{pmatrix}, \quad s_1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad s_0 = \begin{pmatrix} 1 & 1 & -1 \\ 0 & -1 & 2 \\ 0 & 0 & 1 \end{pmatrix}, \quad \text{with } k \in \mathbb{Z},$$

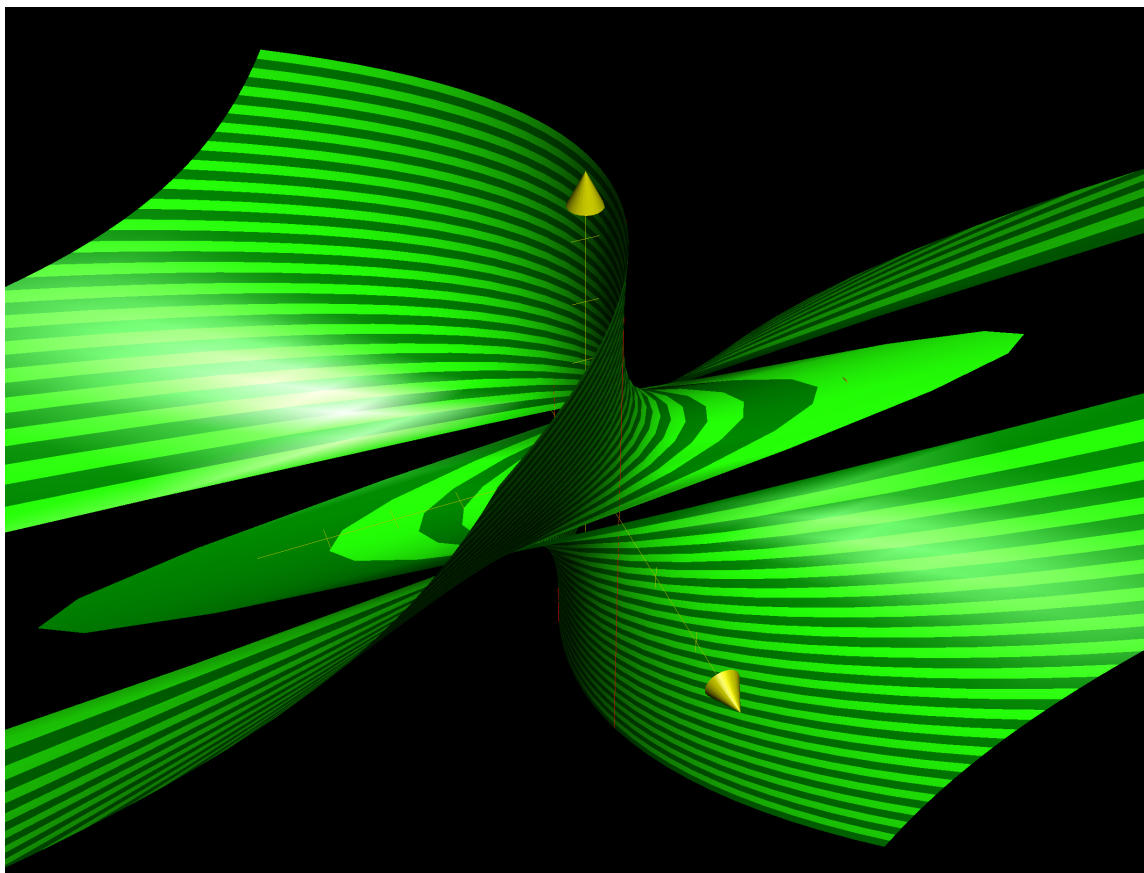
generate the action of  $W^{\text{ad}}$  on  $\mathfrak{h}^*$ , with respect to the basis  $\{\delta, \omega_1, \Lambda_0\}$  of  $\mathfrak{h}^*$ . For example, if  $\ell \in \mathbb{R}_{\neq 0}$  then

the  $W^{\text{ad}}$ -orbit of  $(2\omega_1 + \ell\Lambda_0)$  is contained in the parabola  $\{y\delta + x\omega_1 + \ell\Lambda_0 \mid y = \frac{1}{-4\ell}(x^2 - 4)\}$ .

and if  $\ell = 0$  then

the  $W^{\text{ad}}$ -orbit of  $(2\omega_1 + 0\Lambda_0)$  is contained in the two lines  $\{y\delta + x\omega_1 \mid x = 2 \text{ or } x = -2\}$ .

These parabolas and lines are displayed in the following picture.





### 3 Lecture 3: Extremal weight modules

Let

$$\mathfrak{h}_{\mathbb{Z}}^* = \mathbb{C}\delta + \mathbb{Z}\text{-span}\{\Lambda_0, \dots, \Lambda_n\}.$$

A set of representatives for the  $W^{\text{ad}}$ -orbits on  $\mathfrak{h}_{\mathbb{Z}}^*$  is  $(\mathfrak{h}^*)_{\text{int}} = (\mathfrak{h}^*)_{\text{int}}^+ \cup (\mathfrak{h}^*)_{\text{int}}^0 \cup (\mathfrak{h}^*)_{\text{int}}^-$ , where

$$\begin{aligned} (\mathfrak{h}^*)_{\text{int}}^+ &= \mathbb{C}\delta + \mathbb{Z}_{\geq 0}\text{-span}\{\Lambda_0, \dots, \Lambda_n\}, \\ (\mathfrak{h}^*)_{\text{int}}^0 &= \mathbb{C}\delta + 0\Lambda_0 + \mathbb{Z}_{\geq 0}\text{-span}\{\omega_1, \dots, \omega_n\}, \\ (\mathfrak{h}^*)_{\text{int}}^- &= \mathbb{C}\delta + \mathbb{Z}_{\leq 0}\text{-span}\{\Lambda_0, \dots, \Lambda_n\}. \end{aligned} \tag{3.1}$$

For  $\widehat{\mathfrak{sl}}_2$  these sets are pictured (mod  $\delta$ ) in [\[6\]](#).

#### 3.1 Extremal weight modules $L(\Lambda)$

Let  $\Lambda \in \mathfrak{h}_{\text{int}}^*$ . The *extremal weight module*  $L(\Lambda)$  is the  $\mathbf{U}$ -module

$$\begin{aligned} &\text{generated by } \{u_{w\Lambda} \mid w \in W\} \quad \text{with relations } K_i(u_{w\Lambda}) = q^{\langle w\Lambda, \alpha_i^\vee \rangle} u_{w\Lambda}, \\ &E_i u_{w\Lambda} = 0, \quad \text{and } F_i^{\langle w\Lambda, \alpha_i^\vee \rangle} u_{w\Lambda} = u_{s_i w\Lambda}, \quad \text{if } \langle w\Lambda, \alpha_i^\vee \rangle \in \mathbb{Z}_{\geq 0}, \\ &F_i u_{w\Lambda} = 0, \quad \text{and } E_i^{-\langle w\Lambda, \alpha_i^\vee \rangle} u_{w\Lambda} = u_{s_i w\Lambda}, \quad \text{if } \langle w\Lambda, \alpha_i^\vee \rangle \in \mathbb{Z}_{\leq 0}, \end{aligned} \tag{3.2}$$

for  $i \in \{0, \dots, n\}$ . Pictorially, if  $\langle w\Lambda, \alpha_i^\vee \rangle \in \mathbb{Z}_{\geq 0}$  then there is a chain of length  $\langle w\Lambda, \alpha_i^\vee \rangle$  from  $u_{w\Lambda}$  to  $u_{s_i w\Lambda}$ ,

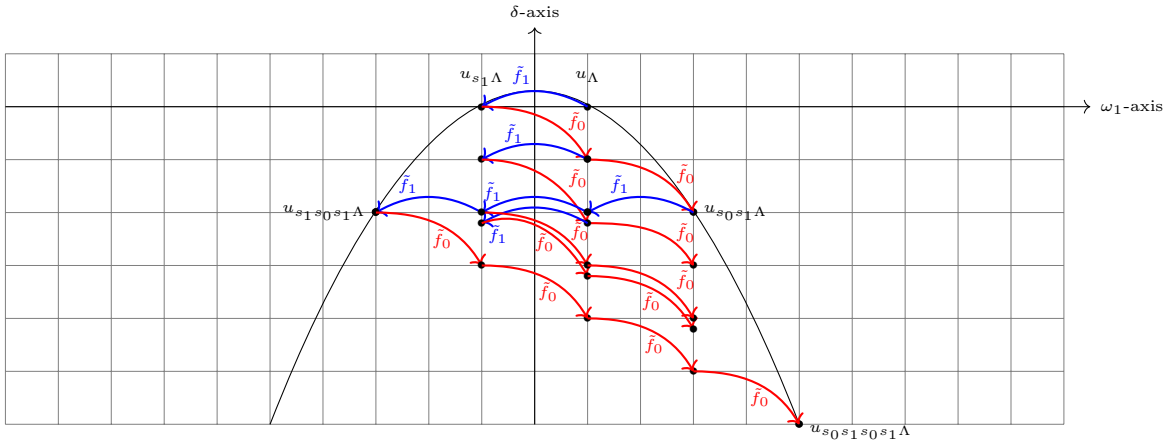


The module  $L(\Lambda)$  has a crystal, denoted  $B(\Lambda)$ . The crystal is a labeling set for a (weight) basis of  $L(\Lambda)$ .

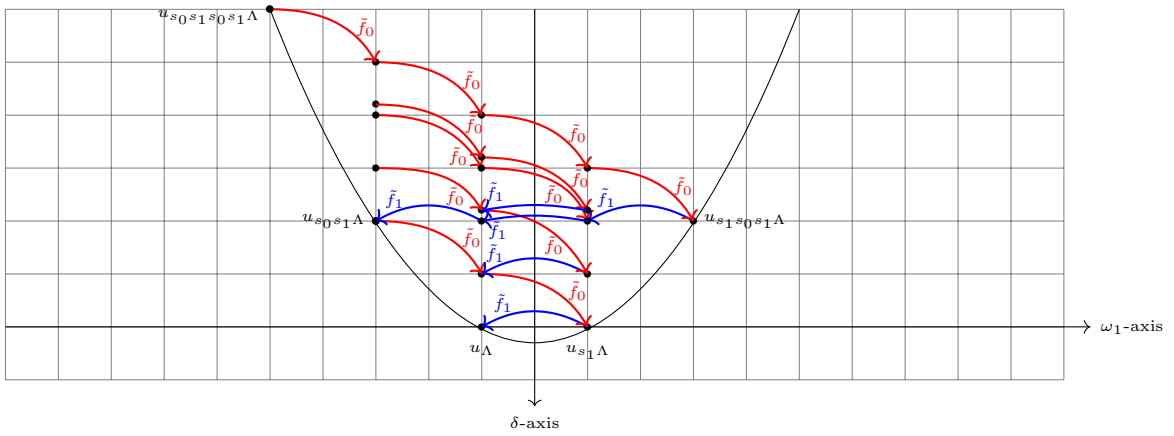
Some properties of the  $L(\Lambda)$  are:

- If  $\Lambda \in (\mathfrak{h}^*)_{\text{int}}^+$  then  $L(\Lambda)$  is the simple  $\mathbf{U}$ -module of highest weight  $\Lambda$ .
- If  $\Lambda \notin (\mathfrak{h}^*)_{\text{int}}^+$  then  $L(\Lambda)$  is not a highest weight module.
- If  $\Lambda \in (\mathfrak{h}^*)_{\text{int}}^-$  then  $L(\Lambda)$  is the simple  $\mathbf{U}$ -module of lowest weight  $\Lambda$ .
- If  $\Lambda \notin (\mathfrak{h}^*)_{\text{int}}^-$  then  $L(\Lambda)$  is not a lowest weight module.

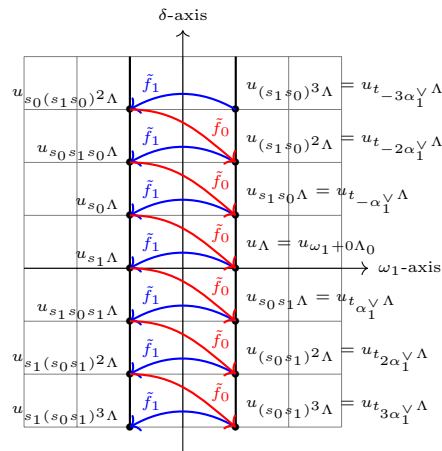
**PLATE B: Pictures of  $B(\omega_1 + \Lambda_0)$ ,  $B(\omega_1 + 0\Lambda_0)$  and  $B(-\omega_1 - \Lambda_0)$  for  $\widehat{\mathfrak{sl}}_2$**



Initial portion of the crystal graph of  $B(\omega_1 + \Lambda_0)$  for  $\widehat{\mathfrak{sl}}_2$



Final portion of the crystal graph of  $B(-\omega_1 - \Lambda_0)$  for  $\widehat{\mathfrak{sl}}_2$



Middle portion of the crystal graph of  $B(\omega_1 + 0\Lambda_0)$  for  $\mathfrak{g} = \widehat{\mathfrak{sl}}_2$

### 3.2 Bruhat orders

Let  $\mathfrak{a}_{\mathbb{R}}^* = \mathbb{R}\text{-span}\{\alpha_1, \dots, \alpha_n\}$ . An *alcove* is a fundamental region for the action of  $W^{\text{ad}}$  on  $(\mathbb{R}\delta + \mathfrak{a}_{\mathbb{R}}^* + \Lambda_0)/\mathbb{R}\delta$ . There is a bijection

$$\begin{aligned} W^{\text{ad}} &\longleftrightarrow \{\text{alcoves}\} \\ 1 &\longmapsto \{x + \Lambda_0 \in \mathfrak{a}_{\mathbb{R}}^* + \Lambda_0 \mid x(h_i) > 0 \text{ for } i \in \{0, \dots, n\}\} \end{aligned} \quad (3.3)$$

An element  $w \in W^{\text{ad}}$  is *dominant* if

$$w(\rho + \Lambda_0) \in \mathbb{R}_{\geq 0}\text{-span}\{\omega_1, \dots, \omega_n\} + \Lambda_0, \quad \text{where } \rho = \omega_1 + \dots + \omega_n.$$

In the identification (3.3) of elements of  $W^{\text{ad}}$  with alcoves, the dominant elements of  $W^{\text{ad}}$  are the alcoves in the dominant Weyl chamber.

Let  $x, w \in W^{\text{ad}}$  and let  $w = s_{i_1} \cdots s_{i_\ell}$  be a reduced word for  $w$  in the generators  $s_0, \dots, s_n$ .

The *positive level Bruhat order* on  $W^{\text{ad}}$  is defined by

$$x \leq^+ w \quad \text{if } x \text{ has a reduced word which is a subword of } w = s_{i_1} \cdots s_{i_\ell}$$

The *negative level Bruhat order* on  $W^{\text{ad}}$  is defined by  $x \leq^- w$  if  $x \geq^+ w$ .

The *level 0 Bruhat order* on  $W^{\text{ad}}$  is determined by

- (a)  $\leq^0$  for dominant elements: If  $x, w$  are dominant then  $x \leq^0 w$  if and only if  $x \leq^+ w$ ,
- (b)  $\leq^0$  translation invariance: If  $\mu^\vee \in \mathfrak{a}_{\mathbb{Z}}^{\text{ad}}$  and  $x, w \in W$  then  $x \leq^0 w$  if and only if  $xt_{\mu^\vee} \leq^0 wt_{\mu^\vee}$ .

### 3.3 Demazure submodules

Let  $\mathbf{U}^+$  be the subalgebra of  $\mathbf{U}$  generated by  $E_0, \dots, E_n, K_0, \dots, K_n, C, D$ .

Let  $w \in W^{\text{ad}}$ . The *Demazure module*  $L(\Lambda)_w^+$  is the  $\mathbf{U}^+$ -submodule of  $L(\Lambda)$  given by

$$L(\Lambda)_w^+ = \mathbf{U}^+ u_{w\Lambda} \quad \text{and} \quad \text{char}(L(\Lambda)_w^+) = \sum_{p \in B(\Lambda)_w^+} e^{\text{wt}(p)},$$

since  $L(\Lambda)_w^+$  has a crystal  $B(\Lambda)_w^+$ .

### 3.4 Demazure operators

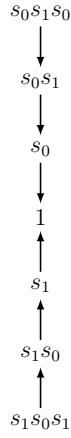
The *BGG-Demazure operator* on  $\mathbb{C}[\mathfrak{h}_{\mathbb{Z}}^*] = \mathbb{C}\text{-span}\{X^\lambda \mid \lambda \in \mathfrak{h}_{\mathbb{Z}}^*\}$  is given by

$$D_i = (1 + s_i) \frac{1}{1 - X^{-\alpha_i}}, \quad \text{for } i \in \{0, 1, \dots, n\}.$$

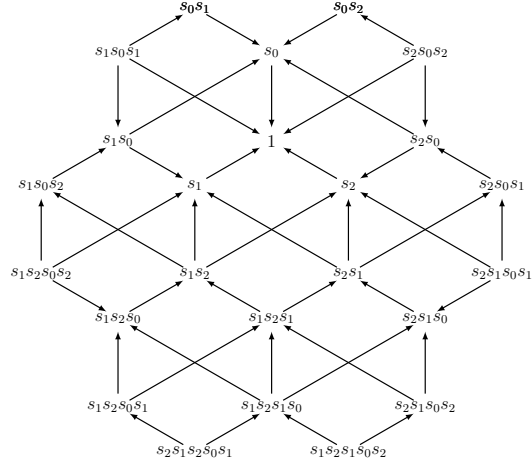
Let  $\Lambda \in (\mathfrak{h}^*)_{\text{int}}$ ,  $w \in W^{\text{ad}}$  and  $i \in \{0, 1, \dots, n\}$ .

$$\begin{aligned} \text{If } \Lambda \in (\mathfrak{h}^*)_{\text{int}}^+ \quad \text{then} \quad D_i \text{char}(L(\Lambda)_w^+) &= \begin{cases} \text{char}(L(\Lambda)_{s_i w}^+), & \text{if } s_i w \geq w, \\ \text{char}(L(\Lambda)_w^+), & \text{if } s_i w \leq w; \end{cases} \\ \text{if } \lambda \in (\mathfrak{h}^*)_{\text{int}}^0 \quad \text{then} \quad D_i \text{char}(L(\lambda)_w^+) &= \begin{cases} \text{char}(L(\lambda)_{s_i w}^+), & \text{if } s_i w \geq w, \\ \text{char}(L(\lambda)_w^+), & \text{if } s_i w \leq w; \end{cases} \\ \text{if } \Lambda \in (\mathfrak{h}^*)_{\text{int}}^- \quad \text{then} \quad D_i \text{char}(L(\Lambda)_w^+) &= \begin{cases} \text{char}(L(\Lambda)_{s_i w}^+), & \text{if } s_i w \geq w, \\ \text{char}(L(\Lambda)_w^+), & \text{if } s_i w \leq w; \end{cases} \end{aligned}$$

**PLATE A: Bruhat orders on the affine Weyl group (partial relations)**



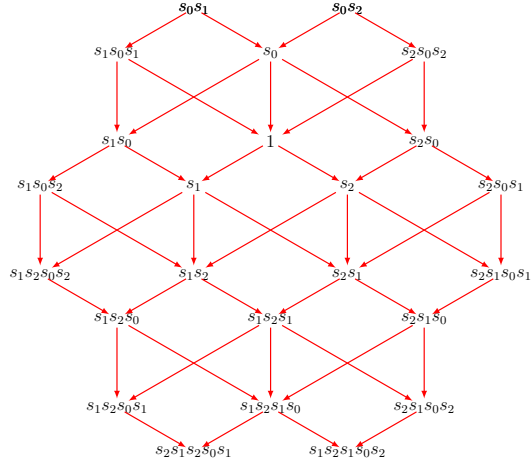
positive level Bruhat order for  $\widehat{\mathfrak{sl}}_2$   
1 is minimal



positive level Bruhat order for  $\widehat{\mathfrak{sl}}_3$   
1 is minimal



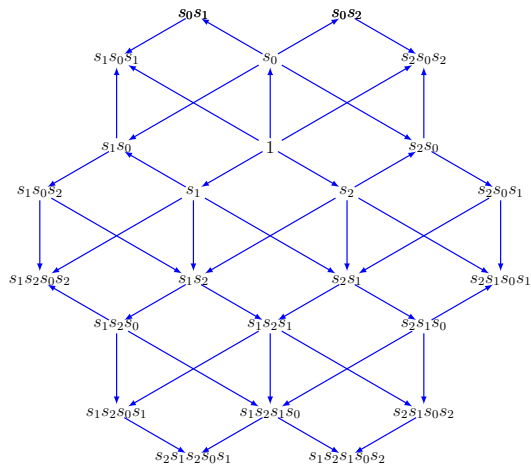
level zero Bruhat order for  $\widehat{\mathfrak{sl}}_2$   
translation invariant



level zero Bruhat order for  $\widehat{\mathfrak{sl}}_3$   
translation invariant



negative level Bruhat order for  $\widehat{\mathfrak{sl}}_2$   
1 is maximal



negative level Bruhat order for  $\widehat{\mathfrak{sl}}_3$   
1 is maximal

### 3.5 Crystals

Let  $\mathfrak{h}_{\mathbb{R}}^* = \mathbb{R}\text{-span}\{\delta, \omega_1, \dots, \omega_n, \Lambda_0\}$ . The universal crystal in mind is the set

$$B(\text{univ}) = \left\{ \text{piecewise linear paths } p: \mathbb{R}_{[0,1]} \rightarrow \mathfrak{h}_{\mathbb{R}}^* \mid \begin{array}{l} p(0) = 0, \\ p(1) \in \mathfrak{h}_{\mathbb{Z}}^* \end{array} \right\}$$

with root operators  $\tilde{e}_0, \dots, \tilde{e}_n$  and  $\tilde{f}_0, \dots, \tilde{f}_n$  defined by Littelmann (see [Ra06], §5] for an exposition). For  $\Lambda \in \mathfrak{h}_{\mathbb{Z}}^*$ , the *straight line path from 0 to  $\Lambda$*  is

$$p_{\Lambda}: \mathbb{R}_{[0,1]} \rightarrow \mathfrak{h}_{\mathbb{R}}^* \quad \text{given by} \quad p_{\Lambda}(t) = t\Lambda, \quad \text{for } t \in \mathbb{R}_{[0,1]}.$$

Let  $w \in W^{\text{ad}}$ .

$$\begin{aligned} \text{If } \Lambda \in (\mathfrak{h}^*)_{\text{int}}^+ \text{ then} \quad & B(\Lambda) = \{\tilde{f}_{i_1} \cdots \tilde{f}_{i_k} p_{\Lambda} \mid k \in \mathbb{Z}_{\geq 0} \text{ and } i_1, \dots, i_k \in \{0, 1, \dots, n\}\}, \\ & B(\Lambda)_w^+ = \{p \in B(\Lambda) \mid \text{the initial direction of } p \text{ is } \leq^+ w\}. \end{aligned}$$

$$\begin{aligned} \text{If } \Lambda \in (\mathfrak{h}^*)_{\text{int}}^- \text{ then} \quad & B(\Lambda) = \{\tilde{e}_{i_1} \cdots \tilde{e}_{i_k} p_{\Lambda} \mid k \in \mathbb{Z}_{\geq 0} \text{ and } i_1, \dots, i_k \in \{0, 1, \dots, n\}\}, \\ & B(\Lambda)_w^+ = \{p \in B(\Lambda) \mid \text{the initial direction of } p \text{ is } \leq w\}. \end{aligned}$$

### 3.6 Weyl character formula

The Weyl character formulas are formulas for the characters of the extremal weight modules  $L(\Lambda)$  for the cases when  $\Lambda \in (\mathfrak{h}^*)_{\text{int}}^+$  or  $\Lambda \in (\mathfrak{h}^*)_{\text{int}}^-$ .

Let  $\dot{\rho} = \omega_1 + \cdots + \omega_n$  and  $h^{\vee} = a_0^{\vee} + a_1^{\vee} + \cdots + a_n^{\vee}$  and

$$\rho = \Lambda_0 + \Lambda_1 + \cdots + \Lambda_n = \omega_1 + \cdots + \omega_n + (a_0^{\vee} + a_1^{\vee} + \cdots + a_n^{\vee})\Lambda_0 = \dot{\rho} + h^{\vee}\Lambda_0.$$

Letting

$$q = e^{-\delta},$$

the *Weyl denominators* are

$$a_{\rho}^+ = e^{\dot{\rho} + h^{\vee}\Lambda_0} \prod_{r \in \mathbb{Z}_{>0}} \left( (1 - q^r)^n \cdot \prod_{\alpha \in R^+} (1 - q^{r-1}e^{-\alpha})(1 - q^r e^{\alpha}) \right)$$

and

$$a_{\rho}^- = e^{-\dot{\rho} - h^{\vee}\Lambda_0} \prod_{r \in \mathbb{Z}_{>0}} \left( (1 - q^{-r})^n \cdot \prod_{\alpha \in R^+} (1 - q^{-(r-1)}e^{\alpha})(1 - q^{-r} e^{-\alpha}) \right)$$

and the *Weyl character formulas* are

$$\begin{aligned} \text{if } \Lambda \in (\mathfrak{h}^*)_{\text{int}}^+ \text{ then} \quad & \text{char}(L(\Lambda)) = \frac{1}{a_{\rho}^+} \sum_{w \in W} \det(w) e^{w(\Lambda + \rho)}, \\ \text{if } \Lambda \in (\mathfrak{h}^*)_{\text{int}}^- \text{ then} \quad & \text{char}(L(\Lambda)) = \frac{1}{a_{\rho}^-} \sum_{w \in W} \det(w) e^{w(\Lambda - \rho)}. \end{aligned}$$

The *Weyl denominator formula* is equivalent to  $\text{char}(L(0)) = 1$ .

## 4 Lecture 4: Level 0 representations

### 4.1 Extremal weight modules $L(\Lambda)$

Let  $\Lambda \in \mathfrak{h}_{\text{int}}^*$ . The *extremal weight module*  $L(\Lambda)$  is the  $\mathbf{U}$ -module

$$\begin{aligned} &\text{generated by } \{u_{w\Lambda} \mid w \in W\} \quad \text{with relations } K_i(u_{w\Lambda}) = q^{\langle w\Lambda, \alpha_i^\vee \rangle} u_{w\Lambda}, \\ &E_i u_{w\Lambda} = 0, \quad \text{and } F_i^{\langle w\Lambda, \alpha_i^\vee \rangle} u_{w\Lambda} = u_{s_i w\Lambda}, \quad \text{if } \langle w\Lambda, \alpha_i^\vee \rangle \in \mathbb{Z}_{\geq 0}, \\ &F_i u_{w\Lambda} = 0, \quad \text{and } E_i^{-\langle w\Lambda, \alpha_i^\vee \rangle} u_{w\Lambda} = u_{s_i w\Lambda}, \quad \text{if } \langle w\Lambda, \alpha_i^\vee \rangle \in \mathbb{Z}_{\leq 0}, \end{aligned} \quad (4.1)$$

for  $i \in \{0, \dots, n\}$ . This module has a crystal, denoted  $B(\Lambda)$ .

### 4.2 Level 0 extremal weight modules $L(\lambda)$

Let

$$\lambda = m_1 \omega_1 + \dots + m_n \omega_n, \quad \text{with } m_1, \dots, m_n \in \mathbb{Z}_{\geq 0}.$$

Let

$$x_{1,1}, \dots, x_{m_1,1}, \quad x_{1,2}, \dots, x_{m_2,2}, \quad \dots, \quad x_{1,n}, \dots, x_{m_n,n},$$

be  $n$  sets of formal variables and define

$$RG_\lambda = \mathbb{C}[x_{1,1}^{\pm 1}, \dots, x_{m_1,1}^{\pm 1}]^{S_{m_1}} \otimes \dots \otimes \mathbb{C}[x_{1,n}^{\pm 1}, \dots, x_{m_n,n}^{\pm 1}]^{S_{m_n}}$$

For  $i \in \{1, \dots, n\}$ , define

$$\begin{aligned} e_+^{(i)}(u) &= (1 - x_{1,i}u)(1 - x_{2,i}u) \cdots (1 - x_{m_i,i}u) \quad \text{and} \\ e_-^{(i)}(u^{-1}) &= (1 - x_{1,i}^{-1}u^{-1})(1 - x_{2,i}^{-1}u^{-1}) \cdots (1 - x_{m_i,i}^{-1}u^{-1}). \end{aligned}$$

Let  $\mathbf{U}'$  be the subalgebra of  $\mathbf{U}$  without the generator  $D$ .

**Theorem 4.1.** *The extremal weight module  $L(\lambda)$  is the  $(\mathbf{U}' \otimes_{\mathbb{Z}} RG_\lambda)$ -module generated by a single vector  $m_\lambda$  with relations*

$$\begin{aligned} \mathbf{x}_{i,r}^+ m_\lambda &= 0, \quad K_i m_\lambda = q^{m_i} m_\lambda, \quad C m_\lambda = m_\lambda, \\ \mathbf{q}_+^{(i)}(u) m_\lambda &= K_i \frac{e_+^{(i)}(q^{-1}u)}{e_+^{(i)}(qu)} m_\lambda \quad \text{and} \quad \mathbf{q}_-^{(i)}(u^{-1}) m_\lambda = K_i^{-1} \frac{e_-^{(i)}(qu^{-1})}{e_-^{(i)}(q^{-1}u^{-1})} m_\lambda, \end{aligned}$$

where  $\mathbf{q}_+^{(i)}(u)$  and  $\mathbf{q}_-^{(i)}(u^{-1})$  are generating series for loop generators of  $\mathbf{U}$ .

An alternative presentation of  $L(\lambda)$  is as the  $(\mathbf{U}' \otimes_{\mathbb{Z}} RG_\lambda)$ -module generated by a single vector  $m_\lambda$  with relations

$$\mathbf{x}_{i,r}^+ m_\lambda = 0, \quad K_i m_\lambda = q^{m_i} m_\lambda, \quad C m_\lambda = m_\lambda,$$

and

$$\mathbf{e}_s^{(i)} m_\lambda = 0 \quad \text{and} \quad \mathbf{e}_{-s}^{(i)} m_\lambda = 0, \quad \text{for } i \in \{1, \dots, n\} \text{ and } s \in \mathbb{Z}_{> m_i},$$

### 4.3 Finite dimensional standard modules $M^{\text{fin}}(a(u))$

A *Drinfeld polynomial* is an  $n$ -tuple of polynomials  $a(u) = (a^{(1)}(u), \dots, a^{(n)}(u))$  with  $a^{(i)}(u) \in \mathbb{C}[u]$ , represented as

$$a(u) = a^{(1)}(u)\omega_1 + \dots + a^{(n)}(u)\omega_n, \quad \text{with } a^{(i)}(u) = (u - a_{1,i}) \cdots (u - a_{m_i,i})$$

so that

$$\text{the coefficient of } u^j \text{ in } a^{(i)}(u) \text{ is } e_{m_i-j}^{(i)}(a_{1,i}, \dots, a_{m_i,i}),$$

the  $(m_i - j)$ th elementary symmetric function evaluated at the values  $a_{1,i}, \dots, a_{m_i,i}$ . Define

$$M^{\text{fin}}(a(u)) = L(\lambda) \otimes_{RG_\lambda} m_{a(u)},$$

where

$$e_k^{(i)}(x_{1,i}, x_{2,i}, \dots) m_{a(u)} = e_k^{(i)}(a_{1,i}, \dots, a_{m_i,i}) m_{a(u)}$$

specifies the  $RG_\lambda$ -action on  $m_{a(u)}$ . In other words, the module  $M^{\text{fin}}(a(u))$  is  $L(\lambda)$  except that variables  $x_{j,i}$  have been specialised to the values  $a_{j,i}$ .

### 4.4 Finite dimensional simple modules

Let  $\mathbf{U}'$  be the subalgebra of  $\mathbf{U}$  without the generator  $D$ .

**Theorem 4.2.** *The standard module*

$$M^{\text{fin}}(a(u)) \text{ has a unique simple quotient } L^{\text{fin}}(a(u))$$

and

$$\begin{array}{ccc} \{\text{Drinfeld polynomials}\} & \longrightarrow & \{\text{finite dimensional simple } \mathbf{U}'\text{-modules}\} \\ a(u) = a^{(1)}(u)\omega_1 + \dots + a^{(n)}(u)\omega_n & \longmapsto & L^{\text{fin}}(a(u)) \end{array}$$

is a bijection.

### 4.5 Crystals for level 0 $L(\lambda)$ and $M^{\text{fin}}(a(u))$

Let

$$\lambda = m_1\omega_1 + \dots + m_n\omega_n, \quad \text{with } m_1, \dots, m_n \in \mathbb{Z}_{\geq 0}.$$

Let  $k = \#\{i \in \{1, \dots, n\} \mid m_i \neq 0\}$  and

$$S^\lambda = \{\vec{\kappa} = (\kappa^{(1)}, \dots, \kappa^{(n)}) \mid \kappa^{(i)} \text{ is a partition with } \ell(\kappa^{(i)}) < m_i \text{ for } i \in \{1, \dots, n\}\}.$$

Given  $\lambda$  there are uniquely determined

$$w \in W^{\text{ad}} \text{ and } j \in \mathbb{Z}_{\geq 0} \text{ and } \nu \in A_1 \quad \text{such that} \quad w(\nu + \Lambda_0) = -j\delta + \lambda + \Lambda_0.$$

Then the crystal of  $L(\lambda)$  is the set

$$B(\lambda) = B(\nu + \Lambda_0)_w^+ \times \mathbb{Z}^k \times S^\lambda.$$

and the crystal of  $M^{\text{fin}}(a(u))$  is the set

$$B^{\text{fin}}(\lambda) = B(\nu + \Lambda_0)_w^+.$$

## 4.6 Character formulas

Let

$$0_q = \frac{1}{1-q} + \frac{q^{-1}}{1-q^{-1}} = \cdots + q^{-3} + q^{-2} + q^{-1} + 1 + q + q^2 + \cdots ,$$

(although  $\frac{q^{-1}}{1-q^{-1}} = \frac{1}{q-1} = \frac{-1}{1-q}$ , it is important to note that  $0_q$  is *not* equal to 0, it is a doubly infinite formal series in  $q$  and  $q^{-1}$ ).

Conceptually, the set  $\mathbb{Z}^k \times S^\lambda$  is the crystal of  $RG_\lambda$ . Letting  $q = e^{-\delta}$ , its character is

$$\text{char}(RG_\lambda) = \left(0_{q^{m_1}} \prod_{k=1}^{m_1-1} \frac{1}{1-q^k}\right) \left(0_{q^{m_2}} \prod_{k=1}^{m_2-1} \frac{1}{1-q^k}\right) \cdots \left(0_{q^{m_n}} \prod_{k=1}^{m_n-1} \frac{1}{1-q^k}\right).$$

The character of the crystal  $B(\nu + \Lambda_0)_w^+$  is determined by the Demazure character formulas. A pleasant way to express this character is as the evaluation of an electronic Macdonald polynomial,

$$\text{char}(B(\nu + \Lambda_0)_w^+) = E_{w_0\lambda}(q, 0).$$

Putting  $\text{char}(RG_\lambda)$  and  $\text{char}(B(\nu + \Lambda_0)_w^+)$  together gives

$$\text{char}(B(\lambda)) = \text{char}(B(\nu + \Lambda_0)_w^+) \text{char}(RG_\lambda).$$



## 5 Lecture 5: R-matrices

### 5.1 Braiding

A *quasi-triangular Hopf algebra* is a Hopf algebra  $U$  with an invertible element

$$\mathcal{R} = \sum_{\mathcal{R}} R_1 \otimes R_2 \quad \text{in a completion of } U \otimes U$$

which satisfies

$$\text{if } a \in U \quad \text{then} \quad \Delta^{\text{op}}(a) = \mathcal{R}\Delta(a)\mathcal{R}^{-1} \quad (\text{Rbraid})$$

and the cabling relations

$$(\Delta \otimes \text{id})(\mathcal{R}) = \mathcal{R}_{13}\mathcal{R}_{23}, \quad \text{and} \quad (\text{id} \otimes \Delta)(\mathcal{R}) = \mathcal{R}_{13}\mathcal{R}_{12}. \quad (\text{Rcabling})$$

This is a structure that makes the category of  $U$ -modules into a braided monoidal category as follows. The relation **(Rbraid)** implies that for  $U$ -modules  $M$  and  $N$ , the map

$$\check{R}_{MN}: \begin{array}{ccc} M \otimes N & \longrightarrow & N \otimes M \\ m \otimes n & \longmapsto & \sum_{\mathcal{R}} R_2 n \otimes R_1 m \end{array} \quad \begin{array}{c} M \otimes N \\ \curvearrowright \\ N \otimes M \end{array}$$

is a  $U$ -module isomorphism. The cabling relations **(Rcabling)** give that if  $M, N$  and  $P$  are  $U$ -modules then For  $U$  modules  $M, N, P$

$$\begin{array}{ccc} \begin{array}{c} M \otimes (N \otimes P) \\ \curvearrowright \\ (N \otimes P) \otimes M \end{array} = \begin{array}{c} M \otimes N \otimes P \\ \curvearrowright \\ N \otimes P \otimes M \end{array} & & \begin{array}{c} (M \otimes N) \otimes P \\ \curvearrowright \\ P \otimes (M \otimes N) \end{array} = \begin{array}{c} M \otimes N \otimes P \\ \curvearrowright \\ P \otimes M \otimes N \end{array} \\ \check{R}_{M, N \otimes P} = (\check{R}_{MN} \otimes \text{id}_P)(\text{id}_N \otimes \check{R}_{MP}) & & \check{R}_{M \otimes N, P} = (\text{id}_M \otimes \check{R}_{NP})(\check{R}_{MP} \otimes \text{id}_N), \end{array}$$

and, together, these imply the braid relation

$$\begin{array}{ccc} \begin{array}{c} M \otimes N \otimes P \\ \curvearrowright \\ P \otimes N \otimes M \end{array} = \begin{array}{c} M \otimes N \otimes P \\ \curvearrowright \\ P \otimes N \otimes M \end{array} & & \\ \check{R}_{MN} \otimes \text{id}_P)(\text{id}_N \otimes \check{R}_{MP})(\check{R}_{NP} \otimes \text{id}_M) = (\text{id}_M \otimes \check{R}_{NP})(\check{R}_{MP} \otimes \text{id}_N)(\text{id}_P \otimes \check{R}_{MN}). \end{array}$$

### 5.2 Centralizer algebras

Let  $V$  be a  $U$ -module. Let  $k \in \mathbb{Z}_{>0}$ . Define

$$T_i = \begin{array}{cccccccc} V \otimes & \cdots & \otimes V & \otimes V \otimes V \otimes & V \otimes & \cdots & \otimes V \\ \downarrow & & \downarrow & \curvearrowright & \downarrow & & \downarrow \\ V \otimes & \cdots & \otimes V & \otimes V \otimes V \otimes & V \otimes & \cdots & \otimes V \end{array},$$

for  $i \in \{1, \dots, k-1\}$ . Then  $T_i$  is an element of

$$\mathcal{Z}_k = \text{End}_U(V^{\otimes k}) \quad \text{and} \quad \begin{array}{ll} T_i T_j = T_j T_i, & \text{if } j \notin \{i+1, i-1\}, \\ T_i T_{i+1} T_i = T_{i+1} T_i T_{i+1}, & \text{for } i \in \{1, \dots, k-1\}. \end{array}$$

(1) If  $U = U_t(L\mathfrak{sl}_n)$  and  $V = L(\varepsilon_1)$  with  $\dim(V) = n$  then

$$(T_i - t)(T_i + t^{-1}) = 0, \quad \text{for } i \in \{1, \dots, k-1\}$$

and  $\mathcal{Z}_k$  is a (quotient of a) Iwahori-Hecke algebra (a  $t$ -deformation of the group algebra of the symmetric group  $\mathbb{C}S_k$ ).

(2) If  $U = U_t(L\mathfrak{so}_{2r+1})$  and  $V = L(\varepsilon_1)$  with  $\dim(V) = 2r+1$  then

$$(T_i - q^{-(2r+1)})(T_i - t)(T_i + t^{-1}) = 0, \quad \text{for } i \in \{1, \dots, k-1\}$$

and  $\mathcal{Z}_k$  is a (quotient of a) BMW algebra (a  $t$ -deformation of the group algebra of the Brauer algebra).

### 5.3 Spectral parameters

Assume that there are automorphisms

$$\tau_\lambda: U \rightarrow U \quad \text{with} \quad \tau_\lambda \tau_\mu = \tau_{\lambda \oplus \mu}.$$

**Remark 5.1.** The notation  $\lambda \oplus \mu$  is formal group law notation.

- For Yangians,  $\lambda \oplus \mu = \lambda + \mu$ ;
- For quantum affine algebras,  $\lambda \oplus \mu = \lambda \mu$ ;
- For elliptic quantum groups,  $\oplus$  comes from the group law on an elliptic curve;
- For cobordism quantum groups,  $\oplus$  is the universal formal group law.

The  $R$ -matrix with spectral parameter is

$$\mathcal{R}(\lambda \ominus \mu) = (\tau_\lambda \otimes \tau_\mu)(\mathcal{R}).$$

**The spectral quantum Yang-Baxter equation (QYBE).**

$$\mathcal{R}_{12}(\lambda_1 \ominus \lambda_2) \mathcal{R}_{13}(\lambda_1 \ominus \lambda_3) \mathcal{R}_{23}(\lambda_2 \ominus \lambda_3) = \mathcal{R}_{23}(\lambda_2 \ominus \lambda_3) \mathcal{R}_{13}(\lambda_1 \ominus \lambda_3) \mathcal{R}_{12}(\lambda_1 \ominus \lambda_2).$$

**The spectral unitarity condition.**

$$\mathcal{R}_{12}(\lambda_1 \ominus \lambda_2) \mathcal{R}_{21}(\lambda_2 \ominus \lambda_1) = 1 \otimes 1.$$

If  $M$  is a  $U$ -module, define a new  $U$ -module

$$M(\lambda) = M \quad \text{with} \quad a \cdot m = \tau_\lambda(a)m,$$

for  $a \in U$ . Then

$$\begin{array}{lll} \check{R}_{MN}(\lambda \ominus \mu): & M(\lambda) \otimes N(\mu) & \rightarrow N(\mu) \otimes M(\mu) \\ & m \otimes n & \mapsto \sum_{\mathcal{R}} \tau_\mu(R_2)n \otimes \tau_\lambda(R_1)m \end{array}$$

is a  $U$ -module morphism.

### 5.4 Quantum affine algebras

Let  $\mathbf{U}'$  be the quantum affine algebra (without  $D$ ).

The coproduct  $\Delta: \mathbf{U}' \rightarrow \mathbf{U}' \otimes \mathbf{U}'$  is given by

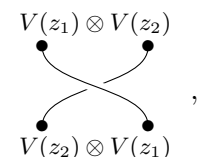
$$\Delta(E_i) = E_i \otimes 1 + K_i^{-1} \otimes E_i, \quad \Delta(F_i) = F_i \otimes K_i + 1 \otimes F_i, \quad \Delta(K_i) = K_i \otimes K_i,$$

for  $i \in \{0, 1, \dots, n\}$ .

There are automorphisms  $\tau_z: \mathbf{U}' \rightarrow \mathbf{U}'$  for  $z \in \mathbb{C}^\times$  given by

$$\begin{aligned} \tau_z(E_0) &= zE_0, & \text{and} & & \tau_z(E_i) &= E_i, \\ \tau_z(F_0) &= z^{-1}F_0, & & & \tau_z(F_i) &= F_i, \end{aligned} \quad \text{for } i \in \{1, \dots, n\}.$$

Let  $V$  be a finite dimensional simple  $\mathbf{U}'$ -module.

Compute  $\check{R}_{VV}(z_1, z_2) =$ 

 $,$

For  $\mathbf{U}'$  of classical type and  $V = L(\varepsilon_1)$ , Jimbo 1986 has formulas in the basis

$$\{v_i \otimes v_j \mid i, j \in \{1, \dots, N\}\}, \quad \text{where } \{v_1, \dots, v_N\} \text{ is a (weight) basis of } V.$$

### 5.5 To consider

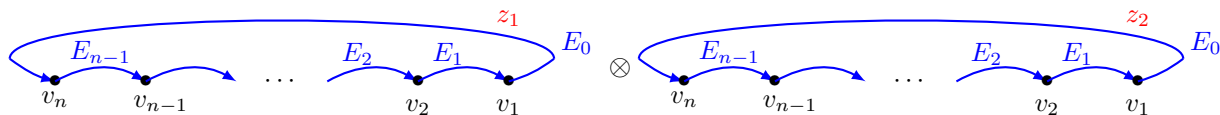
(1) For generic  $z_1, z_2 \in \mathbb{C}^\times$ , the  $\mathbf{U}'$ -modules  $V(z_1) \otimes V(z_2)$  and  $V(z_2) \otimes V(z_1)$  are irreducible. So

$$\check{R}_{VV}(z_1, z_2) \text{ is unique up to multiplication by a constant.}$$

(2) The quantum group  $\check{\mathbf{U}}$  of the finite diemsnional Lie algebra is a subalgebra of  $\mathbf{U}'$  and so

$$\check{R}_{VV} \text{ is an element of } \mathcal{Z}_2 = \text{End}_{\check{\mathbf{U}}}(V^{\otimes 2}).$$

For example, if  $\mathbf{U}'$  is type  $A_{n-1}^{(1)}$  and  $V = L(\varepsilon_1)$  and, pictorially,  $V(z_1) \otimes V(z_2)$  is

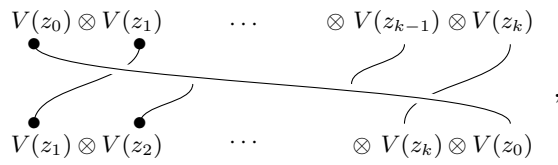


Then  $\check{R}_{VV}(z_1, z_2) \in \mathcal{Z}_2$  is an element of the Iwahori-Hecke algebra. A computation gives

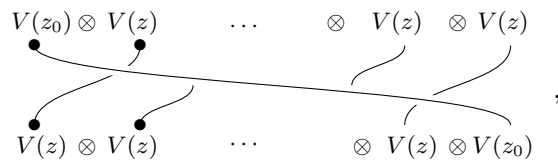
$$\check{R}_{VV}(z_1, z_2) = T_1 - (t - t^{-1}) \frac{1}{1 - z_2 z_1^{-1}}.$$

(It happens that, in this case, this formula for  $\check{R}_{VV}(z_1, z_2)$  coincides with a formula for the intertwiner in the representation theory of the double affine Hecke algebra and Macdonald polynomials).

(3) The morphisms



and

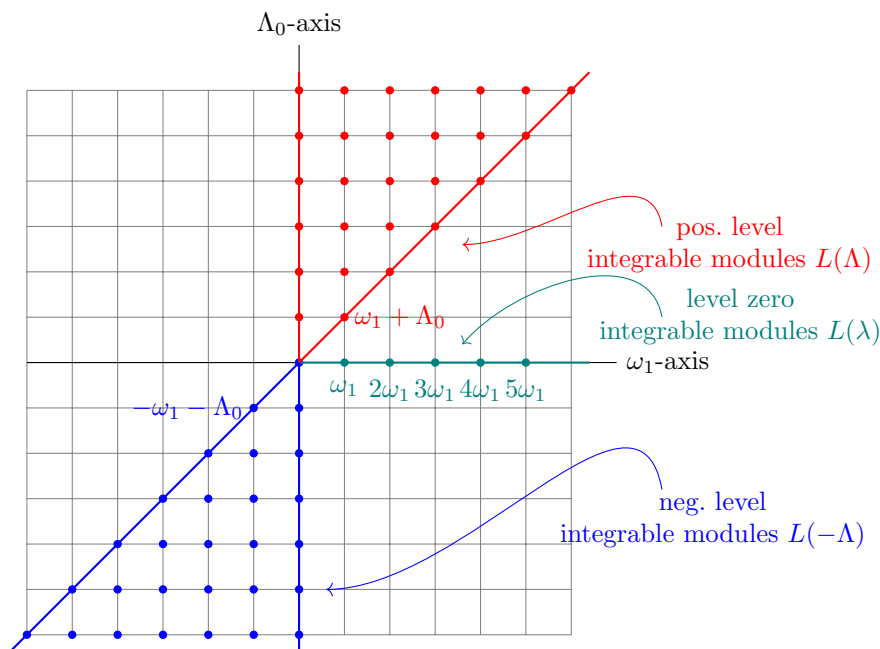


are monodromy matrices  
used to make  
transfer matrices.

Write these as elements of the Iwahori-Hecke algebra (in type  $A$ ) and the BMW algebra (in other classical types).

## 6 Conclusion

Let us return to the picture of the points indexing integrable  $\mathbf{U}$ -modules where the height of the dot is the level of the corresponding modules.



If  $M$  and  $N$  are  $\mathbf{U}$ -modules with

$$M \text{ of level } k \text{ and } N \text{ of level } \ell \quad \text{then} \quad M \otimes N \text{ has level } k + \ell.$$

This indicates that

$$\text{if } \mathcal{C} = (\text{category of level } 0 \text{ modules with good conditions})$$

then  $\mathcal{C}$  is a tensor category. Various choices for  $\mathcal{C}$  (depending on which conditions are in the “good conditions”) are intricately fascinating. A good way to study  $\mathcal{C}$  is by studying its actions on other categories. If

$$\mathcal{D} = (\text{category of level } k \text{ modules with some nice conditions})$$

then  $\otimes$  will give an action of  $\mathcal{C}$  on  $\mathcal{D}$ . There are many wonderful things to discover here.