From faces of Weyl polytopes, to weights and characters of highest weight modules

Apoorva Khare Indian Institute of Science (Bangalore)

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g = complex semisimple/Kac-Moody Lie algebra (just work with sln),
 U(g) = universal enveloping algebra.

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- Fix a (highest) weight $\lambda \in \mathfrak{h}^*$.
 - $M(\lambda) = \text{Verma module};$
 - $L(\lambda) = \text{simple quotient};$
 - V = highest weight module: $M(\lambda) \twoheadrightarrow V \twoheadrightarrow L(\lambda)$.
- We are interested in the structure of highest weight modules, e.g. simple *non-integrable* modules. (Integrable modules, Verma modules well-studied.)

The start of the journey

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- Study modules over classical and quantum loop algebras,
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In 2009, Chari–Greenstein used certain combinatorial subsets of root system Δ to:

- Study modules over classical and quantum loop algebras,
- Construct Koszul algebras of all finite global dimensions from graded g-modules (via endomorphism algebras as in blocks of O),
- Obtain a graded character formula (at q = 1) of a Kirillov–Reshetikhin module over Uq(g),
- Connect parabolic subalgebras of g to ad-nilpotent ideals.

These combinatorial subsets $Y \subseteq \Delta$ are given by:

$$y_1 + y_2 = \alpha_1 + \alpha_2 \quad (y_1, y_2 \in Y, \ \alpha_1, \alpha_2 \in \Delta) \implies \alpha_1, \alpha_2 \in Y.$$

The start of the journey (cont.)

Then in 2009, Chari–Dolbin–Ridenour classified all such subsets. Related to roots on the faces of root polytopes $\mathrm{conv}\,\Delta.$

- Chari–K.–Ridenour (2012) extended to faces of Weyl polytopes conv(wt L(λ)), λ ∈ P⁺, and constructed larger families of Koszul (endomorphism) algebras.
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This has now led to:

 The study of weights of all simple modules L(λ) (even for λ ∉ P⁺) and of all highest weight modules – over semisimple and also Kac-Moody g;

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- The study of weights of all simple modules L(λ) (even for λ ∉ P⁺) and of all highest weight modules – over semisimple and also Kac-Moody g;
- A hitherto unstudied (even over sl₄) class of "universal" highest weight modules M;
- $\bullet\,$ BGG resolutions and Weyl–Kac character formulas for $\mathbb M.$

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1a. First-order theory: weights of simple modules

Three formulas for weights and a question of Bump First-order invariant and convex hull of weights

Introduction

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 Qualitatively: conv wt L(λ) = conv W(λ). This is a W-invariant convex polytope P_λ. Now wt L(λ) = P_λ ∩ (λ − Zπ).



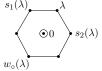
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• Quantitatively: $ch L(\lambda)$ is given by the Weyl Character Formula.

If instead $L(\lambda)$ is infinite-dimensional:

• *Quantitatively:* character known through Kazhdan-Lusztig theory, e.g.:

$$\operatorname{ch} L(ww_{\circ} \bullet 0) = \sum_{x \leqslant w} (-1)^{\ell(w) - \ell(x)} P_{x,w}(1) \operatorname{ch} M(xw_{\circ} \bullet 0).$$

Note: cancellations and Kazhdan-Lusztig polynomials make it hard to compute multiplicities.

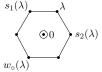
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 Qualitatively: which weights occur in L(λ)? What is their convex hull? (Was not written down until recently.)

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What if \mathfrak{g} is of infinite type?

- If \mathfrak{g} is affine, or symmetrizable, $\operatorname{ch} L(\lambda)$ is not known for *all* critical λ .
- If g is non-symmetrizable, formulas for $\operatorname{ch} L(\lambda)$ are not known even for integrable simple modules.

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- These formulas involve the weights of a "first-order" (= parabolic) Verma module $M(\lambda, J)$.
- We then extend this (uniform) formula to all highest weight g-modules now involves the weights of "higher order Verma modules" M(λ, H).

Three formulas for weights and a question of Bump First-order invariant and convex hull of weights

Notation for Kac–Moody \mathfrak{g}

For every Kac–Moody Lie algebra \mathfrak{g} (e.g. \mathfrak{sl}_n):

- Generalized Cartan matrix A, indexed by Dynkin diagram nodes I;
- Realization $(\mathfrak{h}, \pi, \pi^{\vee})$ of simple (co)roots satisfying: $\langle \alpha_j, \alpha_i^{\vee} \rangle = a_{ij}$.

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- Root system $\Delta = \Delta^+ \sqcup \Delta^-$.
- Generators $e_i, f_i, i \in I$, and \mathfrak{h} .

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Parabolic analogues: For a subset $J \subseteq I$, we have analogues:

- Parabolic Weyl group W_J generated by $\{s_j : j \in J\}$.
- Define $\pi_J := \{ \alpha_j : j \in J \}.$
- Roots $\Delta_J = \Delta_J^+ \sqcup \Delta_J^-$.
- The Levi subalgebra l_J is generated by $\{e_j, f_j : j \in J\}$ and \mathfrak{h} .

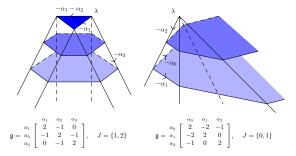
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Integrable Slice Decomposition of the weights

Theorem (K. (2016), Dhillon-K. (2022))

Given $J \subseteq I$ and $\nu \in \mathfrak{h}^*$ (P_J^+) , let $L_J(\nu)$ denote the simple (integrable) \mathfrak{l}_J -module with highest weight ν . Then wt $L(\lambda) = \bigsqcup_{\mu \in \mathbb{Z}_{\geq 0}(\pi \setminus \pi_{J_\lambda})} \operatorname{wt} L_{J_\lambda}(\lambda - \mu),$

where $J_{\lambda} = J_{L(\lambda)}$ is the integrability $\{i \in I : \langle \lambda, \alpha_i^{\vee} \rangle \in \mathbb{Z}_{\geq 0}\}$.

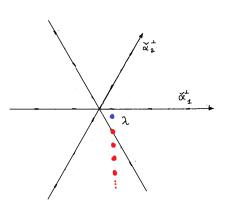


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Three formulas for weights and a question of Bump First-order invariant and convex hull of weights

Example of Integrable Slice Decomposition in rank 2

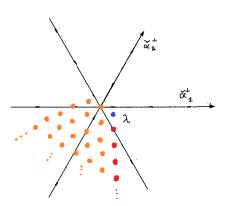
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$$L(\lambda) = \bigsqcup_{\mu \in \mathbb{Z}_{\geq 0}(\pi \setminus \pi_{J_{\lambda}})} \operatorname{wt} L_{J_{\lambda}}(\lambda - \mu).$$



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Example of Integrable Slice Decomposition in rank 2

$$\operatorname{wt} L(\lambda) = \bigsqcup_{\mu \in \mathbb{Z}_{\geq 0}(\pi \setminus \pi_{J_{\lambda}})} \operatorname{wt} L_{J_{\lambda}}(\lambda - \mu).$$



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A question of Bump on the weights

Recall, Verma modules and finite-dimensional simple modules have "no holes":

• For all $\lambda \in \mathfrak{h}^*$,

wt $M(\lambda) = (\lambda - \mathbb{Z}\pi) \cap \operatorname{conv}(\operatorname{wt} M(\lambda)).$

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Question (Bump): Does this hold for all (non-integrable) simple modules $L(\lambda), \ \lambda \in \mathfrak{h}^*$?

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Proposition (K. (2016), Dhillon–K. (2022))

Yes.

Second weight-formula for $L(\lambda)$.

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These formulas follow from weight-formulas for a class of universal "first-order" highest weight modules $M(\lambda, J)$:

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Three formulas for weights and a question of Bump First-order invariant and convex hull of weights

Parabolic Verma modules

Key tool in proving the above weight-formulas: parabolic Verma modules.

Say $\lambda \in \mathfrak{h}^*$ and $J \subseteq J_{\lambda}$ (so $\langle \lambda, \alpha_j^{\vee} \rangle \in \mathbb{Z}_{\geqslant 0}$). Define

$$M(\lambda,J) := \frac{U\mathfrak{g}}{U\mathfrak{g} \cdot (\ker \lambda, \mathfrak{n}^+, \{f_j^{\langle \lambda, \alpha_j^\vee \rangle + 1}\})} = \frac{M(\lambda)}{\sum_{j \in J} U\mathfrak{g} \cdot f_j^{\langle \lambda, \alpha_j^\vee \rangle + 1} M(\lambda)_\lambda}.$$

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"Extremal" special cases:

 Zeroth order: J = Ø (for any λ ∈ h*), M(λ, Ø) = M(λ), Verma module. <u>Character:</u> Kostant partition function

$$\operatorname{ch} M(\lambda) = \frac{e^{\lambda}}{\prod_{\alpha \in \Delta^+} (1 - e^{-\alpha})^{\dim \mathfrak{g}_{\alpha}}}, \qquad \forall \lambda \in \mathfrak{h}^*.$$

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"Extremal" special cases:

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In first order, if e.g. J = I (so λ ∈ P⁺): M(λ, I) = L^{max}(λ), maximal integrable module (simple if g is symmetrizable).
 <u>Character</u>: Weyl-Kac character formula

$$\operatorname{ch} L^{\max}(\lambda) = \sum_{w \in W} \frac{(-1)^{\ell(w)} e^{w \cdot \lambda}}{\prod_{\alpha \in \Delta^+} (1 - e^{-\alpha})^{\dim \mathfrak{g}_{\alpha}}}, \qquad \forall \lambda \in P^+.$$

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Weight-formula 3 for $L(\lambda)$: Minkowski difference

The above weight-formulas – (1) Slice decomposition, (2) "No holes in hull" –

Why do they hold for $L(\lambda)$ for all $\lambda \in \mathfrak{h}^*$?

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Because (a) They turn out to hold for all parabolic Verma modules $M(\lambda, J)$, and (b) Recalling $J_{\lambda} := \{i \in I : \langle \lambda, \alpha_i^{\vee} \rangle \in \mathbb{Z}_{\geq 0}\}$, we have:

Theorem (K. (2016), Dhillon-K. (2022))

wt $L(\lambda) = \operatorname{wt} M(\lambda, J_{\lambda})$, for all $\lambda \in \mathfrak{h}^*$ (and all Kac–Moody \mathfrak{g}).

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Now set $J = J_{\lambda} \rightsquigarrow$ gives third weight-formula for $\operatorname{wt} L(\lambda)$.

Theorem (G.V.K. Teja, 2020): "Minimal description" of all $\operatorname{wt} M(\lambda, J)$ (and hence for all simple $L(\lambda)$), using *parabolic* partial sum property.

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1b. First-order invariant & convex hull, of all modules

Three formulas for weights and a question of Bump First-order invariant and convex hull of weights

A first-order invariant of a highest weight module

The "discrete" Minkowski difference formula for $\operatorname{wt} L(\lambda), \operatorname{wt} M(\lambda, J)$

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The "discrete" Minkowski difference formula for wt $L(\lambda)$, wt $M(\lambda, J)$ \rightsquigarrow akin to V-decomposition of convex polyhedra (from Motzkin's 1936 thesis) \rightsquigarrow extends to convex hull formula – for **all** highest weight modules!

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- **3** The stabilizer of the character of V in W.

Moreover, for special classes of highest weight modules, including simple modules $L(\lambda)$, these data determine the weights.

Convex hull of weights

Recall – integrability of V is: $I_V := \{i \in I : f_i \text{ acts locally nilpotently on } V_\lambda\}.$

Theorem (Dhillon-K., 2022)

For all highest weight modules V over Kac–Moody $\mathfrak{g},$ $\operatorname{conv}(\operatorname{wt} V)$ is the Minkowski sum of

- the hull conv $W_{I_V}(\lambda)$, and
- the cone $-\mathbb{R}_{\geq 0}W_{I_V}(\pi_{I\setminus I_V})$.

Extends Weyl polytope to all V over all \mathfrak{g} .

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Corollary: conv(wt V) is always a W_{I_V} -invariant polyhedron. (Novel even in finite type.)

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Faces of the convex hull

Question: What are the faces/face lattice of this polyhedron?

Three formulas for weights and a question of Bump First-order invariant and convex hull of weights

Faces of the convex hull

Question: What are the faces/face lattice of this polyhedron? Previously known for fin. dim. $L(\lambda)$:

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Theorem (K. (2016), Dhillon–K. (2017))

Let g be a Kac–Moody Lie algebra, $\lambda \in \mathfrak{h}^*$, and V a highest weight g-module.

- **1** For each $J \subseteq I$, the locus $F_J := \operatorname{conv} U(\mathfrak{l}_J)V_\lambda$ is a face of $\operatorname{conv}(\operatorname{wt} V)$.
- An arbitrary face F of conv(wt V) is in the W_{IV} orbit of a unique such face F_J.

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Also: complete determination of the face lattice (for all \mathfrak{g}, λ, V).

Three formulas for weights and a question of Bump First-order invariant and convex hull of weights

From (exposed) faces to weak faces to 212-closed subsets

Recall the 2009 property studied by Chari with coauthors:

 $y_1 + y_2 = \alpha_1 + \alpha_2 \quad (y_1, y_2 \in Y, \ \alpha_1, \alpha_2 \in \Delta) \implies \alpha_1, \alpha_2 \in Y.$

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Lemma

Given a subset $Y \subseteq \mathbf{X} := \operatorname{conv}(\operatorname{wt} V)$, each statement implies the next:

- 1 Y is a W_{I_V} -translate of $F_J := \operatorname{conv} U(\mathfrak{l}_J)V_{\lambda}$.
- Y is an exposed face of conv(wt V), i.e., maximizer-set of a linear functional.

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Chari et al: 212-closed subsets of $\mathbf{X} = \Delta$; weak faces of $\mathbf{X} = \operatorname{wt} L(\lambda), \lambda \in P^+$.

Preceding slide: (1) \iff (2) for $\mathbf{X} = \operatorname{conv}(\operatorname{wt} V)$.

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From exposed to weak faces to 212-closed subsets (cont.)

How restrictive are (3), (4)? (In general – no "nice" answer.) What about for "special" subsets **X** in representation theory?

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For these subsets - these are equivalent to (the weights on) exposed faces!

Theorem (G.V.K. Teja (*Transform. Groups*, in press))

These notions (1)–(4) are equivalent for $\mathbf{X} = wt V$ and $\mathbf{X} = conv(wt V)$, for all highest weight modules over Kac–Moody g.

2a. Higher-order theory: holes, higher-order Vermas

Holes; higher-order Verma modules Higher order BGG Category \mathcal{O} ; BGG resolutions

Holes in the set of weights

Recall, Verma modules and integrable simple modules have "no holes":

- For all $\lambda \in \mathfrak{h}^*$, wt $M(\lambda) = (\lambda \mathbb{Z}\pi) \cap \operatorname{conv}(\operatorname{wt} M(\lambda))$.
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Does this hold for all highest weight modules V?

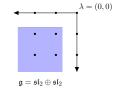
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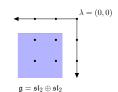
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Deleted (blue) portion: example of a second order hole.



Question (Lepowsky): Is this the only way holes arise? <u>Answer</u> (Dhillon–K., 2022): Yes.

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Holes; higher-order Verma modules Higher order BGG Category \mathcal{O} ; BGG resolutions

Holes in the set of weights (cont.) + Weight formula 1

In general:

Definition

The holes \mathcal{H}_V in a module $M(\lambda) \twoheadrightarrow V$ are all $H \subseteq J_\lambda \subseteq I$ such that

(a) the Dynkin subdiagram on H has no edges, and

(b) $\prod_{h \in H} f_h^{\langle \lambda, \alpha_h^{\vee} \rangle + 1} \cdot V_{\lambda} = 0.$ (Note: $H \subseteq J_{\lambda}$.)

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Using (higher-order) holes yields a positive weight-formula for all V:

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Theorem (K.-Teja, 2022)

Given a Kac–Moody \mathfrak{g} , a weight $\lambda \in \mathfrak{h}^*$, and a nonzero module $M(\lambda) \twoheadrightarrow V$,

wt
$$V = \bigcup_{J \subseteq J_{\lambda} : J \cap H \neq \emptyset \ \forall H \in \mathcal{H}_{V}} \operatorname{wt} M(\lambda, J).$$

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Holes; higher-order Verma modules Higher order BGG Category \mathcal{O} ; BGG resolutions

Higher-order Verma modules

Definition: Given any weight $\lambda \in \mathfrak{h}^*$, and any subset $\mathcal{H} \subseteq \text{Indep}(J_{\lambda})$, define the (universal) higher-order Verma module

$$\mathbb{M}(\lambda,\mathcal{H}) := \frac{M(\lambda)}{\sum_{H \in \mathcal{H}} \left(U \mathfrak{g} \cdot \prod_{h \in H} f_h^{\langle \lambda, \alpha_h^{\vee} \rangle + 1} \right) \cdot M(\lambda)_{\lambda}}$$

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$$\begin{split} \mathbb{M}(0,\{\{i\}\}) &= M(0)/U(\mathfrak{g}) \cdot f_i \cdot M(0)_0 = M(0,\{i\}), \quad \forall i \in I;\\ \mathbb{M}(0,\{\{i\},\{j\}\}) &= M(0,\{i,j\}), \qquad \forall i \neq j \in I;\\ \mathbb{M}(0,\{\{1\},\{2\},\{3\}\}) &= M(0,I) = L(0). \end{split}$$

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• There are two second-order Verma modules:

 $\mathbb{M}(0,\{\{1,3\}\}) = M(0)/U(\mathfrak{g}) \cdot f_1 f_3 \cdot M(0)_0;$ $V' = \mathbb{M}(0,\{\{1,3\},\{2\}\}).$

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Holes; higher-order Verma modules Higher order BGG Category \mathcal{O} ; BGG resolutions

All highest weight modules: Weight formula 2

Recall, simples and first-order Vermas have the same weights:

Theorem (K. (2016), Dhillon-K. (2022))

wt $L(\lambda) = \operatorname{wt} M(\lambda, J_{\lambda})$, for all $\lambda \in \mathfrak{h}^*$ (and all Kac–Moody \mathfrak{g}).

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Such an equality of weights holds in full generality:

Theorem (K.–Teja, 2022)

Fix any Kac–Moody \mathfrak{g} , weight λ , and nonzero module $M(\lambda) \twoheadrightarrow V$. Then

wt $V = \operatorname{wt} \mathbb{M}(\lambda, \mathcal{H}_V).$

Thus, need to better understand higher-order Vermas.

2b. Higher-order Vermas: characters, BGG resolutions

From weights to characters, to resolutions

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We understand their weights (hence, weights of all V).
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- (0th order usual Vermas) Character = Kostant partition function.
- (1st order parabolic Vermas) Weyl-Kac character formula
 Euler characteristic of a BGG-type resolution.

Question: What happens in higher order, i.e. for $\mathbb{M}(\lambda, \mathcal{H})$?

We can answer this for two classes of modules (we explain one below).

Holes; higher-order Verma modules Higher order BGG Category \mathcal{O} ; BGG resolutions

BGG resolution: 1. Pairwise orthogonal minimal holes

Example: $\mathfrak{g} = \mathfrak{sl}_n$ and

$$V'' := \frac{M(0)}{U\mathfrak{g}(f_1f_3, f_5) \cdot M(0)_0} = \frac{U\mathfrak{n}^-}{U\mathfrak{n}^-(f_1f_3, f_5)}.$$
 (Thus $V'' = \mathbb{M}(0, \{\{1, 3\}, \{5\}\}).$)

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(Thus $V'' = \mathbb{M}(0, \{\{1,3\}, \{5\}\}).)$ Now check:

 $0 \to M(s_1s_3s_5 \bullet 0) \xrightarrow{d_2} M(s_1s_3 \bullet 0) \oplus M(s_5 \bullet 0) \xrightarrow{d_1} M(0) \xrightarrow{d_0} V'' \to 0,$

where d_0 is the natural projection, and

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$$d_1(X_1m_{s_1s_3} \bullet 0 + X_2m_{s_5} \bullet 0) := X_1 \cdot f_1f_3m_0 + X_2 \cdot f_5m_0.$$

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This is easily verified, but also – special case of the Koszul resolution over $R := \mathbb{C}[f_1 f_3, f_5]$, subsequently tensored with the free R-module $U(\mathfrak{n}^-) \otimes_R -$.

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• It is also the BGG resolution over

$$W_{\mathcal{H}} = \langle s_{H_1} := s_1 s_3, \ s_{H_2} := s_5 \rangle \simeq (\mathbb{Z}/2\mathbb{Z})^2,$$

with length $\ell_{\mathcal{H}}(s_{H_1}) = \ell_{\mathcal{H}}(s_{H_2}) := 1.$

Holes; higher-order Verma modules Higher order BGG Category \mathcal{O} ; BGG resolutions

BGG resolution: 1. Pairwise orthogonal minimal holes

The above example – and proof – is completely general:

Theorem (K.–Teja, 2022)

Fix Kac-Moody g and a weight λ . Suppose $\mathcal{H} \subseteq \text{Indep}(J_{\lambda})$ is such that \mathcal{H}^{\min} consists of pairwise orthogonal subsets $H_1, \ldots, H_k \subseteq J_{\lambda}$. Define $s_H := \prod_{h \in H} s_h$. Then $\mathbb{M}(\lambda, \mathcal{H})$ has a BGG resolution:

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with M_p the direct sum of Vermas $M(s_{H_{i_1}} \cdots s_{H_{i_p}} \bullet \lambda)$ over all p-tuples of indices $1 \leq i_1 < \cdots < i_p \leq k$. In particular, with $W_{\mathcal{H}} \simeq (\mathbb{Z}/2\mathbb{Z})^k$,

$$\operatorname{ch} \mathbb{M}(\lambda, \mathcal{H}) = \sum_{w \in W_{\mathcal{H}}} \frac{(-1)^{\ell_{\mathcal{H}}(w)} e^{w \cdot \lambda}}{\prod_{\alpha \in \Delta^+} (1 - e^{-\alpha})^{\dim \mathfrak{g}_{\alpha}}}$$

Resembles the Weyl-Kac character formula:

$$\operatorname{ch} M(\lambda, J) = \sum_{w \in W_J} \frac{(-1)^{\ell(w)} e^{w \cdot \lambda}}{\prod_{\alpha \in \Delta^+} (1 - e^{-\alpha})^{\dim \mathfrak{g}_{\alpha}}}.$$

Holes; higher-order Verma modules Higher order BGG Category \mathcal{O} ; BGG resolutions

Disjoint but non-orthogonal holes?

Speculation: Suppose $\mathcal{H}^{\min} = \{H_1, H_2\}$, with the H_i disjoint independent sets but not pairwise orthogonal. Then s_{H_1}, s_{H_2} generate a dihedral subgroup $W_{\mathcal{H}}$ of W. Does this provide a BGG resolution?

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"Simplest case": Consider $\mathfrak{g} = \mathfrak{sl}_4(\mathbb{C}), \lambda = 0$, and the module mentioned above:

$$V' := \frac{M(0)}{U\mathfrak{g}(f_1f_3, f_2) \cdot M(0)_0} = \frac{U\mathfrak{n}^-}{U\mathfrak{n}^-(f_1f_3, f_2)} = \mathbb{M}(0, \{\{1, 3\}, \{2\}\}).$$

Then $s_{H_1} := s_1 s_3$ and $s_{H_2} := s_2$ generate a dihedral subgroup $W_{\mathcal{H}} \leq W$ of size 8, say with longest element \mathbf{w}_{\circ} .

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Question 1: Does V' have the following resolution? (Only unknown case!)

$$0 \to M(\mathbf{w}_{\circ} \bullet 0) \to M(\mathbf{w}_{\circ}s_{H_{1}} \bullet 0) \oplus M(\mathbf{w}_{\circ}s_{H_{2}} \bullet 0)$$
$$\to M(s_{H_{1}}s_{H_{2}} \bullet 0) \oplus M(s_{H_{2}}s_{H_{1}} \bullet 0)$$
$$\to M(s_{H_{1}} \bullet 0) \oplus M(s_{H_{2}} \bullet 0) \to M(0) \to V' \to 0$$

(Writing down the explicit maps is tedious, but not hard.) More generally, find a resolution for $\mathbb{M}(\lambda, \mathcal{H})$ using Vermas, when \mathcal{H}^{\min} consists of disjoint subsets.

BGG resolutions – or simpler, characters – for higher-order Verma modules M(λ, H)?

(The other setting in which we can provide a resolution involves summing over a Weyl *semigroup* orbit, not Weyl group – see paper for details.)

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 ② Define the higher order BGG category O^H – full subcategory of O with objects on which the lowering operators f_H := ∏_{h∈H} f_h (H ∈ H) act locally nilpotently. (Special cases: usual O, parabolic category O.)

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Theorem [K.–Teja, 2022] $\mathcal{O}^{\mathcal{H}}$ is an abelian subcategory of \mathcal{O} , with enough projectives and injectives.

Question: Does every projective have a "standard filtration" via higher-order Vermas? And does a variant of BGG reciprocity hold in $\mathcal{O}^{\mathcal{H}}$? (K.–Teja, 2022: Yes for $\mathfrak{g} = \mathfrak{sl}_2^{\oplus n}$.)

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- Interpret higher-order Vermas on the flag variety?
- Solution Analogues over quantum groups $U_q(\mathfrak{g}), U_q(\widehat{\mathfrak{g}})$?

Happy birthday, Vyjayanthi!

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