

# Pseudo-unitary transformations and solvable models of vector non-linear Schrodinger equation with balanced loss and gain.

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## outline

- 1 Nonlinear Schrödinger Equation(NLSE)
- 2  $\mathcal{PT}$  Symmetry inspired generalized NLSE
  - NLSE in  $\mathcal{PT}$  symmetric external complex potential
  - Non-local NLSE (Ablowitz & Musslimani; Sinha & Ghosh)
  - ✓ NLSE with balanced loss-gain(BLG)
- 3 Formalism:  $N$ -component vector NLSE with BLG
- 4 Mapping via pseudo-unitary transformation
- 5 Example: Two-component VNLSE
- 6 Generalizations
- 7 Epilogue

$$i\psi_t = -\psi_{xx} + \delta \underbrace{(\psi^* \psi)}_{\text{nonlinearity}} \psi, \quad \delta \in \mathbb{R}$$

$\psi(x, t)$  is a complex scalar field and  $\psi_t \equiv \frac{\partial \psi}{\partial t}$ ,  $\psi_{xx} \equiv \frac{\partial^2 \psi}{\partial x^2}$

- **Relevance:** Optics, Bose-Einstein Condensation(BEC), plasma physics, gravity waves,  $\alpha$ -helix protein dynamics
- **Mathematical Structure:**

- **Hamiltonian:**  $\mathcal{H} = \psi_x^* \psi_x + \frac{\delta}{2} (\psi^* \psi)^2$
- **Classical System:** Integrable, Solvable, Solitons  
( **Ref.:** Zakharov & Shabat, Sov. Phys. JETP 34, 62 (1972) )
- **Quantum System:**  $\delta$ -function Bose gas, Integrable, Lieb-Liniger model, Exact correlation functions, Tonks-Girardeau gas

$$i\psi_t = -\psi_{xx} + \underbrace{V(x, t)}_{\text{potential}} \psi + \delta (\psi^* \psi) \psi$$

- Complex potential  $V(x, t)$  is  $\mathcal{PT}$  symmetric

$$\mathcal{P} : x \rightarrow -x, \mathcal{T} : t \rightarrow -t, \mathcal{PT} : V(x, t) \rightarrow V(x, t)$$

- $\delta = 0$ : Quantum bound and scattering states for several  $V$ .
- $\delta \neq 0$ : Stable Nonlinear modes exist
- **Novel features**: Self-trapping, unidirectional soliton flow, non-reciprocity, exceptional point,  $\mathcal{PT}$ -phase transitions, ...  
([Ref.](#): Konotop et. al., Rev. Mod. Phys. 88, 035002 (2016))

$$i\psi_t = -\psi_{xx} + \delta \underbrace{\{\psi^*(-x, t)\psi(x, t)\}}_{\text{non-locality}} \psi$$

- Stationary state: Self-induced potential is  $\mathcal{PT}$ -symmetric

$$\mathcal{PT}\{\psi^*(-x, 0)\psi(x, 0)\} = \{\psi^*(-x, 0)\psi(x, 0)\}$$

- Both bright & dark solitons for  $\delta < 0$
- $\psi(x, t), \psi^*(-x, t)$  are treated as independent fields

$$\{\psi(x, t), \psi^*(-y, t)\} = -i\delta(x - y) \quad (1)$$

$$\mathcal{H} = \psi_x^*(-x, t)\psi_x(x, t) + \frac{\delta}{2} (\psi^*(-x, t)\psi(x, t))^2 \quad (2)$$

- $\mathcal{H}$  is complex-valued, while  $H = \int dx \mathcal{H}$  is real valued
- Integrable, solutions via inverse-scattering transformation
- Corresponding discrete NLSE as well as multicomponent generalization of NLSE are integrable

## NLSE with gain/loss

$$i\psi_t + \underbrace{i\Gamma\psi}_{\text{loss/gain}} = -\psi_{xx} + \delta(\psi^*\psi)\psi$$

- Density  $\rho = \psi^*\psi$  and Current  $J = -i(\psi^*\psi_x - \psi\psi_x^*)$
- Continuity equation:  $\frac{\partial\rho}{\partial t} + J_x = -2\Gamma\rho$
- For well behaved field  $\psi$  and power  $N \equiv \int dx\rho$

$$\frac{dN}{dt} = -2\Gamma N \Rightarrow N = N_0 e^{-2\Gamma t}$$

- $N$  decays for  $\Gamma > 0$  and grows for  $\Gamma < 0$

↳  $\mathcal{PT}$  Symmetry inspired generalized NLSE

↳ ✓ NLSE with balanced loss-gain(BLG)

- Complex scalar fields  $\psi_1(x, t), \psi_2(x, t)$  and  $\Psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}, \Gamma > 0$

$$\begin{aligned}
 i\psi_{1,t} - \underbrace{i\Gamma\psi_1}_{\text{gain}} - \underbrace{\beta^*\psi_2}_{\text{LC}} &= -\psi_{1,xx} - \underbrace{\delta \left( \Psi^\dagger M_1 \Psi \right)}_{\text{NLC}} \psi_1 \\
 i\psi_{2,t} + \underbrace{i\Gamma\psi_2}_{\text{loss}} - \underbrace{\beta\psi_1}_{\text{LC}} &= -\psi_{2,xx} - \underbrace{\delta \left( \Psi^\dagger M_2 \Psi \right)}_{\text{NLC}} \psi_2,
 \end{aligned}$$

- $M_i^T = M_i, \forall i$ ; Optics: NLC contains self-phase modulation, cross-phase modulation and four-wave mixing terms
- $\mathcal{P} : \Psi \rightarrow \sigma_1 \Psi = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \Psi, \mathcal{T} : t \rightarrow -t, i \rightarrow -i$
- $\delta = 0$ :  $\mathcal{PT}$  symmetric
- $\delta \neq 0$ :  $\mathcal{PT}$  symmetric for  $M_2 = \sigma_1 M_1 \sigma_1$
- **Features**: Solitons, Breathers, Power oscillations, solitonic switch, exceptional points

- Compact notation for Two-component NLSE with BLG for  $M_1 = M_2 \equiv M$ ;  $\sigma_{\pm} = \frac{1}{2}(\sigma_1 \pm i\sigma_2)$ ,  $\sigma_{1,2,3} =$  Pauli matrices

$$i\Psi_t - \underbrace{[(\beta\sigma_- + \beta^*\sigma_+)]}_{\substack{B \\ (LC)}} + i \underbrace{[\Gamma\sigma_3]}_{\substack{C \\ (BLG)}} \Psi = -\Psi_{xx} - \delta \underbrace{(\Psi^\dagger M \Psi)}_{NLC} \Psi$$

- Consider  $N \times N$  matrices  $B, C, A := B + iC$  and  $M$  :  
 $B^\dagger = B, C^\dagger = C, M^\dagger = M, A^\dagger \neq A, \text{Tr}(C) = 0$
- $B, C, M$  may be expressed in terms of  $SU(N)$  generators
- $N$ -component VNLSE with BLG:

$$i \underbrace{(I\partial_t + iA)}_{D_0} \Psi = -\Psi_{xx} - \delta (\Psi^\dagger M \Psi) \Psi$$

- $D_0$  resembles temporal component of covariant derivative with complex gauge potential  $A$



## Hamiltonian Formalism

- $\mathcal{H}$  is complex-valued  $\Rightarrow$  Non-hermitian Quantum  $\mathcal{H}$

$$\mathcal{H} = \underbrace{\Psi_x^\dagger M \Psi_x - \frac{\delta}{2} (\Psi^\dagger M \psi)^2}_{\text{real valued}} + \underbrace{\Psi^\dagger M A \Psi}_{\text{complex valued}}$$

- The effect of BLG is contained in the term  $\mathcal{M} \equiv \Psi^\dagger M A \Psi$ 
  - Non-hermiticity is introduced via mass term for  $\mathcal{PT}$  symmetric relativistic Field Theory also
- $\mathcal{M}$  and hence,  $\mathcal{H}$  is real valued for  $M$ -pseudo-hermitian  $A$ 
  - With suitable quantization condition hermitian quantum  $\mathcal{H}$
- $E = \int dt dx \mathcal{H}$  may not be bounded from below even for  $\delta < 0$ .
- $E \geq 0$  for  $M$ -pseudo-hermitian  $A$  and positive-definite  $M$

## Brief Review: Pseudo-unitary Matrices

- $\eta$ -Pseudo-hermitian matrix  $\hat{O}$ :  $\hat{O}^\dagger = \eta \hat{O} \eta^{-1}$ 
  - $\hat{O}$  admits entirely real eigenvalues for a positive-definite  $\eta_+$
  - Quasi-hermiticity:  $\hat{O} = \rho \hat{O} \rho^{-1}$ ,  $\rho := \sqrt{\eta_+}$
  - $\hat{O}$  is hermitian in a vector space endowed with the metric  $\eta_+$
- Pseudo-unitary matrix:

$$U^\dagger \eta U = \eta$$

- Representation of  $U$ :

$$U := e^{i\hat{O}}, \hat{O}^\dagger = \eta \hat{O} \eta^{-1}$$

- $U$  is non-unitary as well as non-pseudo-unitary, whenever  $\hat{O}$  is non-pseudo-hermitian

- Non-unitary transformation :

$$\Psi = U\Phi(t, x), \quad U(t) = e^{-iAt}$$

- Equation satisfied by  $\Phi$

$$i\Phi_t = -\Phi_{xx} - \delta \left( \Phi^\dagger G \Phi \right) \Phi, \quad G = U^\dagger M U$$

- Pseudo-unitary transformation:

- $U^\dagger M U = M \Rightarrow A^\dagger = M A M^{-1}$
- Solvable Manakov system of VNLSE up to a unitary rotation followed by a scaling

$$i\Phi_t = -\Phi_{xx} - \delta \left( \Phi^\dagger M \Phi \right) \Phi \quad (3)$$

## What if $A$ is NOT $M$ -pseudo-hermitian?

- Time-independent  $M$ : NLC of the transformed equation becomes time-dependent
- Time-dependent  $M$ : time-dependence of NLC gets modified
- Specific time-dependence of  $M$

$$M(t) = \sum_{j=0}^{N^2-1} \alpha_j U^\dagger(-t) \lambda_j U(-t)$$

$$G = U^\dagger(t) M(t) U(t) = \sum_{j=0}^{N^2-1} \alpha_j \lambda_j, \quad \lambda_0 \equiv I$$

- Non-autonomous equation is mapped to solvable Manakov system

$$i\Psi_t - \underbrace{[(\beta^* \sigma_+ + \beta \sigma_-) + i\Gamma \sigma_3]}_A \Psi = -\Psi_{xx} - \delta (\Psi^\dagger M \Psi) \Psi$$

- Positive-definite  $M = \alpha_0 I + \alpha \sigma_- + \alpha^* \sigma_+$  for  $\alpha_0 > |\alpha|$
- Condition for  $M$ -pseudo-hermitian  $A$ :

$$\frac{\alpha_0}{|\alpha|} = \frac{|\beta|}{\Gamma} \sin(\theta_\alpha - \theta_\beta) > 1, \quad \alpha = |\alpha| e^{i\theta_\alpha}, \quad \beta = |\beta| e^{i\theta_\beta},$$

- The pseudo-unitary operator:

$$U = I \cos(\theta t) - \frac{iA}{\theta} \sin(\theta t) \text{ for } \theta \equiv \sqrt{|\beta|^2 - \Gamma^2} \neq 0$$

- Consistency Conditions:
  - $-|\beta| < \Gamma < |\beta|$ :  $U$  is periodic in time.
  - $\Gamma > 0$ :  $0 < \theta_\alpha - \theta_\beta < \pi$ ,  $\Gamma < 0$ :  $\pi < \theta_\alpha - \theta_\beta < 2\pi$

Exact One Soliton Solution for  $\delta = 1$ 

- Power  $P \equiv \Psi^\dagger \Psi$  oscillates with time for  $\Gamma \neq 0$

$$P = \frac{2\kappa^2 W^\dagger W}{|W^\dagger M W|} \operatorname{sech}^2 [\kappa (x - vt)] N(t)$$

$$N(t) = 1 + N_1 \sin^2(\theta t) + N_2 \sin(2\theta t)$$

- $W =$  arbitrary 2-component complex vector,  $\kappa, v =$  constant
- $N_1$  and  $N_2$  are  $W, \Gamma, \beta$  dependent constants
- $N_1 = N_2 = 0$  for  $\Gamma = 0$  : **No power oscillation without BLG**
- Period of oscillation increases as  $\Gamma \rightarrow |\beta|$
- $P$  is unbounded for  $\Gamma \geq |\beta|$
- $\Gamma$  can be used as a controlling parameter for oscillation

- Solvable model of  $2m$ -component VNLSE with pair-wise BLG:

$$A_{2m} = I_m \otimes A, M_{2m} = I_m \otimes M, U_{2m} = I_m \otimes U$$

Each component interacts with all other components via NLC

- Time-dependent loss-gain term  $A(t)$ :  $U(t) = e^{-i \int^t A(t') dt'}$
- Adding potential  $V$  and allowing space-time modulated NLC

$$i(I\partial_t + iA)\Psi = -\Psi_{xx} + V(x, t)\Psi - \delta(x, t) (\Psi^\dagger M\Psi) \Psi$$

- Non-unitary transformation:  $\Psi = U\Phi$

$$i\partial_t\Phi = -\Phi_{xx} + V(x, t)\Phi - \delta(x, t) (\Phi^\dagger G\Phi) \Phi$$

- Similarity transformation (Juan Belmonte-Beitia et al, Phys.Rev.Lett. 98, 064102 (2007)) to Manakov System

## Summary, Discussions & Future Directions

- **Summary & Discussions**
  - A class of VNLSE with BLG is mapped via pseudo-unitary transformation to the same equation without the BLG term
  - Solvable models of VNLSE with BLG may be constructed via the mapping.
  - Specific examples are constructed with analytic expression for power oscillation
  - Various generalizations are discussed
- **Future Directions**
  - Solvable models for  $N > 2$  with non-pair-wise balancing of BLG terms
  - Similar mapping for VNLSE with BLG and Kerr nonlinearity?
  - Quantization of  $\mathcal{H}$
  - Extension: Discrete VNLSE with BLG, oligomers and other lattice models