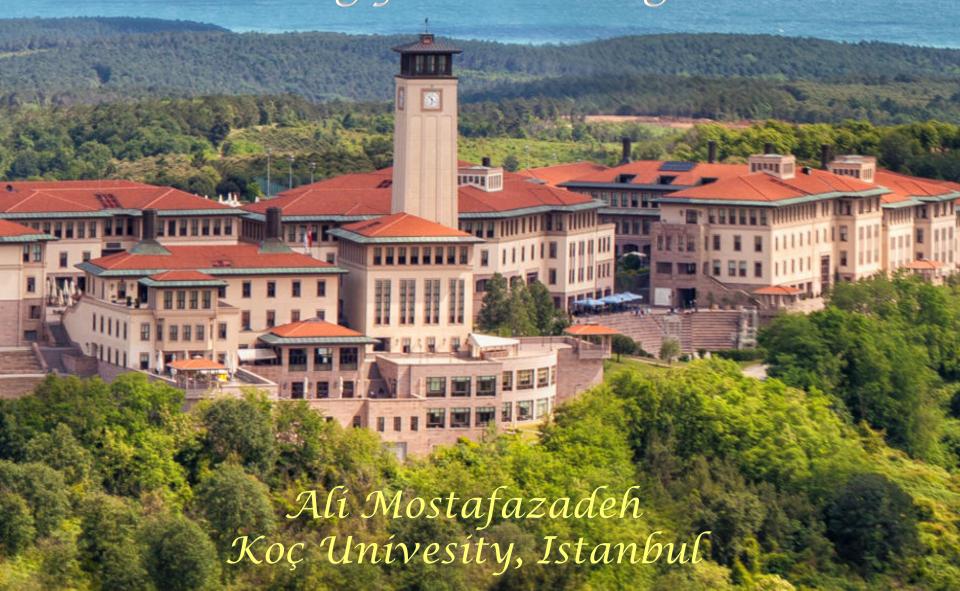
# Non-Hermitian Hamiltonians & low-energy scattering in 1D



Joint work with

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#### **Outline:**

- Stationary scattering in 1D and its dynamical formulation
- Low-energy expansion of the transfer matrix
- Transfer matrix for zero-energy Schrödinger equation
- Low-energy scattering in the half-line
- Application: Transmission of scalar wave through a wormhole
- Conclusions

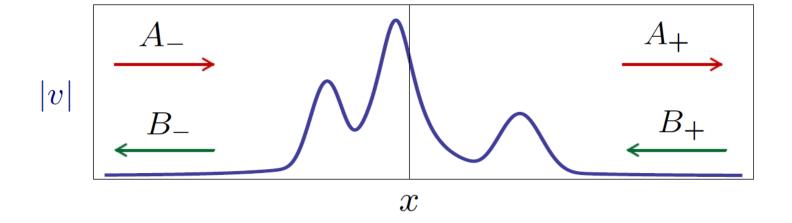
# Scattering in 1D $\Psi(x,t) = e^{-i\omega t}\psi(x)$

$$\Psi(x,t) = e^{-i\omega t} \psi(x)$$

• Time-Indep. Schrödinger Eq.:  $-\psi(x)'' + v(x)\psi(x) = k^2\psi(x)$ 

$$v \in L_1^1(\mathbb{R}), \qquad L_{\sigma}^1 := \left\{ f : \mathbb{R} \to \mathbb{C} \left| \int_{-\infty}^{\infty} dx (1 + |x|^{\sigma}) |v(x)| < \infty \right. \right\}.$$

$$\Rightarrow \quad \psi(x) \to \left\{ \begin{array}{ll} A_{-}e^{ikx} + B_{-}e^{-ikx} & \text{for } x \to -\infty \\ A_{+}e^{ikx} + B_{+}e^{-ikx} & \text{for } x \to +\infty \end{array} \right.$$



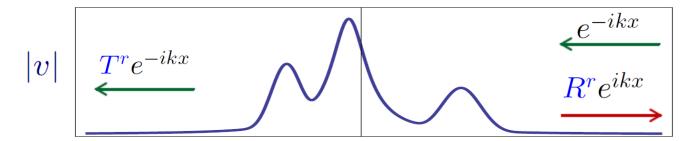
• Transfer matrix:  $\begin{vmatrix} A_+ \\ B_+ \end{vmatrix} = \mathbf{M} \begin{vmatrix} A_- \\ B_- \end{vmatrix}$ .

Scattering from the left and right:

$$\psi^{\text{left}}(x) = \begin{cases} e^{ikx} + R^l e^{-ikx} & \text{for } x \to -\infty \\ T^l e^{ikx} & \text{for } x \to +\infty \end{cases}$$

$$|v| \qquad \underbrace{e^{ikx}}_{R^l e^{-ikx}} \qquad \underbrace{T^l e^{ikx}}_{T^l e^{ikx}} \qquad \underbrace{T^l e^{ikx}}_{T^l e^{ik$$

$$\psi^{\text{right}}(x) = \begin{cases} T^r e^{-ikx} & \text{for } x \to -\infty \\ e^{-ikx} + R^r e^{ikx} & \text{for } x \to +\infty \end{cases}$$



$$R^l = -\frac{M_{21}}{M_{22}}, \qquad R^r = \frac{M_{12}}{M_{22}}, \qquad T^l = T^r =: T = \frac{1}{M_{22}}.$$

# **Composition Property of M**

Let  $v_1$  and  $v_2$  be scattering potentials such that

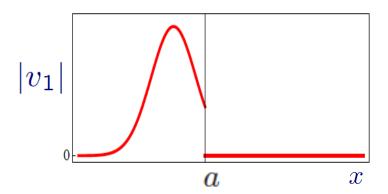
$$v_1(x) = 0$$
 for  $x > a$ ,  
 $v_2(x) = 0$  for  $x < a$   
 $v(x) = v_1(x) + v_2(x)$ .

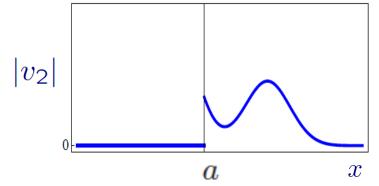
 $\mathbf{M}_1$ : Transfer matrix of  $v_1$ 

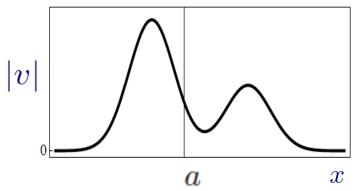
 $M_2$ : Transfer matrix of  $v_2$ 

M: Transfer matrix of  $v = v_1 + v_2$ 

Then  $\mathbf{M} = \mathbf{M}_2 \mathbf{M}_1$ .







## Composition Property of M

 $M = M_2 M_1$ 

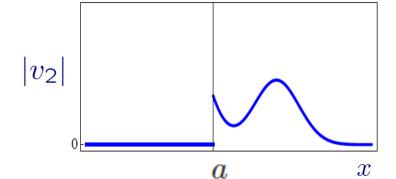
This is the same as the composition rule for **evolution operators** in QM.

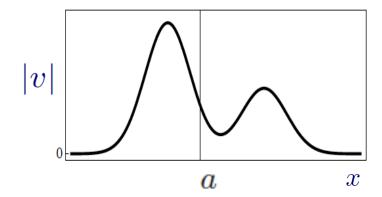
$$|v_1|$$
  $a$   $x$ 

$$\Psi(t) \in \mathscr{H}$$

$$\Psi(t) = \mathbf{U}(t, t_0)\Psi(t_0)$$

$$\mathbf{U}(t,t_0):\mathscr{H}\to\mathscr{H}$$

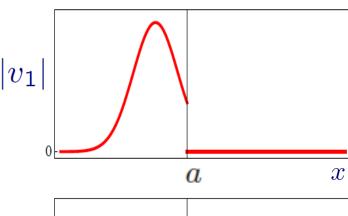


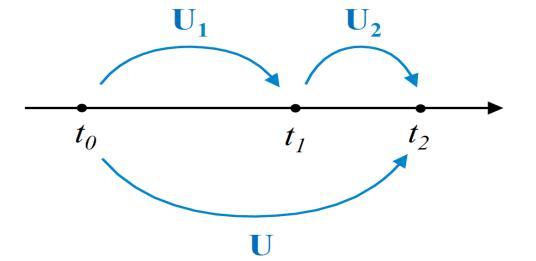


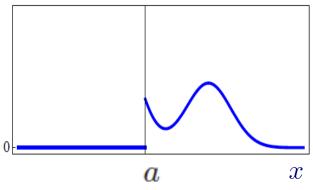
## Composition Property of M

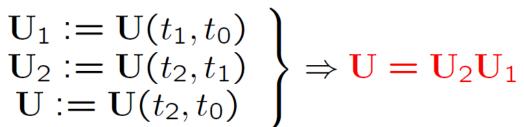
## $M = M_2 M_1$

This is the same as the composition rule for evolution operators in QM.

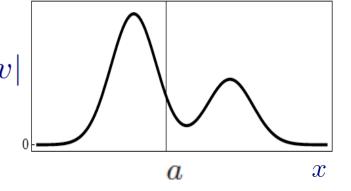








 $|v_2|$ 



$$\Psi(t) = \mathbf{U}(t, t_0)\Psi(t_0)$$

$$\mathbf{U}(t_0,t_0)=\mathbf{I}$$

$$i\partial_t \mathbf{U}(t,t_0) = \mathbf{H}(t)\mathbf{U}(t,t_0)$$
 $\mathbf{H}(t): \mathscr{H} \to \mathscr{H}$ 

$$\mathbf{U}(t,t_0) = \mathbf{I} - i \int_{t_0}^t dt_1 \mathbf{H}(t_1) \mathbf{U}(t_1,t_0)$$

$$\mathbf{U}(t,t_0) = \mathbf{I} - i \int_{t_0}^t dt_1 \mathbf{H}(t_1) + \cdots +$$

$$(-i)^n \int_{t_0}^t dt_n \int_{t_0}^{t_n} dt_{n-1} \cdots \int_{t_0}^{t_2} dt_1 \mathbf{H}(t_n) \mathbf{H}(t_{n-1}) \cdots \mathbf{H}(t_1) + \cdots$$

$$=: \mathscr{T} \exp \int_{t_0}^{t} -i\mathbf{H}(t)dt$$

• Let g(x) be a 2  $\times$  2 invertible matrix,

$$\Psi(x) := g(x) \begin{bmatrix} \psi(x) \\ \psi'(x) \end{bmatrix}, \quad V(x) := i \begin{bmatrix} 0 & 1 \\ v(x) - k^2 & 0 \end{bmatrix}.$$

Then 
$$-\psi''(x) + v(x)\psi(x) = k^2\psi(x)$$
  $\Leftrightarrow i\Psi'(x) = \mathbf{H}(x)\Psi(x)$ ,

$$\mathbf{H}(x) := \mathbf{g}(x)\mathbf{V}(x)\mathbf{g}(x)^{-1} + i\mathbf{g}'(x)\mathbf{g}(x)^{-1}.$$

Choose: 
$$g(x) := \frac{1}{2k} \begin{bmatrix} ke^{-ikx} & -ie^{-ikx} \\ ke^{ikx} & ie^{ikx} \end{bmatrix}$$

$$\Rightarrow \begin{cases} \Psi(\pm \infty) = \begin{bmatrix} A_{\pm} \\ B_{\pm} \end{bmatrix} \Rightarrow \Psi(+\infty) = M \Psi(-\infty) \\ H(x) = \frac{v(x)}{2k} \begin{bmatrix} 1 & e^{-2ikx} \\ -e^{2ikx} & -1 \end{bmatrix}. \end{cases}$$

Theorem:  $\mathbf{M} = \mathbf{U}(+\infty, -\infty)$  where  $\mathbf{U}(x, x_0)$  is the evolution operator for

$$\mathbf{H}(x) := rac{v(x)}{2k} \left[ egin{array}{ccc} 1 & e^{-2ikx} \ -e^{2ikx} & -1 \end{array} 
ight].$$

x plays the role of "time".

[Ann. Phys. (NY), **341**, 77 (2014)]

Theorem:  $\mathbf{M} = \mathbf{U}(+\infty, -\infty)$  where  $\mathbf{U}(x, x_0)$  is the evolution operator for

$$\mathbf{H}(x) := rac{v(x)}{2k} \left[ egin{array}{ccc} 1 & e^{-2ikx} \ -e^{2ikx} & -1 \end{array} 
ight],$$

i.e.,

$$\mathbf{M} = \mathscr{T} \exp \int_{-\infty}^{\infty} -i\mathbf{H}(x)dx$$

$$= \mathbf{I} - i \int_{-\infty}^{\infty} dx_1 \mathbf{H}(x_1)$$

$$+ (-i)^2 \int_{-\infty}^{\infty} dx_2 \int_{-\infty}^{x_2} dx_1 \mathbf{H}(x_2) \mathbf{H}(x_1) + \cdots$$

[Ann. Phys. (NY), **341**, 77 (2014)]

$$\mathbf{H}(x) := rac{v(x)}{2k} \left[ egin{array}{ccc} 1 & e^{-2ikx} \ -e^{2ikx} & -1 \end{array} 
ight],$$

If v(x) is a real-valued potential,  $\mathbf{H}(x)$  is  $\sigma_3$ -pseudo-Hermitian;

$$\mathbf{H}(x)^{\dagger} = \boldsymbol{\sigma}_{3}\mathbf{H}(x)\boldsymbol{\sigma}_{3}^{-1}$$

Otherwise,  $\mathbf{H}(x)$  is  $\sigma_3$ -pseudo-normal;

$$[\mathbf{H}(x), \mathbf{H}(x)^{\sharp}] = 0,$$

$$\mathbf{H}(x)^{\sharp} := \sigma_3^{-1} \mathbf{H}(x)^{\dagger} \sigma_3.$$

• Make the k-dependence explicit:

$$\mathbf{H}(x;k) := \frac{v(x)}{2k} \begin{bmatrix} 1 & e^{-2ikx} \\ -e^{2ikx} & -1 \end{bmatrix}$$
$$\mathbf{M}(k) = \mathscr{T} \exp\left\{-i \int_{-\infty}^{\infty} dx \, \mathbf{H}(x;k)\right\}.$$

• Consider a finite-range potential v with support  $[x_-, x_+]$ :

$$v(x) = 0$$
 for  $x \notin [x_{-}, x_{+}],$   $H(x; k) = 0$  for  $x \notin [x_{-}, x_{+}]$ 

$$\mathbf{M}(k) = \mathscr{T} \exp \left\{ -i \int_{x_{-}}^{x_{+}} dx \, \mathbf{H}(x; k) \right\} = \mathbf{U}(x_{+}, x_{-}; k).$$

### Low-energy scattering:

Find the Small-k behavior of  $R^{l/r}(k)$  & T(k).

[Bollé et al, J. Opt. Theory (1985)]

Find the Small-k behavior of  $\mathbf{M}(k)$  using:

$$i\partial_x \mathbf{U}(x, x_-; k) = \mathbf{H}(x; k)\mathbf{U}(x, x_-; k),$$
  
 $\mathbf{U}(x_-, x_-; k) = \mathbf{I}, \qquad \mathbf{U}(x_+, x_-; k) = \mathbf{M}(k).$ 

$$\mathbf{H}(x;k) := \frac{v(x)}{2k} \begin{bmatrix} 1 & e^{-2ikx} \\ -e^{2ikx} & -1 \end{bmatrix}$$

Introduce:

$$\mathbf{\Gamma} := \begin{bmatrix} 1 & -1 \\ 0 & 0 \end{bmatrix}, \quad \mathbf{\Delta} := \begin{bmatrix} 1 & 1 \\ 0 & 0 \end{bmatrix}, \quad \mathbf{\mathcal{K}} := \begin{bmatrix} 1 & 1 \\ -1 & -1 \end{bmatrix},$$

$$\mathbf{D}(x, x_{-}; k) := i\mathbf{\Delta}\mathbf{U}(x, x_{-}; k),$$

$$\mathbf{G}(x, x_{-}; k) := k\mathbf{\Gamma}\mathbf{U}(x, x_{-}; k).$$

Then 
$$\frac{1}{2}(\mathcal{K}\Gamma + \mathcal{K}^T\Delta) = \mathbf{I} \Rightarrow$$

$$\mathbf{U}(x, x_{-}; k) = \frac{1}{2} (\mathbf{K} \mathbf{\Gamma} + \mathbf{K}^{T} \Delta) \mathbf{U}(x, x_{-}; k)$$
$$= \frac{1}{2k} [\mathbf{K} \mathbf{G}(x, x_{-}; k) - ik \mathbf{K}^{T} \mathbf{D}(x, x_{-}; k)].$$

$$\mathbf{U} = \frac{1}{2k} \left( \mathbf{K} \mathbf{G} - ik \mathbf{K}^T \mathbf{D} \right).$$

$$\partial_x \mathbf{U}(x,x_-;k) = \mathbf{H}(x;k)\mathbf{U}(x,x_-;k)$$

$$\mathbf{H}(x;k) := \frac{v(x)}{2k} \begin{bmatrix} 1 & e^{-2ikx} \\ -e^{2ikx} & -1 \end{bmatrix}$$

$$\partial_x \mathbf{D} = v(x) [-x s(kx) \mathbf{D} + x^2 c(kx) \mathbf{G}],$$
  
 $\partial_x \mathbf{G} = v(x) [x s(kx) \mathbf{G} - d(kx) \mathbf{D}],$ 

$$s(\tau) := \frac{\sin 2\tau}{2\tau} = 1 + \sum_{n=1}^{\infty} s_n \tau^{2n}, \qquad s_n := \frac{(-4)^n}{(2n+1)!},$$

$$c(\tau) := \frac{1 - \cos 2\tau}{2\tau^2} = 1 + \sum_{n=1}^{\infty} c_n \tau^{2n}, \qquad c_n := \frac{2(-4)^n}{(2n+2)!},$$

$$\frac{d(\tau)}{2} := \frac{1 + \cos 2\tau}{2} = 1 + \sum_{n=1}^{\infty} d_n \tau^{2n}, \qquad d_n := \frac{(-4)^n}{2[(2n)!]}.$$

$$\mathbf{U} = \frac{1}{2k} \left( \mathbf{K} \mathbf{G} - ik \mathbf{K}^T \mathbf{D} \right).$$

$$\partial_x \mathbf{U}(x,x_-;k) = \mathbf{H}(x;k)\mathbf{U}(x,x_-;k)$$

$$\mathbf{H}(x;k) := \frac{v(x)}{2k} \begin{bmatrix} 1 & e^{-2ikx} \\ -e^{2ikx} & -1 \end{bmatrix}$$

$$\mathbf{U}(x, x_{-}; k) = \sum_{m=-1}^{\infty} \mathbf{U}_{m}(x, x_{-}) k^{m}$$

$$\mathbf{D}(x,x_{-};k) := i\Delta \mathbf{U}(x,x_{-};k)$$

$$G(x,x_-;k) := k\Gamma U(x,x_-;k)$$

$$\mathbf{D}(x, x_{-}; k) := i\Delta \mathbf{U}(x, x_{-}; k) \Rightarrow \begin{cases}
\mathbf{D}(x, x_{-}; k) = \sum_{m=-1}^{\infty} \mathbf{D}_{m}(x) k^{m} \\
\mathbf{G}(x, x_{-}; k) := k\Gamma \mathbf{U}(x, x_{-}; k)
\end{cases}
\Rightarrow \begin{cases}
\mathbf{D}(x, x_{-}; k) = \sum_{m=-1}^{\infty} \mathbf{G}_{m}(x) k^{m} \\
\mathbf{G}(x, x_{-}; k) = \sum_{m=-1}^{\infty} \mathbf{G}_{m}(x) k^{m+1}
\end{cases}$$

$$\mathbf{U}(x_{-}, x_{-}; k) = \mathbf{I} \quad \Rightarrow \quad \begin{cases} \mathbf{D}_{m}(x_{-}) = i\delta_{0m} \Delta \\ \mathbf{G}_{m}(x_{-}) = \delta_{0m} \Gamma \end{cases}$$

$$\partial_x \mathbf{D} = v(x) \left[ -x s(kx) \mathbf{D} + x^2 c(kx) \mathbf{G} \right],$$
  
$$\partial_x \mathbf{G} = v(x) \left[ x s(kx) \mathbf{G} - d(kx) \mathbf{D} \right],$$

$$D(x, x_{-}; k) = \sum_{m=-1}^{\infty} D_{m}(x) k^{m},$$

$$G(x, x_{-}; k) = \sum_{m=-1}^{\infty} G_{m}(x) k^{m+1}$$

$$s(\tau) := \frac{\sin 2\tau}{2\tau} = 1 + \sum_{n=1}^{\infty} s_{n} \tau^{2n},$$

$$c(\tau) := \frac{1 - \cos 2\tau}{2\tau^{2}} = 1 + \sum_{n=1}^{\infty} c_{n} \tau^{2n},$$

$$d(\tau) := \frac{1 + \cos 2\tau}{2} = 1 + \sum_{n=1}^{\infty} d_{n} \tau^{2n}$$

$$\mathbf{D}_m(x_-) = i\delta_{0m} \, \mathbf{\Delta}$$
$$\mathbf{G}_m(x_-) = \delta_{0m} \, \mathbf{\Gamma}$$

This gives a system of 1st order ODEs for  $D_m$  and  $G_m$  that we could decouple and solve iteratively, [arXiv:2102.06084].

$$\partial_x \mathbf{D} = v(x) \left[ -x s(kx) \mathbf{D} + x^2 c(kx) \mathbf{G} \right],$$
  
$$\partial_x \mathbf{G} = v(x) \left[ x s(kx) \mathbf{G} - d(kx) \mathbf{D} \right],$$

$$D(x, x_{-}; k) = \sum_{m=-1}^{\infty} D_{m}(x) k^{m},$$

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$$G_m(x_-) = \delta_{0m} \Gamma$$

This gives a system of 1st order ODEs for  $D_m$  and  $G_m$  that we could decouple and solve iteratively, [arXiv:2102.06084].

$$(\mathbf{D}_m, \mathbf{G}_m) \rightarrow (\mathbf{D}, \mathbf{G}) \rightarrow \mathbf{U}(x, x_-; k) = \frac{1}{2k} \Big( \mathcal{K} \mathbf{G} - ik \mathcal{K}^T \mathbf{D} \Big)$$

$$\mathbf{U}(x,x_{-};k) = -\frac{i\phi_{1}'(x)}{2k}\mathcal{K} + \frac{1}{2}\Big\{\ell\phi_{2}'(x)(\mathbf{I} - \boldsymbol{\sigma}_{1}) + [\phi_{1}(x) - x\phi_{1}'(x)](\mathbf{I} + \boldsymbol{\sigma}_{1})\Big\} + \frac{ik\ell}{2}\Big\{g_{1}(x)\mathcal{K} + [\phi_{2}(x) - x\phi_{2}'(x)]\mathcal{K}^{T}\Big\} + O(k^{2})$$

Arbitrary length scale

$$\phi_{1} \& \phi_{2} \text{ solve } -\phi''(x) + v(x)\phi(x) = 0 \&$$

$$\phi_{1}(x_{-}) - x_{-}\phi'_{1}(x_{-}) = 1, \qquad \phi'_{1}(x_{-}) = 0,$$

$$\phi_{2}(x_{-}) - x_{-}\phi'_{2}(x_{-}) = 0, \qquad \phi'_{2}(x_{-}) = \ell^{-1}.$$

$$g_{1}(x) := \ell^{-1} \int_{x_{-}}^{x} d\tilde{x} \, \partial_{x} \mathscr{G}(x, \tilde{x}) v(\tilde{x}) \varsigma(\tilde{x}),$$

$$\mathscr{G}(x, \tilde{x}) := \frac{\ell[\phi_{1}(x)\phi_{2}(\tilde{x}) - \phi_{2}(x)\phi_{1}(\tilde{x})]}{\phi_{1}(x_{-})},$$

$$\varsigma(x) := -\frac{1}{3} \left\{ x^{2} [3\phi_{1}(x) - x\phi'_{1}(x)] + \int_{x_{-}}^{x} d\tilde{x} \, \tilde{x}^{3} v(\tilde{x}) \phi_{1}(\tilde{x}) \right\}.$$

$$\mathbf{U}(x, x_{-}; k) = -\frac{i\phi_{1}'(x)}{2k} \mathcal{K} + \frac{1}{2} \left\{ \ell \phi_{2}'(x) (\mathbf{I} - \boldsymbol{\sigma}_{1}) + [\phi_{1}(x) - x\phi_{1}'(x)] (\mathbf{I} + \boldsymbol{\sigma}_{1}) \right\} + \frac{ik\ell}{2} \left\{ g_{1}(x) \mathcal{K} + [\phi_{2}(x) - x\phi_{2}'(x)] \mathcal{K}^{T} \right\} + O(k^{2})$$

$$M(k) = U(x_+, x_-; k).$$

Generalization to exponentially decaying potentials:

$$\exists \mu > 0, \ |v(x)| \le e^{-\mu|x|} \quad \text{for} \quad x \to \pm \infty$$

$$x_{\pm} \to \pm \infty$$

$$-\phi_{j}''(x) + v(x)\phi_{j}(x) = 0, \quad x \in \mathbb{R}, \quad j \in \{1, 2\}$$

$$\lim_{x \to -\infty} [\phi_{1}(x) - x\phi_{1}'(x)] = 1, \quad \lim_{x \to -\infty} \phi_{1}'(x) = 0,$$

$$\lim_{x \to -\infty} [\phi_{2}(x) - x\phi_{2}'(x)] = 0, \quad \lim_{x \to -\infty} \phi_{2}'(x) = \ell^{-1}.$$

$$\mathbf{M}(k) = -\frac{i\mathfrak{b}_1}{2k\ell}\mathcal{K} + \frac{1}{2}[\mathfrak{b}_2(\mathbf{I} - \sigma_1) + \mathfrak{a}_1(\mathbf{I} + \sigma_1)] + \frac{ik\ell}{2}(\mathfrak{g}_1\mathcal{K} + \mathfrak{a}_2\mathcal{K}^T) + O(k^2).$$

$$\mathfrak{a}_{j} = \lim_{x \to +\infty} [\phi_{j}(x) - x\phi'_{j}(x)], \qquad \mathfrak{b}_{j} = \ell \lim_{x \to +\infty} \phi'_{j}(x),$$

$$\mathfrak{g}_{1} = \ell^{-1} \int_{-\infty}^{\infty} d\tilde{x} \, \partial_{x} \mathscr{G}(x, \tilde{x}) v(\tilde{x}) \varsigma(\tilde{x}),$$

$$\varsigma(x) = -\frac{1}{3} \left\{ x^{2} \left[ 3\phi_{1}(x) - x\phi'_{1}(x) \right] + \int_{-\infty}^{x} d\tilde{x} \, \tilde{x}^{3} v(\tilde{x}) \phi_{1}(\tilde{x}) \right\},$$

$$\mathscr{G}(x, \tilde{x}) = \frac{\ell \left[ \phi_{1}(x) \phi_{2}(\tilde{x}) - \phi_{2}(x) \phi_{1}(\tilde{x}) \right]}{\lim_{x \to \infty} \frac{1}{2\pi} \frac{1}{2\pi} \frac{1}{2\pi} \left[ \frac{1}{2\pi} \frac{1}{$$

$$R^l = -\frac{M_{21}}{M_{22}}, \qquad R^r = \frac{M_{12}}{M_{22}}, \qquad T = \frac{1}{M_{22}}.$$

If 
$$\mathfrak{b}_{1} := \ell \lim_{x \to +\infty} \phi'_{1}(x) \neq 0$$
,
$$R^{l}(k) = -1 - \frac{2i\mathfrak{b}_{2} k\ell}{\mathfrak{b}_{1}} + \frac{2(\mathfrak{b}_{2}^{2} + 1)(k\ell)^{2}}{\mathfrak{b}_{1}^{2}} + O(k^{3}),$$

$$R^{r}(k) = -1 - \frac{2i\mathfrak{a}_{1} k\ell}{\mathfrak{b}_{1}} + \frac{2(\mathfrak{a}_{1}^{2} + 1)(k\ell)^{2}}{\mathfrak{b}_{1}^{2}} + O(k^{3}),$$

$$T(k) = -\frac{2ik\ell}{\mathfrak{b}_{1}} + \frac{2(\mathfrak{a}_{1} + \mathfrak{b}_{2})(k\ell)^{2}}{\mathfrak{b}_{1}^{2}} + \frac{2i(\mathfrak{a}_{1}^{2} + \mathfrak{b}_{2}^{2} + \mathfrak{a}_{1}\mathfrak{b}_{2} - \mathfrak{b}_{1}\mathfrak{g}_{1} + 1)(k\ell)^{3}}{\mathfrak{b}_{1}^{3}} + O(k^{4}).$$
If  $\mathfrak{b}_{1} = 0$ 

If  $\mathfrak{b}_1=0$ ,

$$R^{l}(k) = \frac{\mathfrak{b}_{2}^{2} - 1}{\mathfrak{b}_{2}^{2} + 1} + \frac{2i\mathfrak{b}_{2}(\mathfrak{b}_{2}^{2}\mathfrak{g}_{1} - \mathfrak{a}_{2})k\ell}{(\mathfrak{b}_{2}^{2} + 1)^{2}} + O(k^{2}),$$

$$R^{r}(k) = -\frac{\mathfrak{b}_{2}^{2} - 1}{\mathfrak{b}_{2}^{2} + 1} + \frac{2i\mathfrak{b}_{2}(\mathfrak{g}_{1} - \mathfrak{a}_{2}\mathfrak{b}_{2}^{2})k\ell}{(\mathfrak{b}_{2}^{2} + 1)^{2}} + O(k^{2}),$$

$$T(k) = \frac{2\mathfrak{b}_{2}}{\mathfrak{b}_{2}^{2} + 1} + \frac{2i\mathfrak{b}_{2}^{2}(\mathfrak{a}_{2} + \mathfrak{g}_{1})k\ell}{(\mathfrak{b}_{2}^{2} + 1)^{2}} + O(k^{2}).$$

Zero-energy resonance

Generalization to  $v \in L^1_\sigma(\mathbb{R})$ , i.e.,  $\int_{-\infty}^\infty dx (1+|x|^\sigma)|v(x)| < \infty$  [Newton 1986, Aktosun & Klaus 2001]

- For  $\sigma \geq 1$ ,  $\mathbf{M}(k)$  is continuous in  $\mathbb{R} \setminus \{0\}$ .
- For  $\sigma = 2(n + \epsilon)$ ,  $n \in \mathbb{Z}^+$ ,  $0 \le \epsilon < 1$ ,

$$\mathbf{M}(k) = \sum_{m=-1}^{n-1} \mathbf{m}_m(k\ell)^m + o(k^{n-1+\epsilon}) \qquad \lim_{k \to 0} \frac{o(k^{\mu})}{k^{\mu}} = 0$$

Our method gives  $\mathbf{m}_m$ .

$$\mathbf{M}(k) = -\frac{i\mathfrak{b}_1}{2k\ell}\mathcal{K} + \frac{1}{2}\big[\mathfrak{b}_2(\mathbf{I} - \sigma_1) + \mathfrak{a}_1(\mathbf{I} + \sigma_1)\big] + \frac{ik\ell}{2}\big(\mathfrak{g}_1\mathcal{K} + \mathfrak{a}_2\mathcal{K}^T\big) + O(k^2).$$

$$g_1 = \ell^{-1} \int_{-\infty}^{\infty} d\tilde{x} \, \partial_x \mathcal{G}(x, \tilde{x}) v(\tilde{x}) \varsigma(\tilde{x})$$

$$\varsigma(x) = -\frac{1}{3} \left\{ x^2 \left[ 3\phi_1(x) - x\phi_1'(x) \right] + \int_{-\infty}^x d\tilde{x} \, \tilde{x}^3 v(\tilde{x}) \phi_1(\tilde{x}) \right\}$$

Zero-energy Schrödinger equation:  $-\phi''(x) + v(x)\phi(x) = 0$ ,

For 
$$v \in L^1_1(x)$$
,  $\exists \, \mathfrak{a}_{\pm}, \, \mathfrak{b}_{\pm} \in \mathbb{C}$ ,  $x \to \pm \infty \Rightarrow \begin{cases} \phi'(x) \to \mathfrak{b}_{\pm}/\ell \\ \phi(x) - x\phi'(x) \to \mathfrak{a}_{\pm} \end{cases}$ 

Zero-energy transfer matrix:

$$\left[\begin{array}{c} \mathfrak{a}_{+} \\ \mathfrak{b}_{+} \end{array}\right] = \mathbf{M}_{0} \left[\begin{array}{c} \mathfrak{a}_{-} \\ \mathfrak{b}_{-} \end{array}\right]$$

**Theorem**:  $\mathbf{M}_0 = \mathbf{U}_0(+\infty, -\infty)$ , where  $\mathbf{U}_0(x, x_0)$  is the evolution operator for the Hamiltonian

$$\mathbf{H}_0(x) := -i v(x) \begin{bmatrix} x & x^2/\ell \\ -\ell & -x \end{bmatrix}$$

$$\mathbf{M}_0 = \mathscr{T} \exp \left\{ -i \int_{-\infty}^{\infty} dx \, \mathbf{H}_0(x) \right\}$$

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- $\mathbf{H}_0(x)$  is  $\eta(x)$ -pseudo-normal for  $\eta(x) := \begin{bmatrix} 1 & 0 \\ 0 & -x^2/\ell^2 \end{bmatrix}$ .
- If v is a real potential,  $iH_0(x)$  is  $\eta(x)$ -pseudo-Hermitian.

Zero-energy Schrödinger equation:  $-\phi''(x) + v(x)\phi(x) = 0$ ,

For 
$$v \in L^1_1(x)$$
,  $\exists \mathfrak{a}_{\pm}, \mathfrak{b}_{\pm} \in \mathbb{C}$ ,  $x \to \pm \infty \Rightarrow \begin{cases} \phi'(x) \to \mathfrak{b}_{\pm}/\ell \\ \phi(x) - x\phi'(x) \to \mathfrak{a}_{\pm} \end{cases}$ 

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**Theorem**:  $\mathbf{M}_0 = \mathbf{U}_0(+\infty, -\infty)$ , where  $\mathbf{U}_0(x, x_0)$  is the evolution operator for the Hamiltonian

$$\mathbf{H}_0(x) := -i v(x) \begin{bmatrix} x & x^2/\ell \\ -\ell & -x \end{bmatrix}$$

$$\Rightarrow \begin{cases} \phi_1(x) = U_{011}(x, -\infty) + \ell^{-1}x \, U_{021}(x, -\infty), \\ \phi_2(x) = U_{012}(x, -\infty) + \ell^{-1}x \, U_{022}(x, -\infty). \end{cases}$$

**Corollary**: v has a zero-energy resonance iff  $M_{021} = 0$ .

#### Low-energy scattering in the half-line:

$$-\partial_x^2 \psi(x;k) + \mathcal{V}(x)\psi(x;k) = k^2 \psi(x;k), \qquad x \in \mathbb{R}^+,$$

$$\mathcal{V} \in L_1^1(\mathbb{R}^+)$$

$$\alpha(k)\psi(0;k) + k^{-1}\beta(k)\partial_x\psi(0;k) = 0, \qquad (BC)$$

$$|\alpha(k)| + |\beta(k)| \neq 0.$$

$$\psi(x;k) \to A_+(k)e^{ikx} + B_+(k)e^{-ikx}$$
 for  $x \to +\infty$ .

Reflection amplitude:  $\mathcal{R}(k) := \frac{A_{+}(k)}{B_{+}(k)}$ .

$$-\partial_x^2 \psi(x;k) + \mathcal{V}(x)\psi(x;k) = k^2 \psi(x;k), \qquad x \in \mathbb{R}^+,$$

$$\alpha(k)\psi(0;k) + k^{-1}\beta(k)\partial_x\psi(0;k) = 0, \qquad (BC)$$

$$\psi(x;k) \to A_+(k)e^{ikx} + B_+(k)e^{-ikx} \quad \text{for} \quad x \to +\infty.$$

ullet Consider the scattering in  $\mathbb R$  by the potential

$$v(x) := \begin{cases} 0 & \text{for } x \le 0 \\ \mathcal{V}(x) & \text{for } x > 0 \end{cases}$$
$$-\partial_x^2 \psi(x; k) + v(x)\psi(x; k) = k^2 \psi(x; k) \quad x \in \mathbb{R}$$
$$\psi(x; k) = A_-(k)e^{ikx} + B_-(k)e^{-ikx} \quad \text{for } x < 0.$$

ullet Choose  $A_-$  and  $B_-$  so that (BC) holds, & recall  $\left[ egin{array}{c} A_+ \\ B_+ \end{array} \right] = \mathbf{M} \left[ egin{array}{c} A_- \\ B_- \end{array} \right]$ 

$$\Rightarrow \mathcal{R}(k) = \frac{M_{11}(k) - \gamma(k)M_{12}(k)}{M_{21}(k) - \gamma(k)M_{22}(k)},$$
$$\gamma(k) := \frac{\alpha(k) + i\beta(k)}{\alpha(k) - i\beta(k)}.$$

[Ann. Phys. **411**, 167980 (2019); arXiv: 1910.07382]

$$-\partial_x^2 \psi(x;k) + \mathcal{V}(x)\psi(x;k) = k^2 \psi(x;k), \qquad x \in \mathbb{R}^+,$$

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$$\gamma(k) := \frac{\alpha(k) + i\beta(k)}{\alpha(k) - i\beta(k)}.$$

 $\Rightarrow$  low-energy expansion of  $\mathcal{R}(k)$ 

For  $\beta = 0$  (Dirichlet BC):

$$\mathcal{R}(k) = \begin{cases} 1 + O(k) & \text{for } \mathfrak{b}_2 = 0, \\ -1 - \frac{2i\mathfrak{a}_2 k\ell}{\mathfrak{b}_2} + O(k^2) & \text{for } \mathfrak{b}_2 \neq 0. \end{cases}$$

For  $\beta \neq 0$ :

$$\mathcal{R}(k) = \begin{cases} -1 - \frac{2i\mathfrak{a}_{1} k\ell}{\mathfrak{b}_{1}} + \frac{2(\mathfrak{a}_{1}^{2} + i\rho)(k\ell)^{2}}{\mathfrak{b}_{1}^{2}} + O(k^{3}) & \text{for } \mathfrak{b}_{1} \neq 0, \\ -\frac{\rho \mathfrak{b}_{2}^{2} - i}{\rho \mathfrak{b}_{2}^{2} + i} - \frac{2i\mathfrak{b}_{2}(\rho^{2}\mathfrak{a}_{2}\mathfrak{b}_{2}^{2} + \mathfrak{g}_{1})k\ell}{(\rho \mathfrak{b}_{2}^{2} + i)^{2}} + O(k^{2}) & \text{for } \mathfrak{b}_{1} = 0. \end{cases}$$

Theorem: V with Dirichlet BC lead to a zero-energy resonance iff  $\mathfrak{b}_2 = M_{0.22} = 0$ .

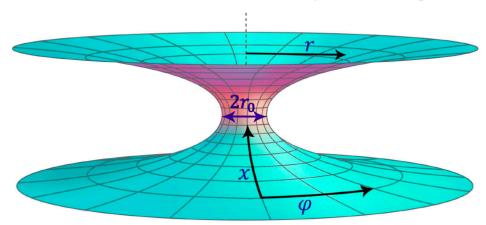
#### **Application: Wormhole scattering**

Static spherically symmetric wormhole:

$$ds^{2} = -p(r)^{2}dt^{2} + q(r)^{2} dr^{2} + r^{2}d\Omega^{2},$$
  
$$d\Omega^{2} = d\vartheta^{2} + \sin^{2}\vartheta d\varphi^{2}$$

Introduce 
$$x \in \mathbb{R}$$
,  $r = r(x)$  such that  $dx^2 = q(r)^2 dr^2 \Rightarrow$   $ds^2 = -p(r)^2 dt^2 + dx^2 + r^2 d\Omega^2$ .  $r(x) \to \pm x$  for  $x \to \pm \infty$ .

Ellis wormhole:  $p(r) = 1 \& r(x) = \sqrt{x^2 + r_0^2}$  for some  $r_0 > 0$ .



 $\Phi$ : A scalar field of mass m

$$(-g)^{-\frac{1}{2}}\partial_{\mu}\left[(-g)^{\frac{1}{2}}g^{\mu\nu}\partial_{\nu}\Phi\right]-m^{2}\Phi=0$$

For p(r) = 1,  $\exists$  time-harmonic solutions:

$$\Phi(t, x, \vartheta, \varphi) = e^{-i\omega t} Y_l^m(\vartheta, \varphi) \frac{\psi(x)}{r(x)},$$

$$-\psi''(x) + v(x)\psi(x) = k^2 \psi(x),$$

$$v(x) := \frac{l(l+1)}{r(x)^2} + \frac{r''(x)}{r(x)},$$

$$k := \sqrt{\omega^2 - m^2}$$

Stability of the wormhole  $\Rightarrow$  study low-energy waves.

 $\Rightarrow$  only spherical waves (l = 0) can tunnel through v;

$$v(x) := \frac{r''(x)}{r(x)}.$$

Suppose that  $\exists \epsilon \in \mathbb{R}^+$ ,  $\exists c_{\pm}, a_n \in \mathbb{R}$ ,

$$r(x) = \pm x + c_{\pm} + \frac{1}{x^{\epsilon}} \sum_{n=0}^{\infty} \frac{a_n}{x^n}$$
 as  $x \to \pm \infty$ ,

$$v(x) = \frac{r''(x)}{r(x)} \implies v \in L_2^1(\mathbb{R})$$
 & our method gives

$$\mathbf{M}(k) = \mathbf{m}_{-1}k^{-1} + \mathbf{m}_0 + o(k^0)$$

where  $\mathbf{m}_{-1}$  and  $\mathbf{m}_0$  are given by  $\phi_1$  of  $\phi_2$ .

$$v(x) = \frac{r''(x)}{r(x)} \Rightarrow r(x) \text{ solves } -\phi''(x) + v(x)\phi(x) = 0.$$

$$R^{l}(k) = -1 - 2i(c_{-} - s^{-1})k + o(k^{1}),$$

$$R^{r}(k) = -1 - 2i(c_{+} - s^{-1})k + o(k^{1}),$$

$$T(k) = -2is^{-1}k + 2s^{-1}(c_{+} + c_{-} - 2s^{-1})k^{2} + o(k^{2})$$

$$s = \int_{-\infty}^{\infty} \frac{dx}{r(x)^2}$$

$$T(k) = -2is^{-1}k + 2s^{-1}(c_{+} + c_{-} - 2s^{-1})k^{2} + o(k^{2}).$$

$$s = \int_{-\infty}^{\infty} \frac{dx}{r(x)^{2}}, \quad c_{\pm} = \lim_{x \to \pm \infty} [r(x) - |x|],$$

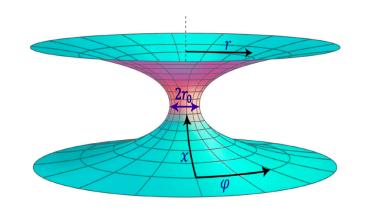
Absorption cross section: 
$$\sigma = \frac{\pi |T(k)|^2}{k^2}$$

Low-energy absorption cross section:

Wormholes with p = 1:  $\sigma = A + o(k)$ 

$$\sigma = A + o(k)$$

$$A := 4\pi \rho^2, \qquad \rho := \frac{1}{s}.$$



For Schwarzschild blackholes [Unruh 1976]:

Massless scalar field: 
$$\sigma = A + \frac{\sqrt{\pi}}{2} A^{3/2} k + O(k^2)$$
.

Massive scalar field: 
$$\sigma = \frac{\sqrt{\pi} \, m^3 A^{3/2}}{2k^2} \Big[ 1 + o(k^{\infty}) \Big].$$

#### **Conclusions:**

- Potential scattering admits a dynamical formulation where the scattering phenomenon is described in terms of an effective quantum system with a non-Hermitian Hamiltonian H(x). For real scattering potential H(x) is pseudo-Hermitian.
- The formulation has many interesting applications and admits generalizations to higher dimensions and EM scattering.
- It offers a method for constructing low-energy expansion of the transfer matrix. This requires the study of the zero-energy Schrödinger equation, which may be done using an appropriate zero-energy transfer matrix.
- The results have applications in various areas including the study of the transmission of scalar waves through a wormhole.

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Thank you for your attention.