# DUALITIES IN TOPOLOGY & ALGEBRA ICTS (1-14<sup>th</sup> February, 2021)

DICHOTOMY & POINCARÉ DUALITY

#### ELLIPTIC VS. HYPERBOLIC

All spaces considered are simply connected with finite rational cohomology, i.e.,  $\sum_i \dim H^j(X;\mathbb{Q}) < \infty$ .

The maximum integer n such that  $H^n(X;\mathbb{Q}) \neq 0$  is called the dimension of X.

A space X is called rationally elliptic if  $\sum_j \dim \pi_j(X) \otimes \mathbb{Q} < \infty$ . It is called rationally hyperbolic otherwise.

- Spheres and complex projective spaces are rationally elliptic.
- Lie groups and homogeneous spaces are rationally elliptic.
- If  $F \hookrightarrow E \to B$  is a fibration with B, F rationally elliptic, so is E.
- Any closed, simply connected 4-manifold is rationally elliptic if and only if  $b_2 = \dim H_2(X; \mathbb{Q}) \leq 2$ .

## DICHOTOMY THEOREM I

**Theorem** Let X be a rationally hyperbolic space of dimension n.

(1) The sequence  $\sum_{j \leq m} \dim \pi_j(X) \otimes \mathbb{Q}$  has exponential growth, i.e., there exists  $\lambda > 0$  and C > 1 such that for m large enough

$$\sum_{j \le m} \dim \pi_j(X) \otimes \mathbb{Q} \ge \lambda C^m.$$

- (2) There are no large gaps in the sequence  $\{\pi_j(X) \otimes \mathbb{Q}\}$ , i.e., given any k, there exists k < l < k + n such that  $\pi_l(X) \otimes \mathbb{Q} \neq 0$ .
- A minimal model for  $\mathbb{CP}^2 \# \mathbb{CP}^2 \# \mathbb{CP}^2$  is given by closed generators  $x_1, x_2, x_3$  in degree 2, y in degree 3 such that

$$dy = x_1^2 + x_2^2 + x_3^2$$
,  $du = x_1x_2$ ,  $dv = x_2x_3$ ,  $dw = x_1x_3$ .

But  $x_1v - x_3u$  is closed and has to be killed by a new generator in degree 4.

## DICHOTOMY THEOREM II

**Theorem** Let X be a rationally elliptic space of dimension n.

- (1) Rational homotopy groups vanish beyond 2n-1, i.e.,  $\pi_j(X)\otimes\mathbb{Q}=0$  for  $j\geq 2n$ .
- (2) Rational cohomology  $H^{\bullet}(X;\mathbb{Q})$  satisfies Poincaré duality.
- (3) The total dimension dim  $H^{\bullet}(X; \mathbb{Q}) \leq 2^n$ .

There are further strong constraints imposed on X. This forces generic simply connected spaces to be rationally hyperbolic.

A commutative graded algebra A (over  $\mathbb Q$ ) is a Poincaré duality algebra of dimension n if

- (i) each  $A^j$  is of finite dimension, with  $A^n \cong \mathbb{Q}$ ,  $A^{>n} = 0$ ;
- (ii) the multiplication induces a nondegenerate bilinear pairing

$$A^j \otimes A^{n-j} \to A^n$$
 for  $0 \le j \le n$ .

## POINCARÉ DUALITY MODELS

A Poincaré duality model for a closed, simply connected n-dimensional manifold M is a Poincaré duality algebra (A,d) of dimension n that satisfies the following:

(i) There are quasi-isomorphisms

$$(A,d) \stackrel{\simeq}{\longleftarrow} \mathfrak{M}_M \stackrel{\simeq}{\longrightarrow} A_{PL}(M).$$

(ii) 
$$A^0 = \mathbb{Q}$$
 and  $A^1 = 0$ .

• Spheres: For odd spheres, the minimal model  $(\Lambda(x), 0)$  is itself a Poincaré duality model. For even spheres, consider

$$(A,d) = (H^{\bullet}(S^{2k};\mathbb{Q}),0).$$

The map  $(\Lambda(x,y), dy = x^2) \to (A,d)$  defined by  $x \mapsto [\omega], y \mapsto 0$  is a quasi-isomorphism.

#### **EXAMPLES**

• Products: Given two manifolds M and N with Poincaré duality models  $(A_M, d_M)$  and  $(A_N, d_N)$ , we consider

$$A_M \otimes A_N \xleftarrow{\simeq} \mathfrak{M}_M \otimes \mathfrak{M}_N \xrightarrow{\simeq} A_{PL}(M) \otimes A_{PL}(N) \xrightarrow{\simeq} A_{PL}(M \times N).$$

Thus,  $M \times N$  admits a Poincaré duality model.

• Lie groups: Like the odd spheres, the minimal model serves as the Poincaré duality model, in view of Hopf's result that  $H^{\bullet}(G;\mathbb{Q})$  is free cga on finitely many odd generators.

Notice that  $(H^{\bullet}(M;\mathbb{Q}),0)$  is a Poincaré duality algebra for any closed simply connected manifold M. In all the examples, we could choose the cohomology ring as the Poincaré duality model of the manifold.

## FORMALITY

A simply connected space X is called formal if there exists a quasi-isomorphism

$$\varphi: \mathfrak{M}_X = (\Lambda V, d) \xrightarrow{\simeq} (H^{\bullet}(X; \mathbb{Q}), 0).$$

A cdga (A, d) is called formal if there exist quasi-isomorphisms

$$(A,d) \xleftarrow{\simeq} (B_1,d_1) \xrightarrow{\simeq} \cdots \xleftarrow{\simeq} (B_k,d_k) \xrightarrow{\simeq} (H^{\bullet}(A,d),\mathbf{0}).$$

- Spheres, complex projective spaces
- Lie groups
- Products of formal spaces
- Retracts of formal spaces
- Complex Kähler manifolds [Deligne-Griffiths-Morgan-Sullivan].

## **EXISTENCE**

Consider a minimal model  $(\Lambda V, d)$ , where  $V = V^{\text{odd}}$  and dim  $V < \infty$ . It follows that  $(\Lambda V, d)$  is a Poincaré algebra. Halperin conjectured that Poincaré duality algebra models should exist for simply connected manifolds.

**Theorem** Every compact simply connected manifold admits a Poincaré duality model.

The original result of Lambrechts-Stanley is stronger; works over a field of any characteristic.

In the context of Poincaré duality, we define a Poincaré space - a space X of topological dimension n equipped with  $[X] \in H_n(X)$  such that

$$[X] \cap -: H^k(X) \to H_{n-k}(X)$$

is an isomorphism.

## SPIVAK FIBRE

Consider a finite *n*-dimensional subcomplex of  $\mathbb{R}^{n+k}$ . Let N be a regular neighbourhood of X with boundary  $\partial N$ . The Spivak fibre  $F_X$  is defined to be the homotopy fibre of  $\partial N \hookrightarrow N$ . This is, upto suspension, a homotopy invariant of X.

• Consider the standard embedding  $S^2 \hookrightarrow \mathbb{R}^3$ , further stabilized inside  $\mathbb{R}^{k+2}$ . Set N to be a tubular neighbourhood of  $S^2$ , i.e.,  $N \cong S^2 \times D^k$  with  $\partial N \cong S^2 \times S^{k-1}$ . Then

$$F_{S^2} = \{ \gamma : [0,1] \to N \mid \gamma(0) = (p, \mathbf{v}), \gamma(1) = (p_0, 0) \}.$$

The second component of each  $\gamma$  can be deformed to the radial path joining **v** to 0. Thus,  $F_{S^2} \simeq PS^2 \times S^{k-1} \simeq S^{k-1}$ .

**Theorem**  $F_X$  is a homotopy sphere if and only if X is a Poincaré complex.

#### GORENSTEIN SPACES

The reduced homology of  $F_X$  can be computed as follows.

Fact For a simply connected Poincaré space X

$$\widetilde{H}_{\bullet}(F_X; \Bbbk) \cong \operatorname{Ext}_{C^{\bullet}(X; \Bbbk)}(\Bbbk, s^{n+k-1}C^{\bullet}(X; \Bbbk)).$$

In particular, we conclude that

$$\dim \operatorname{Ext}_{C^{\bullet}(X;\mathbb{k})}(\mathbb{k}, C^{\bullet}(X;\mathbb{k})) = 1.$$

Based on the definition of Gorenstein rings, we define the following. A Gorenstein space at k is a simply connected space X with

$$\dim \operatorname{Ext}_{C^{\bullet}(X;\mathbb{k})}(\mathbb{k}, C^{\bullet}(X;\mathbb{k})) = 1.$$