

Open Quantum Systems
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Probing Topology in Finite Temperature and Non-Equilibrium Quantum States + Non-equilibrium Phase Transition to Chaos

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Based on

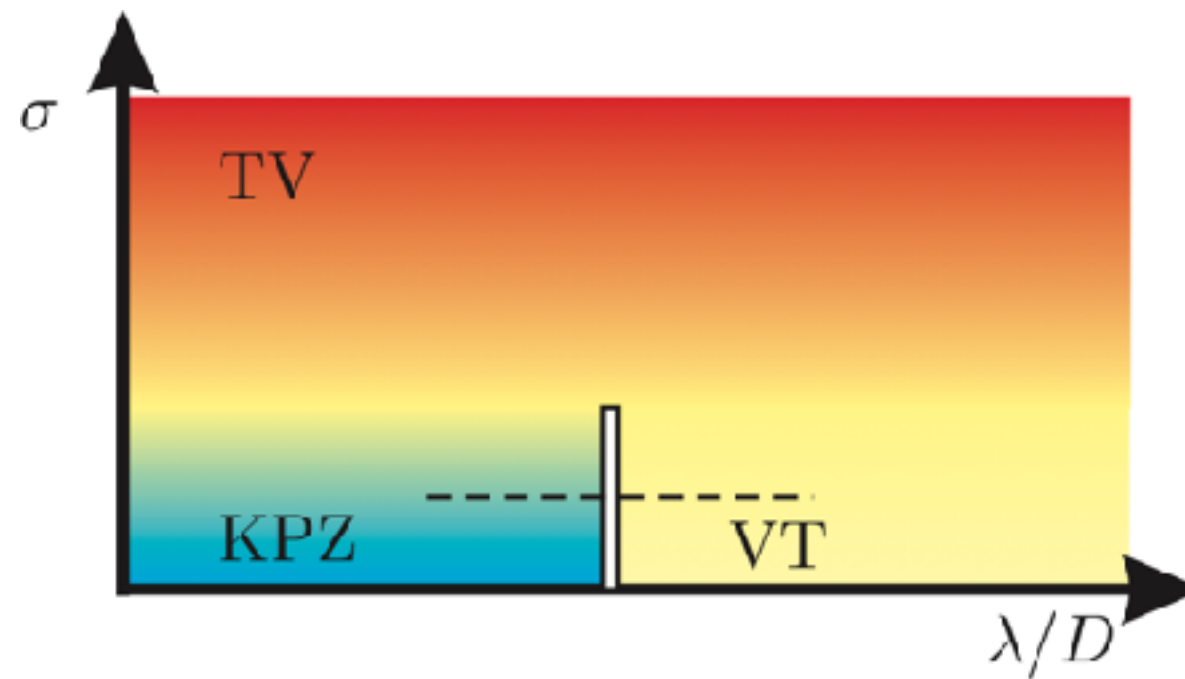
C.-E. Bardyn, L. Wawer, A. Altland, M.
Fleischhauer, SD, arxiv:1706:02741



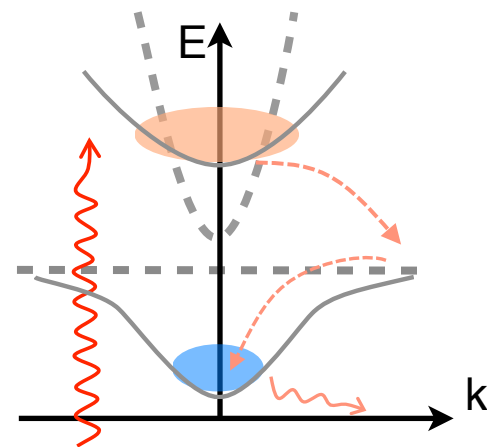
European Research Council



I. Non-equilibrium Phase Transition to Chaos



Exciton-polariton dynamics at small frequency



- starting point:
- driven-dissipative stochastic Gross-Pitaevski equation

$$i\partial_t\phi = \left[-\frac{\nabla^2}{2m} - \mu + i(\gamma_p - \gamma_l) + (g - i\kappa)|\phi|^2 \right] \phi + \zeta$$

$$\phi = \rho e^{i\theta}$$

- effective low frequency dynamics

E. Altman, L. Sieberer, L. Chen, SD, J. Toner, PRX (2015)

$$\partial_t\theta = D\nabla^2\theta + \lambda(\nabla\theta)^2 + \xi$$

phase diffusion

phase nonlinearity

Markov noise

form of the KPZ equation

Kardar, Parisi, Zhang, PRL (1986)

microphysics

polariton-reservoir model

polariton-only model

KPZ equation

macrophysics



- nonlinearity: **single-parameter measure of non-equilibrium strength** (ruled out in equilibrium by detailed balance (symmetry))

Compact KPZ

L. Sieberer, G. Wachtel, E. Altman, SD, PRB (2016)
G. Wachtel, L. Sieberer, SD, E. Altman, PRB (2016)

- wait a second — we ignored a fundamental symmetry of polaritons so far: local discrete gauge invariance

$$\phi(t, \mathbf{x}) = \rho(t, \mathbf{x}) e^{i\theta(t, \mathbf{x})} \quad \theta_{t, \mathbf{x}} \mapsto \theta_{t, \mathbf{x}} + 2\pi n_{t, \mathbf{x}}$$

- Teaching symmetry to KPZ equation:

$$\theta_{t+\epsilon, \mathbf{x}} = \theta_{t, \mathbf{x}} + \epsilon (\mathcal{L}[\theta]_{t, \mathbf{x}} + \eta_{t, \mathbf{x}}) + 2\pi n_{t, \mathbf{x}}$$

lattice regularized deterministic term

stochastic **difference**
equation

Non-equilibrium
electrodynamical duality:

Electric field \Leftrightarrow smooth phase fluct. (KPZ)

Charges \Leftrightarrow vortices

Dynamical & non-equilibrium analog of
Kosterlitz-Thouless construction



$$Z = \sum_{\{\tilde{n}_{t, \mathbf{x}}\}} \int \mathcal{D}[\theta] e^{iS[\theta, \tilde{n}]}$$

discrete noise MSRJD
functional integral

non-eq. lattice gauge theory



$$Z \propto \sum_{\{n_{vX}, \tilde{n}_{vX}, \mathbf{J}_{vX}, \tilde{\mathbf{J}}_{vX}\}} \int \mathcal{D}[\phi, \tilde{\phi}, \mathbf{A}, \tilde{\mathbf{A}}] e^{iS[\phi, \tilde{\phi}, \mathbf{A}, \tilde{\mathbf{A}}, n_v, \tilde{n}_v, \mathbf{J}_v, \tilde{\mathbf{J}}_v]}$$

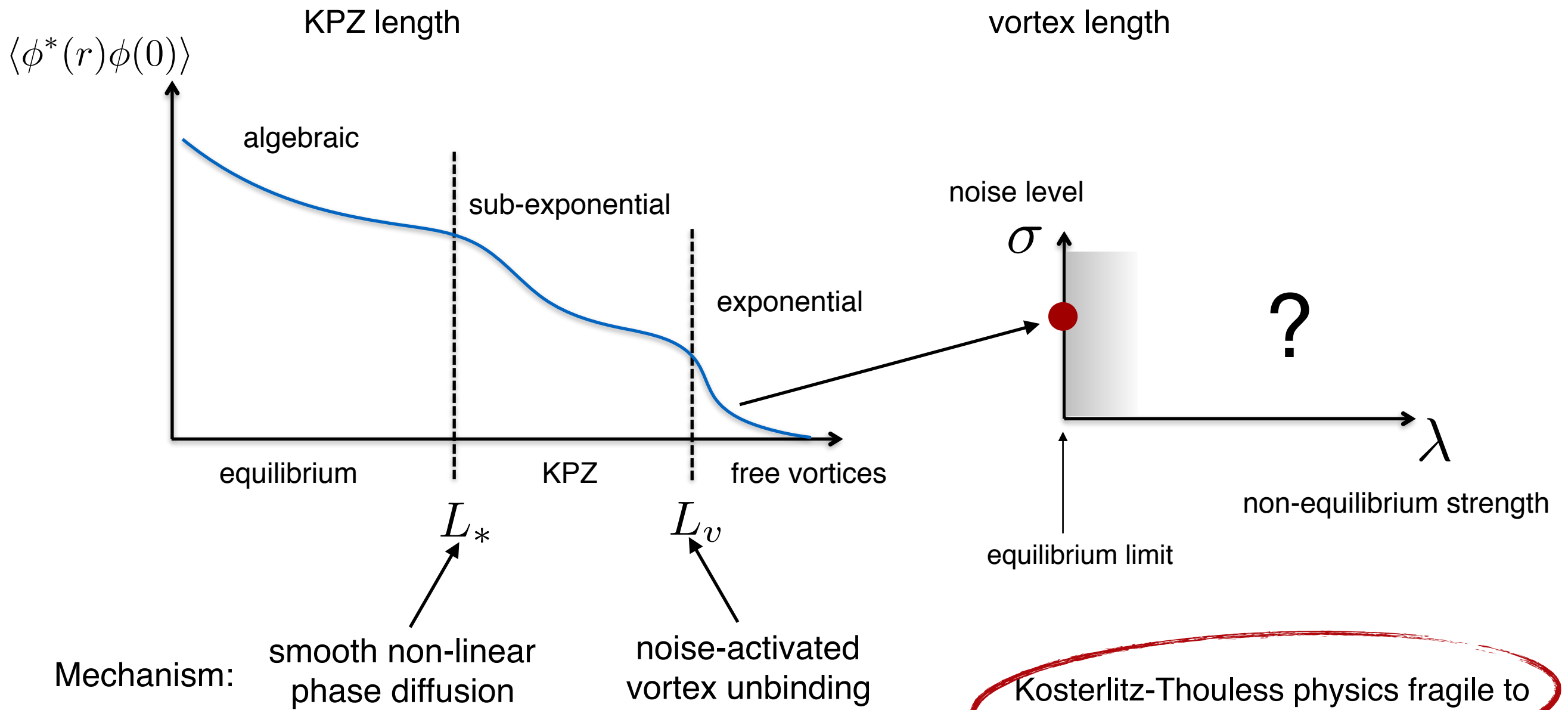
non-equilibrium
electrodynamical theory

2D: Competing Length Scales and Suppression of KT

- two emergent length scales:

$$L_* = a_0 e^{\frac{16\pi D^3}{\lambda^2 \Delta}}$$

$$L_v = a_0 e^{\frac{2D}{\lambda}}$$



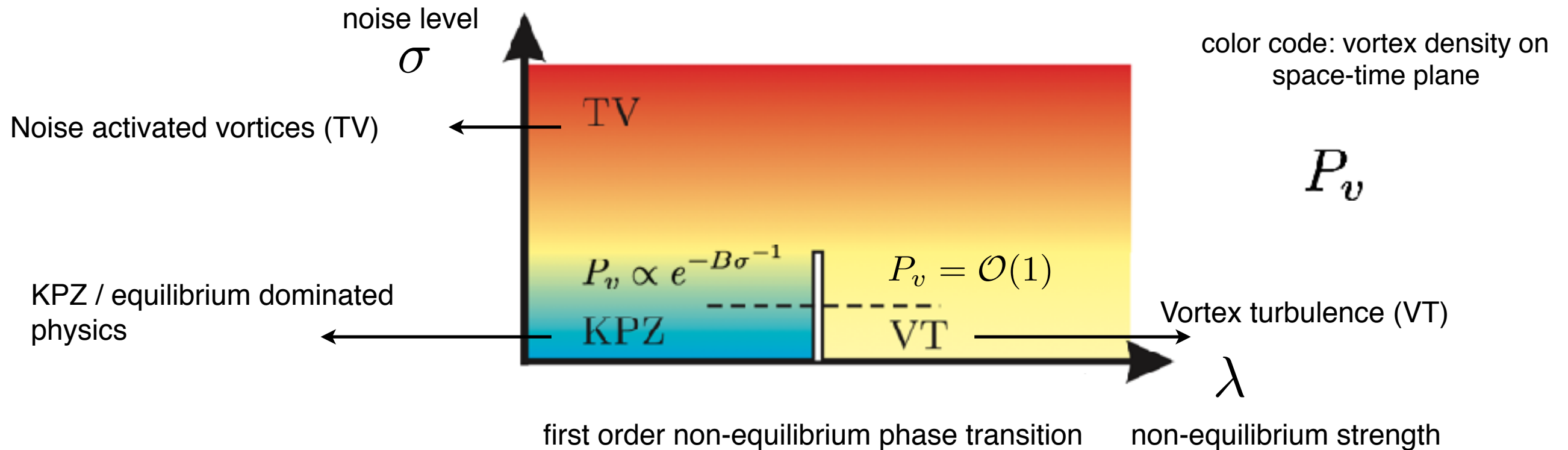
Kosterlitz-Thouless physics fragile to non-equilibrium perturbation

- Exponentially large in deviation from equilibrium sets challenge to experiment

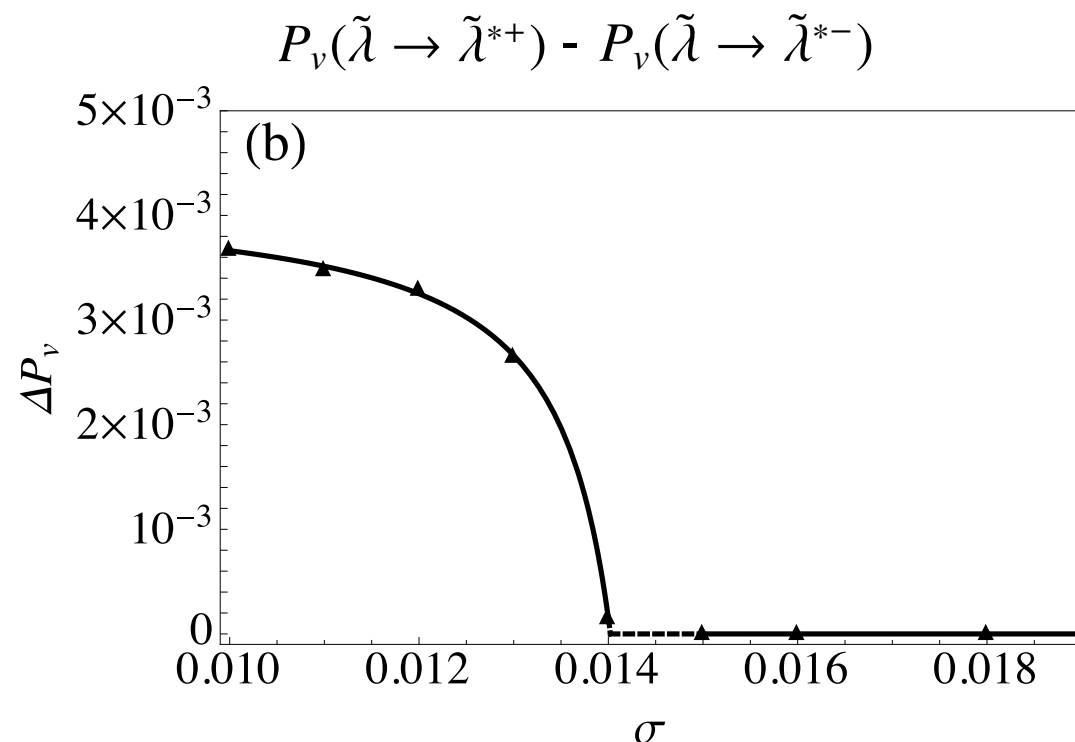
Strong non-equilibrium: Compact KPZ vortex turbulence

L. He, L. Sieberer, SD PRL (2017)

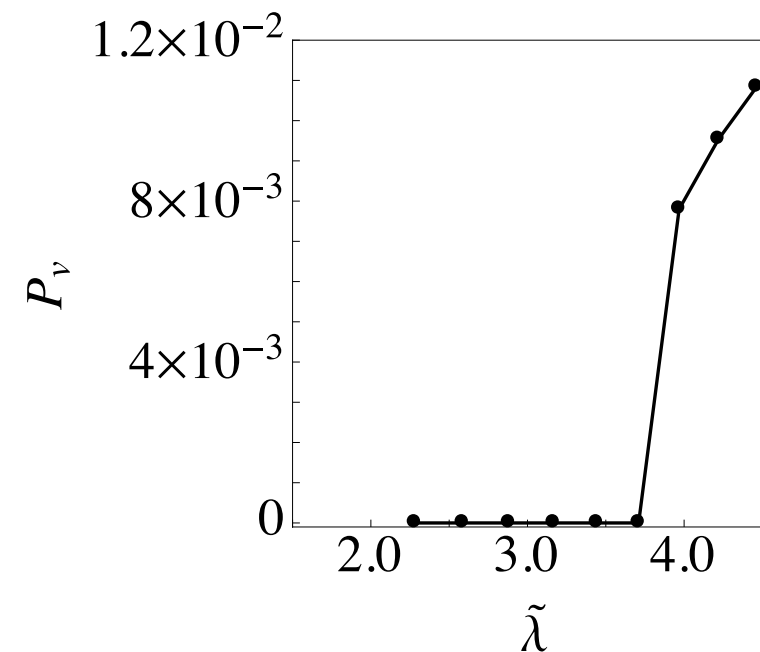
- In search of the phase diagram for XP condensates



one dimension (vortex = space-time defect)



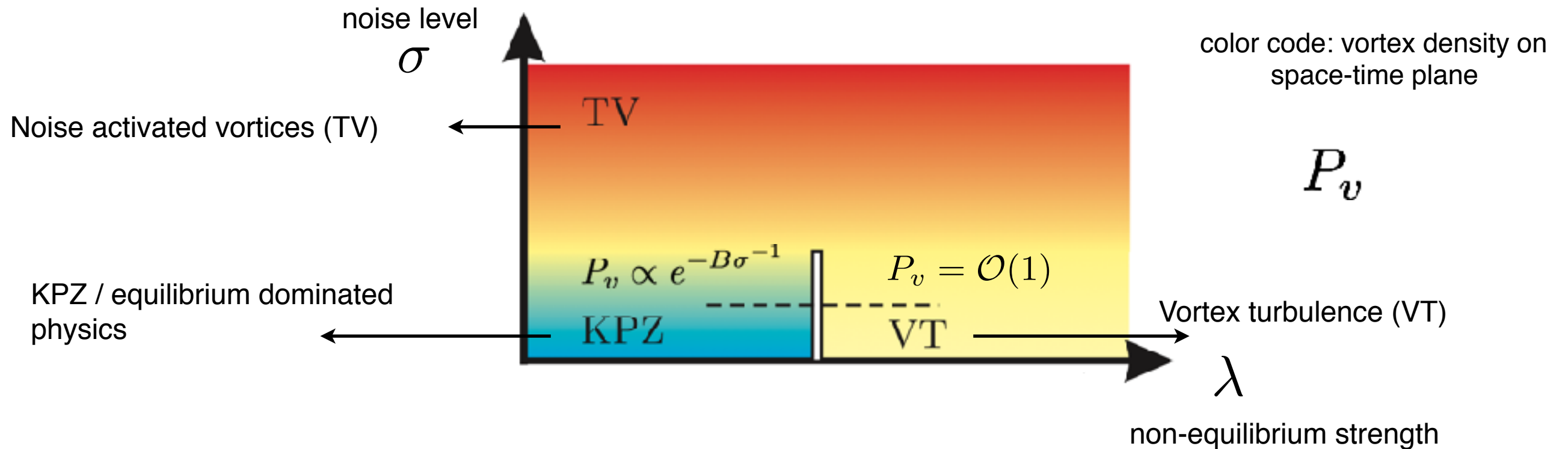
two dimensions (preliminary data)



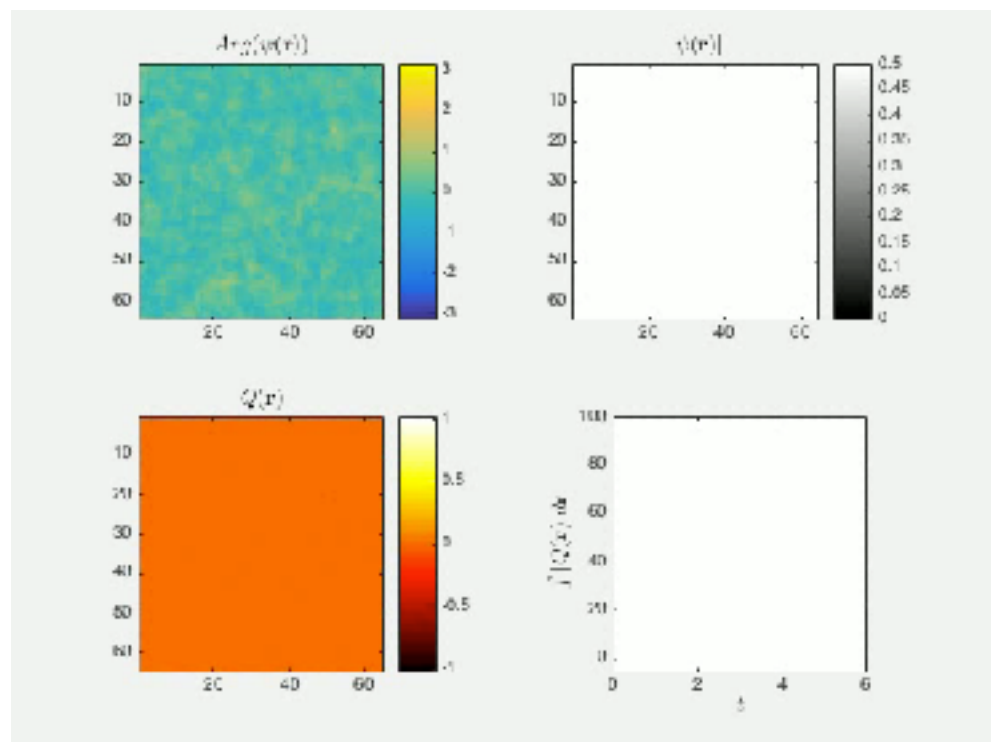
Strong non-equilibrium: Compact KPZ vortex turbulence

L. He, L. Sieberer, SD PRL (2017)

- numerical solution of stochastic GPE and c-KPZ:

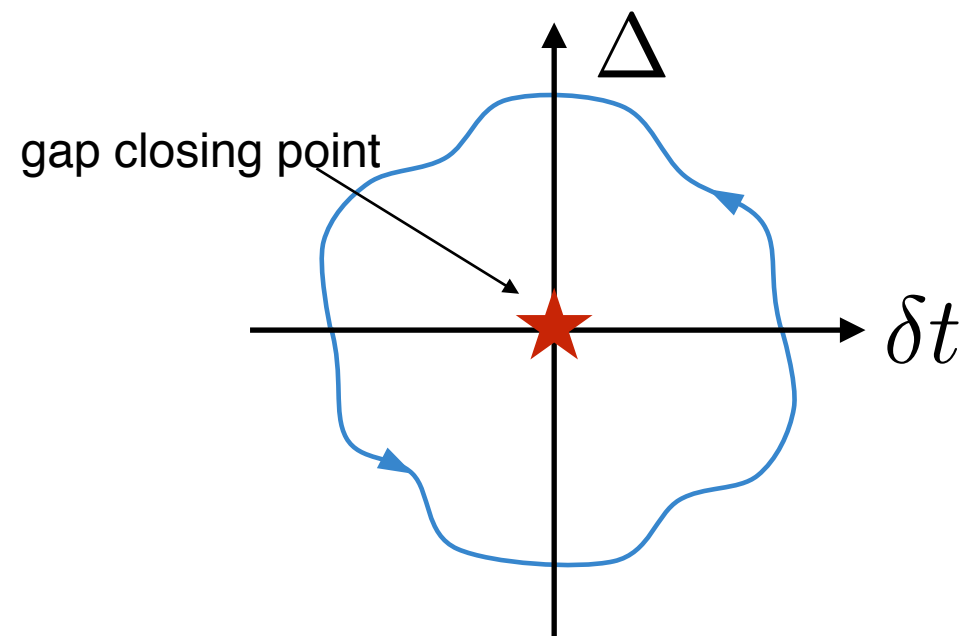


- mechanism of the phase transition



→ deterministic limit: how does the system generate its own noise?

II. Topological quantization of observables in mixed quantum states



$$P = \frac{1}{2\pi} \text{Im} \ln \langle \psi_0 | \hat{T} | \psi_0 \rangle = \frac{1}{2\pi} \varphi_Z \quad \varphi_Z = \oint dk A_0(k)$$

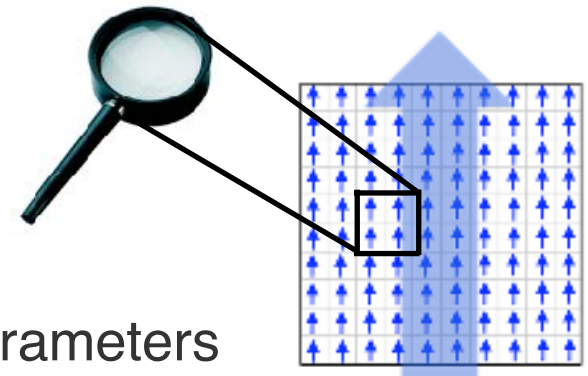
Motivation: Topological States of Matter

- Characteristics of topological states of matter

Nayak et al., RMP (2008)

Hasan and Kane, RMP (2010)

Qi and Zhang, RMP (2011)



magnetization visible locally

- New paradigm for ordered states of matter: beyond Landau's local order parameters

- Nonlocal "order parameters": Topological invariants

- Topo

All statements for pure quantum states at zero temperature!

- What about finite temperatures?

- What about non-equilibrium states?

er et al. PRB (2008); Kitaev (2009)
Zirnbauer, PRB (1997)

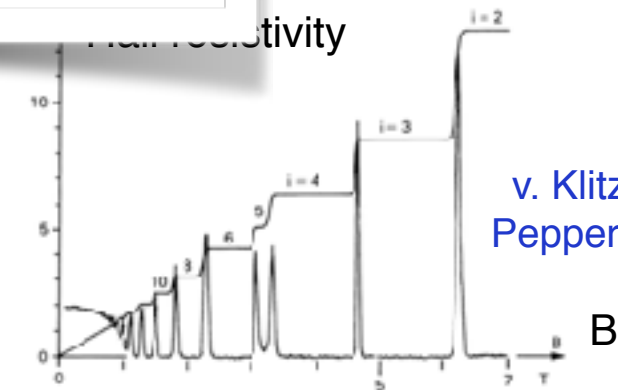


- Observable consequences: e.g. Quantum Hall effect

- quantized bulk responses (e.g. Hall response)

- robust edge states (e.g. chiral edge modes)

- may carry non-abelian exchange statistics (e.g. Majorana edge states in topological superconductors)



v. Klitzing, Dorda, Pepper, PRL (1980)



Majorana edge mode

- Applications: topological quantum memories and computing

Motivation: Topological States of Matter for Mixed States?

- Discouraging common wisdom: topological quantization corrupted at finite T
- e.g. Quantum Hall effect

- Hall respon

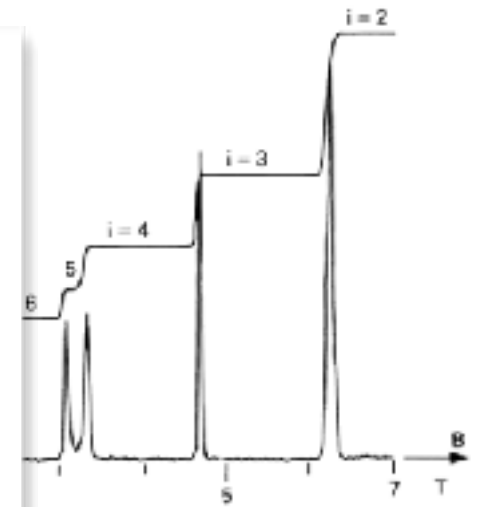
σ_x

Is topological quantization of observables a privilege of equilibrium ground states? Of pure states?

- Finite temp

σ_x

A way out: the focus is on single-particle observables

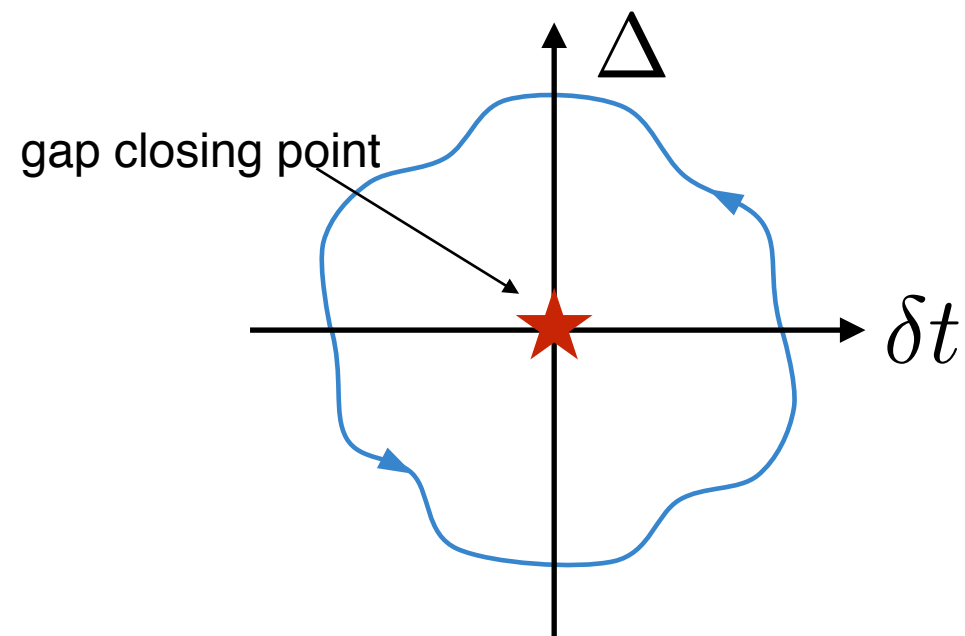


- Formal constructions of topological invariants possible for density matrices
- But not unique and related to system observables

Viyuela et al. PRL 2014, PRL 2014, Huang, Arovas PRL 2014, Budich, SD PRB (2015)

Viyuela et al., arxiv (2016)

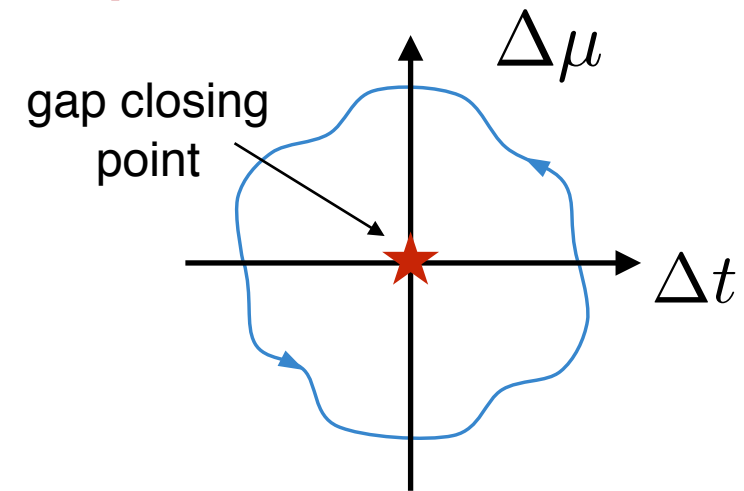
Topological quantization of observables at zero temperature



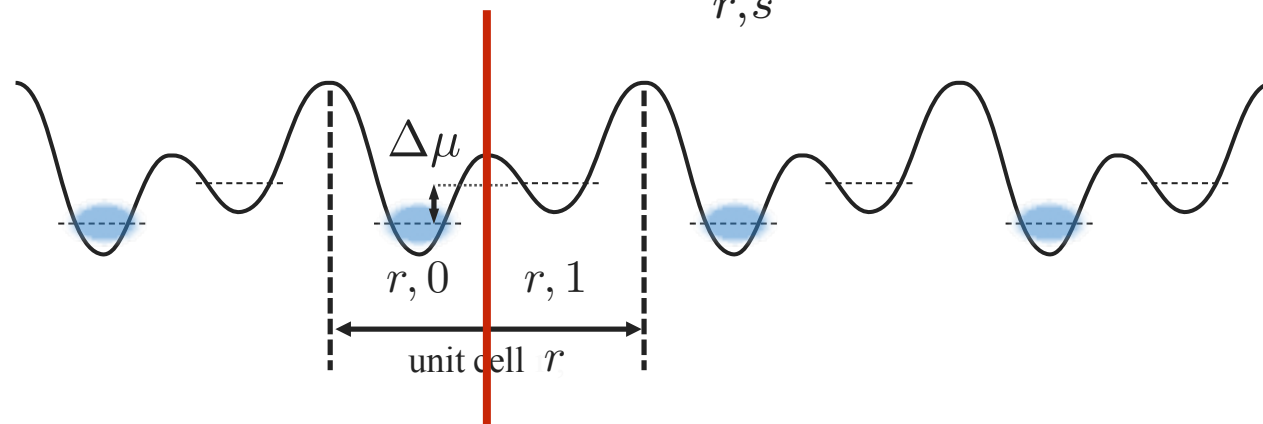
$$P = \frac{1}{2\pi} \text{Im} \ln \langle \psi_0 | \hat{T} | \psi_0 \rangle = \frac{1}{2\pi} \varphi_Z \quad \varphi_Z = \oint dk A_0(k)$$

Topological quantization of observables: zero temperature

- Prime example: adiabatic Thouless pump in one dimension
- Pumping of one unit of charge if and only if a critical point is encircled
- Starting point: Rice-Mele model



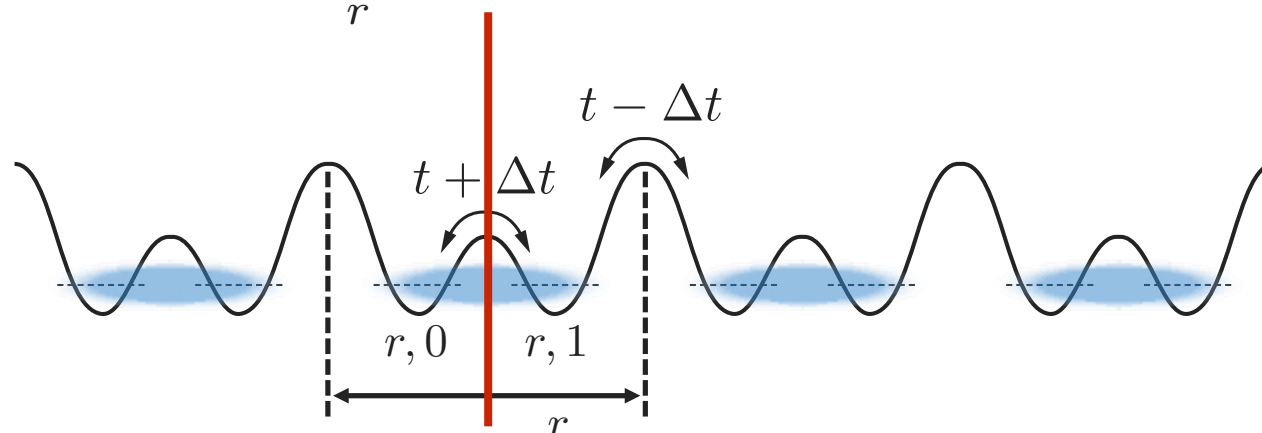
$$H_{\text{RM}} = H_{\text{SSH}} - \frac{\Delta\mu}{2} \sum_{r,s} (-1)^s a_{r,s}^\dagger a_{r,s}$$



Rice, Mele, PRL (1982)

- Special case: SSH model

$$H_{\text{SSH}} = - \sum_r \left[(t + \Delta t) a_{r,1}^\dagger a_{r,0} + (t - \Delta t) a_{r+1,0}^\dagger a_{r,1} \right] + \text{h.c.}$$

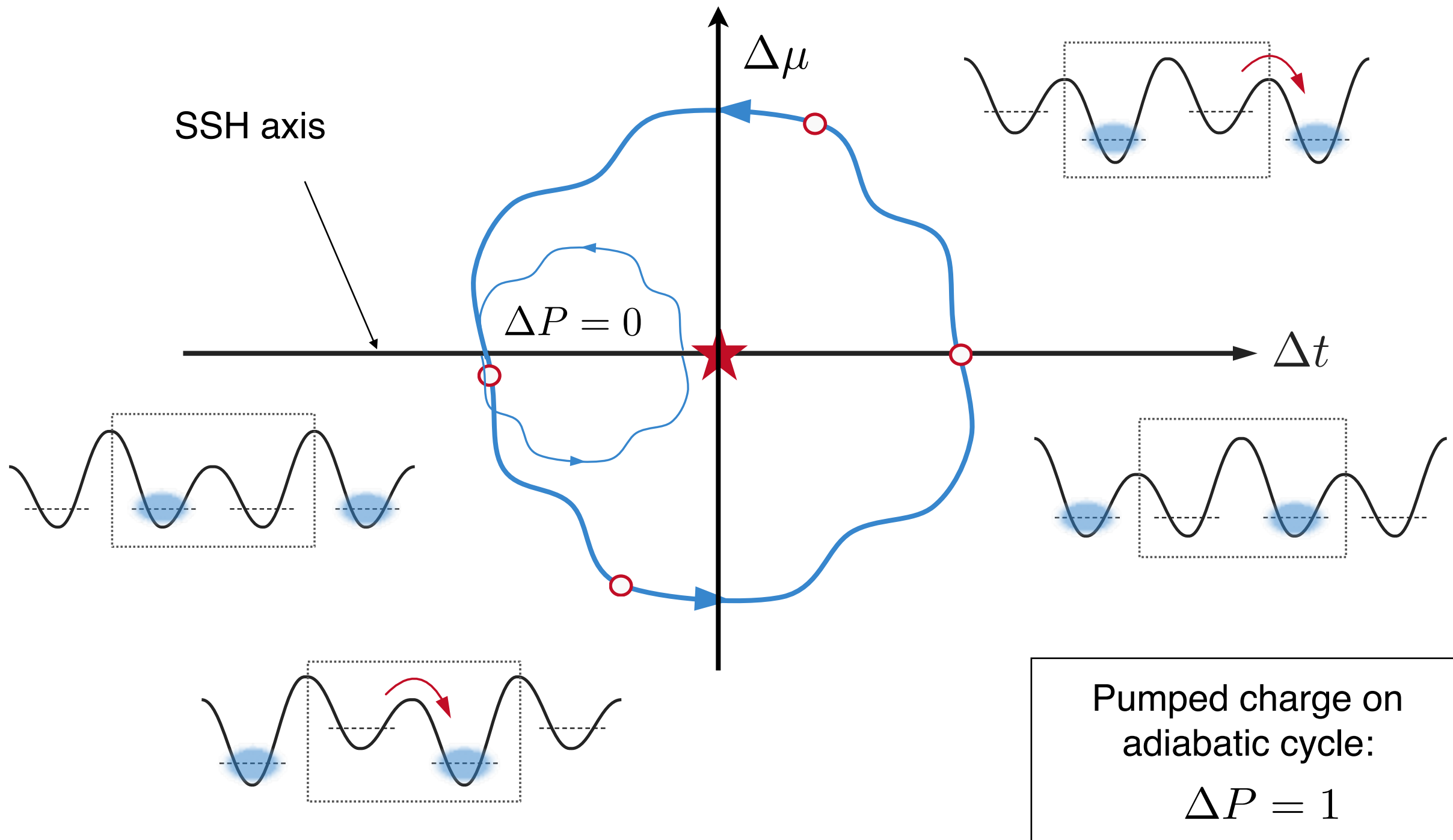


Su, Schrieffer, Heeger, PRL (1979)

Gap closing point at $\Delta t = 0$

Thouless charge pump: intuition

$$H_{\text{RM}} = H_{\text{SSH}} - \frac{\Delta\mu}{2} \sum_{r,s} (-1)^s a_{r,s}^\dagger a_{r,s}$$



What is topological about it?

Thouless, PRB (1983)
 Thouless, Niu, J. Phys. A (1984)
 Resta, PRL (1998) ($\hbar = e = 1$)

- More precisely: demonstrate topological quantization of accumulated charge for ground states:

$$\Delta P = \oint dt I(t) \quad I = \langle \psi_0 | \frac{1}{M} \hat{P} | \psi_0 \rangle$$

Accumulated charge
Current integrated over adiabatic cycle

- Resta: current can be written as total derivative Resta, PRL (1998)

$$I(t) = \partial_t P(t)$$

- with “Resta polarization”

$$P = \frac{1}{2\pi} \text{Im} \ln \langle \psi_0 | \hat{T} | \psi_0 \rangle, \quad \hat{T} \equiv e^{i\delta k \hat{X}}$$

$$\hat{X} \equiv \sum_j \hat{x}_j$$

Position operator

- quantization is obvious:

$$\langle \psi_0 | \hat{T} | \psi_0 \rangle(t) = e^{i\varphi(t)}$$

$$\delta k = 2\pi / L$$

➔ Is it a topological quantization? What is the value of ΔP ?

What is topological about it?

- Topological origin:

- T generates translations of all single-particle momenta $k \rightarrow k - \delta k$

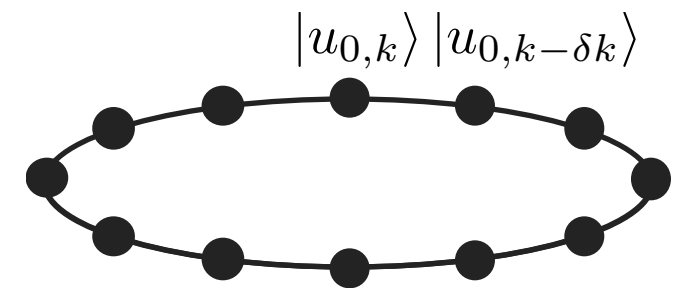
$$P = \frac{1}{2\pi} \text{Im} \ln \langle \psi_0 | \hat{T} | \psi_0 \rangle, \quad \hat{T} \equiv e^{i\delta k \hat{X}}$$

- focus on Rice-Mele: non-interacting, translation invariant [real space] 2 band model:

- $|\psi_0\rangle$ as Slater determinant of ground state Bloch functions:

$$P = \frac{1}{2\pi} \text{Im} \ln \prod_k \langle u_{0,k} | u_{0,k-\delta k} \rangle$$

Wilson loop



- Introduce a gauge connection

$$\langle u_{0,k} | u_{0,k-\delta k} \rangle = e^{i\delta k A_0(k)} \quad A_0(k) = i \langle u_{0,k} | \partial_k u_{0,k} \rangle$$

- Identifies Resta polarization as **geometric phase (Zak phase)**

$$P = \frac{1}{2\pi} \varphi_Z \quad \varphi_Z = \oint dk A_0(k)$$

What is topological about it?

- Topological origin of accumulated charge:

$$\Delta P = \oint dt \partial_t P(t) = \frac{1}{2\pi} \oint dt dk \underbrace{\partial_t A_0(k, t)}_{\text{Berry connection}} = \frac{1}{2\pi} \oint dt dk \underbrace{F_0(k, t)}_{\text{Berry curvature}} = C \in \mathbb{Z}$$

Chern number

Berry connection

Berry curvature

$$A_{\alpha,0} \equiv i \langle u_{k,t,0} | \partial_{\alpha} u_{k,t,0} \rangle$$

$$F_0 \equiv \partial_t A_{k,0}(k, t) - \partial_k A_{t,0}(k, t)$$

- Summary (T = 0)

Accumulated charge
(observable)



Accumulated Resta
polarization



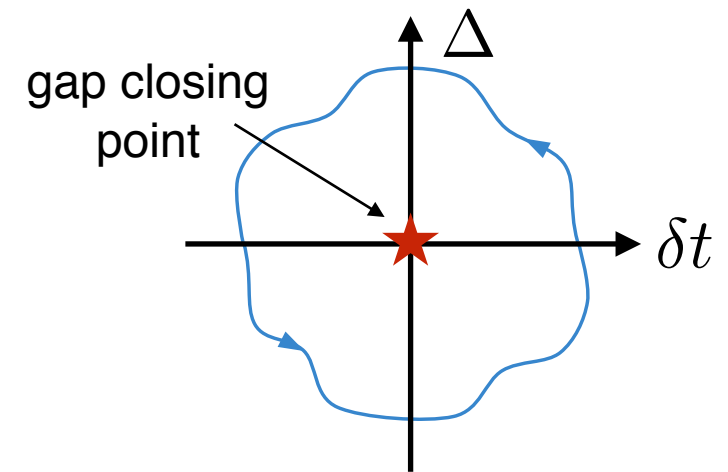
Topological
invariant

$$\oint dt I = \Delta P = C$$

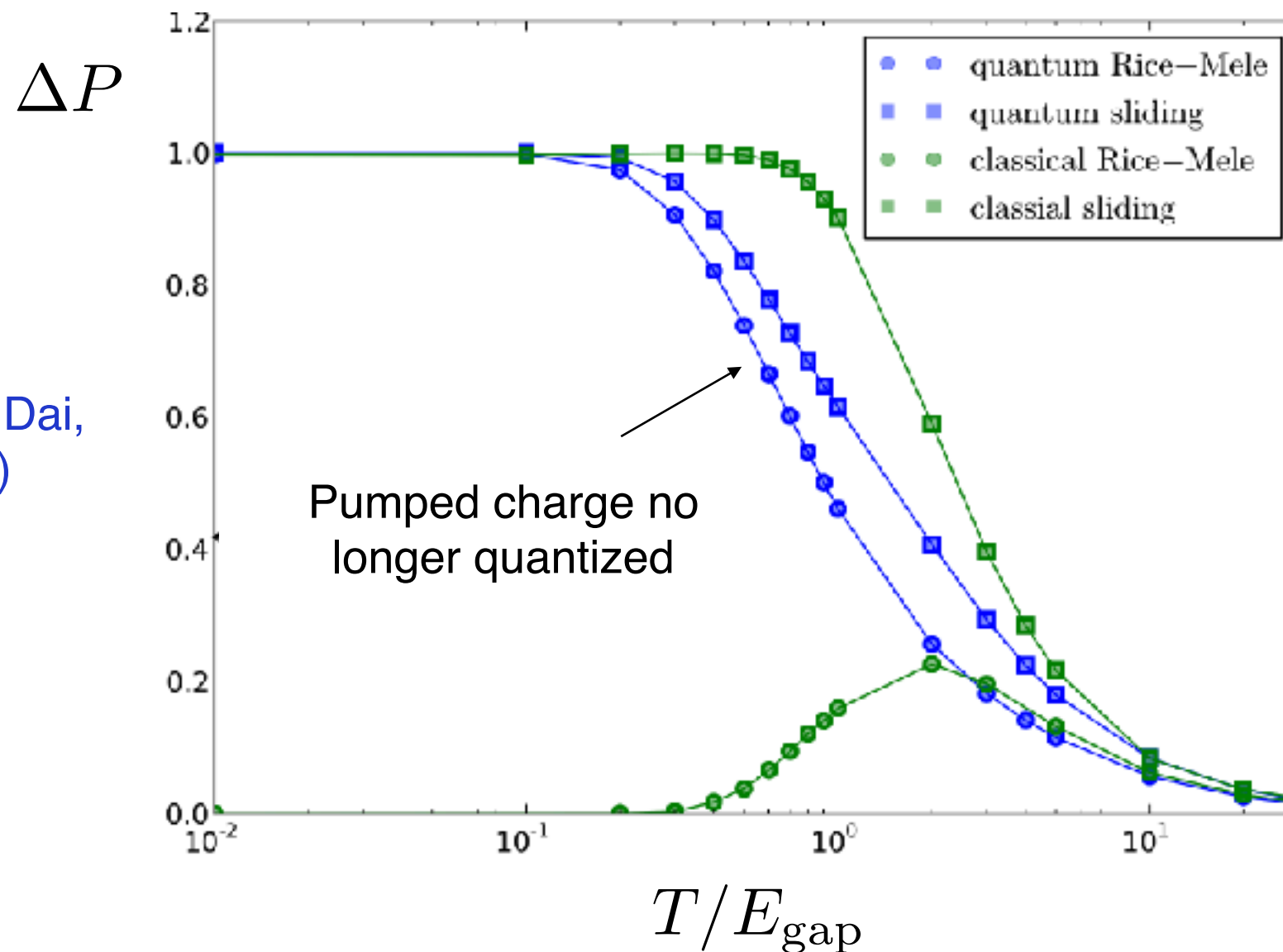
→ We identified a topologically quantized observable

Failure of topological quantization at finite temperature

- Common wisdom: topological quantization corrupted at finite T (e.g. conductance in quantum Hall effect)
- Concrete example: Accumulated charge through Thouless pump

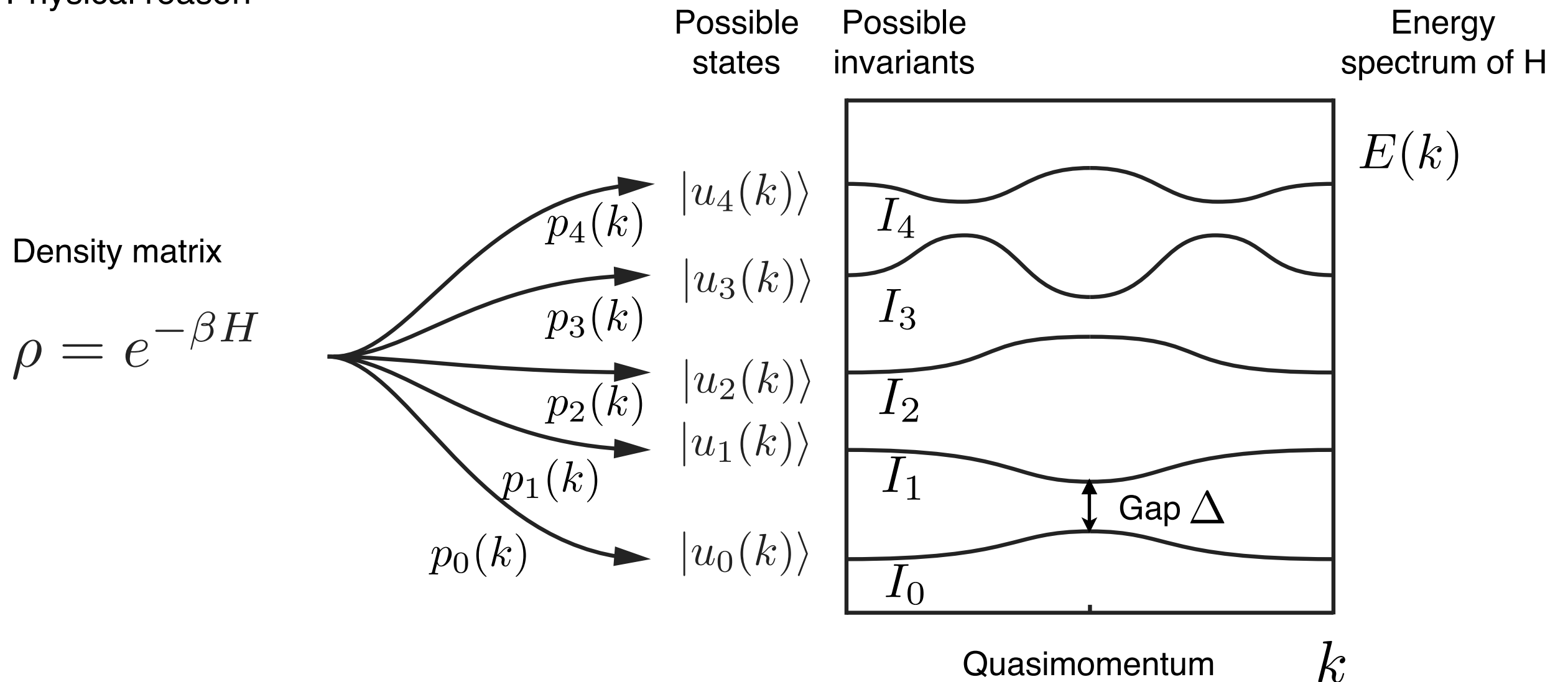


Wang, Troyer, Dai,
PRL (2013)



Failure of topological quantization at finite temperature

- Physical reason

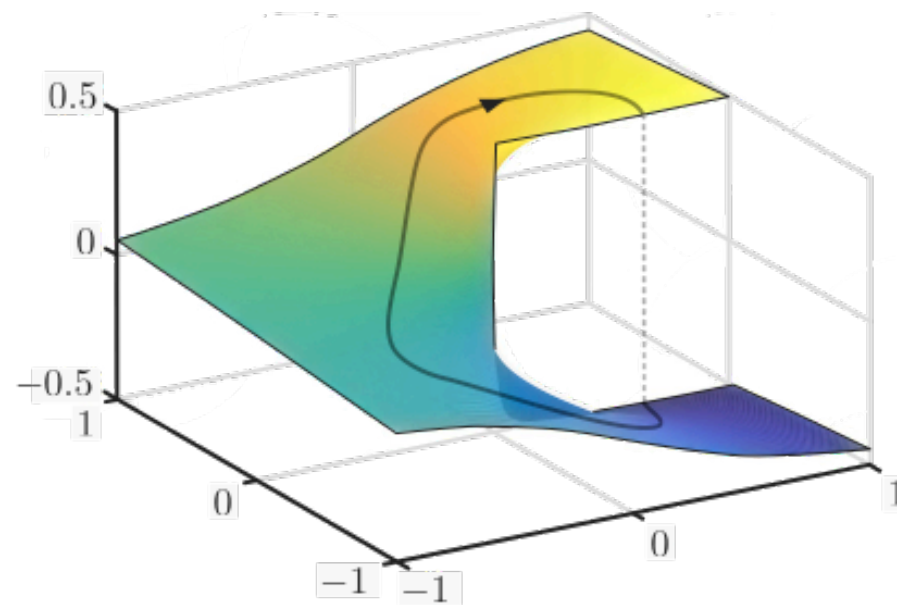


- Every band has its own Berry connection and Chern number

- Probability of finding invariant n : $p_n(k) = e^{-\beta E_n(k)}$

- Intensive** corrections quantization only possible up to **intensive** corrections $\sim e^{-\beta \Delta}$?

Topological quantization of observables in mixed quantum states



$$\varphi_E = \text{Im Tr} \ln \left(\mathbf{1} + \prod_k e^{-\beta \epsilon_k \sigma_z} e^{i \delta k \mathcal{A}_k \cdot \sigma} \right)$$

Key Results

- **Ensemble geometric phase:** Natural extension of the Resta polarization / Zak phase to mixed states

$$\varphi_E = \text{Im} \ln \text{Tr} \left(\rho e^{i\delta k \hat{X}} \right) \quad \delta k = \frac{2\pi}{L}$$

- Reduction to Zak phase in thermodynamic limit
- equilibrium or non-equilibrium density matrix

$$\varphi_E = \varphi_Z + \Delta(N) \quad \Delta(N) \xrightarrow{N \rightarrow \infty} 0 \quad \varphi_Z = \oint dk A_{k,0}, \quad A_{k,0} = i \langle u_{k,0} | \partial_k | u_{k,0} \rangle$$

- ➔ Emergent geometric phase, different from formal (Uhlmann) approaches

- Exact topological quantization for cyclic adiabatic parameter changes (correction terms do not contribute to winding of Zak phase)

$$\Delta\varphi_E = \oint d\phi \partial_\phi \varphi_E = C$$

Chern number of lowest band

- Observability via many-body interferometry

➔ Topological quantization persists in suitable many-body correlators

Projection mechanism

- Gaussian states (e.g. real space)

$$\rho = \frac{1}{\mathcal{Z}} \exp \left(- \sum_{i,j} \hat{a}_i^\dagger G_{ij} \hat{a}_j \right) \quad \Leftrightarrow \quad \langle \hat{a}_i^\dagger \hat{a}_j \rangle = [f(G)]_{ij} \quad f(G) = (e^G + \mathbf{1})^{-1}$$

- G hermitean matrix, equilibrium or non-equilibrium, in equilibrium $G = \beta H$

- many-body correlator $\varphi_E = \text{Im} \ln \text{Tr}(\rho \hat{T}) = \text{Im} \text{Tr} \ln [\mathbf{1} + (\mathbf{1} - f(G))^{-1} f(G) T]$

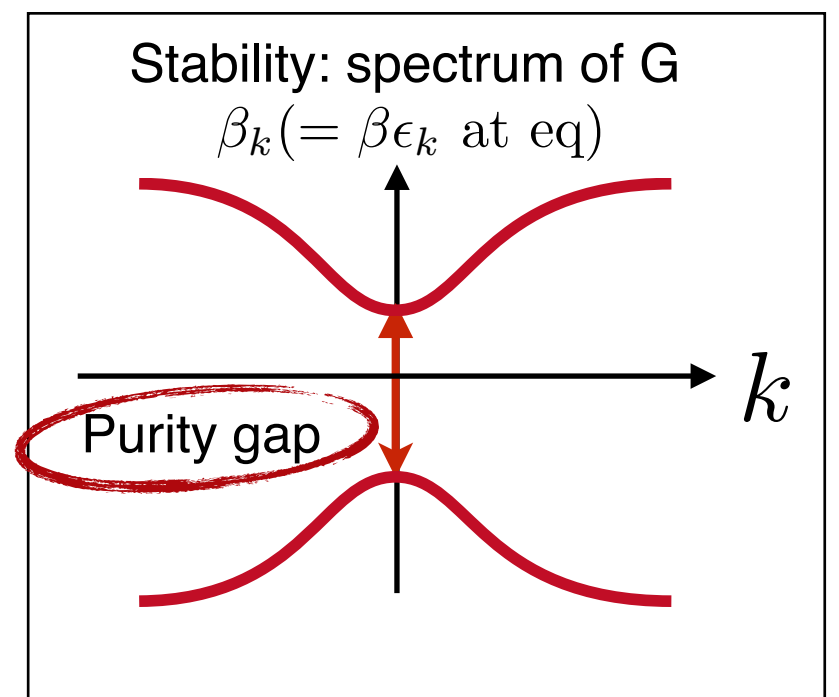
- interpretation (momentum space): $G = \sum_k G_k |k\rangle \langle k|, \quad T = \sum_k \mathbf{1} |k+1\rangle \langle k|$

- treat G as ordinary Hamiltonian: “Bloch basis”

$$G_k = U_k B_k U_k^\dagger, \quad B_k \equiv \text{diag}_s(\beta_{k,s})$$

- B_k collects “purity eigenvalues” Probability
- U_k collects eigenvectors of G “Bloch functions” Topology

$$G_k |u_{k,s}\rangle = \beta_{k,s} |u_{k,s}\rangle$$



➔ Full analogy to pure state discussion, but no mention of equilibrium

Projection mechanism

- Ensemble geometric phase

$$\varphi_E = \text{Im} \ln \text{Tr}(\hat{T}) = \text{Im} \text{Tr} \ln[\mathbf{1} - f(G) + f(G)T] = \text{Im} \text{Tr} \ln[\mathbf{1} + (\mathbf{1} - f(G))^{-1} f(G)T]$$

- Evaluate in momentum space

$$G = \sum_k G_k |k\rangle \langle k|, \quad T = \sum_k \mathbf{1} |k+1\rangle \langle k|$$

- many-body correlator

$$\varphi_E = \text{Im} \ln \text{Tr} \left(\rho e^{i\delta k \hat{X}} \right)$$

$$= \text{Im} \text{Tr} \ln \left(\mathbf{1} + \prod_k \frac{f(B_{k+1})}{\mathbf{1} - f(B_{k+1})} U_{k+1}^\dagger U_k \right) \quad \text{Momentum and band space}$$

eg. two bands, particle hole symmetry

$$e^{-\beta_k \sigma_z}$$

occupation probability

$$e^{i\delta k \mathcal{A}_k \cdot \sigma}$$

translation operator

$$\mathcal{A}_k \cdot \sigma = \sum_{i=0}^3 \mathcal{A}_k^i \sigma_i$$

➔ Path ordered matrix product, reminiscent of Wilson loop

Projection mechanism (two bands, equilibrium)

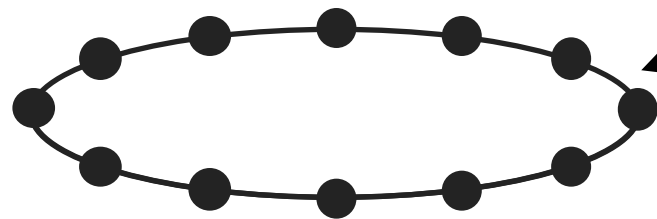
- many-body correlator, e.g. two bands, particle hole symmetry

$$\varphi_E = \text{ImTr} \ln \left(\mathbf{1} + \prod_k \underbrace{e^{-\beta_k \sigma_z}}_{\text{probability}} \underbrace{e^{i\delta k \mathcal{A}_k \cdot \sigma}}_{\text{geometry}} \right)$$

$$\mathcal{A}_k \cdot \sigma = \sum_{i=0}^3 \mathcal{A}_k^i \sigma_i$$

- Assume all matrices commute

Brillouin zone



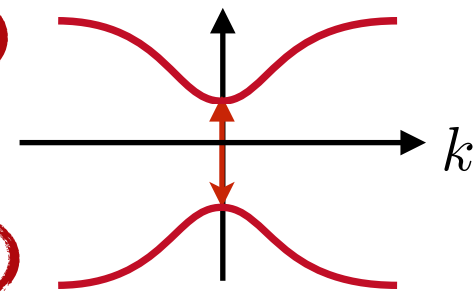
- Key point:

- Upper band: exponentially (in system size) suppressed

$$(e^{-\beta_k})^N = e^{-N\beta_k}$$

- Lower band: exponentially (in system size) enhanced

$$(e^{+\beta_k})^N = e^{+N\beta_k}$$



➔ Effective **projection** onto lowest purity band = ground state

$$\varphi_E \rightarrow \text{ImTr} \ln e^{i \oint dk [P_{0,k} \mathcal{A}_k P_{0,k}]} = i \oint dk \langle u_{0,k} | \partial_k | u_{0,k} \rangle = \oint dk A_{0,k} = \varphi_Z$$

Projection mechanism (two bands, equilibrium)

- many-body correlator, e.g. two bands, thermal state, particle hole symmetry

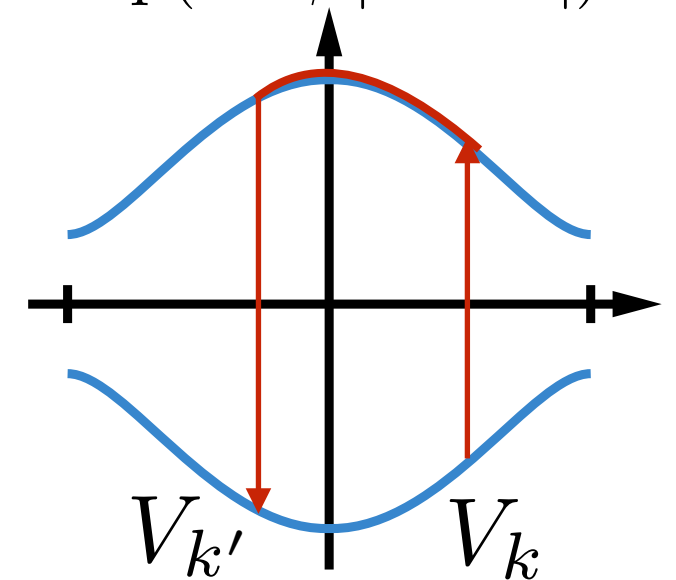
$$\varphi_E = \text{ImTr} \ln \left(\mathbf{1} + \prod_k \underbrace{e^{-\beta_k \sigma_z}}_{\text{probability}} \underbrace{e^{i\delta k \mathcal{A}_k \cdot \sigma}}_{\text{geometry}} \right)$$

$$\mathcal{A}_k \cdot \sigma = \sum_{i=0}^3 \mathcal{A}_k^i \sigma_i$$

- non-commuting parts: perturbative corrections

$$e^{i\delta k \sum_{i=0}^3 \mathcal{A}_k^i \sigma_i} = \underbrace{e^{i\delta k \sum_{i=0,3} \mathcal{A}_k^i \sigma_i}}_{\text{Diagonal, commutes}} + \underbrace{\delta k \sum_{i=1,2} \mathcal{A}_k^i \sigma_i}_{V_k \text{ transition between bands}} + \mathcal{O}(\delta k^2)$$

Excursion penalty
 $\sim \exp(-\Delta\beta |k - k'|)$



- Expand and sum all possible second order processes (analogous second order time dependent perturbation theory)

$$\varphi_E = \varphi_Z + \Delta(N) \quad \Delta(N) \sim [N\beta\Delta\epsilon]^{-2}$$

Ensemble geometric phase (EGP)

→ Emergent U(1) geometric phase in thermodynamic limit

Topological nature of accumulated phase for adiabatic cycle

- EGP difference integer quantized by construction (phase variable defined mod(2pi))

$$\frac{1}{2\pi} \Delta\varphi_E = \oint d\phi \partial_\phi \varphi_E(\phi) = \frac{1}{2\pi} (\varphi_E(\phi_f) - \varphi_E(\phi_i)) \in \mathbb{Z}$$

final/initial parameter of
 adiabatic cycle $\phi_f = \phi_i$

- This quantization is of topological origin: N dependent correction cannot contribute to integer value

$$\frac{1}{2\pi} \Delta\varphi_E = \frac{1}{2\pi} \oint d\phi \int_{\text{BZ}} dk \partial_\phi A_0(k, \phi) = \frac{1}{2\pi} \iint d\phi dk F_0(k, \phi) = C \in \mathbb{Z},$$

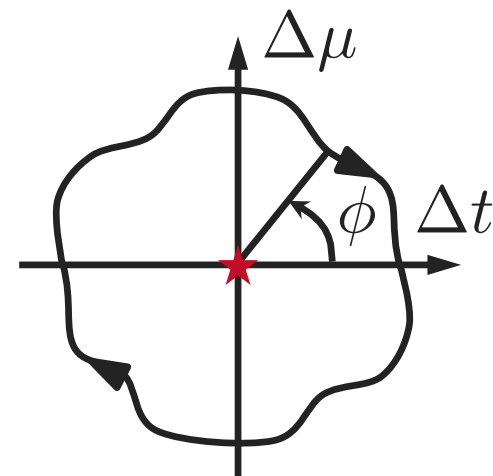
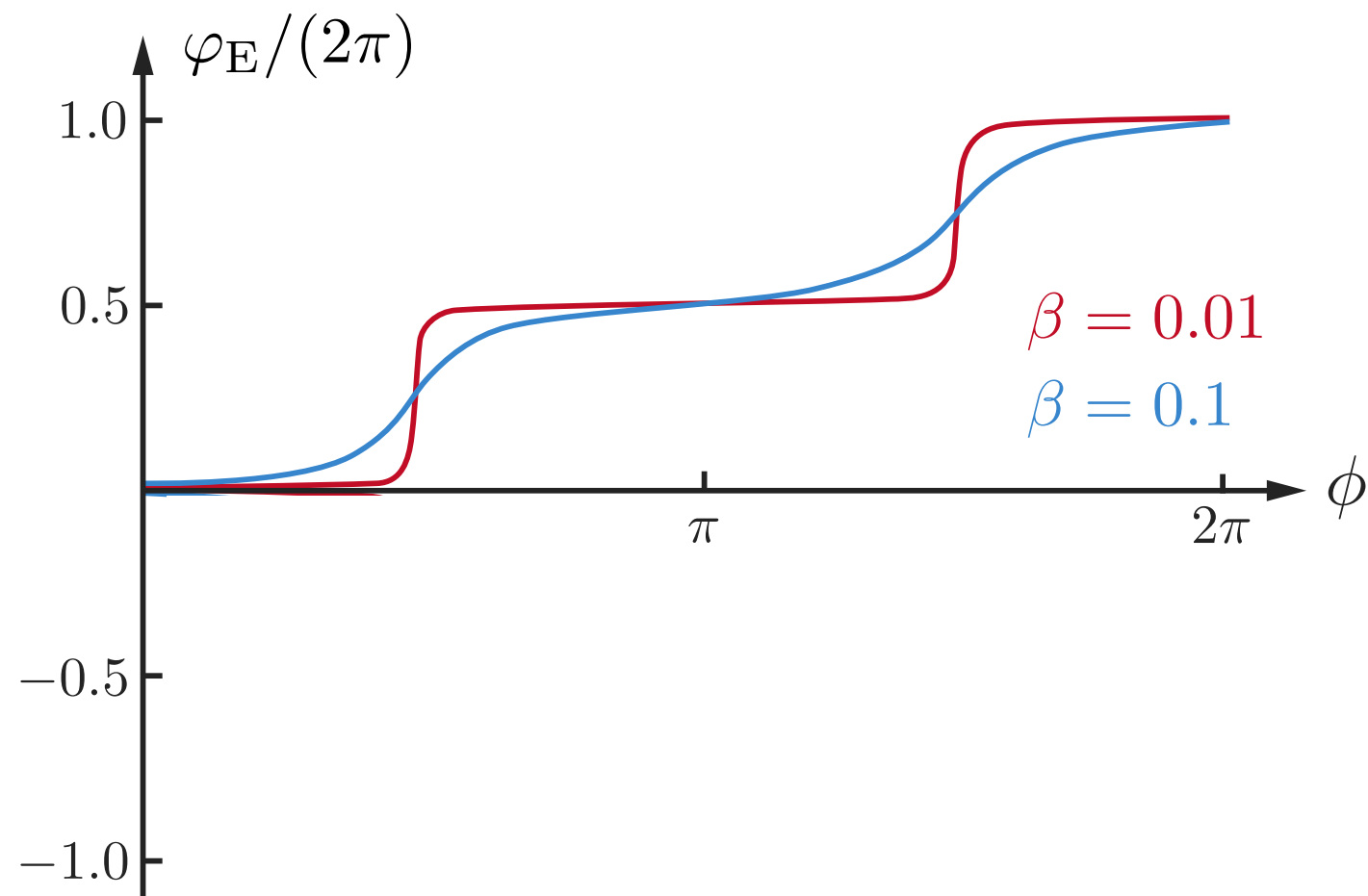
Berry connection of
lowest purity band

Berry curvature of
lowest purity band

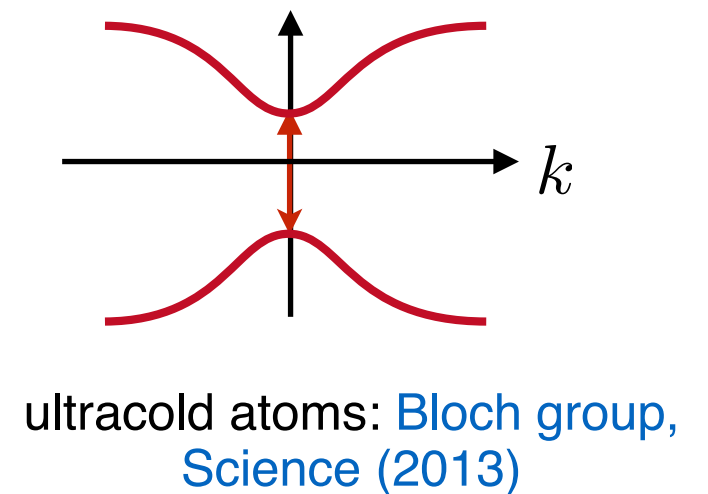
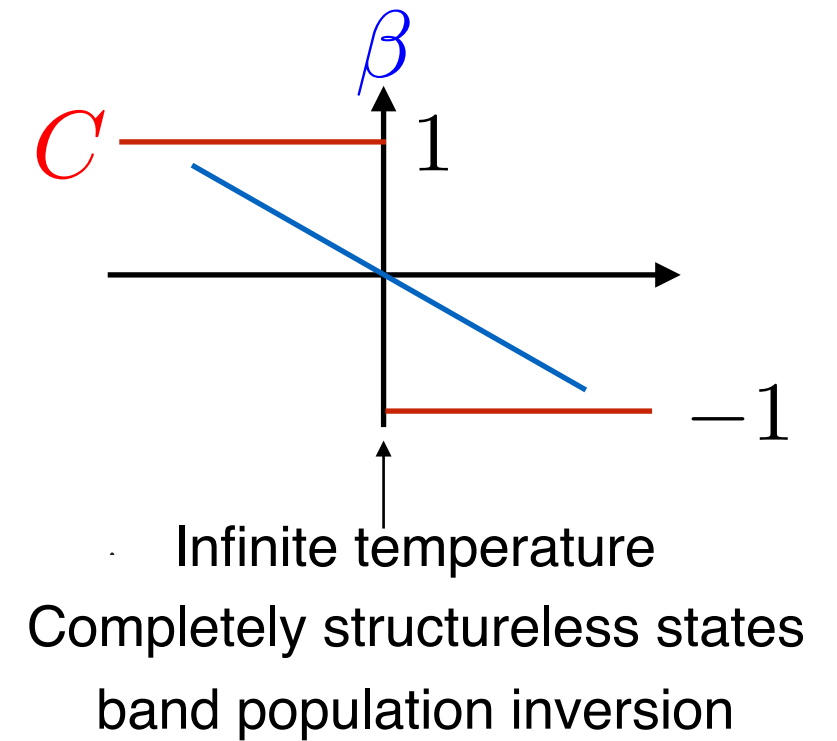
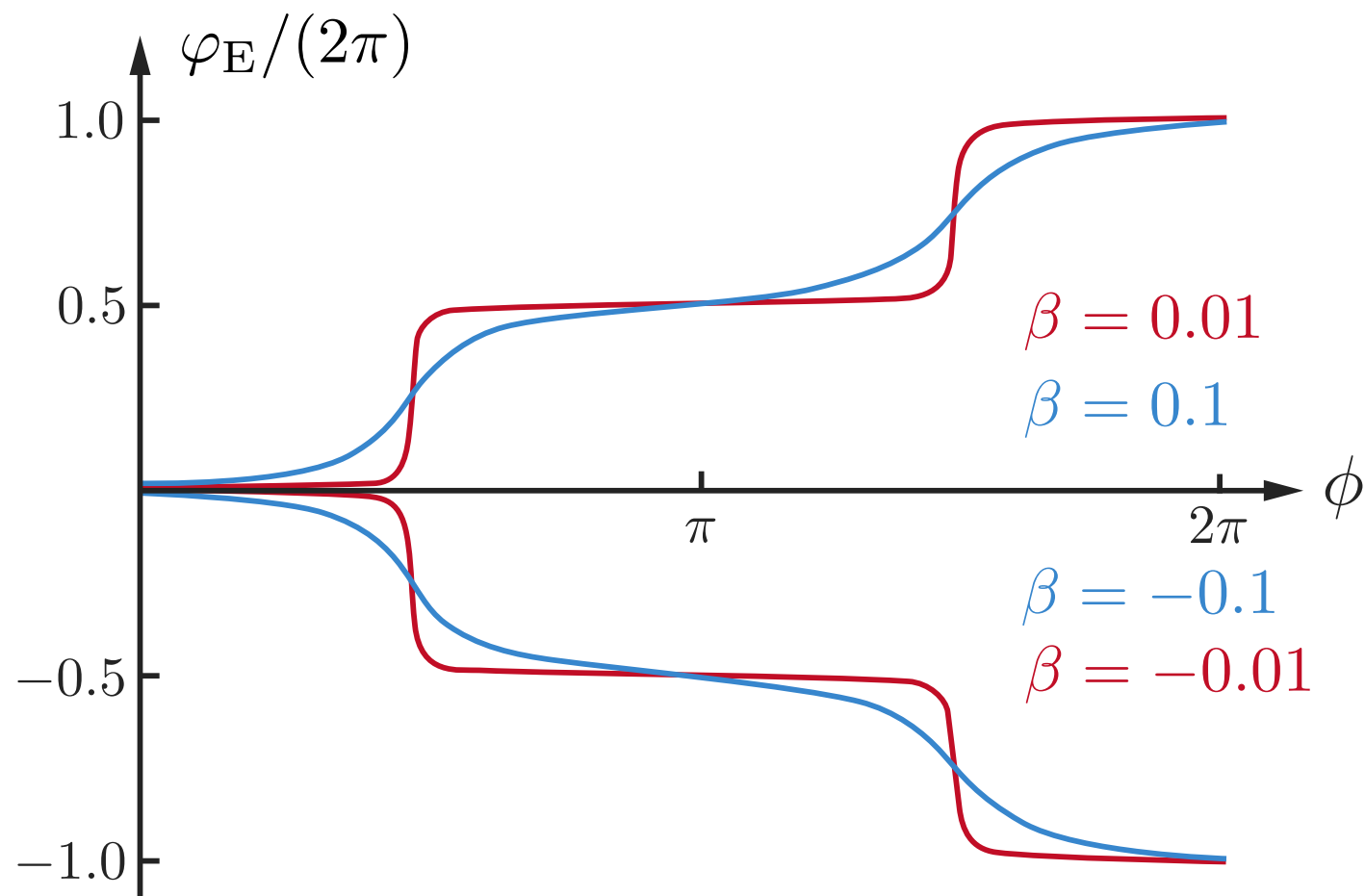
- *Value* of EGP difference connected to Chern number of lowest purity band,
i.e. the topology of the density matrix

➔ topological quantization of EGP *difference* in irrespective to system size N

Topological quantization at finite T



Infinite temperature topological phase transition



- Coordinate singularities for $\beta_k = \beta \epsilon_k = 0$
 - $\epsilon_k = 0$ for some k
 - $T = \infty$

➔ Topological phase transition at infinite temperature detected by EGP

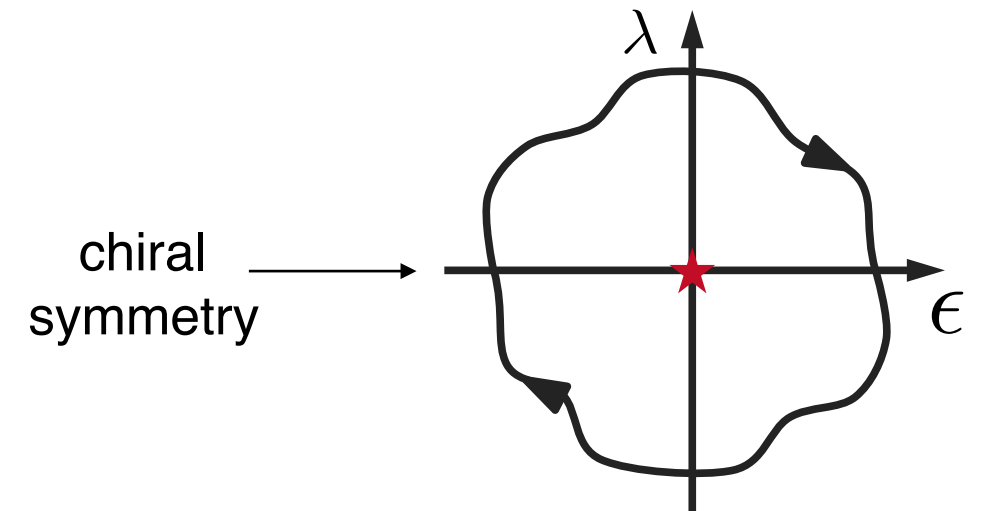
“Purity gap closings” and non-equilibrium phase transition

- Topological Lindblad dynamics: Driven open analog Rice-Mele model (Linzner et al. PRA (2016))

$$\partial_t \rho = \sum_{r,s} (2L_{r,s} \rho L_{r,s}^\dagger - \{L_{r,s}^\dagger L_{r,s}, \rho\})$$

$$L_{r,0} = \sqrt{1+\epsilon} \left[(1-\lambda) (\hat{a}_{r,0}^\dagger + \hat{a}_{r,1}) + (1+\lambda) (\hat{a}_{r,0} - \hat{a}_{r,1}^\dagger) \right]$$

$$L_{r,1} = \sqrt{1-\epsilon} \left[(1-\lambda) (\hat{a}_{r+1,0}^\dagger + \hat{a}_{r,1}) + (1+\lambda) (\hat{a}_{r+1,0} - \hat{a}_{r,1}^\dagger) \right]$$



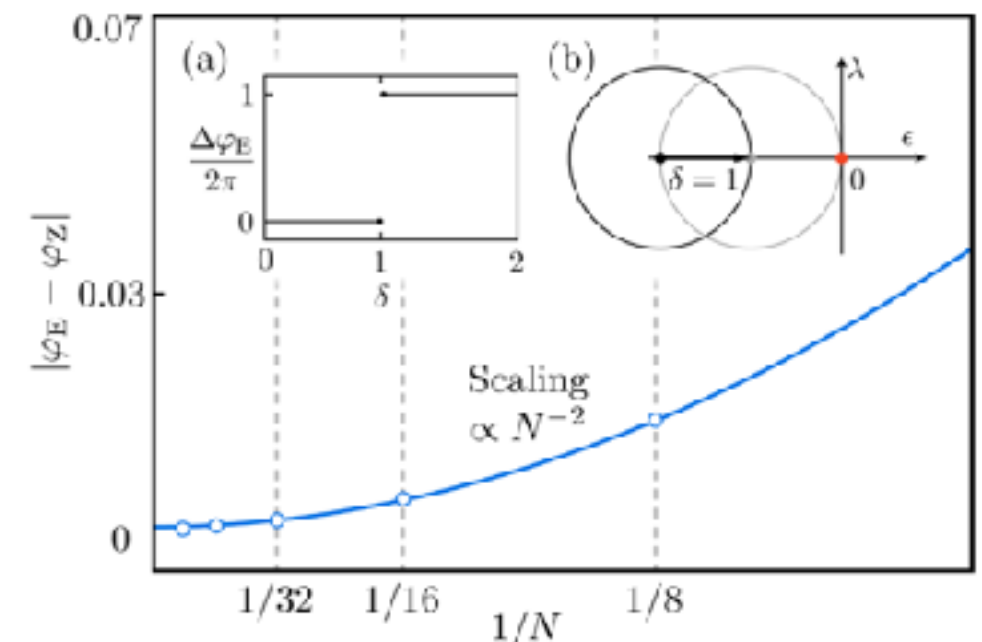
- Steady state density matrix shares symmetries of Rice-Mele model
- Spectral gap closing point is replaced by a purity gap closing point

$$\beta_{k_*}(\epsilon = \lambda = 0) = 0$$

- Spectral (damping) gap is open

$$\Delta_d > 0$$

- no divergent length/time scales at such critical point!



➔ EGP detects non-equilibrium topological phase transitions without thermodynamic signatures

Observability

- Measuring the expectation value of a unitary matrix
- Measuring a genuine many-body operator (not: single particle current)

$$\varphi_E = \text{Im} \ln \langle \hat{T} \rangle \quad \hat{T} = \exp(i\delta k \sum_i x_i \hat{a}_i^\dagger \hat{a}_i)$$

Smallest possible lattice momentum

$$\delta k = 2\pi/L$$

→ Interferometric detection for mesoscopic setup of ultracold atoms

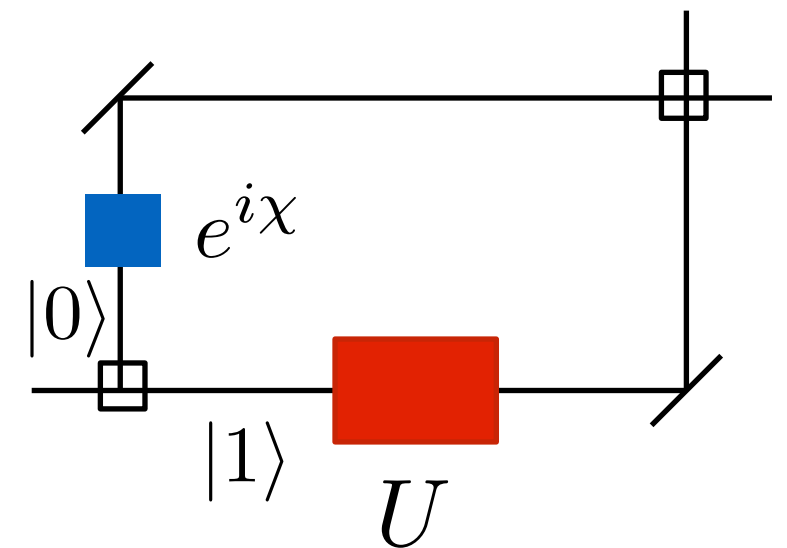
- Measuring the unitary: **Partial** trace of an interferometer-system unitary

Sjoeqvist et al., PRL (2000)

- Interferometer Hilbert space $|0\rangle, |1\rangle$
- Desired total unitary

$$U = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \otimes U + \begin{pmatrix} e^{i\chi} & 0 \\ 0 & 0 \end{pmatrix} \otimes \mathbf{1}$$

interferometer
fermion system



- Including beam splitters, mirrors, output intensity along $|0\rangle$

$$I \sim \text{tr}[U\rho U^\dagger + \rho + (e^{i\chi}\rho U^\dagger + h.c.)] \sim 1 + |\langle U \rangle| \cos[\chi - \arg \langle U \rangle]$$

Observability

- Here: Mach-Zehnder interferometer with a mirror replaced by fermion system
- Interferometer modes c, d coupling off-resonantly internal fermion states a, b , linear mode function profile

$$H_{\text{eff}} = \hat{X} \left[\eta_\gamma \hat{c}^\dagger \hat{c} + \eta_\delta \hat{d}^\dagger \hat{d} + \left(\eta_{\gamma\delta} \hat{c}^\dagger \hat{d} + \text{H.c.} \right) \right]$$

- input-output relation

$$\begin{pmatrix} \hat{c} \\ \hat{d} \end{pmatrix}_{\text{out}} = \underbrace{\langle \hat{T} \rangle}_{\text{Independent of probed state}} \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \hat{c} \\ \hat{d} \end{pmatrix}_{\text{in}}$$

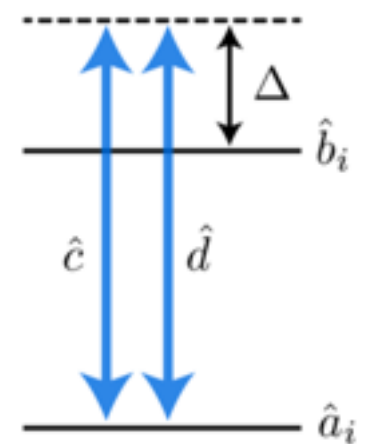
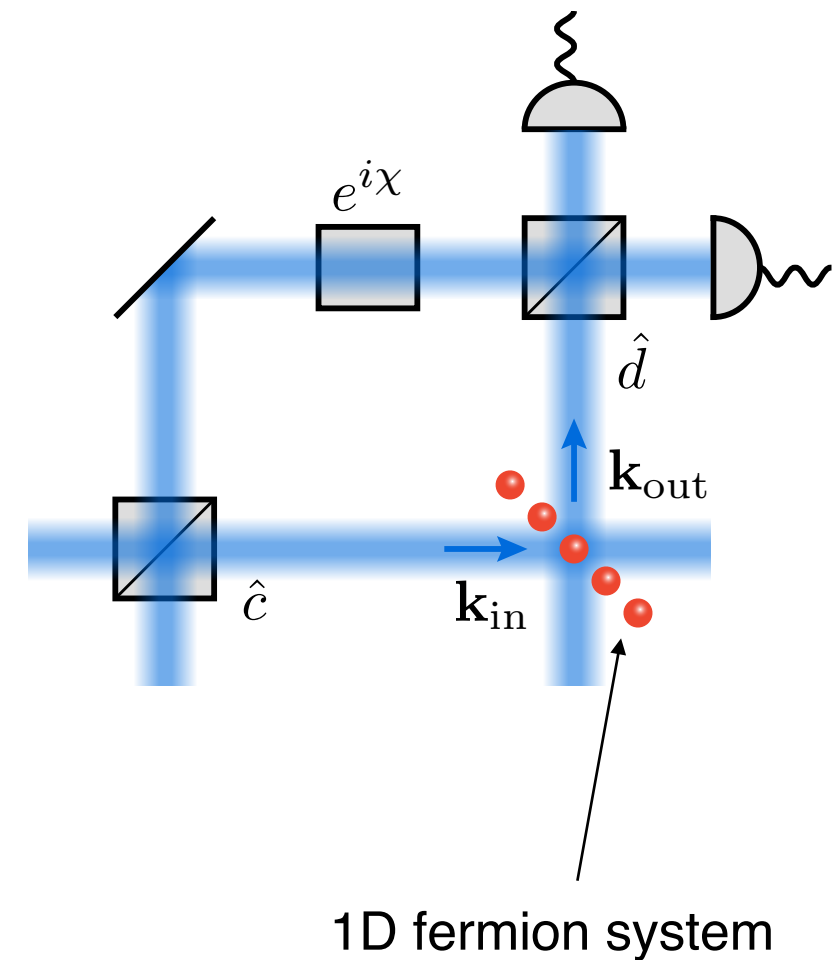
- EGP picked up by interferometer, measured via scanning reference phase χ

$$\langle \hat{T} \rangle = |\langle \hat{T} \rangle| e^{i\varphi_E}$$

- Visibility limited by amplitude of signal $|\langle \hat{T} \rangle| \approx \exp\left(-\frac{N}{2\pi} e^{-\Delta\beta/2}\right)$

➔ Interferometric measurement of finite density mesoscopic fermion systems

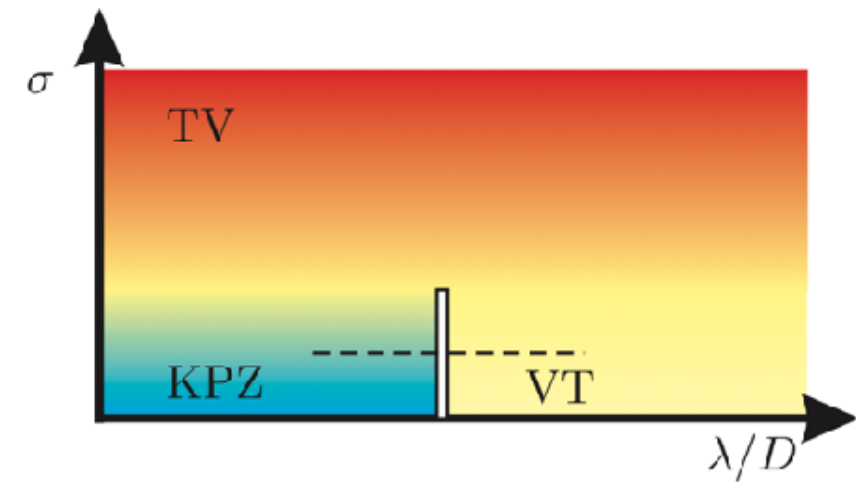
$$N \approx 40, \quad T \approx 0.2T_F$$



Conclusions & Outlook

I. Phase diagram of Exciton-Polaritons

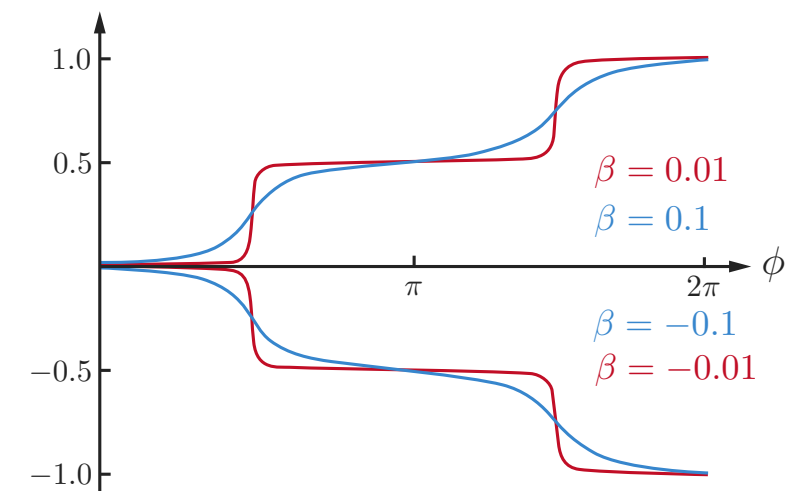
- Emergent Hamilton dynamics at strong non-equilibrium drive
- Explains phase transition as transition to chaos



II. Topology in mixed quantum states

- Exact topological quantization persists in certain many-body correlators

- Many-body purification mechanism and emergent Zak phase
- Detects non-equilibrium topological phase transitions
- Observable via interferometry in mesoscopic samples



- ➔ Validity of construction for interacting or disordered systems?
- ➔ Topological classification of higher dimensional mixed states via many-body correlators?
- ➔ Topology of bosonic non-equilibrium mixed states with equipartitioned occupations of selected bands (ultracold atoms, polaritons..)?