



Kinks, Cusps, and Plateaus in the Transition Dynamics of a Bloch State

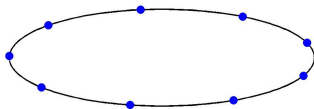
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Outline

- ▶ A very simple problem on a very simple model
 - ▶ transition dynamics of a Bloch state

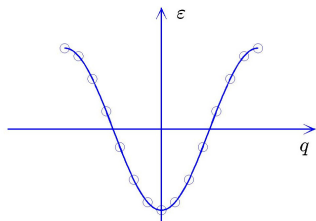
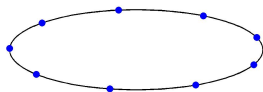


- ▶ Two scenarios
 - ▶ periodically driving case
 - ▶ kinks in the *perturbative* (weakly driving) regime
 - ▶ sudden quench case
 - ▶ cusps in the *non-perturbative* regime
- ▶ Open problems

The 1D tight binding model: an overview

The Hamiltonian

$$\hat{H}_{TBM} = - \sum_{n=0}^{N-1} (|n\rangle\langle n+1| + |n+1\rangle\langle n|).$$



The eigenvalues and eigenstates (Bloch states)

$$\varepsilon(q) = -2 \cos q, \quad q = 2\pi k/N,$$

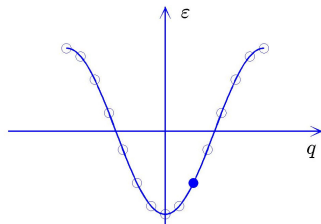
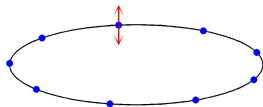
$$|k\rangle = \frac{1}{\sqrt{N}} \sum_{n=0}^{N-1} e^{iqn} |n\rangle.$$

$$k = 0, 1, \dots, N-1.$$

Scenario I: Local periodic driving

Initially, the particle is in some Bloch state $|\Psi(0)\rangle = |k_i\rangle$. Now start driving the system harmonically:

$$\begin{aligned}\hat{H}(t) &= \hat{H}_{TBM} + \hat{V}(t), \\ \hat{V}(t) &= U \sin \omega t |0\rangle\langle 0|.\end{aligned}$$



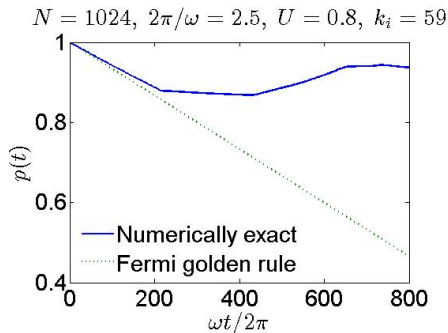
Question: how does the survival probability

$$P(t) = |\langle k_i | \Psi(t) \rangle|^2.$$

evolve?

Fermi golden rule fails

An example



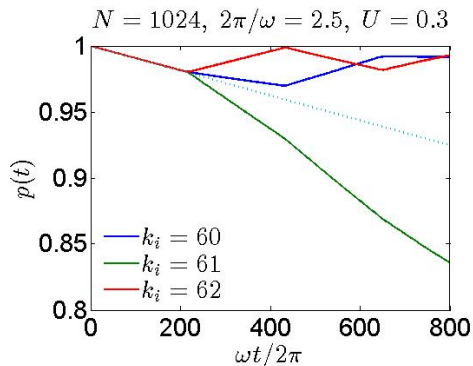
discrepancy is clear

But the solid curve is a little bit weird

Fermi golden rule **breaks down** and the problem has to be solved **non-perturbatively** if the driving amplitude U is large.

Kinks and Sudden bifurcation

A relatively weak driving (U small):

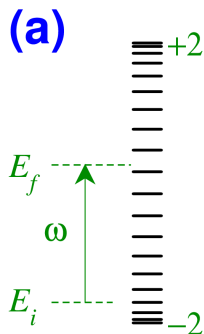


Three successive
Bloch state $|k_i\rangle$

Dotted line: Fermi
golden rule

- ▶ Kinks appear periodically in time.
- ▶ Piece-wise linear
- ▶ Initial level dependence (beyond some critical time)

Essential features of the model



- ▶ Equally spaced spectrum (locally)

$$E_{n+1} - E_n = \Delta.$$

- ▶ Equal coupling

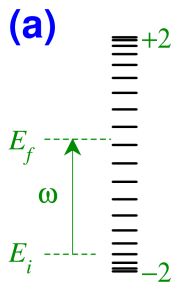
$$|\langle k_2 | \hat{V} | k_1 \rangle| = g = \frac{U}{N}.$$

- ▶ Small U means it is a *perturbative* effect.

A virtual spectrum

The transition probability, in the 1st order approximation, is

$$P_{trans} = 1 - P(t) \\ \simeq 4g^2 \sum_m \frac{\sin^2[(E_m - E_f)t/2]}{(E_m - E_f)^2}.$$



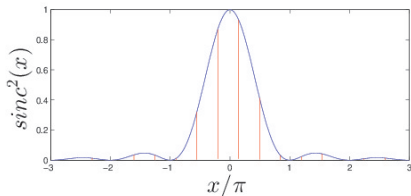
The near resonant levels dominate. Hence,

$$P_{trans} \simeq 4g^2 \sum_{m \in \mathbb{Z}} \frac{\sin^2[(E_m - E_f)t/2]}{(E_m - E_f)^2},$$

with a virtual spectrum $E_m = m\Delta$, $m \in \mathbb{Z}$.

- ▶ equally spaced
- ▶ extending from $-\infty$ to $+\infty$

Uniform sampling of the $\text{sinc}^2 x$ function



Introducing the rescaled, dimensionless time $T \equiv \Delta t/2$,

$$P_{trans} = 1 - P(t) \simeq \left(\frac{4g^2}{\Delta^2} \right) W_\alpha(T),$$

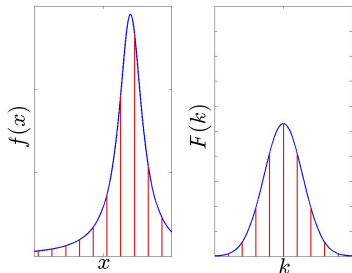
with

$$W_\alpha(T) \equiv T^2 \sum_{m \in \mathbb{Z}} \text{sinc}^2[(m - \alpha)T]$$

with $\alpha = (E_{final} - E_n)/(E_{n+1} - E_n)$, $E_n < E_{final} < E_{n+1}$.

Poisson summation formula I

$$\sum_{m=-\infty}^{+\infty} f(a + mT) = \frac{1}{T} \sum_{m=-\infty}^{+\infty} F\left(\frac{2\pi m}{T}\right) \exp\left(\frac{i2\pi ma}{T}\right).$$



Periodic sampling in **real** space

\iff Periodic sampling in **momentum** space (simpler?)

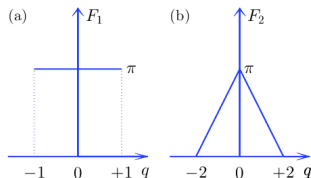
Poisson summation formula II

For our specific problem, we sample the sinc^2 function,

$$\begin{aligned}W_{\alpha}(T) &\equiv T^2 \sum_{m \in \mathbb{Z}} \text{sinc}^2[(m - \alpha)T] \\ &= T \sum_{n \in \mathbb{Z}} F_2 \left(\frac{2\pi n}{T} \right) \exp(-i2\pi n\alpha),\end{aligned}$$

with

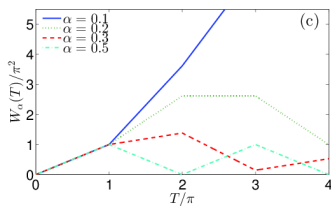
$$\begin{aligned}F_1(q) &\equiv \int_{-\infty}^{+\infty} dx e^{-iqx} \left(\frac{\sin x}{x} \right), \\ F_2(q) &\equiv \int_{-\infty}^{+\infty} dx e^{-iqx} \left(\frac{\sin x}{x} \right)^2,\end{aligned}$$



Both F_1 and F_2 are of **finite** supports (Paley-Wiener theorem)

Only a **finite** number of terms contribute to the summation

A piece-wise linear function of time



- ▶ If $0 < T \leq \pi$,

$$W_\alpha(T) = \pi T.$$

Fermi golden rule. No α dependence.

- ▶ If $m\pi < T \leq (m+1)\pi$,

$$W_\alpha(T) = \pi T \sum_{n=-m}^m \exp(in\theta) - \sum_{n=1}^m 2\pi^2 n \cos(n\theta).$$

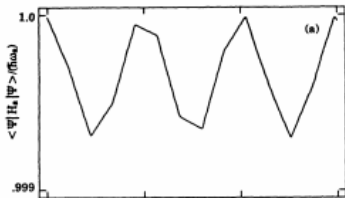
The slope of this linear function is both m -dependent and α -dependent ($\theta = 2\pi\alpha$)

- ▶ The period is $2\pi/\Delta$, the so-called **Heisenberg time**.

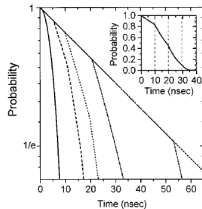
But kinks were discovered long ago in quantum optics

Spontaneous decay of a two-level atom in a multi-mode cavity

$$H = \omega\sigma_z + \sum_{m \in \mathbb{Z}} m\Delta\hat{a}_m^\dagger\hat{a}_m + g \sum_{m \in \mathbb{Z}} (\hat{a}_m^\dagger\sigma^- + \hat{a}_m\sigma^+)$$



J. Parker and C. R. Stroud, Jr.,
Phys. Rev. A **35**, 4226 (1987).



H. Giessen, J. D. Berger, G.
Mohs, P. Meystre, Phys. Rev. A
53, 2816 (1996).

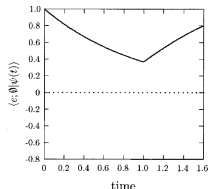
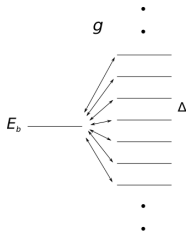
They just attribute the kinks to interference, in a hand-waving way.

An exactly solvable model and more robust kinks

$$H = E_b |b\rangle\langle b| + \sum_{m \in \mathbb{Z}} m \Delta |m\rangle\langle n| + g \sum_{m \in \mathbb{Z}} (|b\rangle\langle m| + |m\rangle\langle b|)$$

$$\psi(0) = |b\rangle, \quad \langle b|\psi(t)\rangle = ?$$

Realization: a single two-level atom in a multi-mode optical cavity



Stein and Gibberd, *Physica* **60**, 1 (1972).

Ligare and Oliveri, *Am. J. Phys.* **70**, 58 (2002).

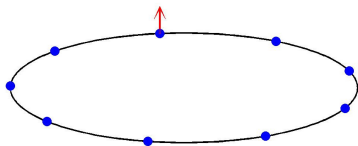


JMZ and Y. Liu, *Fermi's golden rule: its derivation and breakdown by an ideal model*, *Eur. J. Phys.* **37**, 065406 (2016).

Scenario II: Sudden quench

Initially, the particle is in some Bloch state $|\Psi(0)\rangle = |k_i\rangle$. Now turn on the potential at site $n = 0$ suddenly:

$$\hat{H}(t > 0) = \hat{H}_{TBM} + U|0\rangle\langle 0|.$$



Question: how do the **survival probability**

$$P_i(t) = |\langle +k_i | \Psi(t) \rangle|^2.$$

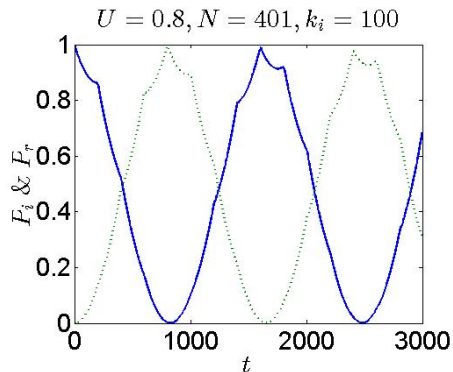
and the **reflection probability**

$$P_r(t) = |\langle -k_i | \Psi(t) \rangle|^2.$$

evolve?

Cusps!

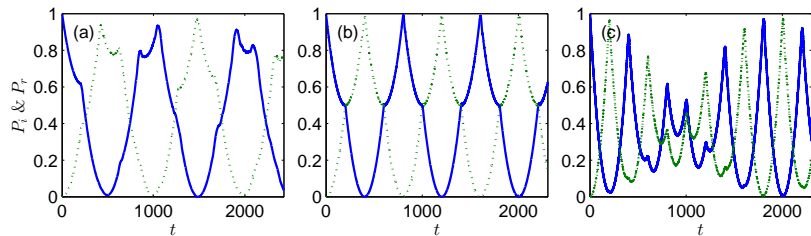
Subtle structures in the overall picture of Rabi oscillation



Apparently a non-perturbative phenomenon

- ▶ here, P_i can drop to zero constantly
- ▶ while in the previous case, P_i should be close to 1 for the kinks to be sharp

More examples



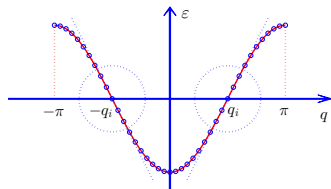
(a) $N = 401$, $k_i = 80$, $U = 1.5$;

(b) $N = 401$, $k_i = 100$, $U = 2$, especially regular;

(c) $N = 401$, $k_i = 100$, $U = 12$, especially sharp.

A linearized model

Key numerical observation: Only those Bloch states with energy $\varepsilon(q) \simeq \varepsilon(q_i)$ participate significantly in the dynamics.



Two groups of Bloch states

- ▶ Right going: $|R_n\rangle = | +k_i + n\rangle$;
- ▶ Left going: $|L_n\rangle = | -k_i - n\rangle$, $-\infty \leq n \leq +\infty$.

The dispersion curve at around $q = \pm k_i$ can be **linearized**.

Similar ideas in the Luttinger liquid theory.

Taking into account the parity symmetry

A new basis (even and odd states)

$$|A_n^\pm\rangle = \frac{1}{\sqrt{2}}(|R_n\rangle \pm |L_n\rangle).$$

In the yet to be diagonalized subspace of $\{|A_n^+\rangle\}$, the matrix elements of $\hat{H}_0 = \hat{H}_{TBM}$ and $\hat{H}_1 = U|0\rangle\langle 0|$ are

$$\langle A_n^+ | \hat{H}_0 | A_n^+ \rangle = n\Delta, \quad \langle A_{n_1}^+ | \hat{H}_1 | A_{n_2}^+ \rangle = 2g, \quad \forall n_1 \ \& \ n_2.$$

Initially, $|\Psi(0)\rangle = |R_0\rangle = \frac{1}{\sqrt{2}}(|A_0^- \rangle + |A_0^+ \rangle)$. Some time later,

$$|\Psi(t)\rangle = \frac{1}{\sqrt{2}}|A_0^- \rangle + \frac{1}{\sqrt{2}} \sum_{n=-\infty}^{\infty} \psi_n(t) |A_n^+ \rangle,$$

since $|A_0^- \rangle$ is already an eigenstate of \hat{H} . The quantity wanted is $\psi_0(t)$, in terms of which the probabilities P_i and P_r are

$$P_i = \frac{1}{4} |1 + \psi_0|^2, \quad P_r = \frac{1}{4} |1 - \psi_0|^2.$$

Solution strategy

The Schrödinger equation for the ψ 's is

$$i\frac{\partial}{\partial t}\psi_n = n\Delta\psi_n + 2g \sum_{m=-\infty}^{\infty} \psi_m. \quad (1)$$

Note that the term in the summation is independent of n .

Therefore, we define the collective, auxiliary quantity

$$S(t) = \sum_{m=-\infty}^{\infty} \psi_m(t). \quad (2)$$

The solution of (1) is then

$$\psi_n(t) = e^{-in\Delta t}\delta_{n,0} - i2g \int_0^t d\tau e^{-in\Delta(t-\tau)} S(\tau). \quad (3)$$

Plugging this into (2), we get an integral equation of S ,

$$S(t) = 1 - i2g \int_0^t d\tau \left(\sum_{n=-\infty}^{\infty} e^{-in\Delta(t-\tau)} \right) S(\tau). \quad (4)$$

Solution strategy continued

We have (again by the Poisson summation formula)

$$\sum_{n=-\infty}^{+\infty} e^{-in\Delta(t-\tau)} = T \sum_{n=-\infty}^{+\infty} \delta(t - \tau - nT),$$

where the period $T \equiv 2\pi/\Delta$ is the **Heisenberg time**. The integral equation is then easily solve. We have

$$S(t) = \frac{1}{1 + igT},$$

which is a **constant**, for $0 < t < T$. In turn, we get

$$\psi_0(t) = 1 - \frac{i2gt}{1 + igT} = \frac{1 - i2g(t - T/2)}{1 + igT},$$

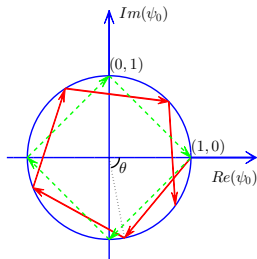
which is **linear in t** . We note that as $t \rightarrow T^-$,

$$\psi_0(t) \rightarrow \frac{1 - igT}{1 + igT} = e^{-i\theta}.$$

After one period, ψ_0 returns to its initial value, except for a phase accumulated. The same evolution then repeats!

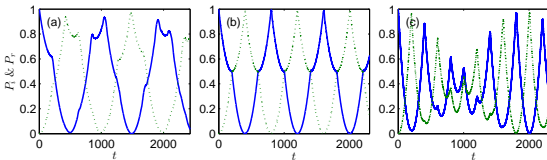
Trajectory of ψ_0 —A ball in a circular billiard

Irrational rotation



Survival probability
$$P_i(t) = \frac{1}{4} |1 + \psi_0|^2$$

Reflection probability
$$P_r(t) = \frac{1}{4} |1 - \psi_0|^2$$

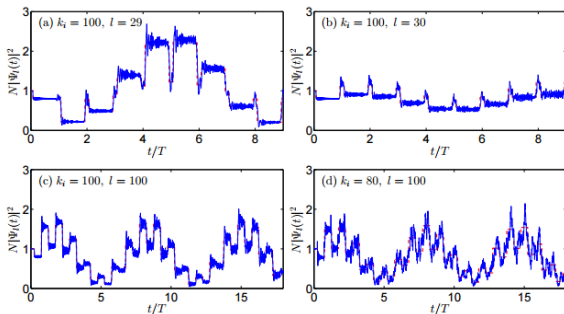


- ▶ The overall picture is Rabi oscillation
- ▶ Instead of piece-wise linear, now it is piece-wise **quadratic**
- ▶ When the cusps show up, $P_i + P_r = 1$.

How is it in the real space? Sudden jumps between plateaus

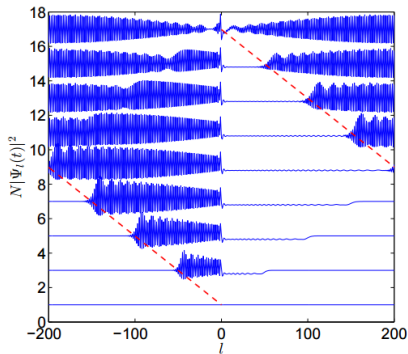
So far, the discussion is confined to the momentum space.

Probability density at an arbitrary site $|\psi_l(t)|^2$:



$$N = 401, U = 1.$$

Scattering waves



- ▶ The wave fronts are well shaped.
- ▶ $|\psi_l|^2$ jumps when a wave front passes by.

Summary

- ▶ Transition dynamics of a Bloch state
 - ▶ **perturbative kinks** in the weak periodical driving scenario
 - ▶ a crystal-clear derivation of the Fermi golden rule
 - ▶ **non-perturbative cusps** in the sudden quench scenario
 - ▶ reminiscent of the so-called “dynamical phase transition”
- ▶ Lesson: simple models are not easy
 - ▶ especially when it comes to dynamics
- ▶ Many open problems
 - ▶ what if it is a Fermi sea?



JMZ and Y. Liu, Eur. J. Phys. 37, 065406 (2016).



JMZ and H. T. Yang, EPL 114, 60001 (2016).



JMZ and H. T. Yang, EPL 116, 10008 (2016).