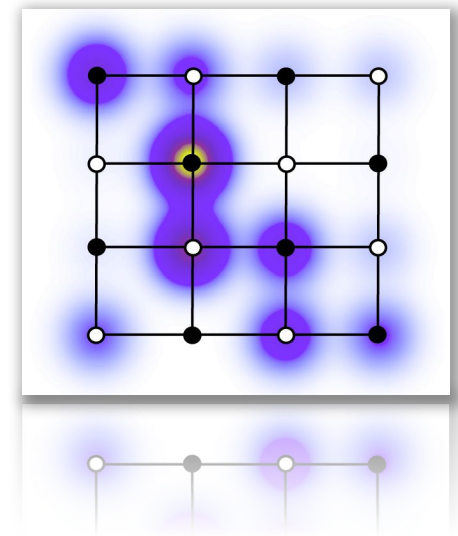
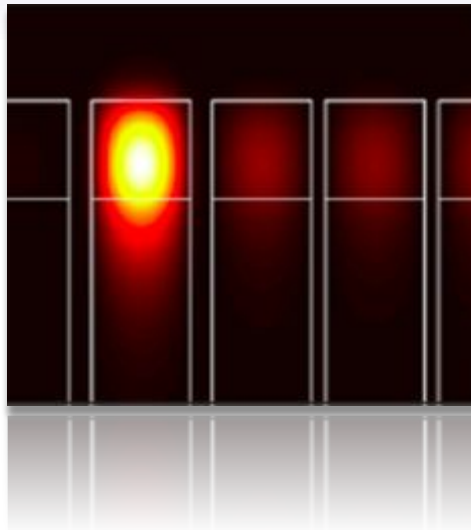
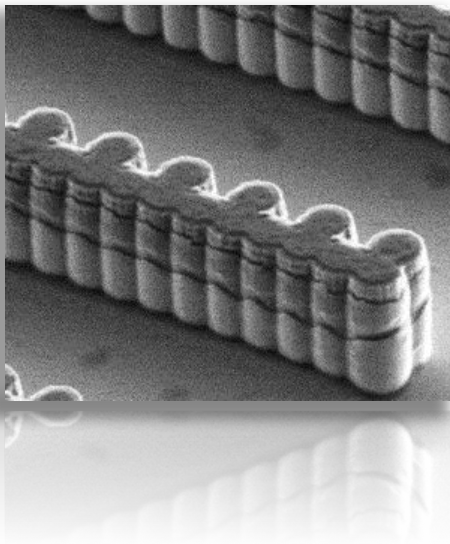
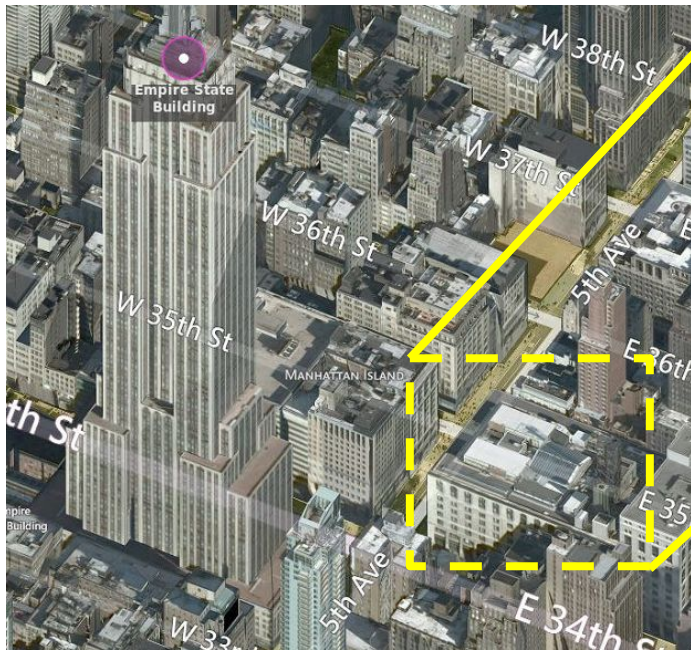


Non-Hermitian Parity-Hole Symmetry, Flat Band, and Linear Localization

Li Ge

City University of New York (CUNY)







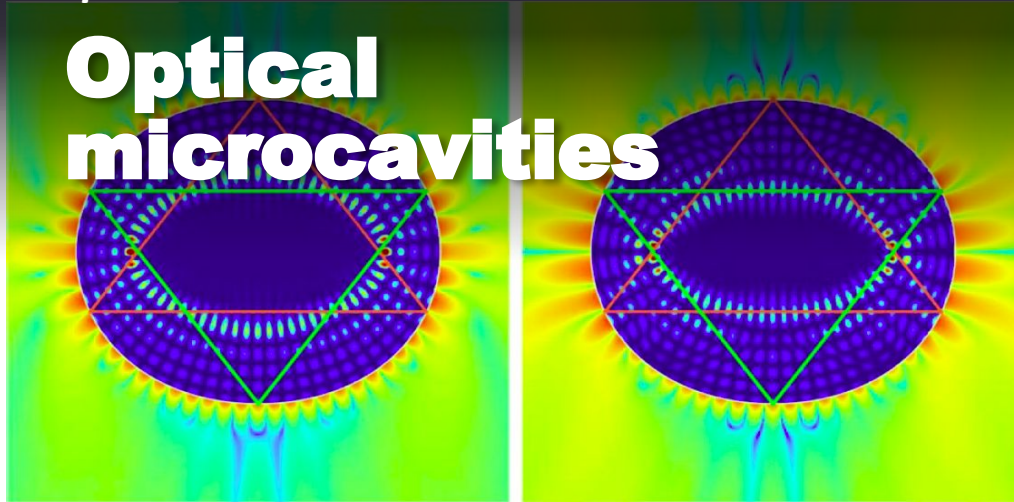
College of Staten Island Seal

| | |
|-----------------------------|--|
| Motto | Opportunity and Challenge |
| Type | Public |
| Established | 1956 |
| President | William J. Fritz, PhD. |
| Provost | Gary Reichard, PhD |
| Academic staff | 1,239 (Fall 2015) ^[1] |
| Administrative staff | 1,138 (Fall 2015) ^[1] |
| Students | 13,798 (Fall 2015) ^[1] |
| Mascot | Dolphin |
| Affiliations | The City University of New York |
| Website | www.csi.cuny.edu |



Special Issue on

Optical microcavities



**PHOTONICS
Research**

2016 Impact Factor

4.679^{9/92 Optics}

Published in Vol 5, Iss 6, Dec. 2017



Guest Editors

Li Ge, *City University of New York, USA*

Liang Feng, *University of Pennsylvania, USA*

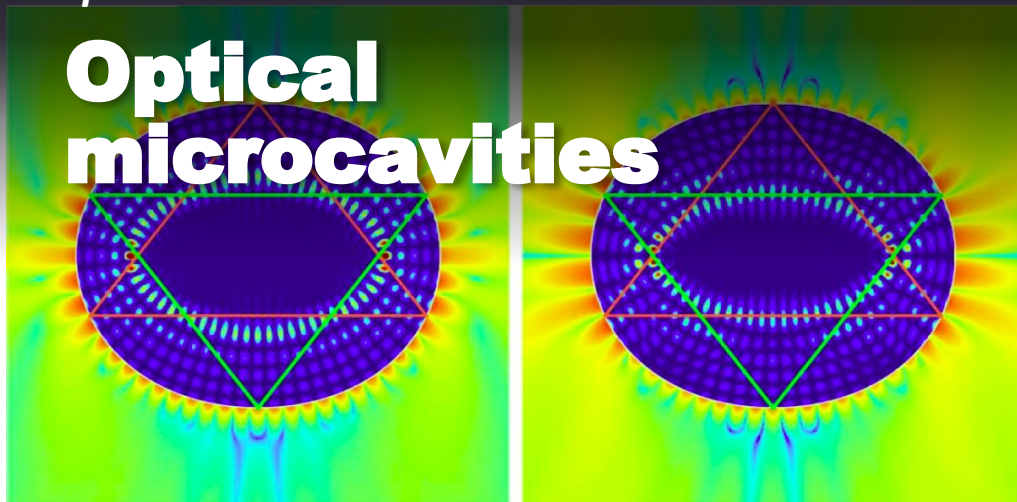
Harald G. L. Schwefel, *University of Otago, New Zealand*

Web: www.prj.osa.org



Special Issue on

Optical microcavities



**PHOTONICS
Research**

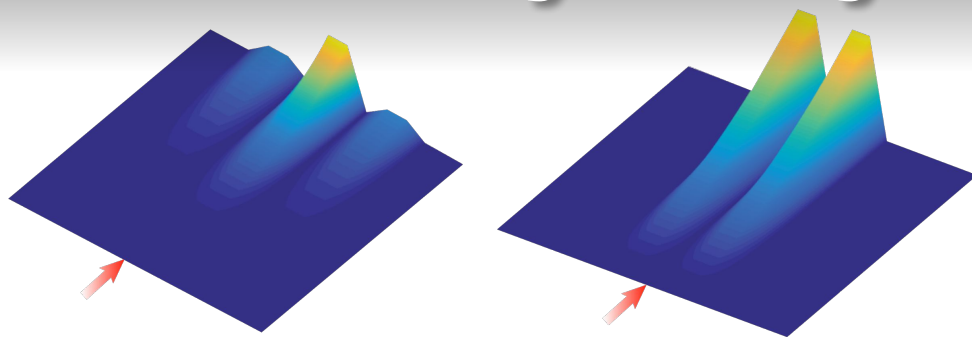
2016 Impact Factor

4.679^{9/92 Optics}

Published in Vol 5, Iss 6, Dec. 2017

Special Issue on

Non-Hermitian Photonics in Complex Media: PT-Symmetry and Beyond



Guest Editors

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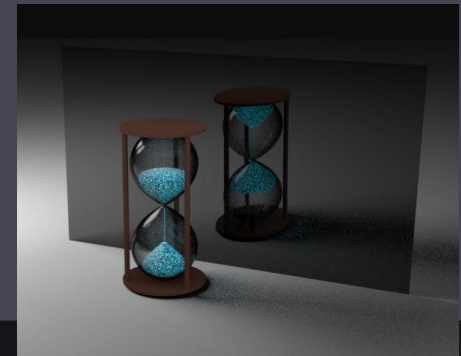
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PT symmetry



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Content

REVIEW ARTICLE | FOCUS

<https://doi.org/10.1038/s41566-017-0031-1>

nature
photonics

Non-Hermitian photonics based on parity-time symmetry **and beyond**

Liang Feng^{1*}, Ramy El-Ganainy^{2,3} and Li Ge^{4,5*}

Outline

- Non-Hermitian particle-hole symmetry and anti-PT symmetry
- Non-Hermitian zero modes
- Non-Hermitian flat bands and defect states
- Linear localization
- Summary

Outline

- Non-Hermitian particle-hole symmetry and anti-PT symmetry
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- Summary

What is anti-PT symmetry?

LG and Tureci, PRA 88, 053810 (2012)

PT symmetry

$$n(-x) = n^*(x)$$

$$n(-x) = \ominus n^*(x)$$

Anti-PT symmetry

What is anti-PT symmetry?

LG and Tureci, PRA 88, 053810 (2012)

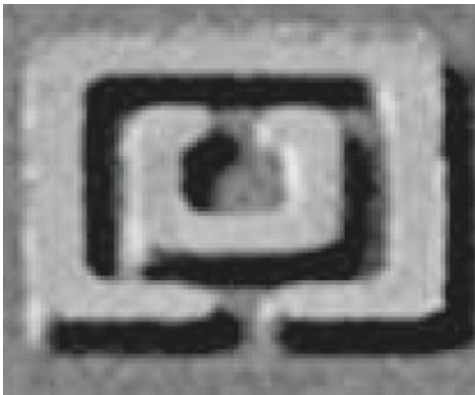
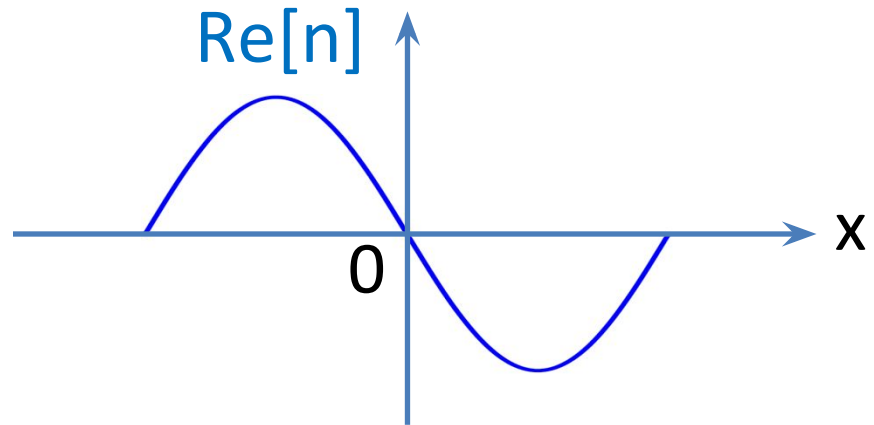
PT symmetry

$$n(-x) = n^*(x)$$

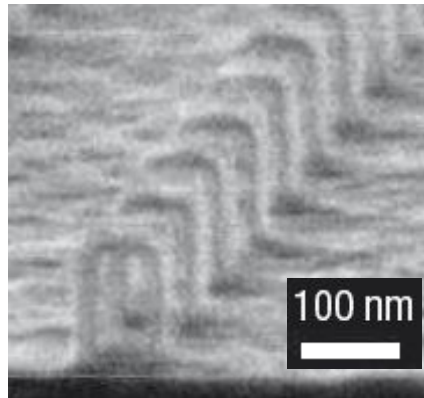
$$n(-x) = -n^*(x)$$

Anti-PT symmetry

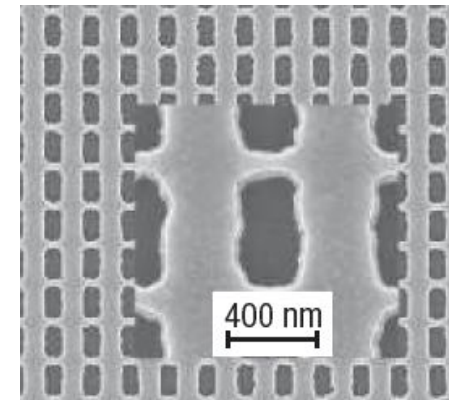
$$\mu(-x) = -\mu(x)$$



Yen et al Science 303, 1494 (04)



Zhang et al PRL 94, 37402 (05)



Dolling et al. Opt. Lett. 31, 1800 (06)

What is anti-PT symmetry?

LG and Tureci, PRA 88, 053810 (2012)

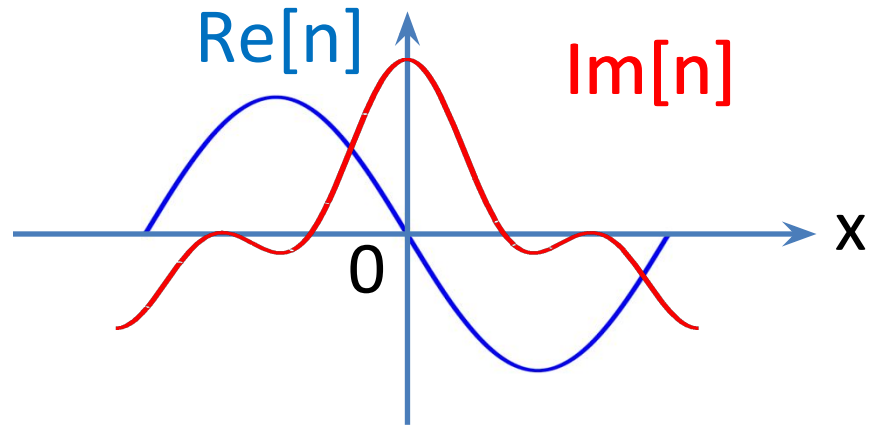
PT symmetry

$$n(-x) = n^*(x)$$

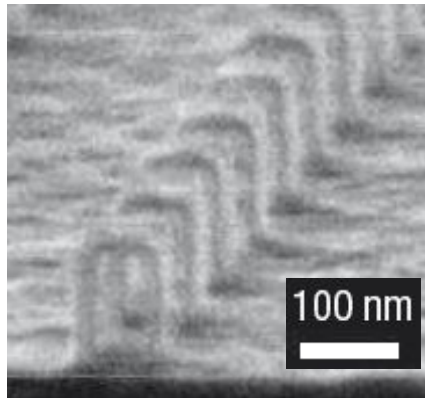
$$n(-x) = -n^*(x)$$

Anti-PT symmetry

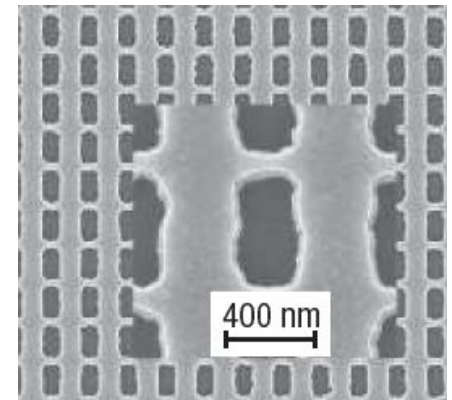
$$\mu(-x) = -\mu(x)$$



Yen et al Science 303, 1494 (04)



Zhang et al PRL 94, 37402 (05)



Dolling et al. Opt. Lett. 31, 1800 (06)

What is anti-PT symmetry?

- “Optical potential” is anti-PT:

$$\{PT, n(x)\} = PTn(x) \oplus n(x)PT = 0$$

- Next step: Whole system is anti-PT

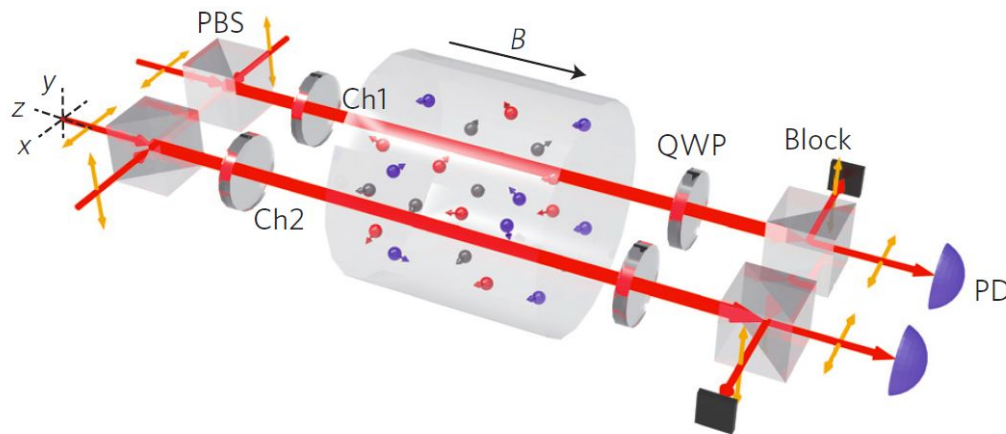
$$\{PT, H(x)\} = 0$$

$$H = \begin{pmatrix} \Delta + i\gamma & g \\ -g^* & -\Delta + i\gamma \end{pmatrix} \quad (\Delta, \gamma \in \mathbf{R})$$

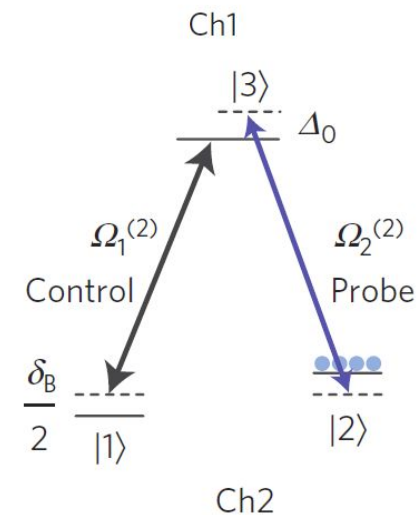
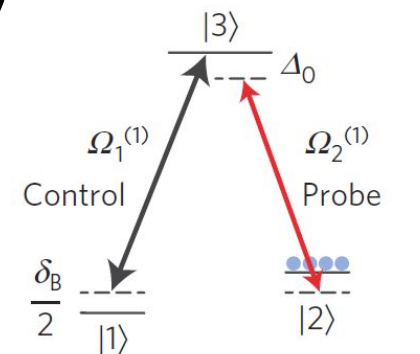
- The additional step: nontrivial couplings

Realizing anti-PT symmetry

$$H = \begin{pmatrix} \Delta + i\gamma & g \\ -g^* & -\Delta + i\gamma \end{pmatrix}$$



$$H = \begin{pmatrix} \Delta + i\gamma & i\Gamma e^{-2i\Delta t} \\ i\Gamma e^{2i\Delta t} & -\Delta + i\gamma \end{pmatrix}$$



Peng et al., Nat. Phys. 12, 1139 (2016)

Why anti-PT symmetry is interesting?

- It has completely different physical consequences from PT
- An example of **non-Hermitian particle-hole symmetry**

$$\{XT, H\} = 0$$

the realization of a **fermionic** symmetry in a (non-Hermitian) **bosonic** system LG, PRA 95, 023812 (2017)

- It gives rise to **non-Hermitian zero modes**, analogous to Majorana zero modes in condensed matter physics

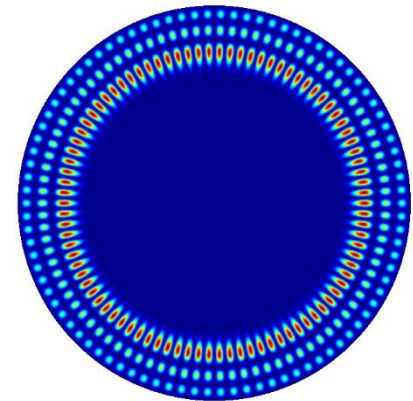
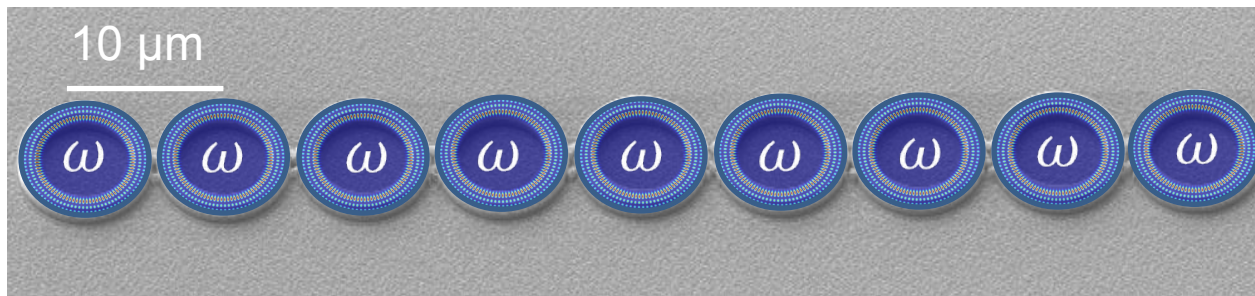
$$\begin{array}{l} \text{Re}[E] = 0 \\ \{XT, H\} = 0 \end{array} \left\{ \begin{array}{l} \text{symmetric:} \\ \text{spontaneously broken:} \end{array} \right. \begin{array}{l} E_i = -E_i^*, \\ \Psi_i \propto XT\Psi_i \\ \\ E_1 = -E_2^*, \\ \Psi_1 \propto XT\Psi_2 \end{array}$$

Outline

- Non-Hermitian particle-hole symmetry and anti-PT symmetry
- **Non-Hermitian zero modes**
- Non-Hermitian flat bands and defect states
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- Summary

Zero modes in **Hermitian** systems

- Zero modes are eigenstates of “zero” energy respect to a well-defined energy level (e.g., Fermi level)
- In a coupled system with identical subsystems, the zero can be chosen as the energy of a particular interesting state of the subsystems.



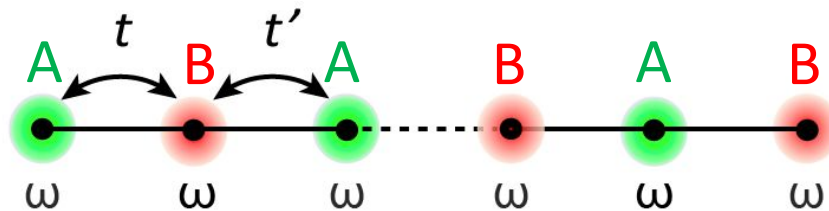
Symmetry-protected zero modes in **Hermitian** systems

- Chiral symmetry (aka. sublattice symmetry)

$$\{H, \mathcal{C}\} = 0 \rightarrow \left\{ \begin{array}{l} \mathcal{C}\Psi_n = \Psi_m, \\ \omega_n = -\omega_m \end{array} \right\} \quad (\mathcal{C}: \text{a linear operator})$$

Zero modes: $n = m \rightarrow \omega_n = 0$

– **Easy** to achieve in lattice systems, e.g., 1D SSH model



$$H\Psi = \begin{pmatrix} 0 & T \\ T^\dagger & 0 \end{pmatrix} \begin{pmatrix} \Psi_A \\ \Psi_B \end{pmatrix} \quad \mathcal{C} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \rightarrow \{H, \mathcal{C}\} = 0$$

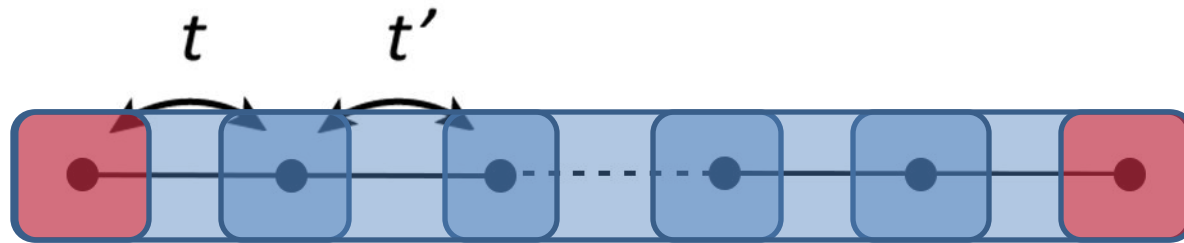
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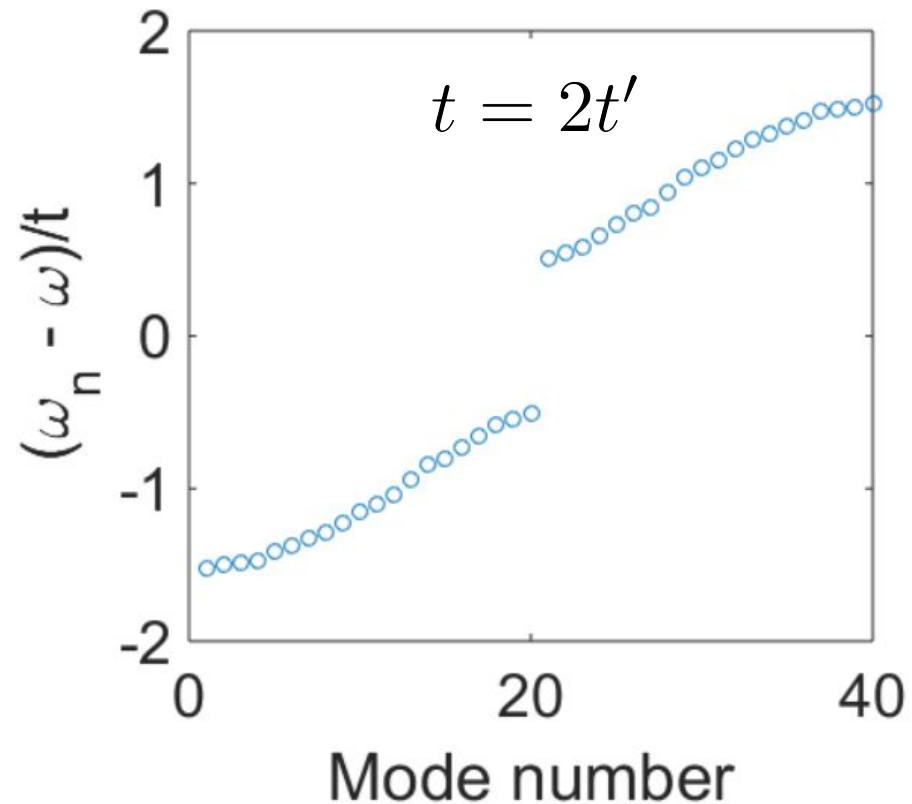
- **Easy** to achieve in lattice systems, e.g., 1D SSH model



- When $t > t'$, no zero modes (“dimerized” chain)
- When $t < t'$, two zero modes

Example of zero modes

- 1D Su-Schrieffer-Heeger (SSH) model



Symmetry-protected zero modes in **Hermitian** systems

- Particle-hole symmetry (with C and complex conjugation K):

$$\{H, C\mathcal{K}\} = 0 \rightarrow \left\{ \begin{array}{l} C\mathcal{K}\Psi_n = \Psi_m, \\ \omega_n = -\omega_m \end{array} \right\}$$

Zero modes: $n = m \rightarrow \omega_n = 0$

– **More restrictive** (e.g., superconductors)

Zero modes in **non-Hermitian** systems (e.g., photonics)

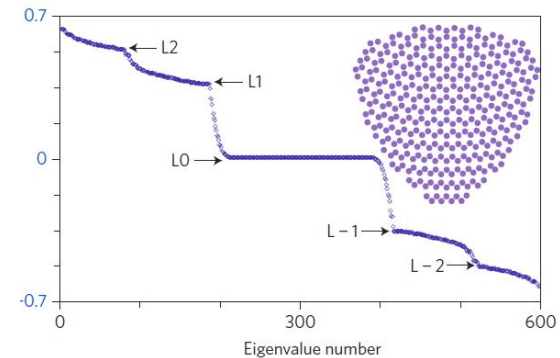
nature
photonics

ARTICLES

PUBLISHED ONLINE: 9 DECEMBER 2012 | DOI: 10.1038/NPHOTON.2012.302

Strain-induced pseudomagnetic field and photonic Landau levels in dielectric structures

Mikael C. Rechtsman¹*, Julia M. Zeuner²†, Andreas Tünnermann², Stefan Nolte², Mordechai Segev¹ and Alexander Szameit²



All originate from the chiral symmetry of the underlying **Hermitian** systems!

ARTICLE

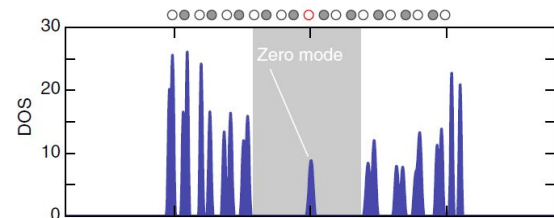
Received 29 Jul 2014 | Accepted 19 Feb 2015 | Published 2 Apr 2015

DOI: 10.1038/ncomms7710

OPEN

Selective enhancement of topologically induced interface states in a dielectric resonator chain

Charles Poli¹, Matthieu Bellec², Ulrich Kuhl², Fabrice Mortessagne² & Henning Schomerus¹



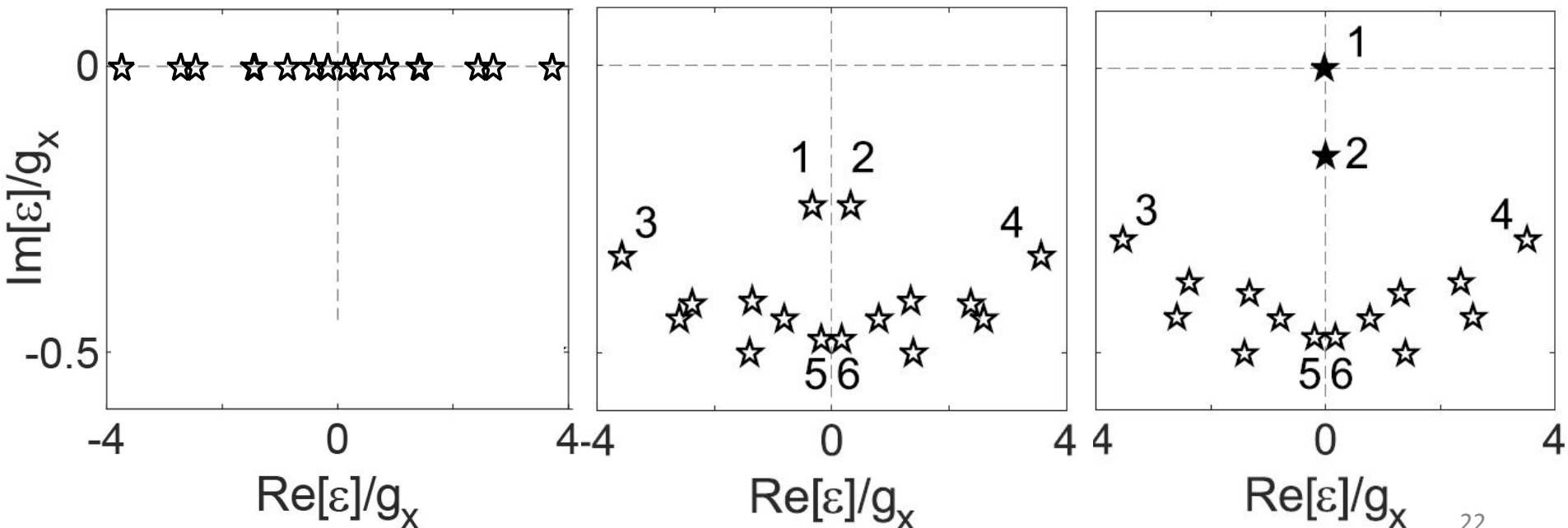
Symmetry-protected zero modes **unique** to non-Hermitian system

- Particle-hole symmetry:

Zero mode: $\omega_n = -\omega_m$ (Hermitian case)

$\omega_n = -\omega_m^*$ (non-Hermitian case)

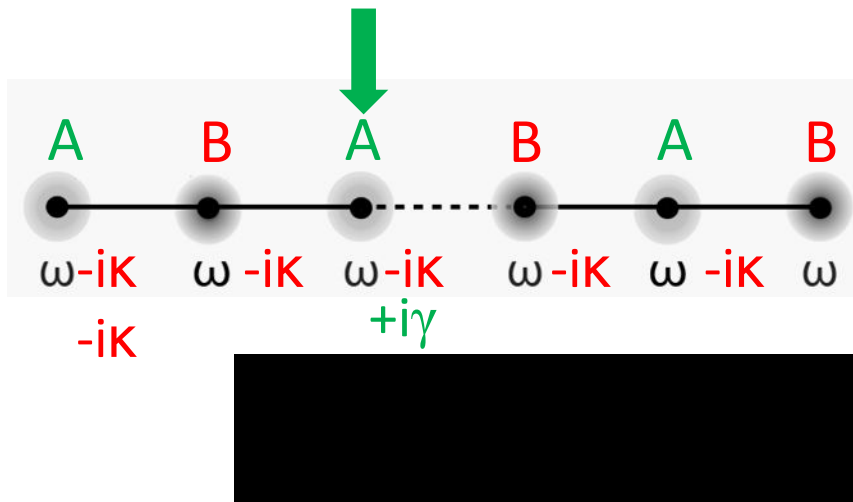
$$n = m \rightarrow \text{Re}[\omega_n] = 0$$



Physical realization of **non-Hermitian particle-hole (NHPH) symmetry**

- Use existing photonic platform
- Should be straightforward to implement non-Hermitian perturbations experimentally
- Candidate: **loss** and **localized pump** on a lattice that forms two sublattices

LG, PRA 95, 023812 (2017)



$$H\Psi = \begin{pmatrix} iD_A & T \\ T^\dagger & iD_B \end{pmatrix} \begin{pmatrix} \Psi_A \\ \Psi_B \end{pmatrix}$$

Key questions

Q: Can photonics zero modes be used for topological quantum computing as their condensed matter counterpart (e.g., Majoranas)?

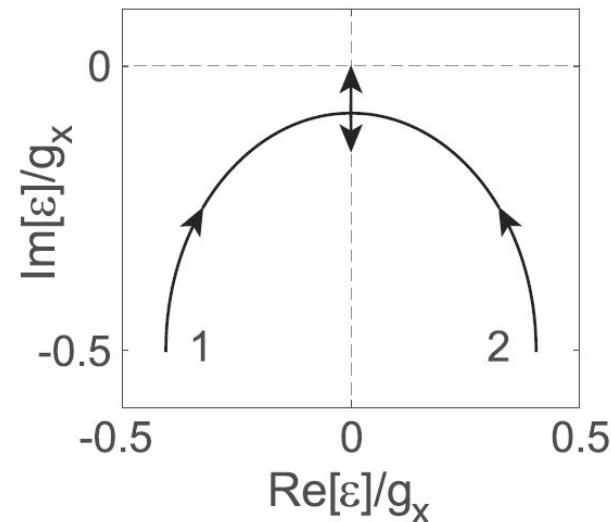
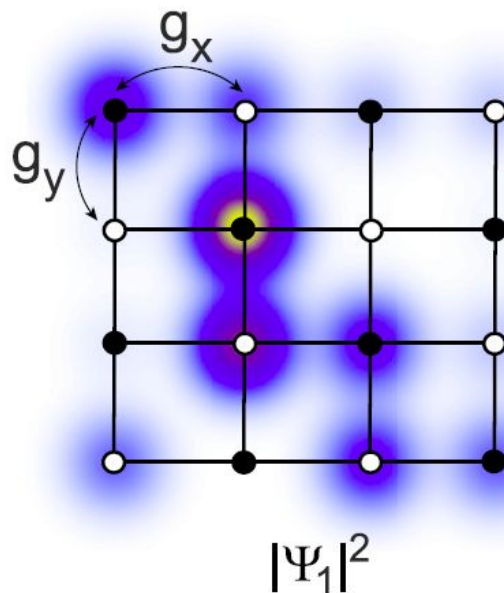
A: Too early to tell ...

Q: Can photonic zero modes inspire a better design and enable new functionalities of photonic systems?

A: Yes!

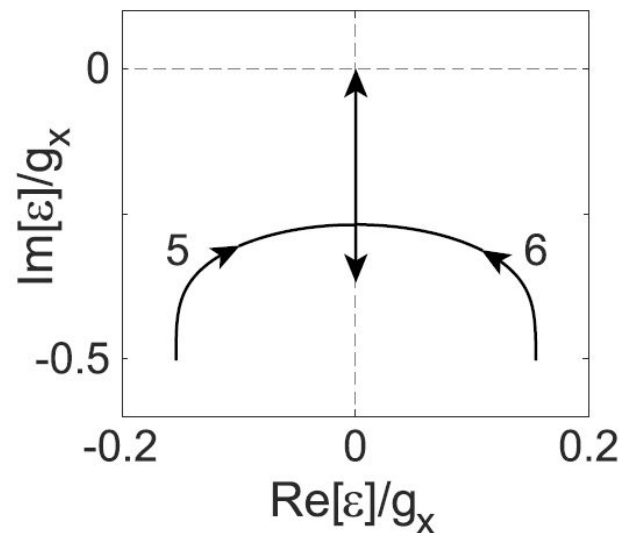
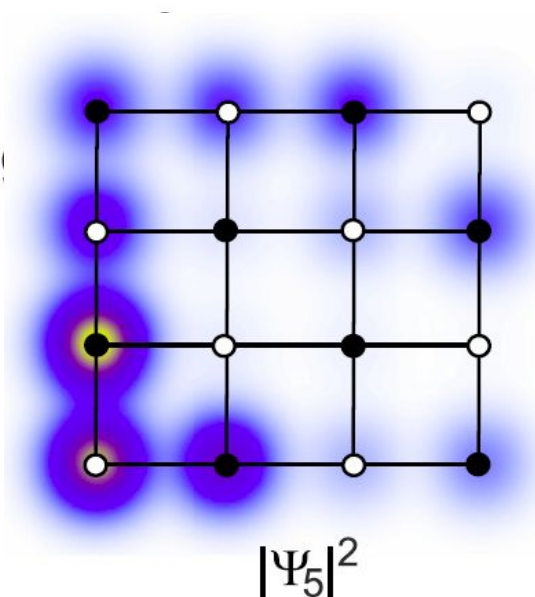
Zero-mode lasers with tunable spatial profiles

- Building block: optical microcavities (micro-disk, micro-ring, micro-toroid, etc.)



Zero-mode lasers with tunable spatial profiles

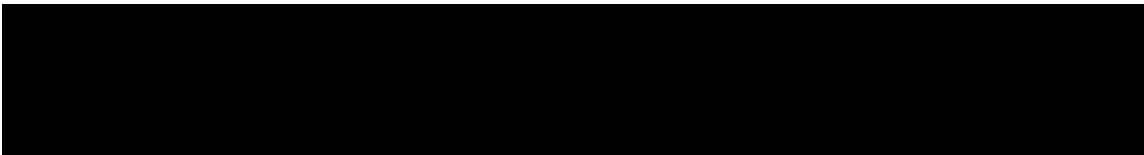
- Building block: optical microcavities (micro-disk, micro-ring, micro-toroid, etc.)
- Different pump configurations lead to zero modes lasing with different spatial profiles



Zero-mode lasers with tunable spatial profiles

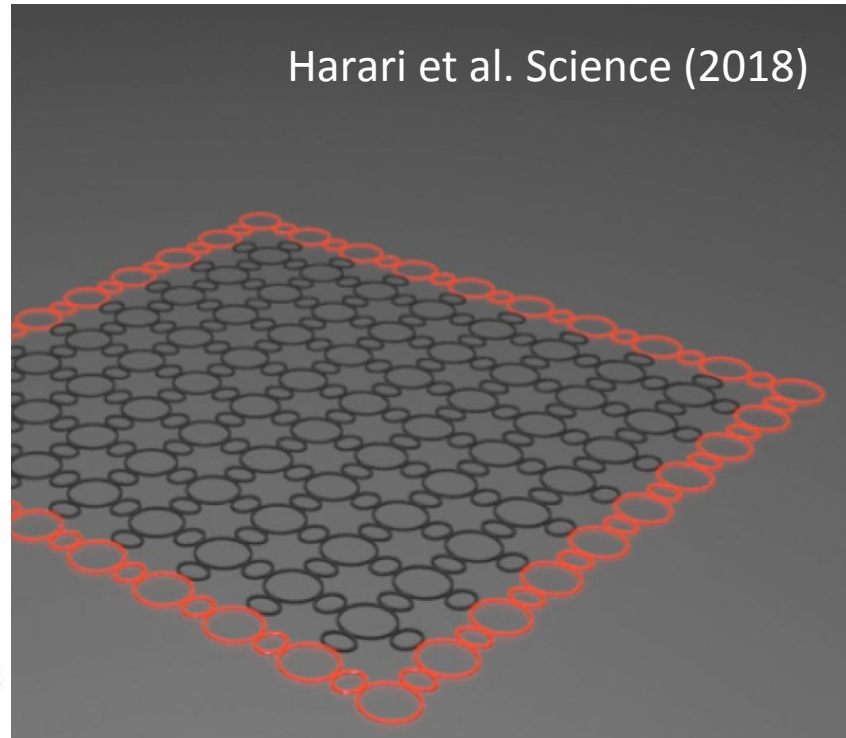
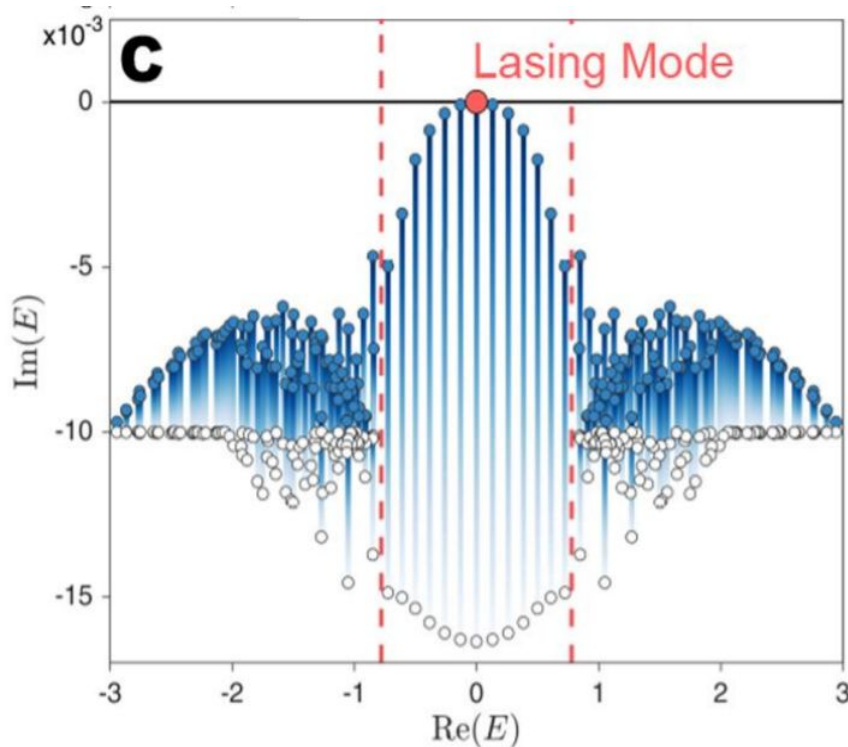
Advantages:

- May be used for spatial encoding in telecommunication
- Symmetry protection against position and coupling disorders

$$H\Psi = \begin{pmatrix} iD_A & T \\ T^\dagger & iD_B \end{pmatrix} \begin{pmatrix} \Psi_A \\ \Psi_B \end{pmatrix}$$


- Robust single-mode performance
- Robust against cavity detuning and higher-order couplings

Topological insulator laser



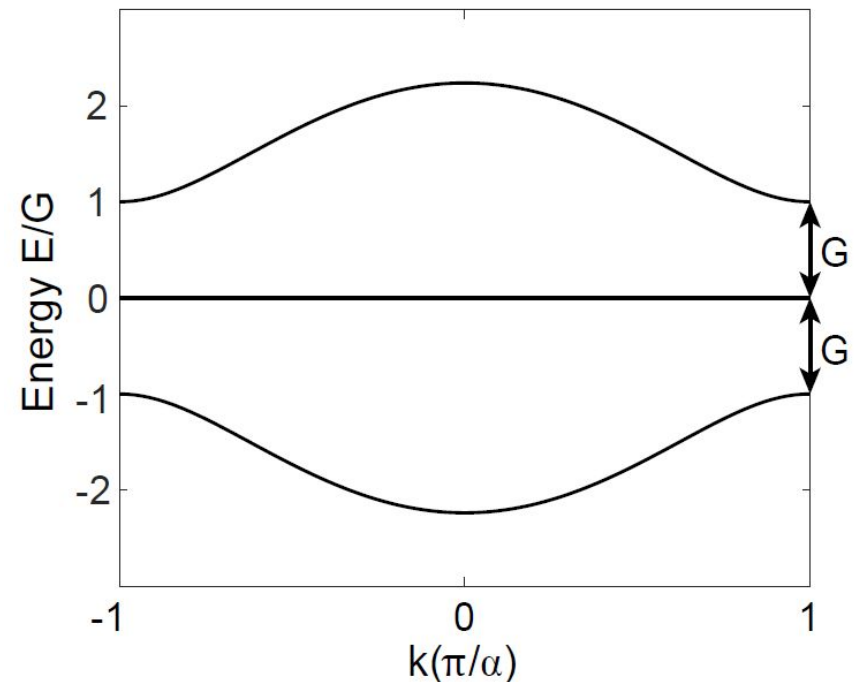
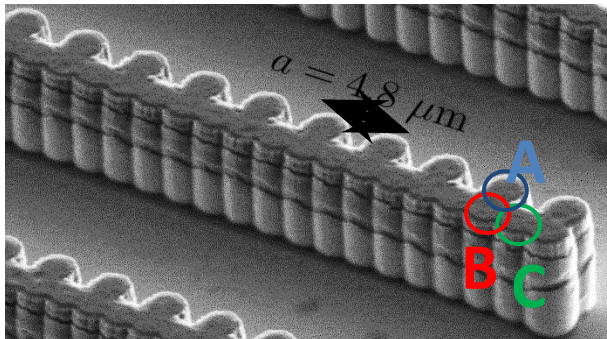
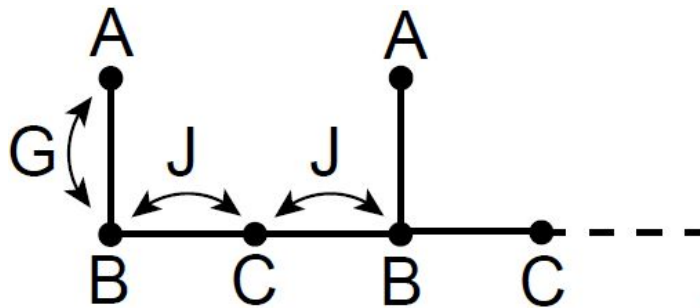
NHPH symmetry provides the symmetry protection of the chiral edge mode.

Outline

- Non-Hermitian particle-hole symmetry and anti-PT symmetry
- Non-Hermitian zero modes
- **Non-Hermitian flat bands and defect states**
- Linear localization
- Summary

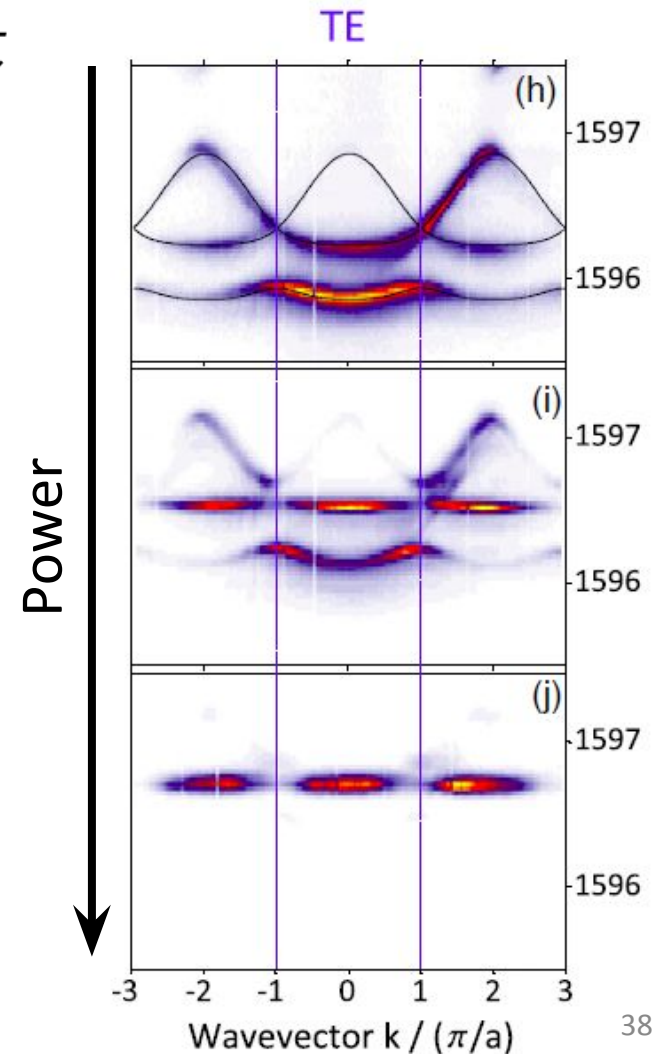
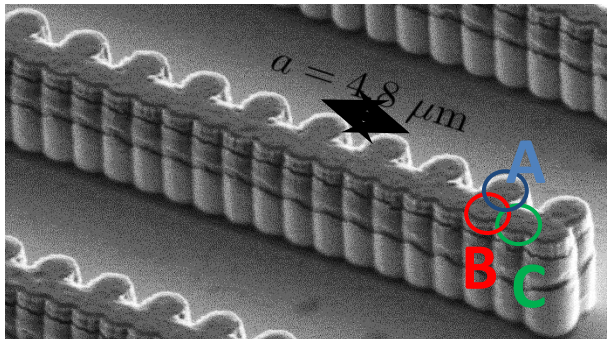
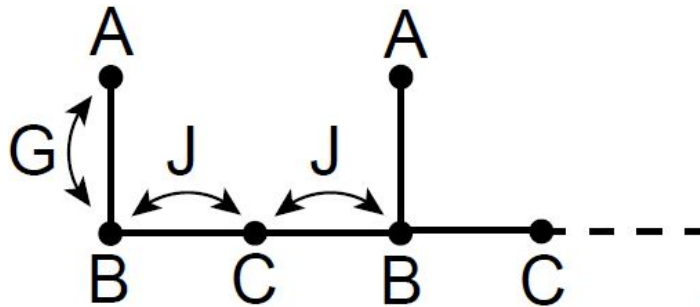
What is a flat band?

- Dispersionless: $\omega(k) = \text{const}$
- One example: A Lieb lattice



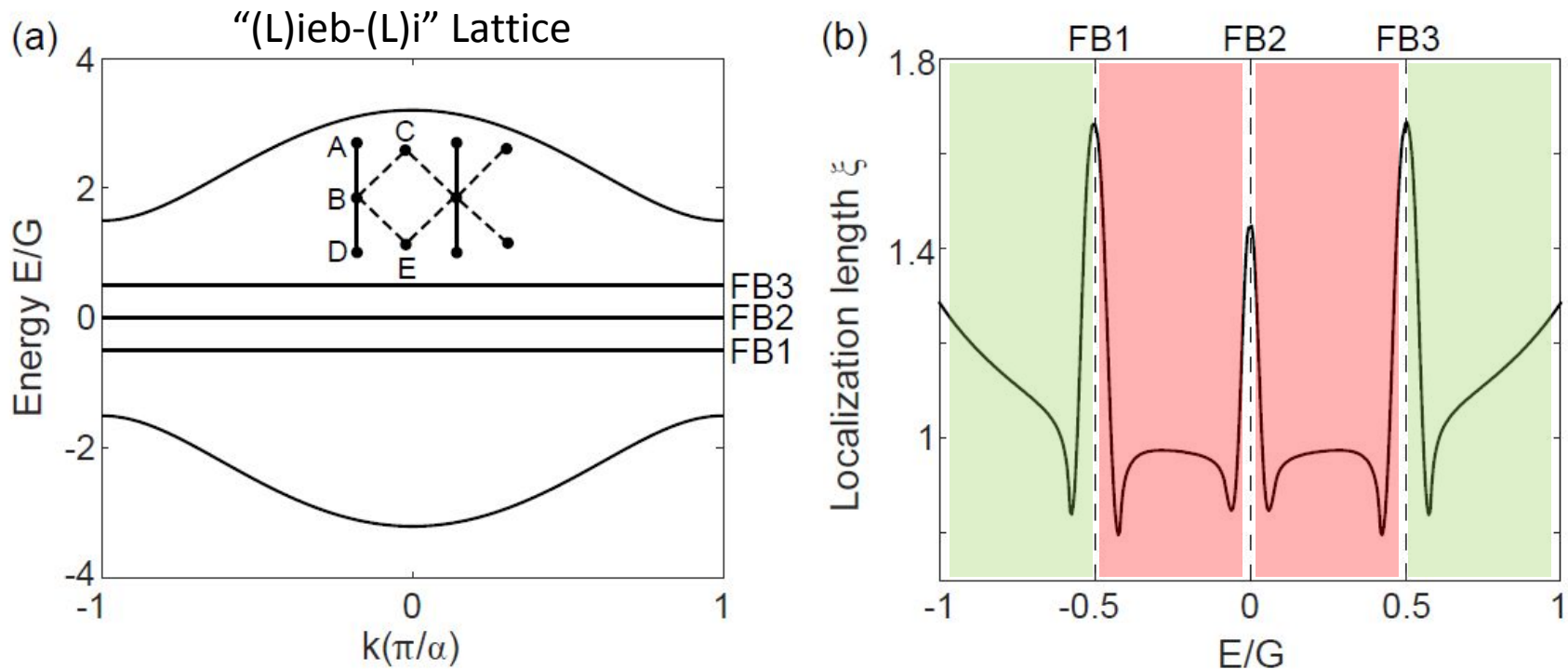
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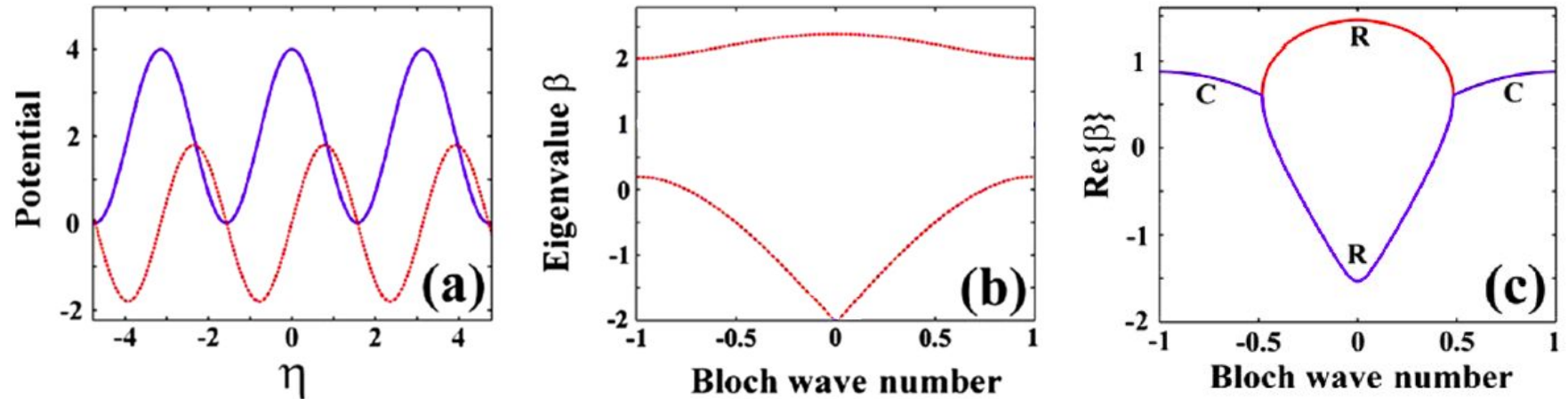
Localization in a flat-band system

- Typical: one localization minimum in a band gap
- Anomalous: more than one such minimum



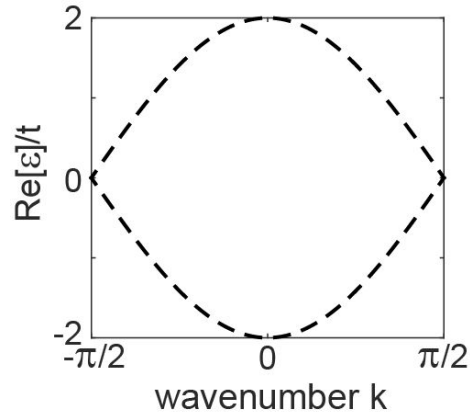
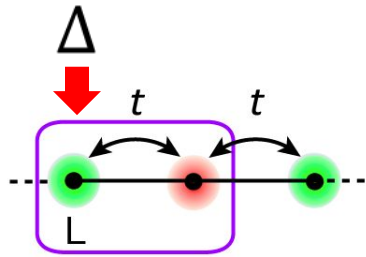
LG, Ann. Phys. 527, 201600182 (2017)

NHPH symmetry induced flat band

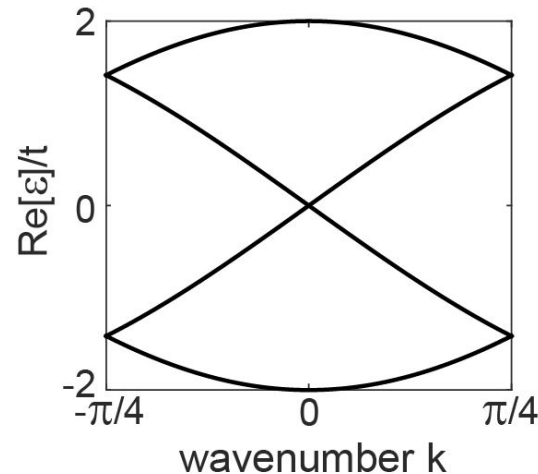
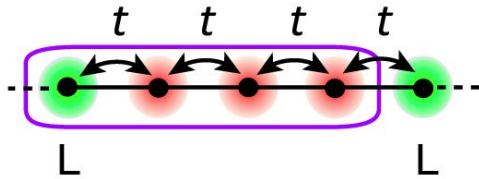


Makris, El-Ganainy, and Christodoulides, PRL 100, 103904 (2008)

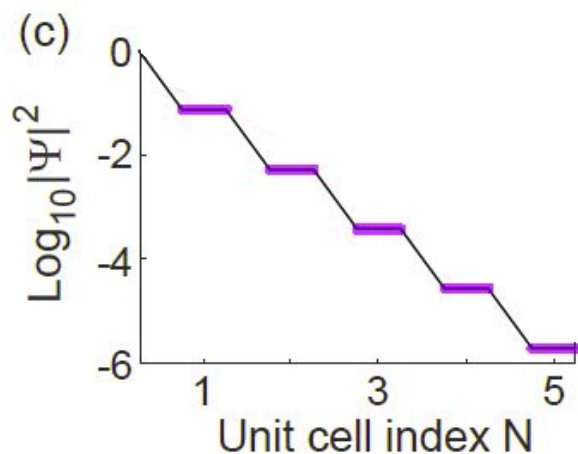
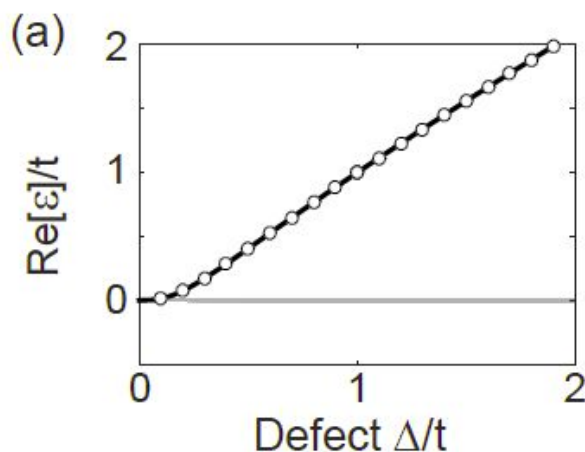
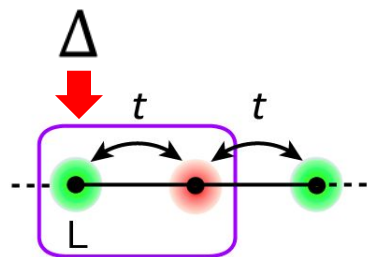
NHPH symmetry induced flat band



Increasing loss on one site

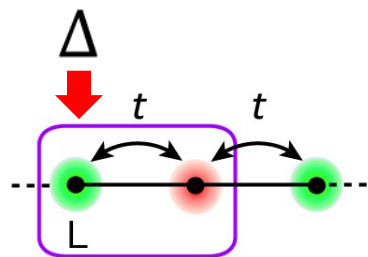


Defect states in a NHPH symmetry induced flat band



“Stretchable chain” with a soft link and a rigid link

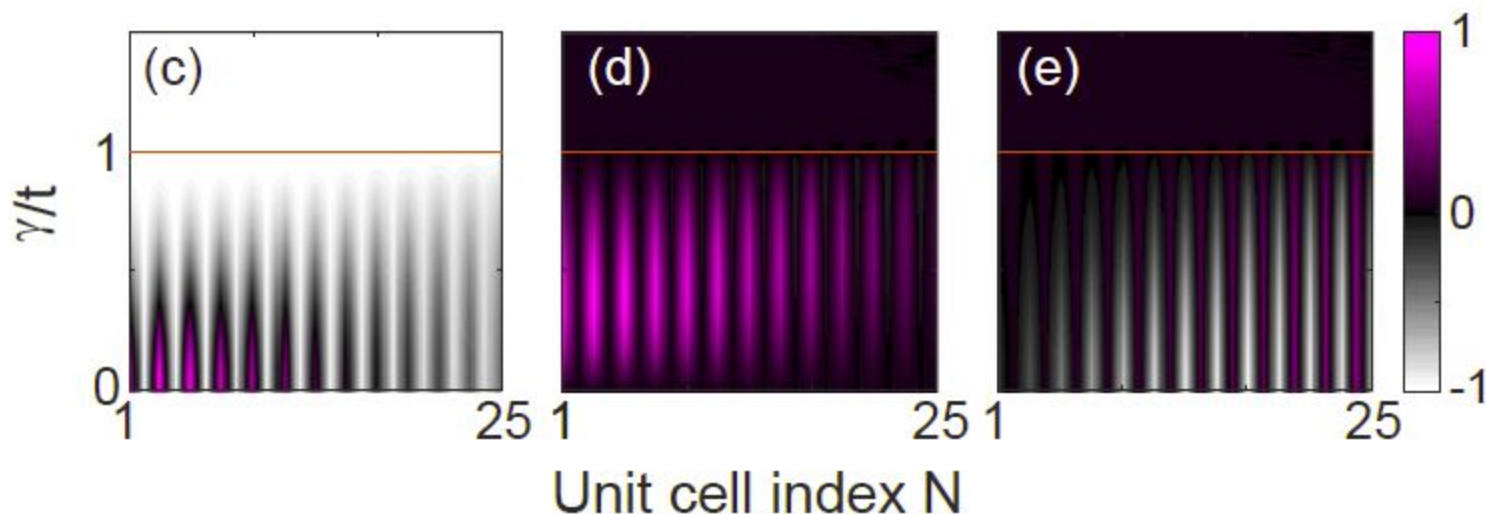
Defect states in a NHPH symmetry induced flat band



Anomalous spin alignment

$$H_2 = t(1 + \cos ka) \sigma_x - t \sin ka \sigma_y + i\gamma \sigma_z \equiv -\mathbf{h} \cdot \boldsymbol{\sigma}$$

$$\mathbf{h}(\gamma) = [-t(1 + \cos ka), t \sin ka, -i\gamma]$$



Non-Hermitian flatband

- What if we require $Im[\omega(k)]$ is also flat?
 - Trivial case: uniform loss (or gain) on a Hermitian lattice with a flat band: $\omega(k) \rightarrow \omega(k) \pm i\gamma$
 - Interesting case: with a Wannier function $W_n(x)$ that is also an eigenstate of the whole H [not $H_{Bloch}(k)$]

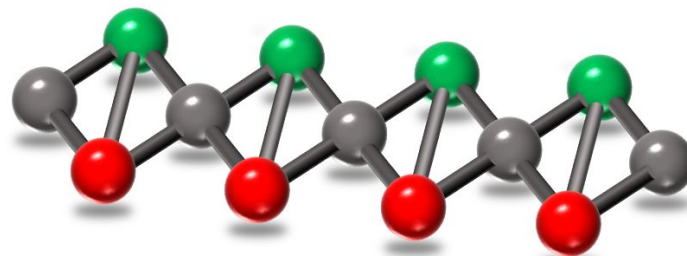
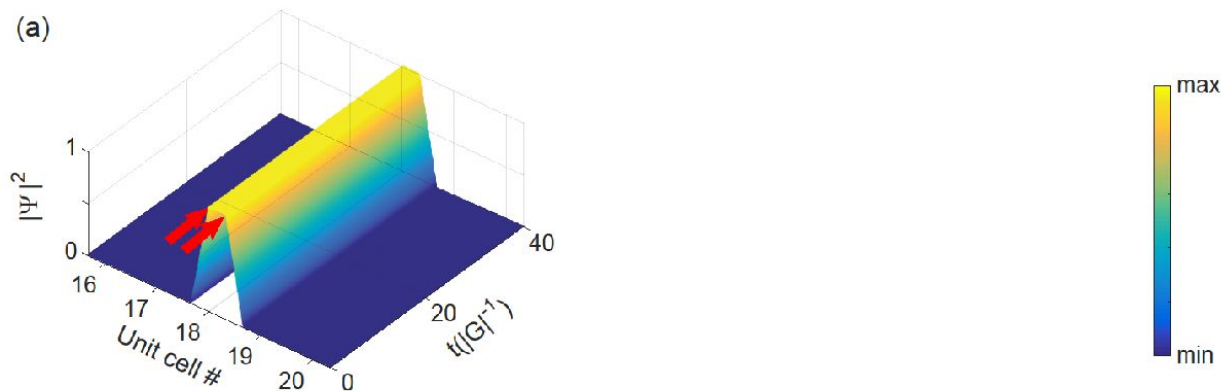
$$\Psi_n(x; k) = \sum_j e^{ikaj} W_n(x - ja)$$

k : wave vector
 j : lattice site index
 n : band index

$$H_0 \Psi_n(x; k) = \omega_n(k) \Psi_n(x; k)$$

Non-Hermitian flatband

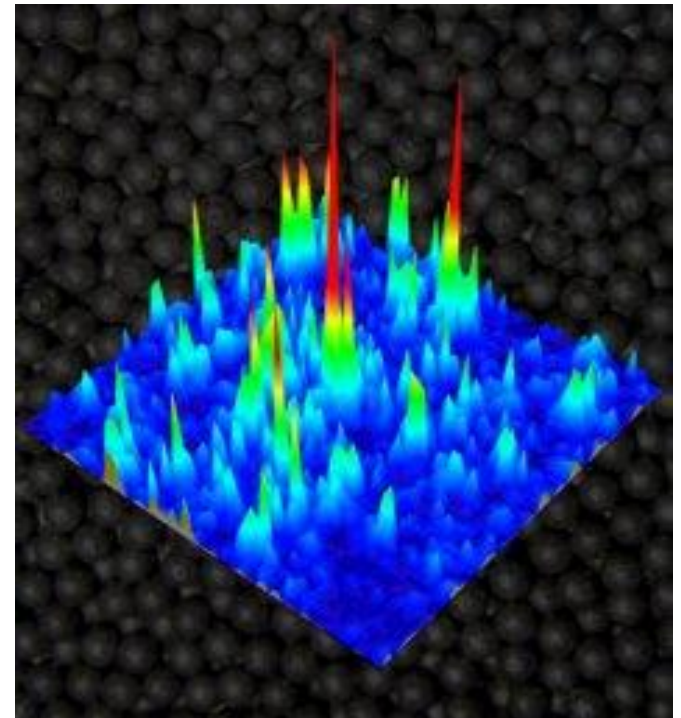
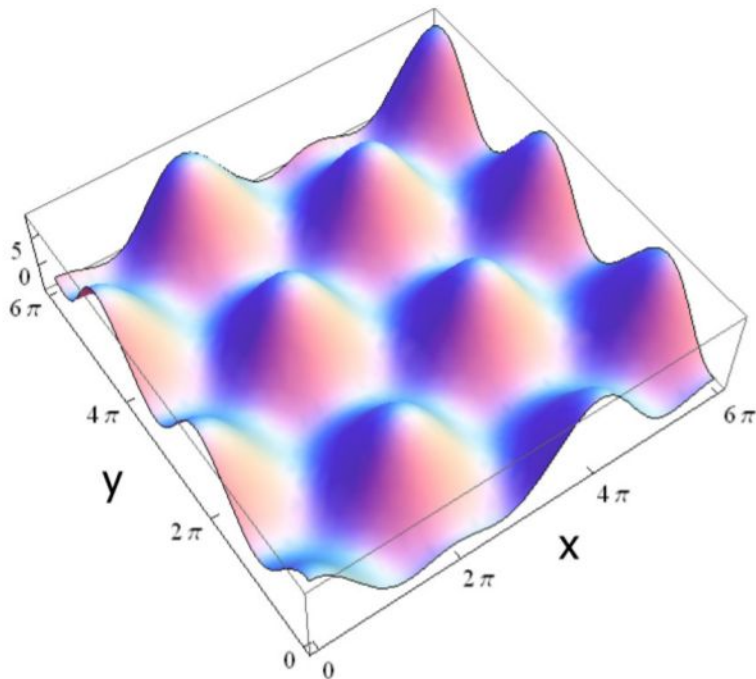
- Power increase can be polynomial in time: as a result of exceptional points



Outline

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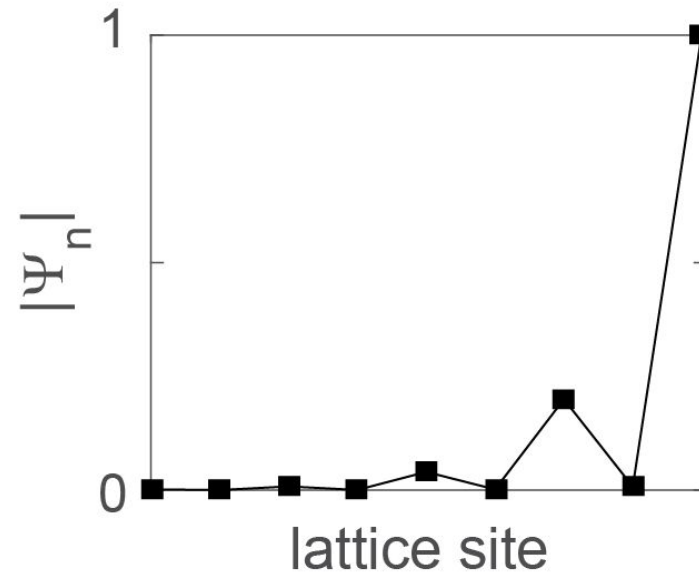
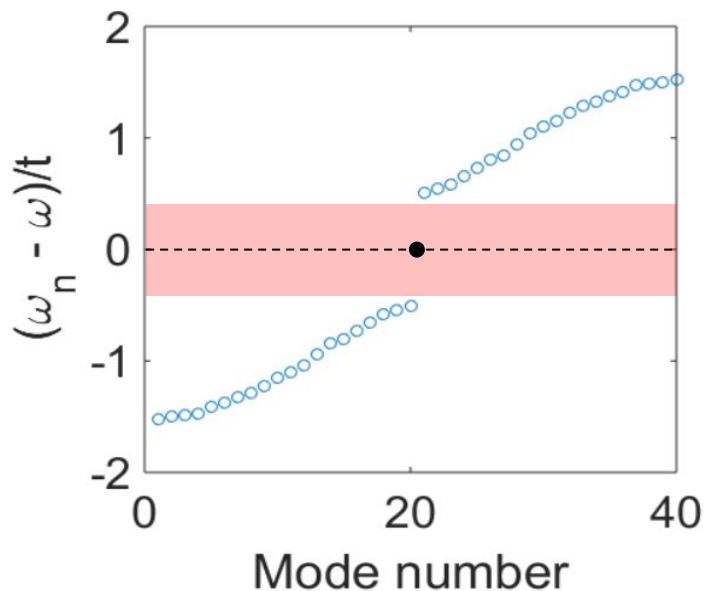
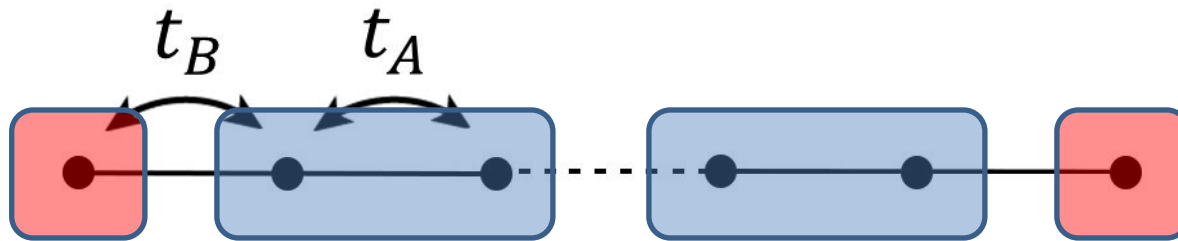
Anderson localization



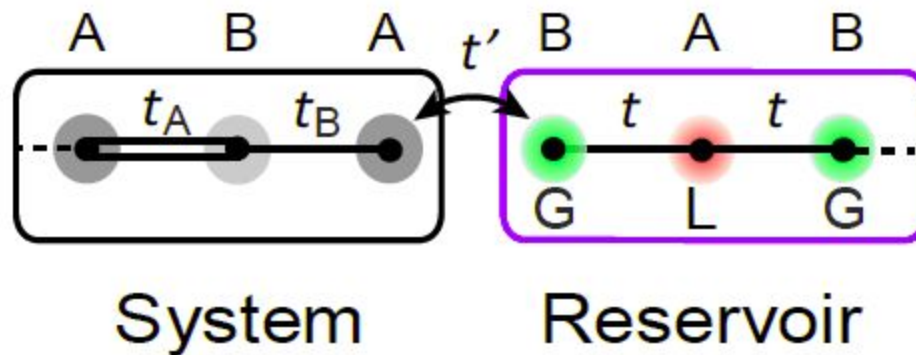
→
Introduce disorder

Localized zero mode

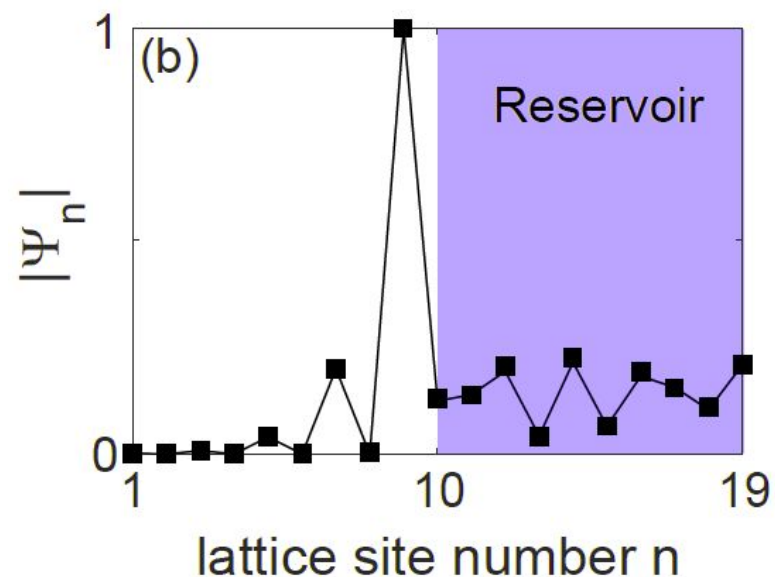
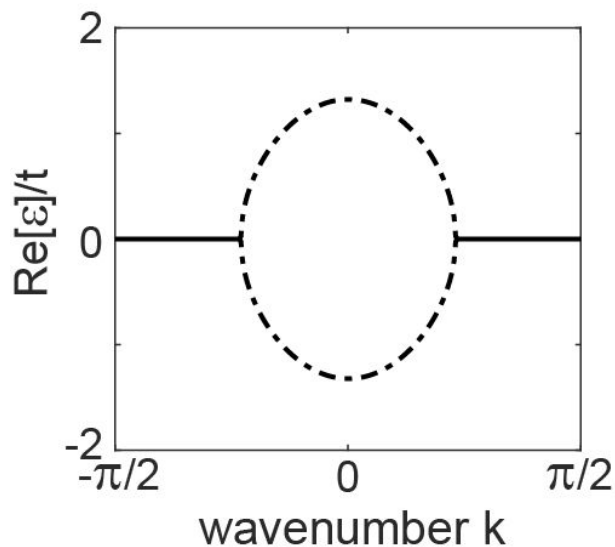
- SSH model: When $t_B < t_A$, two zero modes



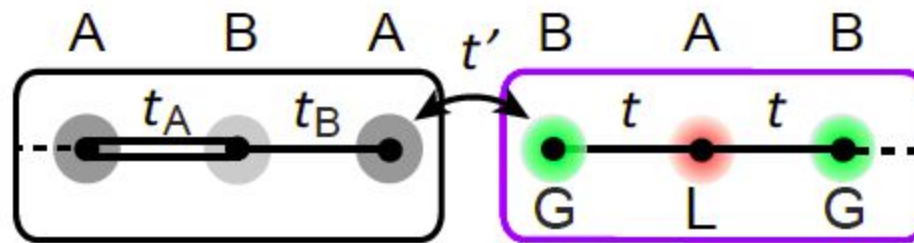
Localized zero mode coupled to a non-Hermitian reservoir



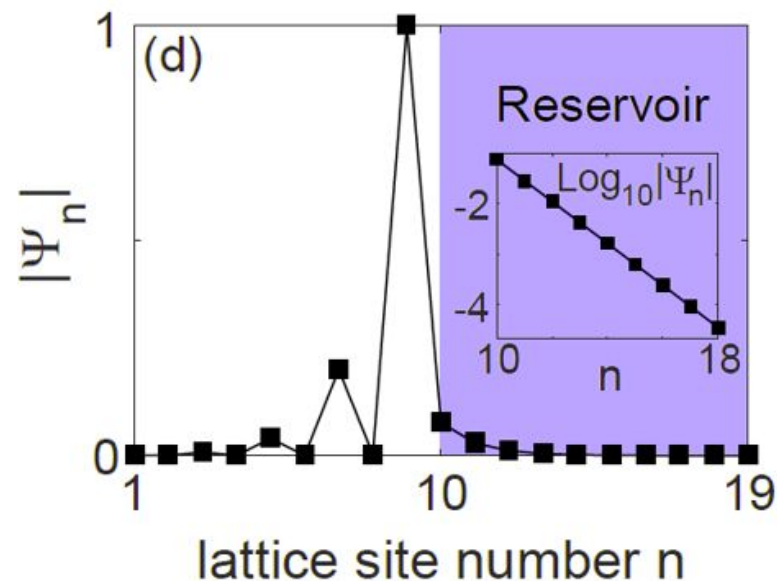
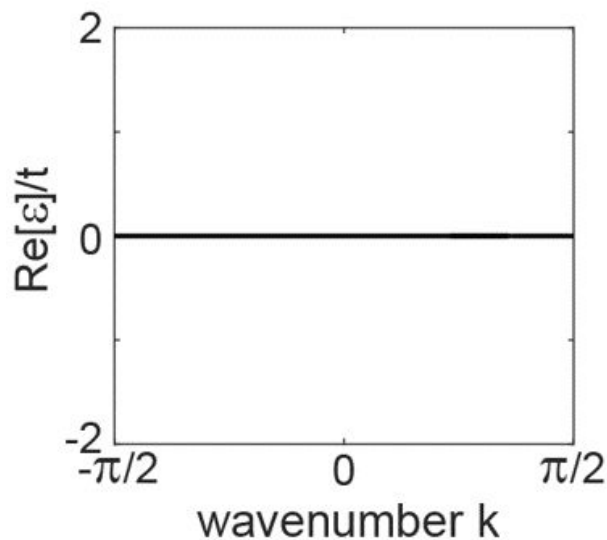
arXiv:1804.00579



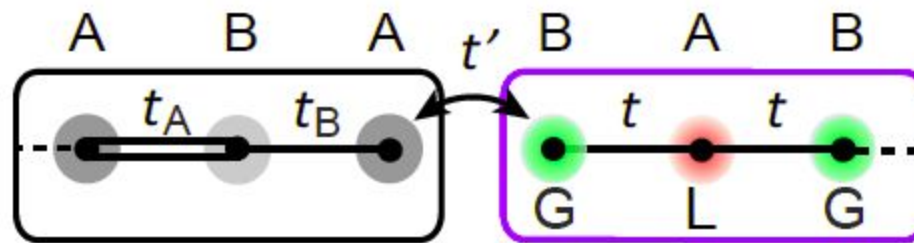
Localized zero mode coupled to a non-Hermitian reservoir



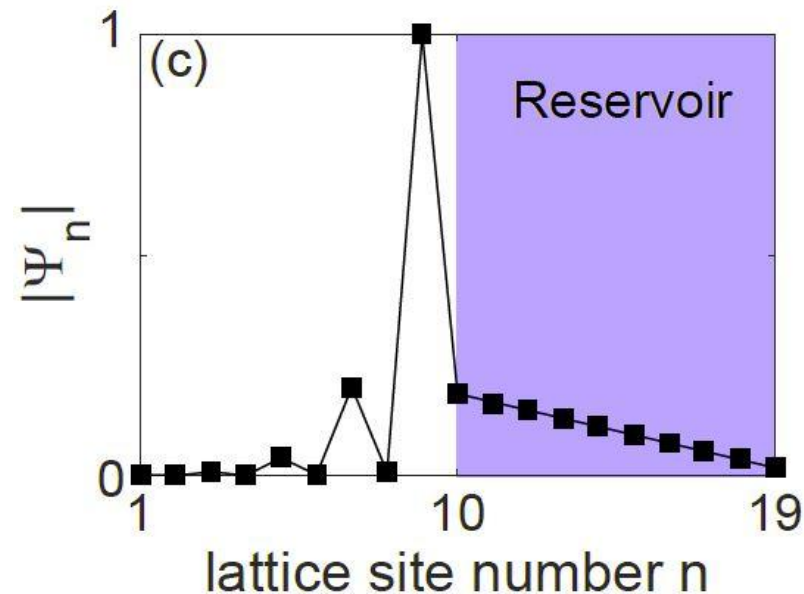
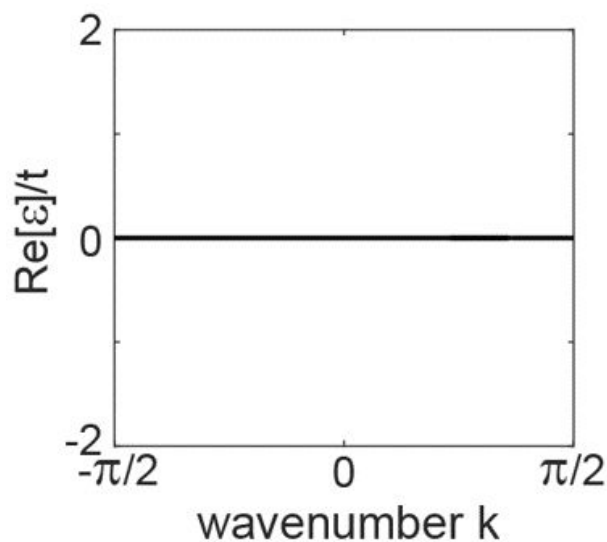
arXiv:1804.00579



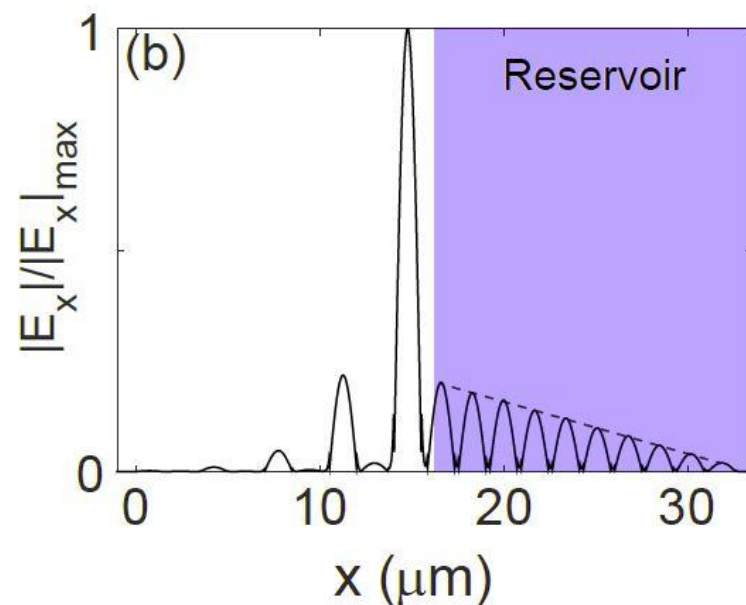
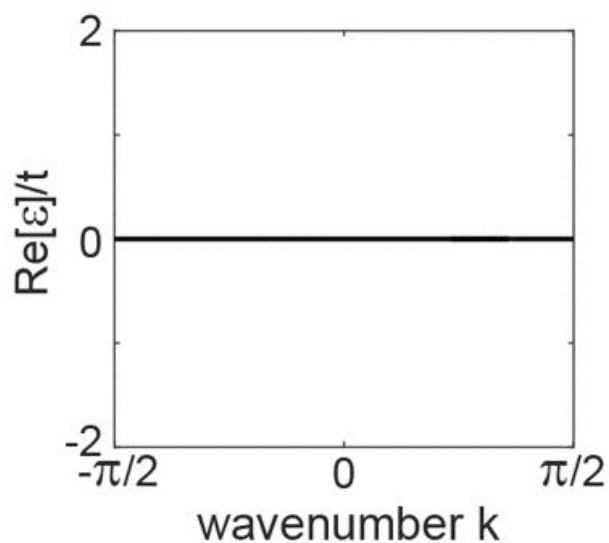
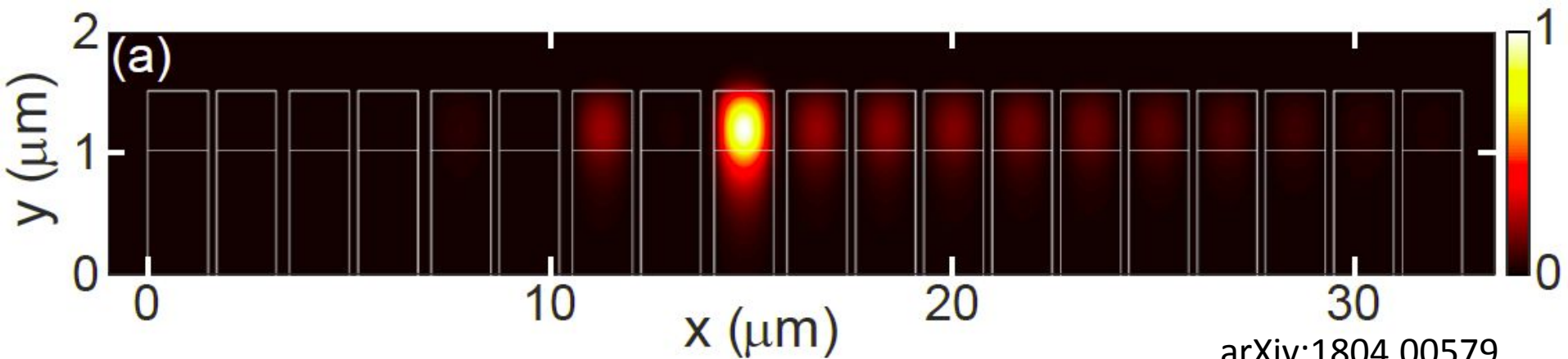
Localized zero mode coupled to a non-Hermitian reservoir



arXiv:1804.00579

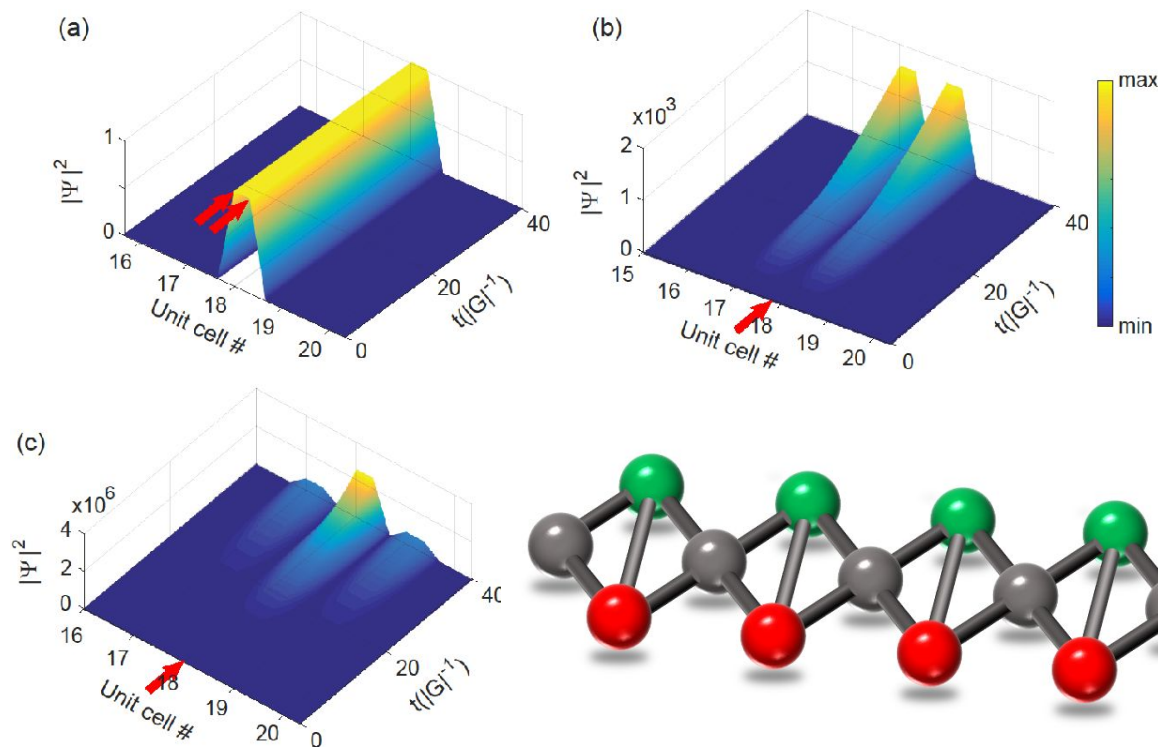


Localized zero mode coupled to a non-Hermitian reservoir



Localized zero mode coupled to a non-Hermitian reservoir

- Linear localization is NOT given by an exceptional point!



Summary

- Non-Hermitian particle-hole symmetry and anti-PT symmetry
- Non-Hermitian zero modes
- Non-Hermitian flat bands and defect states
- Linear localization



National Science Foundation
WHERE DISCOVERIES BEGIN