

Existence and homogenization results  
for a class of singular elliptic problems  
in perforated domains

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EXISTENCE AND UNIQUENESS RESULTS  
FOR A CLASS OF SINGULAR ELLIPTIC PROBLEMS  
IN PERFORATED DOMAINS

-  P. Donato, S. Monsurrò and F. R., *Existence and uniqueness results for a class of singular elliptic problems in perforated domains*, Ric. Mat., **66**, pp. 333–360, 2017.

# The perforated domain

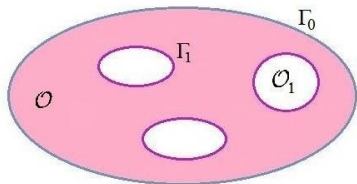


Figure: The perforated domain  $\mathcal{O}$

- $\mathcal{O}_0$  a connected bounded open set in  $\mathbb{R}^N$ ,  $N \geq 2$ , and  $\Gamma_0$  its boundary,
- $\mathcal{O}_1$  a bounded open set such that  $\overline{\mathcal{O}_1} \subset \mathcal{O}_0$  and its boundary  $\Gamma_1$  is Lipschitz continuous,
- $\mathcal{O} := \mathcal{O}_0 \setminus \overline{\mathcal{O}_1}$  the perforated domain,
- $\partial\mathcal{O} = \Gamma_0 \cup \Gamma_1$ .

# The singular elliptic problem

$$\begin{cases} -\operatorname{div}(B(x, u)\nabla u) + \lambda u = f\zeta(u) & \text{in } \mathcal{O}, \\ u = 0 & \text{on } \Gamma_0, \\ (B(x, u)\nabla u)\nu + \rho h(u) = g & \text{on } \Gamma_1, \end{cases}$$

where  $\nu$  denotes the unit external normal vector to  $\mathcal{O}$ .

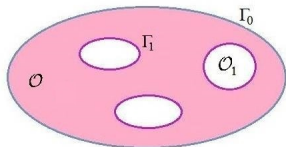


Figure: The perforated domain  $\mathcal{O}$

## Assumptions on the data

**H<sub>1</sub>)** The real  $N \times N$  matrix field  $B : (x, t) \in \mathcal{O} \times \mathbb{R} \mapsto B(x, t) = (b_{i,j}(x, t))_{i,j=1,\dots,N} \in \mathbb{R}^{N^2}$  satisfies the following conditions:

- $$\left\{ \begin{array}{l} \text{i) } B \text{ is a Carathéodory function,} \\ \text{i.e. } B(x, \cdot) \text{ is continuous for a.e. } x \in \mathcal{O} \\ \text{and } B(\cdot, t) \text{ is measurable for every } t \in \mathbb{R}; \\ \text{ii) } B(\cdot, t) \in M(\alpha, \beta, \mathcal{O}), \text{ for every } t \in \mathbb{R}. \end{array} \right.$$

where  $M(\alpha, \beta, \mathcal{O})$  is the set of matrix fields  $B \in (L^\infty(\mathcal{O}))^{N \times N}$  such that  $(B(x)\lambda, \lambda) \geq \alpha|\lambda|^2$  and  $|B(x)\lambda| \leq \beta|\lambda|$ ,  $\forall \lambda \in \mathbb{R}^N$  and almost everywhere on  $\mathcal{O}$ .

**H<sub>2</sub>)**  $\lambda \geq 0$ .

## Assumptions on the data

$$H_3) \quad \left\{ \begin{array}{l} \text{i) } \zeta : [0, +\infty[ \rightarrow [0, +\infty] \text{ is a function such that} \\ \zeta \in C^0([0, +\infty[), \quad 0 \leq \zeta(s) \leq \frac{1}{s^k} \\ \text{for every } s \in ]0, +\infty[, \text{ with } 0 < k \leq 1; \\ \text{ii) } f \geq 0 \text{ a.e. in } \mathcal{O}, \text{ with } f \in L^l(\mathcal{O}), \text{ for } l \geq \frac{2}{1+k} (\geq 1). \end{array} \right.$$

H<sub>4</sub>) The functions  $g$  and  $\rho$  are such that

$$\left\{ \begin{array}{l} \text{i) } 0 \leq g \in L^s(\Gamma_1), \text{ with } \begin{cases} s \geq \frac{2(N-1)}{N} & \text{if } N > 2, \\ s > 1 & \text{if } N = 2; \end{cases} \\ \text{ii) } 0 \leq \rho \in L^\infty(\Gamma_1). \end{array} \right.$$

## Assumptions on the data

H<sub>5</sub>) Either

$$f \not\equiv 0$$

or

$$\partial H_{N-1}(\Gamma_1) > 0 \quad \text{and} \quad g \not\equiv 0,$$

where  $\partial H_{N-1}$  is the  $(N-1)$ -Hausdorff measure in  $\mathbb{R}^N$ .

H<sub>6</sub>) The function  $h$  is an increasing and continuously differentiable function such that for some positive constant  $C$  and an exponent  $q$  one has

$$\left\{ \begin{array}{l} h(0) = 0, \\ |h'(s)| \leq C(1 + |s|^{q-1}), \forall s \in \mathbb{R}, \\ \text{with } 1 \leq q < \infty \text{ if } N = 2, \text{ and } 1 \leq q \leq \frac{N}{N-2} \text{ if } N > 2. \end{array} \right.$$

# Difficulties

- Quasilinear matrix field
- Singular datum
- Nonlinear Robin boundary condition

# Physical meaning of the problem

- **Quasilinear matrix field**: heat diffusion depends on the temperature.
- **Singular datum**: electrical conductivity.
- **Nonlinear Robin boundary condition**: chemical reactions at the boundaries of the perforations.

# The functional framework

$$V = \{v \in H^1(\mathcal{O}) : v = 0 \text{ on } \Gamma_0\}$$

Due to the Poincaré inequality, there exists a positive constant  $C_{\mathcal{O}}$  such that

$$\|v\|_{L^2(\mathcal{O})} \leq C_{\mathcal{O}} \|\nabla v\|_{L^2(\mathcal{O})}, \quad \forall v \in V.$$

Consequently,  $V$  can be equipped by the norm

$$\|v\|_V := \|\nabla v\|_{L^2(\mathcal{O})}, \quad \forall v \in V$$

which is equivalent to the  $H^1$ -norm.

# The variational formulation

$$\left\{ \begin{array}{l} \text{Find } u \in V \text{ such that } u > 0 \text{ a.e. in } \mathcal{O}, \\ \int_{\mathcal{O}} f\zeta(u)\varphi dx < +\infty \text{ and} \\ \int_{\mathcal{O}} B(x, u)\nabla u\nabla\varphi dx + \int_{\Gamma_1} \rho h(u)\varphi d\sigma + \int_{\mathcal{O}} \lambda u\varphi dx \\ = \int_{\mathcal{O}} f\zeta(u)\varphi dx + \int_{\Gamma_1} g\varphi d\sigma, \quad \forall \varphi \in V. \end{array} \right.$$

## A priori estimates

### Proposition

Under assumptions  $H_1)$ - $H_6)$ , let  $u \in V$  be a solution of the problem. The following a priori estimate holds:

$$\|u\|_V \leq c,$$

where  $c$  depends on  $N, \alpha, s, C_{\mathcal{O}}, \|f\|_{L^1(\mathcal{O})}$  and  $\|g\|_{L^s(\Gamma_1)}$ .

### Proposition

Under assumptions  $H_1)$ - $H_6)$ , let  $u \in V$  be a solution of the problem. Then

$$\|f\zeta(u)\varphi\|_{L^1(\mathcal{O})} \leq c,$$

for every positive  $\varphi \in V$  with  $c$  depending on  $N, \alpha, \beta, s, q, C_{\mathcal{O}}, \lambda, \|\varphi\|_V, \|f\|_{L^1(\mathcal{O})}, \|\rho\|_{L^\infty(\Gamma_1)}$  and  $\|g\|_{L^s(\Gamma_1)}$ .

## Proposition

Under assumptions  $H_1)$ - $H_6)$ , let  $u \in V$  be a solution of the problem and  $\delta$  a fixed positive real number. Then,

$$\int_{\{0 \leq u \leq \delta\}} f \zeta(u) \varphi dx \leq \int_{\mathcal{O}} B(x, u) \nabla u \nabla \varphi Z_{\delta}(u) dx$$
$$+ h(2\delta) \|\rho\|_{L^{\infty}(\Gamma_1)} \|\varphi\|_{L^1(\Gamma_1)} + 2\delta \lambda \|\varphi\|_{L^1(\mathcal{O})},$$

for every  $\varphi \in V$ ,  $\varphi \geq 0$ , ...

...where  $Z_\delta$  is defined by

$$Z_\delta(s) = \begin{cases} 1, & \text{if } 0 \leq s \leq \delta, \\ -\frac{s}{\delta} + 2, & \text{if } \delta \leq s \leq 2\delta, \\ 0, & \text{if } s \geq 2\delta. \end{cases}$$

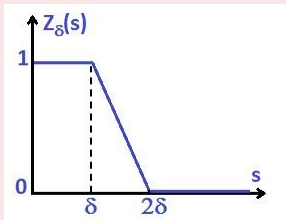


Figure: The auxiliary function

## The approximate problem

As usual in the literature, in order to prove the existence of a solution for our problem, we approximate it with a sequence of nonsingular problems with a bounded nonlinearity in the equation:

$$\begin{cases} -\operatorname{div}(B(x, u_m)\nabla u_m) + \lambda u_m = T_m(f\zeta(|u_m|)) & \text{in } \mathcal{O}, \\ u_m = 0 & \text{on } \Gamma_0, \\ (B(x, u_m)\nabla u_m)\nu + \rho h(u_m) = g & \text{on } \Gamma_1, \end{cases}$$

where, for every  $m \in \mathbb{N}$ , the function  $T_m$  is the usual truncation function at level  $m$ .

▷ Thanks to this approximation, we avoid the singularity at zero!

# The existence result for the approximate problem

Fix  $w \in V$  and consider the following problem

$$\left\{ \begin{array}{l} \text{Find } u \in V \text{ such that } \forall v \in V, \\ \int_{\mathcal{O}} B(x, w) \nabla u \nabla v dx + \int_{\Gamma_1} \rho h(u) v d\sigma + \int_{\mathcal{O}} \lambda u v dx \\ = \int_{\mathcal{O}} T_m(f\zeta(|w|)) v dx + \int_{\Gamma_1} g v d\sigma, \end{array} \right.$$

that admits a unique solution.



D. Cioranescu, P. Donato and R. Zaki, *Asymptotic behaviour of elliptic problems in perforated domains with nonlinear boundary conditions*, *Asymptotic Analysis*, 53 (2007), 209-235.

▷  $T : w \in V \mapsto T(w) = u \in V$

### Theorem (Schauder fixed-point theorem)

Let  $K$  be a convex closed set of a Banach space  $B$ . Let  $T : K \subset B \rightarrow B$  be a continuous map such that  $T(K) \subset K$  and  $T(K)$  is relatively compact in  $K$ . Then  $T$  has a fixed point in  $K$ .



### Theorem

Under assumptions  $H_1)$ - $H_6)$ , for every fixed  $m \in \mathbb{N}$ , the approximate problem admits at least a solution. Moreover,  $u_m \geq 0$  almost everywhere in  $\mathcal{O}$ .

# How to find our solution

Fix  $m \in \mathbb{N}$ , let  $u_m \in V$  be a solution of the approximate problem.

▷ The three a priori estimates apply to  $u_m$ , uniformly with respect to  $m$ .

▷ There exists  $u \in V$  such that, up to a subsequence,

$$\begin{cases} \text{i) } u_m \rightharpoonup u \text{ weakly in } V, \\ \text{ii) } u_m \rightarrow u \text{ strongly in } L^2(\mathcal{O}), \\ \text{iii) } u_m \rightarrow u \text{ a.e. in } \mathcal{O}. \end{cases}$$

▷ By passing to the limit in the approximate problem as  $m \rightarrow +\infty$ , one has that the limit function  $u$  is a solution of our problem.

# The uniqueness result

$H_{1'})$  There exists a real function  $\omega : \mathbb{R} \rightarrow \mathbb{R}$  satisfying the following conditions:


- i)  $\omega$  is continuous and non decreasing, with  $\omega(t) > 0 \forall t > 0$ ;
- ii)  $|B(x, t_1) - B(x, t_2)| \leq \omega(|t_1 - t_2|)$  for a.e.  $x \in \mathcal{O}, \forall t_1 \neq t_2$ ;
- iii)  $\forall y > 0, \lim_{x \rightarrow 0^+} \int_x^y \frac{dt}{\omega(t)} = +\infty$ .

$H_{3'})$  The function  $\zeta$  is non increasing in  $[0, +\infty[$ .

## Theorem

*Under assumptions  $H_1)$ - $H_6)$  and the additional ones  $H_{1'})$  and  $H_{3'})$ , the problem admits a unique solution.*

HOMOGENIZATION AND CORRECTOR RESULTS  
FOR SINGULAR ELLIPTIC PROBLEMS  
IN PERIODICALLY PERFORATED DOMAINS

-  P. Donato, S. Monsurrò and F. R., *Homogenization of a class of singular elliptic problems in perforated domains*, *Nonlinear Analysis*, **173**, pp. 180–208 (2018).

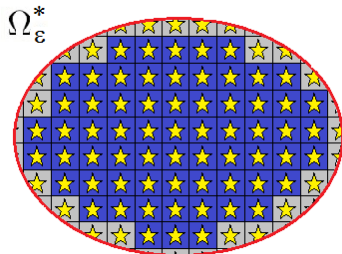


Figure: The periodically perforated domain  $\Omega_\epsilon^*$

- $\Omega$  a connected bounded open set in  $\mathbb{R}^N$ ,  $N \geq 2$ , and  $\partial\Omega$  its Lipschitz-continuous boundary.

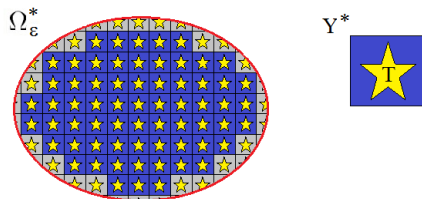
# The perforated cell



Figure: The perforated cell  $Y^*$

- $Y$  the reference cell,
- $T$  a nonempty open subset such that  $\overline{T} \subset Y$  and its boundary  $\partial T$  is Lipschitz-continuous with a finite number of connected components,
- $Y^* := Y \setminus \overline{T}$  the perforated cell,
- $\theta \doteq \frac{|Y^*|}{|Y|}$ .

# The domain



**Figure:** The periodically perforated domain  $\Omega_\epsilon^*$  and the perforated cell  $Y^*$

- $\{\epsilon\}_{\epsilon>0}$  a positive parameter taking values in a sequence converging to zero,
- $T_\epsilon$  the union of the disjoint translated sets of  $T$  rescaled by  $\epsilon$ ,
- $\Omega_\epsilon^* := \Omega \setminus \overline{T_\epsilon}$  the periodically perforated domain,
- $\partial\Omega_\epsilon^* = \Gamma_0^\epsilon \cup \Gamma_1^\epsilon$ .

# The aim

To study the asymptotic behavior, as  $\varepsilon$  goes to zero, of

$$\begin{cases} -\operatorname{div}(A^\varepsilon(x, u_\varepsilon)\nabla u_\varepsilon) = f\zeta(u_\varepsilon) & \text{in } \Omega_\varepsilon^*, \\ u_\varepsilon = 0 & \text{on } \Gamma_0^\varepsilon, \\ (A^\varepsilon(x, u_\varepsilon)\nabla u_\varepsilon)\nu + \varepsilon^\gamma \rho_\varepsilon(x)h(u_\varepsilon) = g_\varepsilon & \text{on } \Gamma_1^\varepsilon, \end{cases}$$

where  $\nu$  denotes the unit external normal vector to the domain.

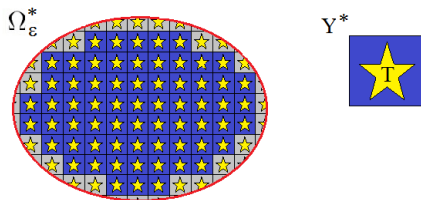


Figure: The perforated domain  $\Omega_\varepsilon^*$

## Assumptions on the data

- $\hookrightarrow$   $A, f, \zeta, \rho, h$  and  $g$  as before.
- $\hookrightarrow$   $A(\cdot, t)$  is  $Y$ -periodic for every  $t$ .
- $\hookrightarrow$   $\rho$  and  $g$  are  $Y$ -periodic.
- $\hookrightarrow$   $\gamma \geq 1$ .

For almost every  $x \in \Omega$  and every  $t \in \mathbb{R}$ , we set

$$A^\varepsilon(x, t) \doteq A\left(\frac{x}{\varepsilon}, t\right).$$

For almost every  $x \in \Gamma_1^\varepsilon$ , we set

$$g^\varepsilon(x) \doteq \varepsilon g\left(\frac{x}{\varepsilon}\right) \quad \text{and} \quad \rho^\varepsilon(x) \doteq \varepsilon \rho\left(\frac{x}{\varepsilon}\right).$$

# The functional framework

$$V_\varepsilon = \{v \in H^1(\Omega_\varepsilon^*) : v = 0 \text{ on } \Gamma_0^\varepsilon\}$$

The Poincaré inequality in  $V_\varepsilon$  holds with a constant  $c_P$  independent on  $\varepsilon$ , that is

$$\|v\|_{L^2(\Omega_\varepsilon^*)} \leq c_P \|\nabla v\|_{L^2(\Omega_\varepsilon^*)}, \quad \forall v \in V_\varepsilon.$$

Consequently,  $V_\varepsilon$  can be equipped by the norm

$$\|v\|_{V_\varepsilon} := \|\nabla v\|_{L^2(\Omega_\varepsilon^*)}, \quad \forall v \in V_\varepsilon$$

which is equivalent to the  $H^1$ -norm via a constant independent on  $\varepsilon$ .



D. Cioranescu and J. Saint Jean Paulin, *Homogenization in open sets with holes*, J. Math. Anal. Appl., **71**, pp. 590–607 (1979).

# The $\varepsilon$ -problem

$$\left\{ \begin{array}{l} \text{Find } u_\varepsilon \in V_\varepsilon \text{ such that } u_\varepsilon > 0 \text{ a.e. in } \Omega_\varepsilon^*, \\ \int_{\Omega_\varepsilon^*} f\zeta(u_\varepsilon)\varphi dx < +\infty \text{ and} \\ \int_{\Omega_\varepsilon^*} A^\varepsilon(x, u_\varepsilon)\nabla u_\varepsilon \nabla \varphi dx + \varepsilon^\gamma \int_{\Gamma_1^\varepsilon} \rho_\varepsilon h(u_\varepsilon)\varphi d\sigma \\ = \int_{\Omega_\varepsilon^*} f\zeta(u_\varepsilon)\varphi dx + \int_{\Gamma_1^\varepsilon} g_\varepsilon \varphi d\sigma, \quad \forall \varphi \in V_\varepsilon. \end{array} \right.$$

★ This problem admits a unique solution, for every fixed  $\varepsilon$ .

## Uniform a priori estimates

Under our assumptions, let  $u_\varepsilon \in V_\varepsilon$  be the solution of the problem. The following a priori estimates hold:

$$\star \quad \|u_\varepsilon\|_{V_\varepsilon} \leq c,$$

where  $c$  depends on  $\alpha$ ,  $c_P$ ,  $\|f\|_{L^1(\Omega)}$  and  $\mathcal{M}_{\partial T}(g)$ . Also, up to a subsequence,

$$\star \quad \|f\zeta(u_\varepsilon)\varphi\|_{L^1(\Omega_\varepsilon^*)} \leq c,$$

for every nonnegative  $\varphi \in H_0^1(\Omega) \cup L^\infty(\Omega)$  with  $c$  depending on  $\alpha$ ,  $\beta$ ,  $c_P$ ,  $|Y|$ ,  $\|\nabla\varphi\|_{L^2(\Omega_\varepsilon^*)}$ ,  $\|f\|_{L^1(\Omega)}$ ,  $\|\rho\|_{L^\infty(\partial T)}$  and  $\mathcal{M}_{\partial T}(g)$ .

## A third bound

Under our assumptions, let  $u_\varepsilon \in V_\varepsilon$  be the solution of the problem and  $\delta$  a fixed positive real number. Then,

$$\begin{aligned} \star \int_{\{0 < u_\varepsilon \leq \delta\}} f \zeta(u_\varepsilon) \varphi dx &\leq \int_{\Omega_\varepsilon^*} A^\varepsilon(x, u_\varepsilon) \nabla u_\varepsilon \nabla \varphi Z_\delta(u_\varepsilon) dx \\ &\quad + c \varepsilon^{\gamma - \frac{1}{2}} h(2\delta) \|\rho\|_{L^\infty(\partial T)} \|\varphi\|_{V_\varepsilon}, \end{aligned}$$

for every  $\varphi \in V_\varepsilon$ ,  $\varphi \geq 0$  and  $c$  independent on  $\varepsilon$ .

# The periodic unfolding operators

For any Lebesgue-measurable function  $\phi$  on  $\Omega_\varepsilon^*$ ,

$$\mathcal{T}_\varepsilon^*(\phi)(x, y) \doteq \begin{cases} \phi\left(\varepsilon \left[\frac{x}{\varepsilon}\right]_Y + \varepsilon y\right) & \text{a.e. for } (x, y) \in \widehat{\Omega}_\varepsilon \times Y^*, \\ 0 & \text{a.e. for } (x, y) \in \Lambda_\varepsilon \times Y^*. \end{cases}$$

For any Lebesgue-measurable function  $\phi$  on  $\partial\widehat{\Omega}_\varepsilon^* \cap \partial T_\varepsilon$ , the boundary unfolding operator  $\mathcal{T}_\varepsilon^b$  is defined as follows:

$$\mathcal{T}_\varepsilon^b(\phi)(x, y) \doteq \begin{cases} \phi\left(\varepsilon \left[\frac{x}{\varepsilon}\right]_Y + \varepsilon y\right) & \text{a.e. for } (x, y) \in \widehat{\Omega}_\varepsilon \times \partial T, \\ 0 & \text{a.e. for } (x, y) \in \Lambda_\varepsilon \times \partial T. \end{cases}$$

## Some properties

For all  $\phi \in L^1(\Omega_\varepsilon^*)$ , the following **integration formula** holds:

$$\int_{\Omega_\varepsilon^*} \phi(x) dx = \int_{\Lambda_\varepsilon^*} \phi(x) dx + \frac{1}{|Y|} \int_{\Omega \times Y^*} \mathcal{T}_\varepsilon^*(\phi)(x, y) dx dy.$$

### Unfolding criterion for integrals

Let  $\phi_\varepsilon$  be in  $L^1(\Omega_\varepsilon^*)$ . If

$$\int_{\Lambda_\varepsilon^*} |\phi_\varepsilon| dx \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0,$$

then

$$\lim_{\varepsilon \rightarrow 0} \int_{\Omega_\varepsilon^*} \phi_\varepsilon dx = \lim_{\varepsilon \rightarrow 0} \frac{1}{|Y|} \int_{\Omega \times Y^*} \mathcal{T}_\varepsilon^*(\phi_\varepsilon) dx dy.$$

# The homogenization process

## Proposition

Let  $u_\varepsilon \in V_\varepsilon$  be the unique solution of the problem.

Then, there exist **a subsequence** and two functions  $u_0 \in H_0^1(\Omega)$  and  $\hat{u} \in L^2(\Omega; H_{per}^1(Y^*))$  with  $\mathcal{M}_{Y^*}(\hat{u}) = 0$ , such that

$$\begin{array}{l} \text{(\clubsuit)} \left\{ \begin{array}{ll} \text{i) } \mathcal{T}_\varepsilon^*(u_\varepsilon) \rightarrow u_0 & \text{strongly in } L^2(\Omega; H^1(Y^*)) \\ & \text{and a.e. in } \Omega \times Y^*, \\ \text{ii) } \mathcal{T}_\varepsilon^*(\zeta(u_\varepsilon)) \rightarrow \zeta(u_0) & \text{a.e. in } \Omega \times Y^*, \\ \text{iii) } \tilde{u}_\varepsilon \rightharpoonup \theta u_0 & \text{weakly in } L^2(\Omega), \\ \text{iv) } \mathcal{T}_\varepsilon^*(\nabla u_\varepsilon) \rightharpoonup \nabla u_0 + \nabla_y \hat{u} & \text{weakly in } L^2(\Omega \times Y^*), \\ \text{v) } \mathcal{T}_\varepsilon^b(h(u_\varepsilon)) \rightarrow h(u_0) & \text{strongly in } L^t(\Omega; W^{1-\frac{1}{t}, t}(\partial T)) \end{array} \right. \end{array}$$

where

$$t \doteq \begin{cases} \in (1; 2) & \text{if } N = 2 \text{ and } q > 1, \\ \frac{2N}{q(N-2) + 2} & \text{otherwise.} \end{cases}$$

Moreover,

$u_0 \geq 0$  almost everywhere in  $\Omega$  and

$$\int_{\Omega} f \zeta(u_0) \varphi dx < +\infty, \quad \forall \varphi \in H_0^1(\Omega) \cap L^\infty(\Omega).$$



D. Cioranescu, A. Damlamian, P. Donato, G. Griso and R. Zaki, *The periodic unfolding method in domains with holes*, SIAM J. Math. Anal., **44**, No. 2, pp. 718–760 (2012).

## Identification of $\hat{u}$

Let  $(u_0, \hat{u})$  be given by the previous proposition. Then

$$\hat{u}(y, x) = - \sum_{i=1}^N \hat{\chi}_{e_i}(y, u_0(x)) \frac{\partial u_0}{\partial x_i}(x) \in L^2(\Omega; H_{per}^1(Y^*)),$$

where  $\{e_1, \dots, e_N\}$  is the canonical basis of  $\mathbb{R}^N$  and  $\hat{\chi}_\lambda(y, t)$  is solution of the following cell problem:

$$\left\{ \begin{array}{ll} -\operatorname{div}(A(\cdot, t) \nabla_y \hat{\chi}_\lambda(\cdot, t)) = -\operatorname{div}(A(\cdot, t) \lambda) & \text{in } Y^*, \\ A(\cdot, t) (\lambda - \nabla_y \hat{\chi}_\lambda(\cdot, t)) \nu = 0 & \text{on } \partial T, \\ \hat{\chi}_\lambda(\cdot, t) \text{ Y-periodic,} \\ \frac{1}{|Y^*|} \int_{Y^*} \hat{\chi}_\lambda(y, t) dy = 0, \end{array} \right.$$

for every  $t \in \mathbb{R}$  and  $\lambda \in \mathbb{R}^N$ .

# The homogenized matrix

For every fixed  $t \in \mathbb{R}$ , the homogenized matrix  $A^0(t)$  is defined by (see Artola-Duvaut)

$$A^0(t)\lambda \doteq \frac{1}{|Y|} \int_{Y^*} A(y, t) \nabla_y \widehat{\omega}_\lambda(y, t) dy \quad \forall \lambda \in \mathbb{R}^N,$$

where, for every  $t \in \mathbb{R}$  and  $\lambda \in \mathbb{R}^N$ ,

$$\widehat{\omega}_\lambda(y, t) = \lambda \cdot y - \widehat{\chi}_\lambda(y, t)$$

and  $\widehat{\chi}_\lambda(y, t)$  previously given.

Moreover, it verifies the following properties:

- ▶  $A^0(u_0)\nabla u_0 = \frac{1}{|Y|} \int_{Y^*} A(y, u_0)(\nabla u_0 + \nabla_y \hat{u}) dy;$
- ▶  $A^0$  is continuous and  $A^0(t) \in M\left(\alpha, \frac{\beta^2}{\alpha}, \Omega\right)$  for every  $t \in \mathbb{R};$
- ▶ there exists a positive constant  $C$ , depending on  $\alpha, \beta, Y$  and  $T$ ,  
s.t.  $|A^0(t_1) - A^0(t_2)| \leq C\omega(|t_1 - t_2|)$   
for every  $t_1, t_2 \in \mathbb{R}$ , with  $t_1 \neq t_2$ ,

where  $\omega$  is the function given for the uniqueness of the solution.



B. Cabarrubias and P. Donato, *Homogenization of a quasilinear elliptic problem with nonlinear Robin boundary conditions*, Appl. Anal. (6), **91**, No. 6, 1111–1127 (2012).

# The homogenization theorem

Let  $u_\varepsilon \in V_\varepsilon$  be the unique solution of the problem and  $(u_0, \hat{u})$  be given by the previous propositions.

Then  $(u_0, \hat{u})$  is the unique solution of the unfolded limit equation

$$\left\{ \begin{array}{l} \forall \varphi \in H_0^1(\Omega) \cap L^\infty(\Omega) \text{ and } \forall \psi \in L^2(\Omega; H_{per}^1(Y^*)) \\ \int_{\Omega \times Y^*} A(y, u_0)(\nabla u_0 + \nabla_y \hat{u})(\nabla \varphi + \nabla_y \psi) dx dy \\ + |Y| c_\gamma \int_{\Omega} h(u_0) \varphi dx = |Y^*| \int_{\Omega} f \zeta(u_0) \varphi dx \\ + |\partial T| \mathcal{M}_{\partial T}(g) \int_{\Omega} \varphi dx, \end{array} \right.$$

$$\text{where } c_\gamma \doteq \begin{cases} \frac{|\partial T|}{|Y|} \mathcal{M}_{\partial T}(\rho) & \text{if } \gamma = 1, \\ 0 & \text{if } \gamma > 1. \end{cases}$$

Furthermore,  $u_0 > 0$  almost everywhere in  $\Omega$  and  $u_0$  is the unique solution of the following singular limit problem:

$$\begin{cases} -\operatorname{div}(A^0(u_0)\nabla u_0) + c_\gamma h(u_0) = \theta f\zeta(u_0) + \frac{|\partial T|}{|Y|} \mathcal{M}_{\partial T}(g) & \text{in } \Omega, \\ u_0 = 0 & \text{on } \partial\Omega. \end{cases}$$

This implies the uniqueness of  $\hat{u}$  under the condition  $\mathcal{M}_{Y^*}(\hat{u}) = 0$ , in view of the expression of  $\hat{u}$  in terms of  $u_0$ .

Consequently, convergences () hold for the whole sequence.

## Sketch of the proof

How to pass to the limit in

$$\begin{aligned} & \int_{\Omega_\varepsilon^*} A^\varepsilon(x, u_\varepsilon) \nabla u_\varepsilon \nabla \varphi \, dx + \varepsilon^\gamma \int_{\Gamma_1^\varepsilon} \rho_\varepsilon h(u_\varepsilon) \varphi \, d\sigma \\ &= \int_{\Omega_\varepsilon^*} f_\zeta(u_\varepsilon) \varphi \, dx + \int_{\Gamma_1^\varepsilon} g_\varepsilon \varphi \, d\sigma, \quad \varphi \in V_\varepsilon. \end{aligned}$$

▷ Two main tools

## Proposition

There exists a linear operator  $\mathcal{L}_\varepsilon : H^{-1}(\Omega) \rightarrow V'_\varepsilon$  satisfying the following condition:

If  $\{\varphi_\varepsilon\}$  is a sequence such that

$$\|\varphi_\varepsilon\|_{V_\varepsilon} \leq c \quad \text{and} \quad \widetilde{\varphi}_\varepsilon \rightharpoonup \theta\varphi_0 \quad \text{weakly in } L^2(\Omega),$$

then

$$\lim_{\varepsilon \rightarrow 0} \langle \mathcal{L}_\varepsilon(Z), \varphi_\varepsilon \rangle_{V'_\varepsilon, V_\varepsilon} = \langle Z, \varphi_0 \rangle_{H^{-1}(\Omega), H_0^1(\Omega)}.$$



I. Chourabi and P. Donato, *Homogenization of elliptic problems with quadratic growth and nonhomogenous Robin conditions in perforated domains*, Chin. Ann. Math. Ser. B, **37**, No. 6, pp. 833–852 (2016).

Let  $u_0$  be a weak cluster point of the sequence  $\{u_\varepsilon\}$ .

Let us introduce the following linear problem associated with  $u_0$ :

$$\begin{cases} -\operatorname{div}(A^\varepsilon(x, u_\varepsilon)\nabla v_\varepsilon) & \text{in } \Omega_\varepsilon^*, \\ \quad = \mathcal{L}_\varepsilon(-\operatorname{div}(A^0(u_0)\nabla u_0) + c_\gamma h(u_0) - \frac{|\partial T|}{|Y|} \mathcal{M}_{\partial T}(g)), & \\ v_\varepsilon = 0 & \text{on } \Gamma_0^\varepsilon, \\ (A^\varepsilon(x, u_\varepsilon)\nabla v_\varepsilon)\nu + \varepsilon^\gamma \rho_\varepsilon(x)h(u_\varepsilon) = g_\varepsilon & \text{on } \Gamma_1^\varepsilon, \end{cases}$$

whose homogenization has been studied in



I. Chourabi and P. Donato, *Homogenization and correctors of a class of elliptic problems in perforated domains*, *Asymptot. Anal.*, **92**, No. 1-2, pp. 1-43 (2015).



$$\rightsquigarrow \quad \|v_\varepsilon\|_{V_\varepsilon} \leq c \quad \text{and} \quad \tilde{v}_\varepsilon \rightharpoonup \theta u_0 \quad \text{weakly in } L^2(\Omega).$$

## Tool 1: A crucial auxiliary result

### Theorem

Under our assumptions, let  $u_\varepsilon$  and  $v_\varepsilon$  be solutions of the problems. Then, for the subsequence verifying  $(\clubsuit)$ ,

$$\lim_{\varepsilon \rightarrow 0} \|\nabla u_\varepsilon - \nabla v_\varepsilon\|_{L^2(\Omega_\varepsilon^*)} = 0.$$

-  A. Bensoussan, L. Boccardo and F. Murat, *H-convergence for quasi-linear elliptic equations with quadratic growth*, Appl. Math. Optim., **26**, No. 3, pp. 253–272 (1992).
-  P. Donato and D. Giachetti, *Existence and homogenization for a singular problem through rough surfaces*, SIAM J. Math. Anal., **48**, pp. 4047–4086 (2016).

If we take as test function

$$\psi_\varepsilon(x) = \varphi(x) + \varepsilon\phi(x)\xi\left(\frac{x}{\varepsilon}\right) \in V_\varepsilon,$$

with  $\varphi \in H_0^1(\Omega) \cap L^\infty(\Omega)$ ,  $\phi \in \mathcal{D}(\Omega)$  and  $\xi \in \mathcal{C}_{per}^1(Y^*)$ , then

$$\begin{aligned} & \triangleright \int_{\Omega_\varepsilon^*} A^\varepsilon(x, u_\varepsilon) \nabla u_\varepsilon \nabla \psi_\varepsilon dx \\ &= \int_{\Omega_\varepsilon^*} A^\varepsilon(x, u_\varepsilon) \nabla(u_\varepsilon - v_\varepsilon) \nabla \psi_\varepsilon dx + \int_{\Omega_\varepsilon^*} A^\varepsilon(x, u_\varepsilon) \nabla v_\varepsilon \nabla \psi_\varepsilon dx \\ &\longrightarrow \frac{1}{|Y|} \int_{\Omega \times Y^*} A(y, u_0) (\nabla u_0 + \nabla_y \hat{u}) (\nabla \varphi + \phi \nabla_y \xi) dx dy. \end{aligned}$$

## Tool 2: Decomposition of the singular term

- ▷ Split the integral of the singular term into two terms:

$$\int_{\Omega_\varepsilon^*} f\zeta(u_\varepsilon)\psi_\varepsilon dx = \int_{\{0 < u_\varepsilon \leq \delta\}} f\zeta(u_\varepsilon)\psi_\varepsilon dx + \int_{\{u_\varepsilon > \delta\}} f\zeta(u_\varepsilon)\psi_\varepsilon dx.$$

▷ We assume that  $\psi_\varepsilon$  is nonnegative without loss of generality.

$$\begin{aligned} \int_{\{0 < u_\varepsilon \leq \delta\}} f\zeta(u_\varepsilon)\psi_\varepsilon dx &\leq \int_{\Omega_\varepsilon^*} A^\varepsilon(x, u_\varepsilon) \nabla u_\varepsilon \nabla \psi_\varepsilon Z_\delta(u_\varepsilon) dx \\ &\quad + c\varepsilon^{\gamma - \frac{1}{2}} h(2\delta) \|\rho\|_{L^\infty(\partial T)} \|\psi_\varepsilon\|_{V_\varepsilon} \end{aligned} \longrightarrow 0$$

and

$$\int_{\{u_\varepsilon > \delta\}} f\zeta(u_\varepsilon)\psi_\varepsilon dx \longrightarrow \theta \int_{\Omega} f\zeta(u_0)\varphi dx.$$



## The corrector result

Let us introduce the usual corrector matrix field for perforated domains defined by

$$C^\varepsilon(\cdot, t) = (C_{i,j}^\varepsilon(\cdot, t))_{i,j=1,\dots,N} \in \mathbb{R}^{N^2}, \quad \text{for every } t \in \mathbb{R},$$

where

$$\begin{cases} C^\varepsilon(x, t) = C\left(\frac{x}{\varepsilon}, t\right) & \text{a.e. in } \Omega_\varepsilon^*, \\ C_{i,j}(y, t) = \frac{\partial \hat{\omega}_j}{\partial y_i}(y, t), \quad i, j = 1, \dots, N & \text{a.e. on } Y^*. \end{cases}$$

▷ Thanks to Tool 1, we get

### Theorem

*Under the above assumptions, one has*

$$\lim_{\varepsilon \rightarrow 0} \|\nabla u_\varepsilon - C^\varepsilon(\cdot, u_\varepsilon) \nabla u_0\|_{L^1(\Omega_\varepsilon^*)} = 0.$$



Hoping to see you again in two years... in Houston!