

TATA INSTITUTE OF FUNDAMENTAL RESEARCH



Outline

Historical perspective • Nuclear forces from chiral EFT: **Overview & achievements** Are we done? No! Sub-leading many-body forces • Proper renormalization of chiral forces • Have we cracked the problem?

1935	Yukawa: Meson Theory		
	The "Pion Theories"		
1950's	One-Pion Exchange: o.k.		
	Multi-Pion Exchange: disaster		
	Many pions \equiv multi-pion resonances:		
1960's	$\sigma, ho,\omega,$		
	The One-Boson-Exchange Model		
	Refine meson theory:		
1970's	More sophisticated meson-exchange models		
	(Paris, Bonn, Williamsburg)		
	Nuclear physicists discover		
1980's	QCD		
	Quark Cluster Models		
	Nuclear physicists discover \mathbf{EFT}		
1990's	Weinberg, van Kolck		
and beyond	Back to Meson Theory!		
	But, with Chiral Symmetry		

From QCD to nuclear physics via chiral EFT (in a nutshell)

- QCD at low energy is strong.
- Quarks and gluons are confined into colorless hadrons.
- Nuclear forces are residual forces (similar to van der Waals forces)
- Separation of scales



Calls for an EFT soft scale: $Q \approx m_{\pi}$, hard scale: $\Lambda_{\chi} \approx m_{\rho}$; pions and nucleon relevant d.o.f. \sim Low-energy expansion: $(Q/\Lambda_{x})^{\vee}$ with v bounded from below. Most general Lagrangian consistent with all symmetries of low-energy QCD. п-п and п-N perturbatively NN has bound states: (i) NN potential perturbatively (ii) apply nonpert. in LS equation. (Weinberg)





pi-N Lagrangian with two derivatives ("next-to-leading" order)

 π

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Bernard et al. '97



Consider the contribution from the exchange of a heavy meson





<u>Question:</u> When everything is so equivalent to conventional meson theory, why not just use meson theory?

<u>Answer:</u> In ChPT, there is an organizational scheme ("power counting") that allows to estimate the size of the various contributions and the uncertainty at a given order (i.e., the size of the contributions we left out). Moreover, two- and many-body force contributions are generated on an equal footing.

In conventional meson theory, we go by range.

NN phase shifts up to 300 MeV

Red Line: N3LO Potential by Entem & Machleidt, PRC 68, 041001 (2003). Green dash-dotted line: NNLO Potential, and blue dashed line: NLO Potential

by Epelbaum et al., Eur. Phys. J. A19, 401 (2004).



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$\chi^2/{ m datum}$ for the reproduction of the

1999 np database

Bin (MeV)	# of data	N ³ LO	NNLO	NLO	AV18
0 - 100	1058	1.05	1.7	4.5	0.95
100 - 190	501	1.08	22	100	1.10
190 - 290	843	1.15	47	180	1.11
0-290	2402	1.10	20	86	1.04
<u>E</u>					

N3LO Potential by Entem & Machleidt, PRC 68, 041001 (2003). NNLO and NLO Potentials by Epelbaum et al., Eur. Phys. J. A19, 401 (2004).

Applications of the chiral NN potential at N3LO

Medium-Mass Nuclei from Chiral Nucleon-Nucleon Interactions

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We compute the binding energies, radii, and densities for selected medium-mass nuclei within coupledcluster theory and employ a bare chiral nucleon-nucleon interaction at next-to-next-to-next-to-leading order. We find rather well-converged results in model spaces consisting of 15 oscillator shells, and the doubly magic nuclei ⁴⁰Ca, ⁴⁸Ca, and the exotic ⁴⁸Ni are underbound by about 1 MeV per nucleon within the coupled-cluster singles-doubles approximation. The binding-energy difference between the mirror nuclei ⁴⁸Ca and ⁴⁸Ni is close to theoretical mass table evaluations. Our computation of the one-body density matrices and the corresponding natural orbitals and occupation numbers provides a first step to a microscopic foundation of the nuclear shell model.



Nucleus	ΔE / A [MeV]
⁴ He	1.08 (0.73 ^{FY})
¹⁶ O	1.25
⁴⁰ Ca	0.84
⁴⁸ Ca	1.27
⁴⁸ Ni	1.21

PHYSICAL REVIEW C 82, 034330 (2010)

Ab initio coupled-cluster approach to nuclear structure with modern nucleon-nucleon interactions

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We perform coupled-cluster calculations for the doubly magic nuclei 4 He, 16 O, 40,48 Ca, for neutron-rich isotopes of oxygen and fluorine, and employ "bare" and secondary renormanzed nucleon-nucleon interactions. For the nucleon-nucleon interaction from chiral effective field theory at order next-to-next-to-next-to leading order, we find that the coupled-cluster approximation including triples corrections binds nuclei within 0.4 MeV per nucleon compared to data. We employ interactions from a resolution-scale dependent similarity renormanzation group transformations and assess the validity of power counting estimates in medium-mass nuclei. We find that the missing contributions from three-nucleon forces are consistent with these estimates. For the unitary correlator model potential, we find a slow convergence with respect to increasing the size of the model space. For the *G*-matrix approach, we find a weak dependence of ground-state energies on the starting energy combined with a rather slow convergence with respect to increasing model spaces. We also analyze the center-of-mass problem and present a practical and efficient solution.

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... including the chiral 3NF at N2LO

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Three-Body Forces and the Limit of Oxygen Isotopes

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The limit of neutron-rich nuclei, the neutron drip line, evolves regularly from light to medium-mass nuclei except for a striking anomaly in the oxygen isotopes. This anomaly is not reproduced in shell-model calculations derived from microscopic two-nucleon forces. Here, we present the first microscopic explanation of the oxygen anomaly based on three-nucleon forces that have been established in few-body systems. This leads to repulsive contributions to the interactions among excess neutrons that change the location of the neutron drip line from ²⁸O to the experimentally observed ²⁴O. Since the mechanism is robust and general, our indings impact the prediction of the most neutron-rich nuclei and the synthesis of heavy elements in neutron-rich environments.

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Calculating the properties of light nuclei using chiral 2N and 3N forces



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Calculating the properties of light nuclei using chiral 2N and 3N forces





Fig. 6. $p - {}^{3}$ He A_{ψ} observable calculated with the I-N3LO (blue dashed line), the I-N3LO/N-N2LO (blue solid line), and the AV18/UIX (thin green solid line) interaction models for three different incident proton energies. The experimental data are from Refs. [37,22,36].

Why do we need 3NFs beyond NNLO?

 The 2NF is N3LO; consistency requires that all contributions are at the same order.

There are unresolved problems in 3N, 4N scattering and nuclear structure.

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Chiral 3N Force∆-lessAdditional in ∆-full



See also contribution to this workshop by E. Epelbaum.

The 3NF at N3LO explicitly

One-loop, leading vertices

 2π -exchange

$$\phi \cdot \phi \cdot \phi = \left\{ \begin{array}{c} \frac{1}{2} \frac{1}{2} - \frac{1}{2} + \left[\begin{array}{c} \frac{1}{2} \frac{1}{2} - \frac{1}{2} + \frac{1}{2} - \frac{1}{2} + \frac{1}{2} - \frac{1}{2} + \frac{1}{2} +$$

 2π - 1π -exchange

$$\oint \frac{1}{2} \cdot \phi = \oint \frac{1}{2} \cdot \frac{1}{2} + \frac{1}{2} \cdot \frac{1}{2$$

ring diagrams

contact- 1π -exchange

contact- 2π -exchange

$$(\mathbf{x}_{1},\mathbf{y}_{1}) = \mathbf{x}_{1} + \mathbf{x}_{2} + \mathbf{x}_{2} + \mathbf{x}_{1} + \mathbf{x}_{2} + \mathbf{x}_{2}$$

Ishikawa & Robilotta, PRC 76, 014006 (2007)

> Bernard, Epelbaum, Krebs, Meissner, PRC 77, 064004 (2008)

> > In progress

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Chiral 3N Force Δ -less Additional in Δ -full The 3NF at NNLO; used so far.









So, we are obviously not done!

ome of the more crucial open issues:

Subleading few-nucleon forces: N4LO in Δ-less or N3LO in Δ-full.

Renormalization of chiral nuclear forces

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"I about got this one renormalized"

The issue has produced lots and lots of papers; this is just a small sub-selection.

D. B. Kaplan, M. J. Savage, and M. B. Wise, Nucl. Phys. B478 (1996) 629; Phys. Lett. B 424 (1998) 390; Nucl. Phys. B534 (1998) 329,

- S. Fleming, T. Mehen, and I. W. Stewart, Nucl. Phys. A677 (2000) 313; Phys. Rev. C 61 (2000) 044005.
- D. R. Phillips, S. R. Beane, and T. D. Cohen, Ann. Phys. (N.Y.) 263 (1998) 255.
- T. Frederico, V. S. Timoteo, and L. Tomio, Nucl. Phys. A653 (1999) 209.
- M. C. Birse, Phys. Rev. C 74 (2006) 014003; Phys. Rev. C 76 (2007) 034002.
- S. R. Beane, P. F. Bedaque, M. J. Savage, and U. van Kolck, Nucl. Phys. A700 (2002) 377.
- M. Pavon Valderrama and E. Ruiz Arriola, Phys. Rev. C 72 (2005) 054002.
- A. Nogga, R. G. E. Timmermans, and U. van Kolck, Phys. Rev. C 72 (2005) 054006.
- M. Pavon Valderrama and E. Ruiz Arriola, Phys. Rev. C 74 (2006) 054001.
- M. Pavon Valderrama and E. Ruiz Arriola, Phys. Rev. C 74 (2006) 064004; Erratum: Phys. Rev. C 75 (2007) 059905.
- E. Epelbaum and U.-G. Meißner, On the renormalization of the one-pion exchange potential and the consistency of Weinberg's power counting, arXiv:nucl-th/0609037.
- M. Pavon Valderrama and E. Ruiz Arriola, Ann. Phys. (N.Y.) 323 (2008) 1037.
- D. R. Entem, E. Ruiz Arriola, M. Pavón Valderrama, and R. Machleidt, Phys. Rev. C 77 (2008) 044006.
- C.-J. Yang, Ch. Elster, and D. R. Phillips, Phys. Rev. C 77 (2008) 014002; 80 (2009) 034002, 044002.
- B. Long and U. van Kolck, Ann. Phys. (N.Y) 323 (2008) 1304.
- S. R. Beane, D. B. Kaplan, and A. Vuorinen, Perturbative nuclear physics, arXiv:0812.3938 [nucl-th].
- M. Pavon Valderrama, A. Nogga, E. Ruiz Arriola, and D. R. Phillips, Eur. Phys. J. A 36 (2008) 315.
- M. P. Valderrama, Perturbative Renormalizability of Chiral Two Pion Exchange in Nucleon-Nucleon Scattering, arXiv:0912.0699 [nucl-th].
- R. Machleidt, P. Liu, D. R. Entem, and E. Ruiz Arriola, Phys. Rev. C 81 (2010) 024001.
- E. Epelbaum and J. Gegelia, Eur. Phys. J. A41 (2009) 341.
- G. P. Lepage, How to Renormalize the Schrödinger Equation, nucl-th/9706029.

So, what's the problem with this renormalization?

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The EFT approach is not just another phenomenology. It's field theory.

The problem in all field theories are divergent loop integrals.

The method to deal with them in field theories:

 Regularize the integral (e.g. apply a "cutoff") to make it finite.
 Remove the cutoff dependence by Renormalization ("counter terms").

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For calculating pi-pi and pi-N reactions no problem.

However, the NN case is tougher, because it involves two kinds of (divergent) loop integrals.

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The first kind:

 "NN Potential": irreducible diagrams calculated perturbatively. Example:



> perturbative renormalization (order by order)

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The first kind:

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 "NN Potential": irreducible diagrams anculated perturbatively Example:

perturbative renormalization (order by order)

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The second kind:

Application of the NN Pot. in the Schrodinger or Lippmann-Schwinger (LS) equation: non-perturbative summation of ladder diagrams (infinite sum):

$$T(\vec{p}',\vec{p}) = V(\vec{p}',\vec{p}) + \int d^3p'' V(\vec{p}',\vec{p}'') \frac{M_N}{p^2 - p''^2 + i\epsilon} T(\vec{p}'',\vec{p}),$$



The second kind:

 Application of the NN Pot. in the Schrodinger or Lippmann-Schwinger (LS) equation: non-perturbative summation of ladder diagrams (infinite sum):

$$T(\vec{p}',\vec{p}) = V(\vec{p}',\vec{p}) + \int d^3 p'' V(\vec{p}',\vec{p}'') \frac{M_N}{p^2 - p''^2 + i\epsilon} T(\vec{p}'',\vec{p}),$$

Divergent integral.
 Regularize it:

$$V(\vec{p}',\vec{p}) \longmapsto V(\vec{p}',\vec{p}) \ e^{-(p'/\Lambda)^{2n}} \ e^{-(p/\Lambda)^{2n}}$$
.

• Cutoff dependent results.

Renormalize to get rid of the cutoff dependence:

>Non-perturbative renormalization

The second kind:

Application of the NN Pot. in the Schrodinge **vive** ir mann-Schwinger (LS) equation: non-pertur umnWithfwhatitoarenormalize $T(\vec{p}',\vec{p}) = V(\vec{p}',\vec{p}) \frac{\text{this}_{p}}{(p^{2}-p''^{2}+i\epsilon)} \frac{M_{N}}{p^{2}-p''^{2}+i\epsilon} T$ Weinberg's silent assumption: The same counter terms as before. V(p/p) e-(p/A)²ⁿ e-(p/ (Weinberg counting") Renormalize to ysependence:

>Non-perturbative renormalization

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Weinberg counting fails already in Leading Order (for $\Lambda \rightarrow \infty$ renormalization)



 3S1 and 1S0 (with a caveat) renormalizable with LO counter terms.
 However, where OPE tensor force attractive: 3P0, 3P2, 3D2, ... a counter term must be added.
 Nogga, Timmermans, v. Kolck PRC72, 054006 (2005):

"Modified Weinberg counting" for LO

Quantitative chiral NN potentials are at N3LO. So, we need to go substantially beyond LO.

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Renormalization beyond leading order –



Nonperturbative or perturbative?

Infinite cutoff or finite cutoff?

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Renormalization beyond leading order –

Options

Continue with the nonperturbative infinite-cutoff renormalization.
 Perturbative using DWBA.
 Nonperturbative using finite cutoffs ≤ Λχ ≈ 1 GeV.

Option 1: Nonperturbative infinite-cutoff renormalization up to N3LO



S=0 T=1

Different partial waves are windows on different ranges of the force.

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Option 1: Nonperturbative infinite-cutoff renormalization up to N3LO

Observations and problems

- In lower partial waves (≅ short distances), in some cases convergence, in some not; data are not reproduced.
- In peripheral partial waves (
 In
- Thus, long-range interaction o.k., short-range not (should not be a surprise: the EFT is designed for Q < Λχ).</p>
- At all orders, either one (if pot. attractive) or no (if pot. repulsive) counterterm, per partial wave: What kind of power counting scheme is this?
- Where are the systematic order by order improvements?

Option 1: Nonperturbative infinite-cutoff renormalization up to N3LO

Observations and Problems

In lower artial waves (
short distances), in some cases conver jence, in some not; data are produced. In p ripheral partial waves (long h cances), always go covergence and reproduct to f the data. T us, long-range n'a oction o.k., short-range not (sho ild ot be a surprise. The EFT is designed for $Q < \Lambda \chi$). t all orders, either one (if pot. attractive) or no (if ot. 0 pulsive) counterterm, per partial wave: What V .id of wer counting scheme is this? • Where are the systematic order by order in provements?

Option 2: Perturbative, using DWBA (Valderrama '09)

- Renormalize LO non-perturbatively using modified Weinberg counting.
- Use the distorted LO wave to calculate higher orders in perturbation theory.
- At NLO, 3 counterterms for 1S0 and 6 for 3S1: a power-counting scheme that allows for systematic improvements order by order emerges.
- Results for NN scattering o.k., so, in principal, this scheme works.

Option 2: Perturbative, using DWBA (Valderrama '09), cont'd



FIG. 1: Phase shifts for the ${}^{1}S_{0}$ channel with nonperturbative OPE and perturbative TPE. The nonperturbative OPE computation contains one counterterm which is determined by fixing the ${}^{1}S_{0}$ scattering length, $a_{0,s} = -23.74$ fm, while the perturbative TPE computation contains a correction to the LO counterterm plus two additional counterterms which are used to fit the Nijmegen II phase shifts [42] (equivalent to the Nijmegen PWA [43]) in the range k = 0.2 - 0.8 fm⁻¹. The error bands are generated varving the cut-off within the 0.6 - 0.9 fm range. The dashed blue line represents the N²LO results for $r_{c} = 0.1$ fm.

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Option 2: Perturbative, using DWBA (Valderrama '09)

- Renormalize LO non-perturbatively with infinite cutoff using modified Weinberg counting.
- Use the distorted LO wave to calculate higher orders in perturbation theory.
- At NLO, 3 counterterms for 1S0 and 6 for 3S1: a power-counting scheme that allows for systematic improvements order by order emerges.
- Results for NN scattering o.k., so, in principal, this scheme works.
- But how practical is this scheme for nuclear structure?

Nonperturbatively renormalized LO interaction and nuclear matter energy predictions



However, there is a However ...

Saturation at k_f ≈ 1.0 fm⁻¹ and E/A = -2.6 MeV.
Empirical value : E/A ≈ -16 MeV.
Severe underbinding!
Why?

The tensor force of the renorm. LO interaction is extraordinarily strong

	Renorm. LO	N3LO	CD-Bonn	AV18	Hamada- Johnston (1962)
Deuteron D-state probability	7.2%	4.51%	4.85%	5.76%	7.0%
Wound integral	40.5%	5.0%	5.8%	10.1%	21.1%

Option 2: Perturbative, using DWBA (Valderrama '09)

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- Results for NN scattering o.k., so, in principal, the scheme works.
- But how practical is this scheme in nuclear structure?
- LO interaction has huge tensor force, huge wound integral; bad convergence of the many-body problem. Impractical!

Option 2: Perturbative, using DWBA (Valderrama '09)

- considerations Renormalize LO pressioner de la companya de la c cutoff using modifie
- Use the di S in pert
- nesnik amplitude rder ticide <mark>→</mark> At structure clear structure timp plications. Norks_ *icture?* 0

wound Q iny-body ce of the p in m. Impractical! prob

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What now?

Option 3: Rethink the problem from scratch

EFFECTIVE field theory for Q ≤ Λχ ≈ 1 GeV.
So, you have to expect garbage above Λχ.
The garbage may even converge, but that doesn't convert the garbage into the good stuff (Epelbaum & Gegelia '09).
So, stay away from territory that isn't covered by the EFT.

Lepage 1997: take 3 steps

- 1. Incorporate the correct long-range behavior: The long-range behavior of the underlying theory must be known, and it must be built into the effective theory.
- 2. Introduce an ultraviolet cutoff to exclude high-momentum states, or, equivalently, to soften the short-distance behavior: The cutoff has two effects. First it excludes high-momentum states, which are sensitive to the unknown short-distance dynamics; only states that we understand are retained. Second, it makes all interactions regular at r = 0, thereby avoiding the infinities that plague the naive approach of the previous section.
- 3. Add local correction terms to the effective hamiltonian: These mimic the effects of the high-momentum states excluded by the cutoff in step 2. Each correction term consists of a theory-specific coupling constant, a number, multiplied by a theory-independent local operator. The correction terms systematically remove dependence on the cutoff. Their locality

Option 3, cont'd: finding a stable range of cutoffs below 1GeV

A very systematic investigation up to N3LO does not (yet) exist.
 But there is ample circumstantial evidence on the market already (see next slide).

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Conclusions

- Substantial advances in chiral nuclear forces during the past decade. The major milestone of the decade: "high precision" NN pots. at N3LO, good for nuclear structure.
- But there are still issues:
- Subleading 3NFs: additional and stronger 3NFs are needed; essentially technical and, in principal, straightforward.
- Renormalization: more subtle, more controversial, more interesting.

Our views on reno

- Forget about non-perturbative infinite-cutoff reno: not convergent (in low partial waves = short distances), should not be a surprise; no clear power counting scheme, no systematic improvements order by order.
- Perturbative beyond LO: may be o.k. for the NN amplitude; but impractical in nuclear structure applications for several different reasons as explained.

 Identify "Cutoff independence" within a range ≤ Ax ≈1 GeV. Most realistic approach (Lepage). Semi proven already.

And so,

Have we finally cracked the nuclear force problem?

Not quite, but that's why we are here!

R. Machleidt