

Information Paradox and Holography

Suvrat Raju

International Centre for Theoretical Sciences
Tata Institute of Fundamental Research



ICTS@10
5 January 2018

Background: Black-Holes

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

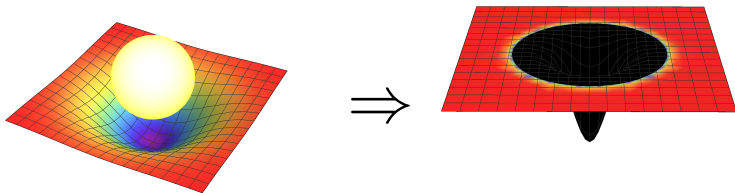
The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

Soon, after the discovery of general relativity, it was understood that a **massive-enough** star would collapse to form a black hole.



Classically, black-holes only grow! The **area of black-holes never decreases**

Hawking Radiation

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

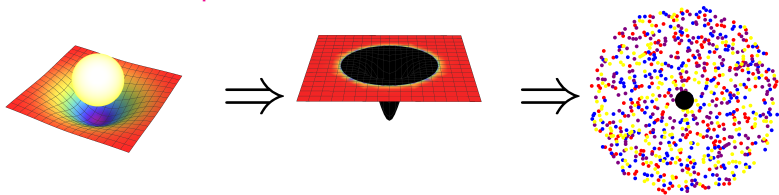
Conclusion

- In 1975, Hawking pointed out that quantum effects cause black holes to radiate with a **temperature**

$$T = \frac{\hbar c^3}{8\pi k_B GM}$$

[Hawking, 1975]

- Hawking radiation should eventually causes a black hole to **evaporate**.



The Information Paradox

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

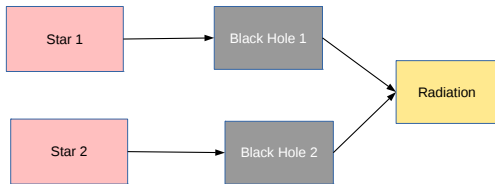
Nonlocality

State-
Dependence

Conclusion

- Hawking radiation depends on few **macroscopic parameters**; **not** on what constituted the black hole in the first place.

- **Seemingly**, for **different inputs**, we get the **same output**.



- Conflict with the **unitarity of quantum mechanics**: time evolution should be **reversible**.

The Information Paradox

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

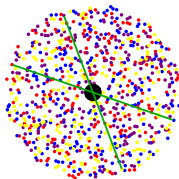
The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

- The information paradox is a **central issue** in putting together quantum mechanics and gravity.
- Common criticism was that Hawking's original paradox was **not precise**: maybe small corrections to Hawking radiation preserve information?



Progress on the Information Paradox

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

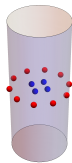
- Past few years have seen progress: **information paradox can be framed in precise settings.**
- Most interesting versions of this paradox appear in **holography.**
- These puzzles are teaching us about **novel aspects of quantum gravity.**

Holography

gravitational interactions in
anti-de Sitter space



unitary
non-gravitational
interactions on the
boundary of the space



[Maldacena, 1997]

Can holography correctly describe bulk physics — including the formation and evaporation of black-holes — in detail?

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

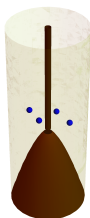
State-
Dependence

Conclusion

Bulk Requirements

In the presence of a bulk-black-hole, **gravity predicts correlation functions** of local bulk fields

$$\langle \Psi | \phi^{\text{bulk}}(x_1) \dots \phi^{\text{bulk}}(x_n) | \Psi \rangle = G(x_1 \dots x_n)$$



Can we find boundary degrees of freedom, with the same correlators?

(overdetermined system — see **Seiberg's** talk.)

Summary of Recent Information Paradox Arguments

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

Information paradox becomes

$\nexists \phi(x)$, s.t. in a **generic state** $|\Psi\rangle$,

$$\langle \Psi | \phi(x_1) \dots \phi(x_n) | \Psi \rangle = G(x_1 \dots x_n)$$

[[Mathur, AMPSS, Papadodimas, S.R., 2009–15](#)]

“There are no operators in the boundary theory with the correlation functions predicted by bulk gravity.”

Sketch of Refined Information Paradox

- Assume that an operator $\phi(x)$ exists, where x can be inside or outside the horizon.
- Then, by an appropriate integral transform, we can extract $\tilde{\mathcal{O}}_{\omega,m}$.

$$\phi = \sum_w \mathcal{O}_{\omega,m} g_{\omega,m}^{(1)}(t, z, \Omega) + \tilde{\mathcal{O}}_{\omega,m} g_{\omega,m}^{(2)}(t, z, \Omega)$$
$$\phi = \sum_w \mathcal{O}_{\omega,m} f_{\omega,m}(t, z, \Omega)$$

Sketch of the Refined Information Paradox

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

- Bulk gravity tells us that

$$[H, \tilde{\mathcal{O}}_\omega] = \omega \tilde{\mathcal{O}}_\omega$$

$$\langle \Psi | \tilde{\mathcal{O}}_\omega \tilde{\mathcal{O}}_\omega^\dagger | \Psi \rangle = e^{\beta\omega} \langle \Psi | \tilde{\mathcal{O}}_\omega^\dagger \tilde{\mathcal{O}}_\omega | \Psi \rangle$$

- If the black hole state is approximately thermal

$$\begin{aligned} \langle \Psi | \tilde{\mathcal{O}}_\omega \tilde{\mathcal{O}}_\omega^\dagger | \Psi \rangle &\approx \frac{1}{Z(\beta)} \text{Tr}(e^{-\beta H} \tilde{\mathcal{O}}_\omega \tilde{\mathcal{O}}_\omega^\dagger) \\ &= \frac{1}{Z(\beta)} e^{-\beta\omega} \text{Tr}(e^{-\beta H} \tilde{\mathcal{O}}_\omega^\dagger \tilde{\mathcal{O}}_\omega) \\ &\approx e^{-\beta\omega} \langle \Psi | \tilde{\mathcal{O}}_\omega^\dagger \tilde{\mathcal{O}}_\omega | \Psi \rangle? \end{aligned}$$

What gives?

These contradictions require **several assumptions**.

- 1 Theory is unitary.
- 2 Classical general relativity is valid at the horizon scale.
- 3 Microcanonical and canonical ensemble are equivalent.
- 4 **Operators inside and outside the horizon commute exactly at spacelike separation.**
- 5 **Local observations inside the horizon are described by the same operator in all microstates.**

Without the highlighted assumptions, possible to **explicitly construct** operators with the desired correlators.

[Papadodimas, S.R, 2013–15]

Physical Lessons from the Information Paradox

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

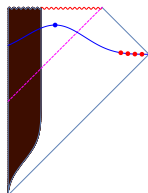
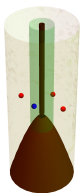
Conclusion

The information paradox appears to teach us that **quantum gravity** is unusual because

- At times, nonlocal effects may be important even at macroscopic distance scales.
- Local operators may require a state-dependent description.

Limits of Locality

Proposal is that non-local effects take the following form



$$\phi(x_{in}) \cong P(\phi(x_{out,1}), \phi(x_{out,2}), \dots)$$

Proposal is a realization of an idea called black-hole **complementarity**: degrees of freedom inside the black hole are scrambled versions of degrees of freedom outside.

[’t Hooft, Susskind, Thorlacius, Verlinde², 1984–1993]

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

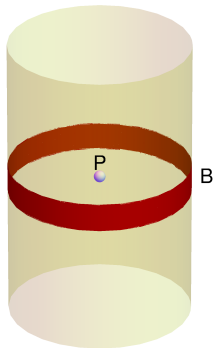
State-
Dependence

Conclusion

We now have explicit realizations of complementarity in some controlled systems. We also have indirect evidence of loss of locality in other settings.

Empty AdS Complementarity

Nonlocality can be examined **explicitly** in empty AdS. Operator at center of AdS can be written as complicated polynomial of operators that are uniformly spatially separated!



Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

Empty AdS Complementarity

Information
Paradox &
Holography

Suvrat Raju

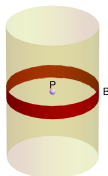
The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion



$$\phi(P) = \sum_{n,m} c_{nm} |n\rangle \langle m|$$

$$\mathcal{X}_n |0\rangle = |n\rangle$$

$$\mathcal{P} = \sum_{n=0}^{n_c} \frac{(-\alpha_c H)^n}{n!}.$$

$$\phi(P) = \sum_{n,m \ll N} c_{nm} \mathcal{X}_n[\phi(B)] \mathcal{P}[\phi(B)] \mathcal{X}_m^\dagger[\phi(B)]$$

[Bryan, Banerjee, Papadodimas, S.R, 2016]

A Path Integral Argument

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

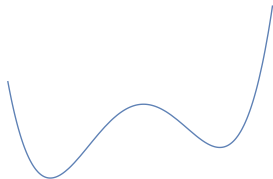
Nonlocality

State-
Dependence

Conclusion

- Nonlocality in gravity is more generic. Locality is only defined about a **saddle point** of the QG path-integral.

$$Z = \int e^{-S} \mathcal{D}g_{\mu\nu}$$



- Breakdown of perturbation theory \Rightarrow change in saddle-point \Rightarrow different semi-classical metric with different notion of locality.

Breakdown of String Perturbation Theory

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

Motivates the study of limits in which gravitational perturbation theory breaks down.

String perturbation theory breaks down for a large number of external particles, even if the energy per particle stays small.

Supports the idea that **locality breaks down if we probe spacetime at “too many” points — even if these points are widely separated.**

Unitarity Bounds vs Factorial Growth

- Within perturbation theory, tree amplitudes must satisfy

$$\int d\Pi_{\frac{n}{2}} \left| M^{\text{tr}}\left(\frac{n}{2} \rightarrow \frac{n}{2}\right) \right|^2 \leq 2 \left| M^{\text{tr}}\left(\frac{n}{2} \rightarrow \frac{n}{2}\right) \right|.$$

- Tree-level string amplitudes grow as

$$\boxed{M^{\text{tr}} \propto g_s^{n-2} n!} \leftarrow \text{key point!}$$

- Factorial growth violates **unitarity bounds at**

$$n \propto \left(\frac{M_{\text{pl}}}{E} \right)^{d-2}.$$

[Sudip Ghosh, S.R., 2017]

String Amplitudes using Punctured Spheres

- Write tree-amplitudes as integrals over the **moduli space of a n -punctured sphere** with uniform negative curvature,

$$M^{\text{tr}} = g_s^{n-2} \int_{\mathcal{M}_n} d\mu_{\text{WP}} (\det P_1^\dagger P_1)^{\frac{1}{2}} (\det \Delta)^{\frac{-d}{2}} \langle V_1 \dots V_n \rangle.$$

(See talks by **Sen** and **Mahan**.)

- At large n (following **Gross, Periwal**) argue that the dominant contribution comes from $V_{0,n}$ where

$$V_{g,n} = \int_{\mathcal{M}_{g,n}} d\mu_{\text{WP}}$$

Volumes of **Weil-Petersson** moduli spaces studied extensively in the Maths literature.

Volume of Moduli Space

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

- For any fixed n and large g

$$V_{g,n} \rightarrow (4\pi^2)^{2g+n-3} (2g+n-3)! \frac{1}{\sqrt{g\pi}} \left(1 + \mathcal{O}\left(\frac{1}{g}\right)\right).$$

[Zograf, Mirzakhani, 2008–2013]

- At large $g+n$

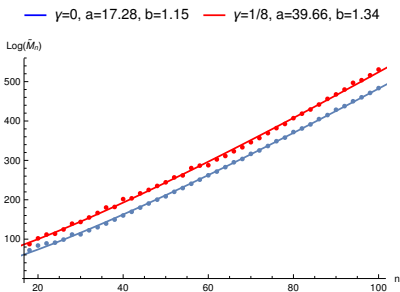
$$\frac{\log(V_{g,n})}{(n+2g)\log(n+2g)} \rightarrow 1.$$

With $g=0$

$$V_{0,n} \propto n!$$

Numerical results: Factorial Growth

Can verify $n!$ growth through numerics

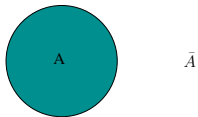


$$\begin{aligned}\log(\tilde{M}_n) &= \log(M_n^{\text{tr}}) - (n-2)\log(4\pi g_s) + n\log(d-2) \\ &= a + bn + \log((n-3)!).\end{aligned}$$

Open Questions: Entanglement in Gravity

This nonlocality leads to several **interesting questions**. Consider the local von Neumann entropy (See **Balents'** talk.)

$$S_A = -\text{Tr}(\rho_A \log(\rho_A))$$



But, if **nonlocal effects** are important, then degrees of freedom in A and \bar{A} are **not independent**

$$H \neq H_A \otimes H_{\bar{A}}$$

Requires **new formulation** of quantum information measures.

[Sudip Ghosh, SR, 2017]

State-Dependent Observables in Gravity

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

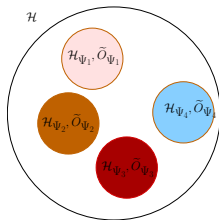
The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

Idea is that observables in the BH interior are **not defined globally** but **locally, in a neighbourhood of the Hilbert space**.



This is called **state-dependence**.

Origins of State-Dependence

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

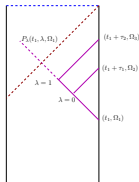
The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

Local observables must be specified **relationally** in gravity because the metric can fluctuate. For example: **jump from the boundary, wait for a certain proper time, measure the field.**



This is a **semi-classical definition**: we want a **quantum operator** that has the right semi-classical behaviour.

Overcompleteness of Semi-Classical Geometries

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

- Semi-classical geometries correspond to **coherent states** — **overcomplete basis!**
- Demanding correct semi-classical behaviour on all coherent states \Leftrightarrow overspecifying a linear operator!

[Papadodimas, S.R., Jafferis, Berenstein, Miller, 2015–17]

- State-dependent operators required to reproduce expected semi-classical behaviour.

Open Questions

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

State-dependence is **very unusual** but **poorly understood**.
Several interesting questions remain. For example

- 1 What is the measurement theory of state-dependent observables?
- 2 Is state-dependence consistent with the Born rule?

[Marolf, Polchinski, 2015]

[S.R., 2016]

Summary

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

- The information paradox is a **central problem** in quantum gravity.
- New ideas allow us to make the paradox precise.
- Refined versions of the information paradox reveal **novel physical effects**
 - 1 The loss of locality in gravity.
 - 2 State-dependence of observables.

Empirical Tests?

These are very delicate effects. Beyond the reach of current experiments! But, in 1916, Einstein wrote, about gravitational waves,

$$A = \frac{\kappa}{24\pi} \sum_{\alpha\beta} \left(\frac{\partial^2 J_{\alpha\beta}}{\partial t^2} \right)^2 \quad (21)$$

Würde man die Zeit in Sekunden, die Energie in Erg messen, so würde zu diesem Ausdruck der Zahlenfaktor $\frac{1}{c^4}$ hinzutreten. Berücksichtigt man außerdem, daß $\kappa = 1.87 \cdot 10^{-27}$, so sieht man, daß A in allen nur denkbaren Fällen einen praktisch verschwindenden Wert haben muß.

“so in all conceivable cases, A must have a practically vanishing value.”

So even very delicate effects can be interesting and experimentally verified (given enough time!)

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

Thank you

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

Appendix

Definition of Mirror Operators

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

- Given an equilibrium state $|\Psi\rangle$, define anti-linear **conjugate map**

$$SA_\alpha|\Psi\rangle = A_\alpha^\dagger|\Psi\rangle$$

- This map exists because $|\Psi\rangle$ is separating for the truncated algebra.
- This is the same map that appears in the **Tomita-Takesaki theory of modular isomorphisms of von Neumann algebras**.
- **Define** mirror operators on the **little Hilbert space**, \mathcal{H}_Ψ

$$\tilde{O}_\omega = S e^{\frac{-\beta H}{2}} O_\omega e^{\frac{\beta H}{2}} S$$

Avoiding Paradoxes with State-Dependence

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

- Observer falling in state $|\Psi\rangle$ measures

$$\langle\Psi|A_\alpha[H, \tilde{O}_\omega^{\{\Psi\}}]|\Psi\rangle = \omega\langle\Psi|A_\alpha\tilde{O}_\omega^{\{\Psi\}}|\Psi\rangle$$

- Within correlators

$$\langle\Psi|\tilde{O}_\omega^{\{\Psi\}}(\tilde{O}_\omega^{\{\Psi\}})^\dagger|\Psi\rangle = e^{\beta\omega}\langle\Psi|(\tilde{O}_\omega^{\{\Psi\}})^\dagger\tilde{O}_\omega^{\{\Psi\}}|\Psi\rangle$$

- But given a **basis of equilibrium states** we cannot replace

$$\sum_i \langle\Psi_i|\tilde{O}_\omega^{\{\Psi_i\}}(\tilde{O}_\omega^{\{\Psi_i\}})^\dagger|\Psi_i\rangle = \text{Tr}\left(e^{-\beta H}\tilde{O}_\omega\tilde{O}_\omega^\dagger\right),$$

since there is no **globally defined** mirror-mode.

Fat-tail obstruction to state-independence

Information
Paradox &
Holography

Suvrat Raju

The Old
Information
Paradox

The
Holographic
Information
Paradox

Nonlocality

State-
Dependence

Conclusion

- Function on phase space $f(z)$ lifted to operator via

$$\hat{f} = \int f(z)|z\rangle\langle z|dz$$

- This relies on

$$|\langle z|z'\rangle|^2 = e^{-|z-z'|^2}$$

- Here

$$|\langle\Psi|\Psi_T\rangle| = e^{\frac{-CT^2}{2\beta^2}}, \quad T \ll 1$$

$$|\langle\Psi|\Psi_T\rangle| = O\left(e^{\frac{-S}{2}}\right), \quad T \gg 1$$

