

S. G. DANI'S WORK ON HOMOGENEOUS DYNAMICS

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- ▶ François Ledrappier and Riddhi Shah, Dani's work on probability measures on groups

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- ▶ These form a very rich class of dynamical systems
- ▶ With extensive connections to number theory and geometry

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- ▶ Dani (82): Dense orbits of unipotent flows equidistribute on $SL(2, \mathbb{R})/SL(2, \mathbb{Z})$

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- ▶ Raghunathan's topological conjecture (appears in print in a paper of Dani): The closure of every u_t -orbit on G/Γ is a finite-volume homogeneous space

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- ▶ Resolved in full generality by M. Ratner in 90-91
- ▶ Dani's work on unipotent flows is very influential
- ▶ For example, the *linearization* technique introduced by Dani-Margulis is a fundamental and widely used tool

On orbits of unipotent flows on homogeneous spaces, II

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Divergent trajectories of flows on homogeneous spaces and Diophantine approximation

By S. G. Dani* at Bombay

Let G be a connected Lie group and Γ be a lattice in G ; that is, Γ is a discrete subgroup of G such that G/Γ admits a finite G -invariant measure. Let $\{g_t\}_{t \in \mathbb{R}}$ be a one-parameter subgroup of G . The action of $\{g_t\}$ on G/Γ (on the left) induces a flow on G/Γ . The ergodic theory of these flows is extensively studied and, at least from a certain point of view, satisfactorily understood (cf. [6] and its references). Thus, for instance, it is possible to determine, in terms of the position of $\{g_t\}$ in G relative to Γ , whether the flow admits dense trajectories $\{g_t \times \Gamma \mid t \geq 0\}$, where $x \in G$, and whether a generic trajectory (either with respect to the measure or topologically) is dense in G/Γ . In general, however, there exist exceptional trajectories which are not dense, but to describe their set is a very difficult task; for an arbitrary one-parameter subgroup this is known only when G is a nilpotent Lie group (cf. [18] for that case and [16] for results on horocycle flows).

In this paper we assume G/Γ to be non-compact and investigate a special class of such exceptional trajectories: 'divergent' trajectories. A trajectory is said to be divergent if eventually it leaves every compact subset of G/Γ (cf. §1 for precise definition). In §§2 and 3 we also get some results on bounded trajectories of certain flows.

It was proved by G. A. Margulis in [21] that if $G = SL(n, \mathbb{R})$ and $\Gamma = SL(n, \mathbb{Z})$ and $\{g_t\}$ is a one-parameter subgroup consisting of unipotent elements then there are no divergent trajectories (cf. [13] and [14] for stronger results). A similar situation can be seen to hold if all the eigenvalues of g_t , $t \in \mathbb{R}$ are of absolute value 1 (cf. Proposition 2.6). However if g_t (or any $g_{t_0} \cdot t + 0$) has some eigenvalue λ with $|\lambda| \neq 1$ then there exist at least certain 'obvious' divergent trajectories. For instance, if $G = SL(2, \mathbb{R})$, $\Gamma = SL(2, \mathbb{Z})$ and $g_t = \text{diag}(e^t, e^{-t})$, then the trajectory starting from any point of $P\Gamma/\Gamma$, where P is the subgroup consisting of all upper triangular matrices in G , is divergent for simple geometric reasons. We call these degenerate divergent trajectories (cf. §2 for details). In §2 we also consider the one-parameter subgroups of G of the form

$$\text{diag}(e^t, \dots, e^t, e^{\lambda t}, \dots, e^{\lambda t}),$$

Abstract. We show that if $\langle u_t \rangle$ is a one-parameter subgroup of $SL(n, \mathbb{R})$ consisting of unipotent matrices, then for any $\varepsilon > 0$ there exists a compact subset K of $SL(n, \mathbb{R})/SL(n, \mathbb{Z})$ such that the following holds: for any $g \in SL(n, \mathbb{R})$ either $m(\{t \in [0, T] \mid [g_t u_t g] \in K\}) > (1 - \varepsilon)T$ for all large T (m being the Lebesgue measure) or there exists a non-trivial $(g^{-1} u_t g)$ -invariant subspace defined by rational equations.

Similar results are deduced for orbits of unipotent flows on other homogeneous spaces. We also conclude that if G is a connected semisimple Lie group and Γ is a lattice in G then there exists a compact subset D of G such that for any closed connected unipotent subgroup U , which is not contained in any proper closed subgroup of G , we have $G = D\Gamma U$. The decomposition is applied to get results on Diophantine approximation.

0. Introduction

Let $\langle u_t \rangle$ be a one-parameter subgroup in $SL(n, \mathbb{R})$ consisting of unipotent matrices. In [3], strengthening a result of G. A. Margulis [10], it was proved that for any $x \in SL(n, \mathbb{R})/SL(n, \mathbb{Z})$ there exists a compact set K such that the set $\{t \geq 0 \mid u_t x \in K\}$ has positive lower density. Recently while studying density of orbits of horospherical flows (cf. [7]) the author found that in certain contexts it is necessary to know whether the compact set K can be chosen so that the above assertion holds (simultaneously) for all x such that the (u_t) -orbit of x is not contained in a proper closed subset of the form $Hx\Gamma/\Gamma$, where $\Gamma = SL(n, \mathbb{Z})$ and H is a closed subgroup.

On the other hand in [6] it was proved that if G is a simple Lie group of \mathbb{R} -rank 1 and Γ is a lattice in G then given $\varepsilon > 0$ and a unipotent one-parameter subgroup $\langle u_t \rangle$ there exists a compact subset K of G/Γ such that for any $x \in G/\Gamma$ whose (u_t) -orbit is unbounded the lower density of $\{t \geq 0 \mid u_t x \in K\}$ exceeds $(1 - \varepsilon)$. This raises the question of whether a similar stronger assertion about the lower density is possible in the above-mentioned case (and more generally for all arithmetic lattices).

The answers to both the questions turn out to be in the affirmative: namely, we

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- ▶ “Dani correspondence” translates Diophantine properties into dynamics on G/Γ . Kleinbock-Margulis and many many others

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- ▶ Significant and ongoing developments in recent years
- ▶ Dani: the set of points on a torus whose forward orbit under a semisimple surjective endomorphism does not contain an element of finite order is winning

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- ▶ Shah, Eskin-Mozes-Shah, Minsky-Weiss, Lindenstrauss-Mirzakhani

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- ▶ "Elementary" proof