

QUANTUM CHROMODYNAMICS

Program

A. Basics of QCD:

color d.o.f. of quarks

non-Abelian field theory of quarks and gluons

asymptotic freedom

B. QCD @ short distances:

nucleon structure functions

e^+e^- annihilation into hadrons

Drell–Yan processes

jet physics in e^+e^- annihilation and hadron-hadron scattering

quarkonium physics

C. Effective Field Theories:

Fermi Theory

Heavy Top Quark

SMEFT

Literature

1. "Foundations of Quantum Chromodynamics", T. Muta, World Scientific, ISBN 981-02-2674-8
2. "QCD and Collider Physics", R.K. Ellis, W.J. Stirling, B.R. Webber, Cambridge University Press, ISBN 0521-581893
3. "Quantum Chromodynamics", G. Dissertori, I. Knowles, M. Schmeling, Oxford University Press, ISBN 0-198-50572-8
4. "Gauge Theories of the Strong and Electroweak Interactions", M. Böhm, A. Denner, H. Joos, B.G. Teubner, ISBN 3-519-23045-3
5. "Gauge Theories of the Strong, Weak and Electromagnetic Interactions", C. Quigg, Benjamin-Cummings, ISBN 0-8053-6020-4
6. "Gauge Field Theories", P.H. Frampton, Benjamin-Cummings, ISBN 0-8053-2584-0
7. "Gauge Theory of Elementary Particle Physics", T.-P. Cheng, L.-F. Li, Oxford University Press, 0-19-851961-3

§1. Introduction of Color

historical definition of strong interactions:

- binding force of nucleons inside nucleus
- force in nucleon-nucleon scattering

Spin-statistics problem of the quark model

$\Delta^{++} (s_z = \frac{3}{2}) = u(\uparrow)u(\uparrow)u(\uparrow) \leftarrow$ totally symm. spin wave funct.

\in decuplet \uparrow Fermi statistics: totally antisymm. wave function

(i) ground state: P -waves $\not\leftarrow$ to naive/exp. experience

(ii) magnetic moments of nucleons: $\vec{\mu} = \frac{eQ}{2m} [\vec{\ell} + 2\vec{S}]$

nucleon moments are built up additively from quark moments

ratio: $\frac{\mu_p}{\mu_n} = -\frac{3}{2} \quad \text{exp} = -1.46$

Solution: quarks carry 3-valued differentiator so that symmetric quark model possible

I. Color Hypothesis (Greenberg '64)

Next to flavor charges quarks carry color charges; each quark appears in exactly 3 colors (red, blue, green = 1,2,3): $q = (q_1, q_2, q_3)$

color trafos: max. mixing group of the 3 color d.o.f. (\neq comm. phase)

$$q \rightarrow q' = e^{-i \sum_{k=1}^8 \alpha_k \frac{\lambda_k}{2}} q \leftarrow SU(3)_C \text{ transformations}$$

= unimodular, unitary 3×3 matrices [non-Abelian group]

Gell-Mann matrices: $\lambda_k \quad k = 1, 2, \dots, 8$ (3-dim. ext. of $\vec{\sigma}$ in $SU(2)$)

$$\lambda_k^\dagger = \lambda_k \Rightarrow e^{-i \alpha_k \frac{\lambda_k}{2}} \text{ unitary: } U^\dagger U = 1$$

$$\text{Tr} \lambda_k = 0 \Rightarrow \text{unimodular: } \text{Det } U = +1$$

explicit representation:

$$\lambda^1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda^2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda^3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda^4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

$$\lambda^5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \quad \lambda^6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad \lambda^7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} \quad \lambda^8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

properties: $T^k = \frac{\lambda_k}{2}$

$$[T^a, T^b] = i f_{abc} T^c \quad [A_2 \text{ algebra}]$$

$$\{T^a, T^b\} = \frac{1}{3} \delta_{ab} 1 + d_{abc} T^c$$

$$\text{Tr}(T^a T^b) = \frac{1}{2} \delta_{ab} \quad \text{Tr}(T^a) = 0$$

I'. Color Hypothesis (Gell-Mann '72)

The $SU(3)_C$ symmetry is exact. All physical (free) states, observables and int. are $SU(3)_C$ singlets.

(a) quarks as color triplets do not appear as free particles.

(b) color wave functions:

$$\left. \begin{array}{l} \text{baryon: } \frac{1}{\sqrt{6}}\epsilon_{ijk} \\ \text{meson: } \frac{1}{\sqrt{3}}\delta_{ij} \end{array} \right\} \epsilon_{ijk}, \delta_{ij} \text{ } SU(3)_C \text{ singlets}$$

$$\text{Ex.: } \Delta^{++} \left(s_z = \frac{3}{2} \right) = \frac{1}{\sqrt{6}}\epsilon_{ijk} u_i(\uparrow) u_j(\uparrow) u_k(\uparrow)$$

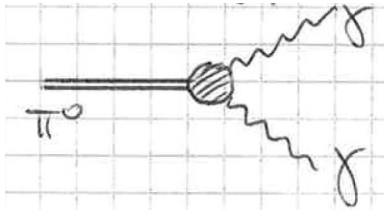
$$\Phi(s_z = +1) = \frac{1}{\sqrt{3}}\delta_{ij} s_i(\uparrow) \bar{s}_j(\uparrow)$$

(c) elm. int.: $\mathcal{L}_{elm} = -ej^\mu A_\mu$

$$j_\mu = \sum_{fl} \bar{q}\gamma_\mu Q_{qq} \equiv \sum_{fl} \sum_c \bar{q}_c \gamma_\mu Q_{qqc} \Rightarrow SU(3)_C \text{ singlet}$$

TESTS OF THE COLOR HYPOTHESIS

1.) $\pi^0 \rightarrow \gamma\gamma$ decay



$$\Gamma(\pi^0 \rightarrow \gamma\gamma) = \frac{\alpha^2}{32\pi^3} \frac{m_\pi^3}{f_\pi^2} (Q_u^2 - Q_d^2)^2 N_C^2$$

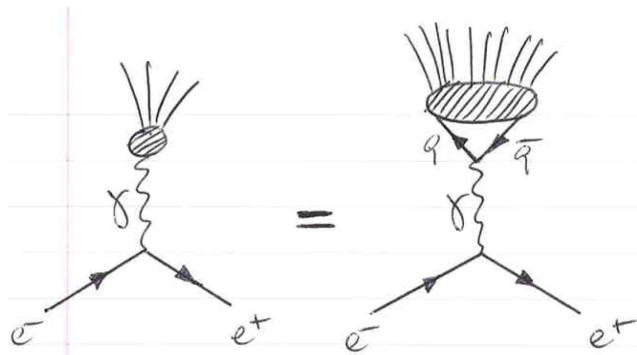
w/o color $N_C = 1$: $\Gamma = 0.868 \pm 0.065$ eV

w/ color $N_C = 3$: $\Gamma = 7.81 \pm 0.60$ eV ←

experimental: $\Gamma_{exp} = 7.84 \pm 0.56$ eV ←

2.) $e^+e^- \rightarrow$ hadrons

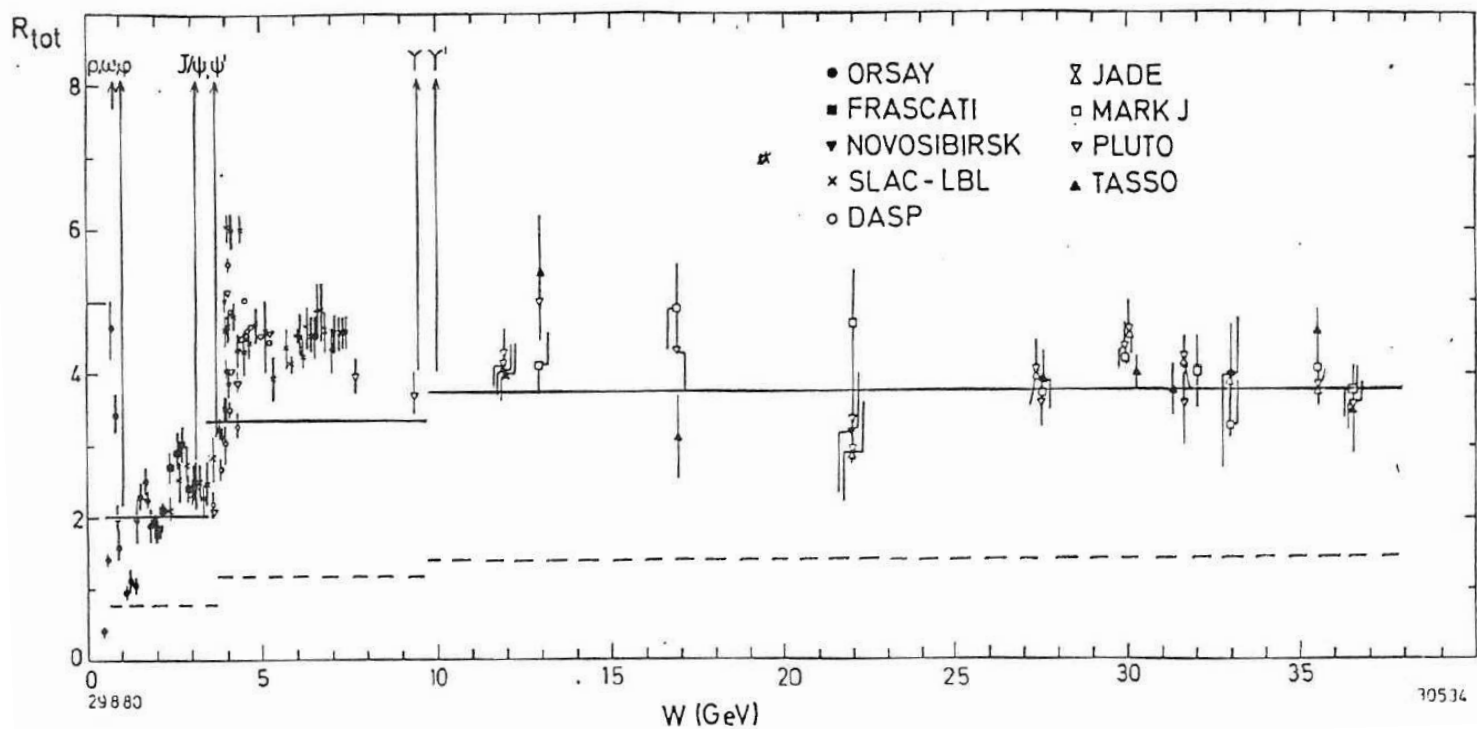
In the quark-parton model the production probability in $e^+e^- \rightarrow$ hadrons determined by the one for $q\bar{q}$ pairs; final-state int. negligible for $\frac{d_{prod} q\bar{q}}{d_{hadron}} \sim \frac{1 \text{ GeV}}{E} \rightarrow 0$ ($E \rightarrow \infty$).



$$R = \frac{\sigma(e^+e^- \rightarrow \text{had.})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = \sum_{fl,c} \frac{\sigma(e^+e^- \rightarrow q\bar{q})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = 3 \sum_{fl} e_q^2$$

q	e_q
u, c, t	$+\frac{2}{3}$
d, s, b	$-\frac{1}{3}$

energy	prod. q's	R w/o color	R w/ color
< 3 GeV	u, d, s	$\frac{4}{9} + \frac{1}{9} + \frac{1}{9} = \frac{2}{3}$	2
> 5 GeV	$+c$	$\frac{6}{9} + \frac{4}{9} = \frac{10}{9}$	$\frac{10}{3}$
> 10 GeV	$+b$	$\frac{10}{9} + \frac{1}{9} = \frac{11}{9}$	$\frac{11}{3}$



§2. Gluon Gauge Fields

II. Color Hypothesis (Nambu '66, Fritzsche+Gell-Mann '72, Leutwyler '73)

Color charges are sources of gauge fields (\Rightarrow gluons) that build up the strong interaction between quarks.

Lagrangian for color triplet:

$$\mathcal{L}_q = \bar{q}(x)(i\not{D} - m_q)q(x) \quad \text{with } q = (q_1, q_2, q_3)$$

$$m_{q_1} = m_{q_2} = m_{q_3} \quad SU(3)_C \text{ singlet}$$

— invariant w.r.t. local, non-Abelian $SU(3)_C$ transformations

$$\left. \begin{array}{l} q(x) \rightarrow Sq(x) \\ \bar{q}(x) \rightarrow \bar{q}(x)S^{-1} \end{array} \right\} S = e^{-i\alpha_k T^k} \quad \left(T^k = \frac{\lambda_k}{2} \right)$$

8 minimally coupled gluon fields $G_\mu^k(x)$ ($k = 1, \dots, 8$) $\rightarrow G_\mu = G_\mu^k T^k$

$$i\partial_\mu \rightarrow i\partial_\mu - g_s G_\mu = iD_\mu$$

$$G_\mu(x) \rightarrow SG_\mu S^{-1} - \frac{i}{g_s} S\partial_\mu S^{-1}$$

ROT. SHIFT

$$\Rightarrow \underline{\underline{D \rightarrow D' = SDS^{-1}}} \quad \text{ROTATION}$$

Gluon field Lagrangian:

$$\text{curl: } G_{\mu\nu} = D_\nu G_\mu - D_\mu G_\nu = \partial_\nu G_\mu - \partial_\mu G_\nu - ig_s [G_\mu, G_\nu]$$

$$\text{gauge trf.: } G_{\mu\nu} \rightarrow G'_{\mu\nu} = S G_{\mu\nu} S^{-1} \quad \text{pure rotation}$$

$$\text{from } G_{\mu\nu} = \frac{i}{g_s} [D_\mu, D_\nu] \quad \text{[no observable]}$$

$$\underline{\underline{\mathcal{L}_g = -\frac{1}{2} \text{Tr} G_{\mu\nu}^2 = -\frac{1}{4} (G_{\mu\nu}^k)^2 \leftarrow \text{gauge invariant:}}}$$

$$\uparrow \quad \text{no mass term } \left(+\frac{1}{2} m_g^2 \text{Tr} G_\mu^2 \right)$$

$$\text{consists of: (a) kinetic part } = -\frac{1}{4} (\partial_\nu G_\mu^k - \partial_\mu G_\nu^k)^2$$

$$\text{(b) trilinear coupling } \sim g_s G G G$$

$$\text{(c) quartic coupling } \sim g_s^2 G G G G$$

— self-interaction of gluon fields: color-charged gluons are sources of gluons ($\neq \gamma$)

— g_s universal, fixed in gauge sector: color charges quantized

Lagrangian of QCD: $\mathcal{L} = \mathcal{L}_{QCD} + \mathcal{L}_{GF} + \mathcal{L}_{FPG} :$

$\mathcal{L}_{QCD} = qg$ Lagrangian

$\mathcal{L}_{GF} =$ gauge fixing

$\mathcal{L}_{FPG} =$ ghost Lagrangian

$$\mathcal{L}_{QCD} = \bar{q}(i\not{D} - m_q)q - \frac{1}{2}\text{Tr}G_{\mu\nu}^2$$

$$= \bar{q}(i\not{\partial} - m_q)q - \frac{1}{2}\text{Tr}(\partial_\nu G_\mu - \partial_\mu G_\nu)^2 \quad \text{kinet. part}$$

$$-g_s \bar{q} \not{G} q \quad q - g \text{ coupling}$$

$$+ig_s \text{Tr}(\partial_\nu G_\mu - \partial_\mu G_\nu)[G_\mu, G_\nu] \quad 3g \text{ coupling}$$

$$+\frac{g_s^2}{2}\text{Tr}[G_\mu, G_\nu]^2 \quad 4g \text{ coupling}$$

Lorenz gauge:

$$\mathcal{L}_{GF} = -\frac{1}{\xi}\text{Tr}(\partial G)^2$$

axial gauge:

$$\mathcal{L}_{GF} = -\frac{1}{\xi}\text{Tr}(nG)^2$$

for $\xi \rightarrow 0$

$$\mathcal{L}_{FPG} = \partial^\mu c^{a*}(\partial_\mu + g_s f_{abc} G_\mu^b)c^c \quad \mathcal{L}_{FPG} = 0$$

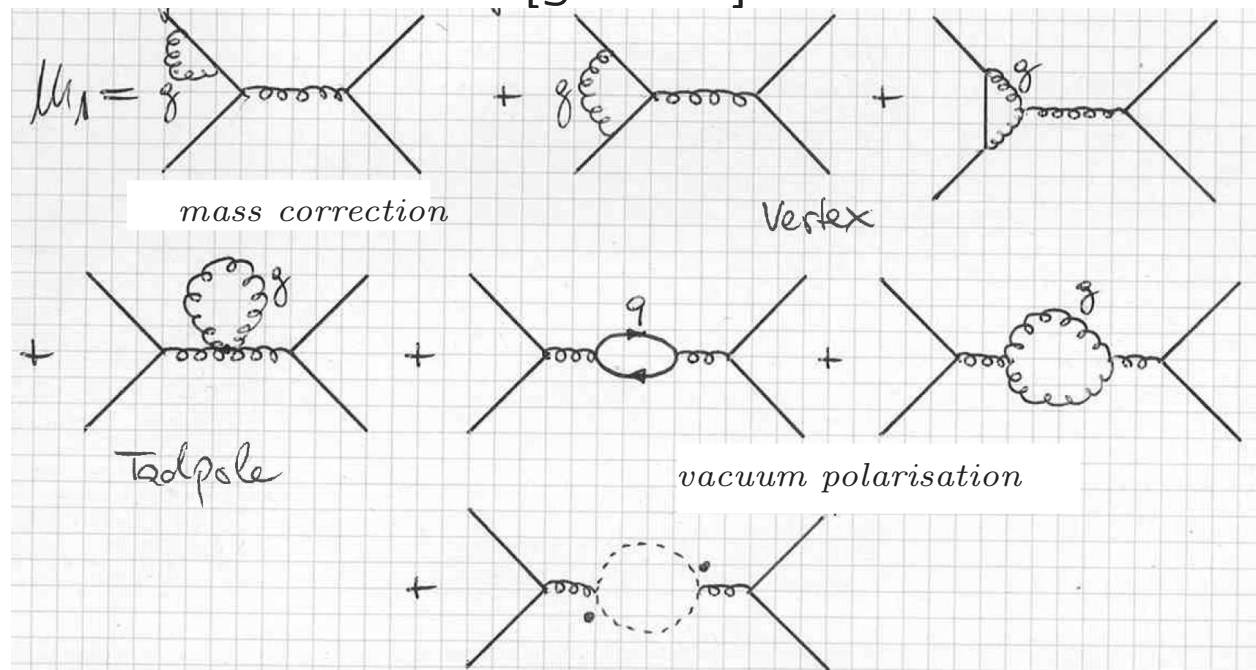
§3. Asymptotic Freedom

QCD: quark-quark scattering: $\mathcal{M} = \mathcal{M}_0 + \mathcal{M}_1 + \dots$

Born term:

$$\mathcal{M}_0 = \text{[diagram: quark-quark scattering via gluon exchange]} = \frac{4\pi\alpha_s(\mu^2)}{Q^2} \dots [Q^2 = -q^2]$$

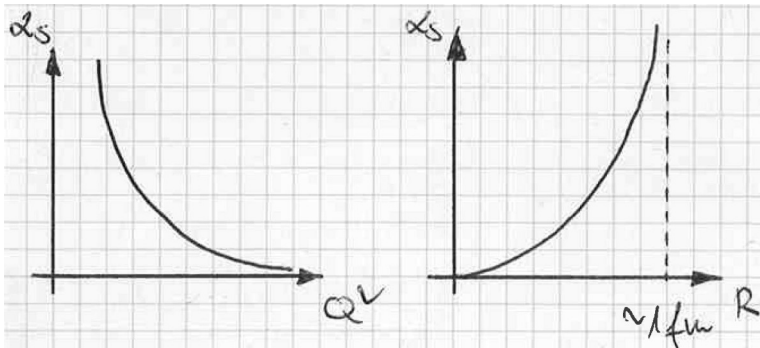
Radiative corrections [generic]:



$$\alpha_s(Q^2) = \frac{\alpha_s(\mu^2)}{1 + \frac{33-2N_F}{12} \frac{\alpha_s}{\pi} \log \frac{Q^2}{\mu^2}}$$

With increasing Q^2 the effective color charge vanishes:
asymptotic freedom

[non-Abelian $SU(3)$: $N_F \leq 16$]
 consequence of non-Abelian gauge boson loops;
 contrary to $U(1)$
 [and all other theories]
 [Politzer '73, Gross & Wilczek '73; ('t Hooft '72)]



\sim confinement radius

Scale parameter of QCD: quantum theory introduces a scale into un-
 scaled classical chromodynamics [for $m_q = 0$] via renormalization: in-
 troduction of coupling constant at default distance:

$$\alpha_s = \alpha_s(\mu^2) \quad [\leftarrow \text{exp. determined}]$$

INTERMEZZO

Reformulation:

$$\frac{1}{\alpha_s(Q^2)} = \underbrace{\frac{1}{\alpha_s(\mu^2)} - \frac{33 - 2N_F}{12\pi} \log \mu^2}_{\equiv \frac{33 - 2N_F}{12\pi} \log \frac{1}{\Lambda^2}} + \frac{33 - 2N_F}{12\pi} \log Q^2$$

$$\Rightarrow \boxed{\alpha_s(Q^2) = \frac{12\pi}{(33 - 2N_F) \log \frac{Q^2}{\Lambda^2}}$$

$\Lambda^{-1} \sim 1 \text{ fm} \sim \text{conf. rad.}$

$\Rightarrow \boxed{\Lambda \sim 100 - 300 \text{ MeV}}$

$$\frac{\alpha_s(Q^2)}{\pi} \lesssim 10^{-1} \text{ for } Q^2 \gtrsim 2\text{GeV}^2$$

\Rightarrow region of ensured perturbation theory

Renormalization group equation:

$$\mu^2 \frac{\partial \alpha_s(\mu^2)}{\partial \mu^2} = \beta(\alpha_s(\mu^2)) \quad \beta(\alpha_s) = -\beta_0 \frac{\alpha_s^2}{\pi} + \mathcal{O}(\alpha_s^3)$$

$$\text{Solution: } \log \frac{Q^2}{\mu^2} = \int_{\alpha_s(\mu^2)}^{\alpha_s(Q^2)} \frac{d\alpha_s}{\beta(\alpha_s)} = -\frac{\pi}{\beta_0} \left[\frac{1}{\alpha_s(\mu^2)} - \frac{1}{\alpha_s(Q^2)} \right]$$

$$\boxed{\alpha_s(Q^2) = \frac{\alpha_s(\mu^2)}{1 + \beta_0 \frac{\alpha_s}{\pi} \log \frac{Q^2}{\mu^2}} \quad \beta_0 = \frac{33 - 2N_F}{12}}$$

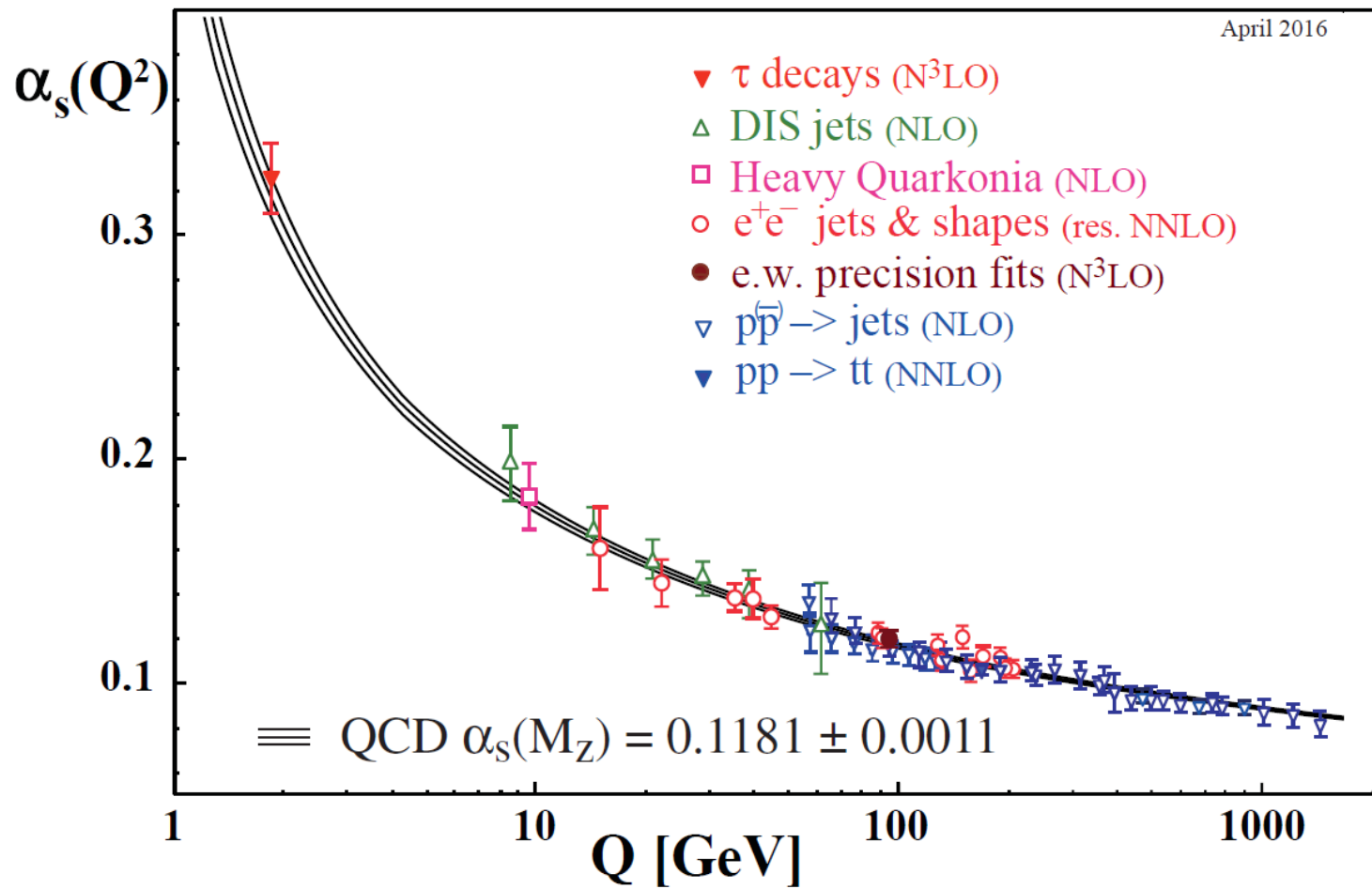
RGG det. asymptotic behavior of running cplg.

$$\text{higher orders: } \beta(\alpha_s) = -\frac{\alpha_s^2}{\pi} \left[\beta_0 + \beta_1 \frac{\alpha_s}{\pi} + \beta_2 \frac{\alpha_s^2}{\pi^2} + \dots \right]$$

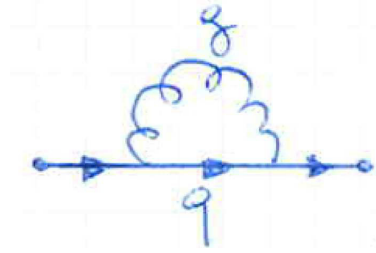
$$\beta_1 = \frac{153 - 19N_F}{24} \quad \beta_2 = \frac{1}{128} \left[2857 - \frac{5033}{9} N_F + \frac{325}{27} N_F^2 \right]$$

$$\alpha_s(Q^2) = \frac{\pi}{\beta_0 \log \frac{Q^2}{\Lambda^2}} \left\{ 1 - \frac{\beta_1}{\beta_0^2} \frac{\log \log \frac{Q^2}{\Lambda^2}}{\log \frac{Q^2}{\Lambda^2}} + \dots \right\}$$

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Quark masses



quark self-energy:

$$\Sigma(\not{p} = m) = m C_F \frac{\alpha_s}{\pi} \Gamma(1 + \epsilon) \left(\frac{4\pi\mu_0^2}{m^2} \right)^\epsilon \left(\frac{3}{4\epsilon} + 1 \right)$$

$$m = m_0 + \Sigma(\not{p} = m) \quad \text{pole mass}$$

$$\overline{m}(\mu^2) = m_0 + \delta\overline{m} \quad \overline{\text{MS}} \text{ mass}$$

$$\delta\overline{m} = m C_F \frac{\alpha_s}{\pi} \Gamma(1 + \epsilon) \left(\frac{4\pi\mu_0^2}{\mu^2} \right)^\epsilon \frac{3}{4\epsilon} \quad \text{[only divergence]}$$

Relation pole mass \leftrightarrow $\overline{\text{MS}}$ mass:

$$\begin{aligned} \overline{m}(\mu^2) &= m - [\Sigma(\not{p} = m) - \delta\overline{m}] = m \left[1 - C_F \frac{\alpha_s}{\pi} \left(\frac{3}{4} \log \frac{\mu^2}{m^2} + 1 \right) \right] \\ &= m \left[1 - C_F \frac{\alpha_s}{\pi} \right] \left[1 - \frac{3}{4} C_F \frac{\alpha_s}{\pi} \log \frac{\mu^2}{m^2} \right] \end{aligned}$$

$$\overline{m}(m^2) = m \left[1 - C_F \frac{\alpha_s(m^2)}{\pi} \right]$$

$$\overline{m}(\mu^2) = \overline{m}(m^2) \left[1 - \frac{\alpha_s}{\pi} \log \frac{\mu^2}{m^2} \right]$$

renormalization group equation:

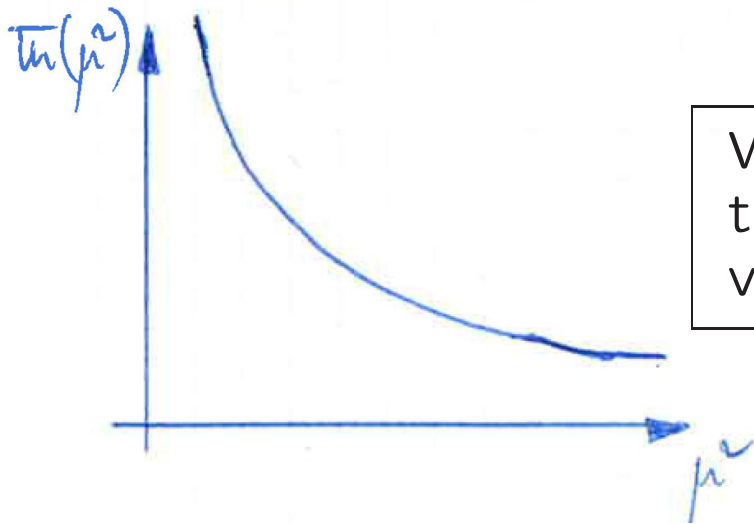
$$\mu^2 \frac{\partial \bar{m}(\mu^2)}{\partial \mu^2} = -\gamma_m(\alpha_s(\mu^2)) \bar{m}(\mu^2)$$

$$\gamma_m(\alpha_s) = \frac{\alpha_s}{\pi} + \mathcal{O}(\alpha_s^2) \quad \text{anomalous mass dimension}$$

$$\alpha_s(\mu^2) = \frac{\pi}{\beta_0 \log \frac{\mu^2}{\Lambda^2}}$$

$$\begin{aligned} \Rightarrow \text{solution: } \bar{m}(\mu^2) &= \bar{m}(m^2) \exp \left\{ -\frac{1}{\beta_0} \int_{m^2}^{\mu^2} \frac{dQ^2}{Q^2 \log \frac{Q^2}{\Lambda^2}} \right\} \\ &= \bar{m}(m^2) \left[\frac{\alpha_s(\mu^2)}{\alpha_s(m^2)} \right]^{\frac{1}{\beta_0}} \end{aligned}$$

$$\begin{aligned} \bar{m}(\mu^2) &= \hat{m}[\alpha_s(\mu^2)]^{\frac{1}{\beta_0}} \\ \hat{m} &= \bar{m}(m^2)[\alpha_s(m^2)]^{-\frac{1}{\beta_0}} \end{aligned}$$



With growing μ^2 ($R \rightarrow 0$)
the effective quark mass
vanishes.

Examples:

bottom quark: $m_b = 4.8$ GeV $\bar{m}_b(m_b^2) = 4.2$ GeV

$\bar{m}_b(M_Z^2) = 2.9$ GeV

charm quark: $m_c = 1.6$ GeV $\bar{m}_c(m_c^2) = 1.2$ GeV

$\bar{m}_c(M_Z^2) = 0.6$ GeV

light quarks: $\bar{m}_u(1 \text{ GeV}^2) \sim 5$ MeV Gasser, Leutwyler

[QCD sum rules] $\bar{m}_d(1 \text{ GeV}^2) \sim 8$ MeV

$\bar{m}_s(1 \text{ GeV}^2) \sim 200$ MeV

Higher orders:

$$\overline{m}(m^2) = \frac{m}{1 + C_F \frac{\alpha_s(m^2)}{\pi} + K \left(\frac{\alpha_s(m^2)}{\pi} \right)^2}$$

Gray, Broadhurst, Grafe, Schilcher

$$K_t \sim 10.9 \quad K_b \sim 12.4 \quad K_c \sim 13.4$$

$$\overline{m}(\mu^2) = \overline{m}(m^2) \frac{c \left[\frac{\alpha_s(\mu^2)}{\pi} \right]}{c \left[\frac{\alpha_s(m^2)}{\pi} \right]}$$

$$c(x) = \left(\frac{9}{2} x \right)^{\frac{4}{9}} \left[1 + 0.895x + 1.371x^2 + 1.952x^3 \right] \quad m_s < \mu < m_c$$

$$c(x) = \left(\frac{25}{6} x \right)^{\frac{12}{25}} \left[1 + 1.014x + 1.389x^2 + 1.091x^3 \right] \quad m_c < \mu < m_b$$

$$c(x) = \left(\frac{23}{6} x \right)^{\frac{12}{23}} \left[1 + 1.175x + 1.501x^2 + 0.1725x^3 \right] \quad m_b < \mu < m_t$$

$$c(x) = \left(\frac{7}{2} x \right)^{\frac{4}{7}} \left[1 + 1.389x + 1.793x^2 - 0.6834x^3 \right] \quad m_t < \mu$$

Chetyrkin

Larin, van Ritbergen, Vermaseren

INTERMEZZO: IR renormalon

$$\overline{m}_t(\overline{m}_t) = 163.643 \text{ GeV}, \alpha_s(m_t) = 0.1088:$$

$$m_t = (163.643 + 7.557 + 1.617 + 0.501 + 0.195 + \dots) \text{ GeV}$$

$$\alpha_s(Q) = \frac{1}{b_0 \log \frac{Q^2}{\Lambda_{QCD}^2}} = \frac{\alpha_s(m)}{1 - \alpha_s b_0 \log \frac{m^2}{Q^2}} = \sum_{n=1}^{\infty} \left(\alpha_s(m) b_0 \log \frac{m^2}{Q^2} \right)^n \quad b_0 = \frac{33 - 2N_F}{12\pi}$$

IR-contribution to the last loop integration at $(n+1)$ -loop order

$$\delta m^{(n+1)} \propto m \alpha_s^{n+1}(m) \int^m dQ b_0^n \log^n \frac{m^2}{Q^2} = m (2b_0)^n \alpha_s^{n+1}(m) n!$$

expansion up to $n_0 \approx 1/(2b_0\alpha_s)$ converges, then diverges (asympt. series)
 \Rightarrow minimal term (Stirling's formula):

$$\begin{aligned} \delta m^{(n_0+1)} &\approx m \alpha_s(m) n_0^{-n_0} \sqrt{2\pi n_0}^{n_0+\frac{1}{2}} e^{-n_0} \approx m \alpha_s \sqrt{2\pi n_0} e^{-n_0} \approx m \sqrt{\frac{\pi \alpha_s}{b_0}} \exp\left(-\frac{1}{2b_0\alpha_s}\right) \\ &= m \sqrt{\frac{\pi \alpha_s}{b_0}} e^{\log \frac{\Lambda_{QCD}}{m}} = \sqrt{\frac{\pi \alpha_s}{b_0}} \Lambda_{QCD} \end{aligned}$$

\Rightarrow ambiguity of $\mathcal{O}(\Lambda_{QCD})$: $\delta m_t \approx 110 \text{ MeV}$