

Non-equilibrium QCD dynamics on the lattice

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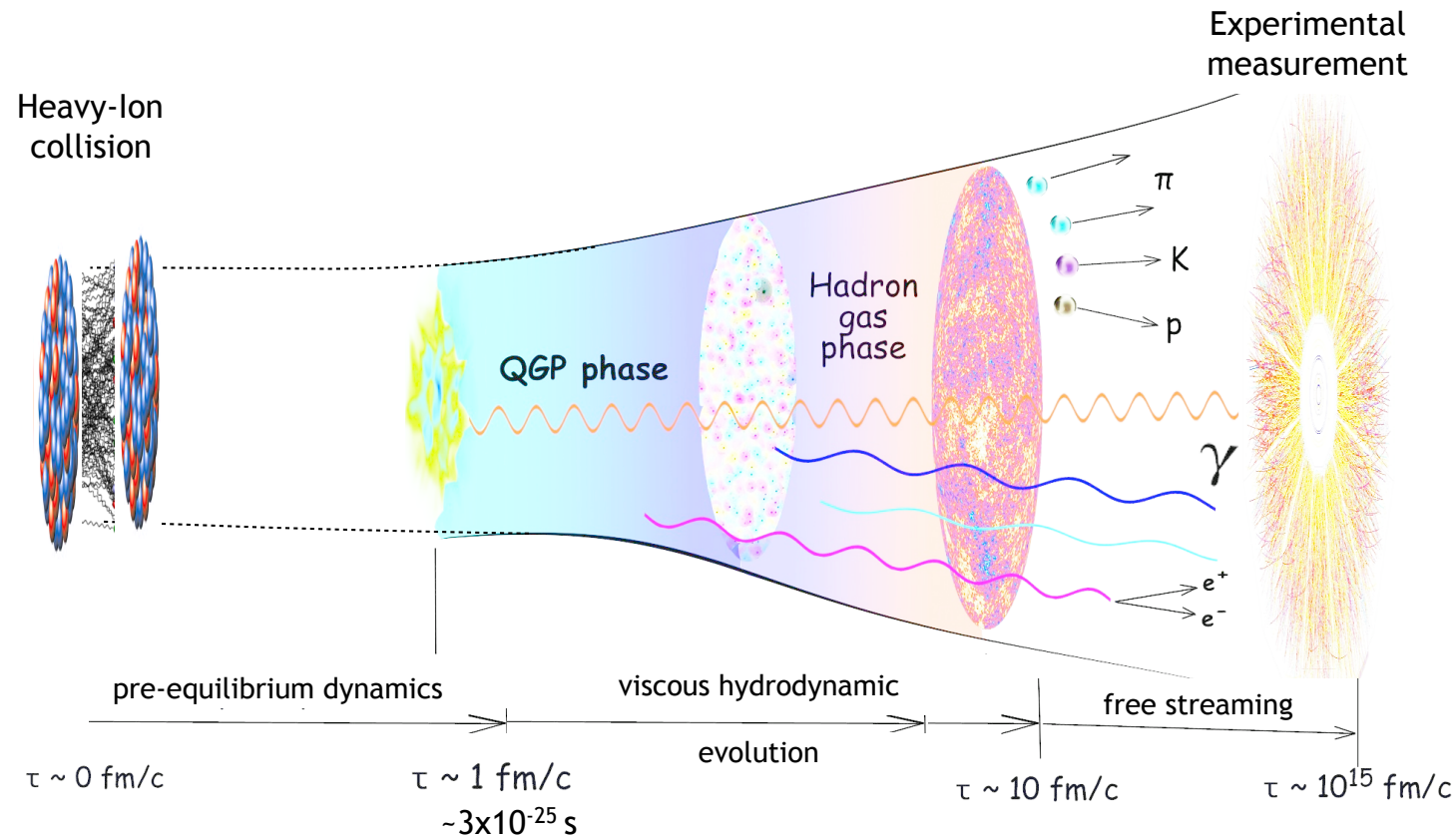
TIFR ICTS School

“THE MYRIAD COLORFUL WAYS OF UNDERSTANDING EXTREME QCD MATTER”

Bangalore, India April 2019

Introduction & Motivation

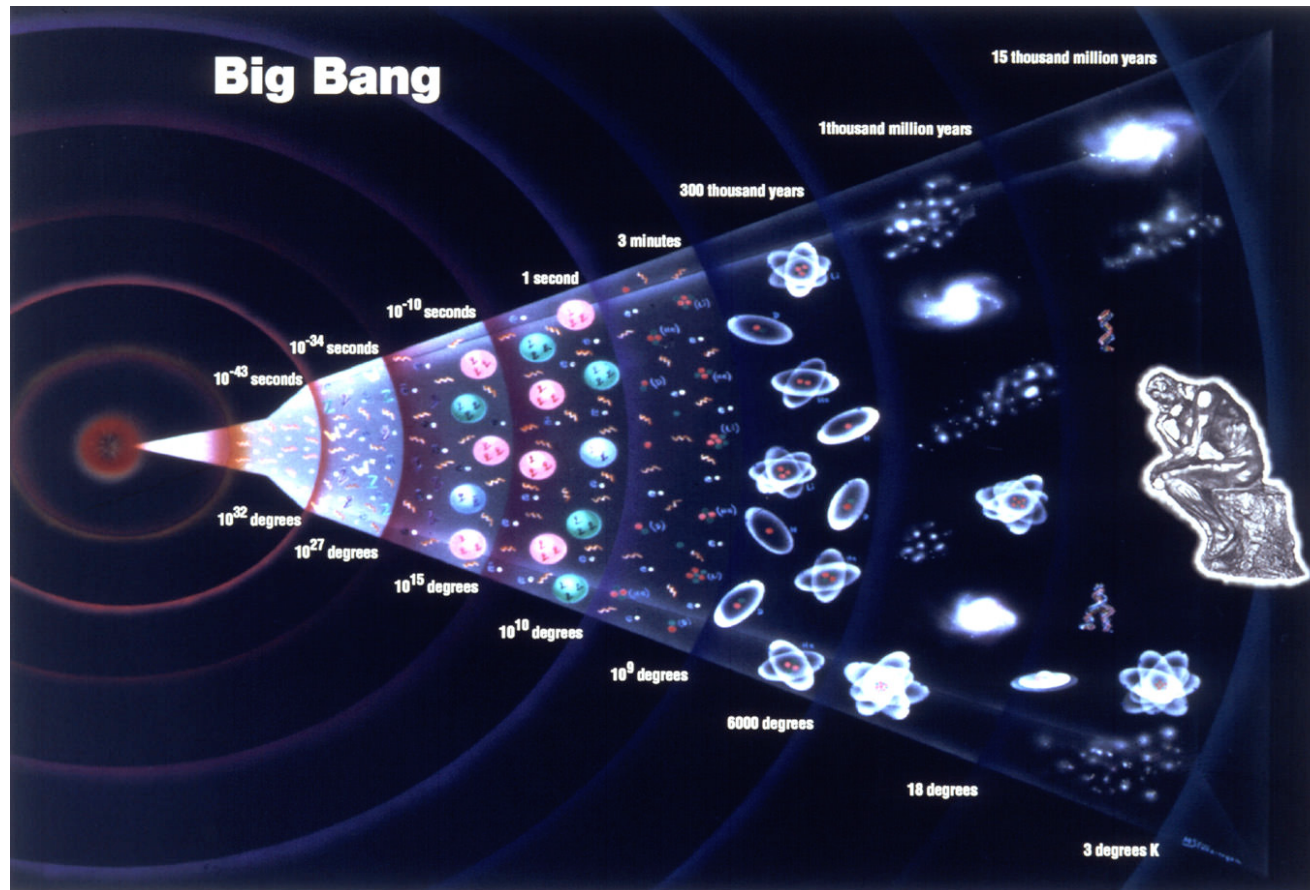
Heavy-Ion collisions



Challenge to develop ab-initio description of HICs from QCD

Introduction & Motivation

Cosmology



Challenge to understand how matter as we know it is created from initial quantum fluctuations

Introduction & Motivation

We want to describe non-equilibrium QFT to study
Heavy-Ion collisions, Cosmology, Cold Atom experiments, ...

What can we do? No exact methods a la lattice QCD

Need to choose most suitable among a variety of well established
non-equilibrium methods

microscopic descriptions:

- semi-classical descriptions
- real-time effective actions
- kinetic theory
- holography

macroscopic descriptions:

- hydrodynamics

Different non-equilibrium methods have different range of validity

=> possibly need multiple methods to describe physics

Outline

Basics of non-equilibrium QFT

Classical-statistical lattice techniques

Non-equilibrium phenomena & thermalization processes
in scalar and gauge theories

Non-thermal fixed points & connections to turbulence

New directions in non-equilibrium QFT

Some useful references include

Introduction to nonequilibrium quantum field theory

Juergen Berges (Heidelberg U.). Sep 2004. 131 pp.

Published in **AIP Conf.Proc. 739 (2004) no.1, 3-62**

Universal attractor in a highly occupied non-Abelian plasma

Juergen Berges (U. Heidelberg, ITP & Darmstadt, EMMI), Kirill Boguslavski (U. Heidelberg, ITP), Soeren Schlichting (U. Heidelberg, ITP & Brookhaven), Raju Venugopalan (Brookhaven).

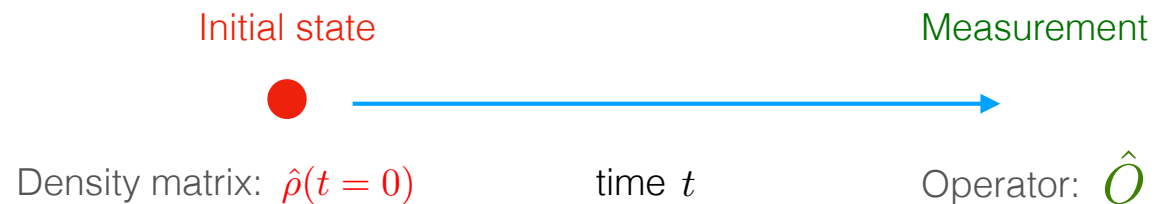
Nov 12, 2013. 45 pp.

Published in **Phys.Rev. D89 (2014) no.11, 114007**

Non-equilibrium quantum mechanics (QM)

General question of interest

Schroedinger picture



Evolution of density matrix governed by von-Neumann equation

$$i\hbar \partial_t \hat{\rho}(t) = [\hat{H}, \hat{\rho}(t)]$$

$$\hat{\rho}(t) = e^{-i\hat{H}t/\hbar} \hat{\rho}(t = 0) e^{+i\hat{H}t/\hbar}$$

Expectation value $O(t)$ of operator calculated as

$$O(t) = \text{tr} [\hat{\rho}(t) \hat{O}]$$

Not practical for interacting QFTs (construction of Hilbert space, exponential growth of number of states,...)

Non-equilibrium path integral

General strategy to avoid use of operator formalism in QFT is to introduce path integral

Consider for definiteness a scalar field theory

$$S_{\text{cl}}[\varphi] = \int_{t,\mathbf{x}} \left\{ \frac{1}{2}(\partial_\mu \varphi)(\partial^\mu \varphi) - \frac{m^2}{2}\varphi^4 - \frac{\lambda}{4!}\varphi^4 \right\}$$

By insertion of complete sets of states $\hat{\phi}(x)|\varphi\rangle = \varphi(x)|\varphi\rangle$

we can express $O(t) = \text{tr} \left[\rho_0 e^{+i\hat{H}t/\hbar} \hat{O} e^{-i\hat{H}t/\hbar} \right]$ as

$$O(t) = \int [d\varphi]_0^+ [d\varphi]_0^- \langle \varphi_0^+ | \hat{\rho}_0 | \varphi_0^- \rangle \langle \varphi_0^- | e^{+i\hat{H}t/\hbar} \hat{O} e^{-i\hat{H}t/\hbar} | \varphi_0^+ \rangle$$

$$O(t) = \int [d\varphi]_0^+ [d\varphi]_0^- [d\varphi]_f^+ [d\varphi]_f^- \langle \varphi_0^+ | \hat{\rho}_0 | \varphi_0^- \rangle \langle \varphi_0^- | e^{+i\hat{H}t/\hbar} | \varphi_f^- \rangle \langle \varphi_f^- | \hat{O} | \varphi_f^+ \rangle \langle \varphi_f^+ | e^{-i\hat{H}t/\hbar} | \varphi_0^+ \rangle$$

Non-equilibrium path integral

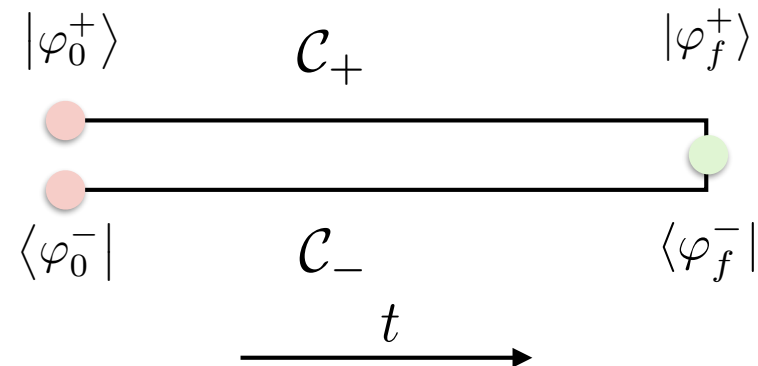
Expectation value of operator determined by

$$O(t) = \int [d\varphi]_0^+ [d\varphi]_0^- [d\varphi]_f^+ [d\varphi]_f^- \underbrace{\langle \varphi_0^+ | \hat{\rho}_0 | \varphi_0^- \rangle}_{\text{initial state matrix element}} \underbrace{\langle \varphi_0^- | e^{+i\hat{H}t/\hbar} | \varphi_f^- \rangle}_{\text{cc transition amplitude}} \underbrace{\langle \varphi_f^- | \hat{O} | \varphi_f^+ \rangle}_{\text{operator matrix element}} \underbrace{\langle \varphi_f^+ | e^{-i\hat{H}t/\hbar} | \varphi_0^+ \rangle}_{\text{direct transition amplitude}}$$

e.g. if φ_0/φ_f eigenstate of density operator/observable

$$O(t) \approx \underbrace{\text{probability of initial state } \varphi_0}_{\text{red box}} \times \underbrace{\text{probability for transition } \varphi_0 \rightarrow \varphi_f}_{\text{blue box}} \times \underbrace{\text{value of observable in state } \varphi_f}_{\text{green box}}$$

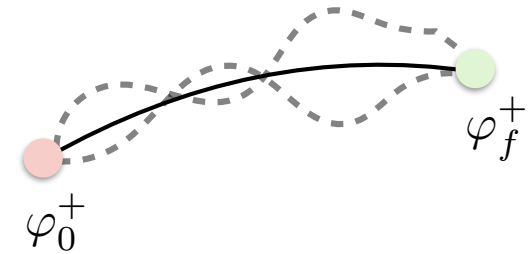
Visualization on the Schwinger-Keldysh contour



Non-equilibrium path integral

Standard construction of path integral for transition amplitudes

$$\langle \varphi_f^+ | e^{+i\hat{H}t/\hbar} | \varphi_0^+ \rangle = \int_{\varphi_0^+}^{\varphi_f^+} D\varphi^+ e^{+\frac{i}{\hbar} S_{cl}[\varphi^+]}$$



completes derivation of non-equilibrium path integral

$$O(t) = \underbrace{\int [d\varphi_0^+][d\varphi_0^-] \langle \varphi_0^+ | \hat{\rho}_0 | \varphi_0^- \rangle}_{\text{initial state}} \underbrace{\int [d\varphi_f^+][d\varphi_f^-] \langle \varphi_f^- | \hat{O} | \varphi_f^+ \rangle}_{\text{measurement}} \underbrace{\int_{\varphi_0^+}^{\varphi_f^+} D\varphi^+ \int_{\varphi_0^-}^{\varphi_f^-} D\varphi^- e^{\frac{i}{\hbar} (S_{cl}[\varphi^+] - S_{cl}[\varphi^-])}}_{\text{non-equilibrium dynamics}}$$

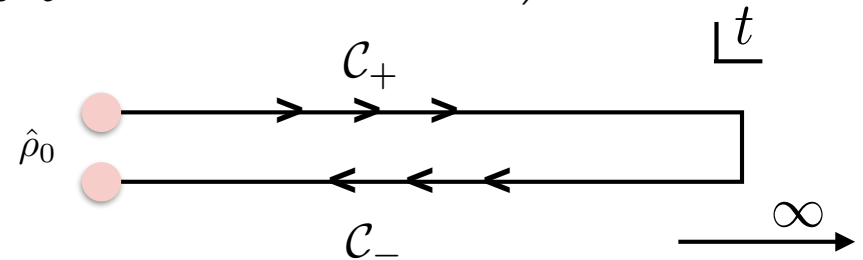
where $D\varphi$ is integral over all possible paths connecting beginning and endpoints

Non-equilibrium path integral

Since typically not interested in one specific observable, better to consider non-equilibrium generating functional

$$Z[J, R, \hat{\rho}_0] = \text{tr} \left(\hat{\rho}_0 \mathcal{T}_C \exp \left[\frac{i}{\hbar} \left(\int_{x_C^0, \mathbf{x}} J(x) \hat{\phi}_H(x) + \frac{1}{2} \int_{x_C^0, y_C^0, \mathbf{x}, \mathbf{y}} \hat{\phi}_H(x) R(x, y) \hat{\phi}_H(y) \right) \right] \right)$$

where $\mathcal{T}_C$ denotes path ordering along Schwinger-Keldysh contour



$$Z[J, R, \hat{\rho}_0] = \int [d\varphi_0^+] [d\varphi_0^-] \langle \varphi_0^+ | \hat{\rho}_0 | \varphi_0^- \rangle \int_{\varphi_0^+}^{\varphi_0^-} D\varphi e^{\frac{i}{\hbar} \left[S_C[\varphi] + \int_{x_C} J(x) \varphi(x) + \frac{1}{2} \int_{x_C, y_C} \varphi(x) R(x, y) \varphi(y) \right]}$$

initial state

non-equilibrium dynamics

generic observables can be constructed as usual by functional differentiation wrt the sources

Non-equilibrium path integral

Question: How to evaluate non-equilibrium path integral

$$Z[J, R, \hat{\rho}_0] = \underbrace{\int [d\varphi_0^+][d\varphi_0^-] \langle \varphi_0^+ | \hat{\rho}_0 | \varphi_0^- \rangle}_{\text{initial state}} \underbrace{\int_{\varphi_0^+}^{\varphi_0^-} D\varphi e^{\frac{i}{\hbar} \left[S_C[\varphi] + \int_{x_C} J(x)\varphi(x) + \frac{1}{2} \int_{x_C, y_C} \varphi(x) R(x, y) \varphi(y) \right]}}_{\text{non-equilibrium dynamics}}$$

Direct numerical evaluation essentially impossible due to severe sign problem e^{iS} (no purely real representation)

-> some ideas to be discussed at the end of lectures

Generally need to find suitable approximations based on expansion parameter, e.g.

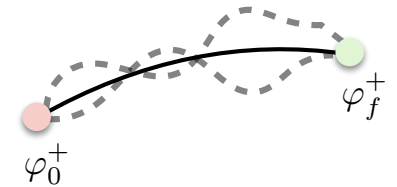
- semi-classical expansions ($\hbar$)
- weak-coupling expansions (λ)

Classical aspects of non-equilibrium quantum fields

Quantum field theory:

$$O(t) = \underbrace{\int [d\varphi_0^+][d\varphi_0^-] \langle \varphi_0^+ | \hat{\rho}_0 | \varphi_0^- \rangle}_{\text{initial state}} \underbrace{\int [d\varphi_f^+][d\varphi_f^-] \langle \varphi_f^- | \hat{O} | \varphi_f^+ \rangle}_{\text{measurement}} \underbrace{\int_{\varphi_0^+}^{\varphi_f^+} D\varphi^+ \int_{\varphi_0^-}^{\varphi_f^-} D\varphi^- e^{\frac{i}{\hbar} (S_{\text{cl}}[\varphi^+] - S_{\text{cl}}[\varphi^-])}}_{\text{non-equilibrium dynamics}}$$

for each initial/final state φ_0/φ_f contribution from all paths separately for direct and complex conjugate amplitude



Classical field theory:

classical equation of motion

$$\frac{\delta S_{\text{cl}}[\phi]}{\delta \phi} = 0 \quad \Leftrightarrow \quad \partial_\mu \partial^\mu \phi + m^2 \phi + \frac{\lambda}{6} \phi^3 = 0$$

gives unique trajectory for each initial/final state ϕ_0/ϕ_f

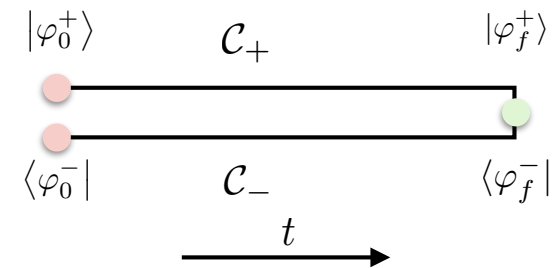
Classical aspects of non-equilibrium quantum fields

Quantum field theory:

$$O(t) = \int [d\varphi_0^+] [d\varphi_0^-] \langle \varphi_0^+ | \hat{\rho}_0 | \varphi_0^- \rangle \int [d\varphi_f^+] [d\varphi_f^-] \langle \varphi_f^- | \hat{O} | \varphi_f^+ \rangle \int_{\varphi_0^+}^{\varphi_f^+} D\varphi^+ \int_{\varphi_0^-}^{\varphi_f^-} D\varphi^- e^{\frac{i}{\hbar} (S_{\text{cl}}[\varphi^+] - S_{\text{cl}}[\varphi^-])}$$

Change of basis/Keldysh-rotation

$$\varphi = \frac{\varphi^+ + \varphi^-}{2} \quad \chi = \frac{\varphi^+ - \varphi^-}{\hbar}$$



difference of action becomes

$$\frac{i}{\hbar} (S_{\text{cl}}[\varphi^+] - S_{\text{cl}}[\varphi^-]) = -i \int_{t, \mathbf{x}} \chi(x) \left(\partial_\mu \partial^\mu \varphi(x) + m^2 \varphi(x) + \frac{\lambda}{6} \varphi^3(x) \right) \quad \text{classical EOM}$$

$$- \frac{i\lambda\hbar^2}{24} \int_{t, \mathbf{x}} \chi^3(x) \varphi(x)$$

quantum corrections
 $O(\hbar^2)$

$$+i \int_{\mathbf{x}} \chi_f(\mathbf{x}) \dot{\varphi}_f(\mathbf{x}) - \chi_0(\mathbf{x}) \dot{\varphi}_0(\mathbf{x})$$

boundary terms

Classical aspects of non-equilibrium quantum fields

Non-equilibrium path integral takes the form

$$O(t) = \int [d\varphi_0][d\varphi_f] \int_{\varphi_0}^{\varphi_f} D\varphi \int [d\chi_0] \left\langle \varphi_0 + \frac{\hbar}{2}\chi_0 \left| \hat{\rho}_0 \right| \varphi_0 - \frac{\hbar}{2}\chi_0 \right\rangle e^{+i \int_{\mathbf{x}} \chi_0(\mathbf{x}) \dot{\varphi}_0(\mathbf{x})}$$

$$\int D\chi \exp \left[-i \int_{t,\mathbf{x}} \chi(x) \left(\partial_\mu \partial^\mu \varphi(x) + m^2 \varphi(x) + \frac{\lambda}{6} \varphi^3(x) \right) - \frac{i\lambda\hbar^2}{24} \int_{t,\mathbf{x}} \chi^3(x) \varphi(x) \right]$$

$$\int [d\chi_f] \left\langle \varphi_f - \frac{\hbar}{2}\chi_f \left| \hat{O} \right| \varphi_f + \frac{\hbar}{2}\chi_f \right\rangle e^{-i \int_{\mathbf{x}} \chi_f(\mathbf{x}) \dot{\varphi}_f(\mathbf{x})}$$

boundary terms lead to the appearance of Wigner-function

$$W[\varphi_0, \dot{\varphi}_0] = \int [d\chi_0] \left\langle \varphi_0 + \frac{\hbar}{2}\chi_0 \left| \hat{\rho}_0 \right| \varphi_0 - \frac{\hbar}{2}\chi_0 \right\rangle e^{+i \int_{\mathbf{x}} \chi_0(\mathbf{x}) \dot{\varphi}_0(\mathbf{x})}$$

$$O_W[\varphi_0, \dot{\varphi}_0] = \int [d\chi_0] \left\langle \varphi_0 - \frac{\hbar}{2}\chi_0 \left| \hat{O} \right| \varphi_0 + \frac{\hbar}{2}\chi_0 \right\rangle e^{-i \int_{\mathbf{x}} \chi_0(\mathbf{x}) \dot{\varphi}_0(\mathbf{x})}$$

Ignoring $O(\hbar^2)$ effects for the moment one finds

$$O(t) = \int [d\varphi_0][d\varphi_f] \int_{\varphi_0}^{\varphi_f} D\varphi W[\varphi_0, \dot{\varphi}_0] \delta \left(\partial_\mu \partial^\mu \varphi(x) + m^2 \varphi(x) + \frac{\lambda}{6} \varphi^3(x) \right) O_W[\varphi_f, \dot{\varphi}_f]$$

where only classical-trajectories contribute

Classical-statistical field theory

Evaluation of $O(t) = \int [d\varphi_0][d\varphi_f] \int_{\varphi_0}^{\varphi_f} D\varphi \, W[\varphi_0, \dot{\varphi}_0] \, \delta\left(\partial_\mu \partial^\mu \varphi(x) + m^2 \varphi(x) + \frac{\lambda}{6} \varphi^3(x)\right) O_W[\varphi_f, \dot{\varphi}_f]$

1 Start with initial conditions $\phi(t=0, \mathbf{x}) = \phi_0$ $\partial_t \phi(t, \mathbf{x})|_{t=0} = \pi_0$

2 Solve classical field equations of motion

$$\partial_\mu \partial^\mu \phi + m^2 \phi + \frac{\lambda}{6} \phi^3 = 0 \quad \Rightarrow \text{classical trajectories} \quad \phi_{\text{cl}}(t, \mathbf{x})$$

3 Calculate observables $O_W[\phi_{\text{cl}}(t)]$ from classical trajectories

4 Perform statistical average over different initial conditions

Note that quantum aspects may still be encoded in the Wigner functions W / O_W (e.g. W can include quantum fluctuations and may not be positive semi-definite)