

# Research at the Interface of Computer Science and Economics



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## Analytical Toolbox

- Game Theory  
- Non-linear optimization 
- Mechanism design  
- Real analysis 
- Approximation algorithms 
- Probabilistic concentration bounds 

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# Mechanism Design with Incomplete Information

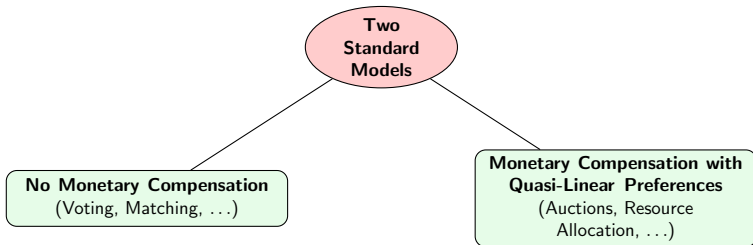
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**Question:** What is the counterpart of the Gibbard-Satterthwaite theorem?

Answered by Roberts (1979)

- Dictatorial SCFs are strategyproof - transfers are not required
- Efficient SCFs are strategyproof - with VCG payments
- Roberts' answer: affine maximizers

# Affine Maximizers

## Definition (Affine Maximizer)

An SCF  $F : V^n \rightarrow A$  is an *affine maximizer* if there exists  $w_i \geq 0, i \in N$ , not all zero, and a function  $\kappa : A \rightarrow \mathbb{R}$  such that,

$$F(v) \in \arg \max_{a \in A} \left( \sum_{i \in N} w_i v_i(a) + \kappa(a) \right).$$

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Special cases:

- $w_i = 1, \forall i$  and  $\kappa \equiv 0$ : **allocatively efficient** SCF
- $w_d = 1$ , for some  $d$ ,  $w_i = 0, \forall i \neq d$  and  $\kappa \equiv 0$ : **dictatorial** SCF

# Roberts' Theorem

## Theorem (Roberts 1979)

*Let the allocation space  $A$  be arbitrary and finite with  $|A| \geq 3$ . If the space of valuations  $V$  is unrestricted, then an onto and strategyproof SCF  $F : V^n \rightarrow A$  is an affine maximizer.*

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Payments are of the following form: for all  $i \in N$

$$p_i(v_i, v_{-i}) = \begin{cases} \frac{1}{w_i} \left( \sum_{j \neq i} w_j v_j(F(v)) + \kappa(F(v)) + h_i(v_{-i}) \right), & w_i > 0 \\ 0 & w_i = 0 \end{cases}$$




# Roberts' Theorem Does Not Apply For Selfish Valuations




			
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- Value differences cannot be arbitrary - if two distinct alternatives  $x$  and  $y$  have identical  $i$ -th row, i.e.,  $x_i = y_i$ , then  $u_i(x) - u_i(y) = 0$  for all  $u \in U^n$

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  - ▶ **affine maximizer result holds!**
  - ▶ *with an additional assumption*
  - ▶ *and a different proof technique*

# Affine Maximizers for Selfish Valuations<sup>1</sup>

## Theorem

*If  $n \geq 3$ , every onto, allocation non-bossy and strategyproof SCF  $F : U^n \rightarrow A$  is an affine maximizer. If  $n = 2$ , the result holds without the allocation non-bossiness assumption.*

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- Roberts' kind of argument works everywhere except the points where at least one component of the allocations are identical
- It uses the continuity argument to claim the result to hold even at those points (the points form a measure zero space)

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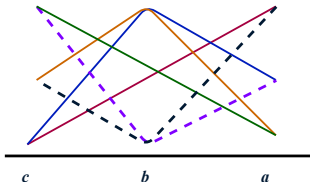


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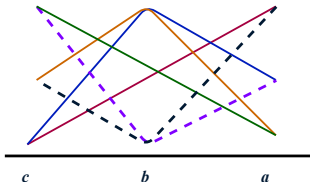


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Single-peakedness provides a number of nice properties, including non-manipulability.

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Most of these problems become *efficiently solvable* in a single peaked domain (Cornaz and Spanjaard (2013))

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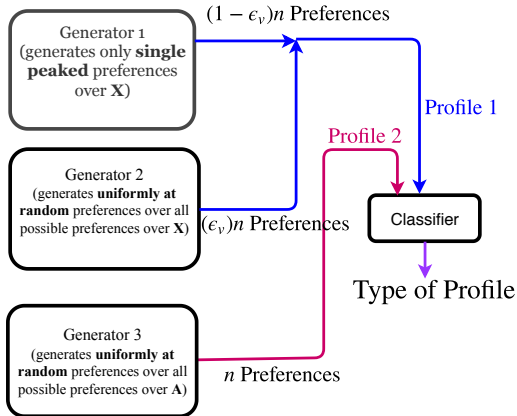
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## Limitations

- Require the whole preference profile – pre-election polls, surveys etc., sample only a partial population
- Real world profiles do not occur perfectly from a domain – can be **nearly** single peaked

# Sampling and Near Single Peakedness<sup>2</sup>

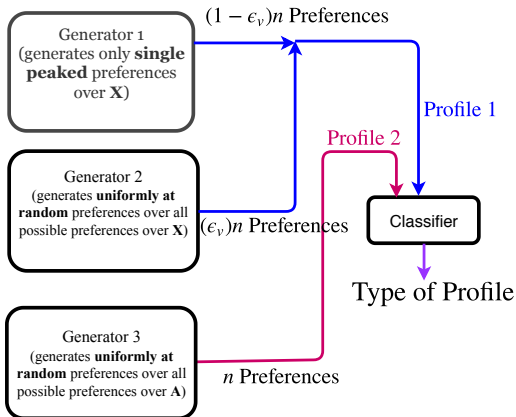
**Problem 1:**  $(\epsilon_v, \epsilon_a, \delta, D)$  – Random Outliers vs Random Profile Test



<sup>2</sup>Dey, Nath, and Shakya, “Testing Preferential Domains Using Sampling”, in **Autonomous Agents and Multiagent Systems (AAMAS)**, 2019.

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$X \subseteq A$ ,  $|X| = (1 - \epsilon_a)|A|$ .  $D$  is the domain (e.g. single peaked). Classification is correct with error probability at most  $\delta$ .

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# Results Summary

Input profile		Sample complexity
Possibility 1	Possibility 2	
$\epsilon_v n$ random preferences away	random	$\mathcal{O}(\frac{1}{(1-\epsilon_v)^2} \log \frac{1}{\delta})$
$\epsilon_v n$ arbitrary preferences away		$\mathcal{O}(\frac{1}{(1-3\epsilon_v)^2} \ln \frac{1}{\delta})$ for $\epsilon_v < 1/3$
$\epsilon_a m$ alternatives away		$\mathcal{O}(\log \frac{\log_{1/\epsilon_a} 1/\delta}{\delta} \times \log_{1/\epsilon_a} \frac{1}{\delta} \log \log_{1/\epsilon_a} 1/\delta)$
$\epsilon_v n$ arbitrary preferences away	$\epsilon'_v n$ arbitrary preferences away	$\mathcal{O}(\frac{1}{(\epsilon'_v - \epsilon_v)^2} (2^m m^2 \log^2 m + \log 1/\delta))$
$\epsilon_a m$ alternatives away	$\epsilon'_a m$ alternatives away	$\Omega(n \log 1/\delta)$ even for $\epsilon_a = 0$ and for every $0 < \epsilon'_a \leq 1$ and $0 < \delta < \frac{1}{2}$

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The results use concentration bounds asymptotic in  $n$  to find the sample complexity bounds

**Thank you! Questions?**