#### Reionization - I

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### Topics to be covered



Concentrate on the physics of underlying structure of the IGM

- Observational constraints on reionization
- ▶ Theoretical models of reionization
- ► Future probes of reionization

#### References:

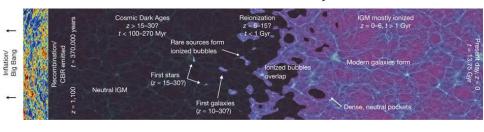
- Textbook: Galaxy Formation and Evolution by Houjun Mo, Frank van den Bosch & Simon White
- ► Review: In the beginning: the first sources of light and the reionization of the universe by Rennan Barkanaa & Abraham Loeb, Phys. Rept., 349, 125 (2001)
- ► Review: Analytical Models of the Intergalactic Medium and Reionization by T. Roy Choudhury, Current Science, 97, 841 (2009)

Big Bang

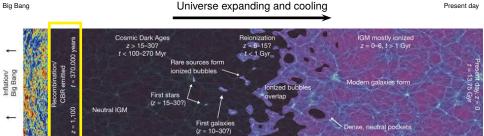
NCRA • TIFR

Universe expanding and cooling

Present day

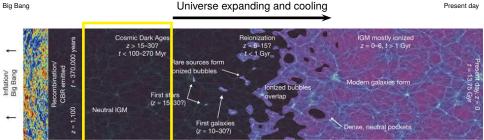






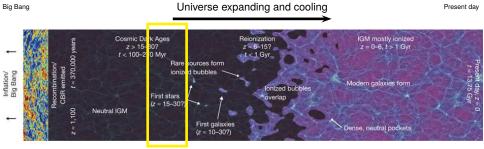
Last scattering epoch First hydrogen atoms form





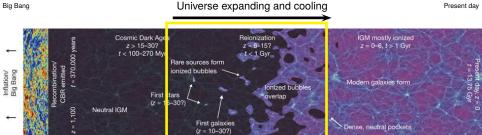
Dark ages





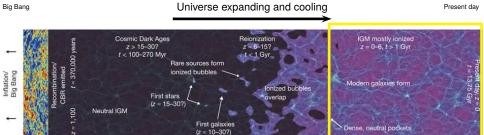
First stars form





Reionization





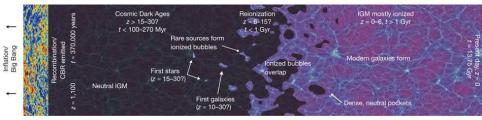
Post-reionization

Bia Bana



Universe expanding and cooling

Present day



# Dark ages Strong probe of cosmology



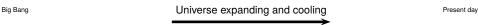
#### Reionization

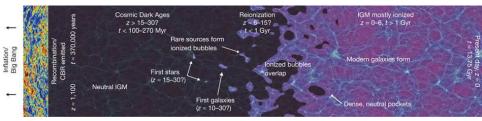
- 1. First stars
- 2. Cosmology

#### Post-reionization

- 1. Galaxy formation
- 2. Cosmology







# Dark ages Strong probe of cosmology

#### Reionization

- 1. First stars
- 2. Cosmology

#### Post-reionization

- 1. Galaxy formation
- 2. Cosmology

Phase transition
"Final frontier" of observational cosmology

Figure courtesy: http://www.nature.com/nature/journal/v468/n7320/fig\_tab/nature09527\_F1.html

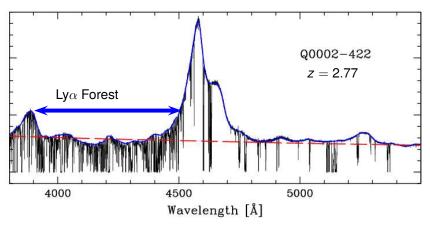
#### **Issues**



- ▶ Epoch of reionization? When did the sources produce enough photons to ionize the Universe? z = 20 or z = 6?
- Nature of reionization? Sudden or Gradual? Homogeneous or Inhomogeneous?
- ▶ What are the sources responsible? Stars, quasars, Exotic Particles?

# Evidence for reionization: Lyman- $\alpha$ forest

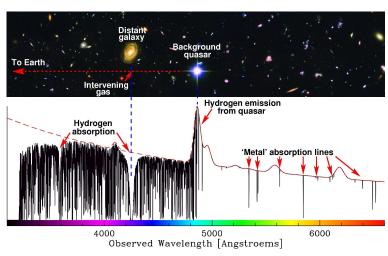




The absorption lines blueward of the emission line arise from Ly $\alpha$  transition (n = 1 to n = 2) of neutral hydrogen (HI) present between the quasar and us.



The IGM is detected through the absorption features it produces in the spectrum of a background bright source of light (typically a QSO).



#### **Ground states to higher ones**



► In absence of any interaction, hydrogen atoms in the IGM are likely to be in the ground state.

### Ground states to higher ones



- ► In absence of any interaction, hydrogen atoms in the IGM are likely to be in the ground state.
- ▶ Lyman series: i = 1 to f = n > 1, absorb one photon of frequency  $\nu_{fi}$ .



▶ Consider radiation (photons) emitted at the QSO (at  $z = z_Q$ ) rest frame frequency  $\nu_Q > \nu_{\rm fi}$ . As the universe expands, the frequency will decrease and will reach  $\nu_{\rm fi}$  at a redshift z given by

$$\frac{\nu_Q}{1+z_Q} = \frac{\nu_{fi}}{1+z} \Longrightarrow \lambda_Q(1+z_Q) = \lambda_{fi}(1+z)$$



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▶ Example: Consider a QSO at  $z_Q=3$ . Consider a photon emitted at wavelength  $\lambda_Q=1187$  Å, then it would reach the Ly $\alpha$  wavelength 1216 Å at  $z\approx 1187\times 4/1216-1\approx 2.9$ . If there is neutral hydrogen at that position, it will produce an absorption signature.



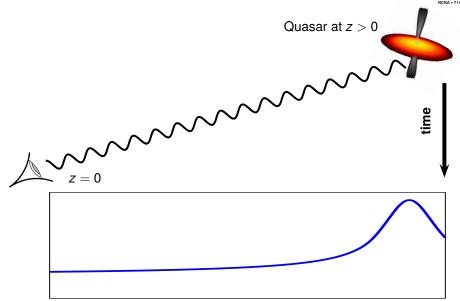
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- ▶ We will observe the feature at  $\lambda = \lambda_Q(1 + z_Q) \approx 4742$  Å. Thus any absorption arising at a redshift z will show up at  $\lambda = \lambda_f(1 + z)$ .

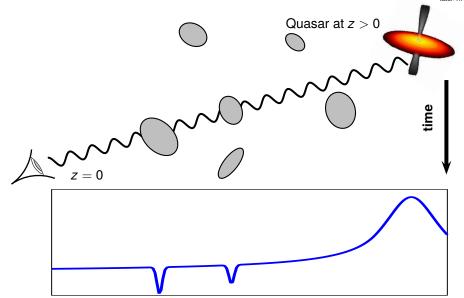
# Absorption signatures





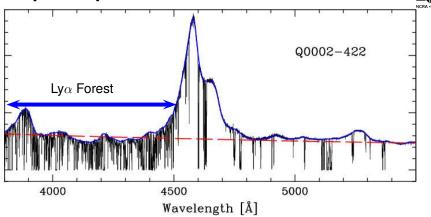
# **Absorption signatures**





# **Absorption spectra**





- ▶ The absorption lines blueward of the emission line arise from Ly $\alpha$  transition of neutral hydrogen (HI) present between the QSO and us.
- ► The unabsorbed regions correspond to either ionized regions or no matter at all.

#### Radiative transfer



▶ The radiative transfer equation, in presence of only absorption, is

$$\frac{1}{c}\frac{\partial I_{\nu}}{\partial t} + \hat{\mathbf{n}} \cdot \nabla I_{\nu} = \frac{\mathrm{d}I_{\nu}}{\mathrm{d}s} = -n_{\mathrm{abs}}\sigma_{\nu}I_{\nu}$$

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► The formal solution:

$$I_{
u}(s,t) = I_{
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m ret}) \exp \left[ - \int_0^s \mathrm{d}s' \; n_{
m abs}(s') \; \sigma_{
u} 
ight]$$

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► Define the optical depth

$$au_
u = \int_0^{s} \mathrm{d} s' \; n_{
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u$$

so that the effect of absorption can be written as

$$I_{\nu}(s,t) = I_{\nu}(0,t_{\rm ret}) e^{-\tau_{\nu}}$$

In absence of any absorption  $\tau_{\nu} = 0$ .

### Cosmological radiative transfer



► The radiative transfer equation, in presence of only absorption, is

$$\frac{1}{c}\frac{\partial I_{\nu}}{\partial t} + \frac{1}{c}\frac{\dot{a}}{a}\left(3I_{\nu} - \nu\frac{\partial I_{\nu}}{\partial \nu}\right) + \hat{\mathbf{n}}\cdot\nabla I_{\nu} = -n_{\rm abs}\sigma_{\nu}I_{\nu}$$

► The formal solution:

$$I_{\nu}(s,t) = I_{\nu_i}(0,t_i) \left(\frac{a_i}{a}\right)^3 \exp\left[-\int_0^s \mathrm{d}s' \; n_{\mathrm{abs}}(s',t') \; \sigma_{\nu'}\right],$$

where  $\nu_i = \nu a/a_i$  and  $\nu' = \nu a/a(t')$ .

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#### ...in terms of redshifts



▶ Observer at z = 0 (i.e., a = 1), QSO at  $z = z_Q$ :

$$I_{
u} = I_{
u_Q}(t_Q) \left(rac{1}{1+z_Q}
ight)^3 \mathrm{e}^{- au_
u},$$
  $au_
u = \int_0^\mathrm{s} \mathrm{d} \mathbf{s}' \, n_\mathrm{abs}(\mathbf{s}',t') \, \sigma_{
u'}$ 

where 
$$\nu_Q = \nu (1 + z_Q)$$
 and  $\nu' = \nu (1 + z')$ .

#### Ly $\alpha$ optical depth



► The observed flux

$$I_{\nu} = I_{\nu_Q}(t_Q) \left(\frac{1}{1+Z_Q}\right)^3 e^{-\tau_{\nu}} \equiv I_{\nu_Q}^{\text{cont}} e^{-\tau_{\nu}}$$

#### Ly $\alpha$ optical depth



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► The optical depth

$$\tau_{\nu} = \int_{0}^{s} ds' \, n_{abs}(s', t') \, \sigma_{\nu'}$$
$$= \int_{z_{Q}}^{0} dz' \frac{ds'}{dz'} n_{abs}(z') \, \sigma_{\nu'=\nu(1+z')}$$

# Ly $\alpha$ optical depth



The observed flux

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► The optical depth

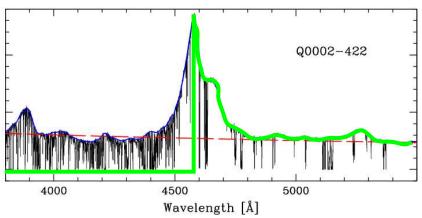
$$\tau_{\nu} = \int_{0}^{s} ds' \, n_{abs}(s', t') \, \sigma_{\nu'}$$
$$= \int_{z_{Q}}^{0} dz' \frac{ds'}{dz'} n_{abs}(z') \, \sigma_{\nu'=\nu(1+z')}$$

▶ Use ds' = cdt' = -[c/H(z')] dz'/(1+z'), assume the profile to be very narrow (delta function), and calculate the optical depth for a uniform IGM. The result is (at  $z \sim 3$ )

$$au_{
u} pprox 10^5 \left(rac{n_{
m HI}}{n_H}
ight)$$

#### **Gunn-Peterson effect**

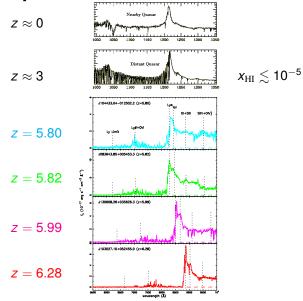




Observed flux  $\sim$  Unabsorbed flux  $\times$  exp  $\left(-10^5~x_{\rm HI}\right)$ , where  $x_{\rm HI}=\rho_{\rm HI}/\rho_{\rm H}$ . The fact that there is non-zero flux implies that  $x_{\rm HI}\simeq 10^{-5}$  Non-zero flux observed till  $z\sim 5.5$ 

## QSO absorption lines at $z\sim6$





# QSO absorption lines at $z\sim 6$



$$Z \approx 0$$
 $Z \approx 3$ 

Distant Quasar

 $Z \approx 3$ 
 $Z \approx 5.80$ 
 $Z = 5.82$ 
 $Z = 5.82$ 
 $Z = 6.28$ 

$$x_{\rm HI} \lesssim 10^{-5}$$

Does this absorption mean high neutrality?

# QSO absorption lines at $z\sim6$



Gunn-Peterson optical depth:

$$au_{\rm GP} pprox \left(rac{ar{x}_{\rm HI}}{10^{-5}}
ight)$$

- ► So, even a neutral fraction  $x_{HI} \approx 10^{-4}$  would produce **complete absorption**!
- Lyα transition "too strong", saturates too easily....

### **Evolution equations**



► Evolution equation for  $n_H(\mathbf{x},t) \equiv n_H(\mathbf{x},z) = \bar{n}_H(z) [1 + \delta_B(\mathbf{x},z)]$ :

$$\frac{\mathrm{d}n_H}{\mathrm{d}t}$$

#### **Evolution equations**



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Effect of expansion  $n_{\rm HI} \propto a^{-3}$  Density contrast



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Effect of expansion  $n_{\rm HI} \propto a^{-3}$ —Density contrast

▶ Evolution equation for  $n_{\rm HI}(\mathbf{x},t) = \bar{n}_{\rm HI}(z) [1 + \delta_B(\mathbf{x},z)]$ :

$$\frac{\mathrm{d}n_{\mathrm{HI}}}{\mathrm{d}t} = -3\frac{\dot{a}}{a}\,n_{\mathrm{HI}} + \dot{\delta}_{B}\bar{n}_{\mathrm{HI}}$$



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Photoionization

ightharpoonup  $\Gamma_{HI}$ : photoionization rate of neutral hydrogen, depends on ionizing sources



► Evolution equation for  $n_H(\mathbf{x}, t) \equiv n_H(\mathbf{x}, z) = \bar{n}_H(z) [1 + \delta_B(\mathbf{x}, z)]$ :

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Photoionization Recombination

- $\blacktriangleright$   $\Gamma_{\rm HI}$ : photoionization rate of neutral hydrogen, depends on ionizing sources
- α(T): recombination rate of ionized hydrogen with free electrons, known as a function of temperature T



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Photoionization Recombination

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- ightharpoonup lpha(T): recombination rate of ionized hydrogen with free electrons, known as a function of temperature T
- Collisional ionization is negligible because of small densities

#### Photoionization rate



▶ Number of photoionizations per hydrogen atom per unit time:

$$\Gamma_{
m HI} = 4\pi \int_{
u_{
m HI}}^{\infty} {
m d}
u rac{J_{
u}}{h
u} \; \sigma_{
m HI}(
u)$$

(units  $s^{-1}$ )

 $J_{\nu}$ : flux of ionizing photons (units erg cm<sup>-2</sup> s<sup>-1</sup> Hz<sup>-1</sup> sr<sup>-1</sup>), can be estimated from the emissivity of sources

$$J_{
u} \sim \int c \mathrm{d}t \; \epsilon_{
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Haardt & Madau (1996)

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Haardt & Madau (1996)

 $\blacktriangleright$  The term  $e^{-\tau_{\nu}}$  is significant over a length scale  $\lambda_{\nu}$  (the mean free path), then

$$J_{\nu} \sim \epsilon_{\nu} \lambda_{\nu}$$

and

$$\Gamma_{\rm HI} \propto \int_{\nu_{\rm HI}}^{\infty} d\nu \frac{\epsilon_{\nu}}{h\nu} \; \sigma_{\rm HI}(\nu) \; \lambda_{\nu} = \int_{\nu_{\rm HI}}^{\infty} d\nu \; \dot{n}_{\nu} \; \sigma_{\rm HI}(\nu) \; \lambda_{\nu}$$



► Define the neutral fraction

$$x_{\rm HI} \equiv \frac{n_{\rm HI}}{n_H}$$

so that

$$\frac{\mathrm{d}x_{\mathrm{HI}}}{\mathrm{d}t} = -\Gamma_{\mathrm{HI}} x_{\mathrm{HI}} + \alpha(T) (1 - x_{\mathrm{HI}}) n_{\mathrm{e}}$$



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▶ For a pure hydrogen gas (i.e., no helium),  $n_e = n_{HII}$ , then

$$\frac{\mathrm{d}x_{\mathrm{HI}}}{\mathrm{d}t} = -\Gamma_{\mathrm{HI}} x_{\mathrm{HI}} + \alpha(T) (1 - x_{\mathrm{HI}})^2 n_H$$



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► The equilibrium solution is:

$$\mathbf{x}_{\mathrm{HI}}^{\mathrm{eq}} = \left(1 + \frac{q}{2}\right) - \sqrt{\left(1 + \frac{q}{2}\right)^2 - 1}, \quad q = \frac{\Gamma_{\mathrm{HI}}}{\alpha(T) \, n_H}$$



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▶ Define the recombination time  $t_{\rm rec} = [\alpha(T)n_H]^{-1}$ , then  $q = \Gamma_{\rm HI}t_{\rm rec}$  represents the number of photoionizations per neutral atom over the recombination time-scale.



▶ Assume q to be independent of time, also let  $q\gg$  1 then the solution to the equation is

$$x_{\rm HI} \approx x_{\rm HI}^{\rm eq} + [x_{\rm HI}(0) - x_{\rm HI}^{\rm eq}(0)] e^{-\Gamma_{\rm HI}t}, \quad x_{\rm HI}^{\rm eq} \approx \frac{1}{q} = \frac{\alpha(T) n_H}{\Gamma_{\rm HI}} \ll 1$$

Hence  $x_{\rm HI}$  approaches its equilibrium value in a time-scale  $\Gamma_{\rm HI}^{-1}$ . Implications for numerical solutions



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At  $z\sim 3$ , we have  $t_{\rm rec}\sim 10^{18}~{\rm s}\sim 10^{11}$  yr. If we want  $x_{\rm HI}^{\rm eq}\sim 10^{-6}$ , then  $\Gamma_{\rm HI}\sim 10^{-12}~{\rm s}^{-1}$ . Thus, the equilibrium value will be achieved within  $\sim 10^5$  yrs (quite fast!).



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- ▶ Also  $x_{\rm HI}^{\rm eq} \propto n_H$ , thus high density regions tend to remain neutral.



▶ Assume q to be independent of time, also let  $q \gg 1$  then the solution to the equation is

$$x_{\rm HI} \approx x_{\rm HI}^{\rm eq} + [x_{\rm HI}(0) - x_{\rm HI}^{\rm eq}(0)] e^{-\Gamma_{\rm HI}t}, \quad x_{\rm HI}^{\rm eq} \approx \frac{1}{q} = \frac{\alpha(T) n_H}{\Gamma_{\rm HI}} \ll 1$$

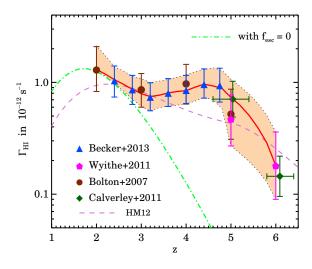
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- ightharpoonup The Lyman- $\alpha$  forest observations can, in principle, provide constraints on Γ<sub>HI</sub>.

# Observational constraints on $\Gamma_{HI}$



Khaire, Srianand, TRC & Gaikwad (2016)



# Flux power spectrum

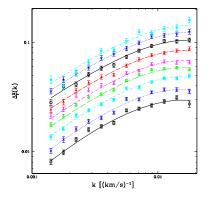


- ▶ Transmitted flux  $F(\nu) = e^{-\tau_{\nu}}$ .
- ► Convert the independent variable from  $\nu$  to  $\Delta v = c\Delta \nu / \nu$
- ▶ Define the contrast

$$\delta_F(\Delta v) = \frac{F(\Delta v)}{\langle F \rangle} - 1$$

▶ The power spectrum: $P_F(k) = \langle |\delta_F(k)|^2 \rangle$ . The dimensionless power spectrum

$$\Delta_F^2(k) = \frac{kP_F(k)}{\pi}$$



SDSS data  $z = 2.2, 2.4, \dots, 4.2$  (bottom to top) McDonald et al. (2006)

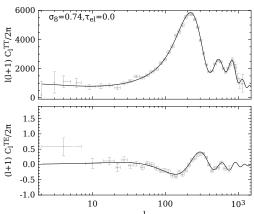
# CMBR angular power spectrum



- ► CMBR photons scatter off free electrons.
- ► The measured quantity in CMBR observations is the optical depth due to Thomson scattering off **free electrons**:

$$\tau_{\rm el} = \sigma_T c \int_{t_{\rm LSS}}^{t_0} \mathrm{d}t \, n_{\rm e} \, (1+z)^3$$

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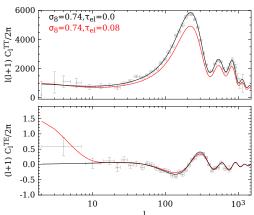
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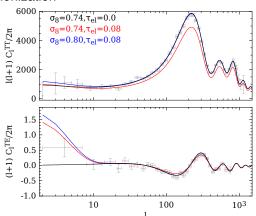
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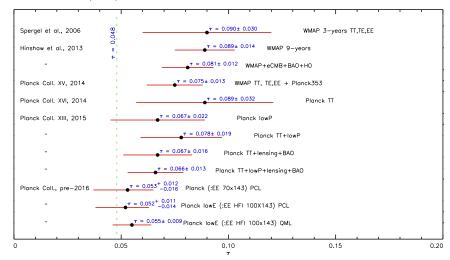
► Current constraints imply  $z_{re} \approx 7.5 - 8$ 

# Thomson scattering $au_{\rm el}$ from CMBR



$$\tau_{\rm el} = \sigma_T c \int_0^{z[t]} {\rm d}t \; n_e \; (1+z)^3$$

#### Planck Collaboration (2016)





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