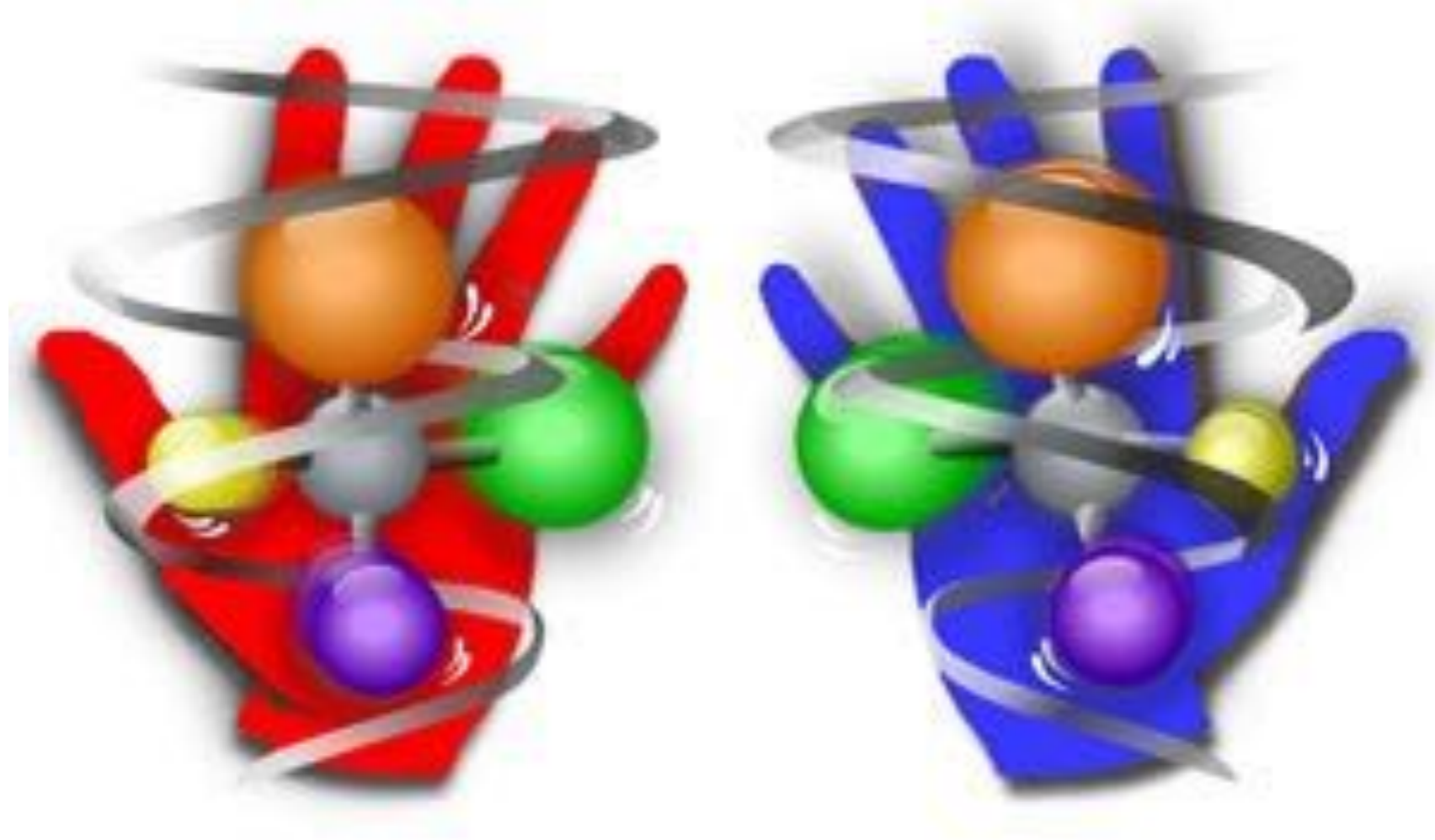


The mystery of chiral gauge theories



What is a chiral gauge theory?

- In 3+1 dimensions, can write all fermions as LH Weyl spinors
- They transform as some representation R of the gauge group
- If R is complex ► “chiral gauge theory”
 - Fermion masses break gauge symmetry
 - Constrained by anomalies: $\text{Tr}(\{T_a, T_b\}T_c)_{L-R} = 0$.
 - In $d=1+1$: $\text{Tr}(T_a T_b)_{L-R} = 0$
 - Nielsen-Ninomiya escape involves $\{\gamma_5, D\} \neq 0 \dots$ can this be consistent with gauge invariance?
- SM is a chiral gauge theory! No known nonperturbative regulator!
- Unknown: is any anomaly-free χ GT possible?

What about gauged chiral symmetries??

A notoriously hard problem.

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If all a lattice chiral gauge theory can do is reproduce SM perturbation theory at great computational cost, not so interesting!

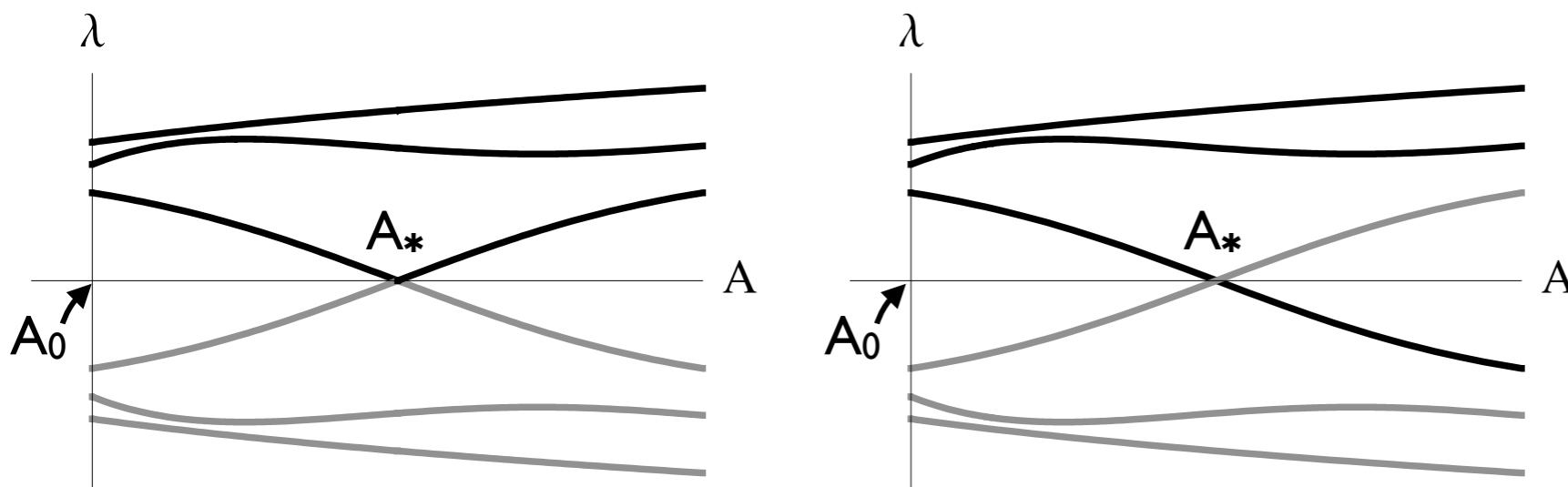
My own motivation: might we have completely missed interesting and bizarre nonperturbative physics in the SM?

A chiral gauge theory is the square root of a Dirac theory:

$\Delta(R)$ = Euclidian fermion determinant for XGT with fermions in representation R:

$$\Delta(R)\Delta(R^*) = |\Delta(R)|^2 = \det \not{D}_R \quad \Rightarrow \quad \Delta(R) \sim \sqrt{\det \not{D}_R}$$

Is there a natural way to take the square root? Eg, take product of 1/2 of Dirac eigenvalues?



Will generally lead to a nonlocal and/or non-analytic theory

eigenvalue flow of Dirac operator as function of gauge field

$$\Delta(R) = |\Delta(R)|e^{i\phi[A]}$$

- $|\Delta(R)|$ = positive real square root of the Dirac operator
- What is the phase ϕ ?

Alvarez-Gaume, Della Pietra² Phys. Lett. B 166 (1986) 177 :

For an anomaly free theory, $\phi[A] - \phi[A_0] = \pi\eta[H]$

η is the “eta-invariant” of an operator = sum of signs of eigenvalues

$$\eta = \lim_{s \rightarrow 0^+} \sum_{\lambda} \frac{\lambda}{|\lambda|} |\lambda|^{-s}$$

Here: operator is $H = i\gamma_5 \partial/\partial t + \not{D}(A_t)$

Where $A_t(x)$ interpolates from $A_0(x)$ at $t = -\infty$, to $A(x)$ at $t = +\infty$

Anomaly-free theory: $\phi[A] - \phi[A_0] = \pi\eta[H]$

H: $H = i\gamma_5 \partial/\partial t + \not{D}(A_t)$

η : $\text{Tr } \epsilon(H)$

A_t : interpolating field in 5th dimension (t)

Anomalous theory: additional contribution to φ from 5d Chern-Simons operator for A_t

...this all looks sort of familiar from the discussion of the overlap operator!

Hold onto that thought!

The problem: lattice fermions start off as Dirac fermions.

- ▶ How to get rid of the RH “mirror” fermions?
- ▶ (and how does the lattice know to only allow anomaly-free theories?)

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3. Hide the mirror fermions around us without making them heavy



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Excellent physicists...nice work...ugly theory

Old: E. Eichten, J. Preskill, Nucl. Phys. B268 (1986) 179

Recent: M. DeMarco, X.-G. Wen, arXiv:1706.04648

Current: D. Schaich, S. Catterall, “Phases of a strongly coupled four fermion theory”,
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Very nice idea...but will dynamics cooperate?

How does theory fail when anomalous?

Popular in the CM community



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M. Grabowska, DBK

Phys. Rev. Lett. 116 (2016) 211602

Phys. Rev. D94 (2016), 114504



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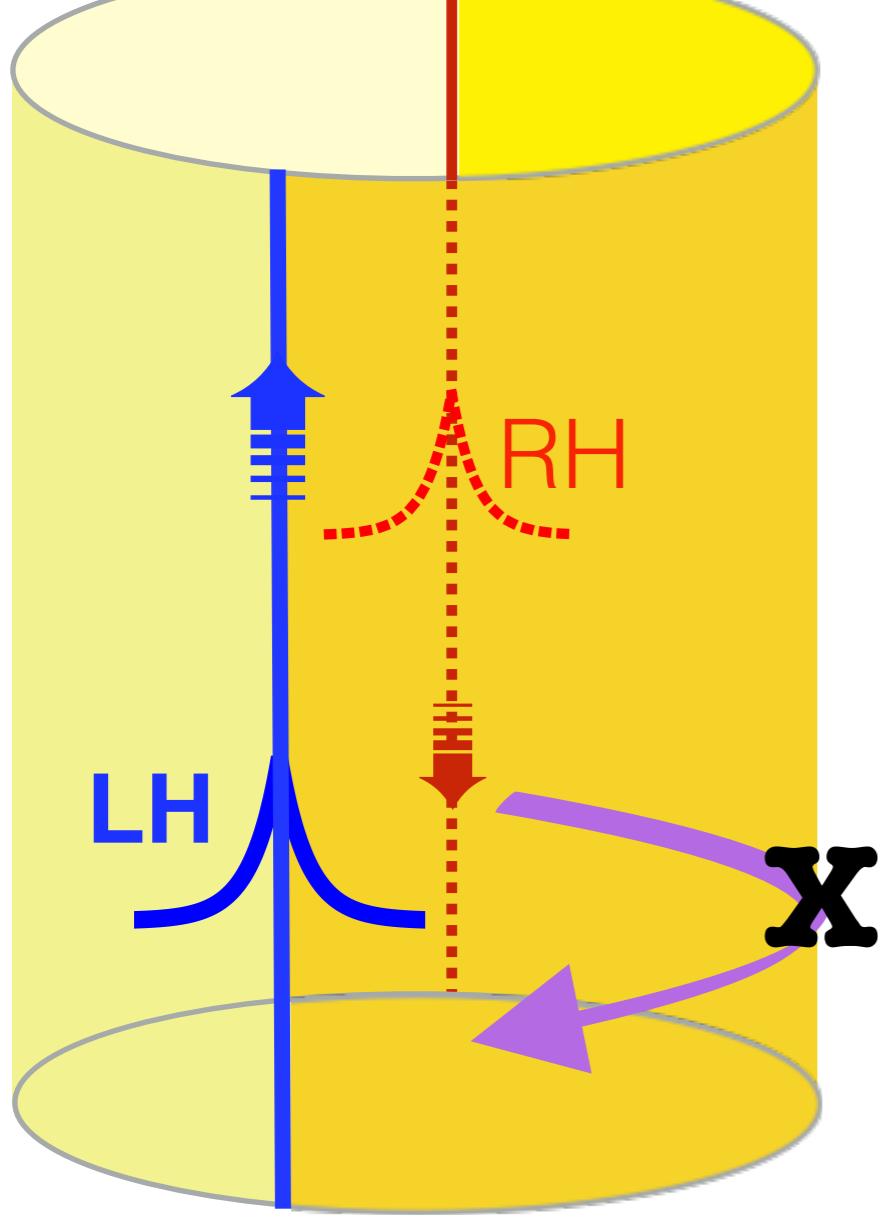
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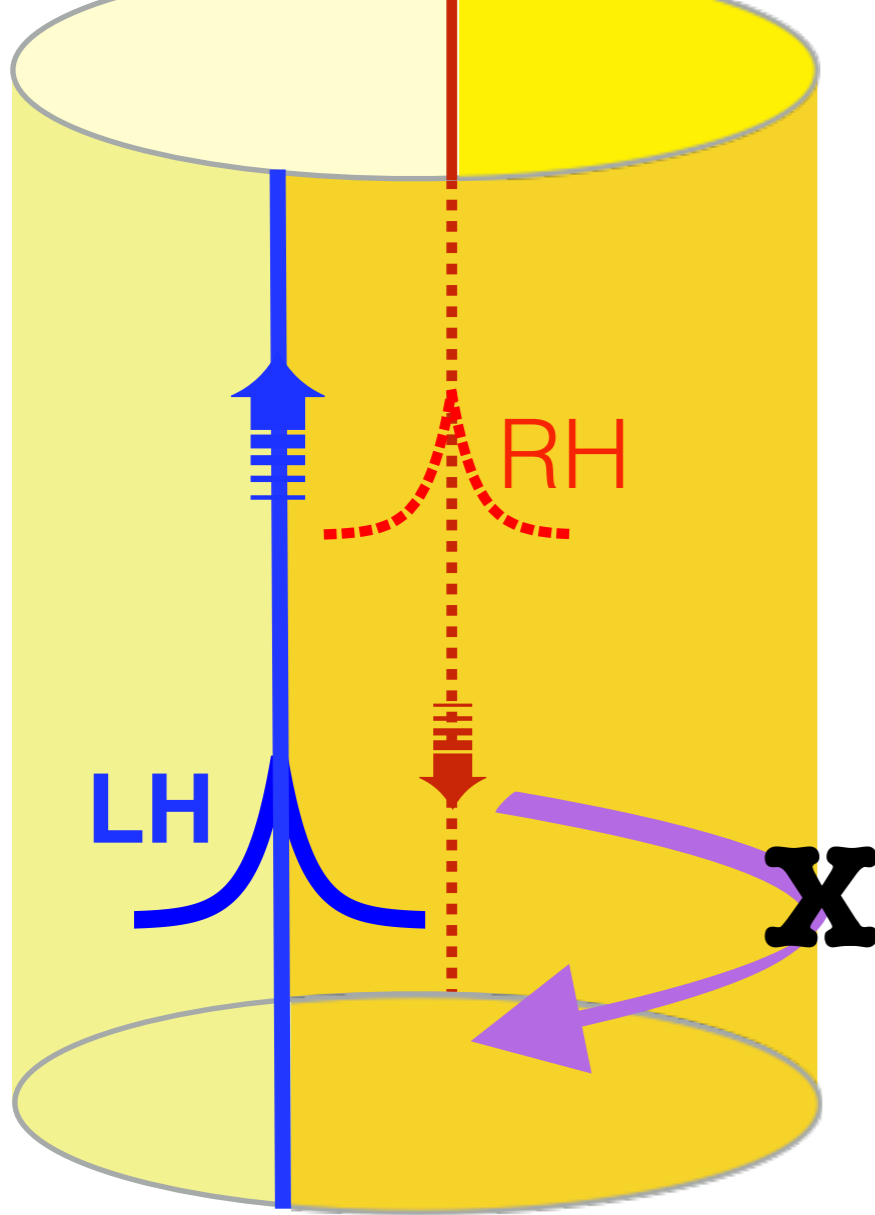
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Why domain wall fermions?

- ★ Must explain why anomalous gauge symmetries fail to have a continuum limit
- ★ Domain wall fermions “know” about anomalies via bulk Chern-Simons currents
- ★ η -invariant makes extra dimension look natural...with 5d-dependent gauge fields

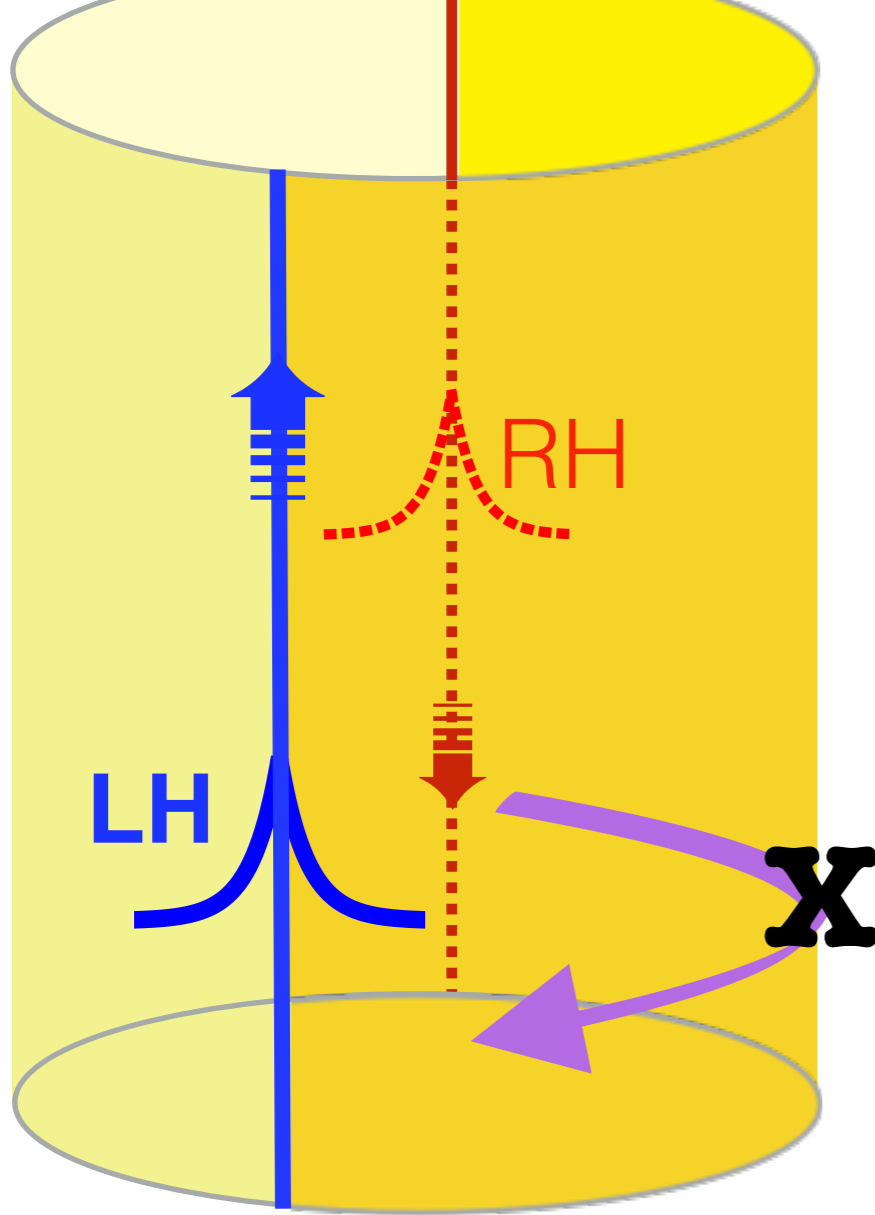


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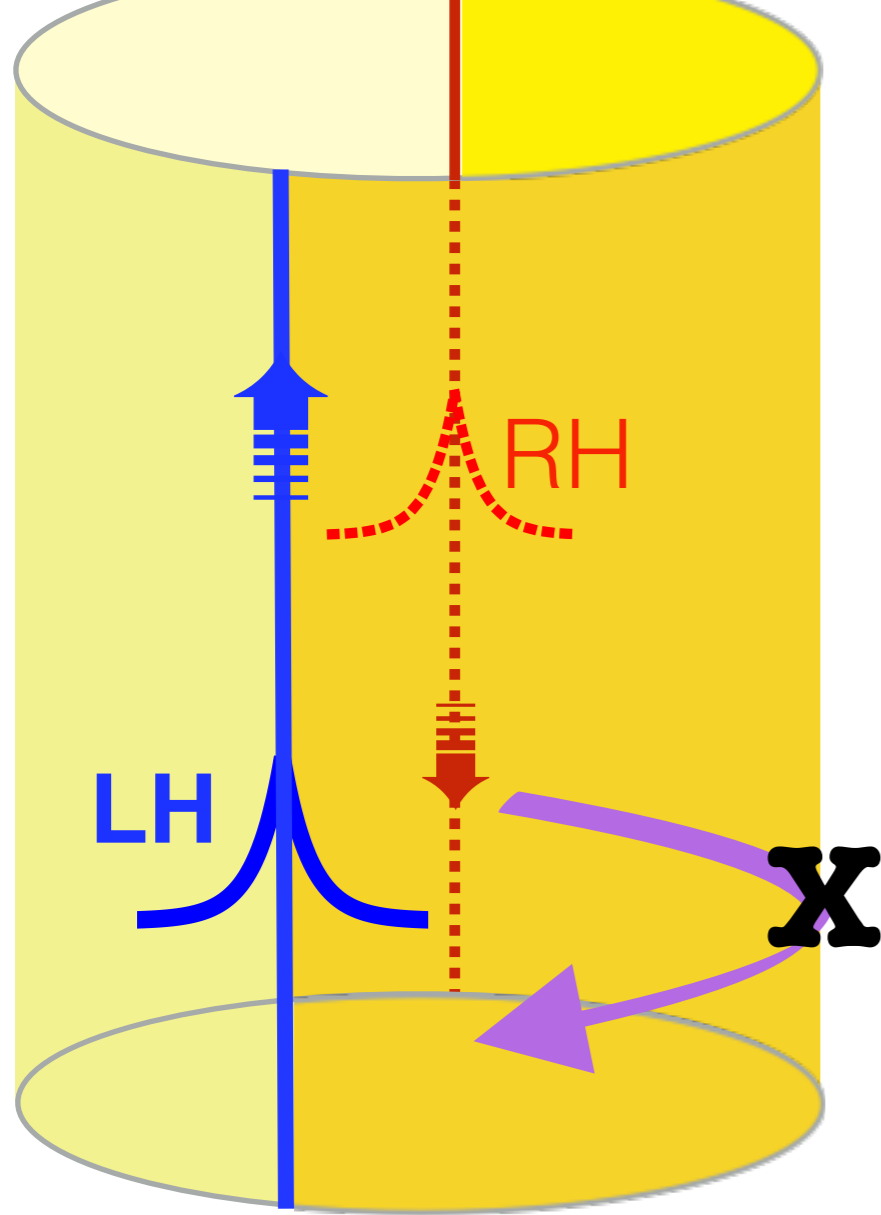
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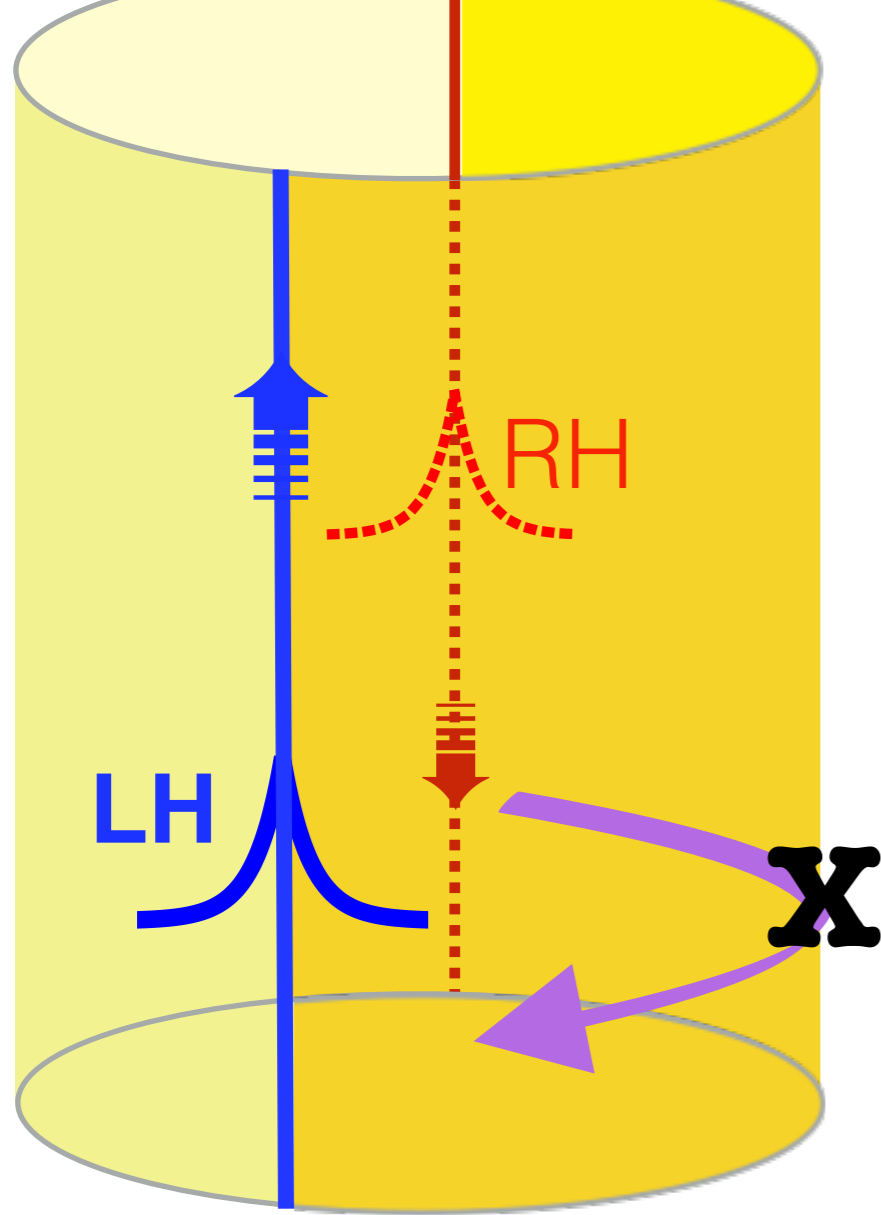


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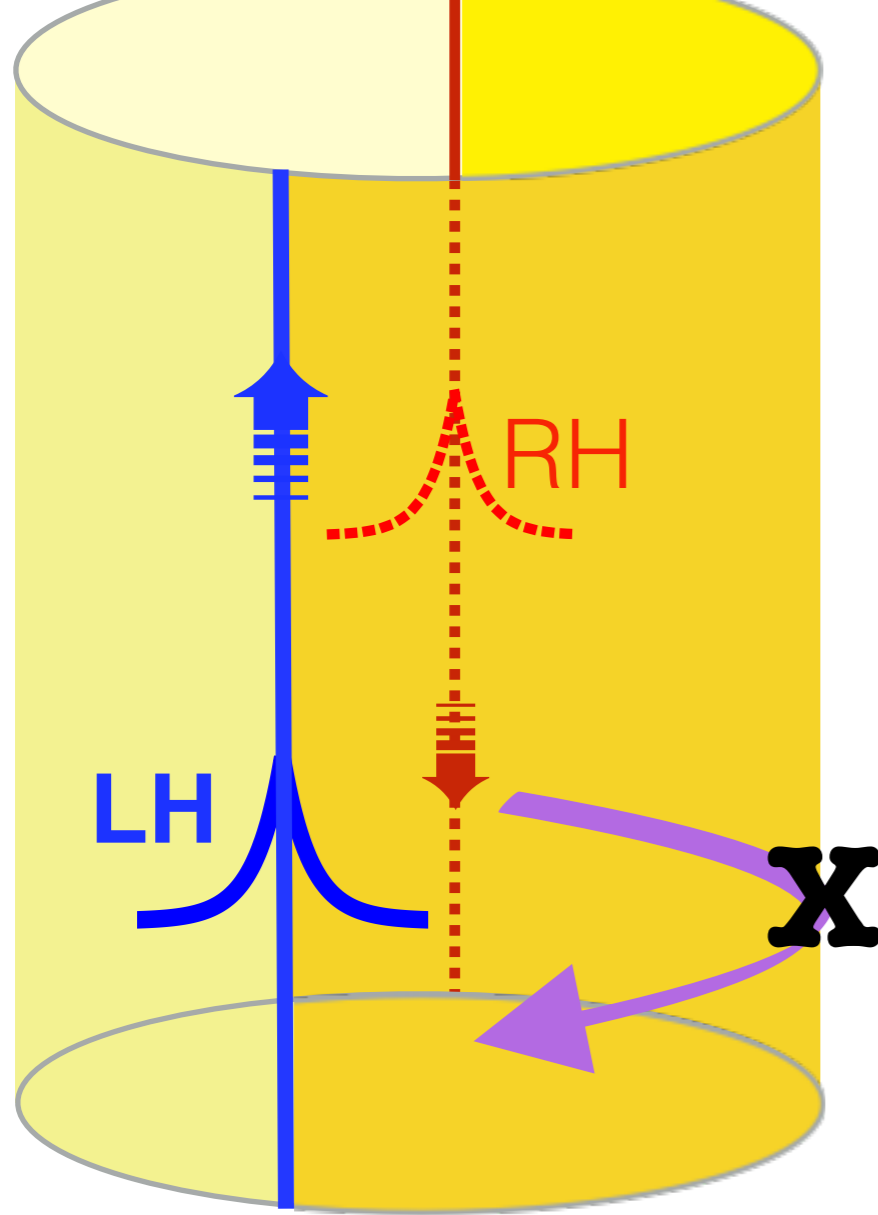


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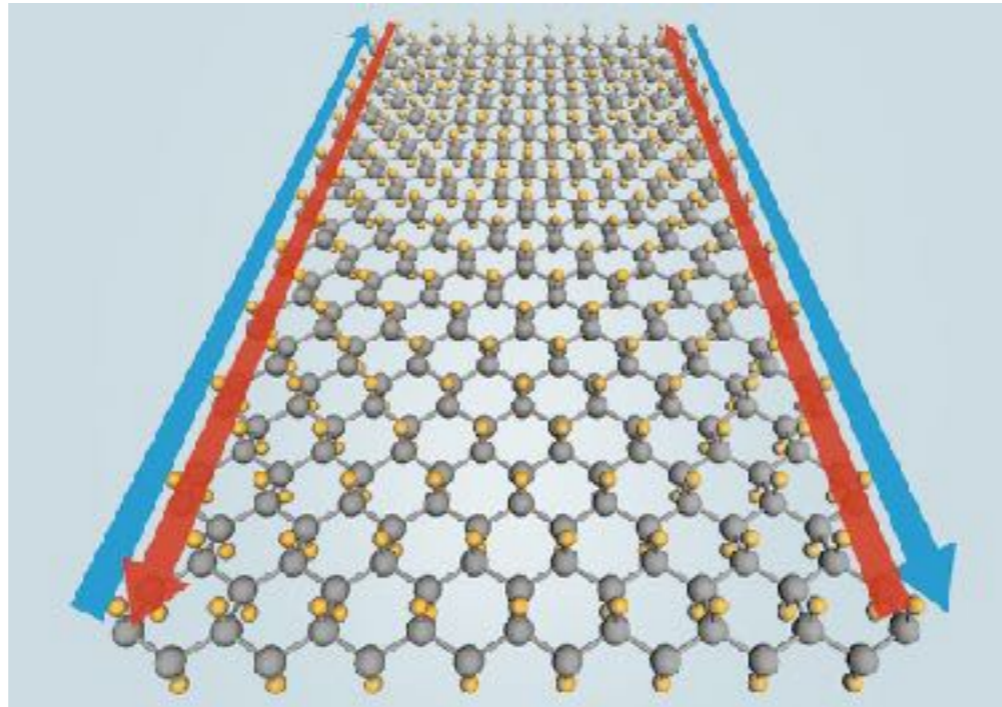
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- ★ Charge +1 and -1 chiral fermions at each wall, or charge +1 Dirac
- ★ No charged bulk $U(1)$ current (conventional Hall current)
- ★ There is a bulk $U(1)_A$ current (“Spin Hall Current”)

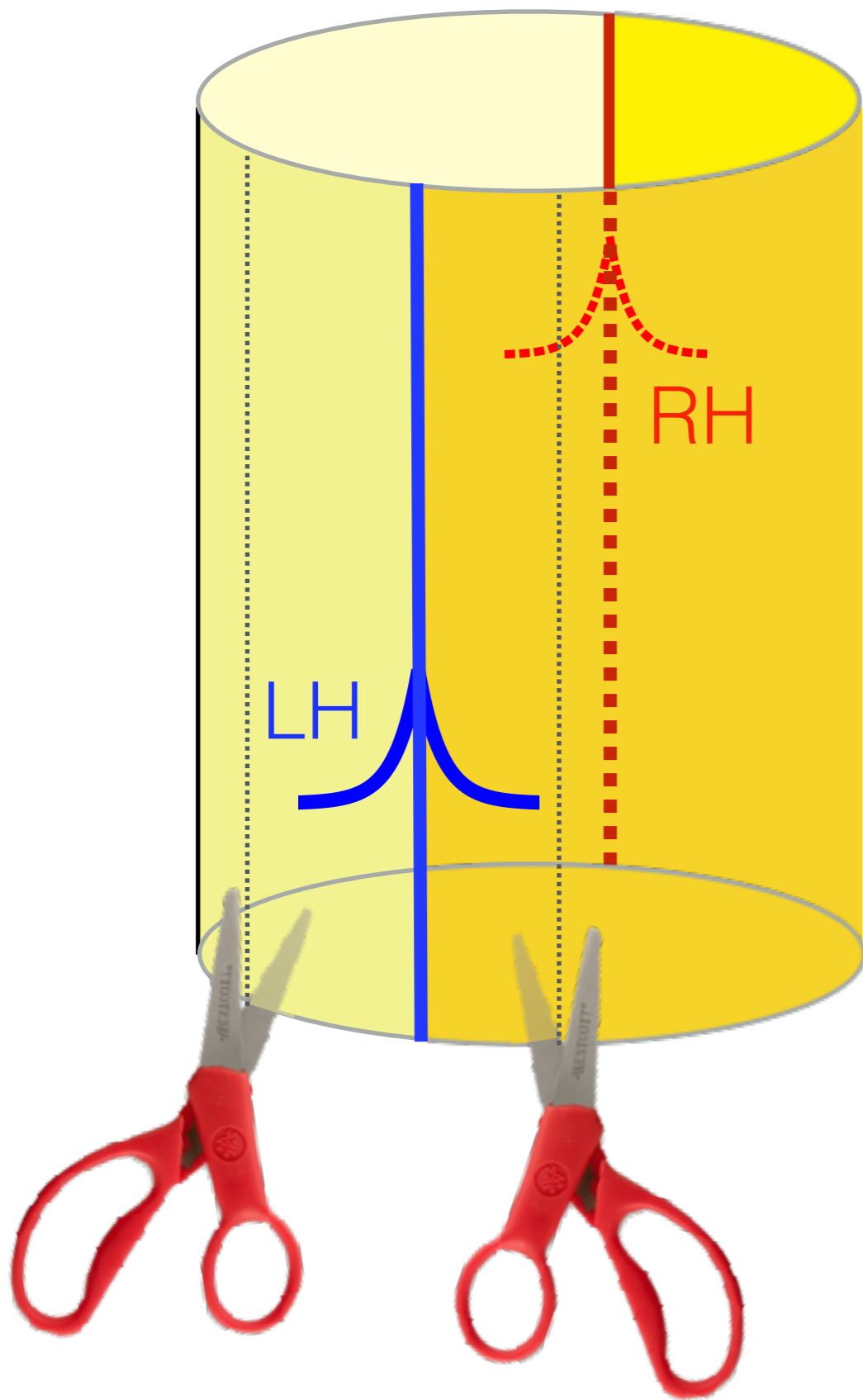
Simplest example(s): have a Dirac fermion live at each surface



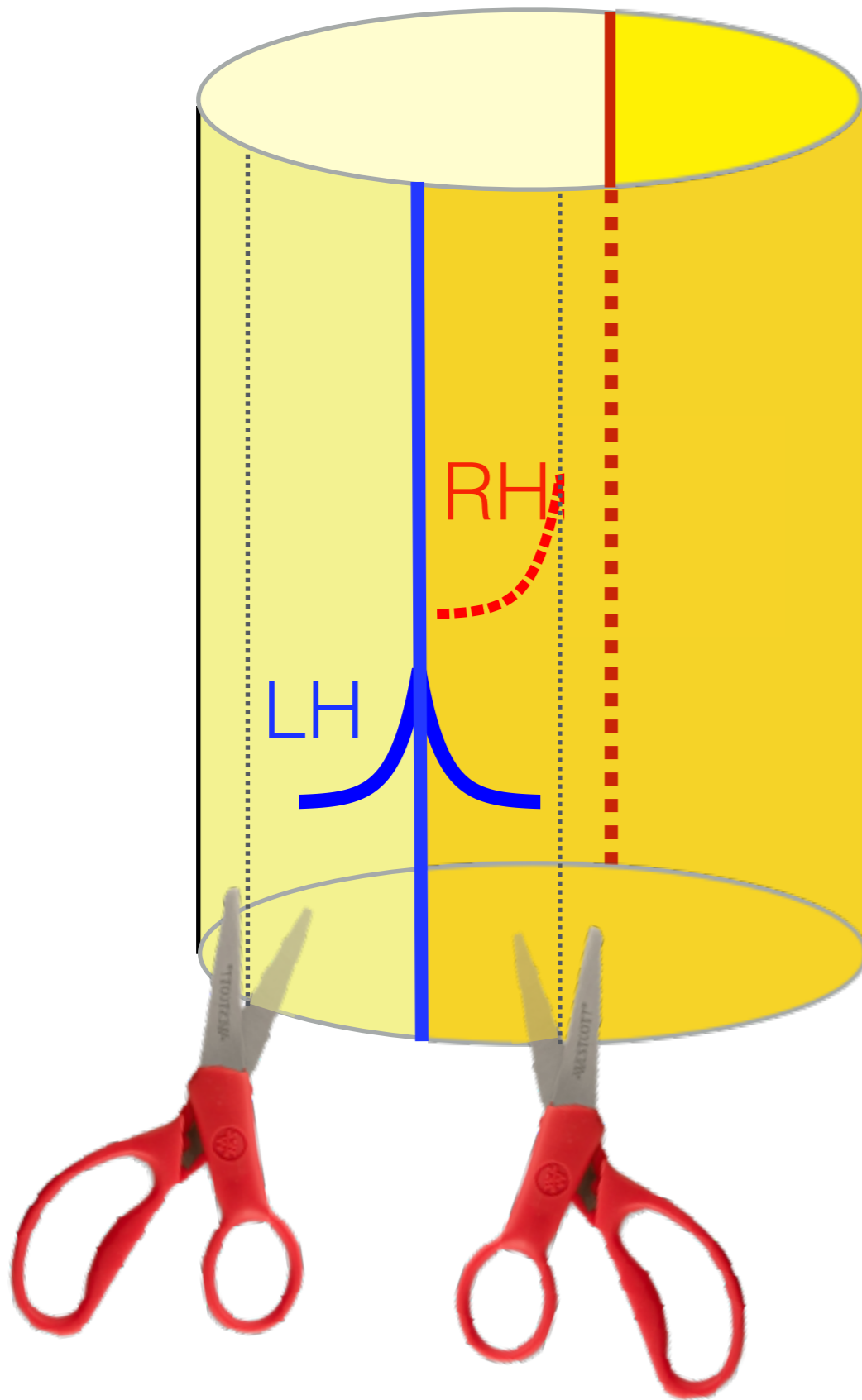
Two ways to achieve?

- 2 5d fermions Ψ with same charge q , but opposite sign mass $\pm\Lambda$
- 2 5d fermions Ψ with opposite charges $\pm q$ but same sign mass Λ

This is exactly the Kane-Mele model for QSHE

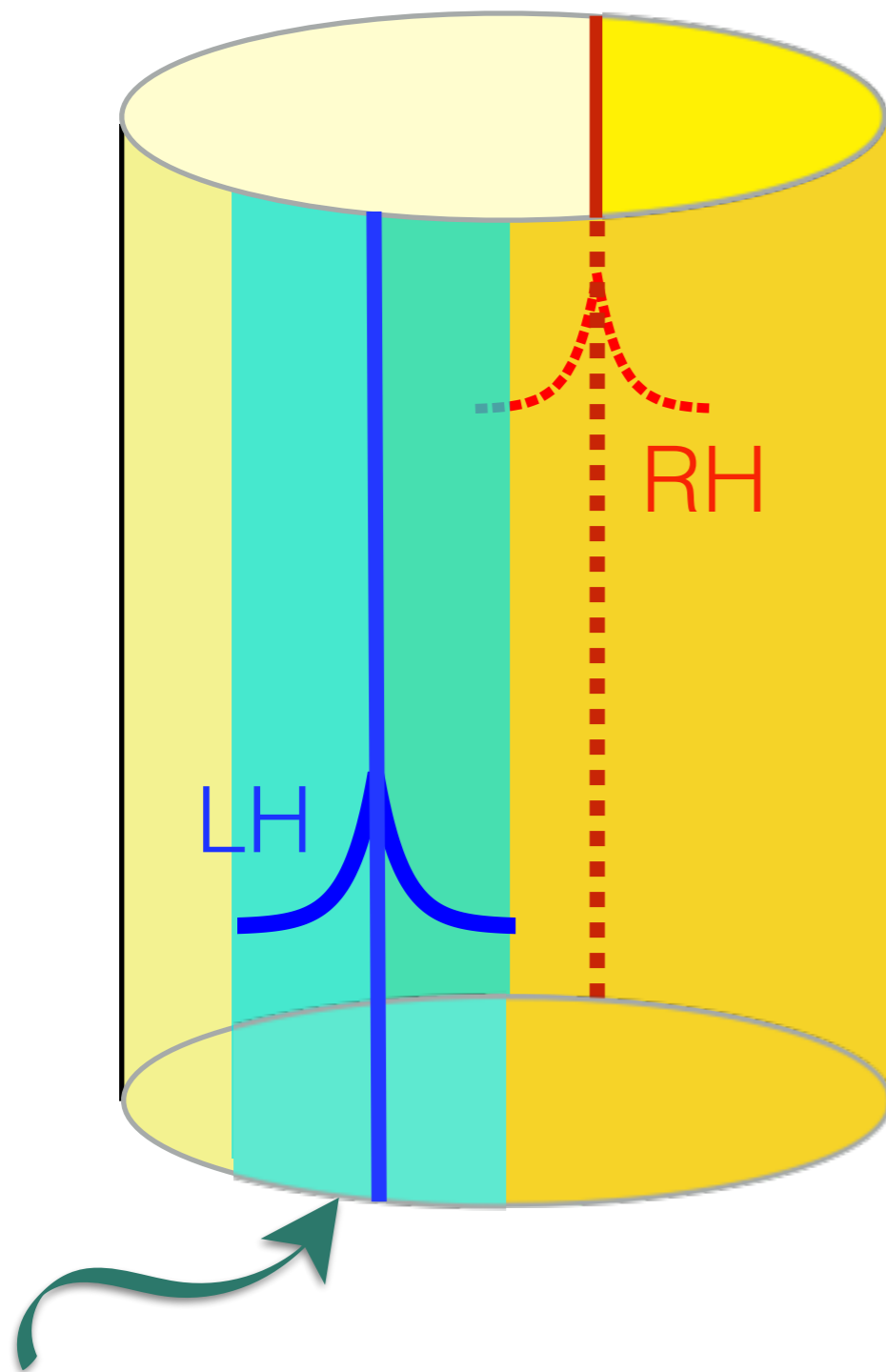


Can't we just "cut away" the RH fermions and keep the LH ones??



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No: RH fermions appear at the new boundary

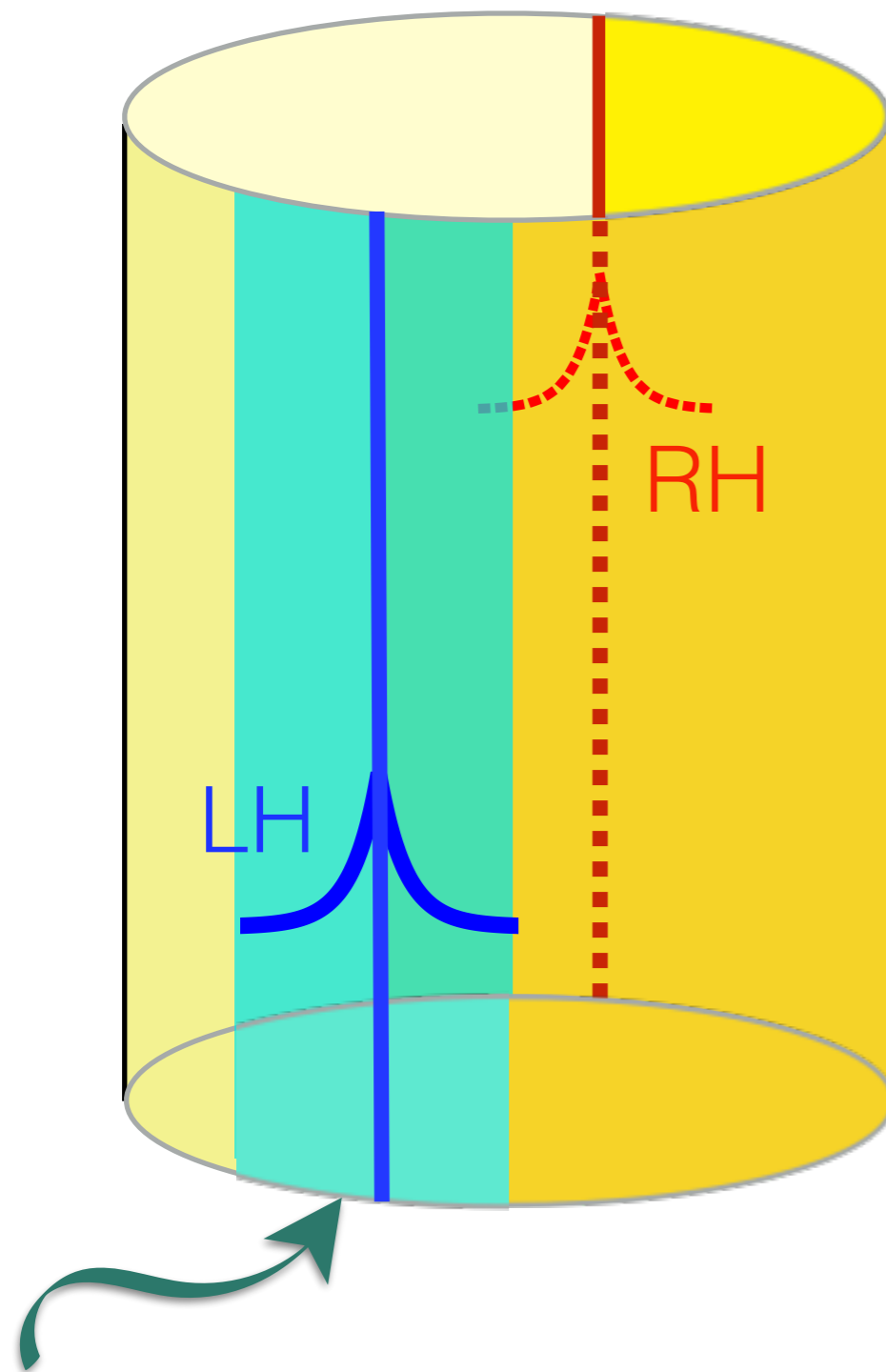


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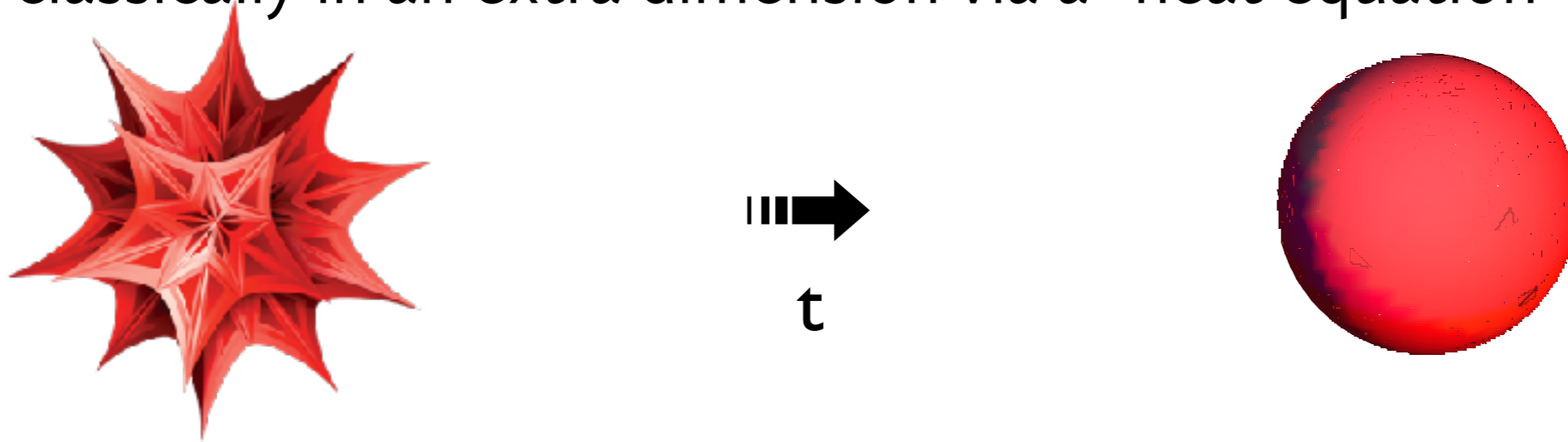
No: The 5d kinetic term allows fermions to "hop" in the extra dimension; localizing the gauge field would explicitly (or spontaneously) break gauge symmetry.

Proposal: “localize” gauge fields using gradient flow

Dorota Grabowska, D.B.K.

- Phys.Rev.Lett. **116** 211602 (2016) [arXiv:1511.03649]
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Gradient flow smooths out fields by evolving them classically in an extra dimension via a “heat equation”

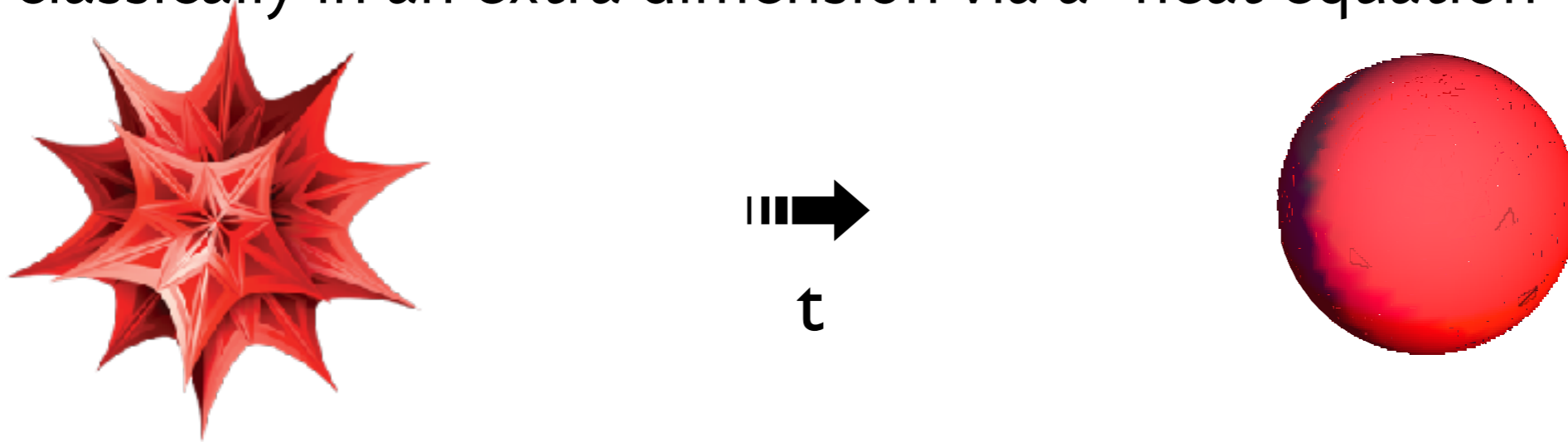


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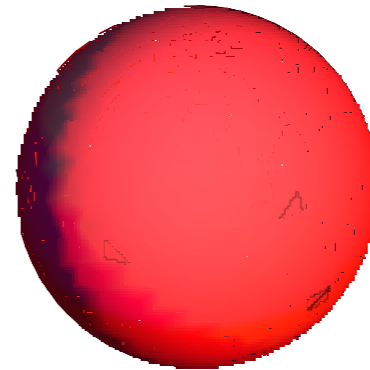
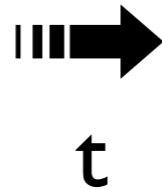
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- DWF uses an extra dimension...
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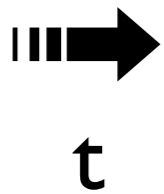


New proposal: “localize” gauge fields using gradient flow



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4d world



$\bar{A}_\mu(x, t)$ lives in 5d bulk

$$\frac{\partial \bar{A}_\mu(x, t)}{\partial t} = -D_\nu \bar{F}_{\mu\nu}$$

covariant flow eq.

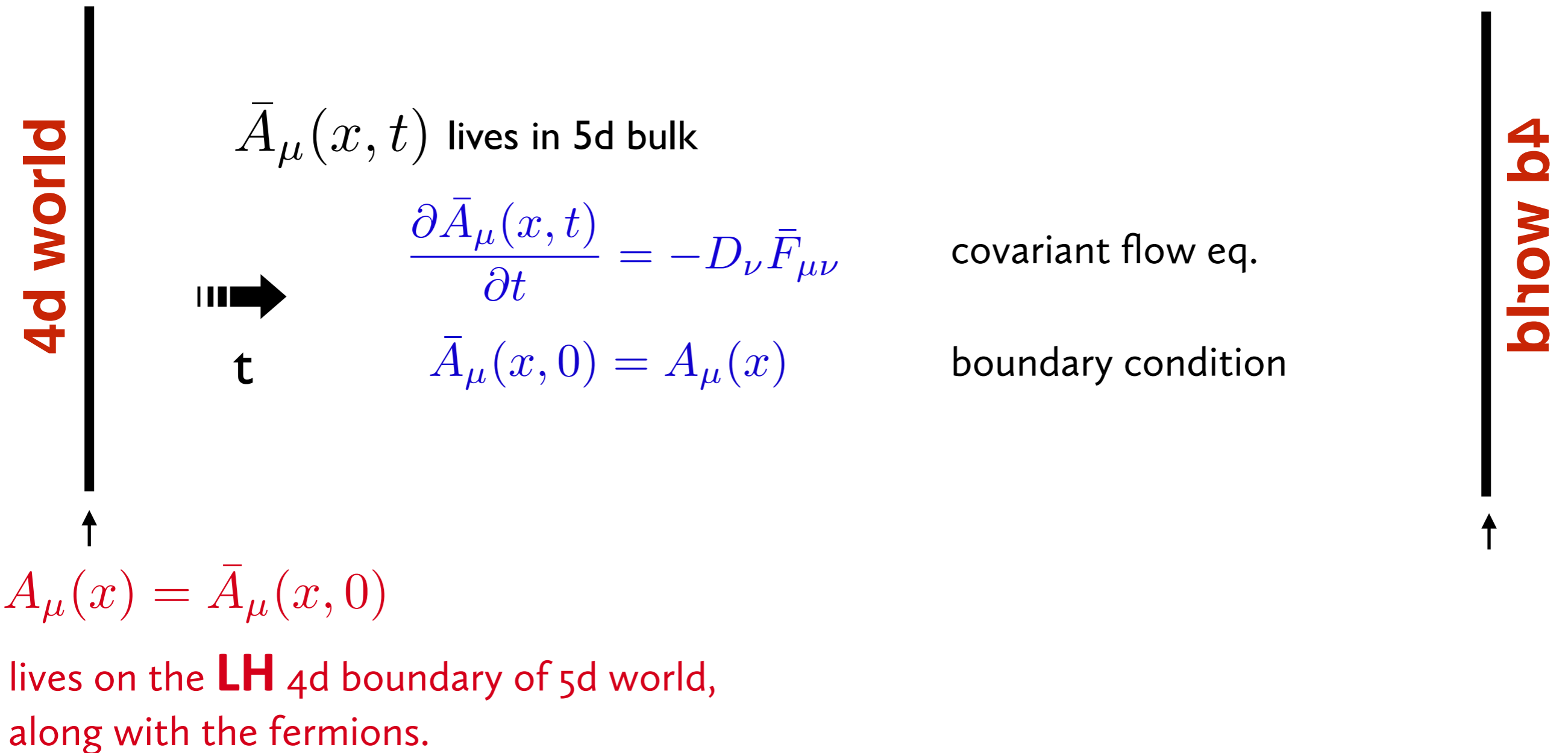
$$\bar{A}_\mu(x, 0) = A_\mu(x)$$

boundary condition

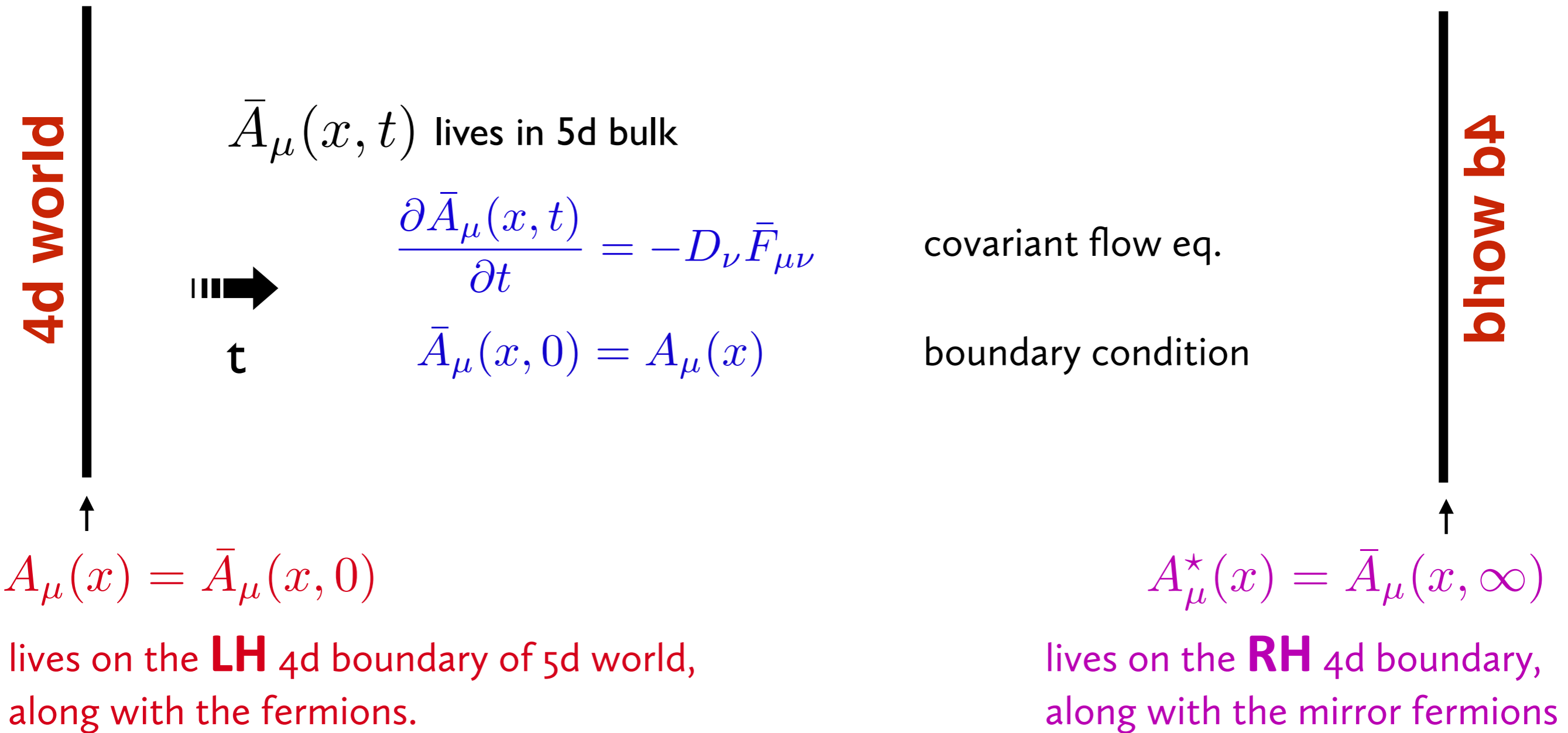
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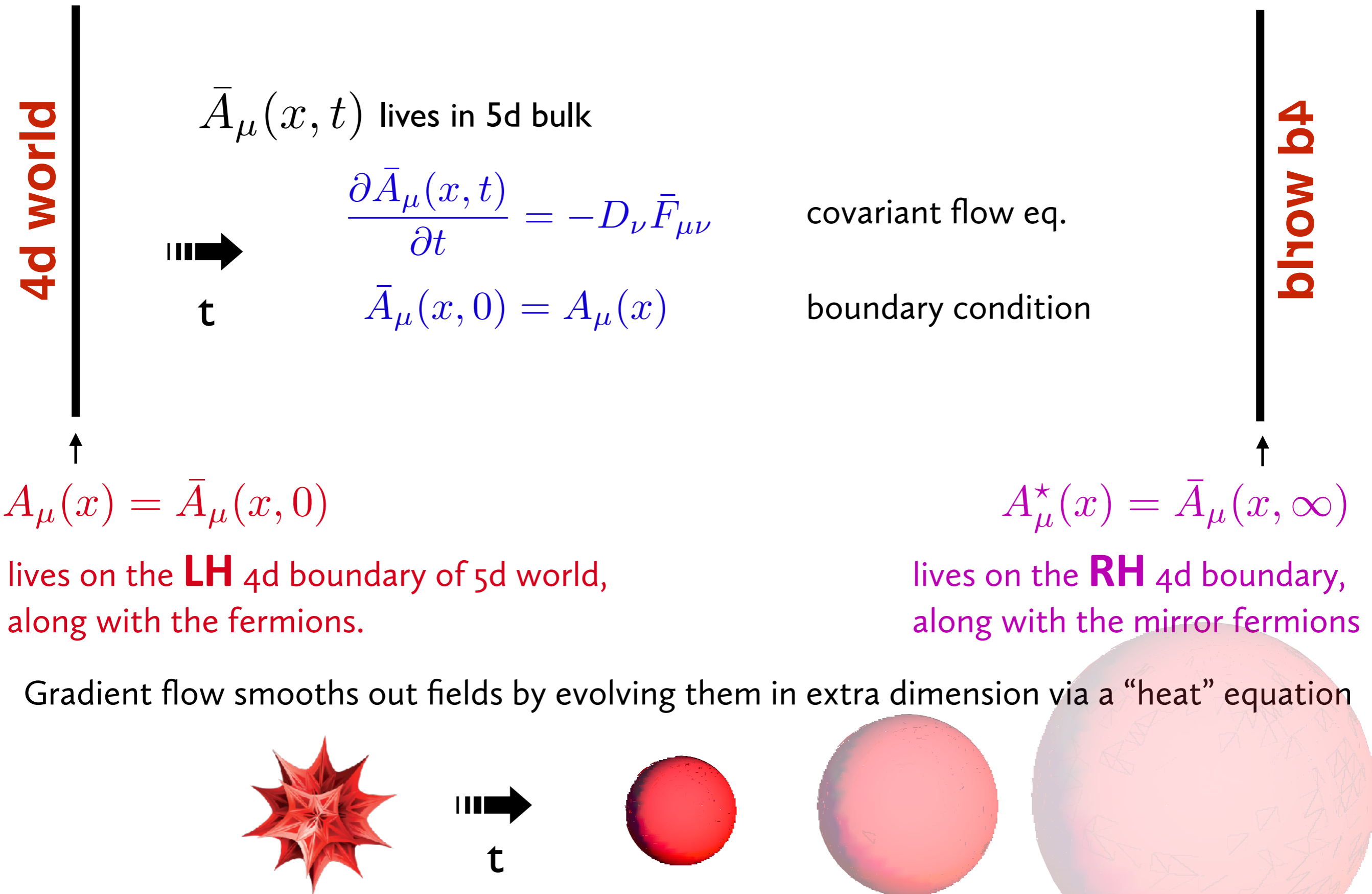
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Example: U(1) gauge theory, 3d bulk, 2d boundaries

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Solutions:

- ★ Gauge degree of freedom does not flow: $\bar{\omega}(x, t) = \omega(x)$
- ★ Physical degree of freedom damps out: $\bar{\lambda}(p, t) = \lambda(p) e^{-p^2 t}$

Evolution in t damps out high momentum modes in physical degree of freedom only

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- ★ *This will allow $\lambda(p)$ to be localized near $t=0$ while maintaining gauge invariance*
- ★ *RH wall has to be at $t=\infty$ for this to make sense in Minkowski spacetime*

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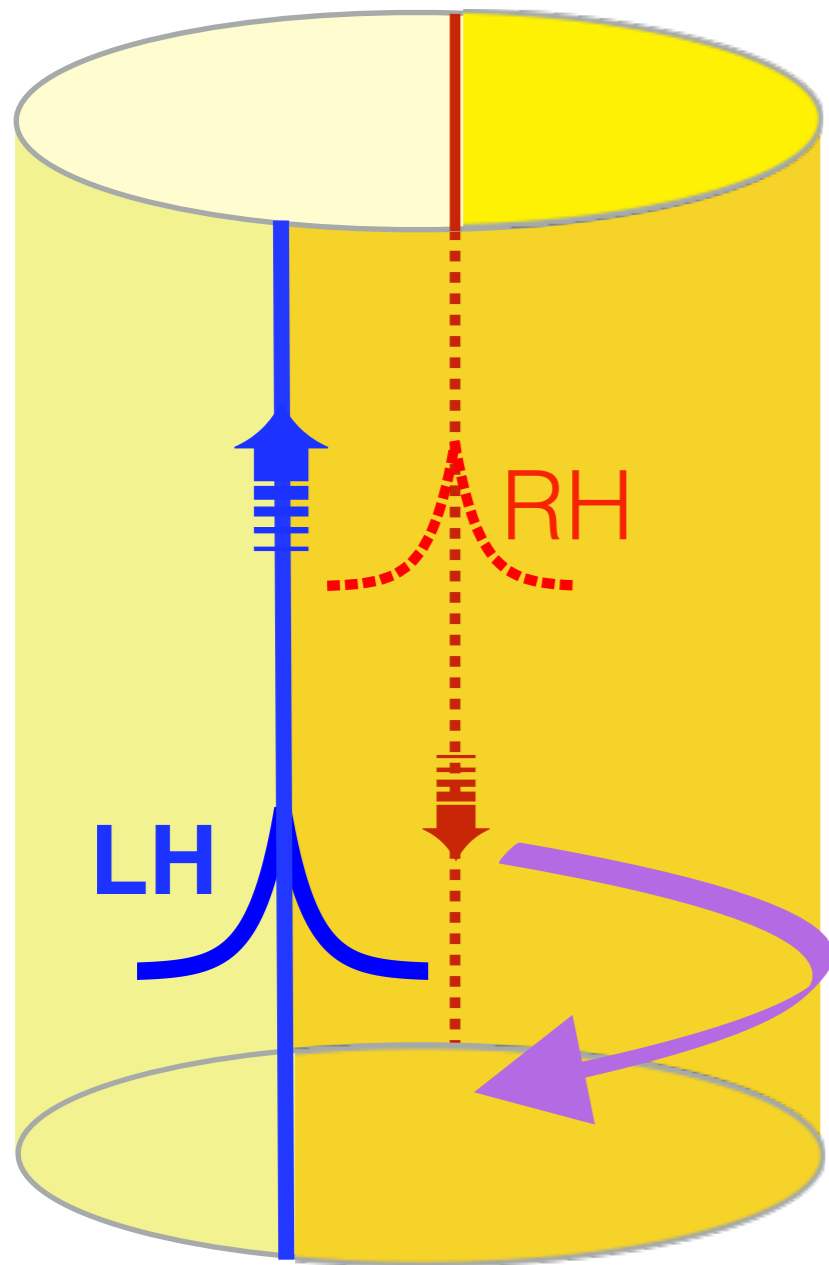
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- ★ Can we continue to Minkowski spacetime? **Great question!**

Anomalous representations: Can we show no (local) 4d EFT?



Yes! integrating out bulk fermions leads to CS operator that depends on the nasty nonlocal $\underline{A}_\mu(x, \mathbf{t})$

\mathbf{t} = extra dimension

Do not get a 4d (2d) field theory

The algebraic condition for the coefficient of this bad operator to vanish?

➡ Independent gauge anomaly cancellation of LH, RH fermions.

Example: 3d ► 2d U(1) gauge theory without anomaly cancellation:

Integrating out bulk modes generates a nonlocal term:

$$\begin{aligned} S_3^{\text{bulk}} &\propto \int d^2x \int ds [1 - \epsilon(s)] \epsilon_{abc} \bar{A}_a \partial_b \bar{A}_c \\ &= 2 \int d^2x d^2y \left(\frac{\partial_\mu \partial_\alpha}{\square} A_\alpha(x) \right) \Gamma(x - y) \\ &\quad \times \left(\frac{\partial_\mu \partial_\beta}{\square} \epsilon_{\beta\gamma} A_\gamma(y) \right) \end{aligned}$$

← 3d gauge fields

← 2d gauge fields

$$\Gamma(r) = [\delta^2(r) - (\mu^2/4\pi) e^{-\mu^2 r^2/4}] \quad \mu = \sqrt{\Lambda/L_3}$$

Not a local 4d theory

If gauge anomalies cancel:

Can we get (chiral gauge theory) + (free, non-interacting mirror fermions) with an infinite extra dimension? **No!**

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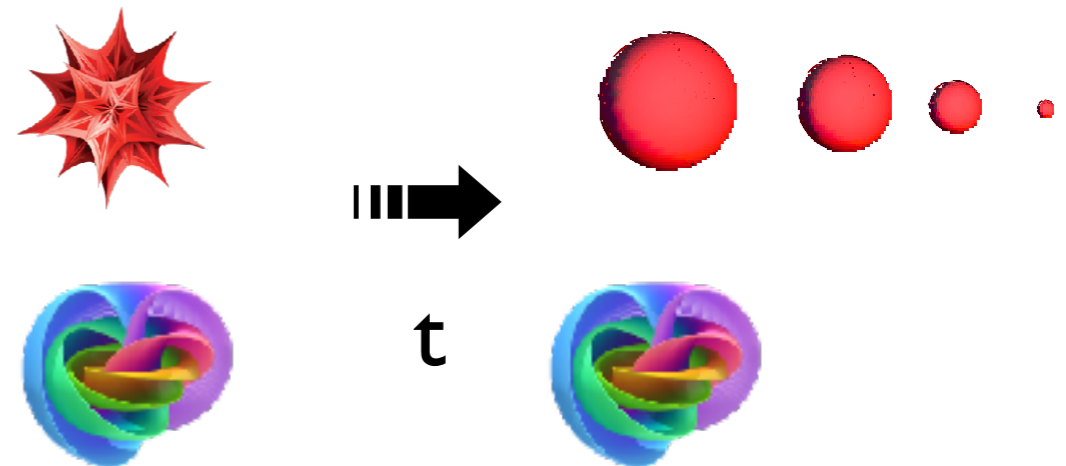
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Exact solutions to Euclidian eqs of motion don't flow

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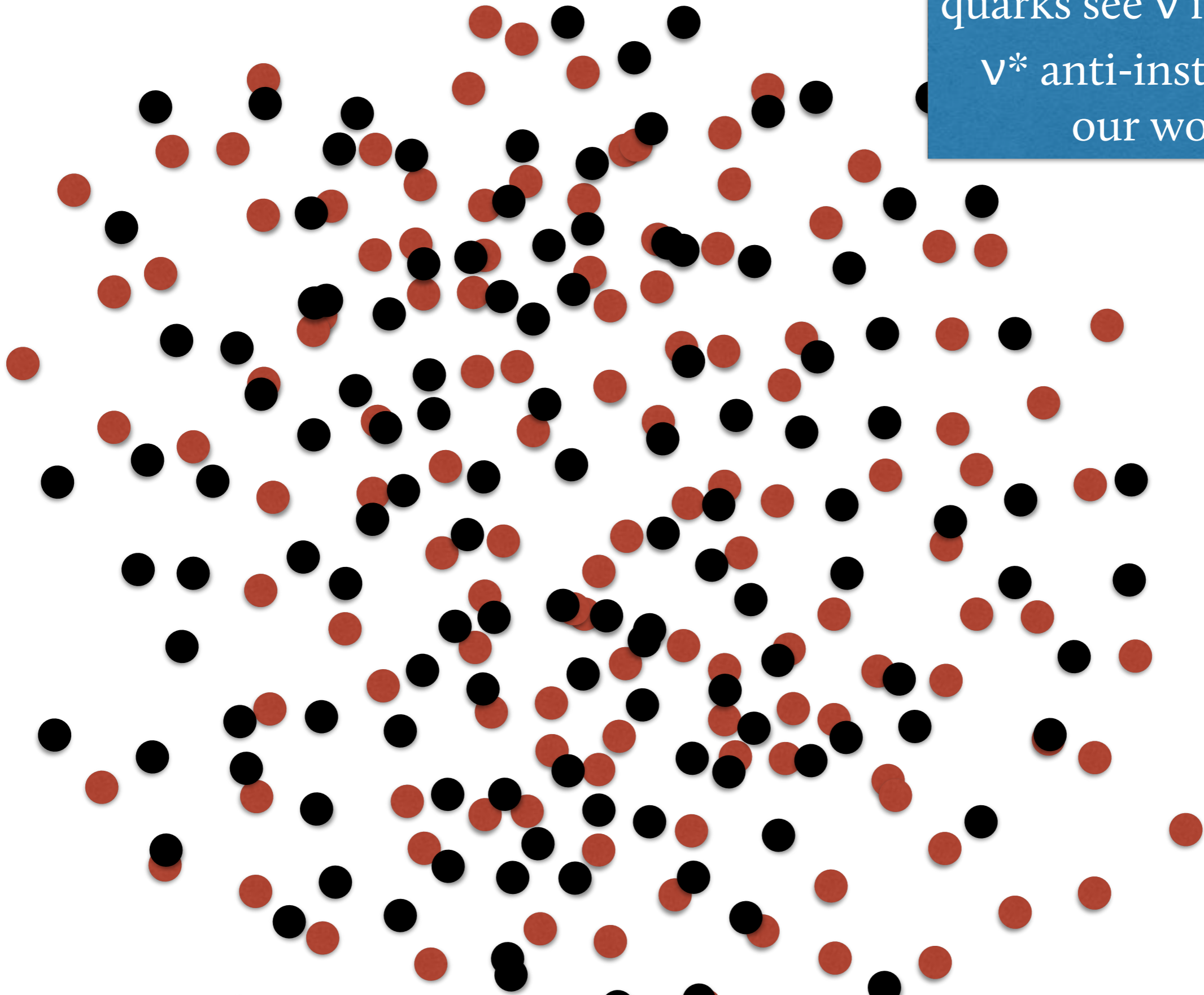
For each winding number ν there are an infinite number of exact solutions with ν instantons arranged in different locations...

Expect these to be attractive fixed points of gradient flow

...but not for $\nu+n$ instantons plus n anti-instantons.

Matter-fluff interactions?

quarks see ν instantons +
 ν^* anti-instantons in
our world...



Matter-fluff interactions?

quarks see v instantons +
 v^* anti-instantons in
our world...

Fluff quarks only see
 $(v-v^*)$ instantons in
the mirror world



A crude calculation (e.g., guess!):
when matter sees 10001 instantons and 10000 anti-instantons, **the one instanton that fluff sees is not spatially correlated with them.**


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$$\mathcal{F} = \int \frac{d^4y}{V} \Lambda^{4-3N_f} \det \bar{\varphi}_L \varphi_R, \quad \bar{\mathcal{F}} = \int \frac{d^4y}{V} \Lambda^{4-3N_f} \det \bar{\varphi}_R \varphi_L$$


 fluff

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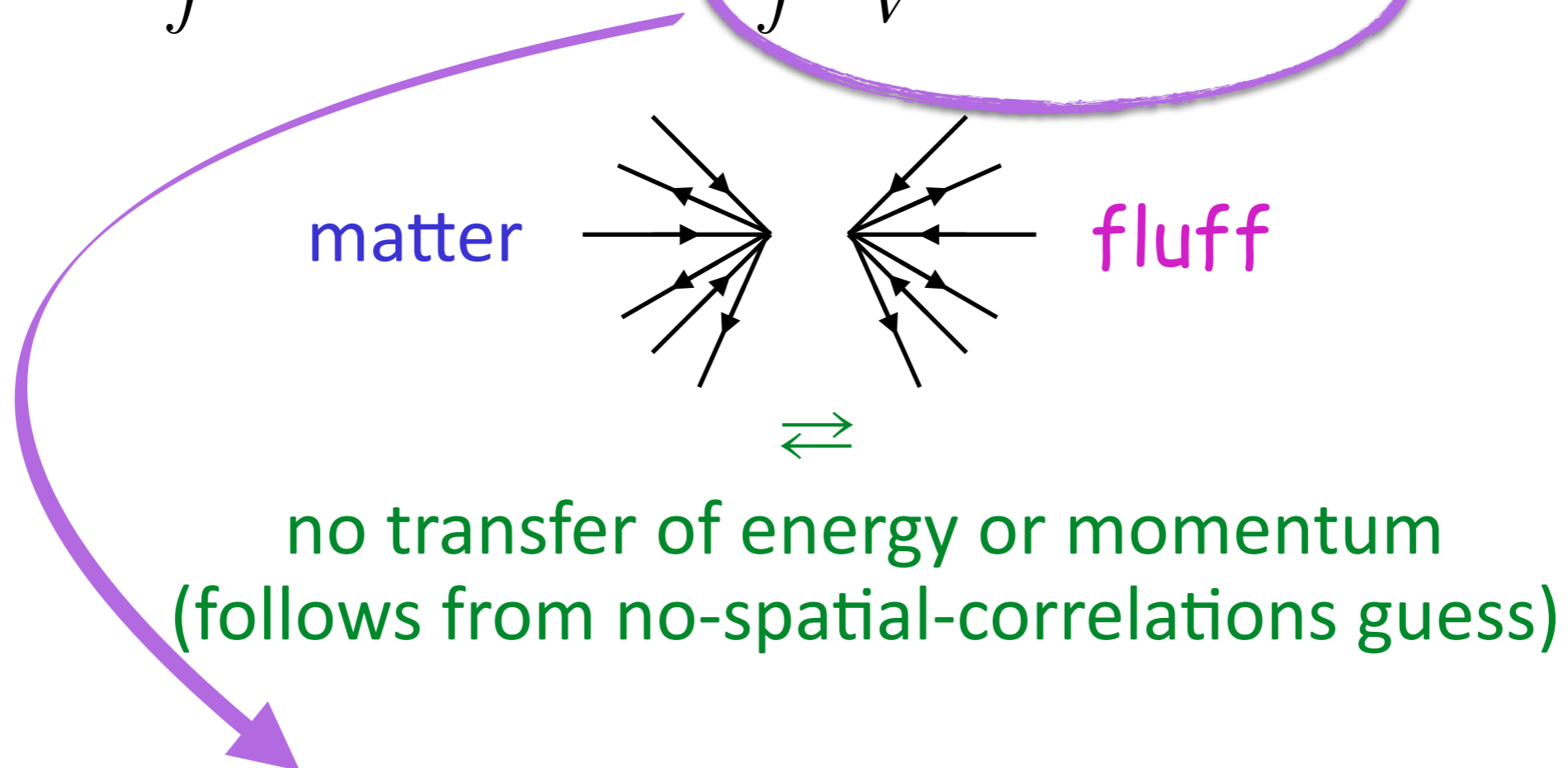
$$\mathcal{F} = \int \frac{d^4y}{V} \Lambda^{4-3N_f} \det \bar{\varphi}_L \varphi_R, \quad \bar{\mathcal{F}} = \int \frac{d^4y}{V} \Lambda^{4-3N_f} \det \bar{\varphi}_R \varphi_L$$


Action induced by instantons:

$$S = \ln \left[\sum_{n=0}^{\infty} \left(\sum_{\bar{n}=0}^n \frac{\mathcal{O}^n \bar{\mathcal{O}}^{\bar{n}}}{n! \bar{n}!} \mathcal{F}^{n-\bar{n}} + \sum_{\bar{n}=n+1}^{\infty} \frac{\mathcal{O}^n \bar{\mathcal{O}}^{\bar{n}}}{n! \bar{n}!} \bar{\mathcal{F}}^{\bar{n}-n} \right) \right]$$

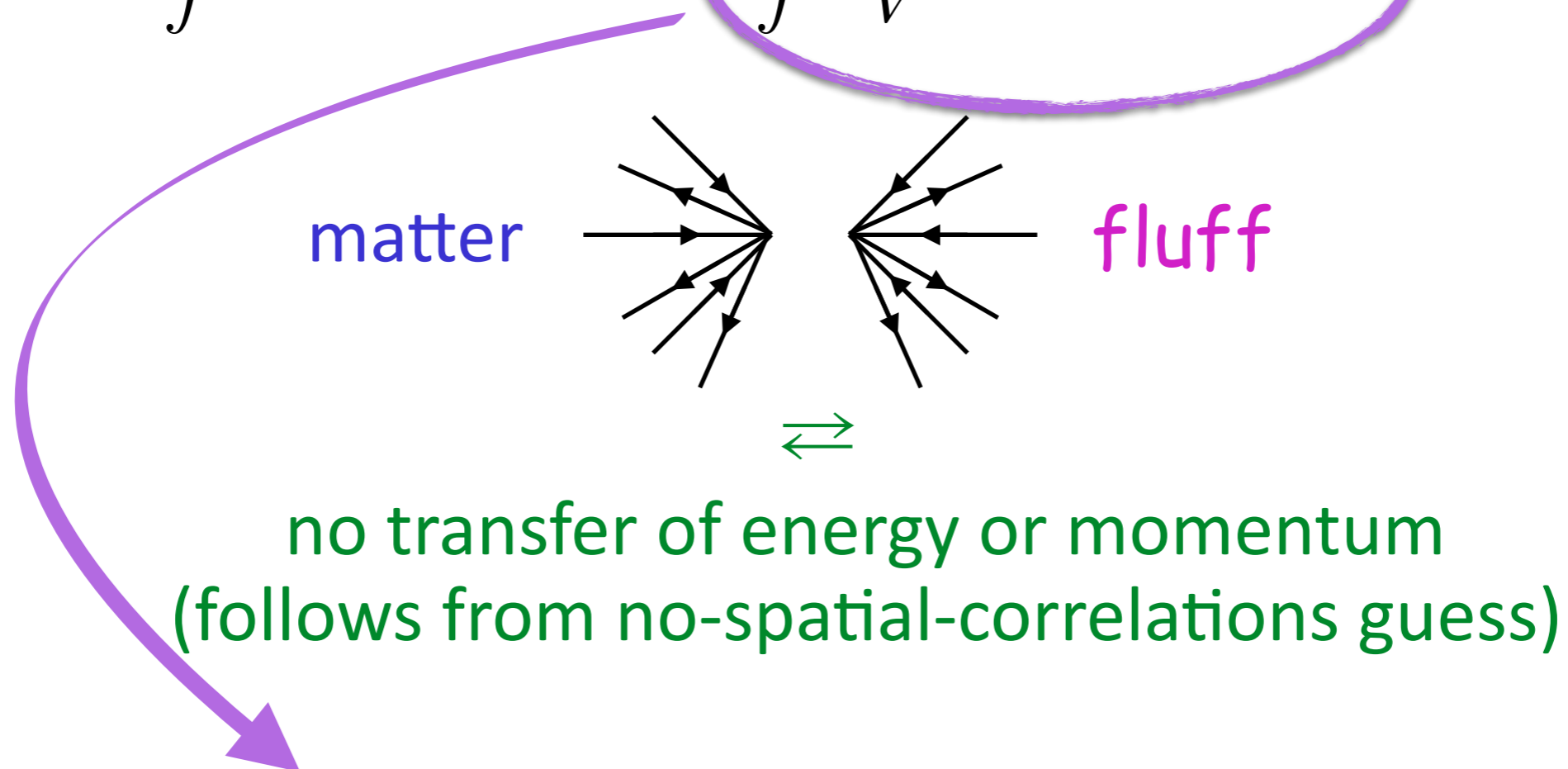
$$= \Lambda^{8-6N_f} \int d^4x \det \bar{q}_L q_R(x) \int \frac{d^4y}{V} \det \bar{\varphi}_L \varphi_R(y) + h.c. + \dots$$

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The fluff operator looks like a conventional coupling constant for ordinary matter...but it is a dynamical quantum variable; reminiscent of Coleman's wormholes.

Does this make sense? Does it even have correct volume scaling?? **Can this be simulated for a vector gauge theory?**

These strangely interacting mirror fermions get a name: Fluff

Is massless colored fluff a solution to the strong CP problem??

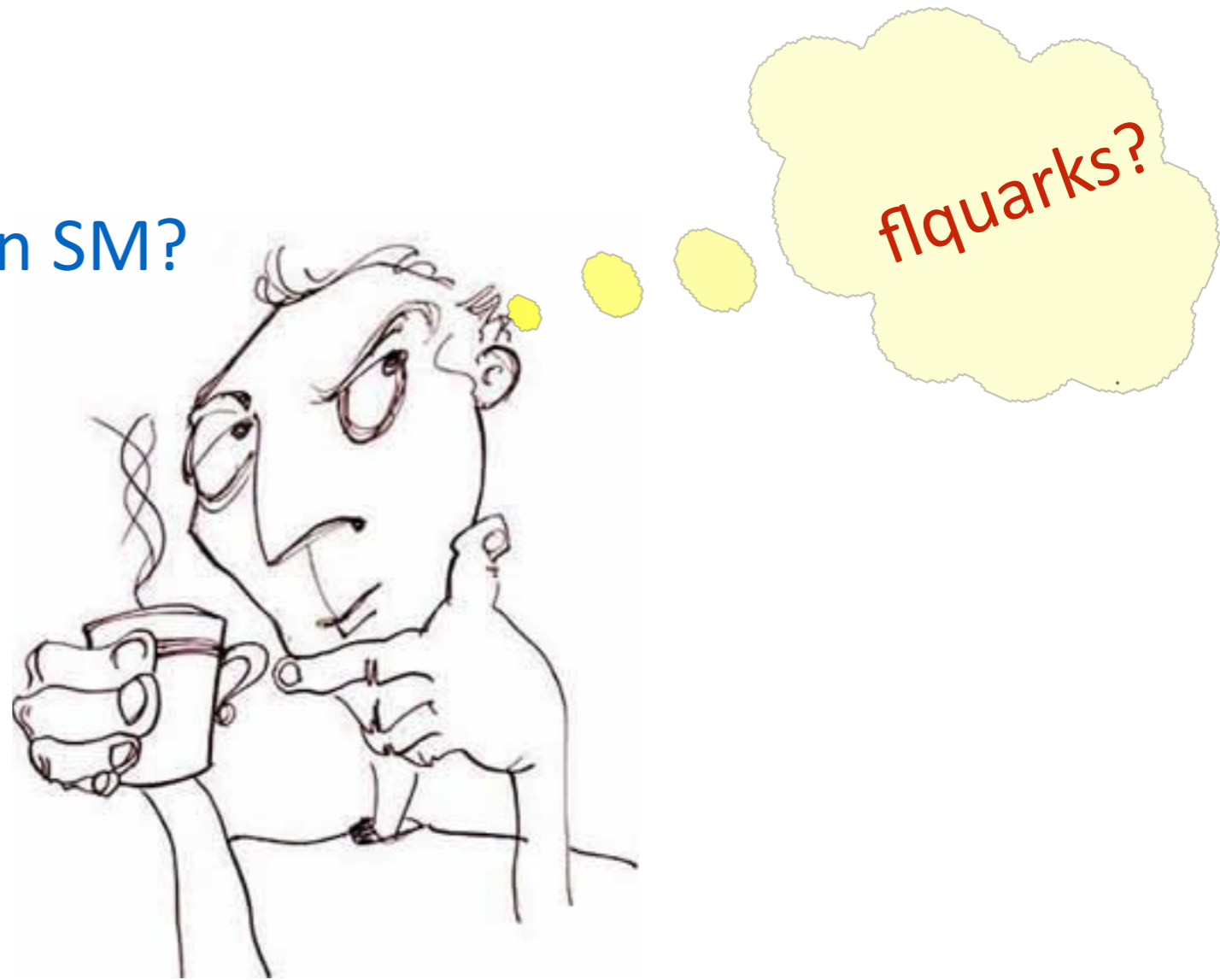
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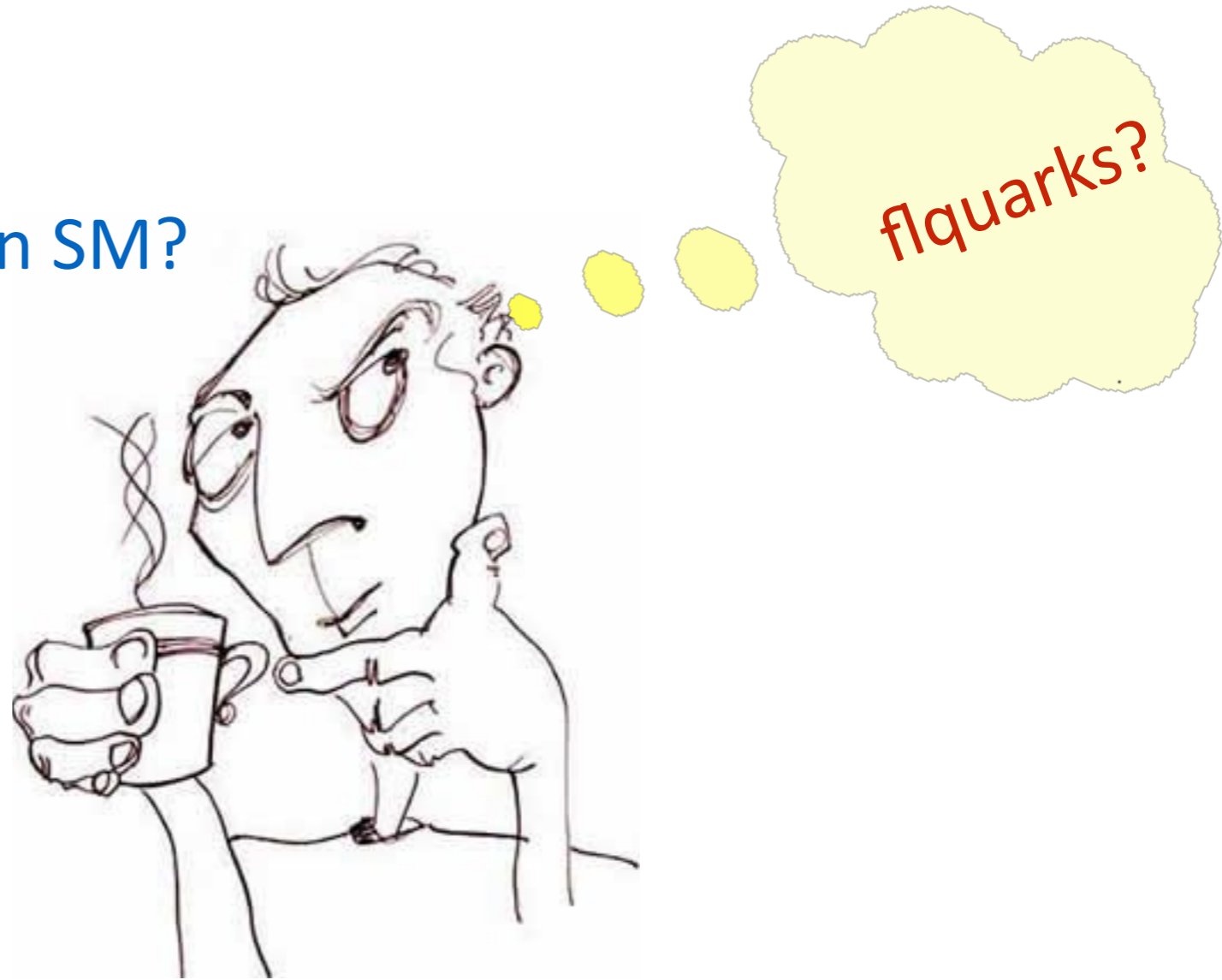
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Does it confine? Interact with quarks?

Is massless colored fluff a solution to the strong CP problem??

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Toy model for confinement of ordinary quarks:

Consider a random (abelian) magnetic field $B_z = b(x,y)$ and a Wilson loop in x-y plane

$$W_C[b] = P e^{i \oint_C A \cdot d\ell} = e^{i \int_S d^2x b(x)} = e^{i \int d^2p \tilde{b}(p) g(p) d^2p}$$

where

$$g(\mathbf{p}) = \int_S d^2\mathbf{x} e^{-i\mathbf{p} \cdot \mathbf{x}} .$$

Fourier transform
of function that
equals 1 inside loop,
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It has an expectation value:

← W_C →

$$\langle W_C \rangle = \mathcal{N} \int D\tilde{b} e^{-\frac{1}{2\lambda^2} \int \frac{d^2\mathbf{p}}{(2\pi)^2} |\tilde{b}(\mathbf{p})|^2} e^{i \int \frac{d^2\mathbf{p}}{(2\pi)^2} \tilde{b}(\mathbf{p}) g(\mathbf{p})} = e^{-\frac{1}{2} \lambda^2 \int \frac{d^2\mathbf{p}}{(2\pi)^2} |g(\mathbf{p})|^2}$$

Path integral
for gaussian
random b field

$$= e^{-\frac{1}{2} \lambda^2 A}$$



are of loop...signal of confinement

Same toy model for colored fluff:

At extra dimension coordinate s , random magnetic field has flowed to

$$\tilde{\beta}(\mathbf{p}, s) = \tilde{b}(\mathbf{p}) e^{-\mathbf{p}^2 s / \Lambda}$$

s is the extra dimension

form factor due to flow

Λ sets speed of gradient flow

separation between domain walls

$$r \gg \sqrt{\frac{L_5}{\Lambda}}$$

Average over random b , and find

★ Area law (confinement) if quarks are separated by distance

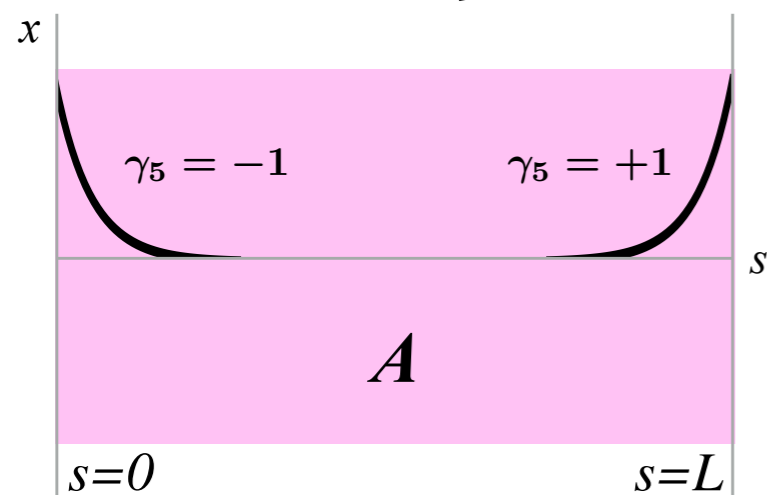
★ Perimeter law (deconfinement) for smaller r .

★ As L_5 becomes infinite, no confinement

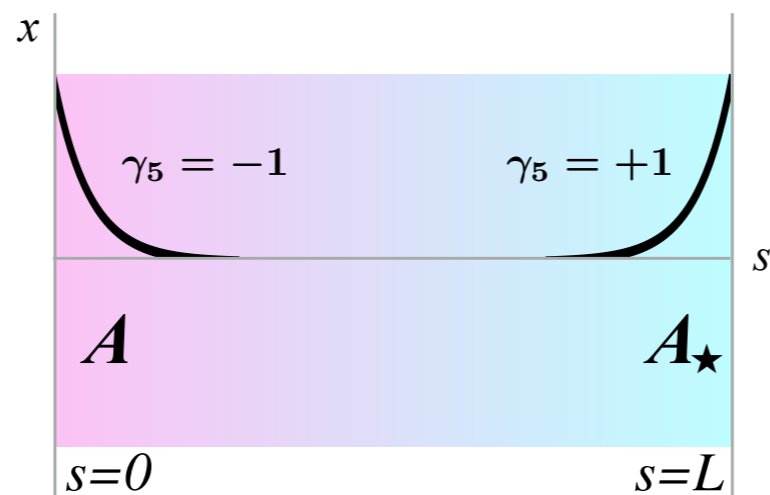
Anomaly-free representations: Can we obtain the effective 4d theory for the fermions, at nonzero lattice spacing & **infinite** extra dimension? **A chiral overlap operator?**

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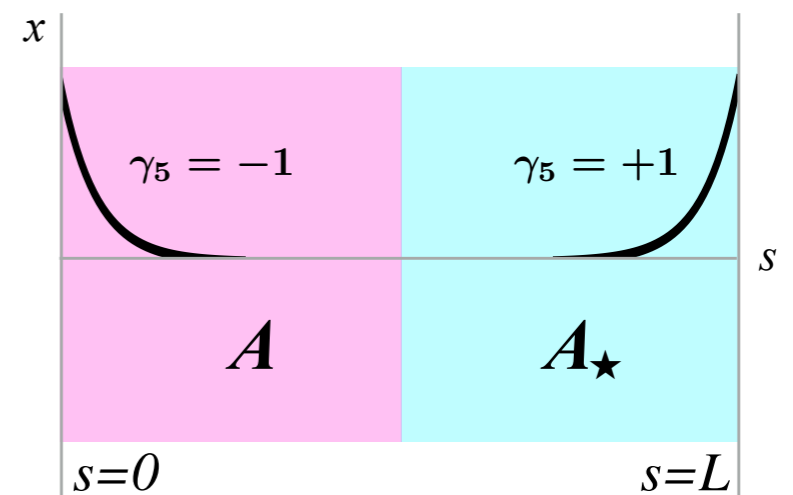
Crude attempt (Grabowska, DBK, Phys. Rev. D94 (2016), 114504)



Usual DWF



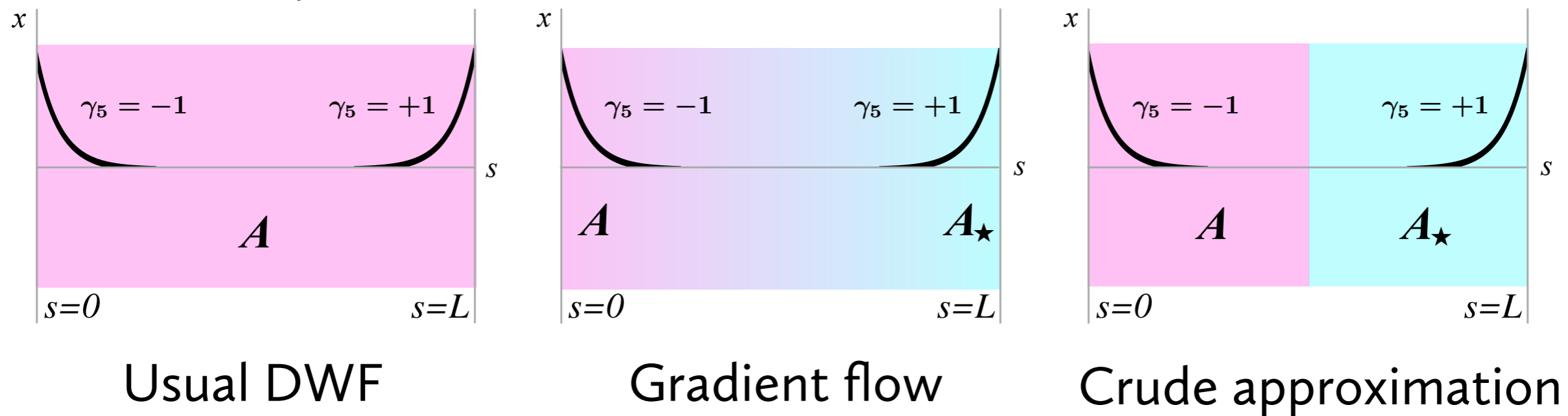
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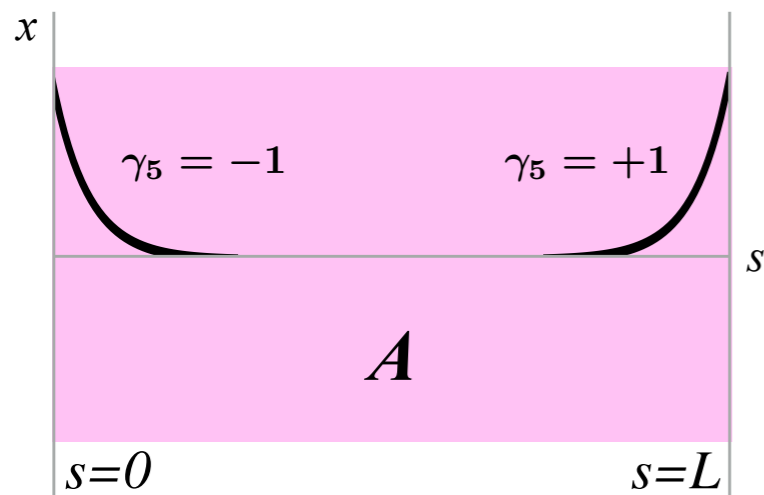
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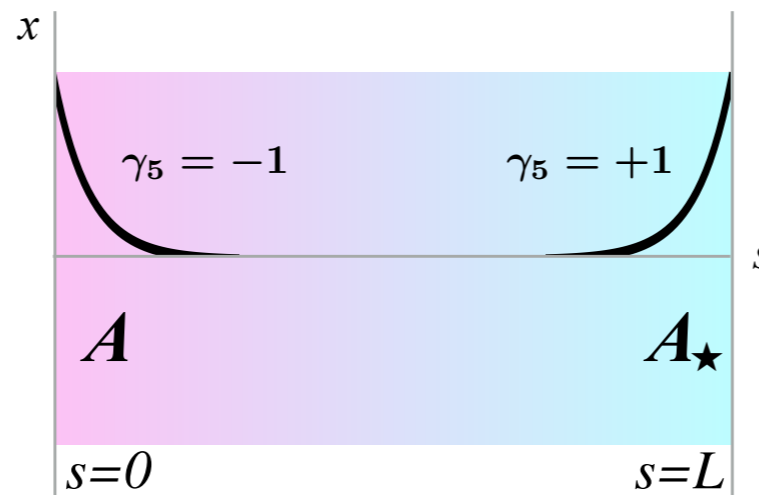
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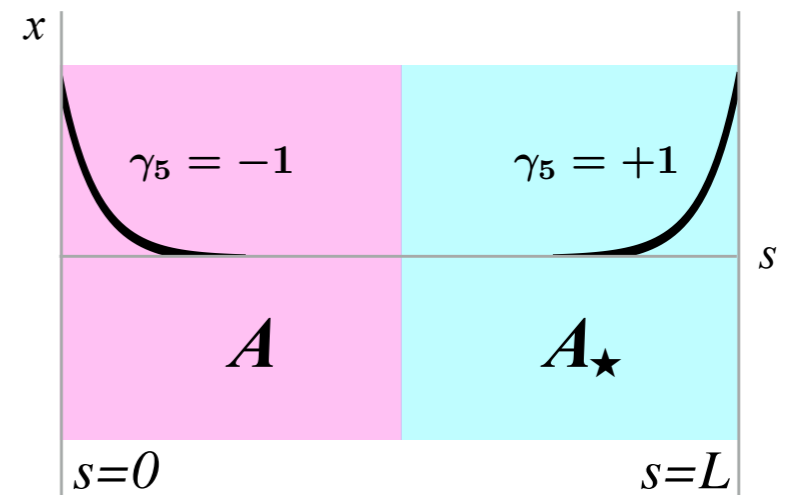
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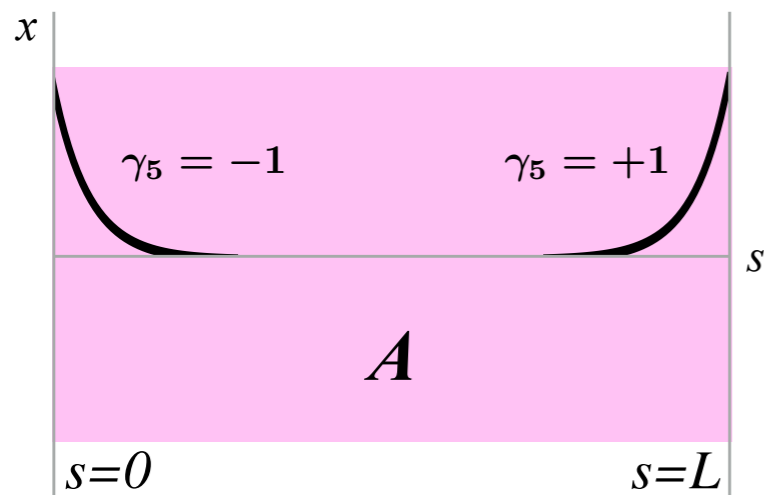
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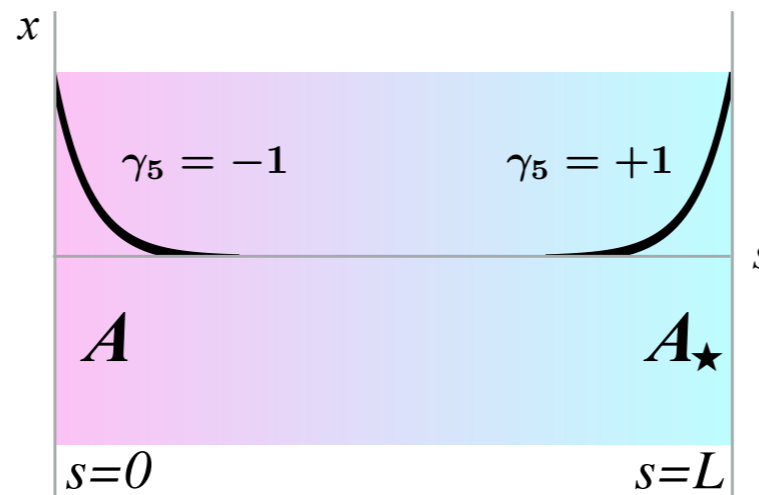
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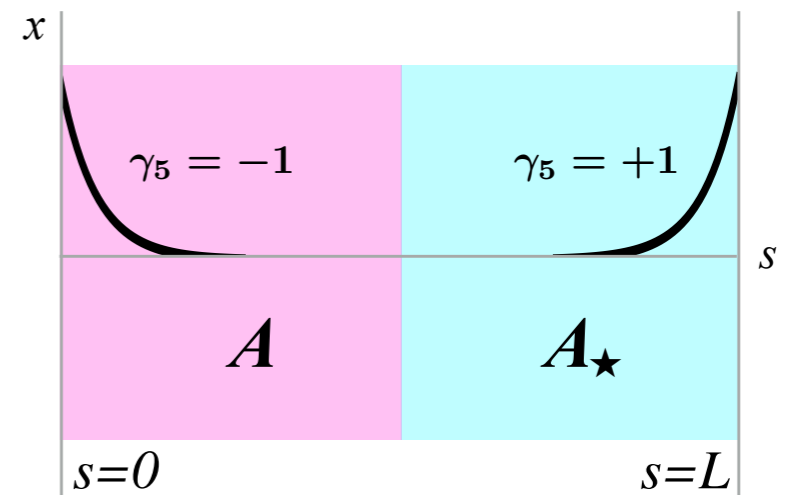
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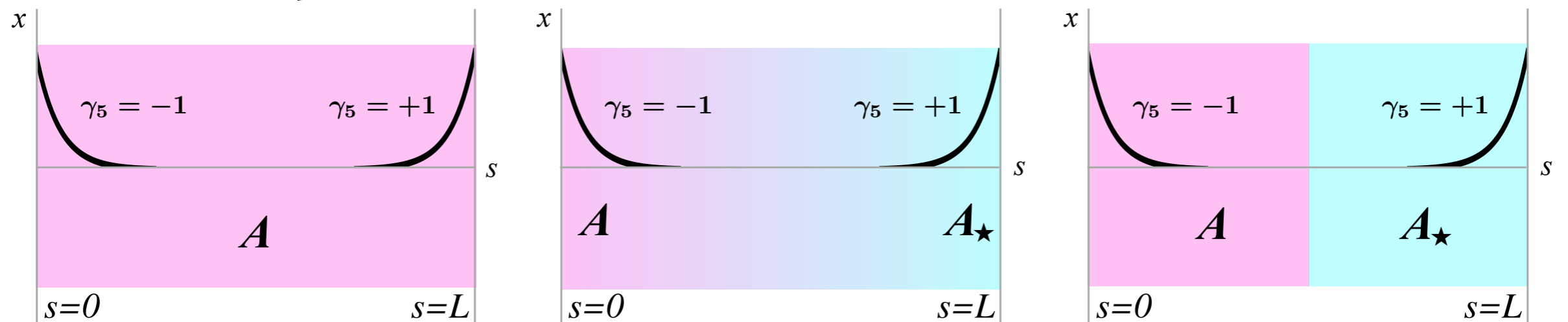


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$$D_\chi = \lim_{L \rightarrow \infty} 1 + \gamma_5 \frac{1 - T_\star^{L/2} T^{L/2}}{1 + T_\star^{L/2} T^{L/2}} = 1 + \gamma_5 \mathcal{E}$$

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$$\mathcal{E} = 1 - (1 - \epsilon_\star) \frac{1}{1 + \epsilon \epsilon_\star} (1 - \epsilon)$$

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$$\nu \equiv \frac{1}{2} \text{Tr} \epsilon, \quad \nu_\star \equiv \frac{1}{2} \text{Tr} \epsilon_\star$$

Expect: $-\text{Tr} \gamma_5 \hat{D}_\chi = -\text{Tr} \hat{\mathcal{E}}_\chi = -(\nu + \nu_\star)$

$$\hat{\mathcal{E}}_\chi = \left[1 - (1 - \epsilon_\star) \frac{1}{1 + \epsilon \epsilon_\star} (1 - \epsilon) \right]$$

If $\nu = \nu_\star$ (flow preserves winding number) we get the expected result.

If $\nu \neq \nu_\star$ (flow violates winding number) find o/o because $(1 + \epsilon \epsilon_\star)$ is not invertible

★ Problem with sudden flow approximation

A ► A★ suddenly in middle of bulk, so fermions with local interactions can couple simultaneously to both gauge fields...

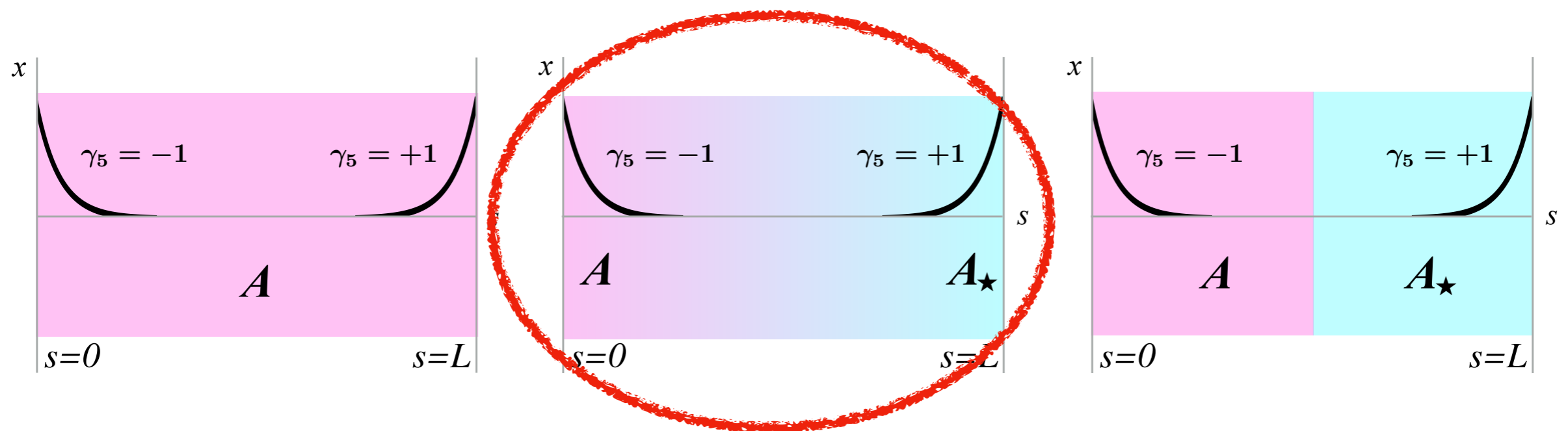
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Would like an overlap operator for gradual flow...but do not know a closed form expression.



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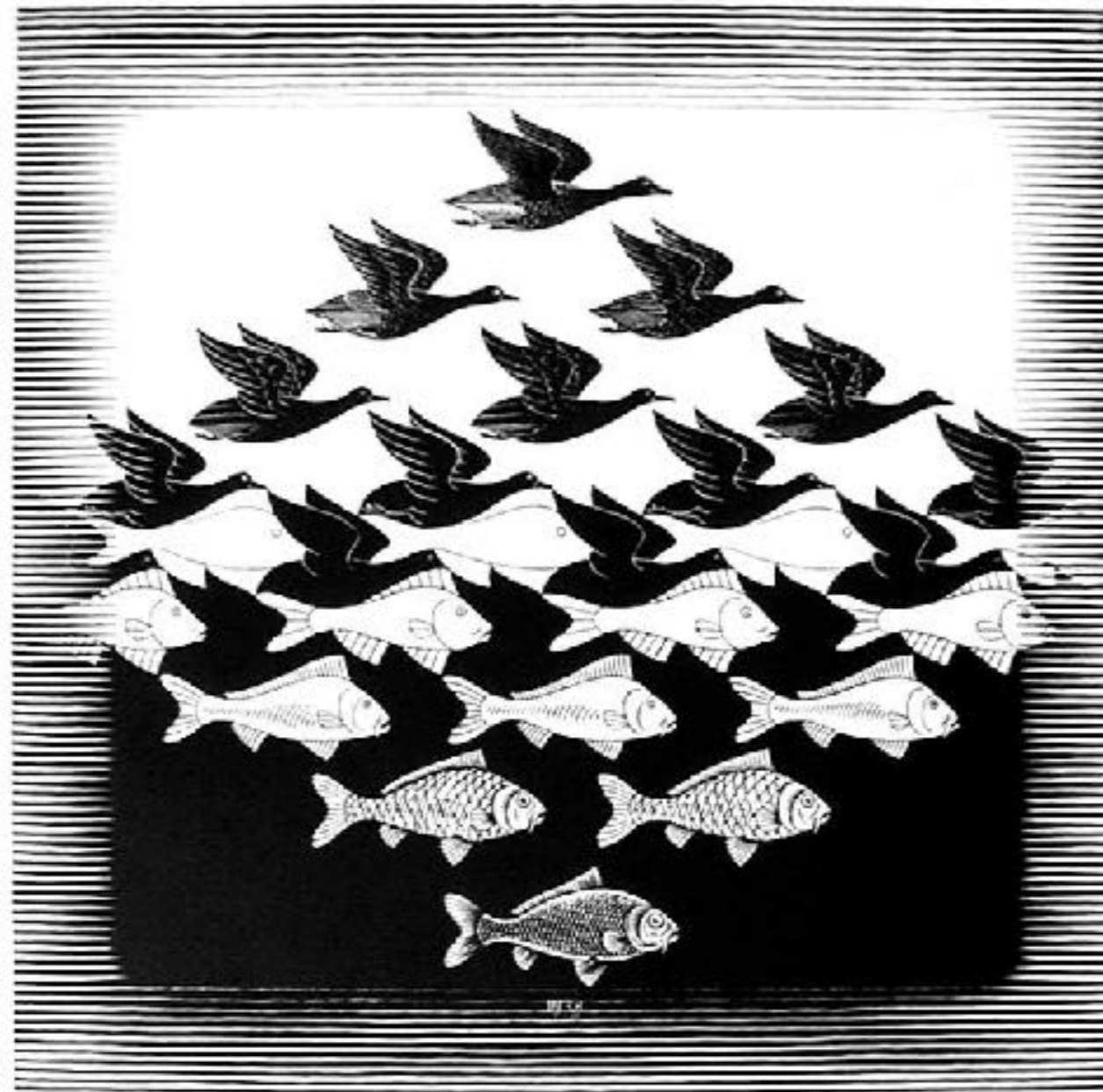
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- ★ ...if that all goes well, what is the phenomenology of fluff?

Aimed for:



Found:



D. B. Kaplan ~ ICTS Bengaluru ~ 2/2/18

Future goal: see if there exists a way for the overlap operator to more closely reproduce Alvarez-Gaumé et al. paper (lattice realization of the η -invariant)