Relative Canonical Bundles for families of Calabi-Yau manifolds, twisted Hodge Bundles, and Positivity

> ICTS Bangalore March 2017

Georg Schumacher

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 $f: \mathcal{X} \to S, n = \dim(\mathcal{X}_s)$



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Kodaira-Spencer classes: obstructions against splitting of

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$$\rho: \mathcal{T}_{\mathcal{S}, s_0} \longrightarrow \mathcal{H}^1(\mathcal{X}, \mathcal{T}_{\mathcal{X}})$$
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The cup product

$$\begin{array}{rcl} \boldsymbol{A}\otimes\phi&\mapsto&\boldsymbol{A}\cup\phi\\ \boldsymbol{H}^{1}(\mathcal{X}_{\boldsymbol{s}},\mathcal{T}_{\mathcal{X}_{\boldsymbol{s}}})\otimes\boldsymbol{H}^{0}(\mathcal{X}_{\boldsymbol{s}},\Omega^{n}_{\mathcal{X}_{\boldsymbol{s}}})&\to&\boldsymbol{H}^{1}(\mathcal{X}_{\boldsymbol{s}},\Omega^{n-1}_{\mathcal{X}_{\boldsymbol{s}}})\end{array}$$



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induces

$$\sigma_{0}: f_{*}\Omega^{n}_{\mathcal{X}/S} \to R^{1}f_{*}\Omega^{n-1}_{\mathcal{X}/S} \otimes \mathcal{T}^{\vee}_{S}$$



Curvature Θ of $f_*\Omega^n_{\mathcal{X}/S}$

$$(\Theta \boldsymbol{e}, \boldsymbol{e}') = (\sigma_0 \boldsymbol{e}, \sigma_0 \boldsymbol{e}')$$

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$$R(\partial/\partial s, \partial/\partial s', e, e') = (A \cup e, A' \cup e')$$





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Calabi-Yau manifold X:

 $c_{1,\mathbb{R}}(X)=0$



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Denote by ω_X a Ricci-flat Kähler metric according to Yau's theorem:



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Notation

$$\omega_X^n = g \, dV$$
 Ricci-flat volume form
 $0 = Ric(\omega_X) = -\sqrt{-1}\partial\overline{\partial}\log(\omega_X^n)$





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Definition

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 $\lambda_X \in H^1(X, \Omega^1_X) \cap H^2(X, \mathbb{R})$

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$$\lambda_{\mathcal{X}/\mathcal{S}} \in \boldsymbol{R}^1 f_*(\Omega^1_{\mathcal{X}/\mathcal{S}})(\boldsymbol{S})$$

s.t. $\lambda_{\mathcal{X}/S} | \mathcal{X}_s$ are polarizations for the fibers \mathcal{X}_s .



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Yau's theorem states the unique existence of a unique Ricci-flat Kähler form ω_X in any Kähler class λ_X .

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Weil-Petersson metric on base of a holomorphic family Let $(2/2a) = [A] \in H^1(Y, \mathcal{T})$ Kodaira Spanser along

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Known:



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The latter formula shows that the Weil-Petersson form is the pull-back of the invariant form on the period domain under the period mapping.
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Consequence

$$\omega_{WP}(s) = -\sqrt{-1}\partial\overline{\partial}\log(-1)^n \int_{\mathcal{X}_s} (\phi \wedge \overline{\phi})$$

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Now turn to $\mathcal{K}_{\mathcal{X}/S}$ rather than $f_*(\mathcal{K}_{\mathcal{X}/S})$.



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Proposition (A. Fujiki - G.Sch. '90)

 $(f : \mathcal{X} \to S, \lambda_{\mathcal{X}/S})$ a polarized family of Calabi-Yau manifolds, and $\omega_{\mathcal{X}_s} \in \lambda_{\mathcal{X}_s}$ the Kähler-Einstein forms. Then locally with respect to *S* there exists a *d*-closed (1, 1)-form $\omega_{\mathcal{X}}$ on the total space \mathcal{X} such that

$$\omega_{\mathcal{X}}|\mathcal{X}_{\mathbf{S}}=\omega_{\mathcal{X}_{\mathbf{S}}}.$$

If the polarization can be represented by a closed, real (1, 1)-form, then $\omega_{\mathcal{X}}$ can be chosen globally as a (1, 1)-form. Let $n = \dim \mathcal{X}_s$. Then such a form is uniquely determined by the equation

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Question. Is there a Kähler form on \mathcal{X} , whose restriction to all fibers is Ricci-flat? Global question. Locally replace $\omega_{\mathcal{X}}$ by some $\omega_{\mathcal{X}} + f^* \omega_{\mathcal{S}}$.



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Theorem (M. Braun-G. Sch. '16)

Let \mathcal{X} be Kähler $(f : \mathcal{X} \to S, \lambda_{\mathcal{X}/S})$ holomorphic, polarized family of Calabi-Yau manifolds.

Assume that the Green's functions of \Box for functions on fibers \mathcal{X}_s ,

 $s \in S$ are uniformly bounded from below (by a negative constant).



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Assume that the Green's functions of \Box for functions on fibers \mathcal{X}_s , $s \in S$ are uniformly bounded from below (by a negative constant). Then there exists a Kähler form $\widetilde{\omega}_{\mathcal{X}}$ on \mathcal{X} , whose restriction to the fibers \mathcal{X}_s is the Ricci flat form on $(\mathcal{X}_s, \lambda_{\mathcal{X}_s})$.

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	4	
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Validity of the assumptions

Cheeger '70, Cheeger - Yau '80

The Green's function is bounded, if the diameter (of the fibers \mathcal{X}_s) is bounded from above.



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Y. Zhang '16, V. Tosatti '15

The diameter is bounded for polarized families of Calabi-Yau manifolds, under mild assumptions for the type of degeneration.

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A compact complex manifold X is called *canonically polarized*, if \mathcal{K}_X is positive (ample).

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Horizontal lift

$$\partial/\partial s_i \in T_{S,s}$$

 $v_i = \partial/\partial s_i + a_i^{\alpha} \partial/\partial z^{\alpha}$
 $f_*(v_i) = \partial/\partial s_i$
 $v_i \perp \mathcal{X}_s$

Geodesic curvature

$$\varphi_{i\bar{\jmath}} = \langle \mathbf{v}_i, \mathbf{v}_j \rangle_{\omega_{\mathcal{X}}}$$

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Namely:

$$\begin{split} \omega_{\mathcal{X}} &:= \sqrt{-1}\sqrt{-1}\partial\overline{\partial}\log(\omega_{\mathcal{X}/S}^{n})\\ \text{KE eqtn.} \Rightarrow \omega_{\mathcal{X}} | \mathcal{X}_{s} = \omega_{\mathcal{X}_{s}}\\ \omega_{\mathcal{X}}^{n+1} &= (v_{i}, v_{j})\sqrt{-1}ds^{i} \wedge ds^{\overline{\jmath}} \wedge \omega_{\mathcal{X}}^{n}\\ (\Box_{s} + 1)(v_{i}, v_{j}) &= (A_{i}, A_{j})_{WP}\\ \text{Note:} (v_{i}, v_{j}) > 0 (\text{ positive definite}) \end{split}$$



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$$\omega_{\mathcal{X}}^{n+1} \geq P_n(\mathit{diam}(\mathcal{X}_s)) \ f^* \omega_{WP} \wedge \omega_{\mathcal{X}}^n$$

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The curvature tensor for $R^{n-\rho}f_*\Omega^{\rho}_{\mathcal{X}/S}(\mathcal{K}_{\mathcal{X}/S}^{\otimes m})$ is given by

$$\begin{split} R(A,\overline{A},\psi,\overline{\psi}) &= = m \int_{\mathcal{X}_{s}} (\Box+1)^{-1} (A \cdot \overline{A}) \cdot (\psi \cdot \overline{\psi}) g dV \\ &+ m \int_{\mathcal{X}_{s}} (\Box+m)^{-1} (A \cup \psi) \cdot (\overline{A} \cup \overline{\psi}) g dV \quad (1) \\ &+ m \int_{\mathcal{X}_{s}} (\Box-m)^{-1} (A \cup \overline{\psi}) \cdot (\overline{A} \cup \psi) g dV. \end{split}$$

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The only contribution in (1), which may be negative, originates from the harmonic parts in the third term. It equals

$$-\int_{\mathcal{X}_{\mathbf{s}}} H(\mathbf{A}\cup\overline{\psi})\overline{H(\mathbf{A}_{j}\cup\overline{\psi})}gdV.$$

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Dual result for





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Georg Schumacher

Dual result for





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Dual result for

 $R^{p}f_{*}\Lambda^{p}\mathcal{T}_{\mathcal{X}/S}$

S. Wolpert '86: dim X = 1, p = 1, m = 1



Previous results Dual result for

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Application

The moduli stack of canonically polarized manifolds is (Kobayashi-)hyperbolic.



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Corollary

The locally free sheaf $f_* \mathcal{K}_{\mathcal{X}/S}^{\otimes (m+1)}$ is Nakano-positive.

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Using the above positivity of the relative canonical bundle, we see that the Corollary follows from

Theorem (Bo Berndtsson '09)

Let *L* be a positive line bundle on \mathcal{X} , then $f_*(\mathcal{K}_{\mathcal{X}/S} \otimes L)$ is Nakano-positive.



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Further results by Sh. Takayama - Chr. Mourougane and K. Liu - X. Yang.



Let $f : \mathcal{X} \to S$ be a holomorphic family of compact complex manifolds, and (L, h) be a *relatively positive line bundle* on \mathcal{X} .



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p = n yields curvature of $f_*(\mathcal{K}_{\mathcal{X}/S} \otimes L)$



Corollary

Theorems of Bo Berndtsson and G. Sch.



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Corollary

Theorems of Bo Berndtsson and G. Sch.



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Corollary

Theorems of Bo Berndtsson and G. Sch.

Use Lie-derivatives for differential forms with values in hermitian line bundles.



Methods (Calabi-Yau manifolds)

Calabi's Theorem '57

Holmorphic 1-forms and holomorphic vector fields on Calabi-Yau manifolds are parallel.



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Notation

$$f: \mathcal{X} \longrightarrow S$$

 $(z, s) \mapsto s$
 $z = (z^1, \dots, z^n)$
 $s = (s^1, \dots, s^r)$
components z^{α}, s^i





Given $\omega_{\mathcal{X}}$ like in Proposition



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Proposition

 $(\overline{\partial} v_i)|\mathcal{X}_s = A_i$

harmonic Kodaira-Spencer form:

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<u>Fact</u>



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$_{\tt Fact} Curvature form of {\cal K}_{{\cal X} / {\cal S}}$:

$$\Theta = -\sqrt{-1}\partial\overline{\partial}\log g$$

= $-\sqrt{-1}\left(\Theta_{\alpha\overline{\beta}}dz^{\alpha}\wedge dz^{\overline{\beta}} + \Theta_{i\overline{j}}ds^{i}\wedge ds^{\overline{j}} + \Theta_{i\overline{\beta}}ds^{i}\wedge dz^{\overline{\beta}} + \Theta_{\alpha\overline{j}}dz^{\alpha}\wedge ds^{\overline{j}}\right)$



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$$\chi_{i\overline{\jmath}} := \langle \mathbf{v}_i, \mathbf{v}_j
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$$\varphi_{i\overline{\jmath}} = G_{s}(\Box_{s}(\varphi_{i\overline{\jmath}})) = G_{s}(-\Theta_{i\overline{\jmath}} + A_{i} \cdot A_{\overline{\jmath}}) = G_{s}(A_{i} \cdot A_{\overline{\jmath}})$$



$$0 = \int_{\mathcal{X}/S} \omega_{\mathcal{X}}^{n+1} = \sqrt{-1} \left(\int_{\mathcal{X}/S} \varphi_{i\overline{\jmath}} g \, dV \right) ds^{i} \wedge ds^{\overline{\jmath}}$$

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By assumption the Green's function satisfies $G_s(z, w) \ge -c$ for some c > 0.



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By assumption the Green's function satisfies $G_s(z, w) \ge -c$ for some c > 0.

$$G_{s}(A_{i} \cdot A_{\overline{\jmath}}) \geq -c \cdot \textit{vol}(\mathcal{X}_{s}) \Theta_{i\overline{\jmath}}$$

(in the sense of matrices/hermitian forms)

Theorem

Claim

For a suitable constant c' > 0 the form

$$\widetilde{\omega}_{\mathcal{X}} = \omega_{\mathcal{X}} + \mathbf{C}' f^* \omega_{WP}$$

is Kähler.



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Theorem

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is Kähler.

Namely, with $c' = c \cdot vol(\mathcal{X}_s) + 1$

$$egin{array}{rcl} \widetilde{\omega}_{\mathcal{X}}^{n+1} &=& (\omega_{\mathcal{X}}+m{c}'f^*\omega_{W\!P})^{n+1}=\sqrt{-1}(arphi_{iar{\jmath}}+m{c}'\Theta_{iar{\jmath}})dm{s}^i\wedge dm{s}^{ar{\jmath}}\ &\geq& \sqrt{-1}\Theta_{iar{\jmath}}dm{s}^i\wedge dm{s}^{ar{\jmath}}, \end{array}$$

whereas $\widetilde{\omega}_{\mathcal{X}} | \mathcal{X}_{s} = \omega_{\mathcal{X}_{s}} > \mathbf{0}.$

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Philipps