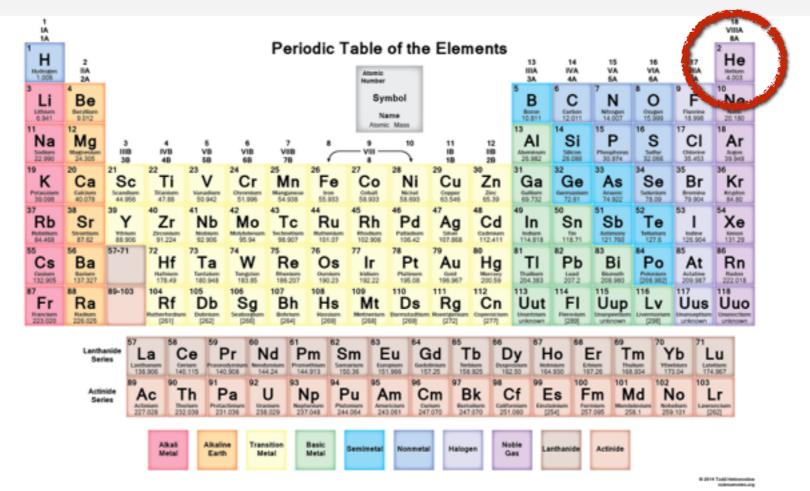
# Excitations of a quantum solid

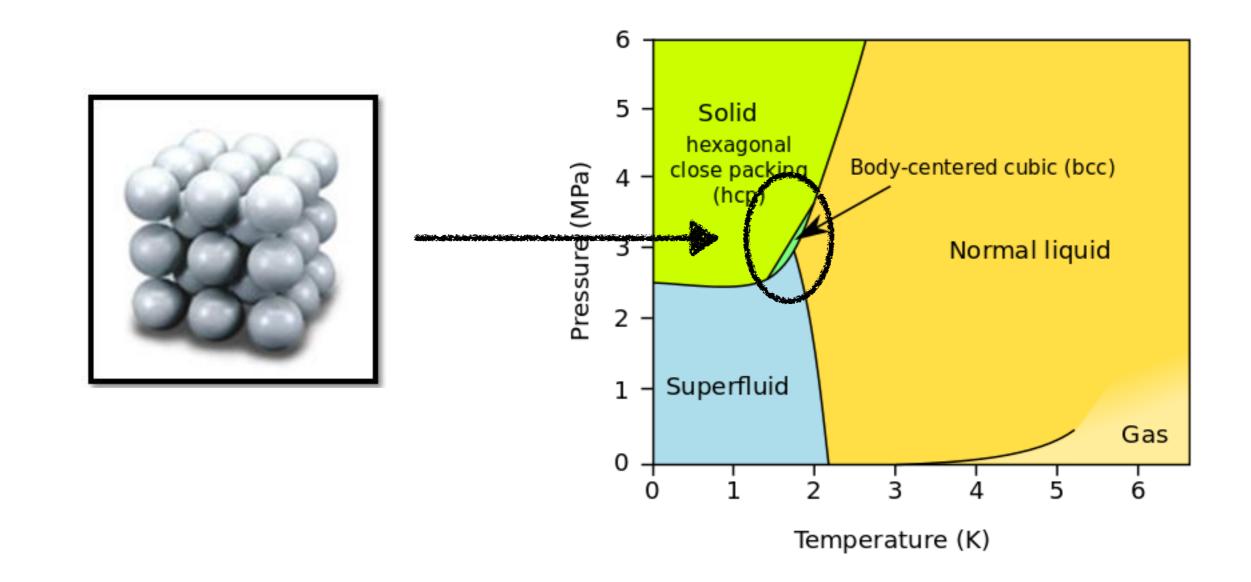
### Daniel Podolsky



Collaborators: S. Gazit, H. Nonne, A. Auerbach, D. Arovas

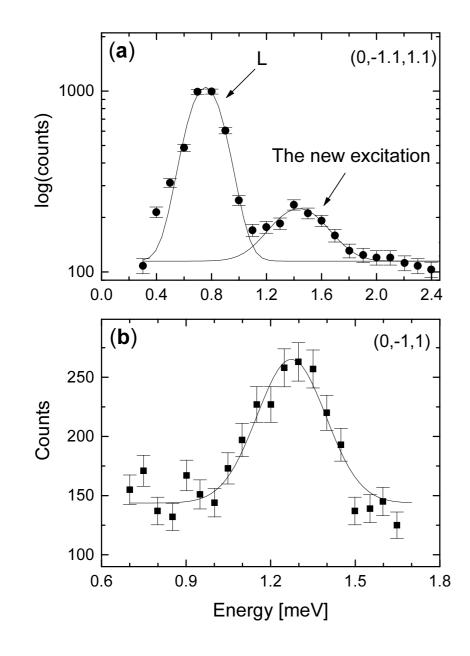


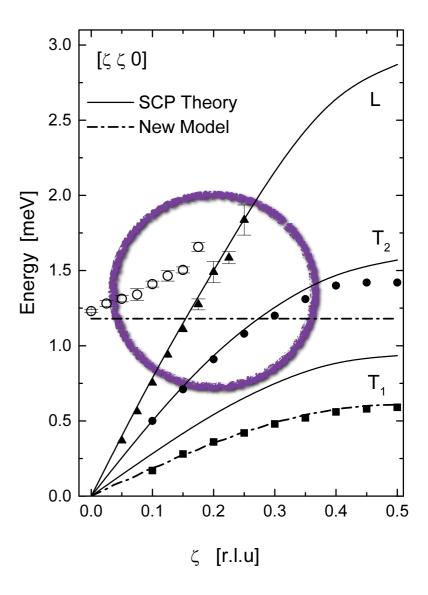
### Helium 4 – Phase diagram



# Inelastic neutron scattering

### Optical mode observed!

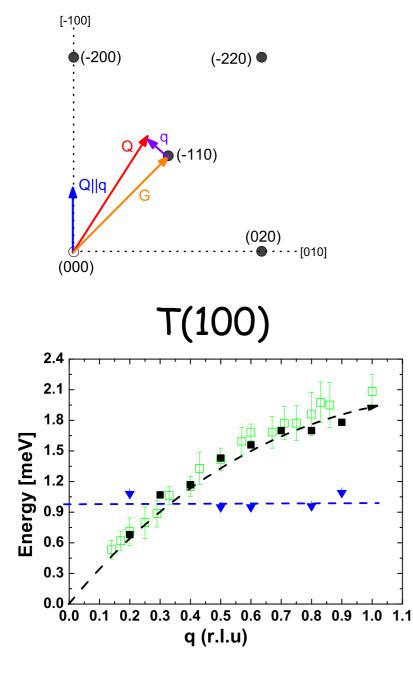




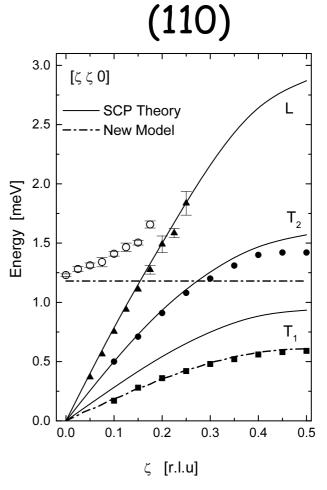
Markovic et al., PRL 88, 195301 ('02)

# Multiple optical modes?

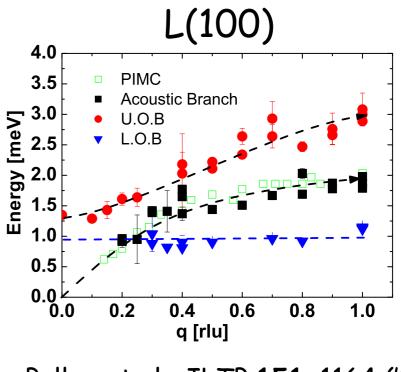
Look at different directions and polarizations



Pelleg et al, PRB **73**, 180301R ('06)

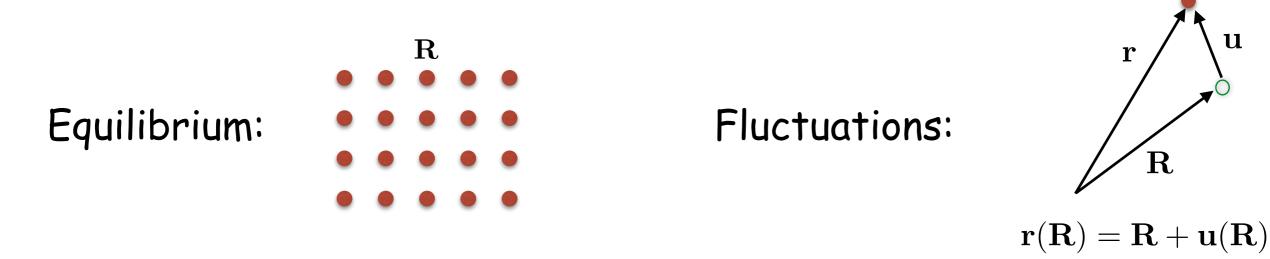


Markovic et al., PRL 88, 195301 ('02)



Pelleg et al., JLTP 151, 1164 ('08)

# Harmonic theory of solids



Small fluctuations  $\sqrt{\langle \mathbf{u}^2 \rangle} \ll \Delta R$ 

$$U_{\text{harm}} = \frac{1}{2} \sum_{\mathbf{R}\mathbf{R}'} \sum_{\mu\nu} u_{\mu}(\mathbf{R}) D_{\mu\nu}(\mathbf{R} - \mathbf{R}') u_{\nu}(\mathbf{R}')$$

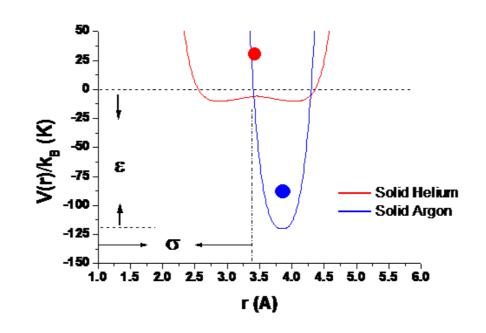
Monatomic Bravais lattice  $\Rightarrow$  acoustic phonons only

Corrections to harmonic theory:  $U_{\rm anh} \sim u^3 + u^4 + \dots$ 

Lindemann criterion:  $\sqrt{\langle \mathbf{u}^2 \rangle} = 0.1 \Delta R$   $rac{l}{\Rightarrow}$  melting

# Helium – A quantum solid

Atoms do not sit at minimum of V:



#### Large zero point motion:

H. Glyde, "Helium, Solid"

	Debye	Melting	Debye zero	Lindemann
Rare-gas	temperature	temperature	point energy	parameter
$\operatorname{crystal}$	$\theta_{\rm D}~({\rm K})$	$T_M(\mathbf{K})$	$E_{\rm ZD} = \frac{9}{8}\theta_{\rm D}$	$\delta = \langle u^2 \rangle^{1/2} / R$
$^{3}$ He(bcc)	19	0.65	21	0.368
$(^{4}\text{He(bcc)})$	25	1.6	28	0.292
Ne	66	24.6	74	0.091
Ar	84	83.8	95	0.048
Kr	64	161.4	72	0.036
Xe	55	202.0	62	0.028

Harmonic theory does not give correct acoustic phonon velocities

# Large quantum fluctuations

⇒ restoring force is non-linear

 $\bullet \mathcal{M} \bullet \mathcal{M} \bullet \mathcal{M} \bullet \mathcal{M} \bullet \mathcal{M} \bullet \mathcal{M} \bullet$ 

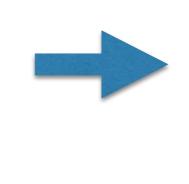
 $m\ddot{u}_{i} = -\kappa(u_{i} - u_{i+1}) - \kappa(u_{i} - u_{i-1}) + \gamma(u_{i} - u_{i+1})^{2} + \gamma(u_{i} - u_{i-1})^{2} + \cdots$ 

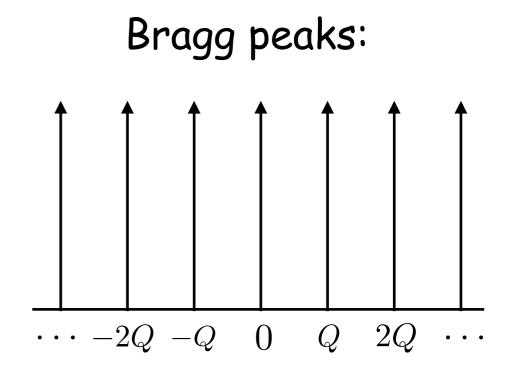
⇒ Non-linear equations can in principle give multiple solutions (more phonons than number of degrees of freedom)

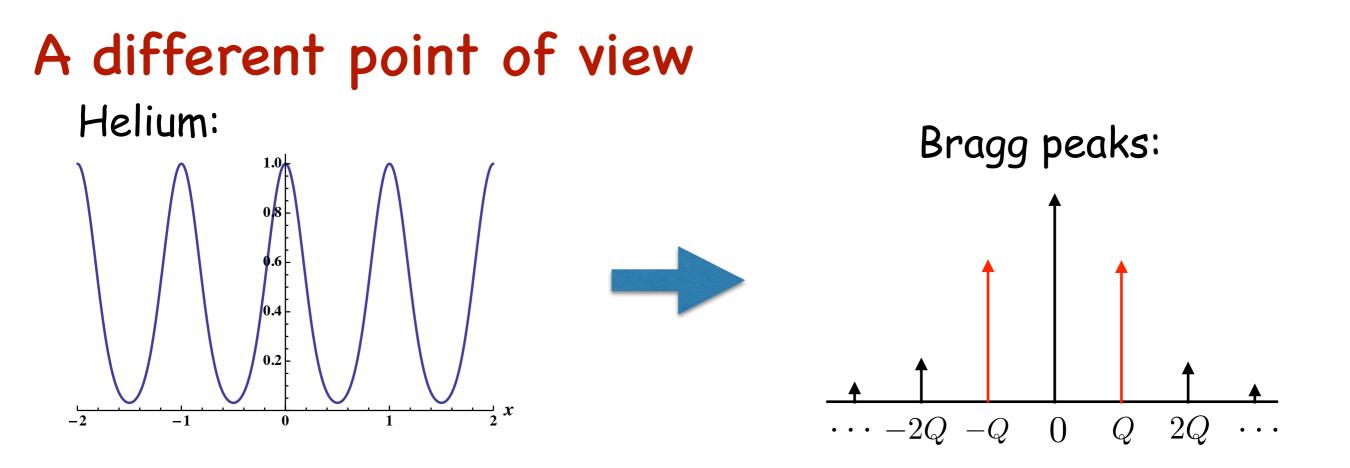
⇒ How to construct a linear theory for optical modes?

# A different point of view

### Idealized crystal:







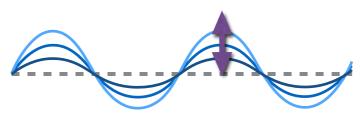
Focus on dynamics of principal Bragg vectors

Can we think of solid He-4 as a charge density wave (CDW)?

A CDW allows naturally for gapped modes:

"phason"

 $\omega \sim cq$ 



"amplitudon"

 $\sqrt{m^2 + c^2 q^2}$ 

# Ginzburg-Landau theory for 3D CDW

Density modulation:  $\rho(\mathbf{r}) = n(\mathbf{r}) - n_0$ 

Assume order parameter is small (large fluctuations):

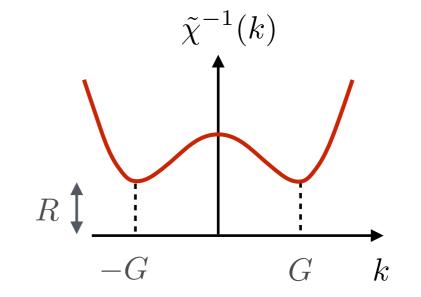
$$F_{\rm GL} = \frac{1}{2} \int d\mathbf{r}_1 d\mathbf{r}_2 \,\rho(\mathbf{r}_1) \chi^{-1}(\mathbf{r}_1 - \mathbf{r}_2) \rho(\mathbf{r}_2) - B \int d\mathbf{r} \,\rho(\mathbf{r})^3 + C \int d\mathbf{r} \,\rho(\mathbf{r})^4$$

#### In Fourier space:

$$F_{\rm GL} = \frac{1}{2} \int d\mathbf{k} \frac{1}{\tilde{\chi}(\mathbf{k})} \left| \rho(\mathbf{k}) \right|^2 - B \int d\mathbf{k}_1 d\mathbf{k}_2 d\mathbf{k}_3 \, \rho(\mathbf{k}_1) \rho(\mathbf{k}_2) \rho(\mathbf{k}_3) \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) + C \int d\mathbf{k}_1 d\mathbf{k}_2 d\mathbf{k}_3 d\mathbf{k}_4 \rho(\mathbf{k}_1) \rho(\mathbf{k}_2) \rho(\mathbf{k}_3) \rho(\mathbf{k}_4) \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4)$$

Static susceptibility:

$$\tilde{\chi}^{-1}(\mathbf{k}) = R + a \, (\mathbf{k}^2 - G^2)^2$$

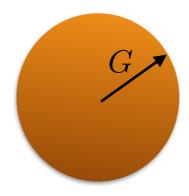


### "Should all crystals be BCC?" Alexander and McTague, PRL 41, 702 (1978) Baym, Bethe, and Pethick, Nuc. Phys. A175, 225 (1971)

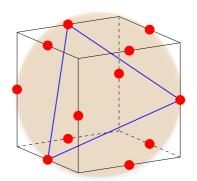
Minimize  $F_{GL}$ , order by order:

$$F_{\rm GL} = \frac{1}{2} \int d\mathbf{k} \frac{1}{\tilde{\chi}(\mathbf{k})} |\rho(\mathbf{k})|^2 - B \int d\mathbf{k}_1 d\mathbf{k}_2 d\mathbf{k}_3 \rho(\mathbf{k}_1) \rho(\mathbf{k}_2) \rho(\mathbf{k}_3) \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3)$$
  
+  $C \int d\mathbf{k}_1 d\mathbf{k}_2 d\mathbf{k}_3 d\mathbf{k}_4 \rho(\mathbf{k}_1) \rho(\mathbf{k}_2) \rho(\mathbf{k}_3) \rho(\mathbf{k}_4) \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4)$ 

- 1. "Condense" on sphere k=G
  - $\rho(\mathbf{k}) \neq 0 \qquad |\mathbf{k}| = G$



2. Maximize number of triangles with zero total momentum



### "Should all crystals be BCC?" Alexander and McTague, PRL 41, 702 (1978) Baym, Bethe, and Pethick, Nuc. Phys. A175, 225 (1971)

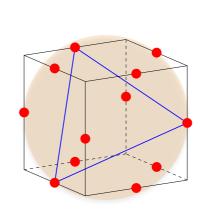
Minimize  $F_{GL}$ , order by order:

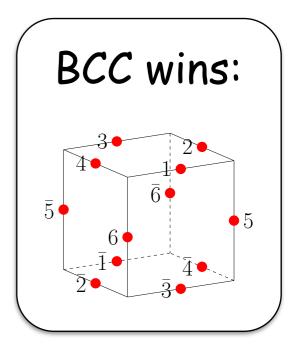
$$F_{\rm GL} = \frac{1}{2} \int d\mathbf{k} \frac{1}{\tilde{\chi}(\mathbf{k})} |\rho(\mathbf{k})|^2 - B \int d\mathbf{k}_1 d\mathbf{k}_2 d\mathbf{k}_3 \rho(\mathbf{k}_1) \rho(\mathbf{k}_2) \rho(\mathbf{k}_3) \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3)$$
  
+  $C \int d\mathbf{k}_1 d\mathbf{k}_2 d\mathbf{k}_3 d\mathbf{k}_4 \rho(\mathbf{k}_1) \rho(\mathbf{k}_2) \rho(\mathbf{k}_3) \rho(\mathbf{k}_4) \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4)$ 

1. "Condense" on sphere k=G

$$\rho(\mathbf{k}) \neq 0 \qquad |\mathbf{k}| = G$$

2. Maximize number of triangles with zero total momentum





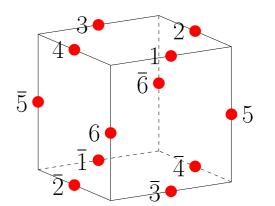
### Collective modes

Dynamical Ginzburg-Landau:

$$L = \frac{1}{2} \int d^3 r \, \left(\frac{\partial \rho}{\partial t}\right)^2 - F_{\rm GL}$$

Fluctuations about mean-field:

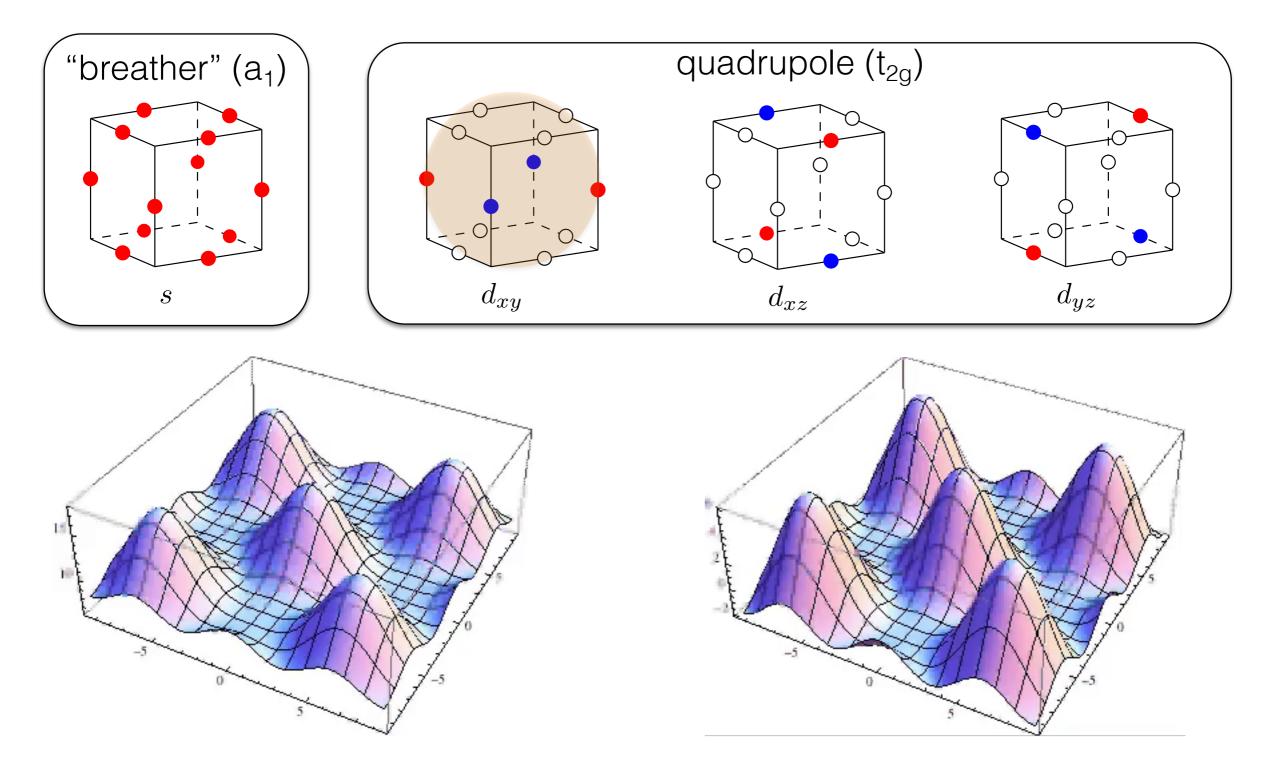
$$\rho(\mathbf{r}, t) = \sum_{i} (\bar{\rho}_{i} + \psi_{i}(\mathbf{r}, t)) e^{i\mathbf{G}_{i} \cdot \mathbf{r}}$$
$$\psi_{i}(\mathbf{r}, t) = \psi_{-i}^{*}(\mathbf{r}, t)$$



Linearize Euler-Lagrange equations

6 pairs of reciprocal lattice vectors ⇒ 12 modes

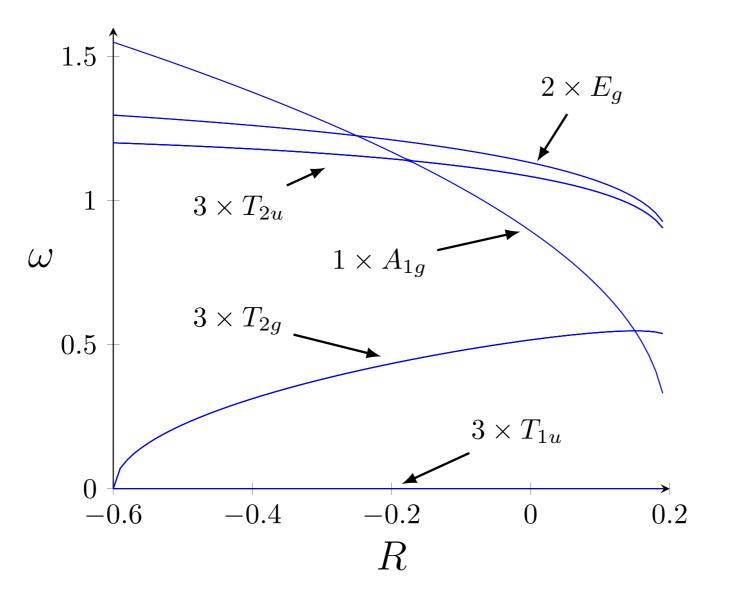
# Visualizing the optical modes



dxy "quadrupolon" has vanishing z-axis spring constant 🗢 flat band

### Which gapped mode is lowest?

Breather or quadruplon, depends on GL parameters:



### Neutron scattering

Dynamical structure factor:  $S(\mathbf{q}, \omega) = \operatorname{Im} \{ \langle \delta \rho_{\mathbf{G}}(\mathbf{q}, \omega) \delta \rho_{-\mathbf{G}}(-\mathbf{q}, -\omega) \rangle \}$ 

Compute by quantizing Ginzburg-Landau action. Result:

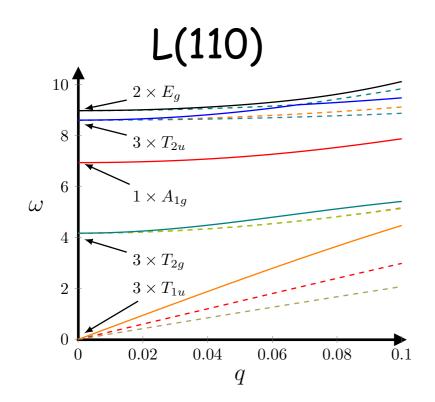
$$S(\mathbf{G} + \mathbf{q}, \omega) = \sum_{\alpha} \frac{M_{\mathbf{G}, \alpha}(\mathbf{q})}{2\omega_{\alpha}(\mathbf{q})} \left[ (1 + n_B(\omega_{\alpha}))\delta(\omega - \omega_{\alpha}(\mathbf{q})) - n_B(\omega_{\alpha})\delta(\omega + \omega_{\alpha}(\mathbf{q})) \right]$$

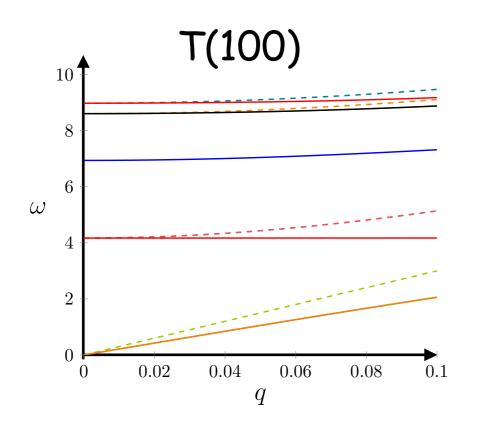
Note — sum rule is satisfied:

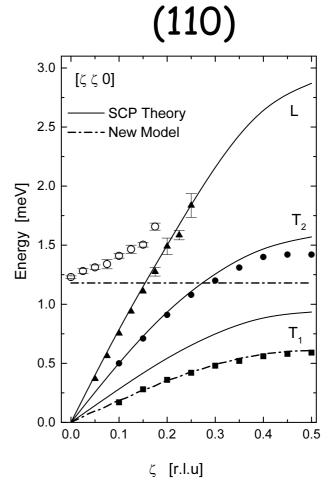
$$\int_{-\infty}^{\infty} d\omega \, \omega \, S(\mathbf{G} + \mathbf{q}, \omega) = 1$$

Hence, despite having more phonons than predicted by harmonic theory, the overall spectral weight remains the same. We have not introduced spurious degrees of freedom.

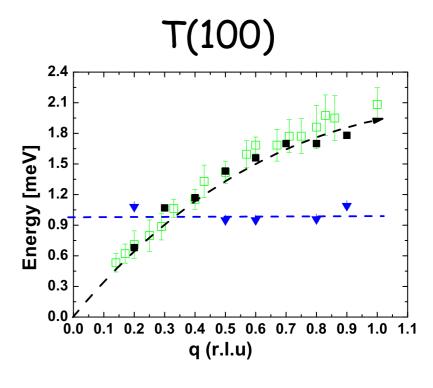
### Comparison to experiment







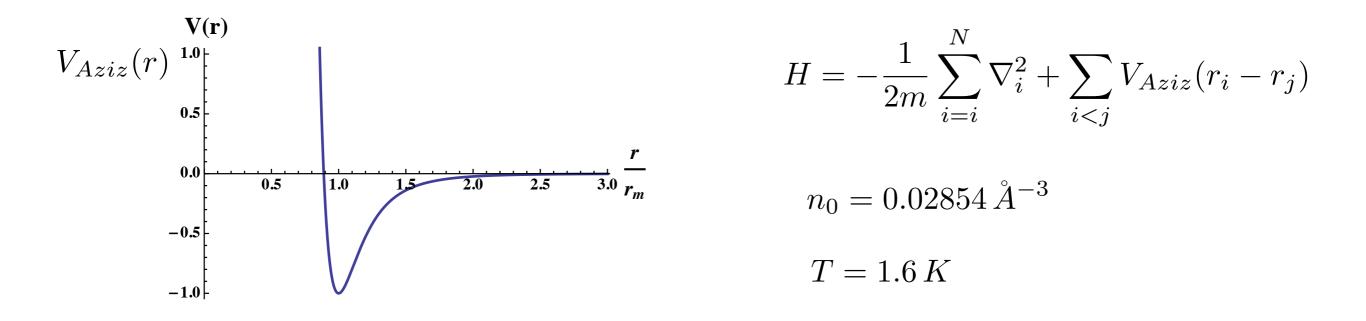
Markovic et al., PRL 88, 195301 ('02)



Pelleg et al, PRB 73, 180301R ('06)

### Quantum Monte Carlo

### **AB-initio simulations**

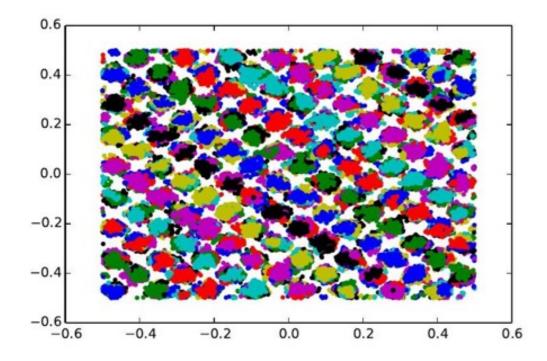


Place 2000 atoms in a box with periodic BC

Simulate using continuous space path integral QMC

### Quantum Monte Carlo

#### 2000 He4 Atoms



BCC phase

large zero point motion

#### Structure factor:

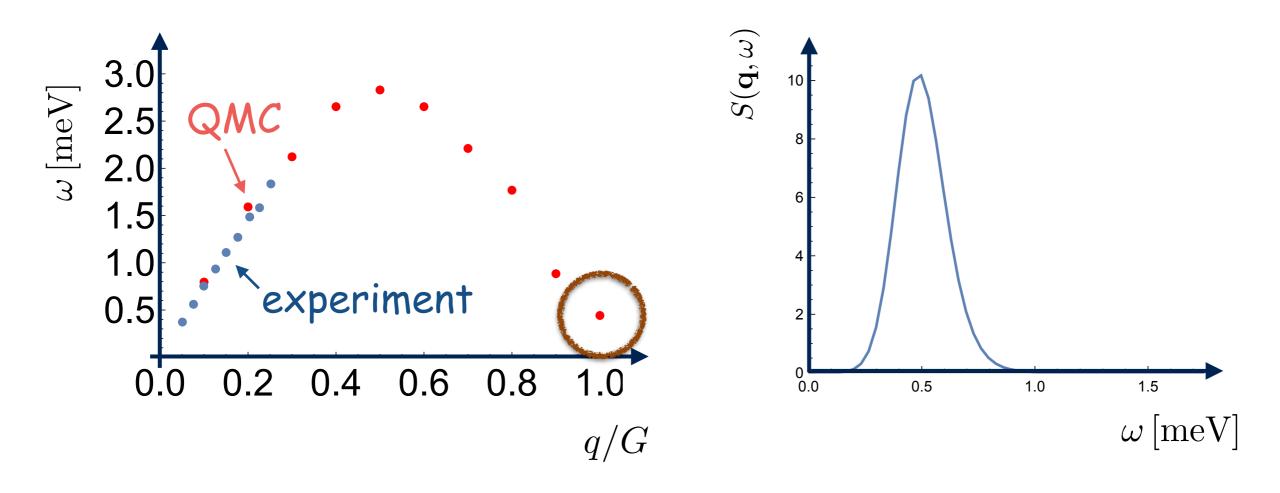
$$S(\mathbf{q},\omega) = \langle \rho(\mathbf{q},\omega)\rho(-\mathbf{q},-\omega)\rangle \qquad \qquad \rho(\mathbf{q},t) = \sum_{n} e^{i\mathbf{q}\cdot\mathbf{r}_{n}(t)}$$

QMC simulations are performed along the imaginary time axis, perform numerical analytical continuation to real time.

# QMC results

L(110) dispersion

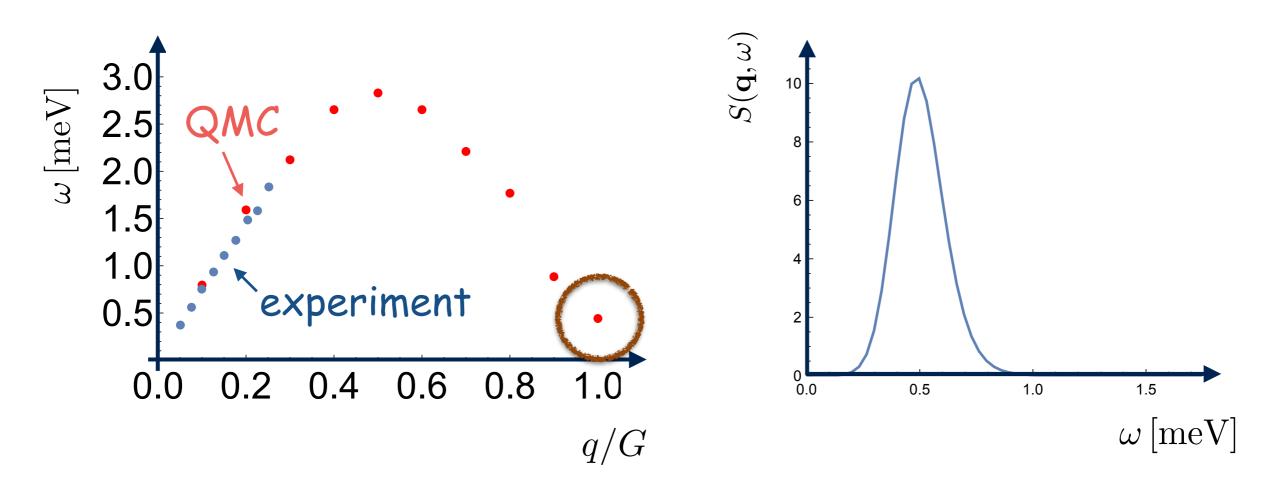
At Bragg vector:



# QMC results

L(110) dispersion

At Bragg vector:

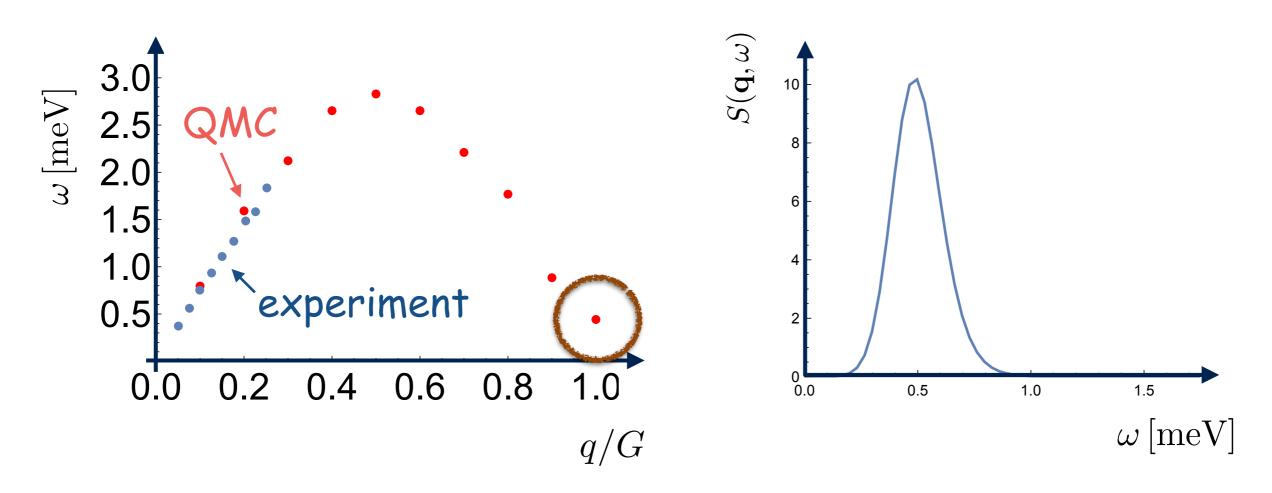


Confirms presence of optical mode (e.g. not due to crystal domains).

### QMC results

L(110) dispersion

At Bragg vector:



Confirms presence of optical mode (e.g. not due to crystal domains).

Small energy. Difficulty with analytical continuation? Lowest optical mode missed by experiment?



- \* In Helium, harmonic theory fails due to large zero point motion
- \* New "harmonic theory" for the optical modes

- \* QMC finds low energy optical mode could it be there?
- \* Prediction: such modes should appear in other quantum solids (solid Helium-3, 2d and 3d CDWs, etc)