

# Effective theories of dense matter and applications in neutron stars

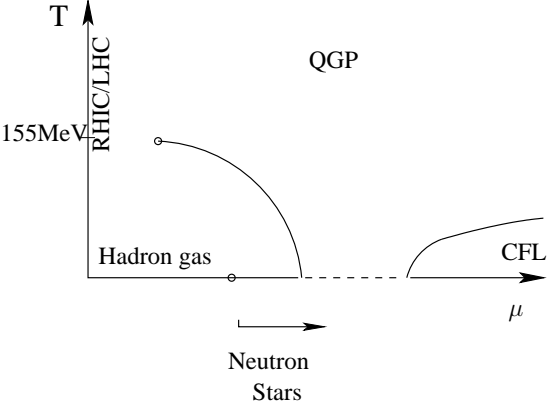
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# Summary

- ▶ Overview of the QCD phase diagram
- ▶ Quark matter at high density: High Density Effective Theory (HDET)
- ▶ Illustrative example: Transport properties
- ▶ Pairing: An effective theory of Goldstone modes
- ▶ Other phases: Some results on viscosity in FF phases

# Phase diagram of QCD



## High temperature and low baryon density

- ▶ At low temperatures ( $T$ ), pions
- ▶ The quark gluon plasma (QGP) at higher  $T$
- ▶ At high  $T$  entropy dominates: Chiral condensate tends to 0
- ▶ At high enough  $T$ , weak coupling expansions
- ▶ Crossover between the hadronic and the QGP phase understood from lattice QCD (*TIFR, BNL, HOT-QCD, Bielefeld*)
- ▶ Explored in heavy ion collisions at RHIC and LHC

## Low temperature and high baryon density (high chemical potential)

- ▶ A rough estimate of the typical momentum exchange is  $1/n^{1/3}$  where  $n$  is the baryon density
- ▶ If the density is high enough then the momentum exchange is large and coupling is weak
- ▶ Chiral condensate tends to 0, quarks are deconfined
- ▶ If  $\mu$  large enough then weak coupling  $g(\mu)$  expansions can be made
- ▶ At lower density hadronic matter of protons and neutrons
- ▶ We don't know at what density or  $\mu$  the transition occurs

## Quark matter at high density

- ▶ Physically interesting regime between dense hadronic matter and dense quark matter
- ▶ With this philosophy we study the properties of quark matter at high density
- ▶ Starting point, weakly interacting, nearly massless quarks (assuming the strange quark mass can be ignored), interacting weakly via gluons

## Quark matter at high density: illustrative example

- ▶ Know from basic statistical physics that quarks will fill up energy levels up to a Fermi surface
- ▶ If the only other scale in the problem is  $T$  (unpaired quark matter), and we are interested in  $\mu \gg T$ , only excitations near the Fermi surface participate in dynamics
- ▶ This calls out for an effective theory with an expansion in  $T/\mu$
- ▶ Quarks well below the Fermi surface, and anti-quarks can be integrated out
- ▶ Systematic method: High Density Effective theory

# HDET lagrangian

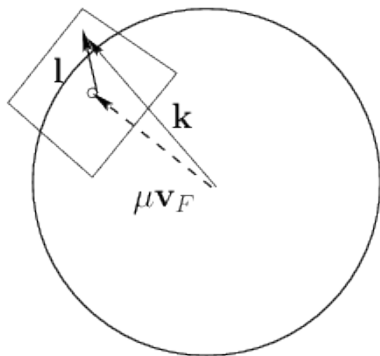
- ▶ Instead of the full lagrangian

$$\mathcal{L} = \bar{\psi} i \not{D} \psi + \mu \bar{\psi} \gamma^0 \psi$$

- ▶ The magnitude of the momentum is close to  $\mu$



## Patches



*Hong (1998, 1999); Casalbuoni, Gatto, Nardulli, (2001); Schaefer (2003)*

# HDET lagrangian

- ▶ Instead of the full lagrangian

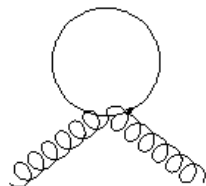
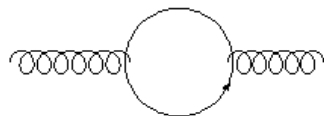
$$\mathcal{L} = \bar{\psi} i \not{D} \psi + \mu \bar{\psi} \gamma^0 \psi$$

- ▶ An effective lagrangian

$$\mathcal{L} = \sum_{v_F} [\psi_+^\dagger iV \cdot D \psi_+ - \psi_+^\dagger D_\perp \frac{1}{2\mu} D_\perp \psi_+]$$

- ▶ Additional contact terms suppressed by higher powers of  $\mu$
- ▶ Formal similarities to HQET
- ▶ Similar to Fermi liquid theory in condensed matter physics

## Polarization diagrams



## Gluon screening

- ▶ Longitudinal gluons are Debye screened

$$\Delta_L(q) = i \frac{\hat{q}^i \hat{q}^j}{(q^0)^2 - \mathbf{q}^2 - \Pi_L(q)} \quad (1)$$

- ▶  $\Pi_L(0) = m_D^2 = g^2 N_f g_S \frac{\mu^2}{2\pi^2}$
- ▶ Transverse gluons are Landau damped

$$\Delta_t(q) = i \frac{\delta_{ij} - \hat{q}^i \hat{q}^j}{(q^0)^2 - \mathbf{q}^2 - \Pi_t(q)} \quad (2)$$

- ▶  $\Pi_t(q^\mu \rightarrow 0) = ig^2 N_f g_S \frac{\pi}{4} \frac{q^0}{q} \frac{\mu^2}{2\pi^2}$

## Physical implications

- ▶ Weakly interacting quark matter has been used to calculate the equation of state for quark matter in perturbative QCD (not HDET) *Kurkela, Romatschke, Vuorinen (2010); Fraga, Kurkela, Vuorinen, (2015)*
- ▶ Dynamical, or transport properties

## Physical implications

- ▶ Specific heat standard for fermions at small  $T$ ,

$$c_V = \frac{1}{3v_F} p_F^2 T \quad (3)$$

where  $v_F \sim 1$  and  $p_F \sim \mu$

- ▶ Introducing effective operators for weak interactions one can show

$$\epsilon_\nu \sim G_F^2 p_F^3 T^6 \quad (4)$$

*Iwamoto (1980)*

- ▶ Has implications for the neutrino cooling of neutron stars
- ▶ Will focus on another transport property, which is the viscosity

## Shear viscosity in the unpaired phase

- ▶ Shear viscosity measures the ability to transport momentum between two layers of a fluid
- ▶  $\eta \sim n \langle p \rangle \langle \tau \rangle$
- ▶  $n = \frac{p_F^3}{3\pi^2}$
- ▶  $p \sim p_F$
- ▶  $\tau$  is inversely proportional to the scattering cross-section

$$\tau \propto \frac{1}{|\mathcal{M}|^2} \quad (5)$$

- ▶  $\mathcal{M} \sim \frac{g^2}{((q^0)^2 - \mathbf{q}^2 - \Pi)}$
- ▶ A simplification that the Landau damped transverse gluons dominate at small  $T$  *Heiselberg, Pethick (1993)*
- ▶  $\tau \sim \frac{\mu}{g^3 T^2} \left(\frac{T}{g\mu}\right)^{1/3}$
- ▶  $\eta \sim \frac{\mu^5}{g^3 T^2} \left(\frac{T}{g\mu}\right)^{1/3}$

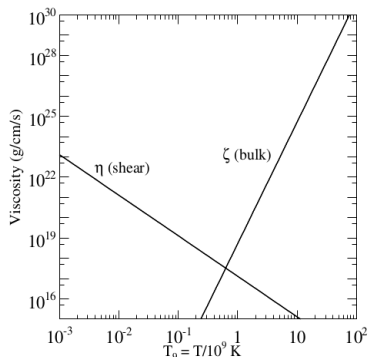
## Bulk viscosities

- ▶ Similarly one can calculate the bulk viscosity
- ▶ Bulk viscosity is related to particle production during compression and expansion
- ▶ For example expansion will break the weak equilibrium between  $u$  and  $d$ . Electro-weak processes changing  $u$  to  $d$  re-establish the equilibrium
- ▶  $\zeta = A \frac{\Gamma}{\Omega^2 + \Gamma^2}$ . Has a Lorentzian shape with the peak at  $\Gamma = \Omega$
- ▶  $\Gamma \sim T^2 \mu$  Madsen (1998)



# Hadronic matter

- ▶ For contrast, assuming only hadronic matter in neutron stars
- ▶  $\eta \sim T^{-2}$
- ▶ Turns out that  $\Gamma(\sim T^6) \ll \Omega$ . Therefore  $\zeta \sim T^6/\Omega^2$ . *Flowers and Itoh (1979)*



- ▶ Plot at  $2n_{\text{sat}}$  *Jaikumar, Rupak, Steiner (2008)*

## Implications: Neutron stars

- ▶ Neutron stars are compact objects that are remnants of supernova explosions
- ▶  $M \sim 1 - 2M_{\odot}$
- ▶  $R \sim 10 - 15\text{km}$
- ▶ The density at the core, over 5 times the nuclear density

## Implications: $r$ -modes

- ▶ Rotating neutron stars ( $\Omega = 2\pi f$ ) feature an unstable fluid dynamics mode *Andersson (1998), Friedman and Morsink (1998)*
- ▶ First treating the fluid as an ideal fluid one obtains in a rotating frame

$$\mathbf{v}(\mathbf{r}) \approx a\Omega f(r) \mathbf{Y}_{lm} e^{i(m\phi - \sigma_r t)}$$

- ▶  $\sigma_r \approx -\frac{2m\Omega}{l(l+1)} < 0$  for  $m > 0$
- ▶  $\sigma_l = \sigma_r + m\Omega > 0$  for  $m > 2$

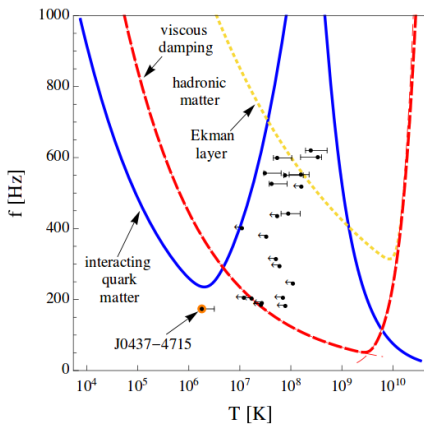
## $r$ -modes

- ▶ Including “damping” from gravitational waves: couple gravitons to the fluid motion
- ▶  $E \approx E_0 e^{-2t/\tau_{\text{GR}}}$
- ▶  $\tau_{\text{GR}} < 0$ , implying instability
- ▶ The mode grows with time
- ▶ Note that an inertial observer far away sees the angular momentum as well as the energy of the star decrease
- ▶  $1/\tau_{\text{GR}} \sim -(G_N)\Omega^{2l+2}$ : instability increases with  $\Omega$
- ▶ ( $l = m = 2$  is the dominant mode and is most studied)

## $r$ -modes

- ▶ Viscosities in the fluid indeed damp the fluid flow
- ▶ Including damping from gravitational waves, shear viscosity  $\eta$ , and bulk viscosity  $\zeta$
- ▶  $E \approx E_0 e^{-2(t/\tau_{\text{GR}} + 1/\tau_\eta + 1/\tau_\zeta)}$
- ▶  $\frac{1}{\tau_\eta} \propto \int d^3x \eta \delta\sigma^{ab} \delta\sigma_{ab}$
- ▶ In the absence of microscopic damping mechanisms, the loss in angular momentum is very rapid (the rotational speed of about 500Hz drops by a substantial fraction in 1 year)
- ▶ The non-observation of such spin down constrains the microscopic properties of neutron stars

# Quark matter



*Jaikumar, Rupak, Steiner (2008); Alford, Schwenzer (2014)*

## Additional damping effects

- ▶ Caveat is that there could be additional damping effects
- ▶ Friction between the crust-core interface *Bildsten, Ushomirsky (1999); Lindblom, Ushomirsky (2000); Jaikumar, Rupak (2010)*
- ▶ Non-linear saturation of the  $r$ -modes to a small magnitude *Alford, Mahmoodifar, Schwenzer (2012); Alford, Han, Schwenzer (2012)*

## Color superconductivity

- ▶ But quark matter is expected to be in a paired phase because the interaction between quarks is attractive in the color antisymmetric channel *Alford, Rajagopal, Wilczek and Shuryak, Schaefer, Rapp (1998)*
- ▶ At asymptotically high densities where the strange quark mass can be ignored, quark matter is in the CFL phase
- ▶ The di-quark condensate is antisymmetric in color and in spin, and therefore also in flavor

$$\langle \psi_{\alpha i}(p)(C\gamma^5)\psi_{\beta j}(-p) \rangle \propto \Delta \sum_I \epsilon_{I\alpha\beta} \epsilon_{Iij} \quad (6)$$

- ▶  $U(1) \times SU_c(3) \times SU_L(3) \times SU_R(3) \rightarrow Z_2 \times SU_{c+L+R}(3)$
- ▶ The  $\epsilon$  tensors “lock” color and flavor, and hence CFL



## Complete change in low energy excitations

- ▶ In the CFL phase all fermionic quasi-particle excitations are gapped due to pairing
- ▶ Energy scales  $\mu > 500\text{MeV}$ ,  $\Delta \sim 10\text{MeV}$ ,  $T \sim 0.001 - 1\text{MeV}$  where  $\Delta$  is proportional to the condensate and is the gap in the fermionic spectrum
- ▶  $E = \sqrt{(p - \mu)^2 + \Delta^2}$
- ▶ This is the analog of electronic superconductivity where the electrons form Cooper pairs, and to break a Cooper pair one needs to supply an energy  $\Delta$
- ▶ Therefore a hierarchy of scales  $\mu \gg \Delta \gg T$

## EFT for CFL

- ▶ Therefore the fermionic contribution to transport properties is exponentially suppressed  $e^{-\Delta/T}$
- ▶ They can be integrated out and an effective theory based only on the Goldstone modes is sufficient to describe phenomena for  $T \ll \Delta$
- ▶  $U(1) \times SU_c(3) \times SU_L(3) \times SU_R(3) \rightarrow Z_2 \times SU_{c+L+R}(3)$
- ▶ Ignoring the gauged part of the symmetry breaking, the breaking pattern of the continuous symmetry is  $U(1) \times SU_L(3) \times SU_R(3) \rightarrow SU_{L+R}(3)$  *Alford, Rajagopal, Wilczek, (1998)*
- ▶ This pattern is familiar from chiral symmetry breaking in vacuum, except for the additional  $U(1)_B$

# Mesonic EFT



$$\begin{aligned}\mathcal{L} = & \frac{1}{4f_\pi^2} \text{tr}[\partial_0 \Sigma \partial_0 \Sigma] - v_\pi^2 \frac{1}{4f_\pi^2} \text{tr}[\partial_i \Sigma \partial_i \Sigma] \\ & + \frac{1}{2f_\phi^2} [\partial_0 \phi \partial_0 \phi] - v_\phi^2 \frac{1}{2f_\phi^2} [\partial_i \phi \partial_i \phi] \\ & + c_4 [(\partial_0 \phi)^4 + (\partial_i \phi)^4 - 2(\partial_i \phi)^2 (\partial_0 \phi)^2] \\ & + c_3 (\partial_i \phi)^2 (\partial_0 \phi) + \dots\end{aligned}\tag{7}$$

- ▶  $\phi$  associated with  $U_B(1)$  breaking
- ▶  $\Sigma = \exp(\frac{it^a \pi^a}{f_\pi})$  associated with  $L - R$
- ▶ *Son, Stephanov (1999), Casalbuoni, Gatto (1999, 2000), Schaefer (2000)*

## Mesonic EFT coefficients

- ▶ In perturbation theory to lowest order in  $g$
- ▶  $f_\pi^2 = \frac{21-8\log(2)}{18} \frac{\mu^2}{2\pi^2}$ ,  $v_\pi = 1/3$
- ▶  $f_\phi^2 = 9 \frac{\mu^2}{2\pi^2}$ ,  $v_\phi = 1/3$
- ▶  $c_4 = \frac{3}{4\pi^2}$
- ▶  $c_3 = \frac{3\mu}{\pi^2}$
- ▶ Can include small quark mass corrections in the standard manner *Son, Stephanov (1999), Casalbuoni, Gatto (1999, 2000), Schaefer (2000)*

## Degrees of freedom: gluons

- ▶ Since color symmetry is broken, gluons  $t^1$  to  $t^7$  are gapped because of the Meissner effect
- ▶ The  $t^8$  gluon mixes with the photon  $A_\mu^Q$  to give one linear combination  $A_\mu^{\tilde{Q}}$  that does not have a Meissner effect and one  $X_\mu$  that does
- ▶ This can be understood by noting that if we define  $t^8 = \frac{1}{\sqrt{3}}\text{diag}(-2, 1, 1)$  in color space and  $Q = \text{diag}(2/3, -1/3, -1/3)$  in flavor space,  $\tilde{Q} = Q + \frac{1}{\sqrt{3}}t^8$
- ▶ The condensate is neutral under  $\tilde{Q}$
- ▶ Therefore,  $A_\mu^{\tilde{Q}}$  is the only long distance carrier of forces and we can ignore the other gluons while calculating scattering

## Scattering of mesons

- ▶ An important feature is that mesons only interact via derivative interactions
- ▶ Consequently at least  $|\mathcal{M}| \propto T^4$  for  $\phi$
- ▶ *Manuel, Dobado, Estrada (2005); Mannarelli, Manuel, 'Saad (2008); Mannarelli, Manuel (2010)*
- ▶ A detailed calculation gives  $\tau \propto \mu^4 / T^5$
- ▶ Finally  $\eta \sim \mu^8 / T^5$
- ▶ Naively this corresponds to a large viscosity because  $\mu \gg T$
- ▶ In reality, this corresponds to mean free path larger than the size of the neutron star: no damping

## Bulk viscosity

- ▶ Similarly unpaired quarks can be ignored for the evaluation of the bulk viscosity
- ▶ A similar analysis to the shear viscosity shows that Goldstone contribution much less than unpaired quark matter *Manuel, Estrada (2007); Alford, Braby, Schaefer, Reddy (2007); Mannarelli, Manuel (2010)*

## Constraints on CFL

- ▶ CFL phase is inconsistent with r-mode stability constraints  
*Manuel, Mannarelli, S'ad (2008), Jaikumar, Rupak (2010)*

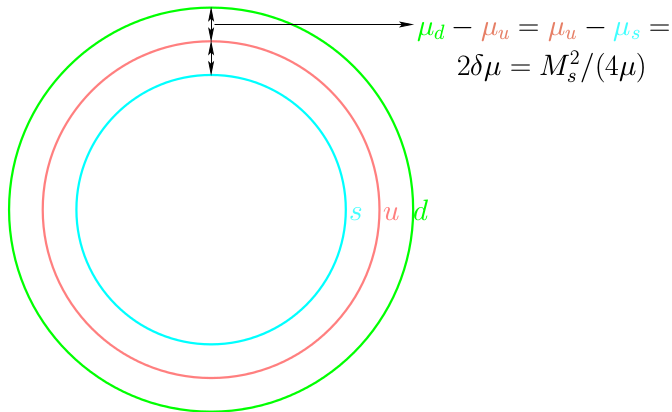


## Strange quark mass and neutrality

- ▶ Ignoring  $M_s$  is not a good approximation if  $\mu$  is not very large
- ▶  $\sqrt{M_s^2 + (p_s^F)^2} = \mu \implies p_s^F \approx \mu - M_s^2/(2\mu)$ , but this leaves an unbalanced positive charge.
- ▶ Need to introduce a chemical potential,  $\mu_e$ , to restore neutrality.
- ▶ Weak equilibrium implies  $\mu_d - \mu_s = 0$ ,  $\mu_d - \mu_u = \mu_e$
- ▶ Electrical neutrality is imposed by demanding  $\frac{\partial \Omega}{\partial \mu_e} = 0$ .
- ▶ Similarly, color neutrality by desiring  $\frac{\partial \Omega}{\partial \mu_{3,8}} = 0$

## Neutral unpaired quark matter

- ▶ For unpaired quark matter we obtain  $\mu_e = M_s^2/(4\mu)$ ,  
 $\mu_3 = \mu_8 = 0$ .



*Alford, Burgess, Rajagopal (1999)*

## Introduction to LOFF phases

- ▶ CFL involves pairing between different flavors

$$\langle u(\mathbf{p})d(-\mathbf{p}) \rangle \propto \Delta \quad (8)$$

or in position space

$$\langle u(x)d(x) \rangle \propto \Delta \quad (9)$$

- ▶ This is preferred if the Fermi surfaces are equal in size
- ▶ An inhomogeneous pairing pattern may be preferred if  $\delta\mu$  is large enough

$$\langle u(\mathbf{p} + \mathbf{q})d(-\mathbf{p} + \mathbf{q}) \rangle \propto \Delta \quad (10)$$

or in position space

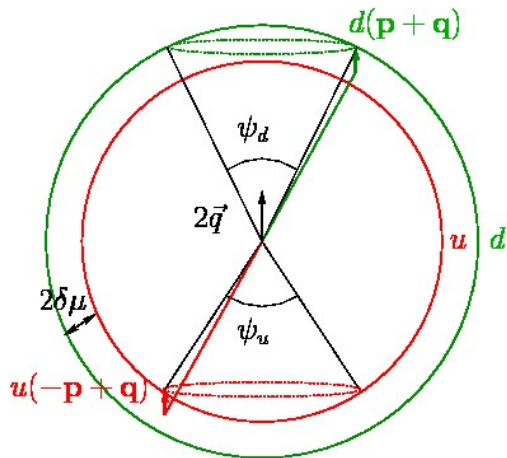
$$\langle u(x)d(x) \rangle \propto \Delta e^{i2\mathbf{q}\cdot\mathbf{r}} \quad (11)$$

*Alford, Bowers, Rajagopal (2001)*

## Introduction to LOFF phases

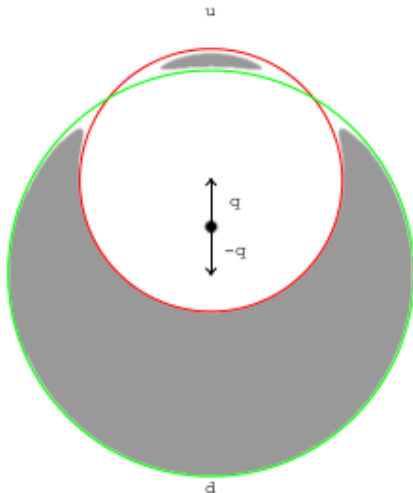
- ▶ The inhomogeneous (FF) phase thermodynamically preferred state compared to isotropic states for  $\delta\mu \sim [0.707, 0.754]\Delta$ , where  $\Delta$  is the gap for  $\delta\mu = 0$
- ▶ A detailed analysis (*Mannarelli, Rajagopal, RS (2005), Ippolito, Nardulli, Ruggieri (2007)*) suggests that for three flavors  $440 \lesssim \mu \lesssim 520\text{MeV}$  an inhomogeneous state might be the ground state. This is the relevant region for neutron star cores
- ▶ We take the simplest phase with only one momentum direction  $\mathbf{q}$
- ▶ We only consider two flavors of quarks  $u$  and  $d$  in this first analysis

# Intuition for favoured inhomogeneous pairing



## Gapless fermionic modes

- ▶  $E = -\delta\mu - q \cos\theta + \sqrt{(p - \mu)^2 + \Delta^2}$
- ▶ This dispersion relation has gapless surfaces (if  $|\delta\mu + q| < \Delta$ )



## Low energy degrees of freedom

- ▶ Gapless modes of the  $u$  and  $d$  quarks
- ▶ In general, lattice phonons associated with translational symmetry breaking
- ▶ Gauge bosons of which only transverse gluons,  $t^1$ ,  $t^2$ , and  $t^3$  are relevant because they are long ranged
- ▶ The polarization tensor for these was calculated in *RS EPJA (2017)*

## Low energy lagrangian

$$\begin{aligned}\mathcal{L} = & \frac{1}{2} \sum_{\nu_F} \Psi_{L\nu_F}^\dagger \begin{pmatrix} V \cdot \partial - q \cos \theta - \delta\mu & \Delta \\ \Delta & \tilde{V} \cdot \partial - q \cos \theta - \delta\mu \end{pmatrix} \Psi_{L\nu_F} \\ & + \frac{1}{2} \sum_{\nu_F} g A_\mu^a \Psi_{L\nu_F}^\dagger \begin{pmatrix} V^\mu t^a & 0 \\ 0 & -\tilde{V}^\mu t^{a*} \end{pmatrix} \Psi_{L\nu_F} \\ & + \frac{c_\mu}{f_\varphi} \partial_\mu \varphi^a \bar{\psi}_{L\nu_F} \gamma^\mu \psi_{L\nu_F} + (L \rightarrow R)\end{aligned}\tag{12}$$



## Gluonic and Goldstone contribution

- ▶ Gluons have a short mean free path and their contribution to viscosity is subdominant
- ▶ Because of scattering off gapless quarks, the contribution of the Goldstone mode is also sub-dominant

$$\eta_\phi \sim \frac{1}{v_\phi^3} \frac{f_\phi^2}{\mu^2} T^3 \quad (13)$$

- ▶ Therefore the dominant contribution comes from quarks
- ▶ The dominant scattering mechanism is the exchange of transverse  $t^1, t^2, t^3$  gluons

## Shear viscosity in the FF phase

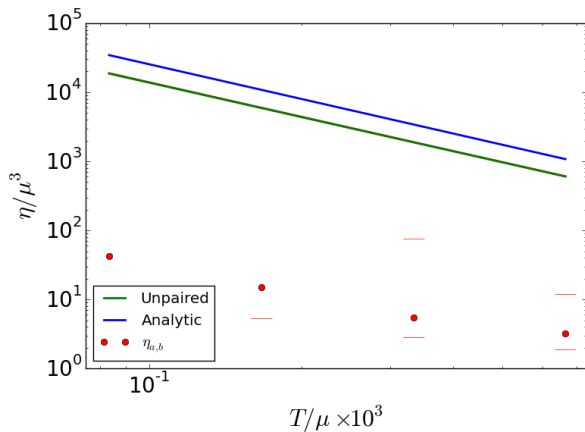
- ▶ The modification of the density of states is simple — geometric
- ▶  $\eta^{(0)} \approx \frac{\mu^4}{5\pi^2} \left(1 - \frac{\Delta}{q}\right) \tau^{(0)}$
- ▶  $\tau^{(0)}$  is related to the collision integral

$$\begin{aligned} \frac{1}{\tau^{(0)}} &\propto \frac{1}{T} \int \frac{d^3 p_1}{(2\pi)^3} \frac{d^3 p_2}{(2\pi)^3} \frac{d^3 p_3}{(2\pi)^3} \frac{d^3 p_4}{(2\pi)^3} \\ &|\mathcal{M}(12 \rightarrow 34)|^2 \\ &(2\pi)^4 \delta\left(\sum p^\mu\right) [f_1 f_2 (1 - f_3)(1 - f_4)] \\ &\phi_i^{ab} \cdot \Pi_{abcd}^{(0)} \cdot \phi_i^{cd} \end{aligned}$$

with  $\phi_i^{ab} = v^a p^b$ ,  $\Pi_{ijkl} = \frac{3}{2}(\hat{e}_i \hat{e}_j - \delta_{ij})(\hat{e}_k \hat{e}_l - \delta_{kl})$

- ▶ Complicated because the distribution functions  $f$  depend on the angles in addition to the magnitude of the momentum. Needs to be done numerically

## Results for the FF phase

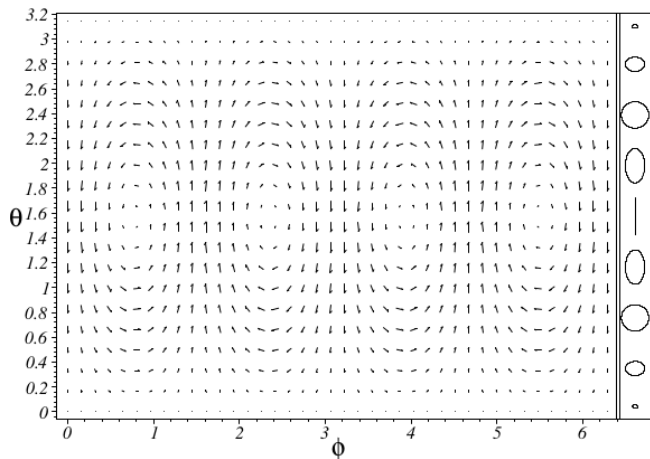


Sarkar, RS (2017)

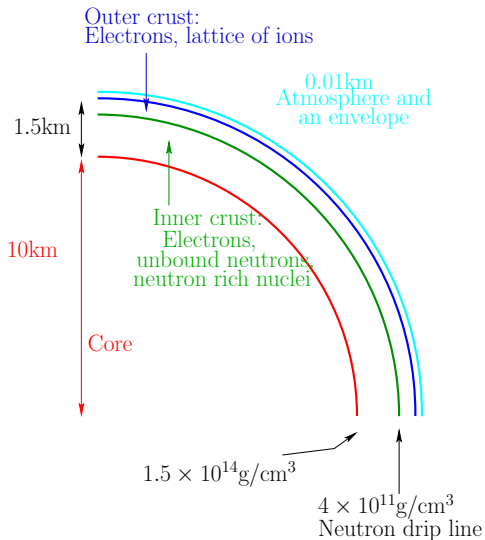
## Conclusions and future work

- ▶ Data on the angular velocity of neutron stars puts constraints on the viscosity of the matter the cores of neutron stars: possibly suggesting the presence of a (1) deconfined phase with (2) gapless fermionic excitations
- ▶ Crystalline color superconducting phases are natural candidates for a paired quark matter phase with gapless excitations. Preliminary calculations suggest that the shear viscosity is not too suppressed compared to unpaired quark matter in the two flavor case
- ▶ Will be interesting to see if results of the full three flavor problem consistent with the data

# Profile of a r-mode



# Profile of a neutron star



## Deconfined quarks?

- ▶ Qualitatively different possibilities
  - ▶ Proton-neutron (hadronic) matter persists in the core
  - ▶ Quarks are deconfined
- ▶ One can imagine using the equation of state to distinguish the two possibilities
- ▶ For example, the pressure in the equation of state should be able to sustain the most massive neutron stars known ( $\sim 2M_{\odot}$  PSR J1614-2230, J0348+0432)
- ▶ The equation of state for (interacting) quark matter and hadronic matter are very similar. (For experts, interactions are important. Non-interacting quark matter has a much “softer” equation of state and is ruled out. *Alford, Reddy*)
- ▶ Therefore consider dynamical properties which are more sensitive to the low energy degrees of freedom