

~~Two~~ LECTURES ON ACTIVE MATTER

(1)

EMBO Lecture Course (RRI/NCBS) Bangalore 2017

Sriram Ramaswamy (and Bangalore School on Statistical Physics July 2017 I CTS)

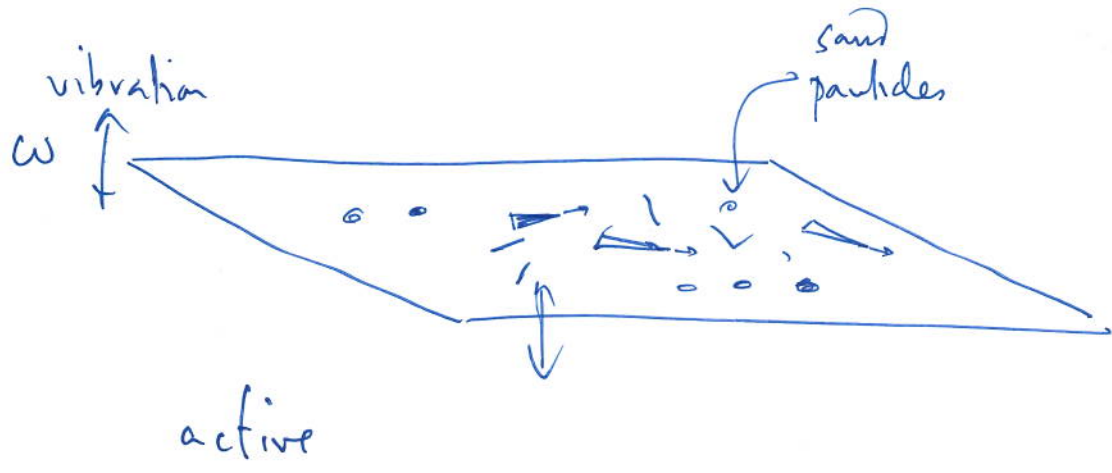
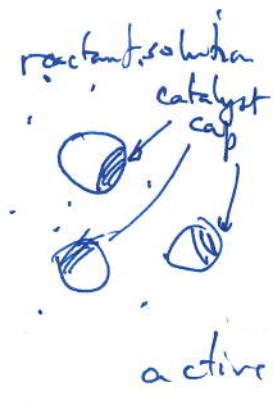
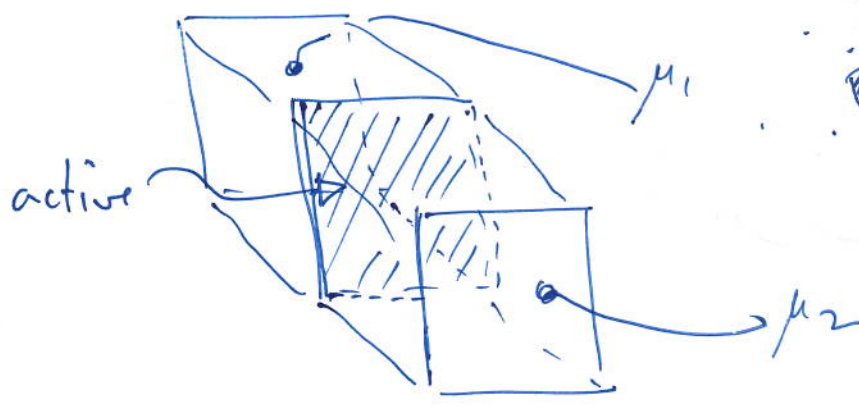
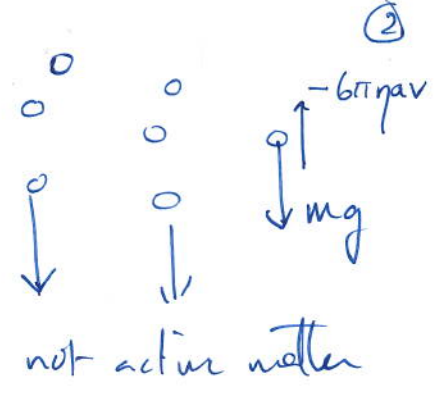
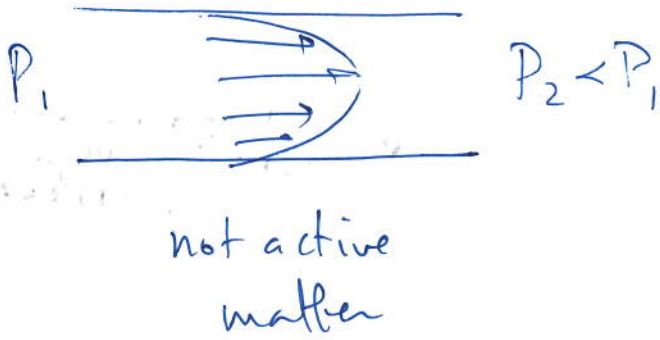
Lecture I (a) fundamentals; (b) dry vs wet; (c) Toner-Tu

[Note: this is listed in the programme as Active Matter 3.] EMBO School only
[However, each of my two lectures is self-contained.]
I(a) Fundamentals

(i) Background:

~~EMBO Fundamentals~~ Many books on "Nonequilibrium Statistical Mechanics" are about relaxation to thermal equilibrium (and the response to small perturbations), time correlations in thermal equilibrium, and the intimate relation between these. True nonequilibrium physics is about driven states, including time correlation and response in such states, and it is in this class that Active Matter lies. We treat it as a distinct category of driven system because it consists of constituents that are individually driven by the uptake of energy from an ambient or on-board source and, in the cases of greatest interest, move in a direction set by their own structural asymmetry, not an external field. Clearly living organisms are the pre-eminent example, but so are the autonomously motile components of cells, and so, as we shall see, are many artificial systems. Systems driven by forcing from the boundary (e.g. sheared fluids) or by external electric or gravitational fields are NOT active matter.





Examples of active & non-active driven systems.

The previous page shows sketches of non-active & active driven systems. The basic idea is that the effective dynamics of a system, driven in a direction (spatial or chemical) that is in a sense orthogonal to those one is monitoring, is active dynamics. Thus not only is a collection of bacteria with ample nutrient an example of an active system, so is a monolayer of particles lying in the xy plane and agitated in the z direction, and so is a suspension of catalyst-tipped beads in a fluid laden with a compound that the catalyst breaks down.

(ii) Building the equations of motion at equilibrium

1. Identify slow variables (ideally: only conserved, broken-symmetry, and critical);
 2. write down all allowed terms in a first-order dynamics (allowed = not ruled out by symmetry), which is a finite task thanks to the gradient-expansion, working only to leading orders;
 3. include fast degrees of freedom as noise;
 4. constrain equations by time-reversal invariance (hence ~~totally~~ detailed balance; no currents on average) \implies reversible and dissipative parts of dynamics arise ~~from~~ from a generalized force due to effective Hamiltonian (\sim log of steady-state prob)
-

and noise covariance & symmetric part of kinetic coeff.

(iii) Dynamics in driven state?

- * Simply drop requirement # 4 above.
- * Will find eq^{ns} of motion contain "new" terms, not allowed in equilibrium dynamics.

In practice, how do you "drop requirement # 4"?

BACK TO EQUILIBRIUM DYNAMICS

Write down dynamics of degrees of freedom of interest, (q, p) coupling to an extra degree of freedom,

X (= no. of fuel molecules consumed = k_{cat} displacement in the chemical direction). $t \rightarrow -t \Rightarrow X \rightarrow X$, $q \rightarrow q$, $p \rightarrow -p$. But assume this is already a coarse-grained description, so eqⁿ for q isn't purely kinematic, thus contains dissipative terms.

Let $H(q, p, X)$ be the effective Hamiltonian. Then

$\dot{q} + \overset{\text{relaxation}}{\gamma} \partial_q H = \overset{\text{reversible}}{\partial_p H} + \overset{\text{noise}}{\Theta} \quad (1a)$
$\dot{p} + \Gamma_{11} \partial_p H + \Gamma_{12}(q) \dot{X} = -\partial_q H + \eta \quad (1b)$
$\Gamma_{21}(q) \partial_p H + \Gamma_{22} \dot{X} = -\partial_X H + \xi \quad (1c)$

Note: I've ignored inertia for X , and I've written the X -velocity directly as \dot{X} , not as derivative of H w.r.t. momentum of X . Also, I've included

an off diagonal damping matrix $\left[\Gamma_{11}, \Gamma_{12}(q) = \Gamma_{21}(q), \Gamma_{22} \right]$ (5)
~~if~~ and allowed the off-diag. bits to depend on the coord. q .

(Gaussian zero-mean white)
 I've included noise sources θ, η, ξ . In that special steady state known as thermal equilibrium we know that

$$\langle \theta(0) \theta(t) \rangle = 2k_B T \gamma \delta(t), \quad \langle \eta(0) \eta(t) \rangle = 2k_B T \Gamma_{11} \delta(t) \quad (2a)$$

$$\langle \eta(0) \xi(t) \rangle = \langle \xi(0) \eta(t) \rangle = 2k_B T \Gamma_{12}(q) \delta(t) \quad (2b) \quad \text{~~(2b)}~~$$

$$\langle \xi(0) \xi(t) \rangle = 2k_B T \Gamma_{22} \delta(t). \quad (2c)$$

The q -dependence of the correlators (2b) does not raise problems of stochastic ambiguity ~~because~~ because we've retained inertia in (1b). Now, still in equilibrium, let me rewrite the dynamics (1), (2), re-expressing \dot{X} in (1b) in terms of the chemical force $(-\partial_X H)$ from (1c). The result

$$\dot{q} + \gamma \partial_q H = \partial_p H + \theta \quad (3a)$$

$$\ddot{p} + \underbrace{\gamma \Gamma_{11}}_{\text{new damping}} \partial_p H - \frac{\Gamma_{12}(q)}{\Gamma_{22}} \partial_X H = -\partial_q H + f \rightarrow \text{(new noise)} \quad (3b)$$

$$\dot{X} + \frac{\Gamma_{12}(q)}{\Gamma_{22}} \partial_p H = -\frac{1}{\Gamma_{22}} \partial_X H + \frac{\xi}{\Gamma_{22}} \quad (3c)$$

Modified noise $f = \gamma - \frac{\Gamma_{12}(q)}{\Gamma_{22}} \xi$; modified damping

$$\Gamma = \Gamma_{11} - \frac{\Gamma_{12}^2(q)}{\Gamma_{22}} \quad \langle f(0) f(t) \rangle = 2k_B T \Gamma \delta(t).$$

$$\langle f(0) \xi(t) \rangle = 0 \quad (\text{check for yourself}).$$

(6)

$\Gamma_{12}(q)$ started life as a dissipative coefficient in (1).

In (3) it enters as a reversible coupling, allowing us to define a Poisson-bracket: $[X, p] = \frac{\Gamma_{12}(q)}{\Gamma_{22}}$.

Obviously (3) is as good a description of the equilibrium dynamics as (1), because we've just changed some labels.

In either case, the chemo-mechanical machinery enters through the coefficient $\Gamma_{12}(q)$.

Now FOR ACTIVE DYNAMICS

It's simple. All you do is to hold the chemical force $-\frac{\partial H}{\partial X} \equiv +\Delta\mu$ constant, i.e., maintain a fixed chemical potential difference between reactants and products.

$\Delta\mu$ then enters as a new parameter in the equation for \dot{p} :

$\dot{q} + \gamma \partial_q H = \partial_p H + \theta \quad (a)$ $\dot{p} + \Gamma \partial_p H = \frac{-\Delta\mu}{\Gamma_{22}} \Gamma_{12}(q) - \partial_q H + f \quad (b)$	4
--	---

The X equation $\left(\dot{X} + \frac{\Gamma_{12}(q)}{\Gamma_{22}} \partial_p H = +\frac{1}{\Gamma_{22}} \Delta\mu + \frac{\gamma}{\Gamma_{22}} \right) \quad (4')$

Comes along for the ride, and must be included to compute total entropy production.

As long as X enters the Hamiltonian only (7)
 in the form $-X \Delta\mu$, ~~so that~~ with $\Delta\mu$ constant,
 eqⁿ (4) is self-contained, requiring no specification
 of X . It is a formally complete description of the
^{fluctuating}
 dynamics of q & p at constant $\Delta\mu$. As can
 be seen from (4'), X itself grows steadily
 (i.e. fuel molecules are continually consumed)
 if $\Delta\mu$ is kept at a fixed positive value.

NOTE: The description above is totally general: despite
 their appearance, the forms (1), (3) or (4) apply
 just as well if q and p are multicomponent
 variables, or fields, or both. Only a minor
 change of notation, replacing ordinary derivatives
 by variational derivatives etc, is required.

Now look at (4). The result of holding $\Delta\mu$
 constant, i.e. maintaining a one-way bias on the chemical
 reaction, is to introduce a new q -dependent "force"
 in the equation ^(4b) for p . You can't absorb it into
 a redefinition of H , because that would alter (4a),
 the dynamics of q . Redefining $[q, p]$ doesn't help
 either.

→

8

8

A further simplification is to ignore inertia altogether and solve (4b) for p , setting \dot{p} to 0. The result

$$\dot{q} + (\gamma + \Gamma^{-1}) \partial_q H = \frac{-\Delta\mu}{\Gamma \Gamma_{22}} \Gamma_{12}(q) + 0 + \Gamma^{-1} f \quad (5)$$

is an effective eqⁿ of motion for q alone at fixed $sp.$

For an ODE for a simple scalar variable q , ~~this~~ ⁽⁵⁾ can always be viewed as dynamics in an effective energy function ^{*} and an effective temperature. But if q is multicomponent, or a field,

or both, in general $\Gamma_{12}(q)$ won't be a gradient, and the effective Hamiltonian picture won't work.

We will see simple examples of this below.

* There's no guarantee that this effective energy is bounded below, so, e.g. in ratchet problems, the effective dynamics of q could be a steady state with $\langle \dot{q} \rangle \neq 0$, i.e. a nonequilibrium state.



NOTE: * Nothing in the forms (4) or (5) could not (9) have been guessed by "pure thought" or "whatever is allowed by symmetry". But it's enlightening to see the connection to dynamics at equilibrium.

* If $\Delta\mu \gg k_B T$, can't be sure that the noise terms are just the ones that operate at thermal equilibrium. Noise itself could depend on $\Delta\mu$. Recall f in eq. (3b) or (4) combines η and ξ from (1). ξ could change a lot from its eqil value if $\Delta\mu$ is large, i.e. X is strongly driven.

* In systems of macroscopic particles, e.g. vibrated granular matter, all the noise is from the driving

EXAMPLES OF ACTIVE TERMS

* If $q \rightarrow q(x, t)$ is the height field of an interface without $q \rightarrow -q$ symmetry, and the system is in a driven state, then ~~Γ_{12}~~ $\Gamma_{12}(q) = \text{const} + (\nabla q)^2 + \dots$
 so (5) \rightarrow interface dynamics + KPZ nonlinearity.

* If local $q \rightarrow -q$ symmetry, on interface with height field q , is broken by a local field ψ (an up/down species) then $\Gamma_{12} \sim \psi [\text{const} + (\nabla q)^2] \rightarrow$ ^{active} membrane dynamics.

* (10) If $\underline{q} \rightarrow \underline{q}(\underline{x}, t)$ is a polar vector order parameter for a flock, then (5) will contain ~~Γ_{12} ~ polynomial~~

$\Gamma_{12}(\underline{q}) \sim$ vector polynomial in \underline{q} and ∇ .

Terms polynomial in \underline{q} can be absorbed into redefined H ;

Also terms with two ∇ and one \underline{q} ; also $\underline{q} \cdot \nabla \cdot \underline{q}$

and $\nabla(\underline{q} \cdot \underline{q})$. But not $\underline{q} \cdot \nabla \underline{q}$, which gives

a nonlinearity of "advective" type. This ^{term} is crucial to Toner & Tu's proof that flocks have long-range order in $d=2$.

~~* Let $\underline{q} = (v_1, v_2)$.~~

~~* $\underline{q} \rightarrow (u, w)$, both ~~even~~ even in $t \rightarrow -t$.~~

~~* $\underline{q} = (u, w)$, both even in $t \rightarrow t$.~~

~~(5) ~~with~~ for u , with $\Gamma_{12} = \Gamma_{12}(w)$, ~~with $w =$~~~~

* Let $\underline{q} = (u, w)$, both even under $t \rightarrow t$.

Let u obey eqⁿ like (5), with $\Gamma_{12} \propto w$.

Let w " " " " " " $\Gamma_{12} = 0$

* $q \rightarrow (u, w)$, both even under $t \rightarrow -t$.

Let u obey eq^4 like (5), with $\Gamma_{12} = \Gamma_{12}(w)$.

Let w obey eq^2 like (5), with $\Gamma_{12} = 0$,

and let H contain a term $\frac{k}{2} w^2$, i.e. w is

harmonically bound. Then $\frac{\Delta\mu}{\Gamma_{22}\Gamma} \Gamma_{12}(w)$ is

an Ornstein-Uhlenbeck noise in an otherwise "equilibrium" dynamics for u . This is like

Active Ornstein-Uhlenbeck Particles (Fodor et al) PRL 2016

$\underline{p} \Rightarrow$ momentum density of fluid,

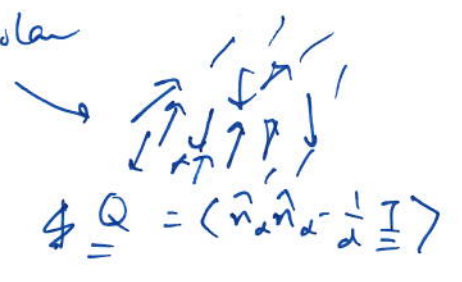
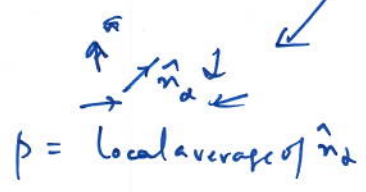
$\underline{q} \rightarrow$ traceless symmetric wientaka tensor

$\Rightarrow \Gamma_{12}(\underline{q})$ in \underline{p} eq^2 will contain term $\sim \nabla \cdot \underline{q}$, i.e. active stress $\propto \Delta\mu \underline{q}$,

equivalently, $[\underline{p}, X] \propto \nabla \cdot \underline{q}$ ↗ chemical coord

ASIDE: we'll talk about orientationaly active systems. for uniaxial achiral case there are

two kinds: polar and apolar



Ib) Wet vs dry dynamics

Particles in a fluid
 coord. $\underline{R}_\alpha(t)$ vel. field $\underline{u}(\underline{r}, t)$
 mom. $\underline{P}_\alpha(t)$ density ρ
 mass m

$\dot{\underline{R}}_\alpha = \underline{P}_\alpha / m$

(6)

~~$\dot{\underline{P}}_\alpha + \Gamma \left[\frac{\underline{P}_\alpha}{m} - \underline{u}(\underline{R}_\alpha(t), t) \right] = \text{forces } \underline{F}_\alpha(\underline{R}_\alpha) + \text{noise } \underline{f}_\alpha$~~

~~$\rho(\underline{\dot{u}} + \underline{u} \cdot \nabla \underline{u}) = \eta \nabla^2 \underline{u} + \sum_\alpha \delta(\underline{r} - \underline{R}_\alpha(t)) \underline{F}_\alpha - \nabla P + \text{noise}$~~
 $\nabla \cdot \underline{u} = 0$

$\Rightarrow \dot{\underline{R}}_\alpha = \underline{u}(\underline{R}_\alpha(t), t) + \frac{1}{\Gamma} \underline{F}_\alpha + \text{noise}$ (7a)

$\eta \nabla^2 \underline{u} = \nabla P - \sum_\alpha \delta(\underline{r} - \underline{R}_\alpha) \underline{F}_\alpha; \nabla \cdot \underline{u} = 0$ (7b)

(WET)

If \underline{R}_α are coords of monomer, ~~that's~~ ~~those~~ dynamics "wet"

(DRY)

Replace \underline{u} by $\langle \underline{u} \rangle = 0$ in (7a)
 Ignore (7b) } set Rouse dynamics "dry".

In general soft-matter systems, active or otherwise, damping provided by fluid medium, momentum given to fluid affects other particles of the system of interest.

~~That's~~ That's "wet", "Zimm", "hydrodynamically interacting".

If replace ~~fluid~~ medium by passive momentum sink that's "dry", "Zimm", "hydrodynamically screened".

e.g. for simple ideal polymer chain of length L

has relaxation time \sim

$$\left\{ \begin{array}{l} \tau L^2 \quad \text{Rouse} \\ \frac{\eta}{k_B T} L^{d/2}, \quad d \leq 4 \\ L^2, \quad d > 4 \end{array} \right\} \text{Zimm}$$

(8)

$$\rightarrow L^{2/d}, \quad d \leq 4$$

In active matter too, hydro interaction has dramatic qualitative effects \rightarrow already saw in SF's lectures.

(14)

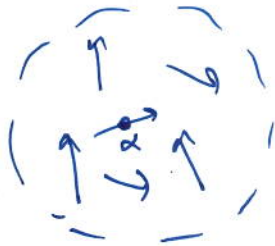
(Ic)

Flocking models

Simplest "living liquid crystal"

Vicsek model \rightarrow Tone-Tu model

of flocking

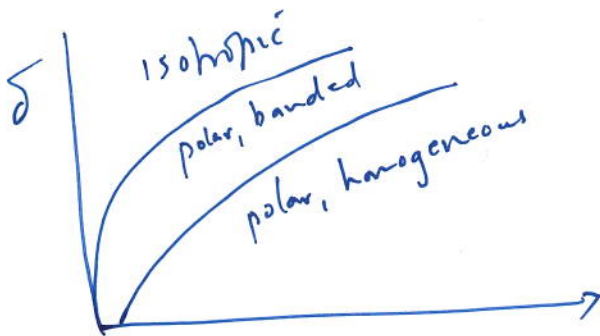
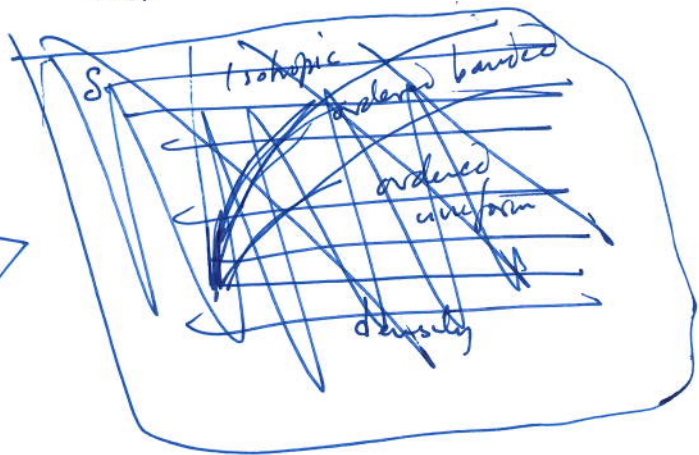


Particle $\alpha \mapsto (\underline{r}_\alpha, \hat{n}_\alpha)$
position orientation

$$\hat{n}_\alpha(t+1) = \underset{= \delta}{O} \langle n_p(t) \rangle_{p \in \text{nbhd of } \alpha} \quad (9)$$

$O_\delta =$ rotation through angle drawn uniformly from $(-\delta, \delta)$

$$\underline{r}_\alpha(t+1) = \underline{r}_\alpha(t) + \varepsilon \hat{n}_\alpha(t+1)$$



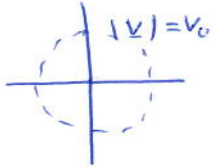
One self-propelled particle: density

$$m \dot{\underline{v}} + \Gamma_0 \underline{v} = \underline{F}_{\text{ext}} + \underline{F}_{\text{propulsion}} + \text{noise}$$

$$\underline{F}_{\text{propulsion}} = \alpha \underline{v} - \beta \underline{v} \cdot \underline{v} \underline{v}$$

$\alpha > \Gamma_0 \Rightarrow \underline{v} = 0$ unstable

$$|\underline{v}| = \sqrt{\frac{\alpha - \Gamma_0}{\beta}} \text{ stable} \quad \text{with } |\underline{v}| = v_0$$



Toner-Tu model: Derive from Virseck a

from collisional (Boltzmann) variant e.g. Bertin, Droz, PRE 2006, Gregoire

$$\rho = \sum_{\underline{r}} \delta(\underline{r} - \underline{R}_\alpha(t)), \quad \rho \underline{p} = \sum_{\underline{r}} \hat{n}_\alpha \delta(\underline{r} - \underline{R}_\alpha)$$

"advection" local moment "elastic" "viscous" "pressure"

$$\textcircled{10} \quad \partial_t \underline{p} + \lambda \underline{p} \cdot \nabla \underline{p} + \dots = (\alpha - \beta \underline{p} \cdot \underline{p}) \underline{p} + \Gamma \nabla \nabla \underline{p} - \nabla \rho (\underline{p}, \underline{p}) + \underline{f}$$

noise

Remark: can include diffusion

$$\partial_t \rho + \nabla \cdot (\rho \underline{p}) = 0$$

$\textcircled{11}$ Coefficients calculable from micro model
 moving XY / Heisenberg model
 ↑
 Two equil limits
 ↓
 "pumped" fluid on substrate

\underline{p} is both orientation & velocity

$$\partial_t \underline{p} + \lambda \underline{p} \cdot \nabla \underline{p} = - \frac{\delta F}{\delta \underline{p}} + \text{noise} \quad \textcircled{12}$$

$$F = \int_{\underline{r}} \left[+ \frac{\alpha}{2} \underline{p} \cdot \underline{p} + \frac{\beta}{4} (\underline{p} \cdot \underline{p})^2 + \frac{\Gamma}{2} \nabla \underline{p} \nabla \underline{p} + \underline{p} \cdot \nabla \rho \right] \quad \textcircled{13}$$

in general α, β, \dots dep on ρ (and/or ρ^2)
 $\beta > 0$. α changes from neg to pos as noise \downarrow or mean density \uparrow . $\alpha < 0 \Rightarrow$

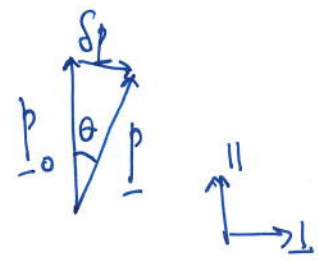
$$\textcircled{14} \quad \langle \underline{p} \rangle = \rho_0 \hat{z}, \quad \underline{p} = \langle \underline{p} \rangle + \delta \underline{p} = \langle \underline{p} \rangle + (\delta p_x, \delta p_y)$$

$$\rho = \rho_0 + \delta \rho$$

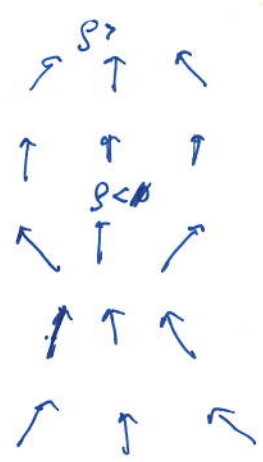
16 To leading order in ∇ , and for simplicity taking $\lambda = 1$, shift to ref. frame moving with vel \underline{p}_0

$$\partial_t \delta \rho + \rho_0 \nabla_{\perp} \delta \rho_{\perp} = 0 \quad (15a)$$

$$\partial_t \delta \rho_{\perp} = -\rho'(\rho_0, p_0) \nabla_{\perp} \delta \rho + \mathcal{O}(v^2) \quad (15b)$$



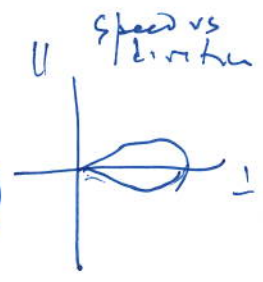
$\delta p_{\perp} \sim \theta$
 $\delta p_{\parallel} \sim \theta^2$ ignore
 $\underline{\delta p} \approx (\delta p_{\perp}, 0)$



Modes
 $e^{i\underline{q} \cdot \underline{r} - i\omega t}$

Splay-density wave
"Sound":

$$\omega^2 = c_s^2 q_{\perp}^2 \quad (16a)$$



Exercise;
(i) Work this out in the lab frame

$$c_s^2 = \rho_0 \rho'(\rho_0) \quad (\text{fine if } \rho'(\rho_0) > 0) \quad (16b)$$

(ii) Include next-to-leading order in ∇

Not like ordinary sound (which relies on momentum conservation)

This ~~mode~~ mode is propagative in the ordered phase only, because δp_{\perp} is a

broken-symmetry variable. Nambu-Goldstone...

Will see: δp_{\perp} fluctuations are large (like in any system with spontaneously broken continuous symmetries)

(b) $\delta \rho$ fluctuations also giant (N-G modes invades the density: a nonequilibrium effect)

In fact the density in the ordered phase is wildly different from the density in an ordinary fluid. Include noise and damping:

$$\partial_t \delta \rho_{\perp} = + \Gamma \nabla^2 \delta \rho_{\perp} - \rho'(\rho_0) \nabla_{\perp} \delta \rho + f_{\perp} \quad (17)$$

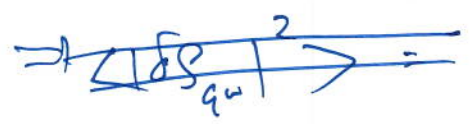
↑
zero mean, Gaussian, spatial-temporally white, non-correlated

Let $\nabla_{\perp} \cdot \delta \rho_{\perp} \equiv \Phi$

$$(\partial_t + \Gamma q_{\perp}^2) \Phi_q = \rho'(\rho_0) q_{\perp}^2 \delta \rho_q + i q_{\perp} f_{\perp} \quad \text{strength } A_{\perp} \quad (18a)$$

$$\partial_t \delta \rho_q = - \rho_0 \Phi_q \quad (18b)$$

$$\Rightarrow (\partial_t + \Gamma q_{\perp}^2) \partial_t \delta \rho_q = - \rho_0 \rho'(\rho_0) q_{\perp}^2 \delta \rho_q - i \rho_0 q_{\perp} f_{\perp} \quad (19)$$



$$\delta \rho_{q\omega}$$

$$- i \rho_0 q_{\perp} f_{\perp} q \omega$$

$$\frac{- i \rho_0 q_{\perp} f_{\perp} q \omega}{-i\omega(-i\omega + \Gamma q_{\perp}^2) + c_s^2 q_{\perp}^2}$$

$$\Rightarrow \langle \delta \rho \delta \rho \rangle_{q\omega} = \frac{\rho_0^2 q_{\perp}^2 A_{\perp}}{(\omega^2 - c_s^2 q_{\perp}^2)^2 + \Gamma^2 q_{\perp}^4 \omega^2} \quad (20)$$

static
Linear theory of giant number fluctuations in flocks

$$\sim \frac{\rho_0^2 A_{\perp}}{\Gamma c_s^2 q_{\perp}^2} \quad (21)$$

Remark: nautics change this to $\frac{1}{q^2 - \gamma}$, $\gamma > 0$

(18)

Subtle property of ordered phase just past onset

On physical grounds, and in any model with local "metric" interactions, the local ordering tendency should depend on the local density. That is, in (13),

~~$\alpha \neq 0$. Work at ρ_0 s.t. $\alpha(\rho_0) \neq 0$,
i.e. mean field theory \Rightarrow ordered phase.~~

$\frac{d\alpha}{d\rho} \neq 0$ (in fact < 0 , i.e. α more negative,

hence stronger tendency to order, if $\rho \uparrow$).

Work at ~~ρ_0~~ $\langle \rho \rangle = \rho_0$ s.t. $\alpha_0 \equiv \alpha(\rho_0) < 0$ but small.

Define $\alpha'(\rho_0) \equiv \alpha'_0$. $\underline{p} = \underline{p}_0 + \delta \underline{p}$, $\rho = \rho_0 + \delta \rho$

Look at spatial variation along \underline{p}_0 only.

~~$\frac{\partial}{\partial t} \begin{pmatrix} \delta \rho \\ \delta p_{||} \end{pmatrix} = \begin{bmatrix} -i \rho_0 q_{||} \\ \end{bmatrix}$~~

$$\partial_t \delta p = -2|\alpha_0| \delta p - p_0 \alpha_0' \delta \rho$$

$$\partial_t \delta \rho = 0 - i q \delta p$$

22

Eigenvalues λ :

$$\begin{vmatrix} -2|\alpha_0| - \lambda & -\alpha_0' p_0 \\ -i q & -\lambda \end{vmatrix} = 0$$

23

~~$(\lambda + 2|\alpha_0|)(\lambda + i q)$~~

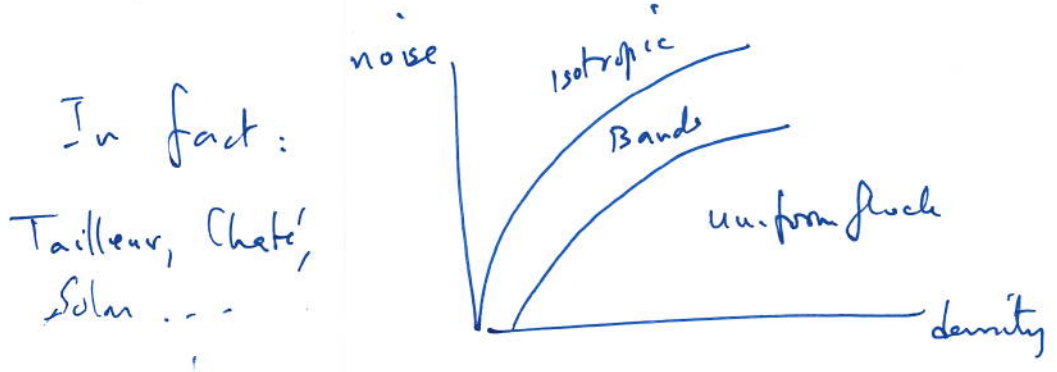
$$\lambda(\lambda + 2|\alpha_0|) - i \alpha_0' p_0 q = 0$$

growing mode \rightarrow

$$\lambda \approx \left\{ \begin{array}{l} i \frac{\alpha_0'}{\sqrt{|\alpha_0| \beta}} q + \frac{\alpha_0'^2}{8 \beta \alpha_0^2} q^2 + \dots \\ -2|\alpha_0| \end{array} \right.$$

24

Moving Bands aligned \perp to direction of motion



20

Coupled dynamics of orientation & velocity

In principle (based on classification by time-reversal properties) we should distinguish the polar o.p. from the velocity, write down dynamics for both.

Only o.p. is slow ~~but~~ but nonetheless-----

$$\partial_t \underline{p} = -(\alpha + \beta \underline{p} \cdot \underline{p}) \underline{p} + \lambda \underline{v} + \text{density couple, gradients etc}$$

"Flow alignment"

$$\partial_t \underline{v} = -\Gamma \underline{v} + \eta \nabla^2 \underline{v} + \alpha \underline{p} + \dots$$

"forcing"
(active)

Eliminate \underline{v} in favour of $\underline{p} \Rightarrow$ effective relaxⁿ rate

of \underline{p} is $\alpha - \frac{\alpha \lambda}{\Gamma}$ which can turn negative

if $\text{sgn } \alpha \lambda > 0$ and $\frac{|\alpha \lambda|}{\Gamma}$ big enough.

This mechanism is observed in expts (Kumar et al 2014)

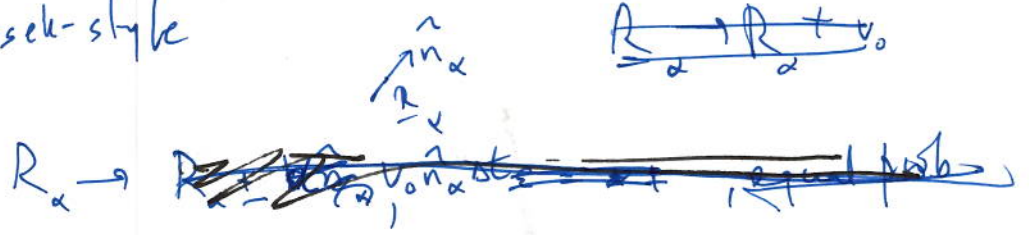
on a granular monolayer of mobile polar rods
and non-mobile beads.....

Apolar systems :

(21)

Aside:
Will return to this later.

Vicsek-style



$$= \underline{R}_\alpha + \Delta R_\alpha \quad v_0 = +c, \text{ equal prob.}$$

$$\Delta R_\alpha = \pm \epsilon \hat{n}_\alpha, \text{ equal prob.}$$

No advective ~~current~~ current.

BUT: $\rho(\underline{r}, t + \Delta t) = \rho(\underline{r}, t)$

$$= \sum_\alpha \left[\delta(\underline{r} - \underline{R}_\alpha(t + \Delta t)) - \delta(\underline{r} - \underline{R}_\alpha(t)) \right]$$

$$= - \sum_\alpha \Delta R_\alpha \cdot \nabla \delta(\underline{r} - \underline{R}_\alpha)$$

$$+ \frac{1}{2} \sum_\alpha \Delta R_\alpha \Delta R_\alpha : \nabla \nabla \delta(\underline{r} - \underline{R}_\alpha)$$

↓

$$\nabla_i \nabla_j \left[\left(\frac{Q_{ij}}{\epsilon r} + \frac{1}{2} \delta_{ij} \right) \rho \right]$$

$$\nabla_i \nabla_j \left[\left(Q_{ij} + \frac{1}{2} \delta_{ij} \right) \rho \right]$$

This curvature-induced current is crucial to ~~disclination~~ giant number fluctuations, disclination unbinding, monolayer formation

(ultimately closely connected to active instabilities)

giant number fluctuations
disclination

29 Toner's notes

LRO in $d=2$

$\uparrow \uparrow \uparrow \langle v \rangle$



δx_{\perp}

J. Toner

see e.g.

Ginelli

arXiv: 1511.01451

On scale r , $\delta \theta_{RMS}$

time t : local error θ_0

Spreads (divides) over distance $t^{d/2}$

\therefore each spin deviates $\sim \frac{\theta_0}{t^{d/2}}$

On scale $r \sim t^{1/2}$: r^d spins

In time t , each makes $\sim t$ errors

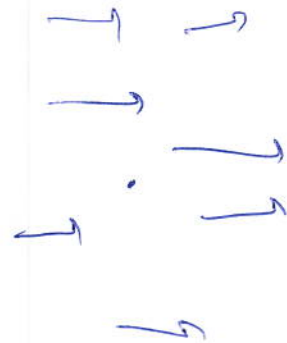
$$\text{RMS error} \sqrt{r_0^d t} = \sqrt{r_0^d r_d^2}$$

$$\therefore \text{per spin} \cdot \frac{r_0^{2+d}}{r_0^d} = r_0^{1-d/2}$$

$$= t^{\frac{1}{2} - \frac{d}{4}}$$

$\rightarrow \infty, d < 2$
(in fact log)
 $d=2$

(Continued)



Toner's argument (23)
for LRO
in $d=2$

Ginelli

arXiv: 1511.01451

Error θ_0 at origin spreads distance $\sim t^{1/2}$
in time t through XY model dynamics.

Repeat

In a volume r_0^d , accumulated error in a
time t is $(r_0^d t)^{1/2}$, where $r_0 = t^{1/2}$

RMS error per bird $(r_0^d t)^{1/2} / r_0^d = t^{2-d/4} = \delta\theta_{RMS}(t)$

Grows for $d < 2$.

But this argument is OK only if bird movement
can be ignored. Transverse wandering $\delta x_{\perp} = ?$

$$\delta x_{\perp} \sim v_{\perp}^{RMS} t \sim \delta\theta_{RMS} t \sim t^{\frac{2-d}{4}} t = t^{\frac{3-d}{4}}$$

\therefore velocity-based spreading of angle error $\sim t^{\frac{3-d}{4}}$

XY dynamics-based spreading of angle error $\sim t^{1/2}$

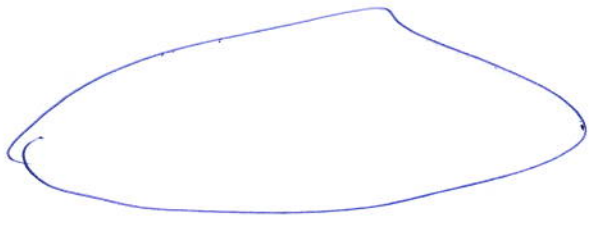
velocity-based spreading dominates if

$$\frac{3-d}{4} > \frac{1}{2} \Rightarrow 1 > \frac{d}{4} \Rightarrow \boxed{d < 4}$$

This uses the mean-field result for $\delta\theta_{RMS}$ → will see later how
really to calculate v_{\perp}^{RMS}

Now: do angle fluctuations grow or shrink at long times when velocity-based spreading dominates?

~~Birds spin~~ Errors spread over ^{area} ~~distance~~ $\delta x_{\perp} \times t^{1/2}$



\downarrow
 $t^{1/2}$

$\leftarrow \delta x_{\perp} \rightarrow$

No. of spins: $(\delta x_{\perp}(t)) t^{1/2}$

Number of errors in time t : $\delta x_{\perp}(t) t^{1/2} t$

Sum of all angle errors $\sim \sqrt{\delta x_{\perp}(t) t^{1/2} t}$

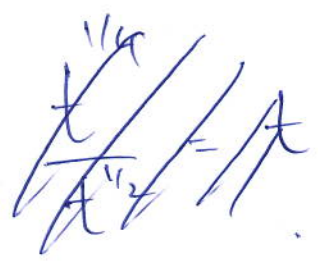
$\sim t^{3/4}$

\downarrow
 $\frac{3/2 - 1/2 - 1/2}{t} = t$

~~$\delta x_{\perp} t^{3/4}$~~ $= \sqrt{\delta x_{\perp}} t^{3/4}$

\therefore per spin: $\frac{\sqrt{\delta x_{\perp}} t^{3/4}}{\delta x_{\perp} t^{1/2}} = \frac{t^{1/4}}{\sqrt{\delta x_{\perp}}} = \delta \theta$

$\delta x_{\perp} \sim t^{5/6}$



But $\delta \theta t = \delta x_{\perp}$

$\therefore \frac{t^{1/4}}{\delta \theta^{1/2} t^{1/2}} = \delta \theta \Rightarrow \delta \theta^{3/2} = t^{-1/4} \Rightarrow \delta \theta \sim t^{-1/6}$ LRO

Functional integrals for ^{stochastic} dynamical fields
 For a general Langevin eqⁿ with spatiotemporally
 white noise of strength D :

$$\dot{x} = v(x) + \sqrt{2D} \eta$$

$$\text{Prob}[x] \propto e^{-\frac{1}{4D} \int (\dot{x} - v)^2 dt}$$

$$= \int [d\hat{x}] e^{\int [i\hat{x}(\dot{x} - v) - D\hat{x}^2] dt}$$

So calculate averages using "action"

$$S[x, \hat{x}] = \int [\hat{x}(\dot{x} - v) + i\hat{x}D\hat{x}]$$

$$\langle \theta \rangle = \int [d\hat{x}][dx] \theta e^{iS[\hat{x}, x]}$$

Field Theory of Tonks-Tu model

(26)

[Exact demonstration of Long Range Order
in the case where number conservation
is ignored]

Introduce birth & death

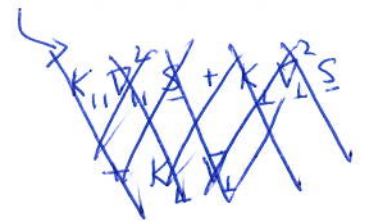
$$\frac{\partial \rho}{\partial t} = -\nabla \cdot (\rho \vec{S}) + k_+ - k_- \rho$$

\Rightarrow $\delta \rho$ relaxes with rate k_-
at zero wavenumber.

Fast. Forget density.

$$\frac{\partial \underline{S}}{\partial t} = (a - b \underline{S} \cdot \underline{S}) \underline{S} - \lambda \underline{S} \cdot \nabla \underline{S} + \underbrace{K \nabla^2 \underline{S} + \sqrt{2D} \underline{f}}_{\text{more complicated}} \quad (\text{non conserving})$$

$$\langle f_i(\underline{r}, t) f_j(\underline{r}', t') \rangle = \delta_{ij} \delta^d(\underline{r}) \delta(t)$$



Ordered phase: $\underline{S} = S_0 \hat{x} + \delta \underline{S}_\perp$

$$\delta \underline{S}_\perp \equiv \underline{p} \quad \rightarrow$$

ordered p has eq² of motion

$$\partial_t p + \underbrace{\lambda_1 p \cdot \nabla_{\perp} p + \lambda_3 \nabla_{\perp} p \cdot p}_{\downarrow d=2} - \underbrace{(\nu_{\parallel} \nabla_{\parallel}^2 + \tilde{\nu}_{\perp} \nabla_{\perp}^2 + \bar{\nu} \nabla_{\perp} \nabla_{\perp} \cdot)}_{\downarrow d=2} p = \sqrt{2D} \eta(x,t)$$

nonconserving
spacetime
white
noise

$$\lambda = \lambda_1 + 2\lambda_3$$

$$\nu_{\perp} = \tilde{\nu}_{\perp} + \bar{\nu}$$

① "Galilean" invariance, d=2

$$x'_{\perp} = x_{\perp} - \lambda v t, \quad t' = t, \quad p = p' + v$$

$$\Rightarrow \frac{\partial p}{\partial t} = \frac{\partial p'}{\partial t'} - \boxed{\lambda v \frac{\partial p'}{\partial x'}} \leftarrow \text{cancel}$$

$$\lambda p \frac{\partial p}{\partial x_{\perp}} = \lambda (p' + v) \frac{\partial (p' + v)}{\partial x'_{\perp}} = \boxed{\lambda v \frac{\partial p'}{\partial x'}} + \lambda p' \frac{\partial p'}{\partial x'}$$

$$\frac{\partial p}{\partial t} + \lambda p \frac{\partial p}{\partial x_{\perp}} = \frac{\partial p'}{\partial t'} + \lambda p' \frac{\partial p'}{\partial x'}$$

② $\lambda p \nabla_{\perp} p = \frac{\lambda}{2} \nabla_{\perp} p^2$ vanishes at zero wavenumber

Noise η non-conserving

\Rightarrow ① \wedge ② Neither vertex nor noise acquire corrections upon integrating out degrees of freedom. Only get corrections under rescaling.

③ Vertex $\sim \nabla_{\perp}$, so ν_{\parallel} gets no graphical corrections.

Gaussian fixed pt: ignore nonlinearity. Then there's no difference between \parallel & \perp directions:

$$x = b x', \quad t = b^2 t', \quad p = b^x p', \quad \hat{p} = b^x \hat{p}'$$

$$S \rightarrow b^{z+d+\hat{x}} \int \hat{p}' \left(b^{x-z} \frac{\partial}{\partial p} + \lambda \underbrace{b^{2x-1} p \frac{\partial}{\partial p}}_{\text{ignore for now}} - b^{x-2} \nabla \nabla p + i b^{\hat{x}} D \hat{p}' \right)$$

Choose coeffs so all terms unchanged except λ

$$z+d+\hat{x}+x-z=0 \Rightarrow \hat{x} = -x-d$$

$$z+d+\hat{x}+x-2=0 \Rightarrow z=2$$

$$z+d+2\hat{x}=0 \Rightarrow \hat{x} = -1-\frac{d}{2}$$

$$x = 1-\frac{d}{2}$$

now look at λ

$$\Rightarrow \lambda \rightarrow b^{2x-1} \lambda = b^{\frac{4-d}{2}} \lambda$$

\Rightarrow Gaussian f.p. unstable for $d < 4$.

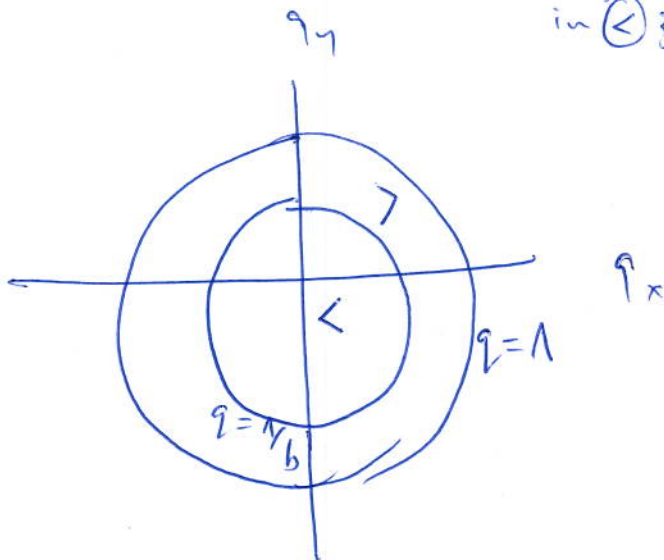
Renormalization-group treatment

(29)

Recall: all fields defined with upper cutoff in Fourier space, Λ .
 i.e. wavevectors $q < \Lambda$. Define two zones: $\frac{\Lambda}{b} < q < \Lambda$, $q < \frac{\Lambda}{b}$

Write each field as $\varphi = \varphi^{<} + \varphi^{>}$

\downarrow Fourier components in \langle zone
 \downarrow Fourier components in \rangle zone.



$$\int [d\hat{\varphi}] [d\varphi] e^{iS} = \int [d\hat{\varphi}]^{<} [d\varphi]^{>} e^{i(S^{<} + S^{>} + S)}$$

Carry out $\int [d\varphi d\hat{\varphi}]^{>}$, $S \rightarrow S^{<} + \delta S$ (if you're lucky this simply changes the value of existing couplings)

Rescale $x_{\perp} \Rightarrow b x'_{\perp}$, $x_{\parallel} \Rightarrow b^{\nu} x'_{\parallel}$, $t \Rightarrow b^z t'$

$$\varphi = b^{\chi} \varphi', \quad \hat{\varphi} = b^{\tilde{\chi}} \hat{\varphi}'$$

~~Our case~~

$$S = \int \hat{p} \left(\partial_t p + \lambda p \nabla_{\perp} p - \nu_{\parallel} \nabla_{\parallel}^2 p - \nu_{\perp} \nabla_{\perp}^2 p + i D \hat{p} \right) d^d x dt$$

Integrate out degs of freedom leaves λ, D unchanged

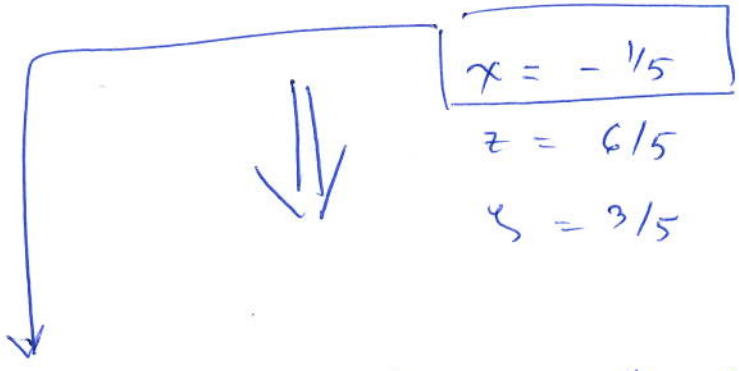
$$\hat{\lambda} = -d - \chi \quad (\text{keep } \hat{p} \partial_t p d^d x dt - \text{unchanged})$$

$$\nu_{\perp} \rightarrow b^{z-2} (\nu_{\perp} + \Delta \nu_{\perp}) \quad \nu_{\parallel} \rightarrow b^{z-2} (\nu_{\parallel} + \Delta \nu_{\parallel})$$

$$D \rightarrow b^{2-s-2\chi+1-d} D$$

$$\lambda \rightarrow b^{z+\chi-1} \lambda$$

Do RG so as to keep λ fixed. Then $z+\chi=1$
 fixed pt D fixed, noise strength fixed.



\Rightarrow fluctuations in p don't grow with scale, \therefore Long Range Order survives
 ($\chi=0 \Rightarrow$ log, $\chi>0 \Rightarrow$ grow)

~~$\delta\theta(x) \sim x^{-1/5} \sim t$~~

$$\delta\theta(r) \sim r^{\chi} = t^{\chi/z} = t^{-1/5 \cdot 5/6} = t^{-1/6}$$

$= t^{-1/6}$ arise from the pictorial argument!

Jump to earlier page on left 28
 Gaussian fixed point, first

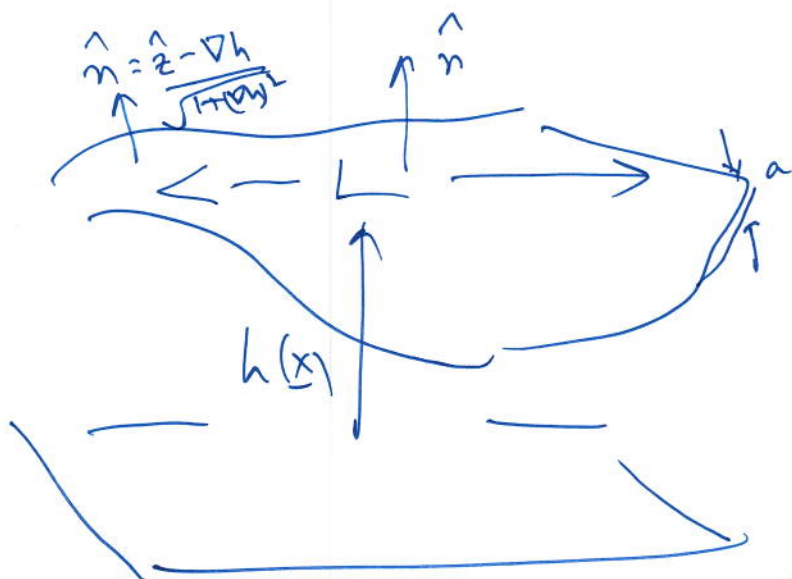
[May not cover in these lectures]

Active membranes

27

BSSP2017 does not include p. 27-35

Recall Pierre Sens's lecture



$L \gg a$

Energy

$$= \frac{\kappa}{2} \int_{\Gamma} C^2$$

C = mean curvature

$$\approx \frac{\kappa}{2} \int_{\Gamma} (\nabla^2 h)^2$$

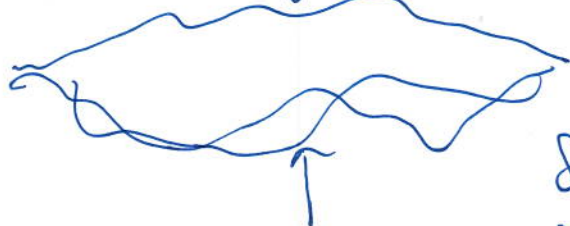
$$= \frac{\kappa}{2} \int_{\underline{q}} q^4 |h_{\underline{q}}|^2$$

$$h_{\underline{q}} = \int_{\underline{r}} e^{-i\underline{q} \cdot \underline{r}} h(\underline{r})$$

$$\frac{\langle |h_{\underline{q}}|^2 \rangle}{\text{Area}} = \frac{k_B T}{\kappa q^4}$$

$$\langle h^2 \rangle = \langle h(x) h(x) \rangle = \frac{k_B T}{\kappa} \int \frac{d^2 q / (2\pi)^2}{q^4} = \frac{k_B T}{\kappa} L^2$$

$\downarrow \sqrt{\pi/\kappa} L$



$$\delta \hat{n} \approx -\nabla h \Rightarrow \langle |\delta n_{\underline{q}}|^2 \rangle \sim \frac{k_B T}{\kappa q^2}$$

$$\langle (\delta n)^2 \rangle = \frac{k_B T}{\kappa} \int \frac{d^2 q (2\pi)^{-2}}{q^2} = \frac{k_B T}{4\pi^2 \kappa} \ln \frac{L}{a} = 1 \text{ for } L = L_p$$

$$\langle \delta n(\underline{0}) \cdot \delta n(\underline{r}) \rangle \sim e^{-r/L_p}$$

Persistence length \Rightarrow

$$L_p = a \exp \left(\frac{\kappa}{k_B T} \right)$$

Membrane conc. fluctuation $c = \frac{\text{Area}}{(\text{Area})_{\text{base}}} = \sqrt{1 + \langle \nabla h \rangle^2}$

(28)

$\Rightarrow \delta c \approx \frac{1}{2} \langle (\nabla h)^2 - \langle (\nabla h)^2 \rangle \rangle$ Crumpling factor

$\Rightarrow \langle (\delta c_q)^2 \rangle \sim \left(\frac{T}{\kappa} \right)^2 \frac{1}{q^2}$

with Gousson? This is cut off at $\lambda = \sqrt{\kappa/\sigma}$

Note: $\frac{\langle (\nabla h)^2 \rangle}{\langle (\nabla h)^2 \rangle_0}$ itself $\approx \frac{k_B T}{8\pi\kappa} \ln \sigma/\sigma_0$, the basis of pipette expts

Membranes with ~~other~~ more components.

~~Scalar field~~ Components without leaflet preference
 (equivalently, without polarity w.r.t membrane normal)
 couple ~~of~~ only to $\langle (\nabla h)^2 \rangle$ and to K_{Gousson} .

Components w/ leaflet preference couple to ~~is~~
 local mean curvature. Let's look at that case.

Oh wait, Pierre did already. So let's study
 membrane dynamics.

① At equilibrium:

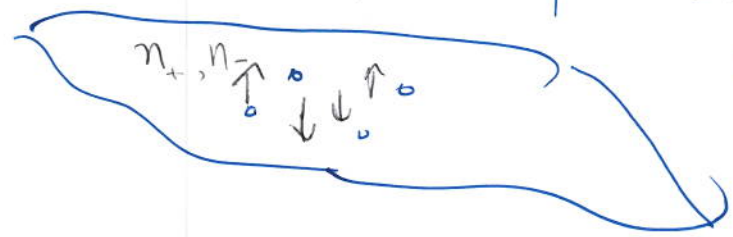
 $h = v_z$

$\eta \nabla^2 \underline{v} = \nabla p - \delta(z-h(x,y)) \kappa \nabla_{\perp}^4 h + \text{thermal noise}$

$\Rightarrow v_z|_{\text{membrane}} = -\frac{\kappa}{4\eta} q^3 h_q + \text{thermal noise}$

Exercise: work this out, including form of noise,
 check $\langle (h_q)^2 \rangle = \frac{k_B T}{\kappa q^4}$

~~Now odd cell-like~~
 Where's biology? active processes at the membrane.



For pumps
 active polymer sites
 even channels, maintained in presence of gradient

* Active stresses at the membrane

~~* "push" membrane along its normal~~

* "push" along membrane normal

$n_+ + n_- = \phi$, $n_+ - n_- = \psi$
 just a density, indep of which is up or down.
 Couples to $(\nabla h)^2$

"polar" definition linked to the choice of outward normal
 Couples to $\nabla^2 h$

① ψ moves membrane along normal

$$\dot{h} = v_0 [\psi + \dots]$$

② Moving along normal kinematically causes spread

$$\dot{\psi} = v_0 \nabla \cdot \left[\frac{\psi \psi \nabla h}{1 + (\nabla h)^2} \right] + \dots$$

(lateral current of $\psi = \psi \cdot \text{lateral velocity}$
 $= \psi v_0 \psi \nabla h$)


~~3~~ Shape and functional polarity (30)

3a Shape mean curvature is a chemical potential for ψ

Lateral ψ current $\sim c_1 \nabla \nabla^2 h + c_2 \nabla \psi$
 $= \Lambda \kappa H \nabla \nabla^2 h - \Lambda \Delta \psi$

3b

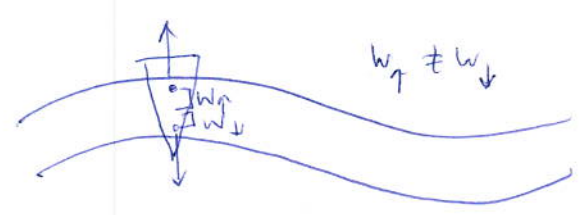
~~4~~ Function Mean curvature affects pump function

$\dot{h} \approx v_z \phi \nabla^2 h$ 
 has same effect for normal velocity

4 Flow carries membrane $\dot{h} = v_z$

5 Pump stresses affect flow:
 $-\eta \nabla^2 \underline{v} = -\nabla p - \nabla \cdot \underline{\underline{\sigma}}^{pump}$

σ_{pump} : force dipole not centered at membrane mid plane



$$\nabla \cdot \sigma_{pump} = \hat{z} F [\delta(z-w_{\uparrow}) - \delta(z+w_{\downarrow})] (\Psi + l_2 \phi \nabla^2 h)$$

$$\dot{h} = v_0 (\Psi + l_1 \phi \nabla^2 h) + \left(\frac{v_0}{z} \right) - \frac{\delta H}{\delta h} + \text{noise}$$

forget for now

$$\dot{\Psi} = v_0 \nabla \cdot \left[\frac{\Psi^2 \nabla h}{1 + (\nabla h)^2} \right] - \Lambda \kappa \bar{H} \nabla^4 h + \Lambda A \nabla^2 \Psi + \text{conserving noise}$$

Algebra \Rightarrow $\sigma_{base} = 0$, Ignore v_z \Rightarrow if $l_2, \bar{H} > 0$ then stable

$$\frac{\langle |h_q|^2 \rangle}{\text{area}} \sim \frac{k_B T}{\frac{v_0}{\Lambda \mu_p} l q^2 + k_{eff} q^4} + \frac{v_0 a^2}{\Lambda A l q^4}$$

$$l \approx l_1 + \frac{\kappa}{k_B T} \bar{H} a^2$$

active tension (~~could be -ve, of course~~) *+ve for now*



③ l_2 and/or \bar{H} negative enough
 \rightarrow pump dump bump instabilities.

Back to +ve case:

But μ_p is minute! ~~Need~~ Can't take
 almost impermeable
 refuge in lowest order $\nabla \exp^{-n}$ (need to go
 to centimetres!)

Include v_2 via Stokes ep^{-n}

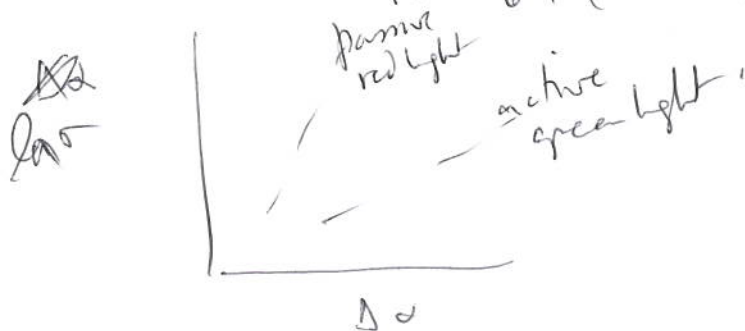
Induces $F|q|Y$ in $h ep^{-n}$

~~eg~~ eventually $\langle |h q|^2 \rangle \sim \frac{T_{eff}}{k q^4}$ can therefore get this in μ pip expts.

$T_{eff} \sim 2 T_{plasma}$
 T_{eff} similar to earlier analysis,

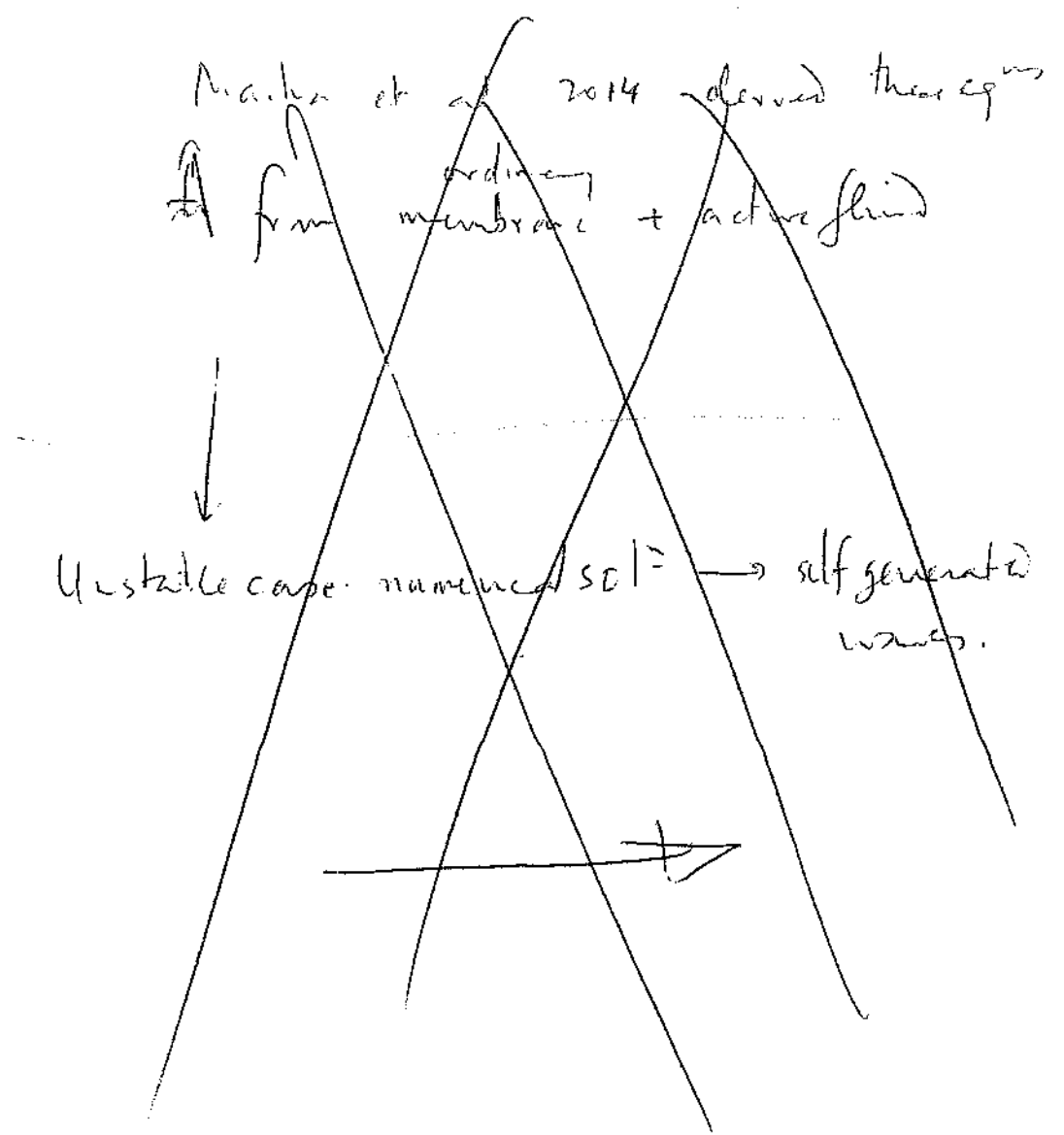
Comparison to expt, GUV+BR
 Numbers: see Manneville, Bassereau, SR, Proc

PRE 64 (2001) 021908



Modes: waves w/ speed $\sim v_0$ ~~fast~~

Impermeable case: $\omega^2 \sim q^3$, speed very dispersive
but involves v_0 and force distribution



(34) (c)

1 + 1 d ~~non~~ PDE

(35)

$$\begin{aligned} \dot{h} &= v_0 \psi + h'' - \mu_p u h'''' - \mu_p \kappa_0 \psi'' \\ \dot{\psi} &= v_0 (\psi h')' + D \psi'' - \kappa_0 h'''' \end{aligned}$$

↑ passive
dissipator
couple

single Fourier mode

$$\dot{h}_1 = m_1 \psi_1 - m_2 h_1$$

$$\dot{\psi}_1 = -m_3 \psi_1 \psi_1 h_1 - m_4 \psi_1 - m_5 h_1$$

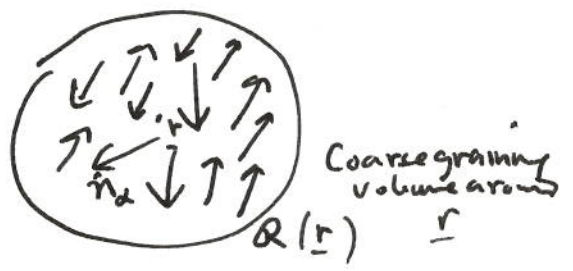
generalized van der Pol osc

has limit cycle

Full PDE has self-generated ^{noisy} waves

Back to flocking models: a polar order

Recall a polar means



$$\langle \hat{n}_\alpha \rangle_{Q(r)} = 0$$

$$\text{but } \langle \hat{n}_\alpha \hat{n}_\alpha \rangle \neq \frac{1}{d} \mathbb{1}$$

MANY EXAMPLES
IN CELL AGGREGATES,
TISSUE

$$\underline{Q}(r) \equiv \langle \underline{Q}_\alpha \rangle_{Q(r)} \equiv \langle \hat{n}_\alpha \hat{n}_\alpha - \frac{1}{d} \mathbb{1} \rangle_{Q(r)}$$

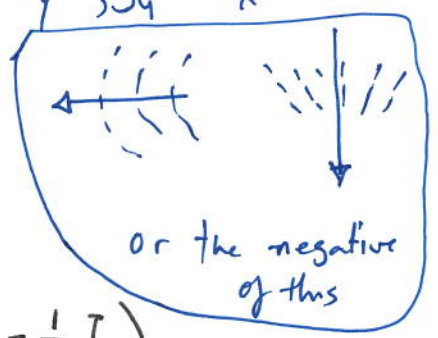
Nematic order parameter

Aligned state: $\underline{Q} \neq 0$, uniform. No sense of forward or back, only an axis. No net motion.

\therefore current arises only if \underline{Q} is non uniform.

Simplest: $\underline{j} \propto \nabla \cdot \underline{Q} \Rightarrow j_x = \partial_y \theta, j_y = -\partial_x \theta$

In more detail: Slow variables:



Number density ρ

$$\text{Order parameter } \underline{Q} = S \left(\hat{n} \hat{n} - \frac{1}{d} \mathbb{I} \right)$$

Magnitude S is slow if there is a transition with continuous onset. \longrightarrow

The axis \hat{n} is slow because global rotation turns \hat{n} with no restoring torque. 38

Spontaneously broken symmetry, perturbations of \hat{n} are a Nambu-Goldstone mode.

Dynamics (~~on general symmetry groups~~ on general symmetry groups)

$$\partial_t \underline{Q} = \text{polynomial in } \underline{Q} + K \nabla \nabla \underline{Q} \\ + \text{nonlinearities} + \text{coupling to } \rho \\ + \text{noise}$$

$$= -(a + b \underline{Q} : \underline{Q}) \underline{Q} + K \nabla^2 \underline{Q} \\ (+ \underline{Q} \nabla \nabla \underline{Q} + \nabla \underline{Q} \nabla \underline{Q}) \left\{ \begin{array}{l} \text{discuss} \\ \text{later} \end{array} \right.$$

$$+ C (\nabla \nabla - \frac{1}{d} \nabla^2) \delta \rho$$

$$+ \underline{F} \underline{Q} \left(\begin{array}{l} \text{nonconserving} \\ \text{isotropic traceless} \\ \text{symmetric tensor noise,} \\ \text{spatiotemporally white,} \end{array} \right.$$

$$\partial_t \rho = D \nabla^2 \rho + \gamma_1 \nabla \cdot (\underline{Q} \cdot \nabla \rho) + \gamma_2 \nabla \cdot (\rho \nabla \cdot \underline{Q}) \\ + \nabla \cdot \underline{\eta} \quad (\text{conserving noise})$$

Where does all this come from?

38

① Effective equilibrium dynamics

$$F = \int \left[\frac{a}{2} \underline{Q}^2 + \frac{c}{4} (\underline{Q} : \underline{Q})^2 + \frac{K}{2} (\nabla \underline{Q})^2 + \gamma \underline{Q} \nabla \underline{Q} \nabla \underline{Q} + U(\rho) + \frac{B}{2} (\nabla \rho)^2 - C \underline{Q} : \nabla \nabla \delta \rho \right]$$

Dynamics in equilibrium

$$\partial_t \underline{Q} = - \frac{\delta F}{\delta \underline{Q}} + \text{nonconserving noise}$$

$$\partial_t \rho = \nabla^2 \frac{\delta F}{\delta \rho} + \text{conserving noise}$$

accounts for all ~~terms~~ but the ~~terms~~ γ_2 terms.

γ_1 is anisotropic diffusion ~~γ_2 is~~ and must (at equilibrium) be accompanied by suitable

\underline{Q} -dependent noise, will see in later lectures.

γ_2 is wholly nonequilibrium.

$$Q = S \begin{pmatrix} \cos 2\theta & \sin 2\theta \\ \sin 2\theta & -\cos 2\theta \end{pmatrix}$$

$$\approx S \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + 2S \begin{pmatrix} 0 & \theta \\ \theta & 0 \end{pmatrix}$$

$$\Rightarrow \partial_t \theta = K \nabla^2 \theta + f_\theta \text{ (non conserving noise) } \textcircled{a} + \text{unimportant terms}$$

$$\partial_t \delta \phi = D \nabla^2 \delta \phi + \tau_1 S (\partial_x^2 - \partial_y^2) \delta \phi + \boxed{4 \tau_2 S \partial_x \partial_y \theta} + f_\phi \text{ (conserving) } \textcircled{b}$$

$$\textcircled{a} \Rightarrow \int_{r,r'} \langle \theta(r,0) \theta(r,t) \rangle e^{-i(q \cdot r - \omega t)} = \frac{\text{Noise strength}}{\omega^2 + K^2 q^4}$$

$$\Rightarrow \int_{r,r'} \langle \theta(r,0) \theta(r,t) \rangle e^{-i q \cdot r} = \frac{\text{Noise strength}}{K q^2}$$

$$\tau_2 \text{ term in } \textcircled{b} \Rightarrow \langle \delta \phi \delta \phi \rangle_q \sim \frac{\tau_2^2 \int q_x^2 q_y^2}{K q^6}$$

static structure factor

$$\propto \int \frac{\sin^2 \phi \cos^2 \phi}{q^2}$$

$$\Rightarrow (\Delta N)^2 \propto N^{\boxed{2-(1)}} \rightarrow > 1$$

System of size L means smallest $q \sim \frac{1}{L}$
showed that

$$S_q^+ \equiv \int d^d r \langle \delta \rho(r) \delta \rho(r) \rangle e^{-iq \cdot r} \sim \frac{q_x^2 q_y^2}{q^6}$$

$$\Rightarrow S_{q=\frac{1}{L}} \sim L^2 = (L^d)^{2/d} \propto N^{2/d}$$

number $N \propto L^d$

↓ show this

$$= \frac{\langle (\delta N)^2 \rangle}{N} \propto N^{2/d}$$
$$\Rightarrow \delta N_{\text{RMS}} \propto N^{\frac{1}{d} + \frac{1}{2}}$$

This is modified in a more careful treatment

~~but~~ but still find $\delta N_{\text{RMS}} \propto N^{\frac{1}{2} + a}$, $a > 0$

i.e. ~~variance~~ variance bigger than Poissonian.

\therefore not only Vicsek-Toner-Tu flocks (polar)
but ~~non~~ active nematic flocks (apolar)
have giant number fluctuations.

The exponent $\frac{1}{2} + a$ remains to be calculated
in an improved (beyond linear) theory.

From microscopic stochastic models
to coarse-grained stochastic PDEs

(41)

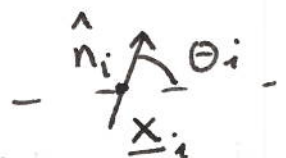
see, e.g., Bertin, Chaté, Ginelli, Mishra, Peshkov, SR

New Jour. Phys 15 (2013) 085032

[Muro model: Chaté, Ginelli, Montagne, PRL 96 (2006) 180602]

Vicsek Model

$$\hat{n}_i^t = (\cos \theta_i^t, \sin \theta_i^t);$$



$$\theta_i^{t+\Delta t} = \arg \sum_{k \in \text{nbh}(i)} e^{i\theta_k^t} + \eta \zeta_i^t$$

$$x_i^{t+1} = x_i^t + \Delta t v_0 \hat{n}_i^t$$

$\zeta_i^t = \text{unit white angular noise}$

nbh(i) has radius $> v_0 \Delta t$

Coarse-graining (Boltzmann eqⁿ approach)

Bertin, Droz, Grégoire PRE 74 (2006) 022101

$$\rho(\underline{r}, t) = \int_{-\pi}^{\pi} f(\underline{r}, \theta, t) d\theta$$

$$\rho(\underline{r}, t) \underline{u}(\underline{r}, t) = \int_{-\pi}^{\pi} d\theta f(\underline{r}, \theta, t) \hat{n}(\theta)$$

Check: $\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \underline{u}) = 0$

Define

$$f_k(r, t) = \int_{-\pi}^{\pi} d\theta e^{ik\theta} f(r, \theta, t)$$

Build PDEs for ρ (already done, identity)

and $\underline{w} = \rho \underline{u}$ from this evolution.

Get Toner-Tu form with coefficients

depending on parameters above

(rotational noise strength η , speed v_0 ,
mean number in neighbourhood)

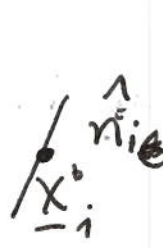
^{Values}
~~Details~~ depend on collisional versus Vicsek-style
updates.

We will do this procedure for APOLAR

particles. What's the difference?



$\hat{n}_i^t = (\cos \theta_i^t, \sin \theta_i^t)$



$$\theta_i^{t+\Delta t} = \frac{1}{2} \arg \sum_{j \in \text{nbh}(i)} e^{i 2 \theta_j^t} + \eta \sum_i^t$$

↑ strength ↑ unit white

$$X_i^{t+\Delta t} = X_i^t + \Delta t v_i^t + \kappa_i^t \hat{n}_i^t$$

↓
do not really connected to a "velocity"

~~κ~~ → ±1, prob 1/2, IID

i.e. $X_i^{t+\Delta t} = X_i^t \pm \text{do } \hat{n}_i^t$



Build evolution eqⁿ for distribution function,
 set PDEs from moments (also set coarse-grained ~~noise~~ noise)

Let $f(\underline{x}, \theta, t) =$ prob density in position and orientation space.

A polar particles, so $\theta \rightarrow \theta + \pi$ symmetry.

\Rightarrow expand in even Fourier components wrt θ .

$$f(\underline{x}, \theta, t) = \frac{1}{\pi} \sum_{k=-\infty}^{\infty} f_k(\underline{x}, t) e^{-i2k\theta}$$

sorry to use same symbol for different object (this one is a polar)

$$f_k(\underline{x}, t) = \int_{-\pi/2}^{\pi/2} d\theta f(\underline{x}, \theta, t) e^{i2k\theta}$$

$$\rho(\underline{x}, t) = \int_{-\pi/2}^{\pi/2} d\theta f(\underline{x}, \theta, t) = f_0(\underline{x}, t)$$

$$W_{11}(\underline{x}, t) = -W_{22}(\underline{x}, t) = \frac{1}{2} \int_{-\pi/2}^{\pi/2} d\theta f(\underline{x}, \theta, t) \cos 2\theta = \frac{1}{2} \text{Re } f_1$$

$$W_{12}(\underline{x}, t) = W_{21}(\underline{x}, t) = \frac{1}{2} \int_{-\pi/2}^{\pi/2} d\theta f(\underline{x}, \theta, t) \sin 2\theta = \frac{1}{2} \text{Im } f_1$$

But how does f evolve? \rightarrow

① Move forward or backward along \hat{n}_i^t :

$$f(\underline{x}, \theta, t + \Delta t) = \frac{1}{2} \left[f(x + \hat{n} d_0, \theta, t) + f(x - \hat{n} d_0, \theta, t) \right]$$

$$\approx \frac{d_0^2}{2 \Delta t} \partial_\alpha \partial_\beta \left[\hat{n}_\alpha \hat{n}_\beta f(\underline{x}, \theta, t) \right]$$

Ito Calculus

- ② Rotational diffusion
 - ③ Collisions.
- } less interesting

Fourier transform wrt θ to get in terms of f_k .



(46)

$$\partial_\alpha \partial_\beta \int_{-\pi/2}^{\pi/2} d\theta e^{i2k\theta} \hat{n}_\alpha \hat{n}_\beta f(x\theta + t)$$

$$= \partial_\alpha \partial_\beta \int_{-\pi/2}^{\pi/2} d\theta e^{2ik\theta} \left[(n_\alpha n_\beta - \frac{1}{2} \delta_{\alpha\beta}) + \frac{1}{2} \delta_{\alpha\beta} \right] f$$

$$= \frac{1}{2} \Delta f_k + \frac{1}{4} \left(\nabla^{*2} f_{k+1} + \nabla^2 f_{k-1} \right)$$

~~$\Rightarrow \partial_t f_0$~~

$$\Rightarrow \partial_t f = \partial_t f_0 = \frac{1}{2} \Delta f_0 + \frac{1}{4} \nabla^{*2} f_1$$

$$\rightarrow (\partial_x - i\partial_y)(\partial_x - i\partial_y) (\text{Re}f_1 + i \text{Im}f_1)$$

\rightarrow

(47)

Use $w_{11} = -w_{22} = \frac{1}{2} \operatorname{Re} f, = \frac{P}{2} \langle \cos 2\theta \rangle_{\pm}$

$$w_{21} = w_{12} = \frac{1}{2} \operatorname{Im} f, = \frac{P}{2} \langle \sin 2\theta \rangle_{\pm}$$

get

$$\partial_t \rho = \frac{1}{2} \Delta \rho + \frac{1}{2} \hat{\Gamma}_{\alpha\beta}^{\gamma} w_{\alpha\beta}$$

$$\hat{\Gamma}_{\alpha\beta}^{\gamma} = \begin{pmatrix} \partial_x^2 - \partial_y^2 & 2\partial_x \partial_y \\ 2\partial_x \partial_y & \partial_y^2 - \partial_x^2 \end{pmatrix}$$

get $\nabla_{\alpha} \nabla_{\beta} (\rho Q_{\alpha\beta})$

which we claimed earlier.

What about noise?

48

Blank

Back to a simpler problem,
diffusion of N indep particles

DS Deam
1996

$$\dot{X}_i = \eta_i$$

η_i white noise, strength 2D

$$P(x,t) = \sum_i \delta(x - X_i(t)) \equiv \sum_i P_i(x,t)$$

$$f(X_i(t)) = \int dx P_i(x,t) f(x)$$

$$\frac{df(X_i)}{dt} = \int P_i(x,t) \left[\eta_i \cdot \nabla f(x) + D \nabla^2 f(x) \right]$$

η_i normally set to 0.

$$= \int dx f(x) \left[-\nabla \cdot [P_i \eta_i] + D \nabla^2 P_i \right]$$

$$= \int dx \frac{\partial P_i(x,t)}{\partial t} f(x)$$

$$\therefore \partial_t P_i = -\nabla \cdot (P_i \eta_i) + D \nabla^2 P_i$$

$$\Rightarrow \partial_t P = D \partial^2 P - \underbrace{\sum_i \nabla \cdot [P_i(x+t) \eta_i]}_{\text{how do we deal with that?}}$$

(50) ~~49~~

how do we deal with that?

Define ~~h~~ $h(x+t) = - \sum \nabla \cdot [P_i(x+t) \eta_i]$

linear combination of gaussian noises.

~~$\langle h(x_0) h(x+t) \rangle = 2D \delta(t) \nabla$~~

$$\langle h(x_0) h(x'+t) \rangle = 2D \delta(t) \nabla_x \cdot \nabla_{x'} [P(x+t) P(x'+t)]$$

But ~~but~~ $P_i(x+t) P_i(x'+t) = \delta(x-x') P_i(x+t)$
 $= \delta(x-x') P_i(x'+t)$

~~So~~ $\rightarrow 2D \delta(t-t') \nabla_x \cdot \nabla_{x'} [\delta(x-x') P(x+t)]$

Define ~~g~~ $\xi(x+t) = \nabla \cdot [\eta(x+t) P^{1/2}(x+t)]$

where $\eta(0,0) \eta(x,t) = 2D \delta(t) \delta(x) \underline{\underline{!}}$

Then $\dot{P} = -\nabla \cdot (\eta P^{1/2}) + D \partial^2 P$

Similar but messier algebra for newtic

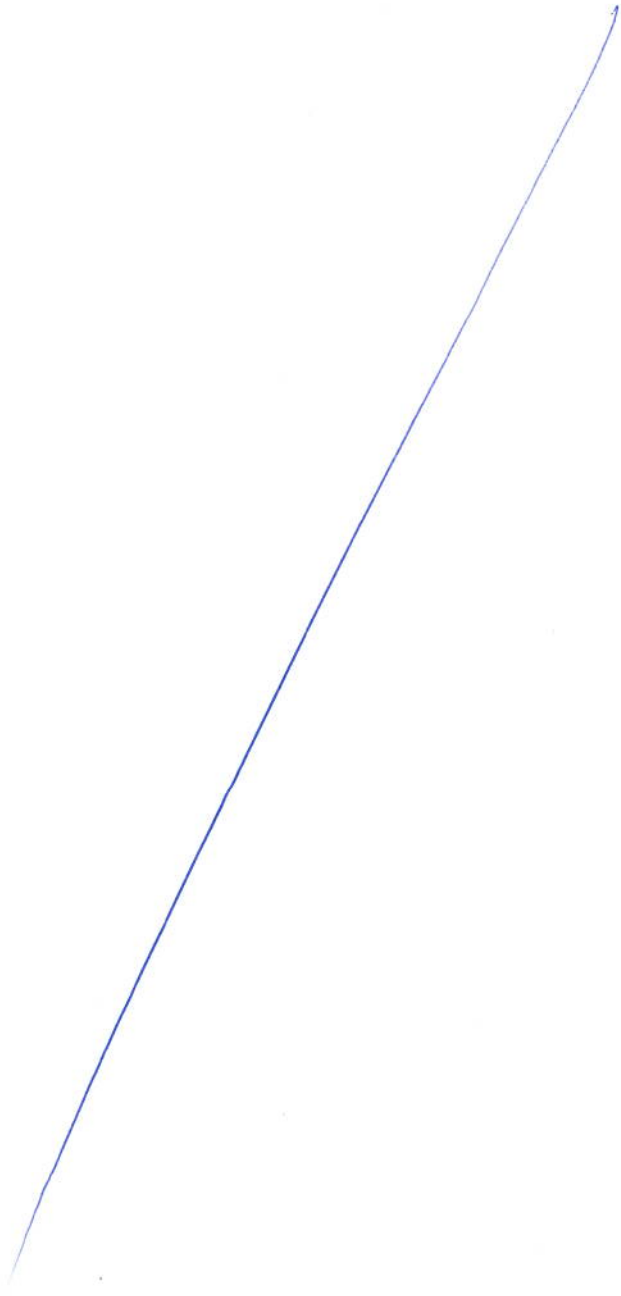
(Bertin et al NIP 2013)

$$\partial_t \rho = \frac{1}{2} \Gamma : \underline{\underline{w}} + \frac{1}{2} \Delta \rho + \nabla \cdot (\underline{\underline{K}} \cdot \underline{\underline{h}})$$

$$\underline{\underline{K}} \cdot \underline{\underline{K}} = \frac{\rho}{2} \underline{\underline{I}} + \underline{\underline{w}} \equiv \frac{\rho}{2} (\underline{\underline{I}} + 2 \underline{\underline{Q}})$$

$$\langle \underline{\underline{h}}(00) \underline{\underline{h}}(x+1) \rangle = 2 \frac{1}{2} \delta^d(\underline{\underline{x}}) \delta(t) \quad \swarrow \text{FD } \mathbb{R}^n$$

$$\Gamma : \underline{\underline{w}} \rightarrow \nabla_i \nabla_j (\rho Q_{ij}) = \nabla_i (Q_{ij} \nabla_j \rho) + \nabla_i (\rho \nabla_j Q_{ij})$$



"Wet" active matter

(51)

Recall general framework for coupled dynamics
of $\{\underline{R}_\alpha\}, \{\underline{P}_\alpha\}$ particles + fluid (velocity $\underline{u}(\underline{r}, t)$)

$$\dot{\underline{R}}_\alpha = \underline{P}_\alpha / m$$

$$\dot{\underline{P}}_\alpha + \Gamma \left[\frac{\underline{P}_\alpha}{m} - \underline{u}(\underline{R}_\alpha(t), t) \right] = \underline{F}_\alpha(\{\underline{R}_\alpha\}) + \underline{f}_\alpha(t)$$

external + interaction

noise

$$\rho(\dot{\underline{u}} + \underline{u} \cdot \nabla \underline{u}) = \eta \nabla^2 \underline{u} + \sum_\alpha \delta(\underline{r} - \underline{R}_\alpha(t)) \underline{F}_\alpha - \nabla p + \underline{h}(t)$$

noise

So far: replace \underline{u} by $\langle \underline{u} \rangle = 0$ "Rouse"
"Dry"

Now include \underline{u} . Not in microscopic theory.

Write down coarse-grained theory directly.

Recall general dynamical equations

for coords q , momenta p , coupled
to chemistry X .

$$\dot{q} + \gamma \partial_q H = \partial_p H + \Theta,$$

$$\dot{p} + \Gamma \partial_p H - \frac{\Gamma_{12}(q)}{\Gamma_{22}} \partial_x H = -\partial_q H + f,$$

$$\dot{x} + \frac{\Gamma_{12}(q)}{\Gamma_{22}} \partial_p H = -\frac{1}{\Gamma_{22}} \partial_x H + \frac{\Sigma}{\Gamma_{22}};$$

that is,

Aside: to calculate entropy production need all 3

$$\dot{q} + \gamma \partial_q H = \partial_p H + \Theta$$

$$\dot{p} + \Gamma \partial_p H + \frac{\Delta \mu}{\Gamma_{22}} \Gamma_{12}(q) = -\partial_q H + f$$

Apply this to fluid with orientational degrees of freedom (apolar, but can do polar similarly)

$q \rightarrow$ traceless symmetric \underline{Q}

$p \rightarrow \underline{g} = \rho \underline{u} =$ momentum density

$\Rightarrow \Gamma_{12}(q) \rightarrow \gamma_{12} \nabla \cdot \underline{Q}$ (lowest-gradient vector you can make from \underline{Q})

Handwritten text, likely bleed-through from the reverse side of the page. The text is extremely faint and illegible due to the quality of the scan. It appears to be organized into several paragraphs or sections, but the specific content cannot be discerned.

Hydrodynamic equations

53

$$(\partial_t + \underline{u} \cdot \nabla) \underline{\underline{Q}} - [\underline{\underline{\Omega}}, \underline{\underline{Q}}] = \left(\lambda_0 \underline{\underline{\kappa}} + \lambda_1 \underline{\underline{\kappa}} \underline{\underline{Q}} \right)_{ST}$$

$$\underline{\underline{\Omega}} = (\nabla \underline{u})_{\text{antisym}}$$

$$\underline{\underline{\kappa}} = (\nabla \underline{u})_{\text{sym}}$$

ST =
symmetrized
traceless

$$- \Gamma \frac{\delta H}{\delta \underline{\underline{Q}}} + \underline{\underline{f}}_{\text{noise}}$$

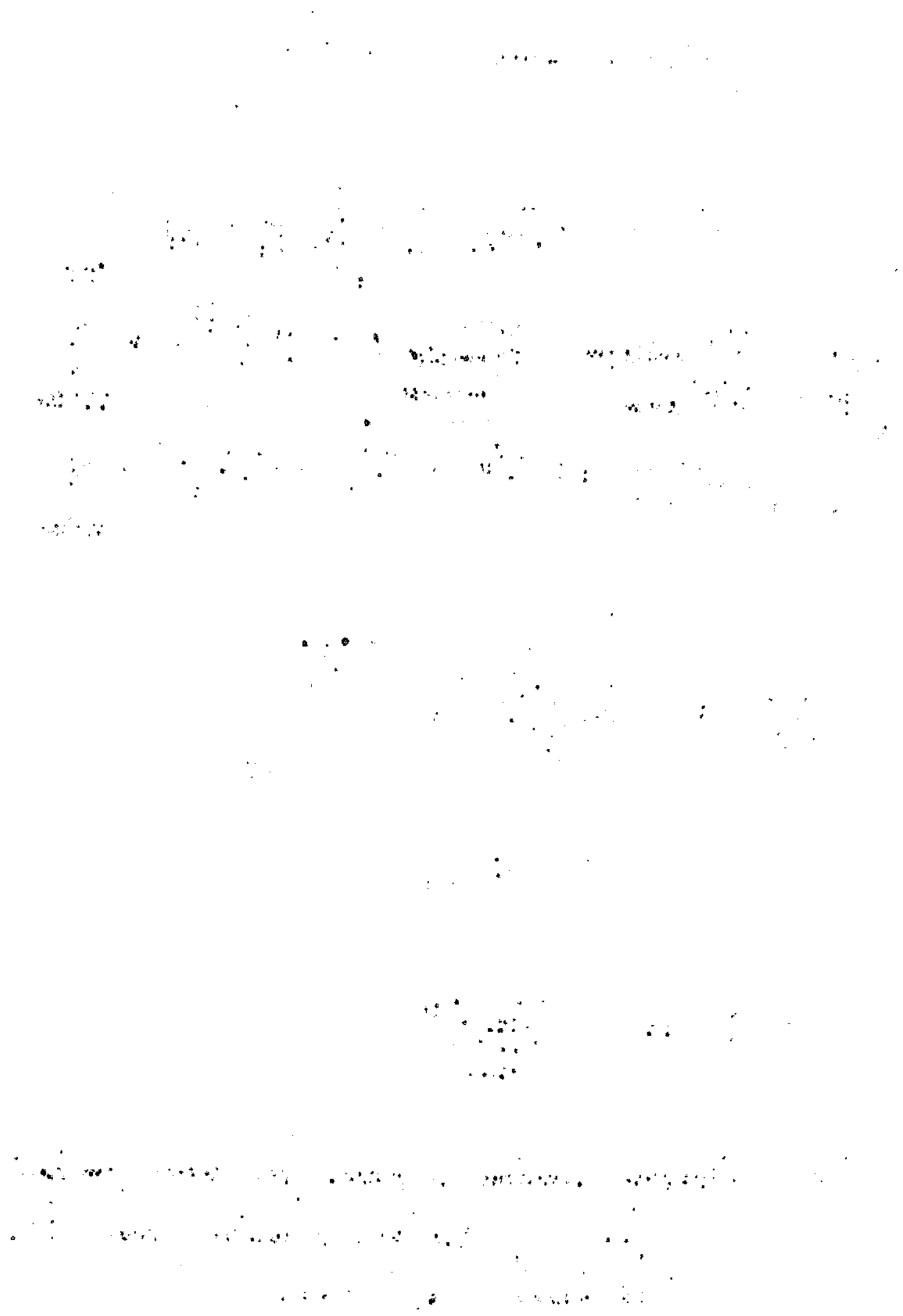
$$\rho (\partial_t + \underline{u} \cdot \nabla) \underline{u} = \eta \nabla^2 \underline{u} - \nabla p - \nabla \cdot \underline{\underline{g}}^Q + \underline{\underline{\Sigma}}_{\text{noise}}$$

$$\underline{\underline{g}}^Q = - \left(\lambda_0 \frac{\delta H}{\delta \underline{\underline{Q}}} + \lambda_1 \underline{\underline{Q}} \frac{\delta H}{\delta \underline{\underline{Q}}} \right)_{ST}$$

$$+ \sigma_0 \underline{\underline{Q}} \quad (+ \sigma_1 \nabla p \text{ if polar})$$

$$\sigma_0 = \frac{\gamma_{12}}{\Gamma_{22}} \Delta \mu$$

H = Ginzburg-Landau-deGennes free energy functional
for $\underline{\underline{Q}}$, produces nematic phase etc.
Discussed last lecture



Local anisotropic structure Q

⇒ local anisotropic stress $\sigma \propto Q$

⇒ Macroscopic spontaneously aligned state has macroscopic uniaxial stress.

Deeply nonequilibrium effect. Breaks Pascal's Law.

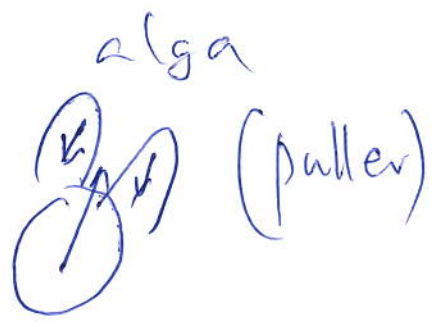
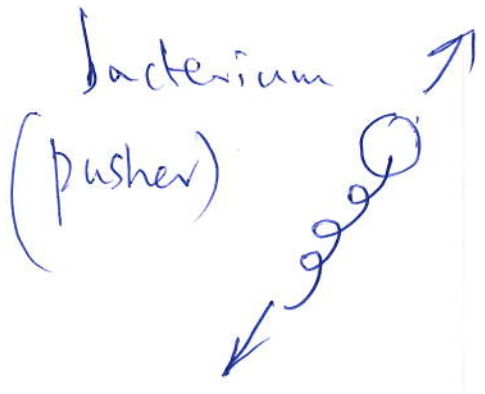
Equilibrium nematic liquid crystal has Q ≠ 0 but stress at equilibrium is isotropic like in any liquid.

(a) Consequences?

(b) Microscopic origin?

First ~~of~~

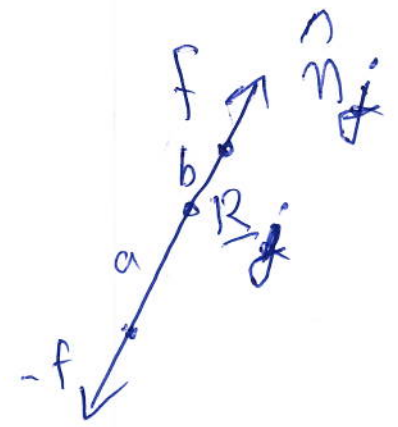
Microscopic origin of active stress: swimmers



Force-free swimmers.

Newton III \rightarrow total force on organism + fluid = 0

\therefore force dipoles are the minimal possibility.



$a \neq b$ to get net movement

$$(\text{Force density})_{\alpha} = f \frac{a}{R} \left[\delta\left(\frac{r-R}{R} - \frac{b}{R} \hat{n}_i\right) - \delta\left(\frac{r-R}{R} + \frac{a}{R} \hat{n}_i\right) \right]$$

Consider a collection of these, construct collective force density $\sum_j (\text{Force density})_j \rightarrow$

$$\text{Expand: } \delta\left(\underline{r} - \underline{R}_j - b\hat{n}_j\right) - \delta\left(\underline{r} - \underline{R}_j + a\hat{n}_j\right)$$

$$= -(a+b)\hat{n}_j \cdot \nabla \delta(\underline{r} - \underline{R}_j) + o(\nabla^2)$$

$$\Rightarrow \sum_j (\text{force density})_j = - (a+b) f \sum_j \hat{n}_j \cdot \hat{n}_j \delta(\underline{r} - \underline{R}_j) \\ + \frac{(a+b)(a-b)}{2} f \nabla \nabla : \sum_j \hat{n}_j \hat{n}_j \delta(\underline{r} - \underline{R}_j) \\ + \dots$$

$$\text{First term} = -\nabla \cdot \underline{\underline{\sigma}}^Q + \nabla(\text{scalar})$$

$$\underline{\underline{\sigma}}^Q = (a+b) f \sum_j \left(\hat{n}_j \hat{n}_j - \frac{1}{d} \underline{\underline{1}} \right) \delta(\underline{r} - \underline{R}_j)$$

$$= (a+b) f c(\underline{r}, t) \underline{\underline{Q}}(\underline{r}, t).$$

Stress \propto orientation as promised.

Higher orders in ∇ :

$$\sigma^{\text{polar}} \sim (a-b)\nabla p$$

need this for swimming

Consequences:

- * aligned state unstable
- * swimming changes viscosity
- * Independent swimmers \rightarrow long-ranged correlations of fluid velocity etc.
- * Interesting effects in confined 2d systems

Instability of aligned state:

Linearize ~~about~~ $\underline{Q} = \mathcal{J} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + \mathcal{J} \begin{pmatrix} 0 & 2\theta \\ -2\theta & 0 \end{pmatrix}$

Linearize \underline{u} eqⁿ and drop inertia

$$\eta \nabla^2 \underline{u} = \nabla p + \nabla \cdot \underline{\sigma}^Q$$

$$= \nabla p + \sigma_0 \nabla \cdot \underline{Q} - \nabla \cdot \left(\lambda_0 \frac{\partial H}{\partial \underline{Q}} + \lambda_1 \frac{\partial H}{\partial \underline{Q}} \right)$$

line arize this too

Solve for \underline{u} in terms of \underline{Q} ,

Replace \underline{Q} and \underline{u} in \underline{Q} -eqⁿ by $\frac{1}{\epsilon} (\nabla u + \nabla u^T)$
and $\frac{1}{\epsilon} (\nabla u + \nabla u^T)$

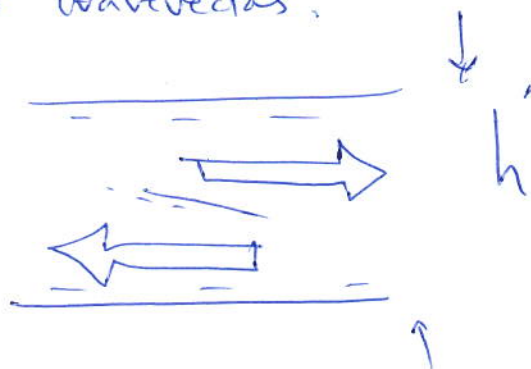
Find

$$\partial_t \theta = \overset{\text{sign?}}{\sigma_0} \left(\text{factor dependent on } \lambda_0, \lambda_1 \right) \cos 2\phi + \overset{\text{nematic elastic constant}}{K} q^2 \theta$$

ϕ = angle between mean ordering direction
and wavevector.

Channel geometry
spontaneous flow.

if $h \gtrsim \sqrt{\frac{K}{\sigma_0}}$



Viscosity of isotropic active fluid depends on activity

$$\partial_t \underline{Q} = -\Gamma a \underline{Q} + \lambda_0 \underline{\kappa}$$

from $-\Gamma \frac{\delta H}{\delta \underline{Q}}$

$$-\eta \nabla^2 \underline{u} = -\nabla p - \sigma_0 \nabla \cdot \underline{Q} + \dots; \nabla \cdot \underline{u} = 0$$

steady state with imposed flow

$$\Rightarrow \underline{Q} = \frac{\lambda_0}{\Gamma a} \underline{\kappa} = \frac{\lambda_0}{2\Gamma a} (\nabla \underline{u} + \nabla \underline{u}^T)$$

$$\Rightarrow \nabla \cdot \underline{Q} = \frac{\lambda_0}{2\Gamma a} (\nabla^2 \underline{u} + \nabla \nabla \cdot \underline{u})$$

$$= \frac{\lambda_0}{2\Gamma a} \nabla^2 \underline{u}$$

$$\Rightarrow \eta_{\text{eff}} = \eta + \frac{\sigma_0 \lambda_0}{2\Gamma a} = \eta + \sigma_0 \tau$$

↑
relaxⁿ
time of
nematic
order.

enhance or reduce viscosity depending on sign (σ_0).

Lots of experiments now.

Independent "run & tumble" swimmers

with no alignment \rightarrow long-range velocity



\Rightarrow fluctuating \underline{Q} field: correlations
zero space correl
time correl: τ

$$\rho \partial_t \underline{u} - \eta \nabla^2 \underline{u} = -\nabla p - \sigma_0 \nabla \cdot \underline{Q}$$

$$\langle \underline{Q}(0,0) \underline{Q}(r,t) \rangle = \underline{C} e^{-t/\tau}$$

$$\Rightarrow \langle \underline{u}(0) \underline{u}(r) \rangle \sim \frac{e^{-r/\xi}}{r}$$

$$\xi = \sqrt{\frac{\eta}{\rho} \tau} \quad d=3$$

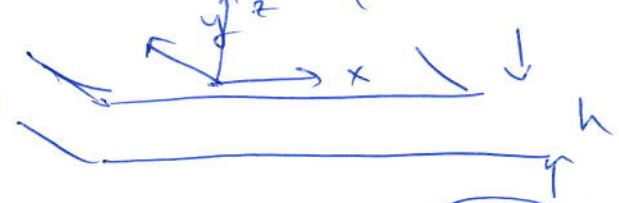
($= \infty$ in the
strict Stokesian
limit $\rho \ll \eta$)

M Graham 2005

Law & Lubensky 2008

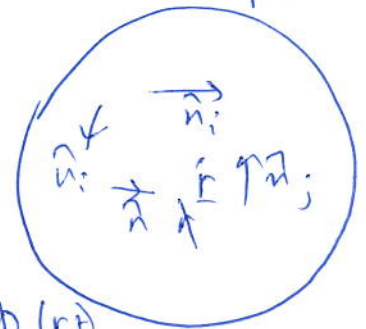
Dadgar, Rao, SK unpublished ...

Active ^{polar} fluid in a substrate (or between two plates)



(6r)

Polar system: order parameter



Integrate 3d equations over thin z direction

$$\langle \hat{n}_i \rangle_r = \underline{p}(r, t)$$

$$\rho \partial_t \underline{u} = -(\Gamma - \eta \nabla^2) \underline{u} + \alpha \underline{p} + \dots$$

$$\lambda \partial_t \underline{p} = \lambda \underline{u} - (a - K \nabla^2) \underline{p} + \dots$$

α : local orientation \Rightarrow local forcing

λ : local flow reorients ...

$\alpha \lambda$ large & positive \Rightarrow spontaneous alignment.

