

# On Class Number of Number Fields

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In 1801 Gauss proposed three conjectures regarding class number of quadratic number fields in his book “Disquisitiones Arithmeticae”.

The conjecture about the existence of infinitely many real quadratic fields of class number one is yet to be answered.

# Relative Class Number

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### Definition

The relative class number  $H_d(f)$  of a real quadratic field  $K = \mathbb{Q}(\sqrt{m})$  of discriminant  $d$  is defined to be the ratio of class numbers of  $\mathcal{O}_f$  and  $\mathcal{O}_K$ , where  $\mathcal{O}_K$  denotes the ring of integers of  $K$  and  $\mathcal{O}_f$  is the order of conductor  $f$  given by  $\mathbb{Z} + f\mathcal{O}_K$ .



# Dirichlet's Formula

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### Result

Let  $\theta(f)$  be the smallest positive integer such that  $\xi_m^{\theta(f)} \in \mathcal{O}_f$  and  $\psi(f) = f \prod_{q|f} \left(1 - \left(\frac{d}{q}\right) \frac{1}{q}\right)$ , where  $\left(\frac{d}{q}\right)$  denotes the “Kronecker residue symbol” of  $d$  modulo a prime  $q$ . Then the relative class number for conductor  $f$  is given by

$$H_d(f) = \frac{\psi(f)}{\theta(f)}. \quad (1)$$

# Fundamental Unit and Relative Class Number

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If  $\beta_0$  is divisible by a prime  $q$ , then  $\theta(q) = 1$ .

When the square-free integer  $m$  does not divide  $\beta_0$ , there exists a prime  $q$  dividing  $m$  such that  $\beta_0$  is not divisible by  $q$ . Using  $f = q$  in Dirichlet's formula,  $\psi(q) = q$  and  $\theta(q) \neq 1$  is a factor of  $\psi(q)$ . Hence  $\theta(q) = \psi(q) = q$ , and  $H_d(q) = 1$ .





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### Theorem

- (i) If  $p \equiv 1 \pmod{4}$  is an odd prime not dividing  $m$ , then the relative class number for conductor  $p$  is not 1.*
- (ii) If  $p \equiv 3 \pmod{4}$  is an odd prime not dividing  $m$ , then the relative class number for conductor  $p$  is odd.*

# Sketch of the proof

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$\xi_m^{\frac{p-1}{2}} = \alpha_1 + \beta_1\sqrt{m}$  has norm 1 as  $\frac{p-1}{2}$  is even, so its inverse is

$$\xi_m^{-\frac{p-1}{2}} = \alpha_1 - \beta_1\sqrt{m}.$$

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It follows

$$2\beta_1\sqrt{m} = \xi_m^{\frac{p-1}{2}} - \xi_m^{-\frac{p-1}{2}} = \xi_m^{-\frac{p-1}{2}} (\xi_m^{p-1} - 1) \in p\mathcal{O}_K.$$

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From  $2\beta_1\sqrt{m} \in p\mathcal{O}_K$  it follows that  $2m\beta_1 = \sqrt{m} \cdot 2\beta_1\sqrt{m} \in p\mathcal{O}_K$ .

Hence,  $2m\beta_1 \in p\mathbb{Z}$ , so  $p \mid \beta_1$ , since  $2m$  is invertible modulo  $p$ .





## Proposition

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## Corollary

*There are infinitely many real quadratic fields of relative class number 1 for the conductor 3.*

# Fundamental Unit of a Cubic Field and its Class Number

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We will now describe our results relating the class number of number field of degree 2 to congruence relations satisfied by the fundamental unit of cubic and quadratic field.



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### Proposition

*Let  $q \neq m$  be a prime that ramifies in  $K = \mathbb{Q}(\sqrt[3]{m})$ . If  $3 \nmid h_m$  then either  $\xi_m$  or  $\xi_m^2$  can be written as  $\frac{\alpha^3}{q}$  for some  $\alpha \in K$ .*



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The proof follows from the fact that a principal ideal can only be a cube of another principal ideal.



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Let  $\xi_d = x + y\sqrt{d} > 1$  be the fundamental unit of  $\mathbb{Q}(\sqrt{d})$ .

1. If  $d = p$  or  $2p$  where  $p$  is a prime congruent to 3 mod 4, then  $2\xi_d = u_d^2$  for some  $u_d \in \mathcal{O}_K$ .
2. If  $d = p_1 p_2$ , where  $p_1$  and  $p_2$  are two distinct primes congruent to  $\equiv 3 \pmod{4}$ , then  $p_1 \xi_d = u_d^2$  for some  $u_d \in \mathcal{O}_K$ .

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### Corollary

If  $K = \mathbb{Q}(\sqrt{d})$  is a real quadratic field with discriminant having at least three prime factors then the class number of  $K$  is even.

# Sketch of the proof

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Suppose  $K = \mathbb{Q}(\sqrt{d})$  is the real quadratic field under question. The given condition implies that at least 3 distinct rational primes  $p$ ,  $q$  and  $r$  ramify in  $K$ .



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If  $\xi_d$  denotes the fundamental unit of  $K$  and the class number of  $K$  is not divisible by 2, then we can write  $\xi_d = \frac{\alpha^2}{p} = \frac{\beta^2}{pq}$  by previous proposition. Then  $\sqrt{q} \in K$ , a contradiction.



Let  $\xi_m = x + yt + zt^2$ , where  $t = \sqrt[3]{m} \in \mathbb{R}$ , be the fundamental unit of  $K$ .

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### Theorem

*Let  $K = \mathbb{Q}(\sqrt[3]{m})$  be a pure cubic field with a power integral basis (i.e.,  $m$  square-free natural number and  $m \not\equiv \pm 1 \pmod{9}$ ). If 3 does not divide  $h_m$ , then  $m$  must be either  $p$  or  $3p$  for some prime  $p$ .*

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### Corollary

*For any composite number  $m \equiv 2, 4, 5$  or  $7 \pmod{9}$ , the class number of  $\mathbb{Q}(\sqrt[3]{m})$  is divisible by 3.*

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Table :  $m \neq p, 3p$ ,  $m \not\equiv \pm 1 \pmod{9}$  &  $m$  square-free

|       |    |    |    |    |    |    |    |    |    |
|-------|----|----|----|----|----|----|----|----|----|
| $m$   | 14 | 22 | 30 | 34 | 38 | 42 | 58 | 60 | 65 |
| $h_m$ | 3  | 3  | 3  | 3  | 3  | 3  | 3  | 3  | 18 |
| $m$   | 66 | 74 | 77 | 78 | 85 | 86 | 92 | 94 | 95 |
| $h_m$ | 6  | 3  | 3  | 9  | 3  | 3  | 3  | 3  | 3  |

## Theorem

Suppose  $m = 3p$  where  $p$  is any prime other than 3. Let  $\xi_m = x + yt + zt^2$  be the fundamental unit of the field  $K = \mathbb{Q}(t)$  ( $t = \sqrt[3]{m}$ ). If 3 does not divide  $h_m$  then  $x^2 \equiv 1 \pmod{27p}$  and  $y \equiv z \equiv 0 \pmod{3}$ .



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Table :  $m = 3p$ , where  $p \neq 3$  is a prime

| $m$ | $x^2 \pmod{27}$          | $x^2 \pmod{p}$        | $y \pmod{3}$      | $z \pmod{3}$         | $h_m$ |
|-----|--------------------------|-----------------------|-------------------|----------------------|-------|
| 21  | $1705^2 \not\equiv 1$    | $1705^2 \not\equiv 1$ | $618 \equiv 0$    | $224 \equiv 0$       | 3     |
| 39  | $529^2 \not\equiv 1$     | $529^2 \not\equiv 1$  | $156 \equiv 0$    | $46 \not\equiv 0$    | 6     |
| 57  | $1460968^2 \not\equiv 1$ | $1460968^2 \equiv 1$  | $379620 \equiv 0$ | $98641 \not\equiv 0$ | 6     |

# Elliptic curves and unramified quadratic extensions of bi-quadratic fields

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There are many results regarding the relations between class numbers of number fields and points on elliptic curves.

R. Soleng gave a construction of families of quadratic number fields from an elliptic curve having ideal class group isomorphic to the torsion group of the curve.

A. Sato constructed quadratic number fields with class number divisible by 5 from points on elliptic curves.



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The following theorem took the inspiration from Lemmermeyer's method and give a construction for infinitely many bi-quadratic number fields with even class number from the points of an elliptic curve of rank at least one.

## Theorem

Let  $m \neq 0, 1$  be a square-free integer which is divisible by 3 if it is positive. Let  $P_0 = \left(\frac{r_0}{t_0^2}, \frac{s_0}{t_0^3}\right)$  be any non-torsion point of the elliptic curve  $y^2 = x^3 + m$  such that  $r_0$  is odd and non-square. Let  $\left(\frac{r_i}{t_i^2}, \frac{s_i}{t_i^3}\right) = 2^i P_0$  for each natural number  $i$ . Then the bi-quadratic field  $K_i = \mathbb{Q}(\sqrt{r_i}, \sqrt{m})$  has an everywhere unramified quadratic extension  $K_i(\sqrt{\beta_i})$ , where  $\beta_i$  is either  $\pm(s_i + t_i^3\sqrt{m})$  or  $3(s_i + t_i^3\sqrt{m})$ .

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### Lemma

Consider the duplication formula for the point  $P = \left(\frac{r}{t^2}, \frac{s}{t^3}\right)$  on  $y^2 = x^3 + m$ :

$$\left(\frac{r(2P)}{t(2P)^2}, \frac{s(2P)}{t(2P)^3}\right) = 2P = \left(\frac{r(9r^3 - 8s^2)}{(2st)^2}, \frac{27r^6 - 36r^3s^2 + 8s^4}{(2st)^3}\right) \quad (2)$$

Suppose  $m$  is square-free and  $r$  is odd. If  $3 \nmid s$ , the fractions on the right hand side of the above equation are already in their reduced form. When  $s = 3s'$  the fractions on the right hand side above reduces to

$$\left(\frac{r(2P)}{t(2P)^2}, \frac{s(2P)}{t(2P)^3}\right) = 2P = \left(\frac{r(r^3 - 8s'^2)}{(2s't)^2}, \frac{r^6 - 12r^3s'^2 + 24s'^4}{(2s't)^3}\right).$$



## Lemma

Let  $P = \left(\frac{r}{t^2}, \frac{s}{t^3}\right)$  be a non-torsion point of the elliptic curve  $E_m$  with  $t$  even. Then  $\alpha$  and its conjugate  $\bar{\alpha}$  over  $\mathbb{Q}(\sqrt{r})$  generate coprime ideals in the ring  $\mathcal{O}_K$  of integers in  $K$ . Moreover, there exists an ideal  $\mathfrak{a}$  in  $\mathcal{O}_K$  such that  $\langle \alpha \rangle := \alpha \mathcal{O}_K = \mathfrak{a}^2$ .

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## Lemma

The extension  $K(\sqrt{\beta})$  over  $K = \mathbb{Q}(\sqrt{r}, \sqrt{m})$  is quadratic and unramified at all finite primes.



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## Lemma

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Using all the above results the theorem follows. In fact, an infinite family of such bi-quadratic fields of even class number can be constructed by using the same method.

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