

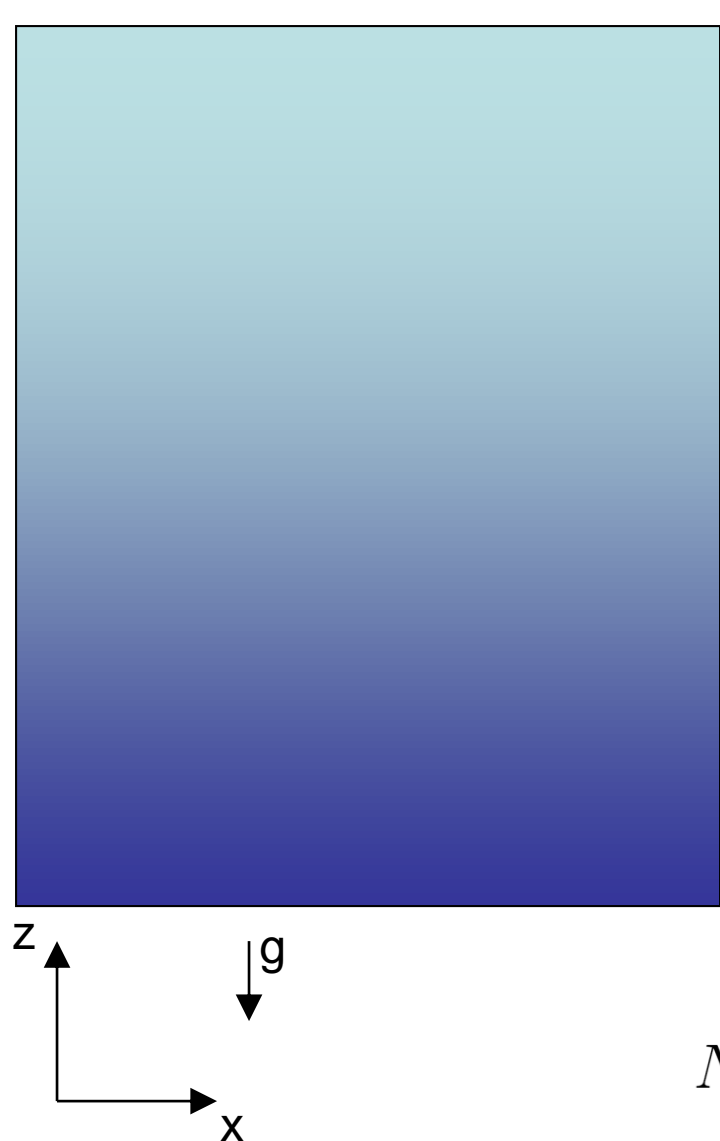
Modeling internal gravity waves for oceanic applications

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What are internal waves ?



- Propagating disturbances of the density stratification $\rho(z)$ of a stably stratified fluid
- Small two-dimensional perturbations in an incompressible, inviscid, Boussinesq fluid are governed by:

$$\frac{\partial^2}{\partial t^2} \left[\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial z^2} \right] w + N^2 \frac{\partial^2 w}{\partial x^2} = 0$$

w – vertical velocity perturbation

$$N = \sqrt{\frac{-g}{\rho_0} \frac{d\rho}{dz}} \text{ – Brunt Vaisala frequency}$$

The dispersion relation

$$\frac{\partial^2}{\partial t^2} \left[\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial z^2} \right] w + N^2 \frac{\partial^2 w}{\partial x^2} = 0$$

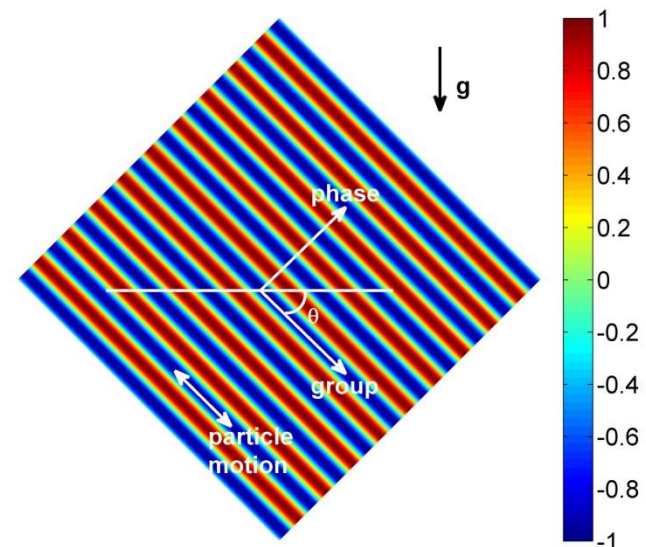
- Look for plane wave solutions:

$$w = W e^{i(k_x x + k_z z - \omega t)}$$

- Dispersion relation:

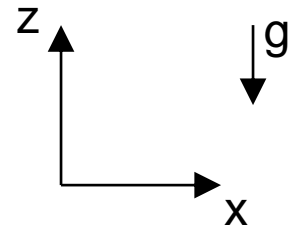
$$\omega^2 = N^2 \sin^2 \theta, \text{ where } \tan \theta = \frac{k_x}{k_z}$$
$$\cot^2 \theta = \frac{N^2 - \omega^2}{\omega^2}$$

1. $|\vec{k}|$ not fixed by the dispersion relation.
2. θ goes from 0 to $\pi/2$ as ω goes from 0 to N
3. $\vec{c}_p \perp \vec{c}_g$

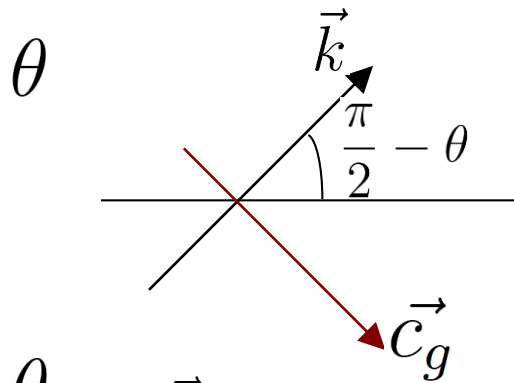


Internal Waves – Dispersion Relation

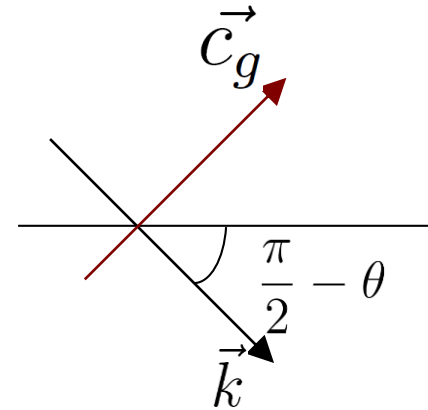
$$\omega^2 = N^2 \sin^2 \theta, \text{ where } \tan \theta = \frac{k_x}{k_z}$$



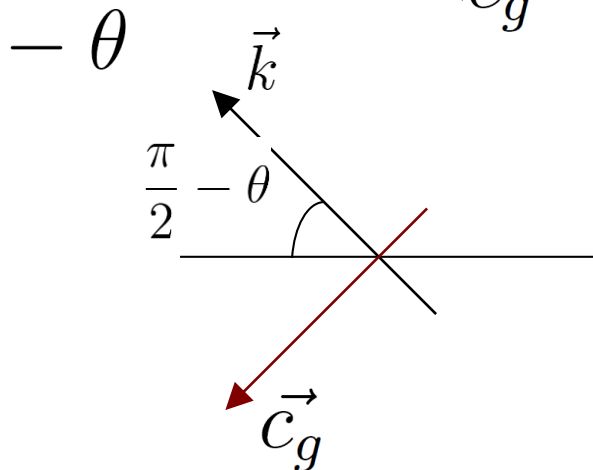
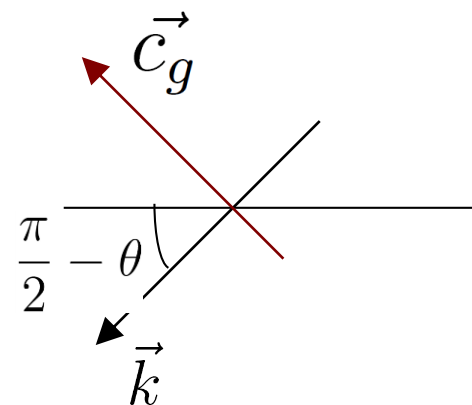
- Four possible solutions:



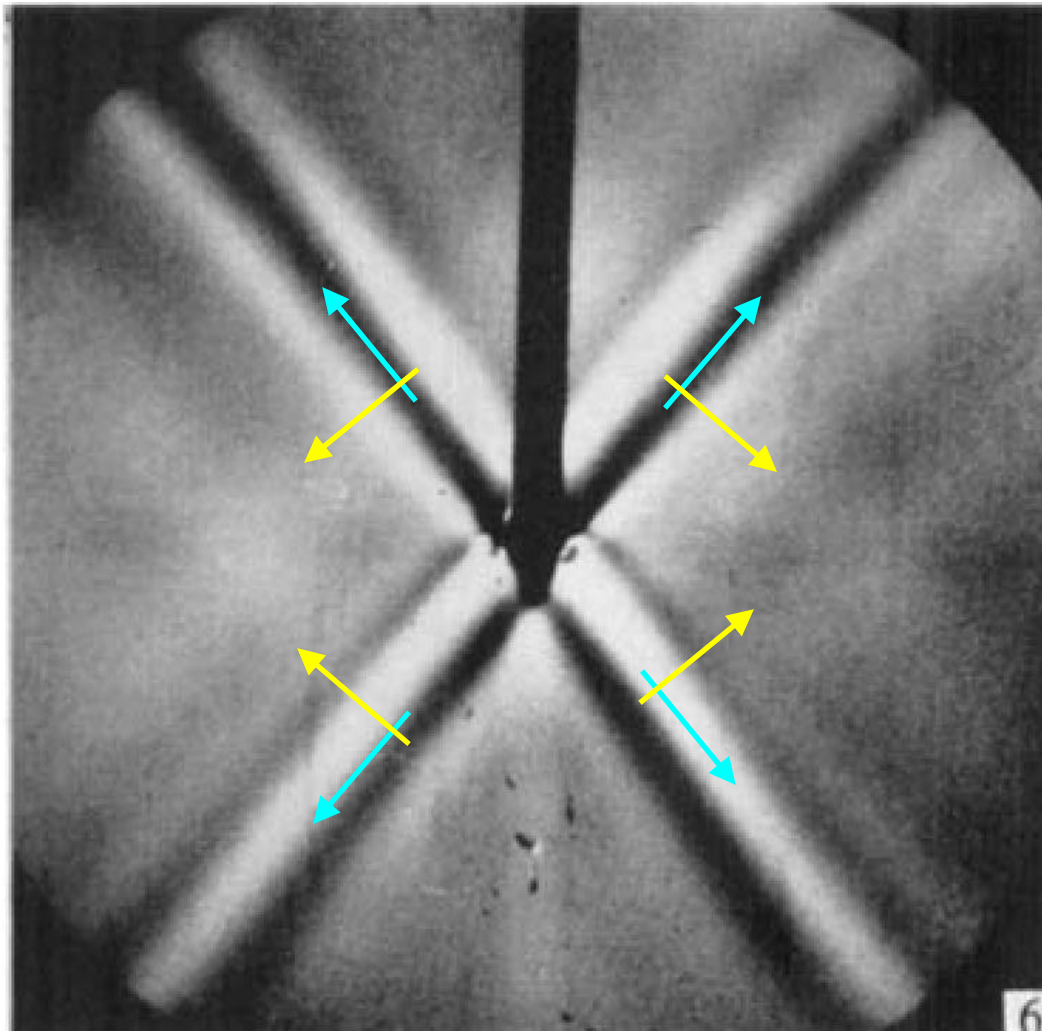
$$\pi - \theta$$



$$\pi + \theta$$



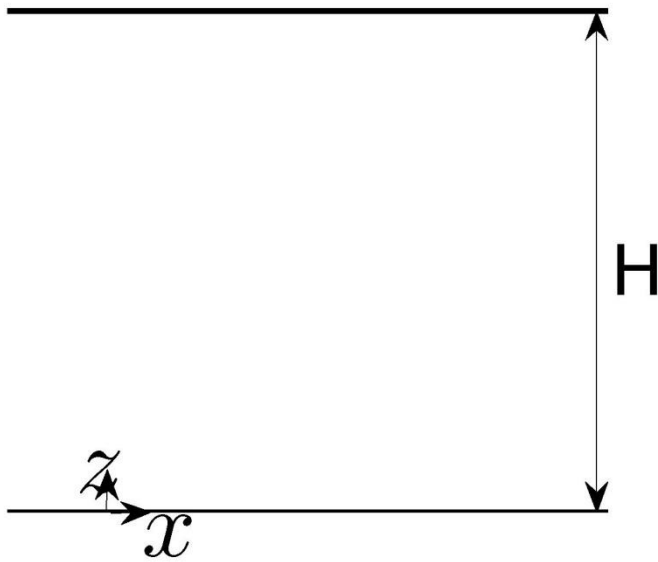
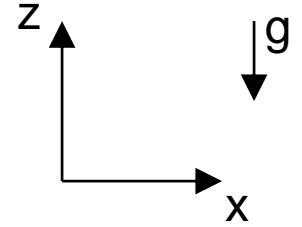
A simple experiment



St. Andrews cross - Mowbray & Rarity (1967)

Vertical modes

$$\frac{\partial^2}{\partial t^2} \left[\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial z^2} \right] w + N^2 \frac{\partial^2 w}{\partial x^2} = 0$$



Boundary conditions

$$w = 0 \text{ at } z = 0, H$$

$$w = W(z) e^{i(k_x x - \omega t)}$$

$$\frac{d^2 W}{dz^2} + \frac{N^2 - \omega^2}{\omega^2} k_x^2 W = 0$$

$$\text{mode } n : W_n(z) = \sin\left(\frac{n\pi z}{H}\right), U_n(z) = \cot \theta \cos\left(\frac{n\pi z}{H}\right)$$

$$k_x = \frac{n\pi}{H \cot \theta}$$

$$\text{Linear wave field: } u'(x, z, t) = \sum_{n=-\infty}^{n=\infty} U_n(z) \cos(k_n x - \omega t + \phi_n)$$

Dispersion Relation with Coriolis effects

- Fully nonlinear equations – Traditional, inviscid and Boussinesq

$$\frac{\partial^2}{\partial t^2}(\nabla^2\psi) + f^2 \frac{\partial^2\psi}{\partial z^2} = \frac{g}{\rho^*} \frac{\partial}{\partial x}[J(\psi, \rho)] - \frac{\partial}{\partial t}[J(\psi, \nabla^2\psi)] + f \frac{\partial}{\partial z}[J(\psi, v)]$$

$$\frac{\partial\rho}{\partial t} = -J(\psi, \rho) \qquad \frac{\partial v}{\partial t} + J(\psi, v) = f \frac{\partial\psi}{\partial z}$$

- Dispersion Relation

$$\cot^2 \theta = \frac{N_0^2 - \omega^2}{\omega^2 - f^2}$$

- For propagation

$$f < \omega < N_0 \quad \text{or} \quad f > \omega > N_0$$

- Near-inertial waves $\omega \approx f$ implies $\theta = 0$

- Typical values in the ocean

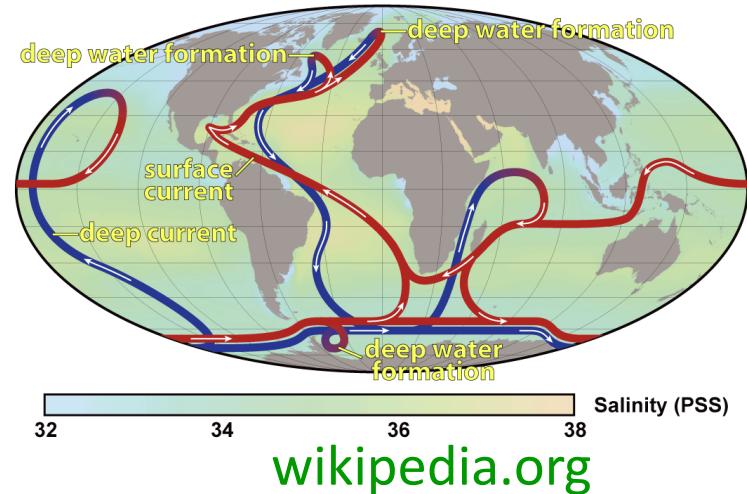
$$f \approx 10^{-4} \text{rad/s} \quad \omega_{M2} = 1.4 \times 10^{-4} \text{rad/s}$$

$$N_0 \approx 6 \times 10^{-4} \text{rad/s} \quad \theta \approx 9.5^\circ$$

What maintains the state of the ocean ?

- *“Without deep mixing, the ocean would turn, within a few thousand years, into a stagnant pool of cold salty water with equilibrium maintained locally by near-surface mixing and with very weak convectively driven surface-intensified circulation”* – Munk & Wunsch (1998)

Thermohaline Circulation



- Necessitates mechanical sources of deep ocean mixing:

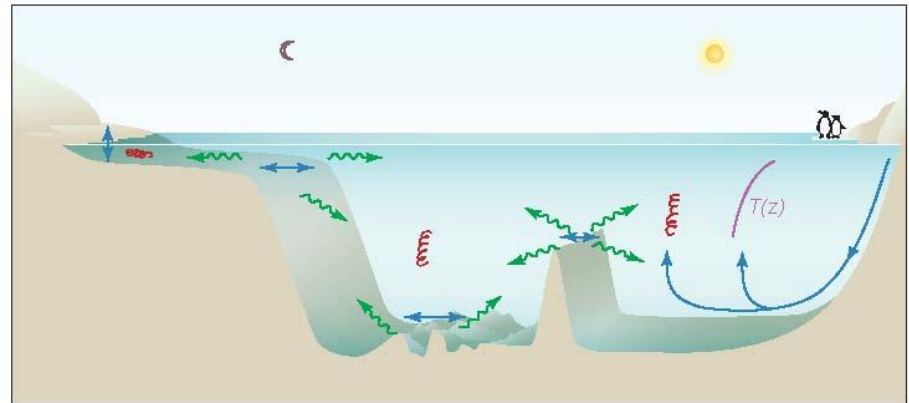
1. Tides
2. Winds

- *“In the open ocean, away from topography, the internal wave field is the only serious candidate for vertical mixing”* – Munk & Wunsch (1998)

- In this talk, we focus on one possible nonlinear mechanism that may lead to dissipation – relevant for both tides and winds

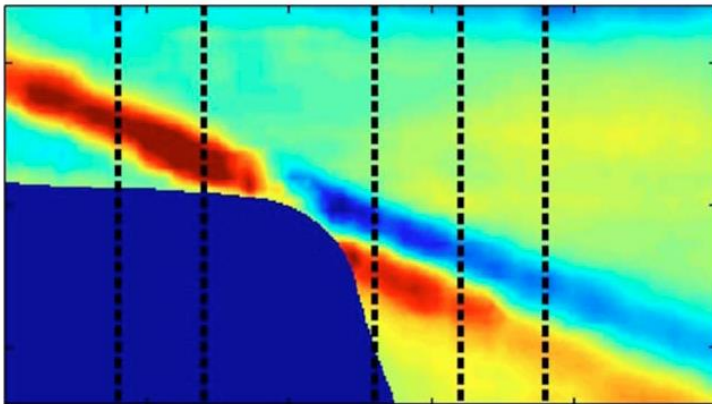
Internal tide generation in the ocean

Garret (2003)



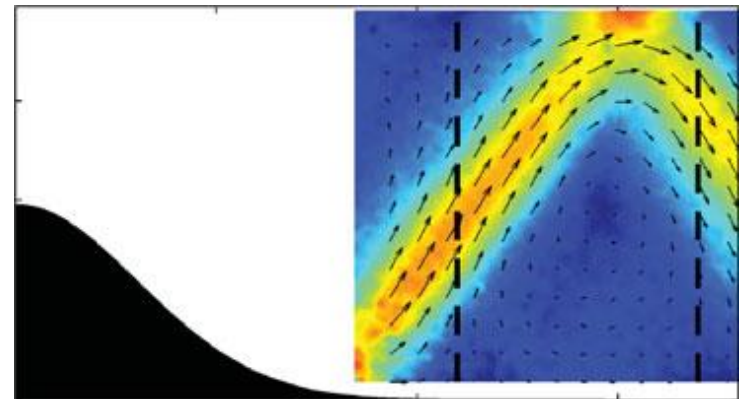
- Internal tides are caused by tidal currents flowing over topography
- High modes dissipate near generation site
- Low modes travel far

Gostiaux & Dauxois (2007)



Continental shelf generation

Echeverri et al. (2009)

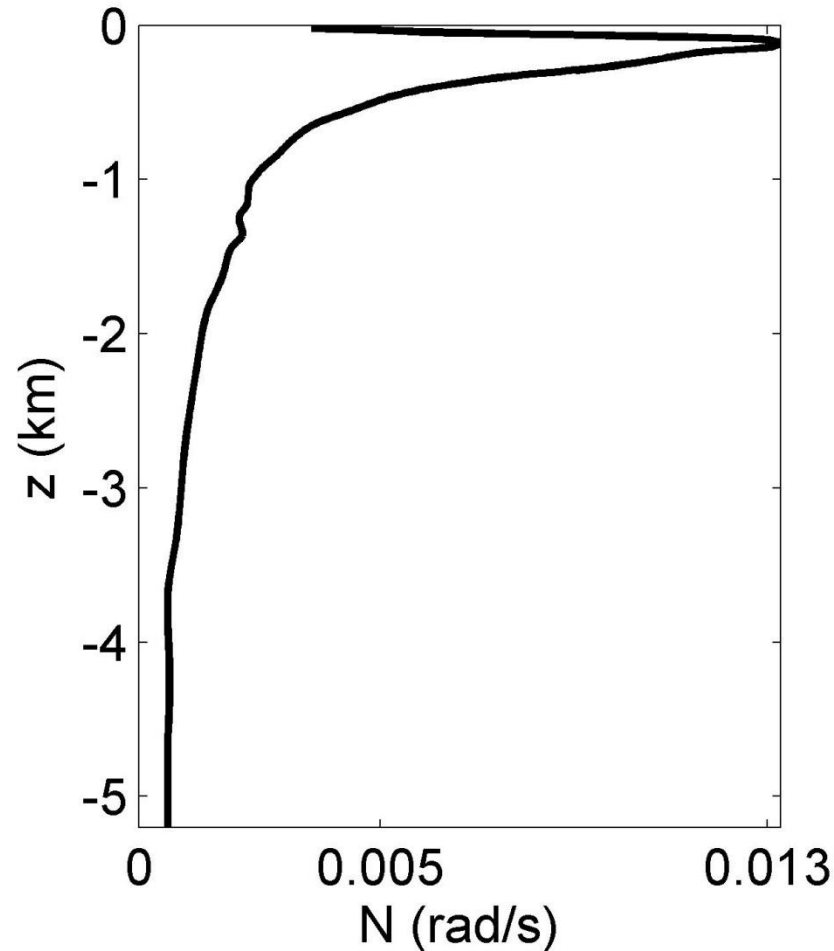


Deep ocean generation

Internal tide dissipation mechanisms

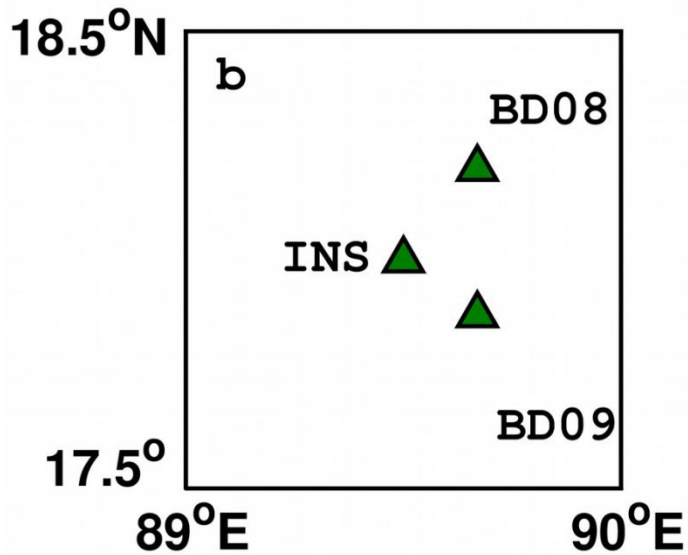
- Scattering by supercritical slopes (Kunze & Llewelyn Smith 2004)
 - Scattering by far-field topography (Johnston & Merrifield 2003)
 - Parametric subharmonic instability (MacKinnon & Winters 2005)
 - Interaction with mean flow and mesoscale structures (St. Laurent & Garrett (2002), Rainville & Pinkel 2006)
 - Interaction of internal wave beams with nonuniformly stratified upper ocean – Generation of higher harmonics and/or solitons (New & Pingree 1992, Gerkema 2001, Diamessis *et al.* 2014)
- ✓ Relative importance of each mechanism is unclear. In this talk, we focus on one such mechanism: nonlinear effects resulting from modal interactions

Typical $N(z)$ in the ocean



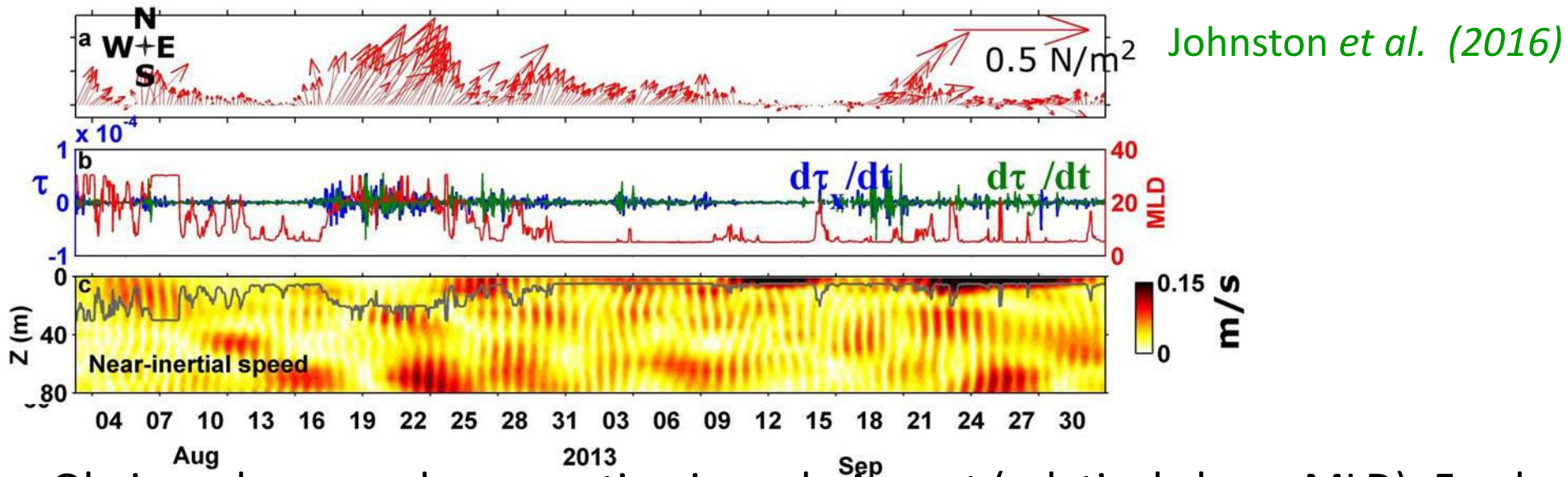
- Nonuniformities ubiquitous in geophysical settings. Upper ocean characterized by strongly stratified pycnocline sitting below well-mixed thin layer called the mixed layer. Deep ocean uniformly stratified.

NEAR-INERTIAL CURRENTS - GENERATION & DISSIPATION



- Ocean Moored Buoy Network in the Northern Indian Ocean (OMNI) – Venkatesan *et al.* 2013.

- We present data (1hr. resolution) obtained at 17.88deg. N, 89.67deg. E.



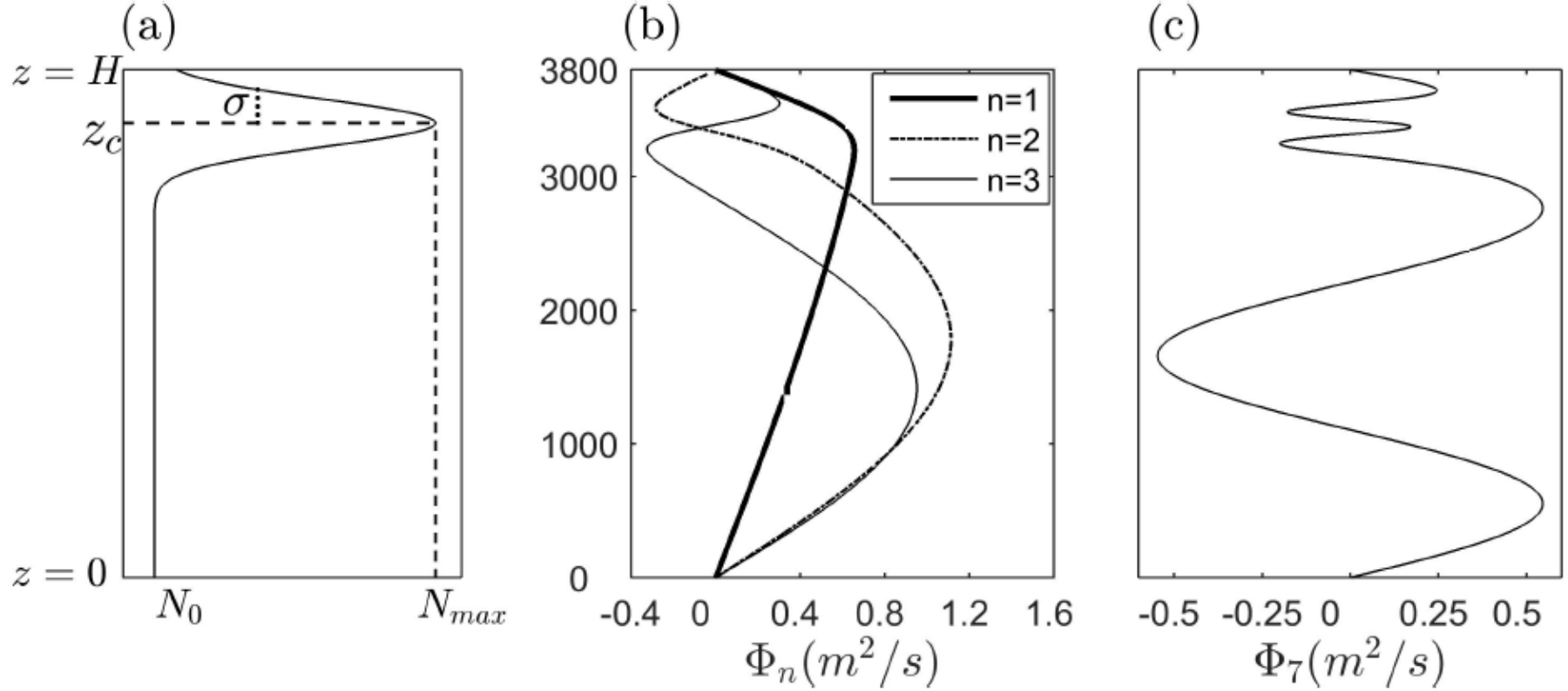
Johnston *et al.* (2016)

- Obvious downward propagation in early August (relatively large MLD); Fresh water influx in September – small MLD & strong stratification below – not so obvious downward propagation.

Decay mechanisms for near-inertial currents

- Radiation of downward-propagating NIOs – Gill (1984), Young & Ben Jelloul (1997), Moehlis & Llewellyn Smith (2008)
- Nonlinear Interactions transferring energy to other frequencies – Henyey *et al.* (1986)
- Turbulent Dissipation - Hebert & Moum (1993)

Nonlinear effects from modal interactions



$$\psi_1 = \sum_{n=1}^{\infty} \sqrt{\frac{2\omega k_n E_n}{\rho^*(\omega^2 - f^2) \int_0^H \left(\frac{d\Phi_n}{dz}\right)^2 dz}} \Phi_n(z) \cos(k_n x - \omega t + \alpha_n)$$

$$\frac{d^2 \Phi_n}{dz^2} + \frac{k_n^2 (N^2 - \omega^2)}{\omega^2 - f^2} \Phi_n = 0$$

Regular perturbation expansion

$$(\psi, v, \rho) = (\psi_0, v_0, \rho_0) + \epsilon(\psi_1, v_1, \rho_1) + \epsilon^2(\psi_2, v_2, \rho_2) + \dots$$

- Zeroth order flow described by quiescent stably stratified fluid
- First-order flow described by a linear combination of modes at a fixed frequency
- Second-order flow ?

$$\frac{\partial^2}{\partial t^2}(\nabla^2 \psi_2) + f^2 \frac{\partial^2 \psi_2}{\partial z^2} + N^2 \frac{\partial^2 \psi_2}{\partial x^2} = \frac{g}{\rho^*} \frac{\partial}{\partial x} [J(\psi_1, \rho_1)] - \frac{\partial}{\partial t} [J(\psi_1, \nabla^2 \psi_1)] + f \frac{\partial}{\partial z} [J(\psi_1, v_1)]$$

$$R = \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} [A_{mn} \cos((k_m + k_n)x - 2\omega t + \alpha_m + \alpha_n) + B_{mn} \cos((k_m - k_n)x + \alpha_m - \alpha_n)]$$

- Forcing at higher harmonic and zero frequency
- Generation of superharmonics and mean flow

Second-order flow

$$\psi_2 = \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} [h_{mn}(z) \cos((k_m + k_n)x - 2\omega t + \alpha_m + \alpha_n) + g_{mn}(z) \cos((k_m - k_n)x + \alpha_m - \alpha_n)]$$

$$\frac{d^2 \bar{h}_{mn}}{dz^2} + (k_m + k_n)^2 \frac{N^2 - 4\omega^2}{4\omega^2 - f^2} \bar{h}_{mn} = \bar{C}_{mn}$$
$$\frac{d^2 \bar{g}_{mn}}{dz^2} - (k_m - k_n)^2 \frac{N^2}{f^2} \bar{g}_{mn} = \bar{F}_{mn}$$

- Higher harmonic at sum horizontal wave number
- Mean flow at difference horizontal wave number
- Vertical structure governed by linear internal wave-like equations with a non-zero forcing

Uniform stratification

$$\bar{h}_{mn} = \bar{I}_{mn} \sin\left(\frac{(m-n)\pi z}{H}\right); \quad \bar{g}_{mn} = \bar{J}_{mn} \sin\left(\frac{(m+n)\pi z}{H}\right),$$

where

$$\bar{I}_{mn} = \frac{4\pi\omega\sqrt{mnE_mE_n}}{\rho^*H^2(\omega^2 - f^2)} \left(\frac{(m^2 - n^2)\left(\frac{N_0^2}{2\omega \cot^2 \theta} + \frac{\omega(N_0^2 - f^2)}{(\omega^2 - f^2)\cot^2 \theta} + \frac{f^2}{2\omega}\right)}{(m+n)^2(N_0^2 - 4\omega^2) - (m-n)^2(4\omega^2 - f^2)\cot^2 \theta} \right)$$

$$\bar{J}_{mn} = \frac{2\pi\sqrt{mnE_mE_n}}{\rho^*H^2(\omega^2 - f^2)\cot^2 \theta}.$$

➤ Higher harmonic at difference vertical wave number and mean flow at sum vertical wave number

➤ Amplitude of higher harmonic wave diverges if

$$\frac{\omega^2}{N_0^2} = \frac{(m+n)^2 - (m-n)^2(4 - f^2/\omega^2)/(1 - f^2/\omega^2)}{4(m+n)^2 - (m-n)^2(4 - f^2/\omega^2)/(1 - f^2/\omega^2)}$$

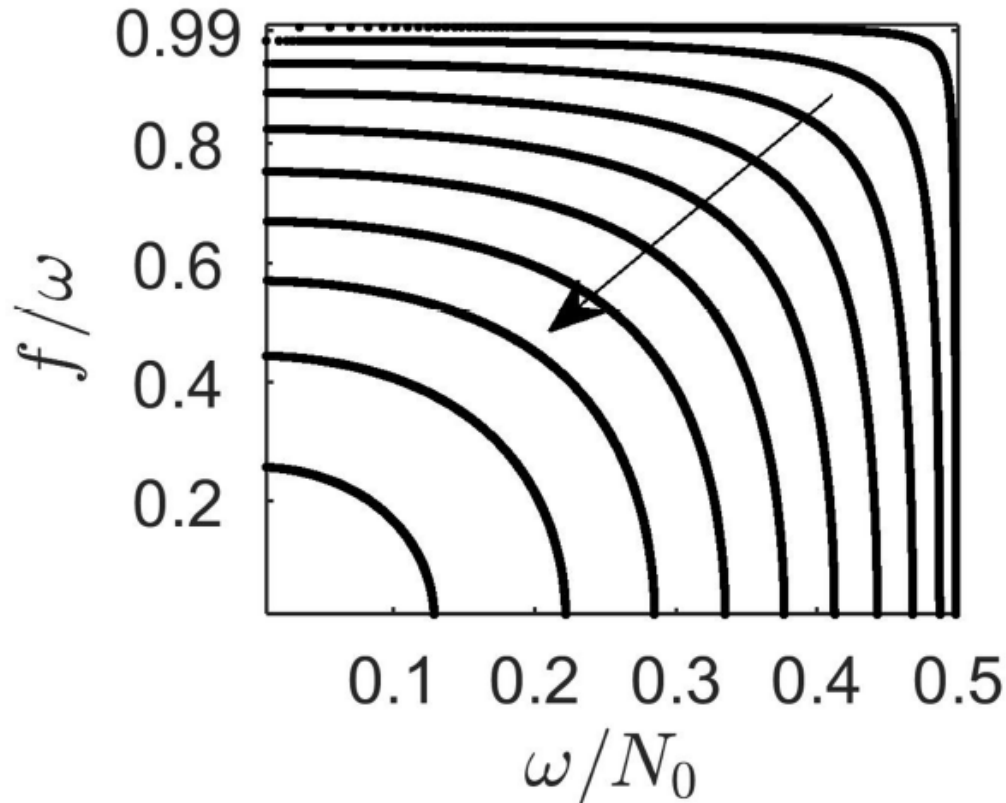
➤ Existence of resonance triad comprising modes m & n at fundamental frequency and mode (m-n) at higher harmonic frequency

➤ Inherently unstable linear internal waves irrespective of their amplitude

Uniform stratification

➤ Divergence of higher harmonic solution only if

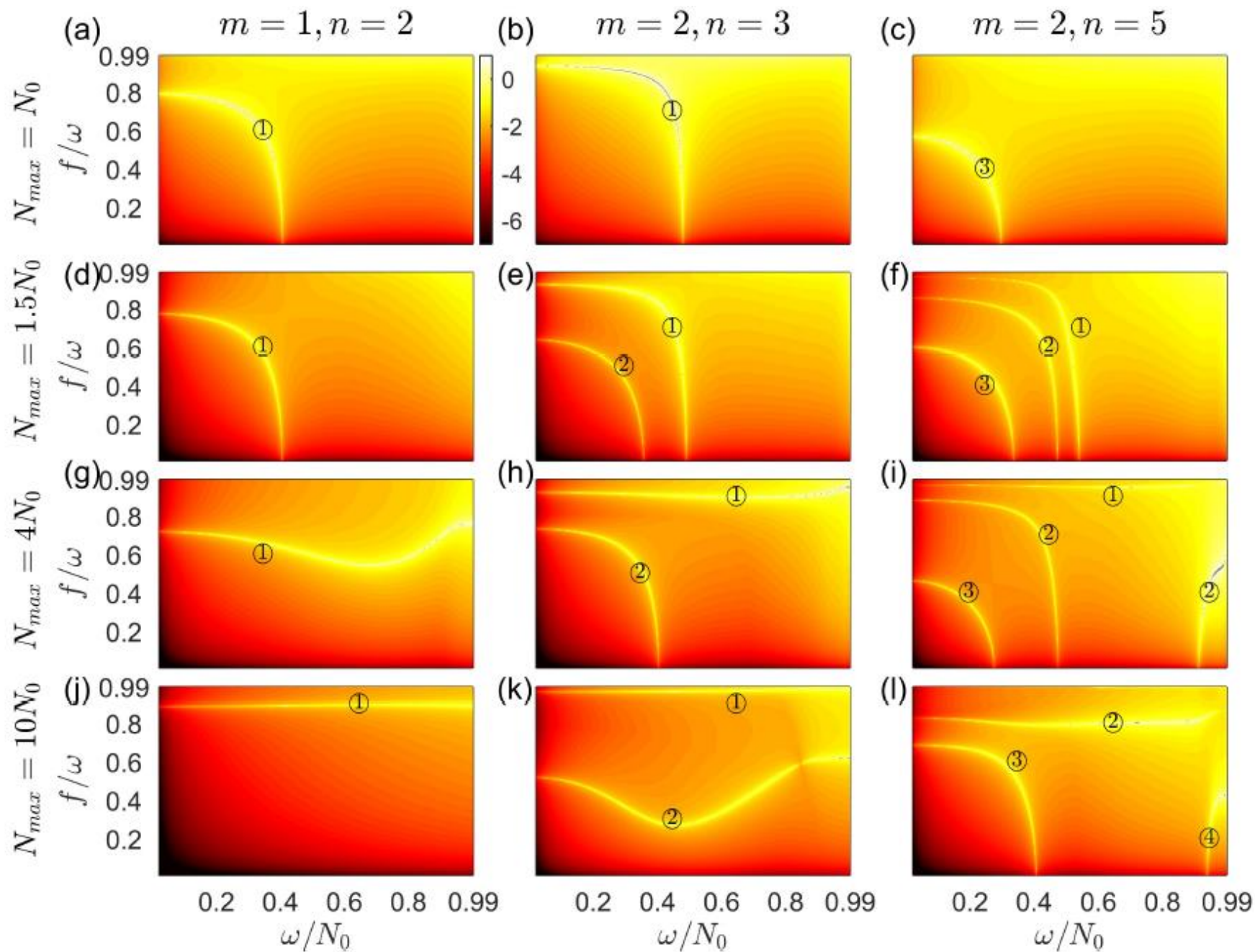
$$(m/3) < n < 3m, m \neq n$$



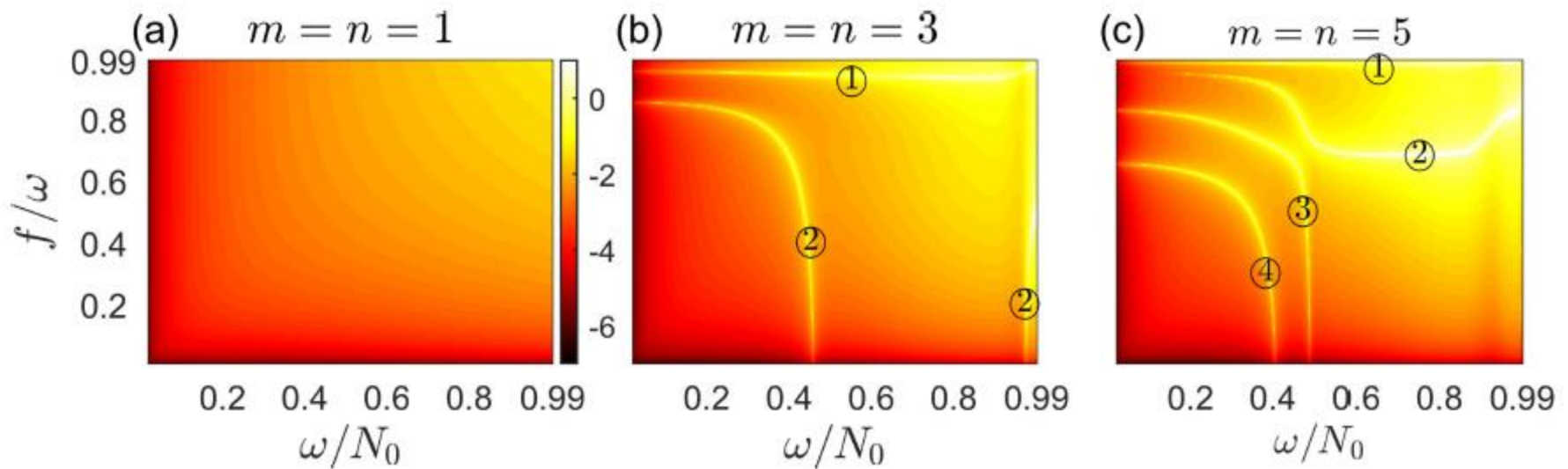
➤ m/n goes from 1.1 to 2.9 in the direction of the arrow

➤ Neighbouring high-mode interactions result in diverging weakly nonlinear solutions at near-inertial frequencies

Nonuniform stratifications

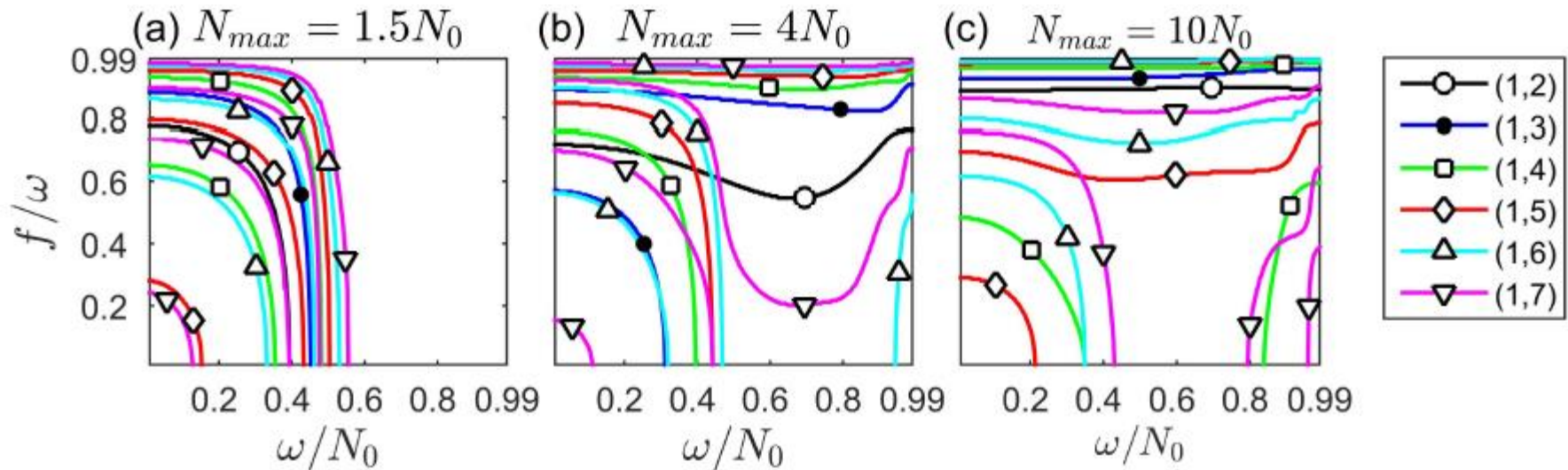


Self-interactions



- No divergence based on self-interaction in uniform stratifications
- Individual modes inherently unstable in nonuniform stratifications
- Multiple divergence curves
- Appearance of near-horizontal divergence curves at near-inertial frequencies

An application: internal tides



- Tidal internal wave beams generated by topography typically contain a range of modes
- Divergence curves corresponding to all possible modal interactions within mode-1 to mode-7 span the entire frequency plane
- Uniform stratifications have far fewer such interactions

Summary

- Modal interactions can result in strong nonlinear effects
- Nonuniform stratifications correspond to an infinitely larger number of resonant triads containing two modes at a fixed frequency when compared with a uniform stratification
- Nonlinear effects may be more likely than previously thought; raises questions about linear models that consider superposition of modes at the forcing frequency
- Existence of resonant triads understood via dispersion curves in nonuniform stratification – [Varma & Mathur \(JFM 2017\)](#)
- Currently setting up laboratory experiments to validate the theoretical results and perform observations in the nonlinear regime