

# Open Quantum Field Theory : $\phi^4$ theory

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# Outline

Introduction and motivation

How to do open-QFT?

Open- $\phi^4$  theory

Open Questions

# What is Open QFT?

- ▶ Open QFT is an effective theory which is obtained from a unitary theory by tracing out some degrees of freedom (environment field(s)).
- ▶ These theories are not unitary.

# Why open QFT?

- ▶ To understand dissipation in QFT.
- ▶ The field theory living on the exterior of a black hole is also an open QFT and hence studies of open QFT can help us to understand various aspects of black hole physics.

# The questions that we want to address

We consider an open QFT which is described by a real single scalar field.

The questions that we want to answer,

- ▶ whether the theory is renormalisable.
- ▶ whether the Lindblad condition (explained later) at tree level is preserved at one loop.

# Schwinger-Keldysh formulation

It is a path integral formulation for the evolution of density matrix.

- ▶ Double the degrees of freedom - ket field  $\phi_R$  and bra field  $\phi_L$
- ▶ The generating function,

$$\begin{aligned}\mathcal{Z}_{SK}[J_R, J_L] &= \text{Tr} \left[ U[J_R] \rho_i U^\dagger[J_L] \right] \\ &= \int_{\rho_i(\phi_R, \phi_L)}^{\phi_R|_{t=\infty} = \phi_L|_{t=\infty}} [\mathcal{D}\phi_R] [\mathcal{D}\phi_L] e^{iS[\phi_R, J_R] - iS[\phi_L, J_L]}\end{aligned}$$

The Schwinger-Keldysh action of a unitary theory is given by,

$$S_{SK} = S[\phi_R] - S[\phi_L]$$

# SK Propagators in scalar theory

There are four propagators and those are following:

$$\mathbf{R} : \langle \phi_R(-k)\phi_R(k) \rangle = \frac{-i}{k^2 + m^2 - i\epsilon}$$

$$\mathbf{L} : \langle \phi_L(-k)\phi_L(k) \rangle = \frac{i}{k^2 + m^2 + i\epsilon}$$

$$\mathbf{P} : \langle \phi_R(-k)\phi_L(k) \rangle = 2\pi\theta(k^0)\delta(k^2 + m^2)$$

$$\mathbf{M} : \langle \phi_L(-k)\phi_R(k) \rangle = 2\pi\theta(-k^0)\delta_-(k^2 + m^2)$$

These are related via the following identity,

$$\frac{1}{k^2 + m^2 - i\epsilon} - \frac{1}{k^2 + m^2 + i\epsilon} = 2\pi i \delta(k^2 + m^2)$$

# Feynman-Vernon

- ▶ We start with two fields and construct the SK path integral,

$$\mathcal{Z}_{SK} = \int [\mathcal{D}\phi_R] [\mathcal{D}\chi_R] [\mathcal{D}\phi_L] [\mathcal{D}\chi_L] e^{iS[\phi_R, \chi_R] - iS[\phi_L, \chi_L]}$$

- ▶ We trace out the  $\chi$  field and get an effective theory with a reduced SK Lagrangian,

$$S_{SK} = S[\phi_R] - S[\phi_L] + S_{FV}[\phi_R, \phi_L]$$

$S_{FV}$  is called the Feynman-Vernon influence functional.



# Lindblad Equation

If we impose that  $\text{Tr } \rho = 1$  and the eigenvalues of  $\rho$  are positive then in the Markovian approximation time evolution of density matrix in open-QM,

$$i\hbar \frac{d\rho}{dt} = [H, \rho] + i \sum_{\alpha\beta} \Gamma_{\alpha\beta} \left( L_{\beta} \rho L_{\alpha}^{\dagger} - \frac{1}{2} L_{\alpha}^{\dagger} L_{\beta} \rho - \frac{1}{2} \rho L_{\alpha}^{\dagger} L_{\beta} \right)$$

In Heisenberg picture the time evolution of any operator,

$$i\hbar \frac{d\mathcal{A}}{dt} = [\mathcal{A}, H] + i \sum_{\alpha\beta} \Gamma_{\alpha\beta} \left( L_{\alpha}^{\dagger} \mathcal{A} L_{\beta} - \frac{1}{2} L_{\alpha}^{\dagger} L_{\beta} \mathcal{A} - \frac{1}{2} \mathcal{A} L_{\alpha}^{\dagger} L_{\beta} \right)$$

Feynman-Vernon influence functional takes the form,

$$S_{FV} = i \int \sum_{\alpha\beta} \Gamma_{\alpha\beta} \left( L_{\alpha}^{\dagger}(\phi_R) L_{\beta}(\phi_L) - \frac{1}{2} L_{\alpha}^{\dagger}(\phi_R) L_{\beta}(\phi_R) - \frac{1}{2} L_{\alpha}^{\dagger}(\phi_L) L_{\beta}(\phi_L) \right)$$

# Open $\phi^4$ theory

The most general SK action with FV influence functional,

$$\begin{aligned} S = & - \int d^4x \left( \frac{z}{2} (\partial\phi_R)^2 + \frac{1}{2} m^2 \phi_R^2 + \frac{\lambda}{4!} \phi_R^4 + \frac{\sigma}{3!} \phi_R^3 \phi_L \right) \\ & + \int d^4x \left( \frac{z^*}{2} (\partial\phi_L)^2 + \frac{1}{2} m^{2*} \phi_L^2 + \frac{\lambda^*}{4!} \phi_L^4 + \frac{\sigma^*}{3!} \phi_L^3 \phi_R \right) \\ & + i \int d^4x \left( z_{\Delta} \partial\phi_R \partial\phi_L + m_{\Delta}^2 \phi_R \phi_L + \frac{\Delta}{2!2!} \phi_R^2 \phi_L^2 \right) \end{aligned}$$

This action is CPT invariant. Under CPT,

$$\phi_R \rightarrow \phi_L \text{ and } \phi_L \rightarrow \phi_R$$

# Lindblad conditions

Writing the action in the Lindblad form we obtain the following conditions on the coupling constants.

$$\text{Im } z - z_{\Delta} = 0$$

$$\text{Im } m^2 - m_{\Delta}^2 = 0$$

$$\text{Im } \lambda + 4\text{Im } \sigma - 3\Delta = 0$$

# Energy dependence of coupling constants: Beta functions

The beta functions for the coupling constants are the following:

$$\frac{dm^2}{d \ln \mu} = \frac{m^2}{(4\pi)^2} (\lambda + 2\sigma - i\Delta)$$

$$\frac{dm_\Delta^2}{d \ln \mu} = \frac{2}{(4\pi)^2} \text{Re} \left[ m^2 (\Delta + i\sigma) \right]$$

$$\frac{d\lambda}{d \ln \mu} = \frac{3}{(4\pi)^2} (\lambda + 2\sigma - i\Delta)(\lambda + i\Delta)$$

$$\frac{d\sigma}{d \ln \mu} = \frac{3}{(4\pi)^2} (\lambda + \sigma + \sigma^* + i\Delta)(\sigma - i\Delta)(\sigma - i\Delta)$$

$$\frac{d\Delta}{d \ln \mu} = \frac{1}{(4\pi)^2 i} \left[ (\lambda + 2\sigma^*)(\sigma^* + i\Delta) + 3i\sigma\Delta - c.c. \right]$$

# Universality of Lindblad conditions

The Lindblad conditions are not violated at one loop. Beta functions of Lindblad couplings are,

- ▶ No correction to  $(\text{Im } z - z_\Delta)$  at one loop.



$$\frac{d}{d \ln \mu} (\text{Im } m^2 - m_\Delta^2) = \frac{2}{(4\pi)^2} m^2 (\text{Im } \lambda + 4 \text{Im } \sigma - 3\Delta)$$



$$\begin{aligned} \frac{d}{d \ln \mu} (\text{Im } \lambda + 4 \text{Im } \sigma - 3\Delta) &= \frac{6}{(4\pi)^2} (\text{Im } \lambda + 4 \text{Im } \sigma - 3\Delta) \\ &\quad \times (\text{Re } \lambda + 2 \text{Re } \sigma) \end{aligned}$$

We also have a diagrammatic proof that the Lindblad condition is never violated at all order in perturbation theory.

# Open Questions

- ▶ Open scalar theory with two fields seems to have non-local divergences (work in progress).
- ▶ One can extend this idea to fermions (work in progress) and then QED.
- ▶ One can also prove systematic breaking of unitarity from a microscopic theory to the effective theory.