



# ENTROPY FROM SUPERSPACE INFLOW

## HOW ENTROPY IS NOETHERIAN

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*Related work*

- ★ Crossley, Glorioso, Liu, Gao
- ★ Jensen, Marjieh, Pinzani-Fokeeva, Yarom



## *Prologue: A preview of things to come...*



# ENTROPY PRODUCTION VIA INFLOW

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- ♦ For systems in local equilibrium the Noether current for thermal diffeomorphisms is the macroscopic free energy current (Legendre transform of entropy current).
- ♦ Local equilibrium is characterized by an emergent topological/BRST supersymmetry wherein diffeomorphisms along the Euclidean thermal circle are gauged (thermal equivariance).
- ♦ Net entropy is conjugate to the gauged thermal diffeomorphisms & is conserved. Physical entropy production happens by virtue of it being sourced in the superspace directions, i.e., there is an inflow of entropy from superspace.

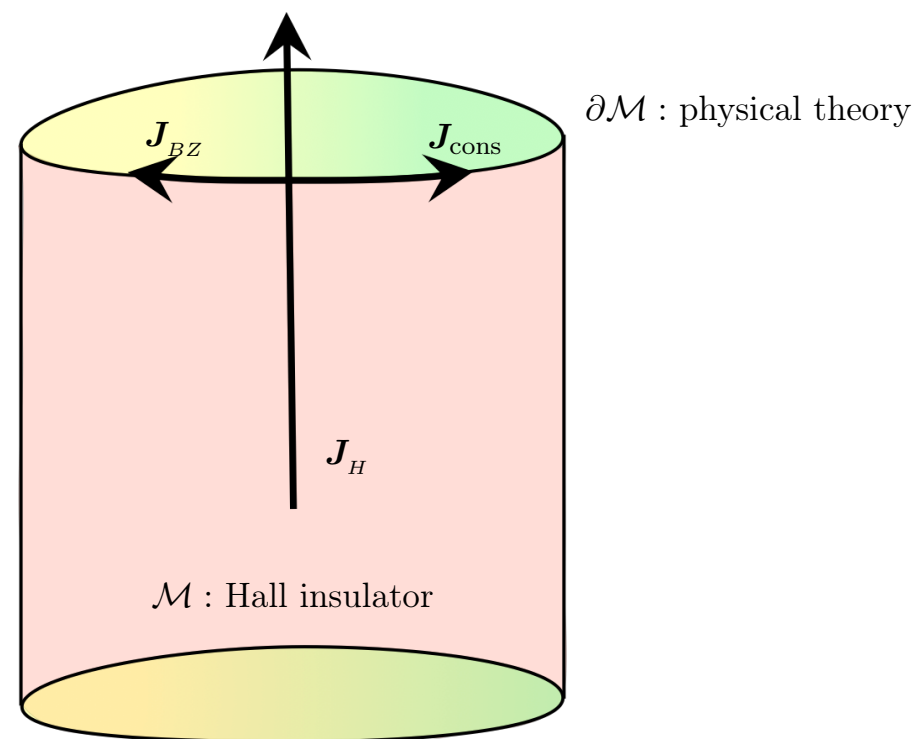
$$\dot{\mathcal{D}}_I \dot{\mathbf{N}}^I - \frac{1}{2} \dot{\mathbf{T}}^{IJ} \mathcal{L}_\beta \dot{\mathbf{g}}_{IJ} = 0 \quad \Longrightarrow \quad \mathcal{D}_a \mathbf{N}^a - \frac{1}{2} \mathbf{T}^{ab} \mathcal{L}_\beta \mathbf{g}_{ab} = \Delta \geq 0$$



# ENTROPY INFLOW

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- ♦ While the inflow mechanism for entropy arises from the superspace, it is morally similar to the manner in which the inflow mechanism operates in the context of Hall insulators & chiral edge states ('t Hooft anomalies).



*Callan, Harvey (1985)*

anomaly inflow: coupling to a topological sector  
with physical entropy being sourced in superspace

## *Act 1*

*in which we recall why entropy is  
Noetherian in gravitational theories*

# THERMODYNAMIC ENTROPY

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- ♦ Microcanonical picture: counting statistics for microstates
- ♦ Canonical picture: von Neumann entropy for Gibbs state

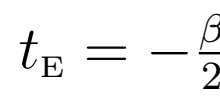
$$\rho_\beta = e^{-\beta H}$$

$$S = -\text{Tr}(\rho_\beta \log \rho_\beta)$$

- ♦ One way to interpret this is to purify the Gibbs state via the thermofield double:

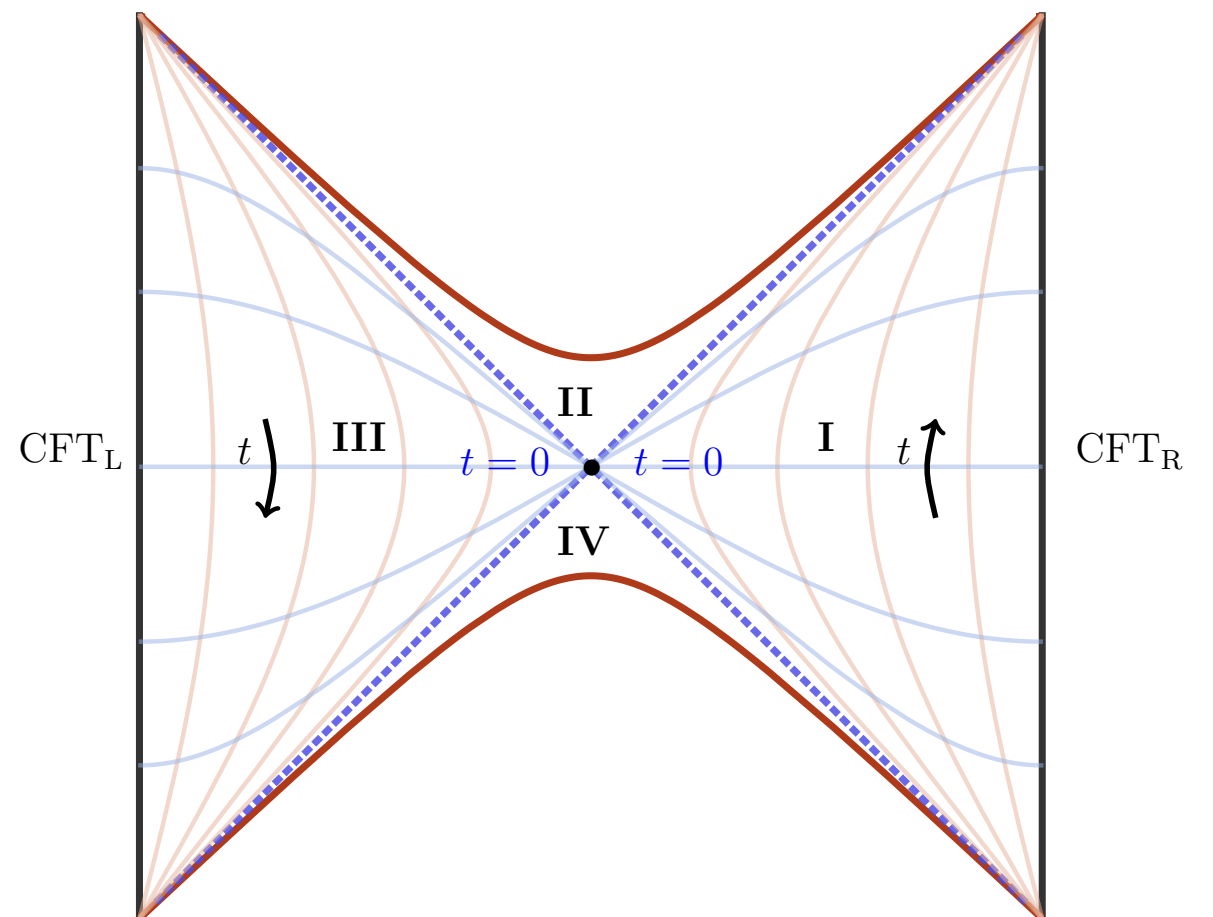
$$|\text{TFD}\rangle_\beta = \frac{1}{\sqrt{Z(\beta)}} \sum_k e^{-\frac{1}{2}\beta E_k} |\mathbf{r}_k\rangle \otimes |\mathbf{l}_k\rangle, \quad Z(\beta) = \sum_k e^{-\beta E_k}$$

- ♦ In this picture the von Neumann entropy is the entanglement between the two sets of degrees of freedom.



Lorentzian (real-time) picture as an eternal black hole.

# State preparation via Euclidean functional integral



# ENTROPY IN GEOMETRY

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- ♦ Geometrically the entropy is encoded in a geometric functional – the area in the case of Einstein-Hilbert dynamics for black holes.
- ♦ More generally, one can show that this equilibrium entropy in gravitational systems is a Noether charge.

*Iyer-Wald [gr-qc/9403028]*

$$\delta \mathbf{L} = \mathbf{E}_\phi \cdot \delta \phi + d\Theta(\phi, \delta \phi) \quad \text{variation} = \text{eqs of motion} + \text{boundary terms}$$

$$\mathbf{J} = \Theta(\phi, \mathcal{L}_\xi \phi) - \iota_\xi \mathbf{L} \quad \begin{array}{l} \text{symplectic potential} = \text{Legendre} \\ \text{transform of boundary terms} \end{array}$$

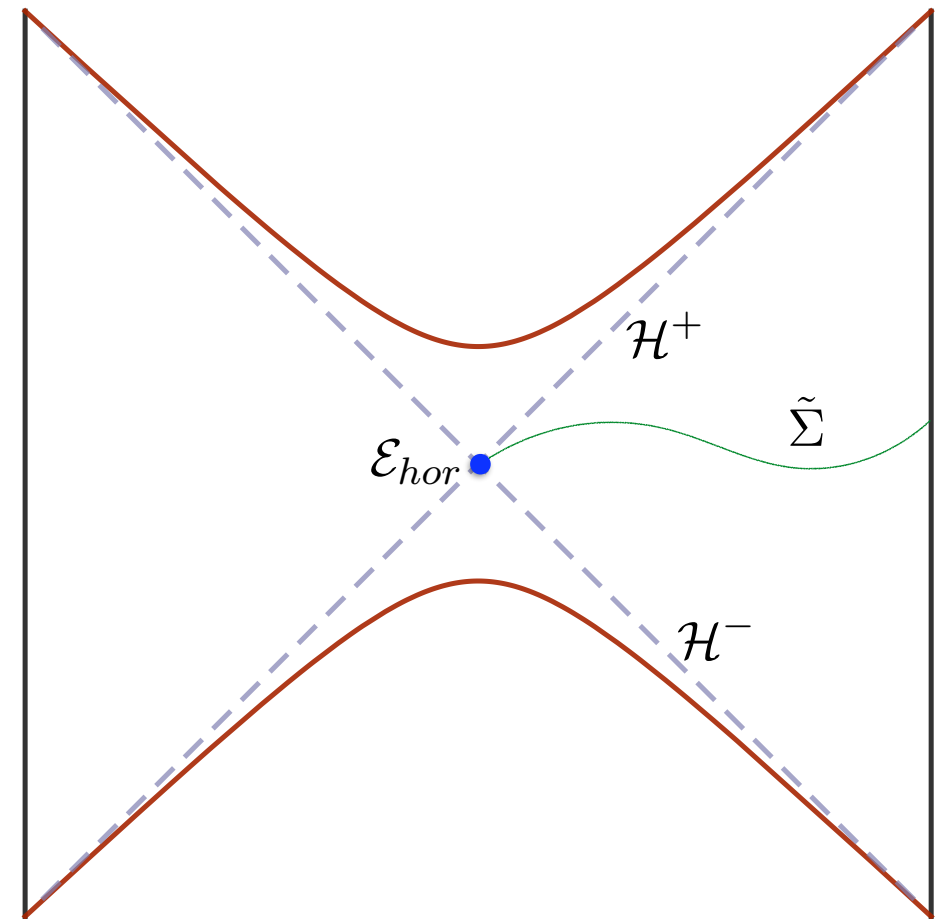
$$\begin{aligned} \nabla_A J^A &= d\mathbf{J}[\xi] = d\Theta(\phi, \mathcal{L}_\xi \phi) - d(\iota_\xi \mathbf{L}) && \text{symplectic potential conserved} \\ &= -\mathbf{E}_\phi \cdot \delta \phi && \text{modulo eqs of motion} \end{aligned}$$

# ENTROPY IN GEOMETRY

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$$d\mathbf{J}[\xi] \stackrel{\mathbf{E}}{=} 0 \implies \mathbf{J}[\xi] \stackrel{\mathbf{E}}{=} d\mathbf{Q}[\xi]$$

$$S_{bh} = \int_{\mathcal{E}_{hor}} \mathbf{Q}[\xi_{hor}]$$



- ♦ The Noether charge that captures entropy involves diffeomorphisms along the horizon.
- ♦ In the Euclidean picture these are rotations along the thermal circle.
- ♦ Thermal diffeomorphisms are responsible for entropy (or free energy).

# NOETHERIAN ENTROPY & ADS/CFT

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- ♦ Given that black hole entropy is Noetherian, it must be that the dual field theory can also be interpreted similarly in equilibrium.
- ♦ Likewise for a subclass of field theory reduced density matrices the entanglement entropy is also Noetherian (eg. spherical domains in the vacuum state of a CFT).
- ♦ Another example is the ground state entropy of the SYK model where the emergent  $SL(2)$  symmetry appears to control the degeneracy.

## *Questions:*

- ♦ How far do these statements generalize?
- ♦ Can entropy always be given a Noetherian interpretation?

*Act II*

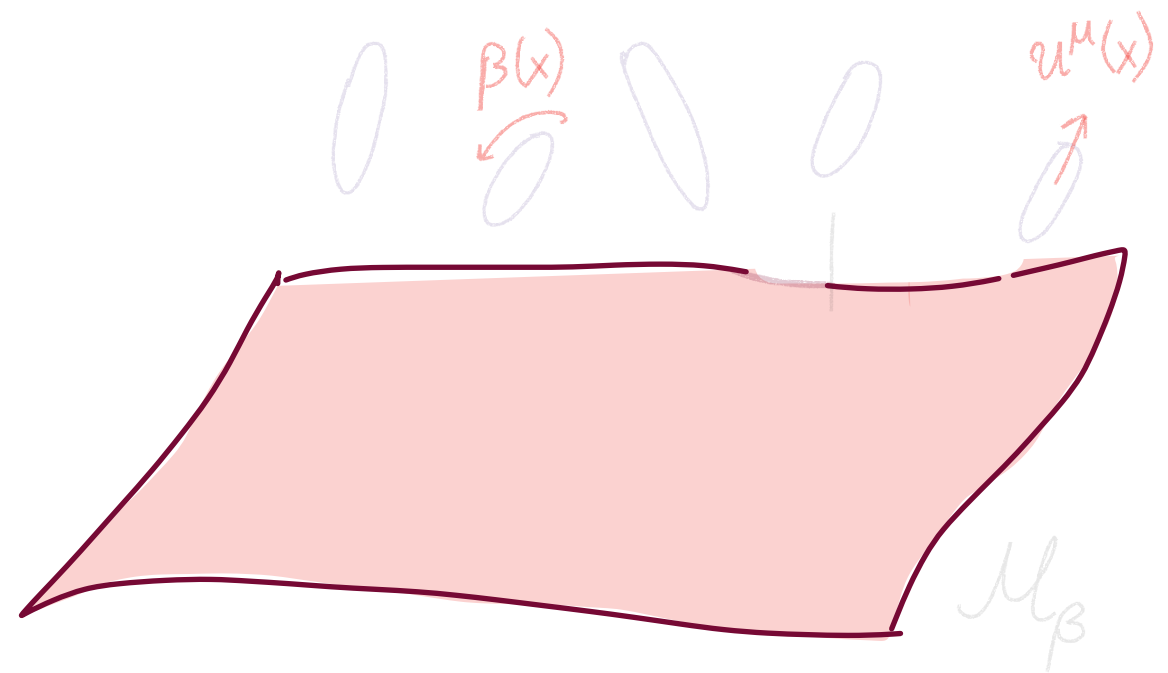
*in which we infer some salient facts  
from hydrodynamics*



# ENTROPY IN EQUILIBRIUM

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- ♦ AdS/CFT maps the gravitational dynamics into a boundary QFT.
- ♦ Eternal black holes describe thermal (Gibbsian) states of the QFT.
- ♦ How does the Noetherian feature of entropy show up in the QFT?
- ♦ Hydrostatic free energy current is a Noether current for thermal diffeomorphisms.
- ♦ Thermal equilibrium can be attained in any stationary spacetime with a timelike Killing field.
- ♦ Observables can be obtained from a statistical field theory on a Riemannian space  $\mathcal{M}_\beta$ : the thermal circle fibration over a the spatial geometry.



# ENTROPY IN EQUILIBRIUM

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- ♦ The hydrostatic partition function on  $\mathcal{M}_\beta$  allows for computation of equal time correlation function of conserved currents. *Banerjee et al [1203.3544]*  
*Jensen et al [1203.3566]*
- ♦ Fluid view of such hydrostatic configurations when gradients are small:

- local temperature is fixed to be the size of the thermal circle.  $\beta^\mu = \frac{u^\mu}{T}$
- fluid velocity is oriented along the Killing field (the fibre). *thermal vector*

$$W[g_{\mu\nu}] = \int_{\mathcal{M}_\beta} d^d x \sqrt{-g} P_s[\beta^\alpha, g_{\mu\nu}]$$

- ♦ It then follows that the equilibrium entropy current is the Noether current for thermal diffeomorphisms (same argument as before).

$$(J_S^\mu)_{eq} = -\beta_\nu T^{\mu\nu} + \beta^\mu P_s[\beta^\alpha, g_{\alpha\beta}] - \Theta_{PS}^\mu \qquad N^\mu = J_S^\mu + \beta_\nu T^{\mu\nu}$$

$$\nabla_\mu J_S^\mu = 0 \implies \nabla_\mu N^\mu = 2 T^{\mu\nu} \nabla_{(\mu} \beta_{\nu)} = T^{\mu\nu} \mathcal{L}_\beta g_{\mu\nu} = 0$$

*HLR [1502.00636]*

# BEYOND EQUILIBRIUM

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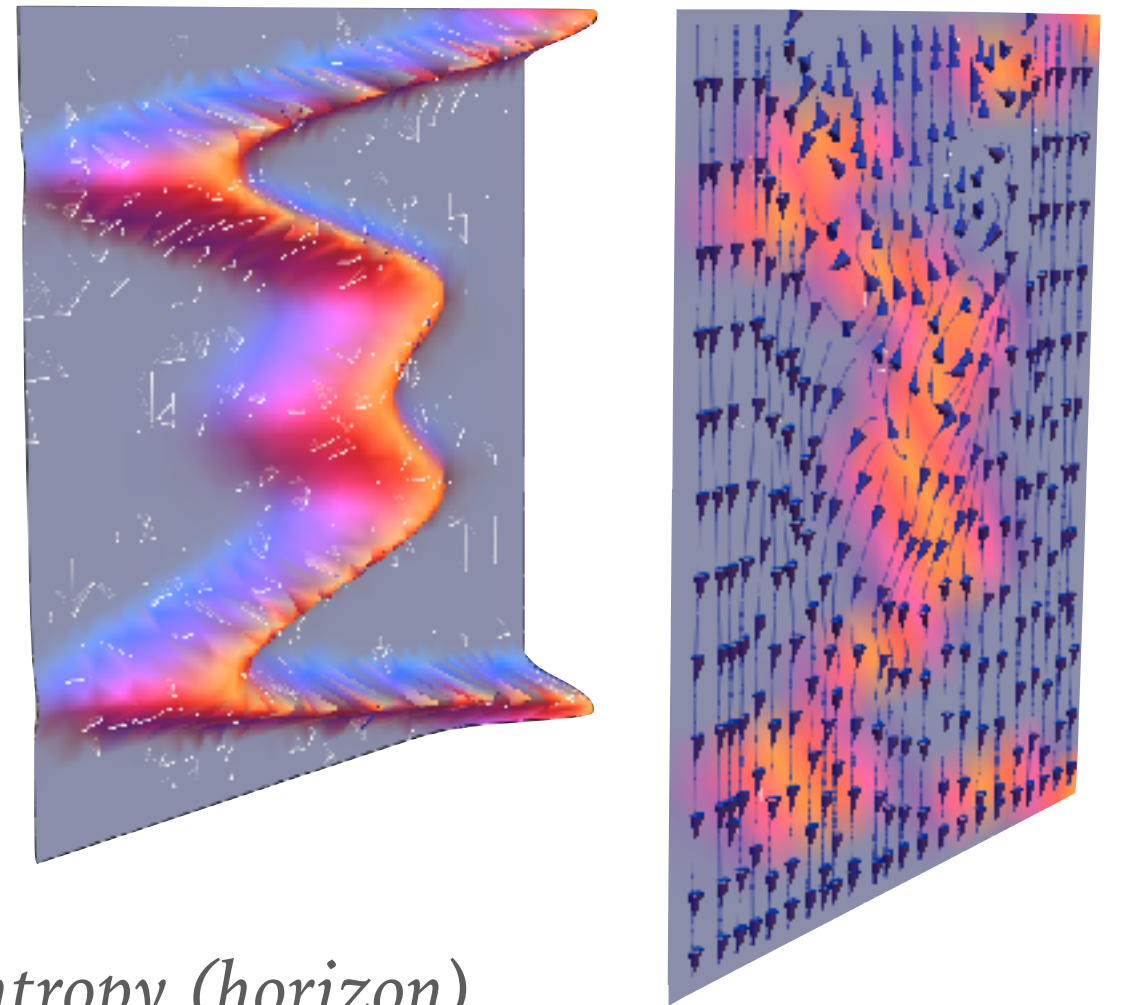
- ◆ Deviations from thermal state operate on long spatio-temporal scales are captured by hydrodynamics.
- ◆ In the hydrodynamic limit, dissipation leads to entropy production.
- ◆ Geometrically, stuff falls behind the black hole, the horizon grows, and remarkably the local area functional leads to a notion of entropy current.

$$\nabla_\mu J_S^\mu = \frac{1}{T} (2\eta \sigma_{\mu\nu} \sigma^{\mu\nu} + \zeta \Theta^2)$$

*entropy (horizon)*

*conserved charges (boundary)*

$$T^{\mu\nu} = \epsilon u^\mu u^\nu + p P^{\mu\nu} - 2\eta \sigma^{\mu\nu} - \zeta \Theta P^{\mu\nu}$$



# ENTROPY NEAR-EQUILIBRIUM

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- ♦ **Bhattacharyya's Theorem:** There exists a hydrodynamic entropy current satisfying the local form of second law, as long as leading order dissipative terms are sign-definite (e.g., viscosities & conductivities are non-negative), provided hydrostatics is consistent with the equilibrium partition function.

*Bhattacharyya [1403.7639]*

- ♦ The allowed class of hydrodynamic constitutive relations fall into 8 distinct classes of solutions to the *adiabaticity equation*:

$$\nabla_\mu N^\mu - \frac{1}{2} T^{\mu\nu} \mathcal{L}_\beta g_{\mu\nu} = \Delta \geq 0$$

$$N^\mu = J_S^\mu + \beta_\nu T^{\mu\nu}$$

- ♦ One of the 8 classes captures dissipation and entropy production, and is parameterized by a 4-tensor which gives a non-negative definite inner product on the space of symmetric two-tensors:

$$T_{diss}^{\mu\nu} = \frac{1}{2} \eta^{(\mu\nu)(\rho\sigma)} \mathcal{L}_\beta g_{\rho\sigma}$$

$$\Delta = \frac{1}{4} \eta^{(\mu\nu)(\rho\sigma)} \mathcal{L}_\beta g_{\mu\nu} \mathcal{L}_\beta g_{\rho\sigma}$$

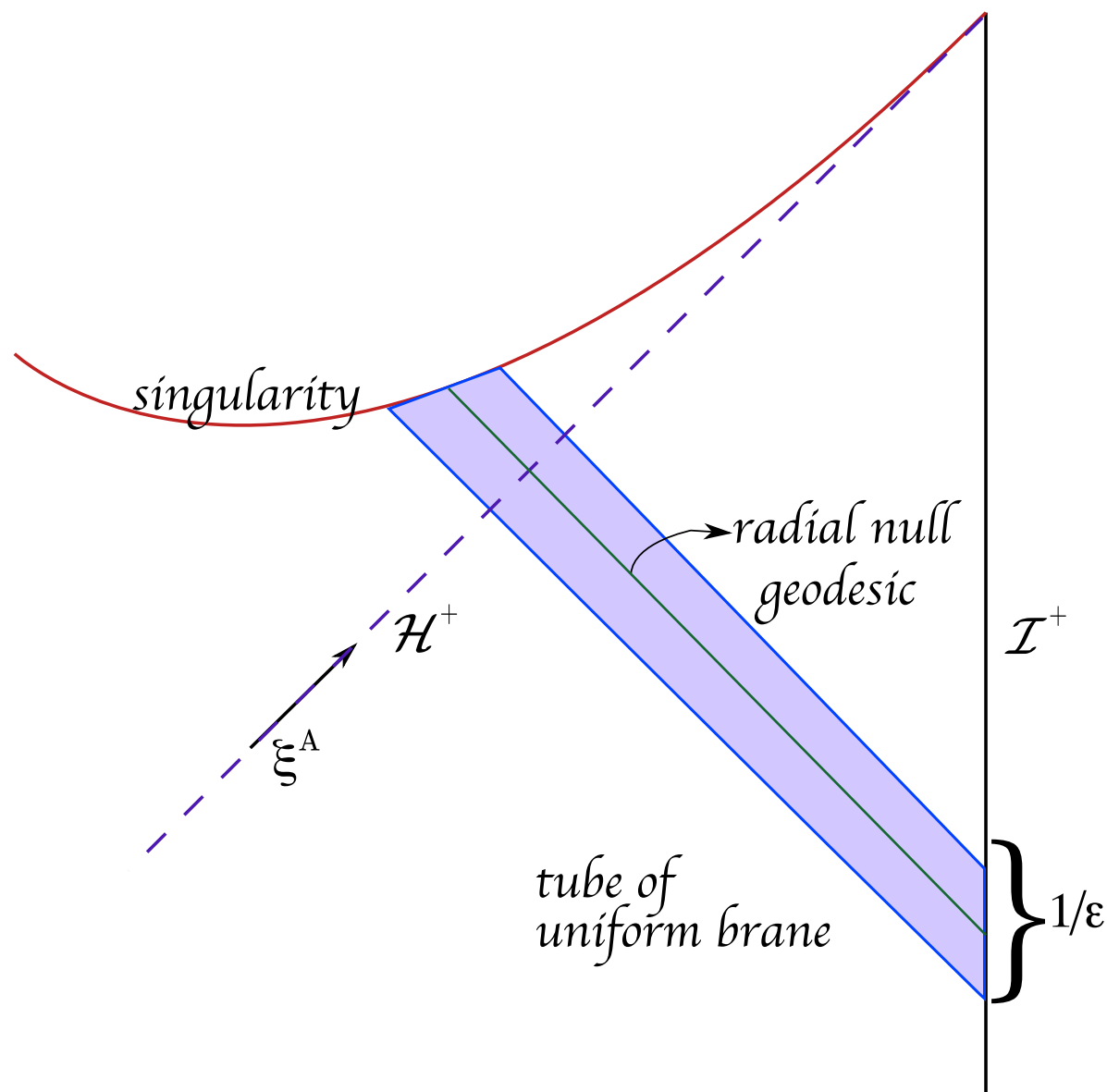
*HLR [1502.00636]*

*cf., Glorioso, Liu [1612.07705]*

# HYDRODYNAMIC ENTROPY CURRENT

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- ◆ Unlike other conserved charges, the role of entropy current is somewhat mysterious, as it emerges in the IR without being manifest in the UV, in near-thermal systems.

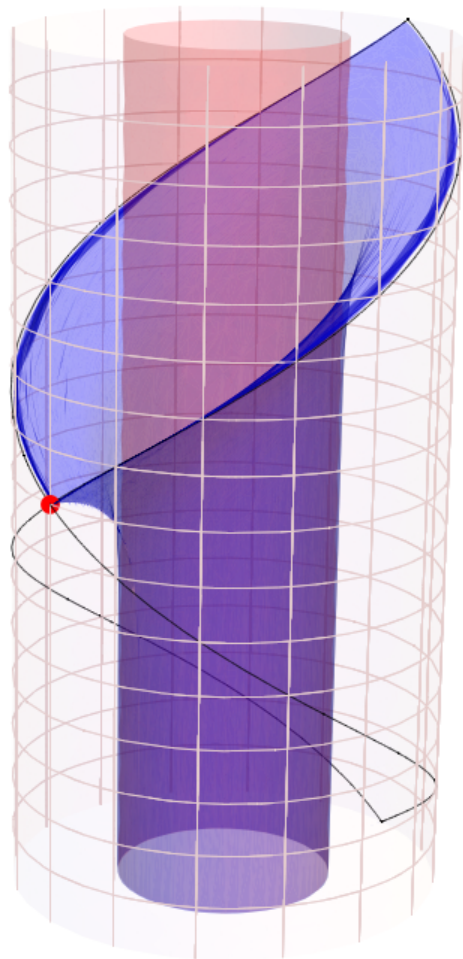


- ◆ Very clear in AdS/CFT where entropy is a property of horizons while conserved charges live on the boundary.
- ◆ Eg., in fluid/gravity the hydrodynamic entropy current is the pullback of the horizon area/entropy (or generalizations thereof).

# WHEREFROM ENTROPY?

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- ◆ Unlike other conserved charges, the role of entropy current is somewhat mysterious, as it emerges in the IR without being manifest in the UV, in near-thermal systems.



- ◆ Similar statement may plausibly hold in out of equilibrium scenarios.
- ◆ Thermodynamic entropy is the pullback of apparent horizon area, as opposed to event horizon area (latter being teleological).
- ◆ In fluid/gravity limit the long-wavelength blurs the distinction between the two.

*Chesler, Yaffe [0812.2053]*

*Figueras, Hubeny, Rangamani, Ross [0902.4696]*

*cf., Engelhardt, Wall [1706.02038]*



# ADIABATIC FLUIDS

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- ♦ Adiabaticity equation admits 7 inequivalent classes of adiabatic constitutive relations (eg., equilibrium data, anomalies, Hall transport etc) which are non-entropy producing.
  - ♦ Attempting to understand this conservative sub-sector of near equilibrium dynamics led to two empirical observations:
    - ❖ One needs two sets of hydrodynamic degrees of freedom with the average values appearing in the constitutive relations.
    - ❖ The adiabaticity equation holds as a Bianchi identity off-shell once one introduces a KMS gauge symmetry which gauges thermal diffeos.
- HLR [1502.00636]*
- ♦ The free energy current is the Noether current for the thermal KMS gauge symmetry.
  - ♦ These statements can be rephased in the framework of *thermal equivariance*.

# NON-EQUILIBRIUM EFFECTIVE DYNAMICS

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- What is the framework for a consistent Wilsonian treatment of low energy dynamics in mixed states of a QFT?
- Hydrodynamics should emerge in interacting quantum systems by integrating out irrelevant high energy modes.
- Low energy modes should be universal.
- CPT breaking, dissipation, ideally should arise from a dynamical principle.
- Effective theory should capture stochastic and quantum fluctuations.
  - ♦ Integrating out high energy modes starting from microscopic Schwinger-Keldysh leads to coupling between L and R encoded in influence functionals.
- ♦ What influence functionals are consistent with microscopic unitarity?



### *Act III*

*in which we pause to reflect upon non-equilibrium  
effective field theories and learn how one might constrain  
their dynamics to respect microscopic unitary requirements*

# HYDRODYNAMICS: TRANSPORT, FLUCTUATIONS

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- ♦ Hydrodynamics: low energy dynamics of conserved currents in near equilibrium situations.
- ♦ Transport is captured by response functions: these are the first non-trivial correlators involving 1-average and rest difference operators.
- ♦ KMS relations relate response functions to fluctuations, e.g., and embody the fluctuation-dissipation theorem:



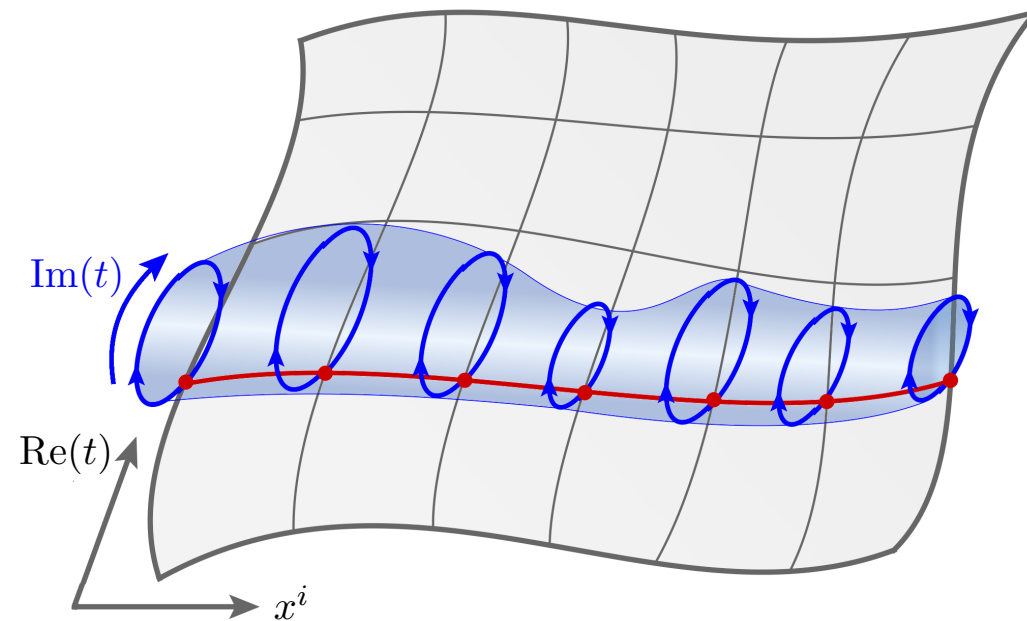
$$\begin{aligned}\mathrm{Tr} \left( \hat{A}(t_A) \hat{B}(t_B) \hat{\rho}_T \right) &= \mathrm{Tr} \left( \hat{B}(t_B - i\beta) \hat{A}(t_A) \right) \\ \implies \langle \{ \hat{A}, \hat{B} \} \rangle &= -\coth \left( \frac{1}{2} \beta \omega_B \right) \langle [ \hat{A}, \hat{B} ] \rangle\end{aligned}$$

- ♦ Look to constructing an effective field theory that captures all hydrodynamic transport & attendant fluctuations.

# THE TOPOLOGICAL SECTOR

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- ♦ Framework for encoding the constraints of Schwinger-Keldysh functional integrals and KMS relations involves topological gauge theory of thermal diffeomorphisms.



$$(\Lambda_1, \Lambda_2)_\beta = \Lambda_1 \mathcal{L}_\beta \Lambda_2 - \Lambda_2 \mathcal{L}_\beta \Lambda_1$$

# THE SCHWINGER-KELDysh SUPER-QUARTET

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- ♦ Macroscopic theory involves two sets of degrees of freedom
  - ❖ the average or classical fields (which dissipate)
  - ❖ the difference or quantum/stochastic fluctuation fields
- ♦ Consistent couplings are dictated by the ghost fields that are now part of the BRST multiplet. All of this structure can be nicely captured in a superspace that is locally  $\mathbb{R}^{(d-1,1)|2}$  coordinatized by  $z^I = \{\sigma^a, \theta, \bar{\theta}\}$ .
- ♦ Advantage: ensure that low energy influence functionals are consistent with microscopic unitarity and fluctuation-dissipation relations.
- ♦ The BRST symmetry ensures both the largest time equation (difference operators can't be future-most) & the KMS relations.

# THE MALLICK-MOSHE-ORLAND LIMIT

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- ♦ The thermal superalgebra involves supercharges obeying

$$Q^2 = (\mathring{\mathcal{F}}_{\bar{\theta}\bar{\theta}}|_{\bar{\theta}=\theta=0}) \mathcal{L}_{KMS}, \quad \bar{Q}^2 = (\mathring{\mathcal{F}}_{\theta\theta}|_{\bar{\theta}=\theta=0}) \mathcal{L}_{KMS}, \quad [Q, \bar{Q}]_{\pm} = (\mathring{\mathcal{F}}_{\theta\bar{\theta}}|_{\bar{\theta}=\theta=0}) \mathcal{L}_{KMS}$$

- ♦ The universal gauge multiplet has exactly one component with vanishing ghost number: the bottom component of  $\mathring{\mathcal{F}}_{\theta\bar{\theta}}$ .
- ❖ Assumption: The topological gauge dynamics is consistent with the existence of a vacuum where  $\langle \mathring{\mathcal{F}}_{\theta\bar{\theta}} | \rangle = -i$  & CPT is spontaneously broken.

$$Q^2 = 0, \quad \bar{Q}^2 = 0, \quad [Q, \bar{Q}]_{\pm} = -i \mathcal{L}_{KMS} \mapsto i \mathcal{L}_{\beta}$$

- ♦ This algebra has appeared in the statistical mechanics literature in the context of stochastic Langevin dynamics & is the high temperature version of the algebra derived in related works: *Mallick, Moshe, Orland [1009.4800]*

$$\delta^2 = \bar{\delta}^2 = 0, \quad \{\delta, \bar{\delta}\} = 2 \tanh\left(\frac{i}{2} \beta \partial_t\right) \simeq i \beta \partial_t$$

*Crossley, Glorioso, Liu [1511.03646]*

# BROWNIAN PARTICLE

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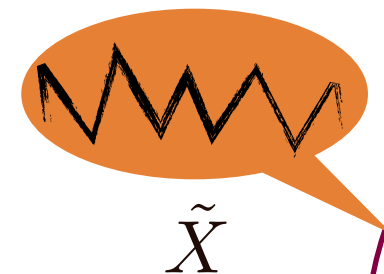
- ♦ Brownian particle immersed in a fluid undergoes dissipative motion.
- ♦ Langevin effective action: worldvolume B0-brane theory.
- ♦ Data for the worldvolume theory: thermal equivariant multiplets for target space coordinate map and thermal gauge field data.

$$\mathring{X} = \{X, X_\psi, X_{\bar{\psi}}, \tilde{X}\}$$

$$\mathring{A} \equiv \mathring{A}_t dt + \mathring{A}_\theta d\theta + \mathring{A}_{\bar{\theta}} d\bar{\theta}$$

- ♦ Effective action with the symmetries is simply.

$$S_{B0} = \int dt d\theta d\bar{\theta} \left\{ \frac{m}{2} \left( \mathring{\mathcal{D}}_t \mathring{X} \right)^2 - U(\mathring{X}) - i \nu \mathring{\mathcal{D}}_\theta \mathring{X} \mathring{\mathcal{D}}_{\bar{\theta}} \mathring{X} \right\}$$



- ♦ MSR action follows as the basic thermal gauge invariant effective action of the worldline theory after CPT breaking

$$(\mathring{\Lambda}, \mathring{X})_\beta = \mathring{\Lambda} \mathcal{L}_\beta \mathring{X} = \mathring{\Lambda} \Delta_\beta \mathring{X} = \mathring{\Lambda} \beta \frac{d}{dt} \mathring{X}$$

$$\mathring{\mathcal{D}}_I = \partial_I + [\mathring{A}_I, \cdot]$$

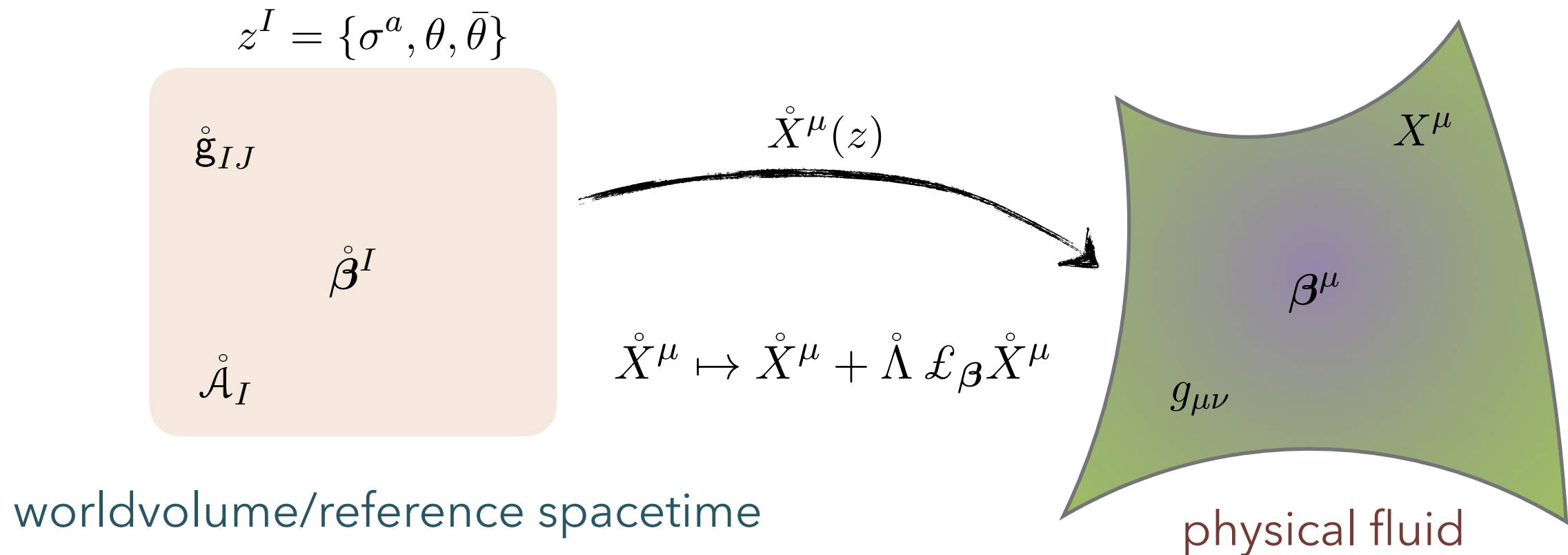
*Martin, Siggia, Rose (1973)*  
*Parisi, Sourlas (1982)*

## *Act IV*

*in which we finally meet the effective field theories  
capturing hydrodynamic dissipation & fluctuations*

# HYDRODYNAMIC SIGMA MODELS

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$$\mathring{X}^\mu = X^\mu + \theta X^\mu_\psi + \bar{\theta} X^\mu_\psi + \bar{\theta}\theta \left( \tilde{X}^\mu - \Gamma^\mu_{\rho\sigma} \bar{\psi}^\rho \psi^\sigma \right)$$

- ♦ Hydrodynamic action is a deformation of a topological field theory reflecting the near-topological nature of the observables.

$$\mathring{g}_{IJ}(z) \rightarrow \mathring{g}_{IJ}(z) + \bar{\theta}\theta \mathbf{h}_{IJ}(\sigma)$$



# EFFECTIVE ACTIONS

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- ♦ Hydrodynamic effective actions can be constructed as superspace integrals

$$S_{wv} = \int d^d \sigma \mathcal{L}_{wv}, \quad \mathcal{L}_{wv} = \int d\theta d\bar{\theta} \frac{\sqrt{-\mathring{\mathbf{g}}}}{\mathring{\mathbf{z}}} \mathring{\mathcal{L}}[\mathring{\mathbf{g}}_{IJ}, \beta^a, \mathring{\mathcal{D}}_I, \mathring{\mathbf{g}}_{IJ}^{(\bar{\psi})}, \mathring{\mathbf{g}}_{IJ}^{(\psi)}],$$

$$\mathring{\mathbf{z}} = 1 + \mathring{\beta}^I \mathring{A}_I \quad \mathring{\mathbf{g}}_{IJ}^{(\bar{\psi})} \equiv \mathring{\mathcal{D}}_{\theta} \mathring{\mathbf{g}}_{IJ}, \quad \mathring{\mathbf{g}}_{IJ}^{(\psi)} \equiv \mathring{\mathcal{D}}_{\bar{\theta}} \mathring{\mathbf{g}}_{IJ}$$

HLR [1511.07809,1803.11155]

## Symmetries constraining the action:

- ♦ Invariance under thermal diffeos and SK-KMS BRST symmetries.
- ♦ Target spacetime superdiffeomorphisms
- ♦ Restricted worldvolume diffeomorphisms
- ♦ CPT & ghost charge conservation.

Crossley, Glorioso, Liu [1511.03646,1701.07817]

Gao, Liu [1701.07445]

Jensen et al [1701.07436,1804.04654]

# EFFECTIVE ACTIONS

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- ♦ Ideal fluid is obviously captured by the pressure super-potential functional

$$\mathring{\mathcal{L}}^{(\text{ideal})} = \frac{\sqrt{-\mathring{\mathbf{g}}}}{\mathring{\mathbf{z}}} \mathring{f}(\mathring{T})$$

- ♦ Dissipative terms are captured by a an appropriate 4-tensor inspired coupling that involves the superderivatives of the metric:

$$\mathcal{L}_{\text{wv, diss}} = \int d\theta d\bar{\theta} \frac{\sqrt{-\mathring{\mathbf{g}}}}{\mathring{\mathbf{z}}} \left( -\frac{i}{4} \right) \mathring{\eta}^{IJKL} \mathring{\mathbf{g}}_{IJ}^{(\bar{\psi})} \mathring{\mathbf{g}}_{KL}^{(\psi)}$$

$$\mathring{\eta}^{IJKL} = \mathring{\zeta}(\mathring{T}) \mathring{T} \mathring{P}^{IJ} \mathring{P}^{KL} + 2 \mathring{\eta}(\mathring{T}) \mathring{T} (-)^{K(I+J)} \mathring{P}^{K\langle I} \mathring{P}^{J\rangle L}$$

HLR [1511.07809]

- ♦ Positivity of entropy production follows on demanding the imaginary part is positive definite (which reduces us back to the remit of Bhattacharyya's theorem).

$$\Delta = \frac{1}{4} \eta^{abcd} \mathcal{L}_{\beta} \mathbf{g}_{ab} \mathcal{L}_{\beta} \mathbf{g}_{cd} + \text{fluctuations} + \text{ghost-bilinears}$$

Glorioso, Liu [1612.07705]

*Act V*

*in which entropy gets produced by inflow*

# INFLOW FROM DISSIPATIVE ACTIONS

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- ♦ Thermal diffeomorphism symmetry implies that the *super-adiabaticity equation* is satisfied as a Bianchi identity:

$$\mathring{\mathcal{D}}_I \mathring{\mathbf{N}}^I - \frac{1}{2} \mathring{\mathbf{T}}^{IJ} \mathcal{L}_\beta \mathring{\mathbf{g}}_{IJ} = 0 .$$

- ♦ This in turn leads to the picture of entropy inflow:

$$\underbrace{\left( \mathring{\mathcal{D}}_a \mathring{\mathbf{N}}^a - \frac{1}{2} \mathring{\mathbf{T}}^{ab} \mathcal{L}_\beta \mathring{\mathbf{g}}_{ab} \right)}_{\text{classical} + \text{fluctuations}} \Big| = - \underbrace{\left( \mathring{\mathcal{D}}_\theta \mathring{\mathbf{N}}^\theta + \mathring{\mathcal{D}}_{\bar{\theta}} \mathring{\mathbf{N}}^{\bar{\theta}} + \mathring{\mathbf{T}}^{a\theta} \mathcal{L}_\beta \mathring{\mathbf{g}}_{a\theta} + \mathring{\mathbf{T}}^{a\bar{\theta}} \mathcal{L}_\beta \mathring{\mathbf{g}}_{a\bar{\theta}} + \mathring{\mathbf{T}}^{\theta\bar{\theta}} \mathcal{L}_\beta \mathring{\mathbf{g}}_{\theta\bar{\theta}} \right)}_{\text{entropy inflow}} \Big|$$

- ♦ Switching off the fluctuation fields leads to physical entropy flowing from superspace:

$$\Delta = - \left( \mathcal{D}_\theta \mathbf{N}^\theta + \mathcal{D}_{\bar{\theta}} \mathbf{N}^{\bar{\theta}} \right) + \text{ghosts bilinears}$$

HLR [1803.08490, 1803.11155]  
Jensen et al [1803.07070]

# NO INFLOW OF ENERGY-MOMENTUM

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- ◆ Target space diffeomorphisms ensure that the dynamical content of the effective action is simply super-energy momentum conservation.

$$\mathring{\mathfrak{D}}_I \left( \mathring{\mathbf{T}}^{IJ} \mathring{\mathfrak{D}}_J \mathring{X}^\mu \right) = 0$$

- ◆ This by itself would be problematic, since we would learn that the equations are contaminated by the presence of super-components which turn out to include physical degrees of freedom (not ghosts or fluctuations).
- ◆ However, superspace components of energy-momentum tensor conspire to mutually cancel out and do not modify dynamical equations.

$$\mathring{\mathfrak{D}}_a \left( \mathring{\mathbf{T}}^{ab} \mathring{\mathfrak{D}}_b \mathring{X}^\mu \right) | = \nabla_\mu T^{\mu\nu} + \text{ghost bilinears} + \text{fluctuations} = 0$$

# FLUCTUATION DISSIPATION AS CPT BREAKING

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- ♦ Stochasticity and dissipation arises because of spontaneous CPT symmetry breaking.
- ♦ The Ward identities following from CPT convolved with a thermal gauge transformation results in the Jarzynski work relation for the Brownian particle

$$S_{B0} \mapsto S_{B0} - i \langle \dot{\mathcal{F}}_{\theta\bar{\theta}} | \rangle \beta (\Delta G + W) \implies \langle e^{-\beta W} \rangle = e^{-\beta \Delta G}$$

*Mallick, Moshe, Orland 2010*

- ♦ The CPT symmetry in our construction is implemented as R-parity in superspace and its breaking encoded in the vev for the ghost number zero field strength:  $\langle \dot{\mathcal{F}}_{\theta\bar{\theta}} | \rangle = -i$
- ♦ Expect similar statements to hold in hydrodynamic effective field theories.

*Epilogue: Whence we take stock and try  
to speculate on what might lie ahead...*



# ENTROPY PRODUCTION VIA INFLOW

---

- ♦ For systems in local equilibrium the Noether current for thermal diffeomorphisms is the macroscopic free energy current (Legendre transform of entropy current).
- ♦ Local equilibrium is characterized by an emergent topological/BRST supersymmetry wherein diffeomorphisms along the Euclidean thermal circle are gauged (thermal equivariance).
- ♦ Net entropy is conjugate to the gauged thermal diffeomorphisms & is conserved. Physical entropy production happens by virtue of it being sourced in the superspace directions, i.e., there is an inflow of entropy from superspace.

$$\dot{\mathcal{D}}_I \dot{\mathbf{N}}^I - \frac{1}{2} \dot{\mathbf{T}}^{IJ} \mathcal{L}_\beta \dot{\mathbf{g}}_{IJ} = 0 \quad \Longrightarrow \quad \mathcal{D}_a \mathbf{N}^a - \frac{1}{2} \mathbf{T}^{ab} \mathcal{L}_\beta \mathbf{g}_{ab} = \Delta \geq 0$$



# LOOKING AHEAD...

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- ♦ Near-equilibrium dynamics appears to be under control but what about non-equilibrium?
- ♦ Open quantum systems & renormalization  
*Avinash, Jana, Loganayagam, Rudra [1704.08335]*
- ♦ How does thermal equivariance extend to include non-stochastic fluctuations? Deformation quantization?  
*Basart, Flato, Lichnerowicz, Sternheimer 1984*
- ♦ Microscopic unitary which enforces fluctuation-dissipation etc., is upheld thanks to the BRST + thermal gauge symmetry. Lessons for gravity? Connections to  $SL(2, \mathbb{R})$  symmetry in discussions of chaos?
- ♦ What is the analogous story for higher out-of-time-order correlators?
- ♦ Are the similar statements for modular evolutions (equivalent in some contexts), and if so what does it imply for geometry = entanglement?

# REFERENCES

---

*Haehl, Loganayagam,  
MR (HLR)*

- ❖ Classification of solutions to hydro axioms: [1412.1090] [1502.00636]
- ❖ Basic philosophy: [1510.02494]
- ❖ Dissipative hydrodynamic actions: [1511.07809] & [1803.11155]
- ❖ Origins in Schwinger-Keldysh: [1610.01940]
- ❖ Thermal Equivariance: [1610.01941]
- ❖ Overview of related works: [1701.07896]
- ❖ Inflow mechanism [1803.08490]

*Related work*

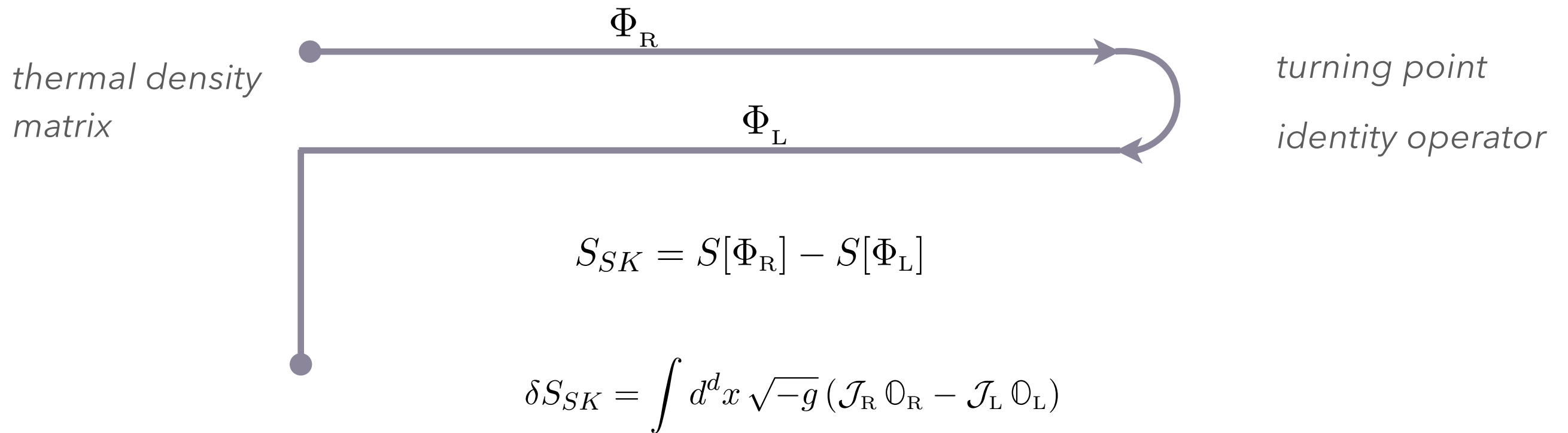
- ★ Hydrodynamic effective actions: Crossley, Glorioso, Liu [1511.03646]
- ★ Second Law: Glorioso, Liu [1612.07705]
- ★ Hydrodynamic effective actions II: Crossley, Glorioso, Liu [1701.07817]
- ★ Superspace formalism: Gao, Liu [1701.07445]
- ★ Jensen, Pinzani-Fokeeva, Yarom [1701.07436]
- ★ Inflow type picture + hydro actions: Jensen, Marjeh, Pinzani-Fokeeva, Yarom [1803.07070] & [1804.04654]

*Thank You!*

# SCHWINGER-KELDysh FORMALISM

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- ♦ The Schwinger-Keldysh formalism computes singly out-of-time ordered correlation functions in a generic (mixed) state.



Generating functional

$$\mathcal{Z}_{SK}[J_R, J_L] \equiv \text{Tr} \left\{ U[J_R] \hat{\rho}_{\text{initial}} (U[J_L])^\dagger \right\}$$

Time ordered correlations

$$\text{Tr} \left( \hat{\rho}_{\text{initial}} \bar{\mathcal{T}} \left( U^\dagger \mathcal{O}_L U^\dagger \mathcal{O}_L \dots \right) \mathcal{T} (U \mathcal{O}_R U \mathcal{O}_R \dots) \right)$$

# TWO SUM RULES

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- ♦ *Unitarity* of Schwinger-Keldysh path integral implies vanishing difference operator correlators:

$$\langle \mathcal{T}_{SK} \prod_{k=1}^n \left( \mathbb{O}_{\text{R}}^{(k)}(t_k) - \mathbb{O}_{\text{L}}^{(k)}(t_k) \right) \rangle = 0$$

- ♦ The KMS condition translates into a second sum rule for thermal differences:

*Weldon '05*

$$\langle \mathcal{T}_{SK} \prod_{k=1}^n \left( \mathbb{O}_{\text{R}}^{(k)}(t_k) - \mathbb{O}_{\text{L}}^{(k)}(t_k - i\beta) \right) \rangle = 0$$

- ♦ Keldysh (light-cone) basis  $\mathbb{O}_{dif} \equiv \mathbb{O}_{\text{R}} - \mathbb{O}_{\text{L}}$  ,  $\mathbb{O}_{av} \equiv \frac{1}{2} (\mathbb{O}_{\text{R}} + \mathbb{O}_{\text{L}})$
- ♦ Adv-Ret basis  $\mathbb{O}_{adv} \equiv \mathbb{O}_{\text{R}} - \mathbb{O}_{\text{L}}$  ,  $i\Delta_{\beta}\mathbb{O}_{ret} = \mathbb{O}_{\text{R}}(t) - \mathbb{O}_{\text{L}}(t - i\beta)$

$$i\Delta_{\beta}\mathbb{O}(t) = \mathbb{O}(t) - \mathbb{O}(t - i\beta) \sim -i\beta\partial_t\mathbb{O}$$

- ♦ Furthermore, *a largest time* and *thermal smallest time* equations hold.

# THE SK-KMS ALGEBRA

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- ♦ Superderivations: BRST charges associated with Schwinger-Keldysh construction.
- ♦ **Thermal equivariance**: implements KMS condition by gauging thermal diffeomorphisms & takes care of the fluctuation/dissipation constraints.

$$\begin{aligned} Q_{SK}^2 &= \overline{Q}_{SK}^2 = Q_{KMS}^2 = \overline{Q}_{KMS}^2 = 0 , \\ [Q_{SK}, Q_{KMS}]_{\pm} &= [\overline{Q}_{SK}, \overline{Q}_{KMS}]_{\pm} = [\overline{Q}_{SK}, Q_{SK}]_{\pm} = [Q_{KMS}, \overline{Q}_{KMS}]_{\pm} = 0 , \\ [Q_{SK}, \overline{Q}_{KMS}]_{\pm} &= [\overline{Q}_{SK}, Q_{KMS}]_{\pm} = \mathcal{L}_{KMS} , \\ [Q_{KMS}, Q_{KMS}^0]_{\pm} &= [\overline{Q}_{KMS}, Q_{KMS}^0]_{\pm} = 0 , \\ [Q_{SK}, Q_{KMS}^0]_{\pm} &= Q_{KMS} , \quad [\overline{Q}_{SK}, Q_{KMS}^0]_{\pm} = -\overline{Q}_{KMS} . \end{aligned}$$

$$\mathcal{L}_{KMS} \equiv \Delta_{\beta}$$