

Phases to Phases

An Invitation to Topological Phases of Many Particles

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Acknowledgements

- Research funding: SERB, DST; DAE
-
- Key contributors:



Adhip Agarwala



Arijit Haldar

Overview: Phases to Phases

Overview: Phases to Phases

$$e^{i\theta}$$

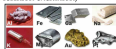


Overview: Phases to Phases

$$e^{i\theta}$$



- Gapless (single fermion excitation)¹



1. The filled Fermi sea

- Gapped states



2. Band insulator



3. BCS superconductor



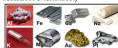
4. Filled Landau level

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Cartan/ d	0	1	2	3	4	5	6	7	8
<i>Complex case:</i>									
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<i>Real case:</i>									
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BDI	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2 ...
D	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2 ...
DIII	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0 ...
AII	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$...
CII	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0 ...
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- Background – Electronic phases: A brief excursion of quantum condensed matter physics
- Electronic Phases – A quick illustration of “topology”
- Electronic Phases – The Tenfold Way
- Advertisement: Topological phases in amorphous systems
- Brief mention: what we do not discussed, open issues

Topological Matter Matters



Photo: A. Mahmoud
David J. Thouless
Prize share: 1/2



Photo: A. Mahmoud
**F. Duncan M.
Haldane**
Prize share: 1/4



Photo: A. Mahmoud
J. Michael Kosterlitz
Prize share: 1/4

The Nobel Prize in Physics 2016 was awarded with one half to David J. Thouless, and the other half to F. Duncan M. Haldane and J. Michael Kosterlitz *"for theoretical discoveries of topological phase transitions and topological phases of matter"*.

Phases of Electrons

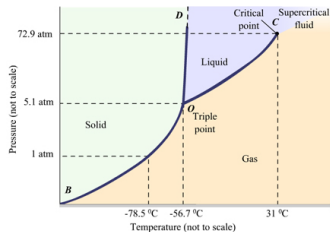
Matter...and its Phases

- Matter broadly appears in three distinct phases (at human scales)



(Internet)

- ...gas, liquid and solid



(Phase Diagram of CO₂, source:Internet)

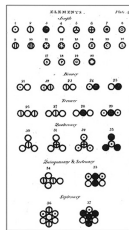
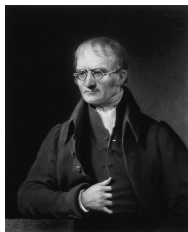
Whence Phases?

- Ancient wisdom...the panchabhootas...



(Wikipedia)

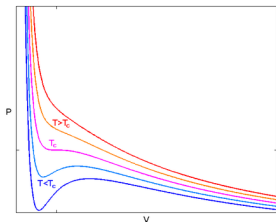
- ...to ideas of Dalton...the atomic hypothesis (early 1800s)



(Wikipedia)

Atomic Theory...to Theory of Phases

- van der Waals (later part of 1800s) showed how liquids and gases can arise from the same constituent atoms/molecules...



...offering a framework to understand gas, liquid and solid phases

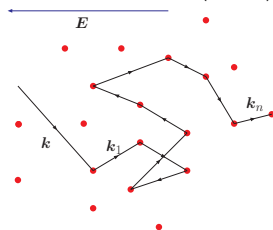
- Interactions between constituent atoms (in large numbers) can lead to different phases
- Puzzle: Why are there insulators and conductors?

Electrons...and Electronic Phases

- Key milestone: Discovery of the electron (Thomson, 1890s)...



- ...to the first theory of electronic phases – Drudé (1900)

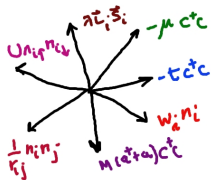


with remarkable success...resolution of a 50 year old puzzle – the Wiedemann-Franz law ($\frac{\kappa}{\sigma T} = \text{universal number}$)...

- **But...**with a confounding new puzzle: Drude predicts $C_V = 3R + \frac{3}{2}R = \frac{9}{2}R$ at “high” temperatures...measured $C_V = 3R!$

Quantum Condensed Matter Physics – Bird's Eye View

- Resolution of the puzzle: Quantum mechanics
- Electrons in materials “see” many things...

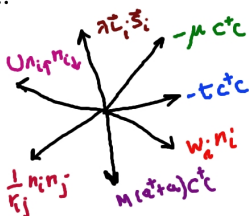


...space of all possible Hamiltonians (includes kinetic energy, spin-orbit coupling, Coulomb interaction, interaction with the lattice, disorder etc..)

- Quantum condensed matter physics aims to study and classify the phases of many electrons (many identical particles, in general)
- Many of the modern technologies from cell phones to night vision goggles owe much to this area of physics!

Taking Stock...

- Quantum theory of many electrons – offers insights into insulators and metals...



Traditional ideas of classifying many-fermion phases

- Symmetry:** A magnet breaks spin-rotation symmetry (Landau)
- “Properties”:** Metals and insulators

Distinct phases are separated by *phase transitions*

Summary of a Grad Course!

- Gist of our understanding...*essentially* captured by **four** states
- Gapless (single fermion excitation)¹

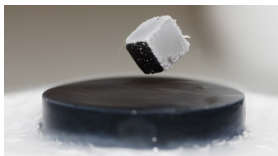


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¹All images are from the internet

Current Status

- Recent developments – **two** ideas (in addition to symmetry, properties etc.)

- 1. Topology:** Hinted by the quantum hall effect

- 2. Entanglement:** How “complicated” is the state?

Focus of this talk: “Topology”

- Recent developments – Complete “topological” classification of gapped (non-interacting) many fermion systems

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(Kitaev (2009), Ryu et. al. (2010), Ludwig:1512:08882)

- The tenfold way!

Electronic Phases

...What and Why Topology?

Essential Quantum Ideas

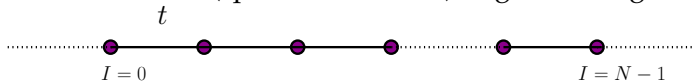
- Quantum mechanics
 - ▶ Kinematics : Identical particles are indistinguishable
Fermions obey Pauli's principle
For two fermionic particles

$$\Psi(x_2, x_1) = -\Psi(x_1, x_2)$$

- ▶ Dynamics “encoding” the Heisenberg uncertainty principle
- Immediate goal: Construct simplest electronic phases with focus on *non-interacting* systems

Electronic Liquid Phase – Metal

- Simple model of a metal (spinless electrons)...tight binding model



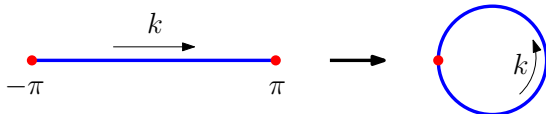
... N -site chain with periodic boundary conditions

$$H = -t \sum_I |I+1\rangle \langle I| + \text{h.c.}$$

- Diagonalized by crystal momentum k

$$|k\rangle = \frac{1}{\sqrt{N}} \sum_I e^{-ikI} |I\rangle, \quad H = \sum_k \varepsilon(k) |k\rangle \langle k|, \quad \varepsilon(k) = -2t \cos k$$

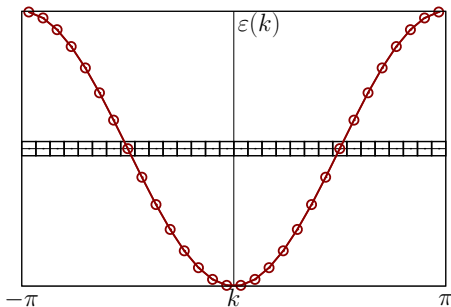
- Crystal momentum k lives in the Brillouin Zone (BZ)... $k \in [-\pi, \pi]$ with $-\pi$ and π identified...one dimensional torus



- In d -dimensions k in T^d , the d -torus

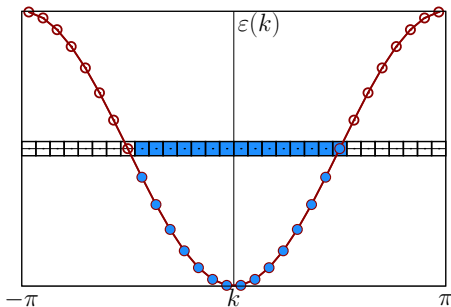
Electronic Liquid Phase – Metal

- Filling of electrons 1/2 per site, need to fill $N/2$ electrons
- In an N -site chain, there are N distinct k points
 $k = -\pi, -\pi + \frac{2\pi}{N}, -\pi + 2\frac{2\pi}{N}, \dots, \pi - \frac{2\pi}{N}$ with $\Delta k = \frac{2\pi}{N}$



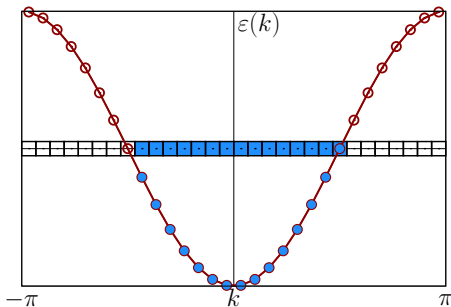
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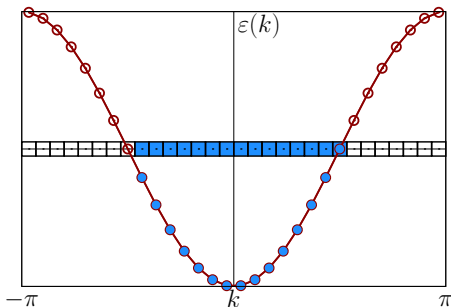
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- Ground state is the filled Fermi sea (filled “pigeon holes” make up the Slater determinant wave function)

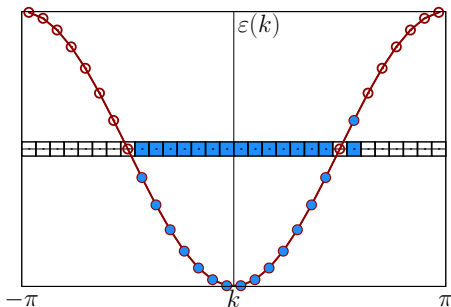
Electronic Liquid Phase – Metal

- Gapless excitations in the thermodynamic limit ($N \rightarrow \infty$)



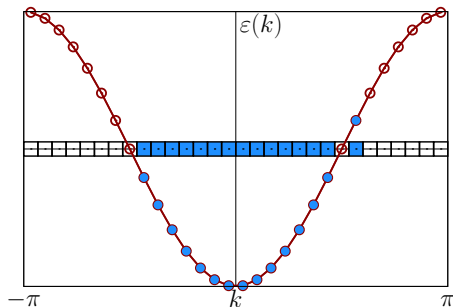
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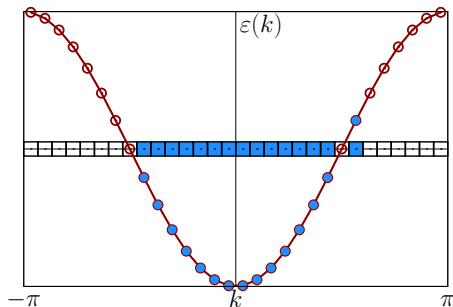
...excitation energy vanishes

$$\Delta E \sim \Delta k \sim \frac{2\pi}{N} \rightarrow 0$$

- Metal: small stimulus can produce finite responses – liquid state
- Fermi energy ($\sim t$), is much larger than temperature T , resolving the specific puzzle of the Drude theory

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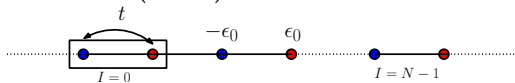
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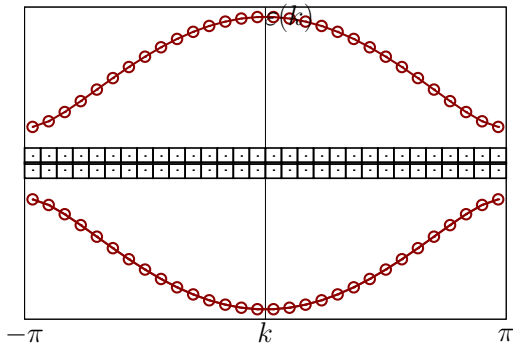
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- **Puzzle**...Insulators?

..and Insulators

- Poor man's insulator (NaCl)

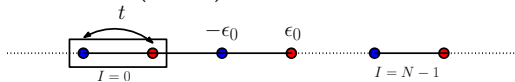


- *Two* bands (with separate pigeon holes) separated by a **band gap**
 $\sim \epsilon_0$...there are no electronic states in the energy gap

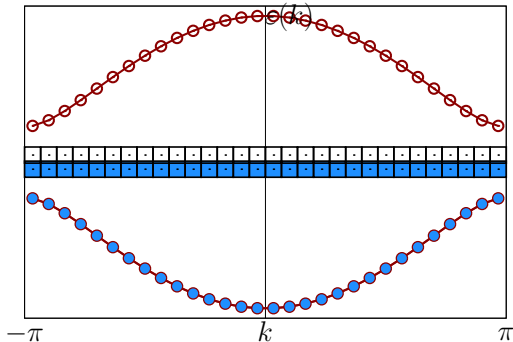


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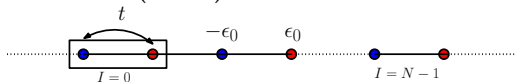


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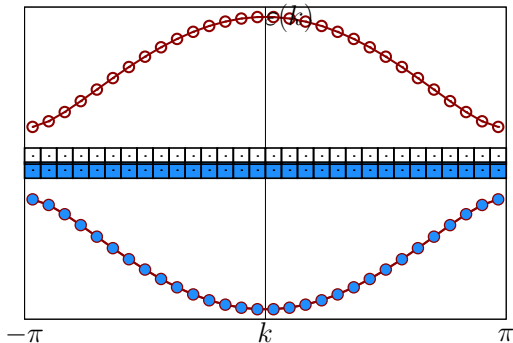


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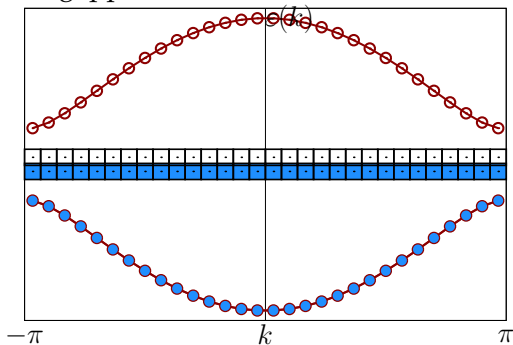
- *Two* bands (with separate pigeon holes) separated by a **band gap** $\sim \epsilon_0$...there are no electronic states in the energy gap



- At 1/2 electron per site, ground state is the filled “valance band” (“conduction band” is empty)

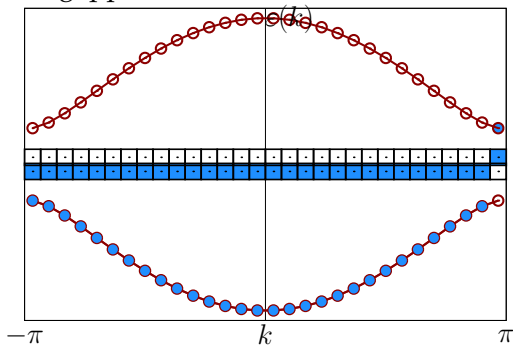
Insulators: “Electronic Solid Phase”

- Excitations are gapped



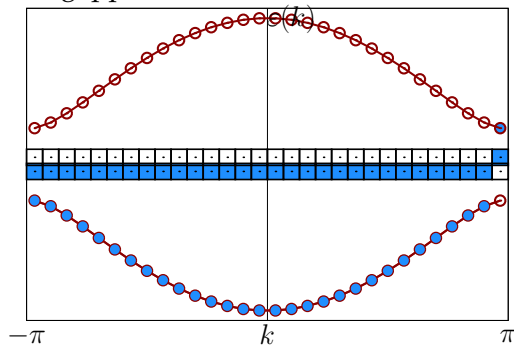
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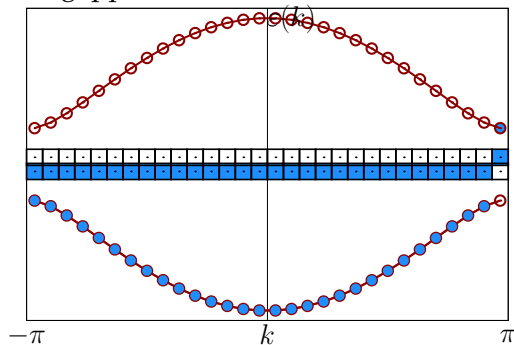
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- Insulators play a pivotal role in electronics – *doped* insulators (semiconductors) are behind much of modern technology

Insulators: “Electronic Solid Phase”

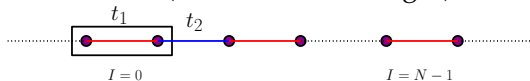
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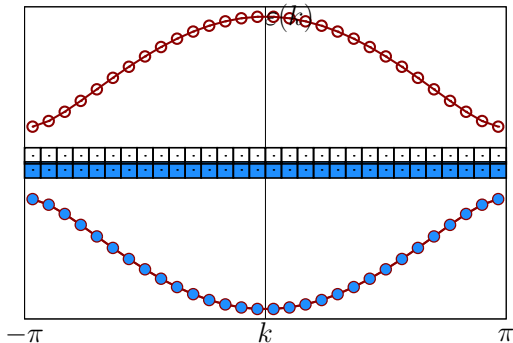
- Insulators play a pivotal role in electronics – *doped* insulators (semiconductors) are behind much of modern technology
- **Question:** Is this the only possible insulating phase?

Topology of Electron Phases – Whetting the Appetite

- A different insulator – SSH (Su-Schrieffer-Heeger) model

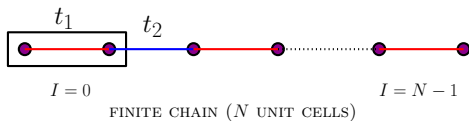


- Band structure looks qualitatively identical to the NaCl system (gap $\sim |t_2 - t_1|$)



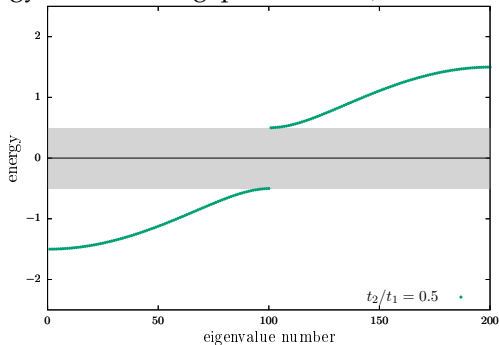
- *Cannot distinguish between NaCl and SSH by looking at the bulk band structure*

Topology...Revealed in a *Finite Chain*

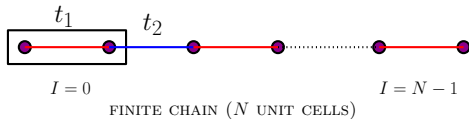


- Energy eigenvalues

- ▶ No energy levels in the gap for $t_2 < t_1$ (NaCl is similar)

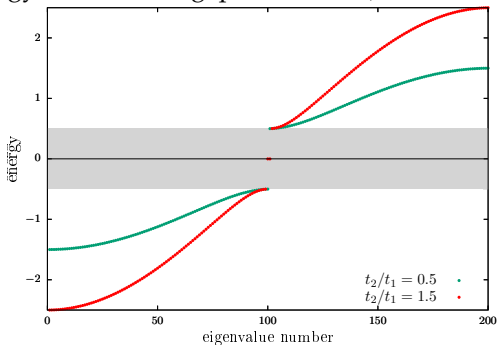


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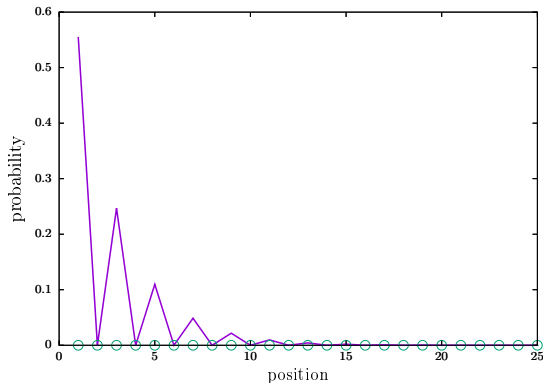
- ▶ No energy levels in the gap for $t_2 < t_1$ (NaCl is similar)



- ▶ Two zero energy states when $t_2 > t_1$

Topology...on the *Edge*

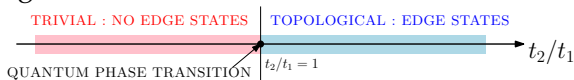
- For $t_2 > t_1$ the zero energy states are *edge states*...they are eigenstates localized near the edges (“surfaces”) of the finite sample



- Insulating phase for $t_2 > t_1$ has a distinguishing character – presence of edge states

Phases Distinguished by Topology...and Phase Transitions

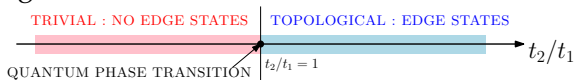
- Phase diagram



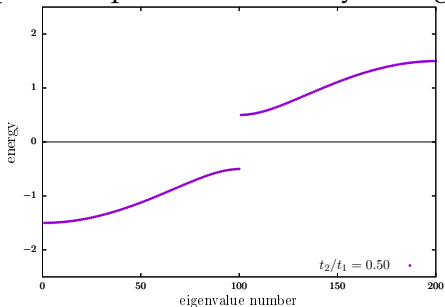
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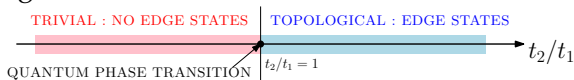


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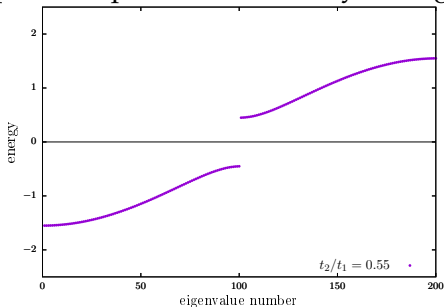


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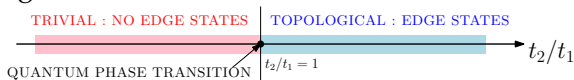


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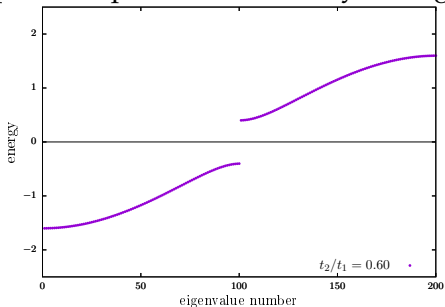


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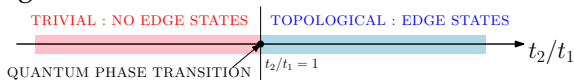


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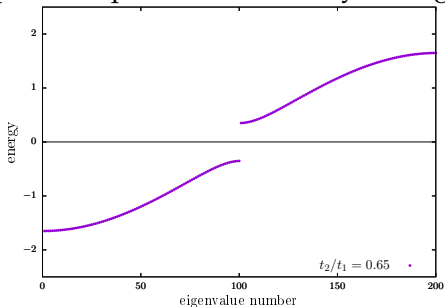


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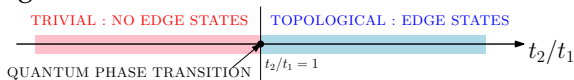


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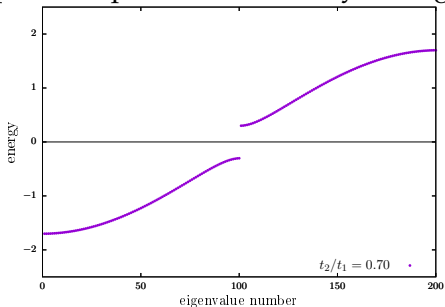


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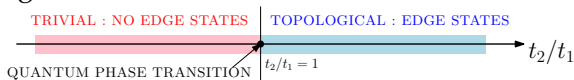


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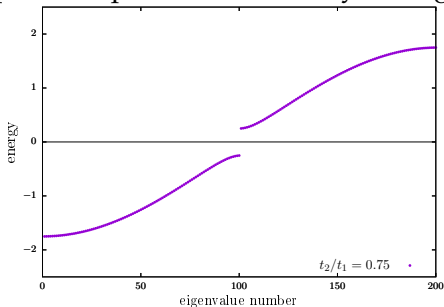


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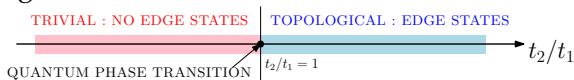


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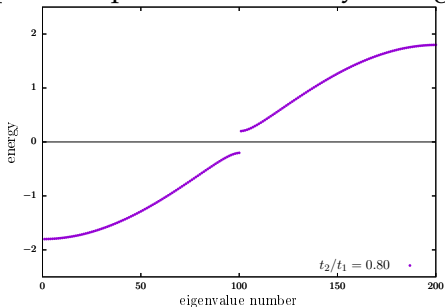


Phases Distinguished by Topology...and Phase Transitions

- Phase diagram

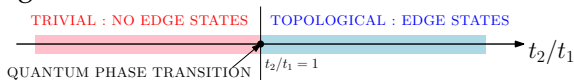


- Can drive a quantum phase transition by tuning t_2

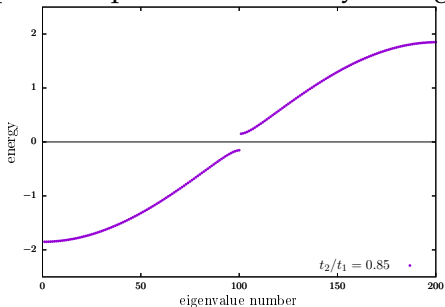


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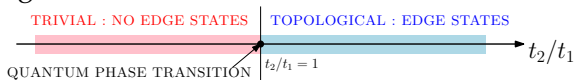


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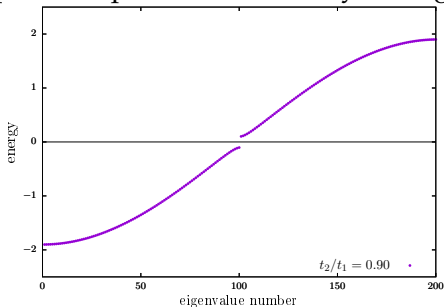


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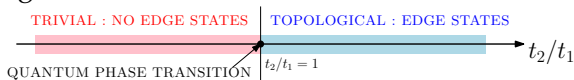


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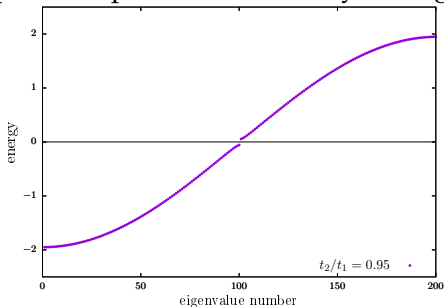


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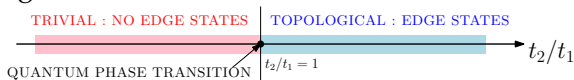


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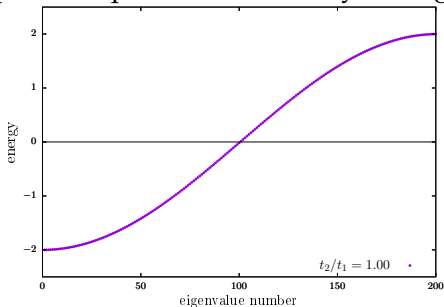


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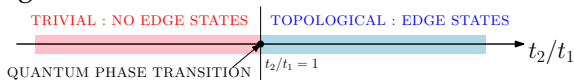


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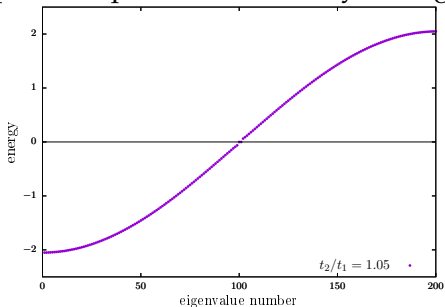


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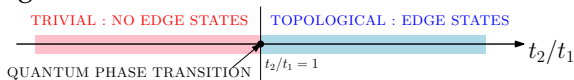


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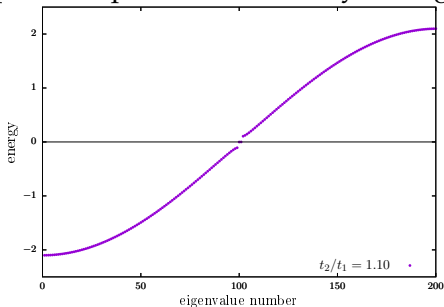


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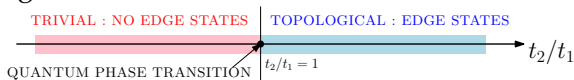


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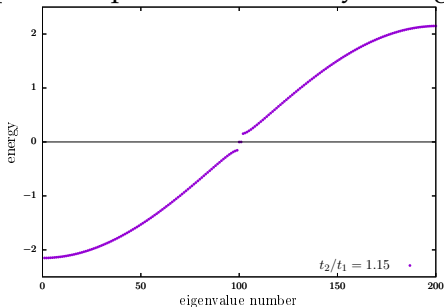


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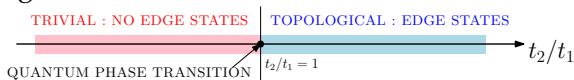


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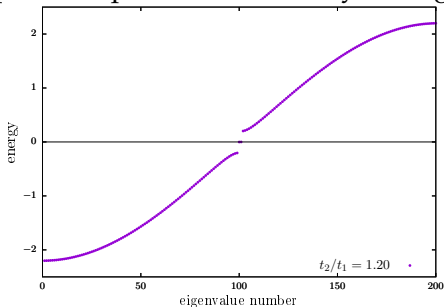


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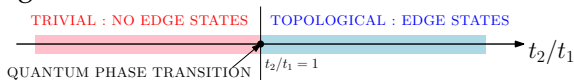


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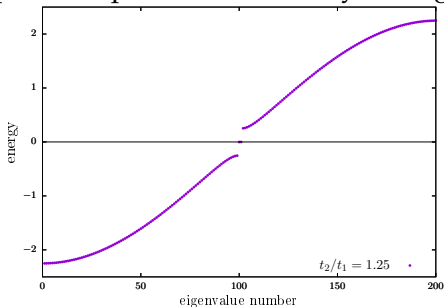


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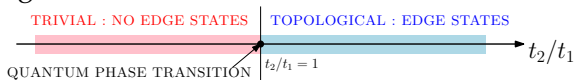


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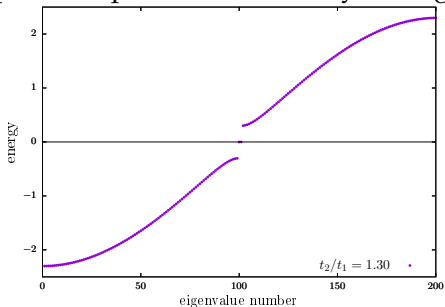


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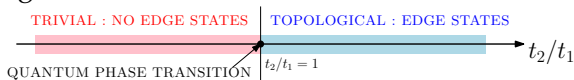


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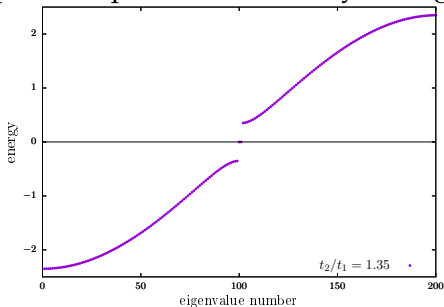


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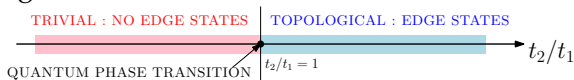


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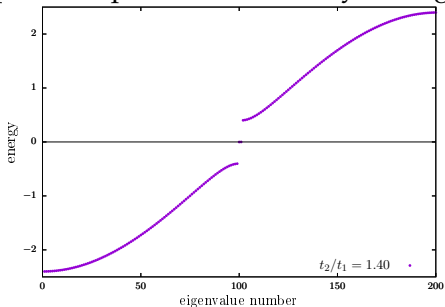


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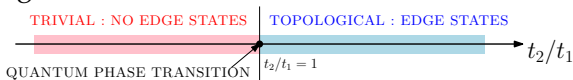


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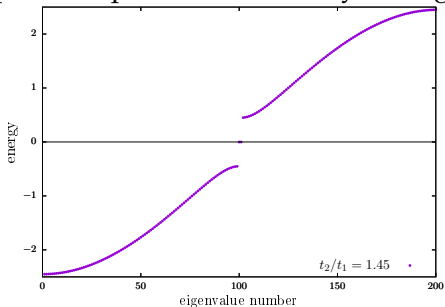


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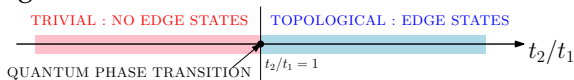


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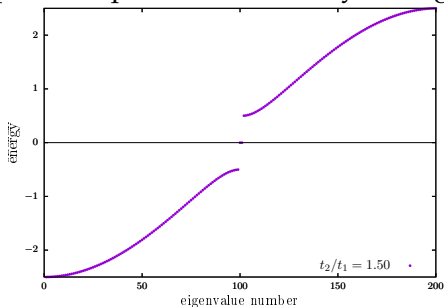


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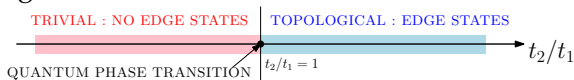


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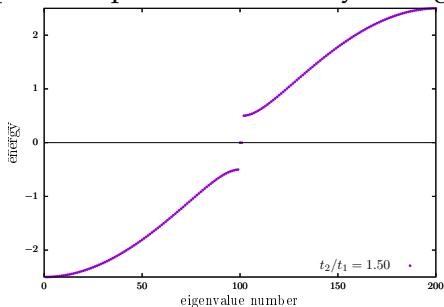


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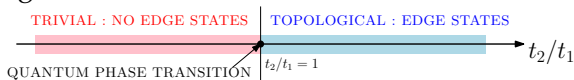
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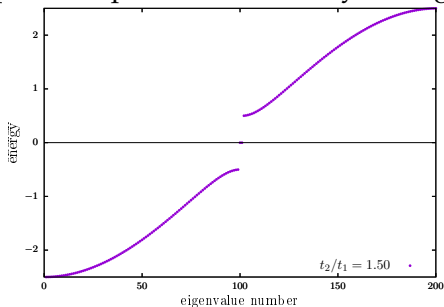
Insulating phase for $t_2 > t_1$ has a distinguishing character – presence of edge states

Phases Distinguished by Topology...and Phase Transitions

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- Can drive a quantum phase transition by tuning t_2

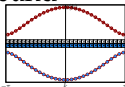


Insulating phase for $t_2 > t_1$ has a distinguishing character – presence of edge states

- **Question: Where IS the topology?**

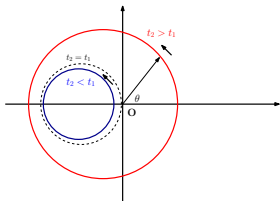
Topology of Electron Phases

- VB wave function $\begin{pmatrix} 1 \\ e^{i\theta(k)} \end{pmatrix}$ with $\theta(\pi) = \theta(-\pi) + 2\pi n$, **Key: The state at k can be thought of as a two dimensional unit vector**
- Ground state is filled valance band



can be viewed as an endless ribbon ([Demonstration](#))

- For $t_2 > t_1$ the ground state ribbon is “twisted”



- The ground state of $t_1 < t_2$ cannot be deformed to that of $t_2 > t_1$ without closing the gap (tearing)
- **Topology is encoded in the *twist* of the many particle wave function**

Gapped Phases of Electrons

- What we know today about non-interacting electrons

Cartan \ d	0	1	2	3	4	5	6	7	8	
<i>Complex case:</i>										
A	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	...
AIII	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	...
<i>Real case:</i>										
AI	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	...
BDI	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	...
D	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	...
DIII	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	...
AII	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$...
CII	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	...
C	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	...
CI	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	...

(Kitaev (2009), Ryu et. al. (2010), Ludwig:1512:08882)

- The tenfold way!

The Tenfold Way

- Altland and Zirnbauer, Phys. Rev. B., **55**, 1142 (1997).
- Agarwala, Haldar, VBS, arXiv:1606.05483

The Tenfold Way - Bird's-Eye View

- Summary

- ▶ Fermionic systems can be classified according to some “intrinsic non-ordinary” symmetries
- ▶ There are three “non-ordinary” symmetries – Time reversal, charge conjugation and sublattice
- ▶ There are ten symmetry classes (a symmetry class is a Hilbert-Fock space along with *all* possible Hamiltonians that are allowed by symmetry)
- ▶ There is a nice connection to geometry – the ten classes are labeled by Cartan labels of symmetric spaces

- What we address in this talk

- ▶ What are “non-ordinary” symmetries, and why are there three of them?
- ▶ Why are there ten classes?
- ▶ Fleeting discussion of connection to geometry
- ▶ ...
- ▶ How does topology arise (an even more fleeting view)?...motivating the amorphous topological insulators

Beginnings...

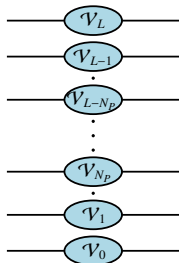
- System with L orbitals $|i\rangle, i = 1, \dots, L$
- Fermions are created from the vacuum $|\mathbf{0}\rangle$ via

$$|i\rangle = \psi_i^\dagger |\mathbf{0}\rangle, \quad \{\psi_i, \psi_j^\dagger\} = \delta_{ij}, \quad \Psi^\dagger = \left[\psi_1^\dagger \quad \dots \quad \psi_L^\dagger \right]$$

- Can accommodate N_P fermions, $N_P = 0, \dots, L$

$$\mathcal{V}_{N_P} = \text{span}_{\mathbb{C}}\{\psi_{i_1}^\dagger \dots \psi_{i_{N_P}}^\dagger |\mathbf{0}\rangle\}, \quad \dim \mathcal{V}_{N_P} = \binom{L}{N_P}$$

- Hilbert-Fock space $\mathcal{V} = \bigoplus_{N_P=0}^L \mathcal{V}_{N_P}$



Beginnings...II

- Noninteracting fermions – Hamiltonian

$$\mathcal{H} = \sum_{ij} H_{ij} \psi_i^\dagger \psi_j$$

(\mathbf{H} – matrix H_{ij})...includes BdG type superconducting hamiltonians

- The space of Hamiltonians \mathcal{H} is a vector space over \mathbb{R}
- ...and even more, \mathcal{H} is effectively a *Lie algebra*
- The Schrödinger time evolution operator (at $t = 1$)

$$e^{-i\mathbf{H}}$$

runs over $U(L)$ (unitary group) as \mathbf{H} runs over \mathcal{H}

- \mathcal{H} is isomorphic to the Lie algebra $\mathfrak{u}(L)$

$$i\mathcal{H} = \mathfrak{u}(L)$$

Symmetries...Recap

- Generic system: Hilbert space \mathcal{V} , Hamiltonian \mathcal{H}
- A *symmetry operation* ("symmpop") is an invertible map $\mathcal{U} : \mathcal{V} \rightarrow \mathcal{V}$ such that, if $|\psi'\rangle = \mathcal{U}(|\psi\rangle)$, then

$$|\langle\phi'|\psi'\rangle| = |\langle\phi|\psi\rangle|, \quad \forall |\phi\rangle, |\psi\rangle \in \mathcal{V}$$

- **Wigner's theorem:** Any symmpop is either a **linear** or **antilinear** operator on \mathcal{V}
(antilinear: $\mathcal{U}(\alpha|\psi\rangle + \beta|\phi\rangle) = \alpha^*\mathcal{U}|\psi\rangle + \beta^*\mathcal{U}|\phi\rangle$)
- The set of all symmpops from a group \mathcal{G}_O
- A symmpop \mathcal{U} is a **symmetry** if

$$\mathcal{U}\mathcal{H}\mathcal{U}^{-1} = \mathcal{H}$$

The set of all symmetries from a group \mathcal{G} (a subgroup of \mathcal{G}_O)

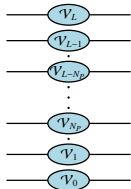
- Examples of "usual" symmetries (free particle)
 - ▶ Usual Linear: Translation
 - ▶ Usual Antilinear : Time reversal

Symmops of Fermionic Systems

- Fermionic system: $\mathcal{V} = \bigoplus_{N_p=0}^L \mathcal{V}_{N_p}$
- Usual symmop of fermionic systems

$$\mathcal{U}_{\text{USL}}(\mathcal{V}_{N_p}) = \mathcal{V}_{N_p}, \quad \mathcal{U}_{\text{USL}} \Psi^\dagger \mathcal{U}_{\text{USL}}^{-1} = \Psi^\dagger \mathbf{U}$$

described by a unitary matrix \mathbf{U}

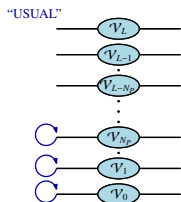


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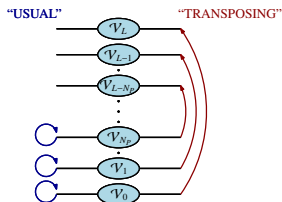


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- Fermionic systems have other natural, **transposing**, symmops: (Altland and Zirnbauer (1997))

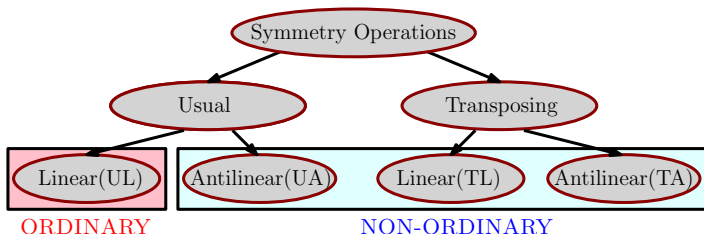
$$\mathcal{U}_{\text{TRN}}(\mathcal{V}_{N_p}) = \mathcal{V}_{L-N_p}, \quad \mathcal{U}_{\text{TRN}} \Psi^\dagger \mathcal{U}_{\text{TRN}}^{-1} = \Psi^T \mathbf{U}$$

...traditionally called as “particle-hole” transformations

- Transposing symmops, following Wigner, can be linear or antilinear

Symmops – Summary

- Symmops/symmetries of fermionic systems



- Central to the classification scheme are the **non-ordinary symmops** (UA,TL,TA)

Non-ordinary Symmops: Properties

- Product of any two non-ordinary symmop of the same type is an ordinary symmop, e. g.,

$$\mathcal{U}_{1TA} \mathcal{U}_{2TA} = \mathcal{U}_{UL}$$

- Product of two different types non-ordinary symmops is the third type, e.g.,

$$\mathcal{U}_{UA} \mathcal{U}_{LT} = \mathcal{U}_{TA}$$

- Multiplication table of symmops $G_O = G_O^{UL} \cup G_O^{UA} \cup G_O^{TL} \cup G_O^{TA}$

$\mathcal{U}_1 \downarrow \mid \mathcal{U}_2 \rightarrow$	G_O^{UL}	G_O^{UA}	G_O^{TL}	G_O^{TA}
G_O^{UL}	G_O^{UL}	G_O^{UA}	G_O^{TL}	G_O^{TA}
G_O^{UA}	G_O^{UA}	G_O^{UL}	G_O^{TA}	G_O^{TL}
G_O^{TL}	G_O^{TL}	G_O^{TA}	G_O^{UL}	G_O^{UA}
G_O^{TA}	G_O^{TA}	G_O^{TL}	G_O^{UA}	G_O^{UL}

“Grotesque” Fermionic Systems (GFS)

- A fermionic system is termed “grotesque” if it has no nontrivial ordinary symmetries (There is always the $U(1)$ or Z_2 symmetry associated with $\mathcal{I}_\theta = e^{i\theta\mathcal{N}}$, $\mathcal{N} = \sum_i \psi_i^\dagger \psi_i$)
- Non-ordinary symmetries of a GFS are highly constrained
 1. **Solitariness**: There is at most one of each type of non-ordinary symmetry...they are some standard names
 - UA Time reversal symmetry \mathcal{T}
 - TL Charge conjugation symmetry \mathcal{C}
 - TA Sublattice symmetry \mathcal{S}
 2. A GFS has to make choose from one of three possibilities
 - Type 0** No non-ordinary symmetries
 - Type 1** A single non-ordinary symmetry (which can be any of the three)
 - Type 3** Three non-ordinary symmetries one of each type

Further Properties of Non-Ordinary Symmetries of a GFS

- Time reversal: $\mathcal{T}^2 = (\pm 1)^{\mathcal{N}}$ denoted by $T = \pm 1$
- Charge conjugation: $\mathcal{C}^2 = (\pm 1)^{\mathcal{N}}$ denoted by $C = \pm 1$
- Sublattice can be chosen as : $\mathcal{S}^2 = 1$
- Why so? Illustrate this with \mathcal{C} : $\mathcal{C}^2 = \mathcal{I}_\theta$ for some θ ; rewrite this as $\mathcal{C}^{-1} \mathcal{I}_\theta = \mathcal{I}_\theta \mathcal{C}^{-1}$; apply this relation on \mathcal{V}_{N_P} :

$$e^{iN_P\theta} = e^{i(L-N_P)\theta}, \quad \forall N_P = 0, \dots, L.$$

resulting in $e^{i2\theta} = 1$, and

$$\mathcal{C}^2 = (\pm 1)^{\mathcal{N}} \mathcal{I}$$

Ten Classes

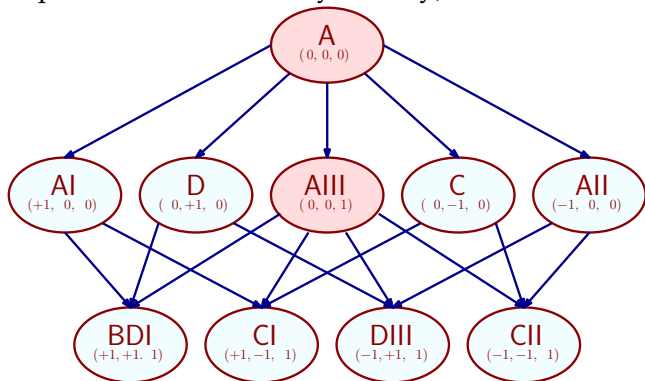
- Ten classes

Type 0 One class

Type 1 Five classes

Type 3 Four classes

- Each symmetry class denoted by its symmetry signature (T, C, S)
(a 0 value implies absence of that symmetry)



Pink – Complex class, Cyan – Real class

Canonical Representation of Symmetries

Class	T	C	S	L	\mathbf{U}_T	\mathbf{U}_C	\mathbf{U}_S
A	0	0	0	L	-	-	-
AI	+1	0	0	L	$\mathbf{1}$	-	-
AII	-1	0	0	$L = 2M$	\mathbf{J}	-	-
D	0	+1	0	L	-	$\mathbf{1}$	-
C	0	-1	0	$L = 2M$	-	\mathbf{J}	-
AIII	0	0	1	$L = p + q$	-	-	$\mathbf{1}_{p,q}$
BDI	+1	+1	1	$L = p + q$	$\mathbf{1}$	$\mathbf{1}_{p,q}$	$\mathbf{1}_{p,q}$
CII	-1	-1	1	$L = p + q$ $p = 2r; q = 2s$	$\begin{pmatrix} \mathbf{J}_{pp} & \mathbf{0}_{pq} \\ \mathbf{0}_{qp} & \mathbf{J}_{qq} \end{pmatrix}$	$\begin{pmatrix} -\mathbf{J}_{pp} & \mathbf{0}_{pq} \\ \mathbf{0}_{qp} & \mathbf{J}_{qq} \end{pmatrix}$	$\mathbf{1}_{p,q}$
CI	+1	-1	1	$L = 2M$	\mathbf{F}	$-\mathbf{J}$	$\mathbf{1}_{M,M}$
DIII	-1	+1	1	$L = 2M$	\mathbf{J}	\mathbf{F}	$\mathbf{1}_{M,M}$

$$\mathbf{J} = \begin{pmatrix} \mathbf{0}_{MM} & \mathbf{1}_{MM} \\ -\mathbf{1}_{MM} & \mathbf{0}_{MM} \end{pmatrix}$$

$$\mathbf{1}_{p,q} = \begin{pmatrix} \mathbf{1}_{pp} & \mathbf{0}_{pq} \\ \mathbf{0}_{qp} & -\mathbf{1}_{qq} \end{pmatrix}$$

$$\mathbf{F} = \begin{pmatrix} \mathbf{0}_{MM} & \mathbf{1}_{MM} \\ \mathbf{1}_{MM} & \mathbf{0}_{MM} \end{pmatrix}$$

Recall $\mathcal{U}_{\text{USL}} \Psi^\dagger \mathcal{U}_{\text{USL}}^{-1} = \Psi^\dagger \mathbf{U}$ and $\mathcal{U}_{\text{TRN}} \Psi^\dagger \mathcal{U}_{\text{TRN}}^{-1} = \Psi^T \mathbf{U}$

- Symmetries strongly constrain the Hilbert space, e. g., class C can be realized only when L is even!

Tenfold Way – Another View

- Abstract group of symmops: \mathcal{K}_4 , the Klein group

\mathcal{K}_4	I	Θ	Ξ	Σ
I	I	Θ	Ξ	Σ
Θ	Θ	I	Σ	Ξ
Ξ	Ξ	Σ	I	Θ
Σ	Σ	Ξ	Θ	I

- Abstract symmetry group G is a subgroup of \mathcal{K}_4

Type 0 $\mathcal{I} = \{I\}$

Type 1 $\mathcal{Z}_2^T = \{I, \Theta\}$, $\mathcal{Z}_2^C = \{I, \Xi\}$, $\mathcal{Z}_2^S = \{I, \Sigma\}$

Type 3 \mathcal{K}_4

- Fermionic systems – representation spaces of G

Tenfold Way – A Group Cohomological View

- **Projective representations:** Each group element $g \in G$ is represented by a operator(matrix) $D(g)$ on some “fermionic” Hilbert-Fock space

$$D(g_1)D(g_2) = \omega(g_1, g_2)D(g_1g_2)$$

where $\omega(g_1, g_2) \in U(1)$ is the “Schur multiplier” or “2-cocycle”

- Condition on Schur multipliers (associativity of the group)

$$\omega(g_1, g_2)\omega(g_1g_2, g_3) = \omega(g_1, g_2g_3)\omega^{g_1}(g_2, g_3)$$

where

$$\omega^g \equiv \varphi_g(\omega)$$

encodes the linearly or antilinearity of g

$$\begin{aligned}\varphi_I(\omega) &= \omega, & \varphi_\Theta(\omega) &= \omega^* \\ \varphi_\Xi(\omega) &= \omega^*, & \varphi_\Sigma(\omega) &= \omega\end{aligned}$$

- Key question: How many distinct multipliers are there for a given G and a “twisting function” φ ?

Tenfold Way – A Group Cohomological View

- The multipliers themselves from a group, the second cohomology group $H_\varphi^2(U(1), G)$ (after making the idea of “distinct” precise)
- The number of elements of $H_\varphi^2(U(1), G)$ determine the number of symmetry classes associated with G

Key result (1606.05483)

There are ten distinct multiplier systems for $\mathcal{I}, \mathcal{Z}_2^T, \mathcal{Z}_2^C, \mathcal{Z}_2^S, \mathcal{K}_4$...and thus ten symmetry classes

$$H_\varphi^2(U(1), \mathcal{K}_4) = \mathcal{K}_4$$

G	$H_\varphi^2(U(1), G)$	$ H_\varphi^2(U(1), G) $
\mathcal{I}	I	1
\mathcal{Z}_2^T	Z_2	2
\mathcal{Z}_2^C	Z_2	2
\mathcal{Z}_2^S	I	1
\mathcal{K}_4	K_4	4

$\omega(g_1 \downarrow, g_2 \rightarrow)$	I	Θ	Ξ	Σ
I	1	1	1	1
Θ	1	T	1	T
Ξ	1	TC	C	T
Σ	1	C	C	1

$$T = \pm 1, C = \pm 1$$

- Every GFS is a reducible projective representation associated with a multiplier system of $\mathcal{I}, \mathcal{Z}_2^T, \mathcal{Z}_2^C, \mathcal{Z}_2^S, \mathcal{K}_4$

Tenfold Way: Noninteracting Systems

Class	L	$\mathbf{H}^{(1)}$	$\dim i\mathcal{H}^{(1)}$	$i\mathcal{H}^{(1)}$	$\mathbb{U}_{\text{Schröd}}(t)$
A(0, 0, 0)	L	$\mathbf{H}^{(1)} = [\mathbf{H}^{(1)}]^\dagger$	L^2	$\mathfrak{u}(L)$	$U(L)$
AI(+1, 0, 0)	L	$\mathbf{H}^{(1)} = [\mathbf{H}^{(1)}]^*$	$L(L+1)/2$	$\mathfrak{u}(L) \setminus \mathfrak{o}(L)$	$U(L)/O(L)$
AII(-1, 0, 0)	$L = 2M$	$\begin{pmatrix} \mathbf{h}_{aa} & \mathbf{h}_{ab} \\ -\mathbf{h}_{ab}^* & \mathbf{h}_{aa}^* \end{pmatrix}$	$M(2M-1)$	$\mathfrak{u}(2M) \setminus \mathfrak{usp}(2M)$	$U(2M)/USp(2M)$
D(0, +1, 0)	L	$\mathbf{H}^{(1)} = -[\mathbf{H}^{(1)}]^*$	$L(L-1)/2$	$\mathfrak{o}(L)$	$O(L)$
C(0, -1, 0)	$L = 2M$	$\begin{pmatrix} \mathbf{h}_{aa} & \mathbf{h}_{ab} \\ \mathbf{h}_{ab}^* & -\mathbf{h}_{aa}^* \end{pmatrix}$	$M(2M+1)$	$\mathfrak{usp}(2M)$	$USp(2M)$
AIII(0, 0, 1)	$L = p+q$	$\begin{pmatrix} \mathbf{0}_{pp} & \mathbf{h}_{pq} \\ \mathbf{h}_{pq}^\dagger & \mathbf{0}_{qq} \end{pmatrix}$	$2pq$	$\mathfrak{u}(p+q) \setminus (\mathfrak{u}(p) \oplus \mathfrak{u}(q))$	$U(p+q)/(U(p) \times U(q))$
BDI(+1, +1, 1)	$L = p+q$	$\begin{pmatrix} \mathbf{0}_{pp} & \mathbf{h}_{pq} \\ \mathbf{h}_{pq}^\dagger & \mathbf{0}_{qq} \end{pmatrix}, \mathbf{h}_{pq}^* = \mathbf{h}_{pq}$	pq	$\mathfrak{o}(p+q) \setminus (\mathfrak{o}(p) \oplus \mathfrak{o}(q))$	$O(p+q)/(O(p) \times O(q))$
CII(-1, -1, 1)	$L = p+q,$ $p = 2r, q = 2s$	$\begin{pmatrix} \mathbf{0}_{pp} & \mathbf{h}_{rr} & \mathbf{h}_{rs} \\ & -\mathbf{h}_{rs}^* & \mathbf{h}_{rr}^* \\ \text{h.c.} & & \mathbf{0}_{qq} \end{pmatrix}$	$4rs$	$\mathfrak{usp}(p+q) \setminus (\mathfrak{usp}(p) \oplus \mathfrak{usp}(q))$	$USp(2(r+s))/(USp(2r) \times USp(2s))$
CI(+1, -1, 1)	$L = 2M$	$\begin{pmatrix} \mathbf{0}_{MM} & \mathbf{h}_{MM} \\ \mathbf{h}_{MM}^* & \mathbf{0}_{MM} \end{pmatrix}, \mathbf{h}_{MM}^\dagger = \mathbf{h}_{MM}$	$M(M+1)$	$\mathfrak{usp}(2M) \setminus \mathfrak{u}(M)$	$USp(2M)/U(M)$
DIII(-1, +1, 1)	$L = 2M$	$\begin{pmatrix} \mathbf{0}_{MM} & \mathbf{h}_{MM} \\ -\mathbf{h}_{MM}^* & \mathbf{0}_{MM} \end{pmatrix}, \mathbf{h}_{MM}^\dagger = -\mathbf{h}_{MM}$	$M(M-1)$	$\mathfrak{o}(2M) \setminus \mathfrak{u}(M)$	$O(2M)/U(M)$

- Structure of Hamiltonian in each class
- Time evolution operator runs over a coset space
- All ten families of Cartan's symmetric spaces are realized

Interacting Systems

- Systems with *up to* N -body interactions

$$\mathcal{H} = \sum_{K=0}^N (\Psi^\dagger)^K \mathbf{H}^{(K)} (\Psi)^K$$

$\mathbf{H}^{(K)}$ is a $\binom{L}{K} \times \binom{L}{K}$ matrix,... Hamiltonian specified by an $N + 1$ -tuple

$$\mathbf{H} = (\mathbf{H}^{(0)}, \mathbf{H}^{(1)}, \dots, \mathbf{H}^{(N)})$$

Goal is to find the space of all \mathbf{H} allowed by symmetry in each of the ten classes

- Main point: $\mathbf{H}^{(K)}$ will depend on $\mathbf{H}^{(R)}$ for all $K < R < N$ when the class has a transposing symmetry (recall μ in the Hubbard model at half filling depends on U)....iterative determination of $\mathbf{H}^{(K)}$ starting from $\mathbf{H}^{(N)}$
- Complete solution presented in [1606.05483](#)

Tenfold Way: N -Body Hamiltonians (N even)

Class	L	P	Q	$\mathbf{H}^{(N)}$	$\dim \mathcal{H}^{(N)}$	$i\mathcal{H}_N^N$
A (0,0,0)	L	$\binom{L}{N}$	-	$\mathbf{H}^{(N)} = [\mathbf{H}^{(N)}]^\dagger$	P^2	$\mathbf{u}(P)$
AI (+1,0,0)	L	$\binom{L}{N}$	-	$\mathbf{H}^{(N)} = [\mathbf{H}^{(N)}]^\dagger$	$P(P+1)/2$	$\mathbf{u}(P) \setminus \mathbf{o}(P)$
AII (-1,0,0)	$L = 2M$	$\frac{1}{2} \left(\binom{L}{N} + \binom{M}{N/2} \right)$	$\frac{1}{2} \left(\binom{L}{N} - \binom{M}{N/2} \right)$	$\begin{pmatrix} \mathbf{h}_{PP}^{(N)} & \mathbf{h}_{PQ}^{(N)} & \mathbf{h}_{PP}^{(N)} \\ \mathbf{h}_{PQ}^{(N)\dagger} & \mathbf{h}_{QQ}^{(N)} & \mathbf{h}_{QQ}^{(N)\dagger} \\ \mathbf{h}_{PP}^{(N)} & \mathbf{h}_{PQ}^{(N)} & -\mathbf{h}_{PQ}^{(N)\dagger} \end{pmatrix}$	$\frac{P(P+1)}{2} + \frac{Q(Q+1)}{2} + PQ$	$\mathbf{u}(P+Q) \setminus \mathbf{o}(P+Q)$
D (0,+1,0)	L	$\binom{L}{N}$	-	$\mathbf{H}^{(N)} = [\mathbf{H}^{(N)}]^\dagger$	$P(P+1)/2$	$\mathbf{u}(P) \setminus \mathbf{o}(P)$
C (0,-1,0)	$L = 2M$	$\frac{1}{2} \left(\binom{L}{N} + \binom{M}{N/2} \right)$	$\frac{1}{2} \left(\binom{L}{N} - \binom{M}{N/2} \right)$	$\begin{pmatrix} \mathbf{h}_{PP}^{(N)} & \mathbf{h}_{PQ}^{(N)} & \mathbf{h}_{PP}^{(N)} \\ \mathbf{h}_{PQ}^{(N)\dagger} & \mathbf{h}_{QQ}^{(N)} & \mathbf{h}_{QQ}^{(N)\dagger} \\ \mathbf{h}_{PP}^{(N)} & \mathbf{h}_{PQ}^{(N)} & -\mathbf{h}_{PQ}^{(N)\dagger} \end{pmatrix}$	$\frac{P(P+1)}{2} + \frac{Q(Q+1)}{2} + PQ$	$\mathbf{u}(P+Q) \setminus \mathbf{o}(P+Q)$
AIII (0,0,1)	$L = p+q$	$\sum_{a=1,3,\dots}^{N-1} \binom{p}{a} \binom{q}{N-a}$	$\sum_{a=0,2,\dots}^N \binom{p}{a} \binom{q}{N-a}$	$\begin{pmatrix} \mathbf{h}_{PP}^{(N)} & \mathbf{0}_{PQ} & \mathbf{h}_{PP}^{(N)} \\ \mathbf{0}_{QP} & \mathbf{h}_{QQ}^{(N)} & \mathbf{h}_{QQ}^{(N)\dagger} \end{pmatrix}$	$P^2 + Q^2$	$\mathbf{u}(P) \oplus \mathbf{u}(Q)$
BDI (+1,+1,1)	$L = p+q$	$\sum_{a=1,3,\dots}^{N-1} \binom{p}{a} \binom{q}{N-a}$	$\sum_{a=0,2,\dots}^N \binom{p}{a} \binom{q}{N-a}$	$\begin{pmatrix} \mathbf{h}_{PP}^{(N)} & \mathbf{0}_{PQ} & \mathbf{h}_{PP}^{(N)} \\ \mathbf{0}_{QP} & \mathbf{h}_{QQ}^{(N)} & \mathbf{h}_{QQ}^{(N)\dagger} \end{pmatrix}$	$\frac{P(P+1)}{2} + \frac{Q(Q+1)}{2}$	$\mathbf{u}(P) \oplus \mathbf{u}(Q)$
CII (-1,-1,1)	$L = p+q$ $p = 2r \quad q = 2s$	$P = \sum_{a=1,3,\dots}^{N-1} \binom{p}{a} \binom{q}{N-a}$ $A(B) = P/2$	$Q = \sum_{a=0,2,\dots}^N \binom{p}{a} \binom{q}{N-a}$ $C(D) = \frac{Q}{2} \pm \sum_{a=0,1,\dots}^N \frac{1}{2} \binom{r}{a/2} \binom{s}{(N-a)/2}$	$\begin{pmatrix} \mathbf{h}_{AA}^{(N)} & \mathbf{h}_{AB}^{(N)} & \mathbf{0}_{PQ} \\ \mathbf{h}_{AB}^{(N)\dagger} & \mathbf{h}_{BB}^{(N)} & \mathbf{h}_{ABCC}^{(N)} \\ \mathbf{0}_{QP} & \mathbf{h}_{CC}^{(N)} & \mathbf{h}_{CD}^{(N)} \end{pmatrix}$ $\mathbf{h}_{ABCC}^{(N)} = [\mathbf{h}_{ABCC}^{(N)}]^\dagger$ $\mathbf{h}_{BBDD}^{(N)} = [\mathbf{h}_{BBDD}^{(N)}]^\dagger$ $\mathbf{h}_{ABCD}^{(N)} = -[\mathbf{h}_{ABCD}^{(N)}]^\dagger$	$\frac{A(A+1)}{2} + \frac{B(B+1)}{2} + AB$ $+ \frac{C(C+1)}{2} + \frac{D(D+1)}{2} + CD$	$\mathbf{u}(A+B) \setminus \mathbf{o}(A+B) \oplus \mathbf{u}(C+D) \setminus \mathbf{o}(C+D)$
CI (+1,-1,1)	$L = 2M$	$P = \sum_{a=1,3,\dots}^{N-1} \binom{M}{a} \binom{M}{N-a}$ $A(B) = \begin{cases} P/2 & ; N/2 \text{ even} \\ \frac{P}{2} \mp \binom{M}{N/2} & ; N/2 \text{ odd} \end{cases}$	$Q = \sum_{a=0,2,\dots}^N \binom{M}{a} \binom{M}{N-a}$ $C(D) = \begin{cases} \frac{Q}{2} \pm \binom{M}{N/2} & ; N/2 \text{ even} \\ Q/2 & ; N/2 \text{ odd} \end{cases}$	$\begin{pmatrix} \mathbf{h}_{AA}^{(N)} & \mathbf{h}_{AB}^{(N)} & \mathbf{0}_{PQ} \\ \mathbf{h}_{AB}^{(N)\dagger} & \mathbf{h}_{BB}^{(N)} & \mathbf{h}_{ABCC}^{(N)} \\ \mathbf{0}_{QP} & \mathbf{h}_{CC}^{(N)} & \mathbf{h}_{CD}^{(N)} \end{pmatrix}$ $\mathbf{h}_{ABCC}^{(N)} = [\mathbf{h}_{ABCC}^{(N)}]^\dagger$ $\mathbf{h}_{BBDD}^{(N)} = [\mathbf{h}_{BBDD}^{(N)}]^\dagger$ $\mathbf{h}_{ABCD}^{(N)} = -[\mathbf{h}_{ABCD}^{(N)}]^\dagger$	$\frac{A(A+1)}{2} + \frac{B(B+1)}{2} + AB$ $+ \frac{C(C+1)}{2} + \frac{D(D+1)}{2} + CD$	-do-
DIII (-1,+1,1)	$L = 2M$	$P = \sum_{a=1,3,\dots}^{N-1} \binom{M}{a} \binom{M}{N-a}$ $A(B) = \begin{cases} P/2 & ; N/2 \text{ even} \\ \frac{P}{2} \pm \binom{M}{N/2} & ; N/2 \text{ odd} \end{cases}$	$Q = \sum_{a=0,2,\dots}^N \binom{M}{a} \binom{M}{N-a}$ $C(D) = \begin{cases} \frac{Q}{2} \pm \binom{M}{N/2} & ; N/2 \text{ even} \\ Q/2 & ; N/2 \text{ odd} \end{cases}$	$\begin{pmatrix} \mathbf{h}_{AA}^{(N)} & \mathbf{h}_{AB}^{(N)} & \mathbf{0}_{PQ} \\ \mathbf{h}_{AB}^{(N)\dagger} & \mathbf{h}_{BB}^{(N)} & \mathbf{h}_{ABCC}^{(N)} \\ \mathbf{0}_{QP} & \mathbf{h}_{CC}^{(N)} & \mathbf{h}_{CD}^{(N)} \end{pmatrix}$ $\mathbf{h}_{ABCC}^{(N)} = [\mathbf{h}_{ABCC}^{(N)}]^\dagger$ $\mathbf{h}_{BBDD}^{(N)} = [\mathbf{h}_{BBDD}^{(N)}]^\dagger$ $\mathbf{h}_{ABCD}^{(N)} = -[\mathbf{h}_{ABCD}^{(N)}]^\dagger$	$\frac{A(A+1)}{2} + \frac{B(B+1)}{2} + AB$ $+ \frac{C(C+1)}{2} + \frac{D(D+1)}{2} + CD$	-do-

(1606.05483)

Tenfold Way: N -Body Hamiltonians (N odd)

Class	L	P	Q	$\mathbf{H}^{(N)}$	$\dim i\mathcal{H}_+^{(N)}$	$i\mathcal{H}_+^{(N)}$
A (0,0,0)	L	$\binom{L}{N}$	-	$\mathbf{H}^{(N)} = [\mathbf{H}^{(N)}]^\dagger$	P^2	$\mathfrak{u}(P)$
AI (+1,0,0)	L	$\binom{L}{N}$	-	$\mathbf{H}^{(N)} = [\mathbf{H}^{(N)}]^*$	$P(P+1)/2$	$\mathfrak{u}(P) \setminus \mathfrak{o}(P)$
All (-1,0,0)	$L = 2M$	$\frac{1}{2}\binom{2M}{N}$	$\frac{1}{2}\binom{2M}{N}$	$\begin{pmatrix} \mathbf{h}_{PP}^{(N)} & \mathbf{h}_{PQ}^{(N)} \\ -[\mathbf{h}_{PQ}^{(N)}]^* & [\mathbf{h}_{PP}^{(N)}]^* \end{pmatrix}$	$P^2 + 2 \times \frac{P(P-1)}{2}$	$\mathfrak{u}(2P) \setminus \mathfrak{usp}(2P)$
D (0,+1,0)	L	$\binom{L}{N}$	-	$\mathbf{H}^{(N)} = -[\mathbf{H}^{(N)}]^\dagger$	$P(P-1)/2$	$\mathfrak{o}(P)$
C (0,-1,0)	$L = 2M$	$\frac{1}{2}\binom{2M}{N}$	$\frac{1}{2}\binom{2M}{N}$	$\begin{pmatrix} \mathbf{h}_{PP}^{(N)} & \mathbf{h}_{PQ}^{(N)} \\ [\mathbf{h}_{PQ}^{(N)}]^* & -[\mathbf{h}_{PP}^{(N)}]^* \end{pmatrix}$	$P^2 + 2 \times \frac{P(P+1)}{2}$	$\mathfrak{usp}(2P)$
AIII (0,0,1)	$L = p + q$	$\sum_{a=1,3,\dots}^N \binom{p}{a} \binom{q}{N-a}$	$\sum_{a=0,2,\dots}^{N-1} \binom{p}{a} \binom{q}{N-a}$	$\begin{pmatrix} \mathbf{0}_{PP} & \mathbf{h}_{PQ}^{(N)} \\ [\mathbf{h}_{PQ}^{(N)}]^\dagger & \mathbf{0}_{QQ} \end{pmatrix}$	$2PQ$	$\mathfrak{u}(P+Q) \setminus (\mathfrak{u}(P) \oplus \mathfrak{u}(Q))$
BDI (+1,+1,1)	$L = p + q$	$\sum_{a=1,3,\dots}^N \binom{p}{a} \binom{q}{N-a}$	$\sum_{a=0,2,\dots}^{N-1} \binom{p}{a} \binom{q}{N-a}$	$\begin{pmatrix} \mathbf{0}_{PP} & \mathbf{h}_{PQ}^{(N)} \\ [\mathbf{h}_{PQ}^{(N)}]^T & \mathbf{0}_{QQ} \end{pmatrix}$	PQ	$\mathfrak{o}(P+Q) \setminus (\mathfrak{o}(P) \oplus \mathfrak{o}(Q))$
CII (-1,-1,1)	$L = p + q$ $p = 2r \quad q = 2s$	$P = \sum_{a=1,3,\dots}^N \binom{p}{a} \binom{q}{N-a}$ $A(B) = P/2$	$Q = \sum_{a=0,2,\dots}^{N-1} \binom{p}{a} \binom{q}{N-a}$ $C(D) = \frac{Q}{2}$	$\begin{pmatrix} \mathbf{0}_{PP} & \mathbf{h}_{AC}^{(N)} & \mathbf{h}_{AD}^{(N)} \\ -[\mathbf{h}_{AD}^{(N)}]^* & [\mathbf{h}_{AC}^{(N)}]^* & \\ \text{h.c.} & \mathbf{0}_{QQ} & \end{pmatrix}$	PQ	$\mathfrak{usp}(P+Q) \setminus (\mathfrak{usp}(P) \oplus \mathfrak{usp}(Q))$
CI (+1,-1,1)	$L = 2M$	$\frac{1}{2}\binom{2M}{N}$	$\frac{1}{2}\binom{2M}{N}$	$\begin{pmatrix} \mathbf{0}_{PP} & \mathbf{h}_{PQ}^{(N)} \\ [\mathbf{h}_{PQ}^{(N)}]^* & \mathbf{0}_{QQ} \end{pmatrix}$	$P(P+1)$	$\mathfrak{usp}(2P) \setminus \mathfrak{u}(P)$
DIII (-1,+1,1)	$L = 2M$	$\frac{1}{2}\binom{2M}{N}$	$\frac{1}{2}\binom{2M}{N}$	$\begin{pmatrix} \mathbf{0}_{PP} & \mathbf{h}_{PQ}^{(N)} \\ -[\mathbf{h}_{PQ}^{(N)}]^* & \mathbf{0}_{QQ} \end{pmatrix}$	$P(P-1)$	$\mathfrak{o}(2P) \setminus \mathfrak{u}(P)$

(1606.05483)

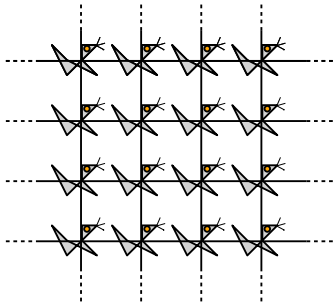
Useful in many contemporary problems: SYK model, many body localization

...on to Topology

- Kitaev, AIP Conference Proceedings, 1134, 22 (2009).
- Ryu, Schnyder, Furusaki, and Ludwig, New Journal of Physics, **12**, 065010 (2010).
- Chiu, Teo, Schnyder, Ryu, arXiv:1505.03535
- Ludwig, arXiv:1512.08882

From GFS to Lattice

- Make a lattice out of GFSs in d -dimensions



- Ψ_I^\dagger – fermion operators at site I
- Non-ordinary symmetries implemented “locally” (simplest case)

$$\mathcal{U} \Psi_I^\dagger \mathcal{U}^{-1} = \Psi_I^\dagger \mathbf{U} \quad \text{or} \quad \Psi_I^T \mathbf{U}$$

- Hamiltonian

$$\mathcal{H} = \sum_{IJ} \Psi_I^\dagger \mathbf{H}(I, J) \Psi_J$$

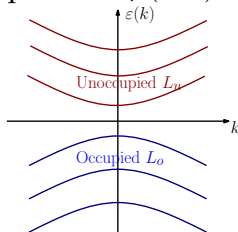
Bands etc.

- Bloch picture

$$\mathcal{H} = \sum_k \Psi_k^\dagger \mathbf{H}(k) \Psi_k$$

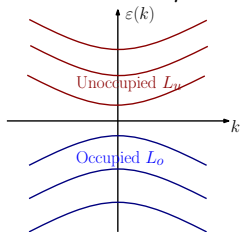
k is in the 1st Brillouin zone – T_d , the d -torus

- Symmetries constrain $\mathbf{H}(k)$, e.g., time reversal implies $\mathbf{H}(-k) = \mathbf{H}^*(k)$...i.e., symmetries determine the “character” of the Bloch states
- Focus on **gapped** systems...ground state obtained by “filling” bands below the chemical potential $\mu(= 0)$



Ground State...and Topology

- Ground state $|GS\rangle$ – filled bands below μ



- Two systems \mathcal{H}_1 and \mathcal{H}_2 in the same symmetry class are **topologically equivalent** if there is a continuous deformation of the Hamiltonian from \mathcal{H}_1 to \mathcal{H}_2 that takes $|GS_1\rangle$ to $|GS_2\rangle$ **without closing the gap** in the deformation process
- Key question: Given a symmetry class, how many topologically equivalent subclasses are there in d -dimensions?

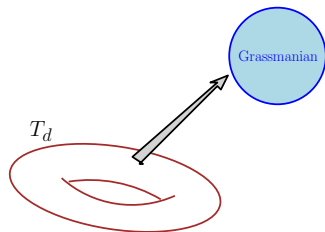
Topology of Ground States

- Focus on class A: Ground state at any k is a Slater determinant of the occupied Bloch states
- “Gauge freedom” in describing this Slater determinant has to be removed – ground state at k is an object that looks like

$$\frac{U(L)}{U(L_o) \times U(L_u)}$$

a point on a Grassmanian manifold (symmetric space!)

- The ground state can be viewed as a map from T_d to the Grassmanian



- Question: how many topologically distinct ground states are there?
- Look at the homotopy group (Kitaev)

$$\pi_{T_d}(\text{Grassmanian}),$$

in general, $\pi_{T_d}(\text{Symmetric Space})$

Topology of Ground States

- Calculation of homotopy groups is hard! Remarkable simplification occurs when L is “large”

	π_1	π_2	π_3	π_4	π_5	π_6	π_7	π_8	π_9	π_{10}	π_{11}	π_{12}	π_{13}	π_{14}	π_{15}
S^0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
S^1	\mathbb{Z}	0	0	0	0	0	0	0	0	0	0	0	0	0	0
S^2	0	\mathbb{Z}	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}_{12}	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}_3	\mathbb{Z}_{15}	\mathbb{Z}_2	\mathbb{Z}_2^2	$\mathbb{Z}_{12} \times \mathbb{Z}_2$	$\mathbb{Z}_{84} \times \mathbb{Z}_2^2$	\mathbb{Z}_2^2
S^3	0	0	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}_{12}	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}_3	\mathbb{Z}_{15}	\mathbb{Z}_2	\mathbb{Z}_2^2	$\mathbb{Z}_{12} \times \mathbb{Z}_2$	$\mathbb{Z}_{84} \times \mathbb{Z}_2^2$	\mathbb{Z}_2^2
S^4	0	0	0	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2	$\mathbb{Z} \times \mathbb{Z}_{12}$	\mathbb{Z}_2^2	\mathbb{Z}_2^2	$\mathbb{Z}_{24} \times \mathbb{Z}_3$	\mathbb{Z}_{15}	\mathbb{Z}_2	\mathbb{Z}_2^3	$\mathbb{Z}_{120} \times \mathbb{Z}_{12} \times \mathbb{Z}_2$	$\mathbb{Z}_{84} \times \mathbb{Z}_2^5$
S^5	0	0	0	0	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}_{24}	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}_{30}	\mathbb{Z}_2	\mathbb{Z}_2^3	$\mathbb{Z}_{72} \times \mathbb{Z}_2$
S^6	0	0	0	0	0	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}_{24}	0	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_{60}	$\mathbb{Z}_{24} \times \mathbb{Z}_2$	\mathbb{Z}_2^3
S^7	0	0	0	0	0	0	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}_{24}	0	0	\mathbb{Z}_2	\mathbb{Z}_{120}	\mathbb{Z}_2^3
S^8	0	0	0	0	0	0	0	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}_{24}	0	0	\mathbb{Z}_2	$\mathbb{Z} \times \mathbb{Z}_{120}$

(Wikipedia)

- Homotopy groups for large L are familiar Abelian groups (\mathbb{Z}, \mathbb{Z}_2)
...leads to

Periodic Table

Cartan \ d	0	1	2	3	4	5	6	7	8
<i>Complex case:</i>									
A	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z} ...
AIII	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0 ...
<i>Real case:</i>									
AI	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z} ...
BDI	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2 ...
D	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2 ...
DIII	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0 ...
AII	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$...
CII	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0 ...
C	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0 ...
CI	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0 ...

(Kitaev (2009), Ryu et. al. (2010), Ludwig:1512:08882)

Key features

- In any d there are 5 classes that host topologically distinct states
- **Bott periodicity:** The table has a periodicity of 2 for the “complex” classes, and a periodicity of 8 for “real” classes
- The “nontrivial” classes in $d + 1$ dimension are related to those in d
- Nontrivial topology will reflect in properties, gapless surface states etc...

Periodic Table...for ⟨human beings⟩

Simple illustration of the idea in $d = 0$ with $L = 2$ with a single fermion

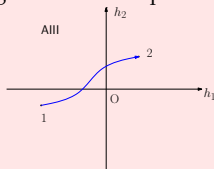
Cartan \ d	0
<i>Complex case:</i>	
A	\mathbb{Z}
AIII	0
<i>Real case:</i>	
AI	\mathbb{Z}
BDI	\mathbb{Z}_2
D	\mathbb{Z}_2
DIII	0
AII	$2\mathbb{Z}$
CII	0
C	0
CI	0

Class AIII

- Hamiltonian

$$\mathbf{H} = \begin{pmatrix} 0 & h_1 + ih_2 \\ h_1 - ih_2 & 0 \end{pmatrix}$$

- Eigenvalues $\pm\sqrt{h_1^2 + h_2^2}$,
negative state occupied



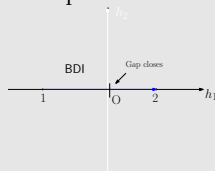
- **Can** deform any typical system 1 to 2 *without* closing the gap...topologically trivial

Class BDI

- Hamiltonian

$$\mathbf{H} = \begin{pmatrix} 0 & h_1 \\ h_1 & 0 \end{pmatrix}$$

- Eigenvalues $\pm|h_1|$, negative state occupied



- **Cannot** deform system 1 to 2 closing the gap...two distinct “topologies” described by a “parity” \mathbb{Z}_2 !

Periodic Table...for ⟨human beings⟩

Simple illustration of the idea in $d = 0$ with $L = 2$ with a single fermion

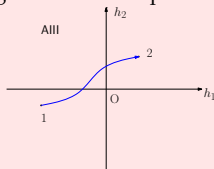
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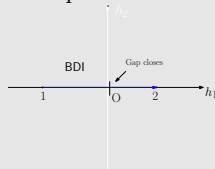
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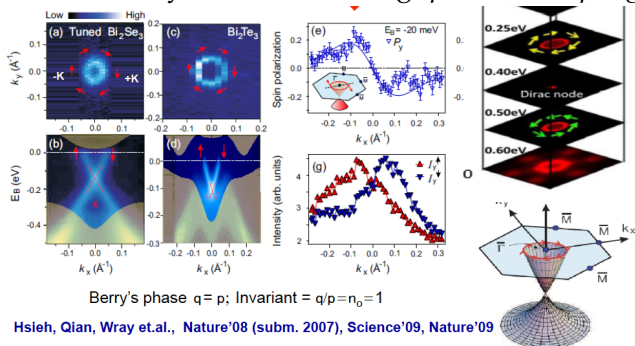


- **Cannot** deform system 1 to 2 closing the gap...two distinct “topologies” described by a “parity” \mathbb{Z}_2 !

Key idea: symmetry protected topology - SPT phases

Topological Insulators

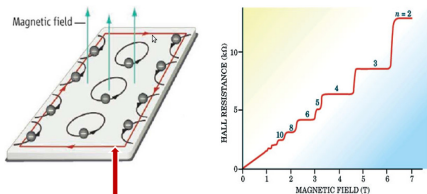
- Such physics can be realized in higher dimensions
- Topological insulators: **Insulators in bulk, metals on the surface**
- Realized material systems with strong *spin orbit coupling*



(Pinceton group, BiSb system)

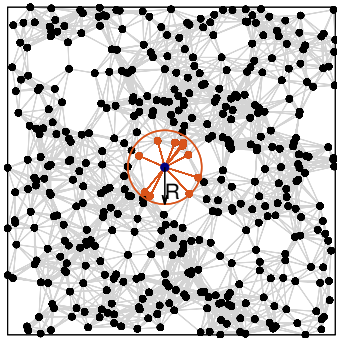
Topological Insulators for Technology

- Insulating bulk and conducting surfaces offer *many possibilities*
- Surface state transport is dissipation-less and quantized...*more energy efficient devices*
- Useful in *metrology (standards)*, resistance quantized to better than one part in a billion (von Kiltzing (2012))



- Topological insulators combined with other systems such as magnets and superconductors can lead to even more interesting physics (..most recent: discovery of Majorana modes using topological phases (Science, July 21, 2017))...useful in *quantum computing*
- **Challenge:** Finding “good” topological insulators, need *topology at room temperature*

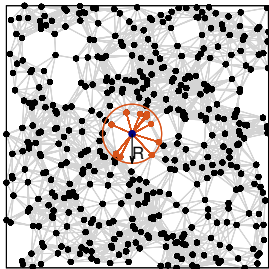
Topological Insulators in Amorphous Systems



Motivating Clues...

- Another route to periodic table: Description of topological phases in terms of non-linear sigma models ($NL\sigma M$)
- Haldane's work on spin chains: If a topological term is added to $NL\sigma M$, then the system will be gapless
- $NL\sigma M$ of disordered systems (Wegner et al.) applied to topological phases – A system in d -dimensions will be topological only if its surface, i. e., a $d - 1$ -dimensional system resists localization, i. e., is gapless.
- Question of if a phase is topological or not can be answered by hunting down if the $d - 1$ dimensional $NL\sigma M$ admits a topological term
- ...in this discussion, nowhere is a crystalline lattice assumed...implying topological insulators must be present in amorphous systems

Random Lattices...



- Random set of sites characterized by a density ρ (N sites in an area/volume V ;
 $\rho = N/V$)
- Each site I hosts L single particle states $|I\alpha\rangle, \alpha = 1, \dots, L$
- Hopping hamiltonian

$$\mathcal{H} = \sum_{I\alpha} \sum_{J\beta} t_{\alpha\beta}(\mathbf{r}_{IJ}) c_{I,\alpha}^\dagger c_{J,\beta}$$

- Structure of hopping matrix elements

$$t_{\alpha\beta}(\mathbf{r} = \mathbf{0}) = \varepsilon_{\alpha\beta}, \quad t_{\alpha\beta}(\mathbf{r} \neq \mathbf{0}) = t(|\mathbf{r}|) T_{\alpha,\beta}(\hat{\mathbf{r}})$$

- Hopping has a finite range R

$$t(r) = C\Theta(R - r)e^{-r/a}$$

a is an “atomic” length scale

...Hamiltonians Defined

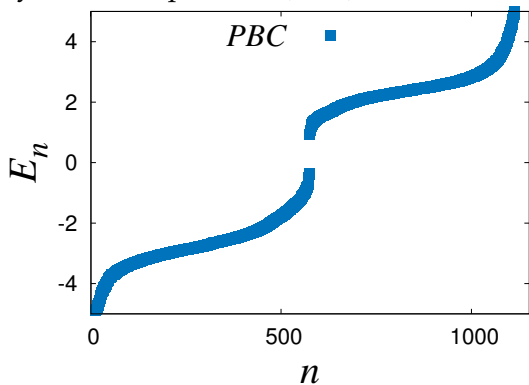
- Symmetry classes obtained by specifying $\epsilon_{\alpha\beta}$ and $T_{\alpha,\beta}(\hat{\mathbf{r}})$
- In 2D ($\hat{\mathbf{r}} \equiv (\cos \theta, \sin \theta)$)

Class (par)	$\epsilon_{\alpha\beta}$	$T_{\alpha\beta}(\hat{\mathbf{r}})$
A (λ, M, t_2)	$\begin{pmatrix} 2 + M & (1 - i)\lambda \\ (1 + i)\lambda & -(2 + M) \end{pmatrix}$	$\begin{pmatrix} \frac{-1+t_2}{2} & \frac{-ie^{-i\theta} + \lambda(\sin^2 \theta(1+i) - 1)}{2} \\ \frac{-ie^{i\theta} + \lambda(\sin^2 \theta(1-i) - 1)}{2} & \frac{1+t_2}{2} \end{pmatrix}$
AII (λ, M, t_2, g)	$\begin{pmatrix} 2 + M + 2t_2 & -i2\lambda & 0 & 0 \\ i2\lambda & -(2 + M) + 2t_2 & 0 & 0 \\ 0 & 0 & 2 + M + 2t_2 & i2\lambda \\ 0 & 0 & -i2\lambda & -(2 + M) + 2t_2 \end{pmatrix}$	$\begin{pmatrix} -\frac{1}{2} - \frac{t_2}{2} & -\frac{i}{2}e^{-i\theta} + \frac{i\lambda}{2} & 0 & -\frac{ig}{2}e^{-i\theta} \\ -\frac{i}{2}e^{i\theta} - \frac{i\lambda}{2} & \frac{1}{2} - \frac{t_2}{2} & -\frac{ig}{2}e^{-i\theta} & 0 \\ 0 & -\frac{ig}{2}e^{i\theta} & -\frac{1}{2} - \frac{t_2}{2} & \frac{i}{2}e^{i\theta} - \frac{i\lambda}{2} \\ -\frac{ig}{2}e^{i\theta} & 0 & \frac{i}{2}e^{-i\theta} + \frac{i\lambda}{2} & \frac{1}{2} - \frac{t_2}{2} \end{pmatrix}$
D (μ, Δ)	$\begin{pmatrix} 2 - \mu & 0 \\ 0 & -(2 - \mu) \end{pmatrix}$	$\begin{pmatrix} -\frac{1}{2} & \Delta e^{i\theta} \\ -\Delta e^{-i\theta} & \frac{1}{2} \end{pmatrix}$
DIII (M, g)	AII($\lambda = 0, t_2 = 0$)	AII($\lambda = 0, t_2 = 0$)
C (M)	$\begin{pmatrix} 2 + M & 0 \\ 0 & -(2 + M) \end{pmatrix}$	$\begin{pmatrix} -\frac{1}{2} & -\frac{1}{2}e^{-i2\theta} \\ -\frac{1}{2}e^{i2\theta} & \frac{1}{2} \end{pmatrix}$

- Focus on class A ($t_2 = 0.25t, \lambda = 0.5t$); for a given realization of random sites, hamiltonian tuned by changing M

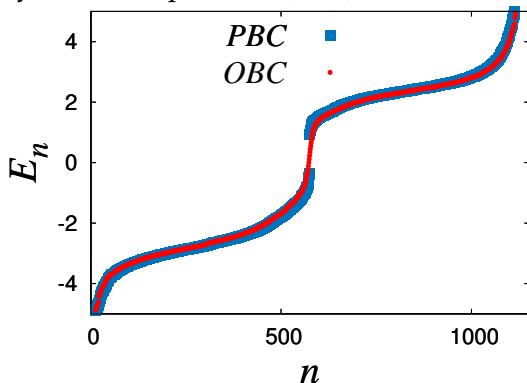
Energy Spectrum

- Study the system with periodic (PBC)...



Energy Spectrum

- Study the system with periodic (PBC)...

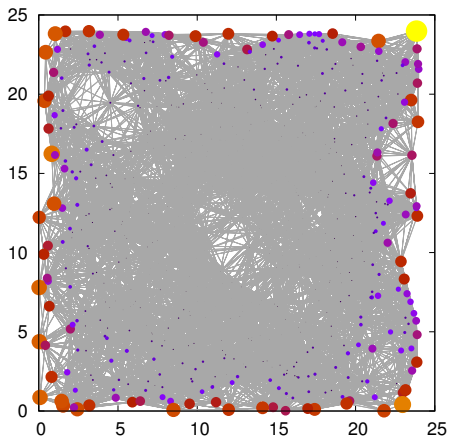


...and open (OBC) boundary conditions (filling is 1 fermion per site)

- In a range of M , PBC shows an energy gap, OBC shows midgap states!

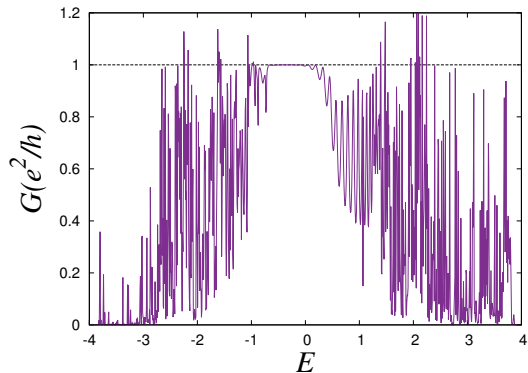
Edge States...

- Midgap states *are* edge states!



... with Quantized Transport

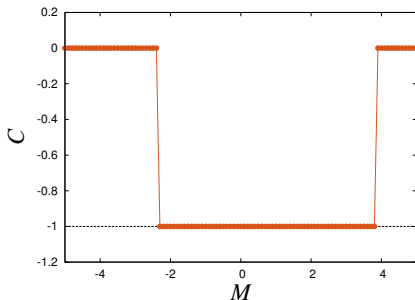
- Quantized conductance in the energy gap



...strongly indicative of a topological state

Topological Invariant

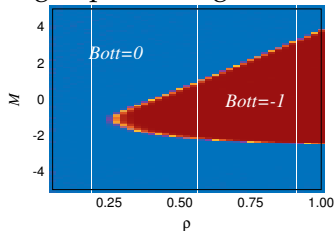
- Characterize the state using the Bott index (Adapted from Hastings and Loring, *EPL* (2010))
- Bott index changes from 0 to -1 upon changing M



- Clear demonstration of a topological state

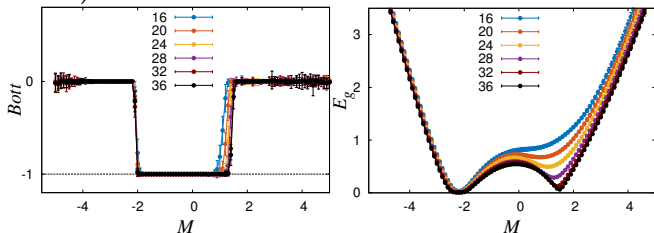
Phase Diagram

- Configuration averaged phase diagram



Need a critical density sites ρ to obtain a topological phase

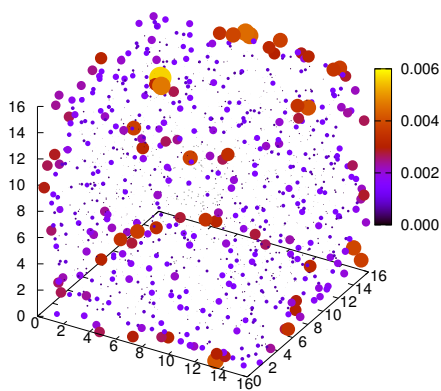
- Interesting physics in the transitions between phases by tuning M (for $\rho = 0.6$)



- Similar physics is found in other symmetry classes

Also in 3D

- Time reversal invariant Z_2 topological insulator in a 3D random lattice



Perspective

- New direction in the search for topological materials – **amorphous materials**

Featured in Physics

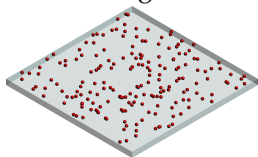
Editors' Suggestion

Topological Insulators in Amorphous Systems

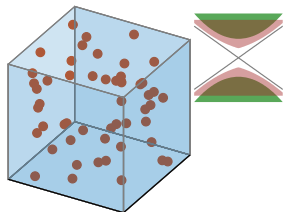
Adhip Agarwala and VBS
Phys. Rev. Lett. **118**, 236402

PhysICS See Synopsis: [Glass Materials Could Be Topological Insulators](#)

- Engineered systems
- ▶ Randomly deposited 2D motifs on an insulating surface



- ▶ Impurity bands in a wide gap insulator?



- Need detailed materials science inputs/considerations

Experimental Realization!

arXiv:1910.13412v1 [cond-mat.mtrl-sci] 29 Oct 2019

Evidence for topological surface states in amorphous Bi_2Se_3

Paul Corbue,^{1,3*} Samuel Ciocys,^{2,3*} Daniel Varjas,²
Steven Zeltmann,^{1,4} Conrad H. Stansbury,^{2,3} Manel Molina-Ruiz,²
Zhanghui Chen,¹ Lin-Wang Wang,² Andrew M. Minor,^{1,4}
Adolfo G. Grushin,⁵ Alessandra Lanzara,^{2,3} and Frances Hellman^{2,3}

¹Department of Materials Science, University of California,
Berkeley, California, 94720, USA

²Department of Physics, University of California,
Berkeley, California, 94720, USA

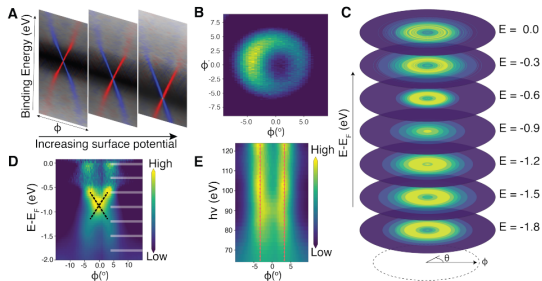
³Materials Science Division, Lawrence Berkeley National Laboratory,
Berkeley, California, 94720, USA

⁴National Center for Electron Microscopy,
Molecular Foundry, Lawrence Berkeley National Laboratory,
Berkeley, California, 94720, USA

⁵QTECH and Kavli Institute of NanoScience, Delft University of Technology,
2600 GA Delft, The Netherlands

⁶Univ. Grenoble Alpes, CNRS, Grenoble INP, Institut Néel,
38000 Grenoble, France

*To whom correspondence should be addressed; E-mail: pcorbue@berkeley.edu;
alanzara@lbl.gov;
hellman@berkeley.edu



- Hunting ground for amorphous topological systems: Amorphous systems with strong spin orbit coupling

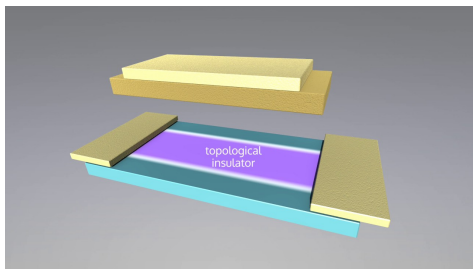
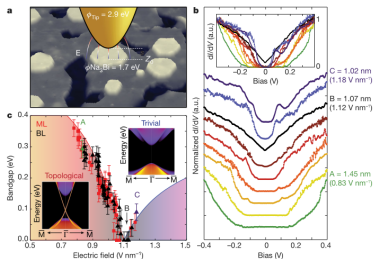
Tunable Topology?

LETTER

<https://doi.org/10.1038/s41586-018-0788-5>

Electric-field-tuned topological phase transition in ultrathin Na_3Bi

James L. Collins^{1,2,3}, Anton Tadic^{3,4}, Weikang Wu⁵, Lidia C. Gomes^{6,7}, Joao N. B. Rodrigues^{6,8}, Chang Liu^{1,2,3}, Jack Hellerstedt^{1,2,9}, Hyejin Ryu^{10,11}, Shujie Tang¹⁰, Sung-Kwan Mo¹⁰, Shaffique Adam^{6,12}, Shengyuan A. Yang^{5,13}, Michael S. Fuhrer^{1,2,3} & Mark T. Edmonds^{1,2,3*}



(Google images)

- Towards “ultra low energy” electronics!

Things Not Discussed...and Open Issues

- Our discussion restricted short range entangled phases of non-interacting systems
- Not discussed: Higher order topological insulators
- Understanding certain gapless phases – Dirac materials, Weyl semi-metals (Next talk by Sumathi)
- Experimental scenario not discussed...e. g. recent discovery of Majorana modes using topological phases
- Realizations in “non-fermionic” systems – Optical/Mechanical/Magnetic systems
- Classification changes when interactions are present (Kitaev et al.: in 1D BDI which is \mathbb{Z} collapses to \mathbb{Z}_8)...a lot is understood in 1D
- Higher dimensions is an open problem...many ideas including group cohomology (Wen et. al.) etc...are in the air
- ...and phases with long range entanglement (Wen, 1610.03911) – symmetry enriched topological phases

Key Takeaway...

Every epoch of humanity's progress was controlled by a phase of electrons:

Insulators



Stone age

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Stone age

Metals



Bronze/Iron age

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Bronze/Iron age

Semiconductors



"Mobile" age

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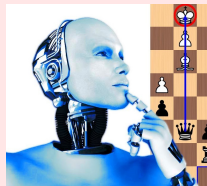
Bronze/Iron age

Semiconductors



"Mobile" age

SC/Twisted Phases?



"AI" age

Next epoch: **topological "twisted" phases?**

Summary

This talk (Ask me in person for references/review articles)

- Key message: Ideas of **topology and entanglement** are crucial in understanding/classifying phases of many fermions
- Tenfold way of classifying fermionic systems

Cartan\ d	0	1	2	3	4	5	6	7	8
<i>Complex case:</i>									
A	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z} ...
AIII	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0 ...
<i>Real case:</i>									
AI	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z} ...
BDI	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2 ...
D	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2 ...
DIII	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0 ...
AII	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$...
CII	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0 ...
C	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0 ...
CI	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0 ...

Open issues

- Topological classification in presence of interactions – **exciting times in condensed matter physics**
- Finding and using topological phases – more efficient electronics to quantum computers – **key new challenge for materials science**