

Higher Dimensional Field Theories

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String Theory: Past and Present
SpentaFest
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Park, Masato Taki, Cumrun Vafa, Futoshi Yagi, Ho-Ung Yee

Canonical Quantization Of Nonabelian Gauge Theory In The Schrodinger Picture: Applications To Monopoles And **Instantons**

Spenta Wadia

(City Coll., N.Y.). Jan 1979. 137 pp.
UMI Thesis Server



4 dimensions

- 4d Yang-Mills Theories: Asymptotically Free
 - UV free, IR strong
- 4d QED: Landau pole
 - UV strong/incomplete, IR free

5 dim & 6 dim

- Yang-Mills theory: $[1/g^2] = M$ (5d), M^2 (6d)
 - coupling expansion = $1/M$ or $1/M^2$ expansion
 - IR free, UV: strong, Landau pole?
- No well defined QFTs in higher dimensions?

5 dim

- **Seiberg (9608111)**: 5d N=1 Super Yang-Mills theories with SU(2) gauge group and n fundamental hypers have a UV fixed point with enhanced global symmetry $E_{n+1} \supset SO(2N_f) \times U(1)_I$
- **Morrison, Seiberg (9609070)**: For n=0, one can have two options, $\theta=0, \pi$, with $E_1, \bar{E}_1$ enhanced symmetry. Non-Lagrangian theory of rank 1 E_0 without any global symmetry.
- $E_0, \bar{E}_1=U(1), E_1=SU(2), E_2=SU(2) \times U(1), E_3=SU(3) \times SU(2), E_4=SU(5), E_5=SO(10), E_6, E_7, E_8,$
- $\tilde{E}_8$: SU(2) + 8 hyper: completed in 6d (1,0) SCFT

6d (1,0) SCFTs

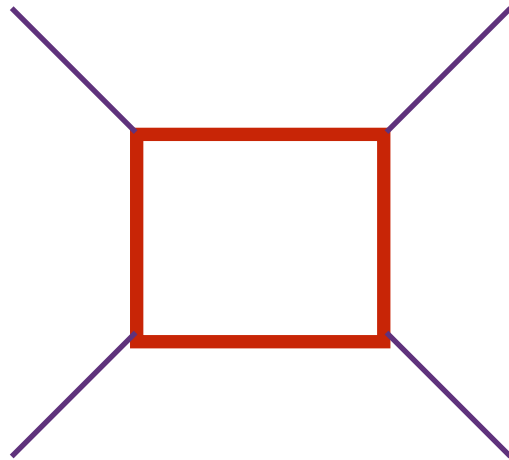
Seiberg'96, Danielsson et.al.'97

- [Seiberg \(9609161\)](#): Nontrivial fixed points of the renormalization group in six-dimensions
- vector multiplet (A_μ, λ)
- hyper multiplet (ϕ, ψ)
- tensor multiplet (B, Ψ, Φ)
- fermion helicity
- Anomalies

	helicity
vector	$(0,1)$
hyper	$(1,0)$
tensor	$(1,0)$
Q	$(1,0)$

Heckman, Morrison, Vafa (Heckman('13), Morrison, Rudelius, Vafa ('15)

Gauge Anomaly



$$\text{Tr}_R F^4 = \alpha_R \text{tr} F^4 + c_R (\text{tr} F^2)^2$$

$$\alpha_{\text{tot}} = \left(\alpha_{\text{adj}} - \sum_{\text{hyper } R} \alpha_R \right) = 0$$

$$c_{\text{tot}} = \left(c_{\text{adj}} - \sum_{\text{hyper } R} c_R \right) \geq 0$$

- The gauge anomaly polynomial is made of two pieces.
- The first one should vanish by vector and hyper contributions.
- The second one can be removed with coupling to tensor multiplet and using the Green-Schwartz mechanism

$$H^2 + \sqrt{c_R} (B \wedge \text{tr} F \wedge F + \Phi F^2)$$

6d (2,0) SCFTs

- Witten[9503124], Strominger[9512059], Klebanov and Tseytlin[9604089]
- type IIB on ADE ALE space
- theory on N M5 branes, M5+OM5
- tensor multiplet (B, Ψ, Φ_I) $\gamma^6 \Psi = \Psi$, $H = dB = *H$
- M2 branes between M5 branes = selfdual strings

6d (1,0), (1,1), (2,0) LSTs

- Seiberg[9705221], Losev, Moore Shatashvili[9707250]
- (1,1) & (2,0) LSTs on NS5 branes with $g_s \rightarrow 0$, l_s : fixed
- (1,1) LST as the UV completion of 6d (1,1) SYM
- (1,0) LSTs: Bhardwaj, Del Zotto, J. Heckman, Morrison, Rudelius, Vafa [1511.05565]

Today

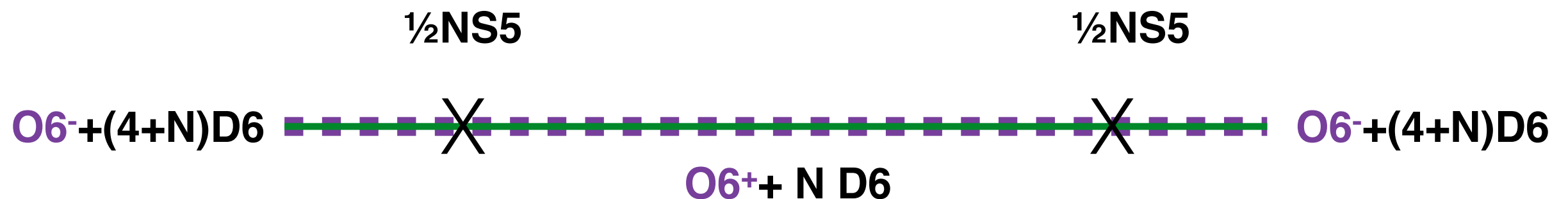
- Focus on a very small part of this theory space
- generalization of 5d Seiberg's result of $SU(2)$ theory to larger gauge group
- relate that to 6d (1,0) SCFTs
- Enhanced Global Symmetry and Gopakumar-Vafa invariants

Start with 6d (1,0) SCFTs

- Seiberg: 6d (1,0) SCFT with E_8 global symmetry
- $[E_8]$ -T : M5 brane near M9 Horava-Witten Wall
- $[E_7]$ -T- $[SU(2)]$: part of E_7 conformal matter
- $[E_6]$ -T- $[SU(3)]$: part of E_6 conformal matter
- $[G_2]$ -T- $[F_4]$: part of E_8 conformal matter
- $[SO(8)]$ -T- $[SO(8)]$: D_4 conformal matter

D_{4+k} conformal matter

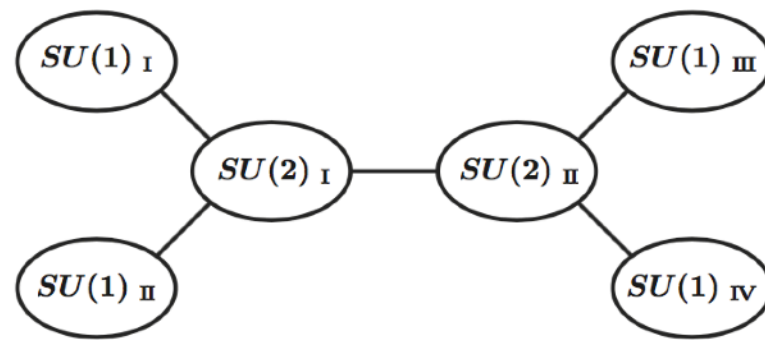
- $[SO(2k+8)]\text{-}Sp(k)_T\text{-}[SO(2k+8)]$
- $Sp(k)$ + tensor + $(2k+8)$ fundamental hyper
- global symmetry = $SO(4k+16)$
- for $k=0$, $SO(16)$ get enhanced to E_8



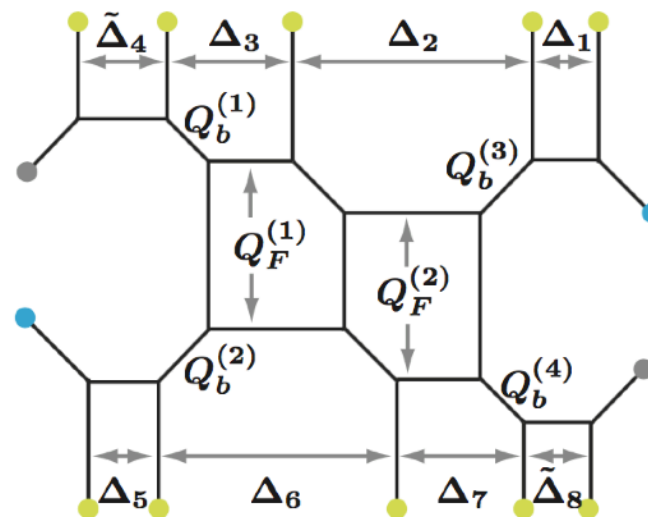
5d reduction

Hyashi, S.S. Kim, K.L. Taki, F. Yagi 2015, Yonekura 2015

- 6d: M5 brane exploring D_{k+4} singularity
- circle compactification: a single D4 brane exploring D_{k+4} singularity = D_{k+4} type quiver theory

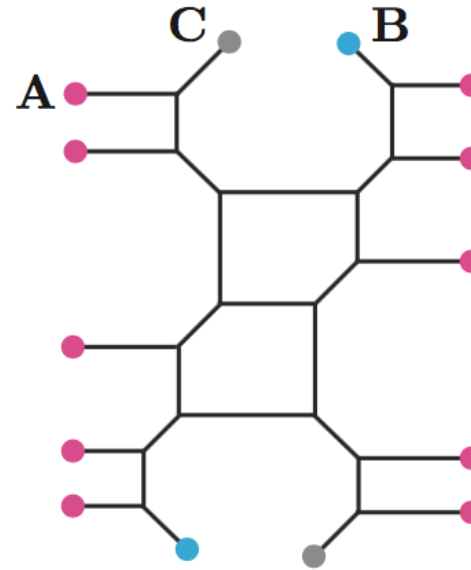


- $[p, q]$ 5 brane web

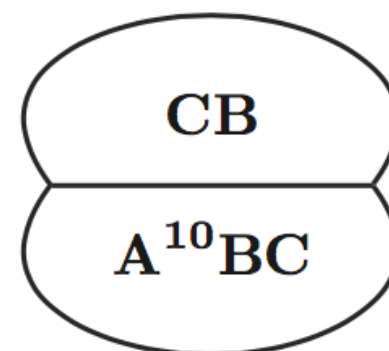
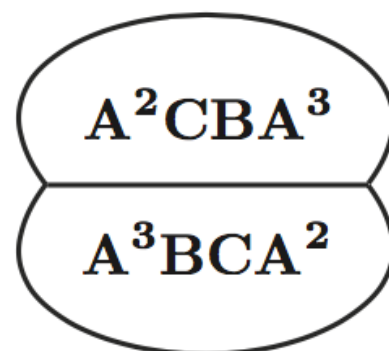


5d reduction

- S-dual of the $[p,q]$ brane web



- 5d $SU(3)$ gauge theory with 10 fundamental hypermultiplets and zero CS level: global symmetry $U(10) \times U(1)_I$
- Enhanced global symmetry: $SO(20) \times U(1)_{KK}$
- 7-brane argument



$$D^{10} = A^{10} B C$$

5d $SU(3)_\kappa + N_f$ fund hyper

- removing hyper induce the Chern-Simons level
- rank of global symmetry= $N_f + 1$

N_f	$G_{ \kappa }$ (κ is the Chern-Simons level)
10	$SO(20)_0$
9	$SO(20)_{\frac{1}{2}}$
8	$SU(10)_0, [SO(16) \times SU(2)]_1$
7	$[SU(8) \times SU(2)]_{\frac{1}{2}}, SO(14)_{\frac{3}{2}}$
6	$[SU(6) \times SU(2) \times SU(2)]_0, SU(7)_1, SO(12)_2$
5	$[SU(5) \times SU(2)]_{\frac{1}{2}}, SU(6)_{\frac{3}{2}}, SO(10)_{\frac{5}{2}}$
4	$SU(4)_0, [SU(4) \times SU(2)]_1, SU(5)_2, SO(8)_3$
3	$SU(3)_{\frac{1}{2}}, [SU(3) \times SU(2)]_{\frac{3}{2}}, SU(4)_{\frac{5}{2}}, SO(6)_{\frac{7}{2}}$
2	$SU(2)_0, SU(2)_1, [SU(2) \times SU(2)]_2, SU(3)_3, SO(4)_4$
1	$SU(2)_{\frac{5}{2}}, SU(2)_{\frac{7}{2}}$
0	$SU(2)_3$

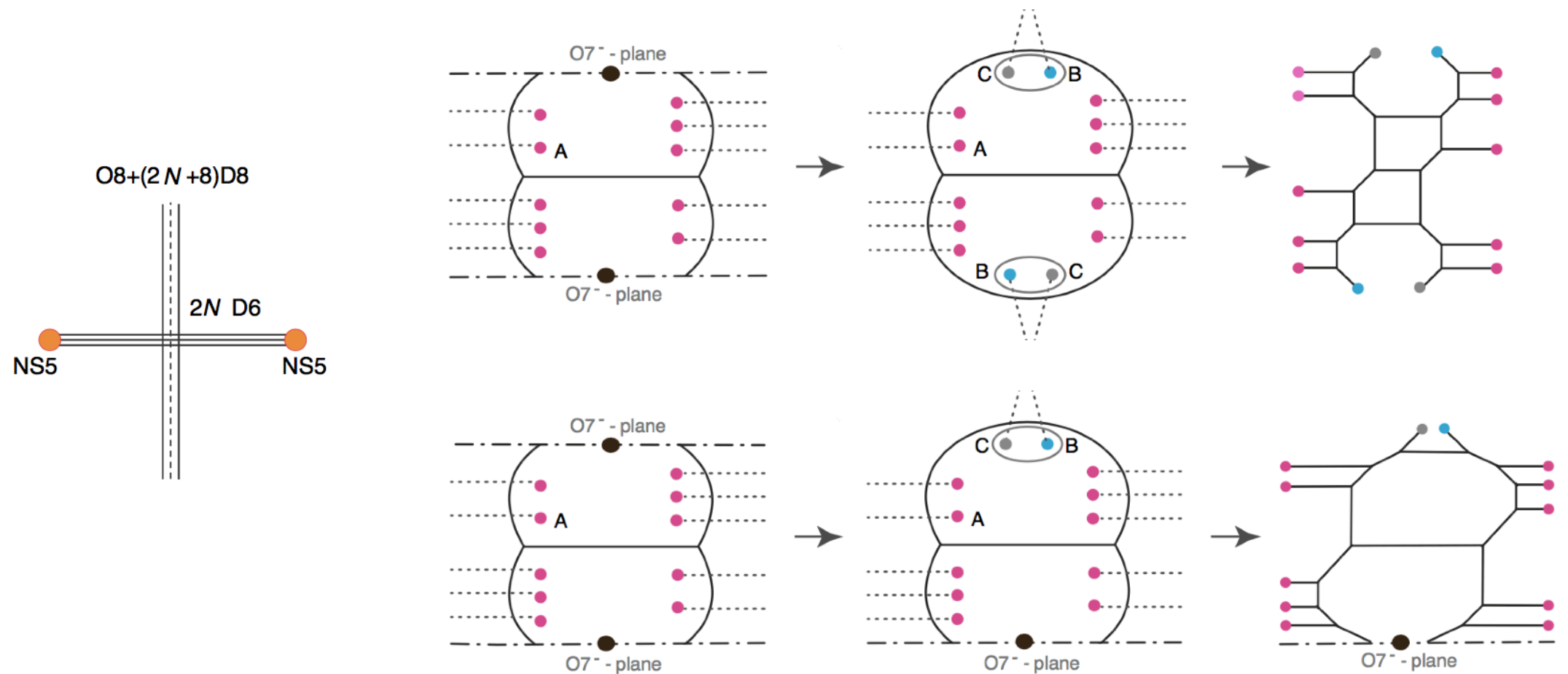
5d $SU(N+1)_\kappa + N_f$ fund hyper

- removing hyper induce the Chern-Simons level
- rank of global symmetry= $N_f + 1$

N_f	$G_{ \kappa }$
$2n + 4$	$SO(4n + 8)_0$
$2n + 3$	$SO(4n + 8)_{\frac{1}{2}}$
$2n + 2$	$SU(2n + 4)_0, \quad [SO(4n + 4) \times SU(2)]_1$
$2n + 1$	$[SU(2n + 4) \times SU(2)]_{\frac{1}{2}}, \quad SO(4n + 2)_{\frac{3}{2}}$
$2n$	$[SU(2n) \times SU(2) \times SU(2)]_0, \quad SU(2n + 1)_1, \quad SO(4n)_2$

6d $Sp(N)$ + tensor + $(2N+8)$ fund hyper

- 5d $SU(N+2)$ + $(2N+8)$ fundamental hyper
- 5d $Sp(N+1)$ + $(2N+8)$ fundamental hyper



5d duality between $SU(N)_k$ & $Sp(N-1)$

Gaiotto, H.C. Kim 15

- $Sp(N-1)$ theory: $SO(2N_f) \times U(1)$
- Enhanced global symmetry

N_f	$SU(N)_{\pm(N+1-N_f/2)}$	N_f	$SU(N)_{\pm(N+2-N_f/2)}$
$\leq 2N$	$SU(N_f + 1) \times U(1)$	$\leq 2N + 1$	$SO(2N_f) \times U(1)$
$2N + 1$	$SU(N_f + 1) \times SU(2)$	$2N + 2$	$SO(2N_f) \times SU(2)$
$2N + 2$	$SU(N_f + 2)$	$2N + 3$	$SO(2N_f + 2)$

- $SU(N)$ with $|k| = N + 2 - N_f/2$ and N_f fund hyper = $Sp(N-1)$ with $k=0$, N_f fund hyper

index function of dyonic instantons

- N=1 SUSY with Q invariant under j_R+R & j_L
 - 1/2 BPS dyonic instanton $E=|k+q|$, $kq>0$

- the Witten index

$$\begin{aligned} Z &= \text{Tr}(-1)^F e^{-\beta\{Q,Q\}} x^{2(j_R+R)} y^{2j_L} e^{-i \sum_i H_i m_i} \\ &= Z_{\text{pert}} \left(1 + \sum_{k=1}^{\infty} q^k Z_k \right) \end{aligned}$$

- instanton dynamics of $\text{Sp}(N)$ theory = $\text{O}(k)$ gauge group
 - $\text{O}(k) = \text{O}(k)_+ + \text{O}(k)_-$: determinant +1 or -1.

gauge holonomy of $O(k)_{\pm}$

- $O(k)_{+}$:
$$e^{i\phi_{+}} = \begin{cases} \text{diag}(e^{i\sigma_2\phi_1}, \dots, e^{i\sigma_2\phi_n}) & \text{for even } k, \\ \text{diag}(e^{i\sigma_2\phi_1}, \dots, e^{i\sigma_2\phi_n}, 1) & \text{for odd } k, \end{cases}$$
- $O(k)_{-}$:
$$e^{i\phi_{-}} = \begin{cases} \text{diag}(e^{i\sigma_2\phi_1}, \dots, e^{i\sigma_2\phi_{n-1}}, \sigma_3) & \text{for even } k, \\ \text{diag}(e^{i\sigma_2\phi_1}, \dots, e^{i\sigma_2\phi_n}, -1) & \text{for odd } k, \end{cases}$$
- $k=2n+\chi, \chi=0,1$
- instanton dynamics of $Sp(1)$ theory = $O(k)$ gauge group (ADHM data)
 - $N=4$ susy quantum gauge dynamics with $O(k)$ adjoint
 - $O(k) \times Sp(N)$ bifundamental,
 - $U(N_f) \times O(k)$ vector fermi multiplets
 - $O(k) = O(k)_{+} + O(k)_{-}$: determinant +1 or -1.

gauge holonomy of $O(k)_\pm$

Kim, Kim, Lee 1206.6781

- Part of k instanton partition function

$$x = e^{-\gamma_1}, y = e^{-\gamma_2}$$

$$I_+^k = (2i)^{k(N_f - 2N - 2) - n} i^{n+2\chi} \oint [d\phi] \left[\frac{\prod_{l=1}^{N_f} \sin \frac{m_l}{2}}{\sinh \frac{\gamma_1 \pm \gamma_2}{2} \prod_{i=1}^N \sin \frac{i\gamma_1 \pm \alpha_i}{2}} \prod_{I=1}^n \frac{\sin(\frac{\phi_I \pm 2i\gamma_1}{2})}{\sin \frac{\phi_I \pm i\gamma_1 \pm i\gamma_2}{2}} \right]^\chi$$

$$\times \prod_{I=1}^n \left[\frac{\sinh \gamma_1}{\sinh \frac{\gamma_1 \pm \gamma_2}{2} \sin \frac{2\phi_I \pm i\gamma_1 \pm i\gamma_2}{2}} \frac{\prod_{l=1}^{N_f} \sin \frac{m_l \pm \phi_I}{2}}{\prod_{i=1}^N \sin \frac{\phi_I \pm \alpha_i \pm i\gamma_1}{2}} \right] \prod_{I < J}^n \left[\frac{\sin \frac{\phi_I \pm \phi_J \pm 2i\gamma_1}{2}}{\sin \frac{\phi_I \pm \phi_J \pm i\gamma_1 \pm i\gamma_2}{2}} \right],$$

$$I_-^{k:odd} = \frac{(2i)^{k(N_f - 2N - 2) - n}}{i^{N_f - 2N - n - 2}} \oint [d\phi] \left[\frac{\prod_{l=1}^{N_f} \cos \frac{m_l}{2}}{\sinh \frac{\gamma_1 \pm \gamma_2}{2} \prod_{i=1}^N \cos \frac{i\gamma_1 \pm \alpha_i}{2}} \prod_{I=1}^n \frac{\cos(\frac{\phi_I \pm 2i\gamma_1}{2})}{\cos \frac{\phi_I \pm i\gamma_1 \pm i\gamma_2}{2}} \right]$$

$$\times \prod_{I=1}^n \left[\frac{\sinh \gamma_1}{\sinh \frac{\gamma_1 \pm \gamma_2}{2} \sin \frac{2\phi_I \pm i\gamma_1 \pm i\gamma_2}{2}} \frac{\prod_{l=1}^{N_f} \sin \frac{m_l \pm \phi_I}{2}}{\prod_{i=1}^N \sin \frac{\phi_I \pm \alpha_i \pm i\gamma_1}{2}} \right] \prod_{I < J}^n \left[\frac{\sin \frac{\phi_I \pm \phi_J \pm 2i\gamma_1}{2}}{\sin \frac{\phi_I \pm \phi_J \pm i\gamma_1 \pm i\gamma_2}{2}} \right]$$

$$I_-^{k:even} = (2i)^{(k-1)(N_f - 2N) - \frac{5}{2}k} i^{n+4} \oint [d\phi] \left[\frac{\cosh \gamma_1}{\cosh \frac{\gamma_1 \pm \gamma_2}{2} \sinh^2 \frac{\gamma_1 \pm \gamma_2}{2}} \frac{\prod_{l=1}^{N_f} \sin m_l}{\prod_{i=1}^N \sin(i\gamma_1 \pm \alpha_i)} \right] \quad (3.62)$$

$$\times \prod_{I=1}^{n-1} \left[\frac{\sinh \gamma_1 \sin(\phi_I \pm 2i\gamma_1)}{\sinh \frac{\gamma_1 \pm \gamma_2}{2} \sin \frac{2\phi_I \pm i\gamma_1 \pm i\gamma_2}{2} \sin(\phi_I \pm i\gamma_1 \pm i\gamma_2)} \frac{\prod_{l=1}^{N_f} \sin \frac{m_l \pm \phi_I}{2}}{\prod_{i=1}^N \sin \frac{\phi_I \pm \alpha_i \pm i\gamma_1}{2}} \right] \prod_{I < J}^{n-1} \left[\frac{\sin \frac{\phi_I \pm \phi_J \pm 2i\gamma_1}{2}}{\sin \frac{\phi_I \pm \phi_J \pm i\gamma_1 \pm i\gamma_2}{2}} \right].$$

Nekrasov partition function =Gopakumar-Vafa Invariant

small charge expansion $e^{-\alpha}$

- refined topological vertex: Iqbal,Kozcaz,Vafa [0701156]
- BPS degeneracy and Superconformal index: Iqbal,Vafa [1210.3605]
- $\tilde{A} = q^{\frac{2}{8-N_f}} e^{-\alpha}$
- M-theory on non-compact CY3
- $Z = \exp(F) = \text{PE}(G) = \exp \left[\sum_{n=1}^{\infty} \frac{1}{n} G_X(x^n, y^n, \tilde{A}^n) \right]$

$$G_X(x, y, \tilde{A}) = \sum_{C \in H_2(X, \mathbb{Z})} \sum_{j_L, j_R} N_C^{j_L, j_R} f(j_L, j_R) \tilde{A}^{n_C}$$

$$f(j_L, j_R; x, y) = \frac{(-1)^{2j_L+2j_R} (y^{-2j_L} + \dots + y^{2j_L}) (x^{-2j_R} + \dots + x^{2j_R})}{((xy)^{1/2} - (xy)^{-1/2})((x/y)^{1/2} - (x/y)^{-1/2})}$$

more hypers and vectors

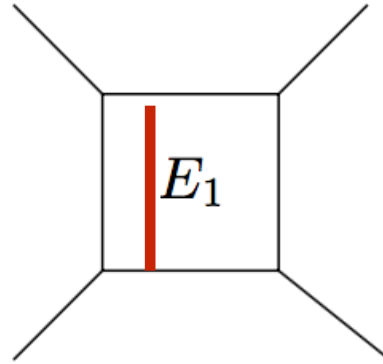
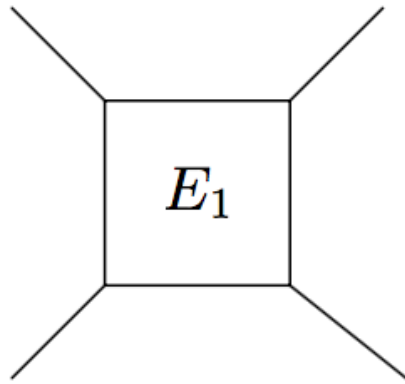
- $f(0,0)\tilde{A}$: perturbative hyper + instanton $f(0,0) = \frac{x}{(1-xy)(1-x/y)}$
- $f(0,1/2)\tilde{A}^2$: perturbative vector + instantons $f(0,1/2) = \frac{1+x^2}{(1-xy)(1-x/y)}$

$$F_{E_6} = f(0,0)\chi_{\mu_6}\tilde{A} + f(0,\frac{1}{2})\chi_{\mu_1}\tilde{A}^2 + \left[f(0,0) + f(0,1)\chi_{\mu_2} + f(\frac{1}{2},\frac{3}{2}) \right] \tilde{A}^3 \\ + \left[f(0,\frac{1}{2})\chi_{\mu_6} + f(0,\frac{3}{2})\chi_{\mu_3} + f(\frac{1}{2},2)\chi_{\mu_6} \right] \tilde{A}^4 + \mathcal{O}(\tilde{A}^5).$$

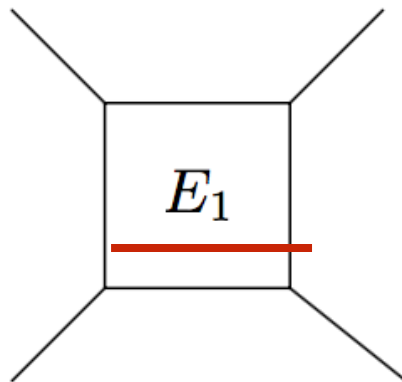
$$\chi_{\mu_1} = 27, \quad \chi_{\mu_2} = 78, \quad \chi_{\mu_3} = \overline{351}, \quad \chi_{\mu_4} = 2925, \\ \chi_{\mu_5} = 351, \quad \chi_{\mu_6} = \overline{27},$$

- $f(0,0)\tilde{A}$: 10+ 17 (8+1+ 8)
- $f(0,1/2)\tilde{A}^2$: 1+ 26 (8+10+8)

$N_f=0$



W-boson=F1



instanton=D1

0 massive hypermultiplets
2 massive vector multiplets
1 massless vector

Conclusion

- There are a lot more to be learned about 5 and 6d SCFTs and LST
- Classification of 5d SCFTs are not done yet.
- There might exist some gems to be discovered.
- interesting implications in lower dimensional quantum field theories
- little string theories are less explored and need more understanding.

SPENTA WADIA



Wish you many many happy returns of the day!