

Pictorial view of event at hadron collider (LHC) (a)

1. High- Q^2 scattering

- * where new physics lies
- * process dependent
- * first principles description
- * can be systematically improved (by perturbation theory)
→ higher order corrections

2. Parton Shower

- * QCD - "known physics"
- * universal / process independent
- * first principles description

3. Hadronization

- * low Q^2 physics
- * universal / process independent
- * model dependent → no 1st principle description

4. Underlying event

- * low Q^2 physics
- * energy and process dependent
- * model dependent

M.C. phase space integration

①

Master formula for hadron collisions:

$$\hat{\sigma}_X = \sum_{a,b} \int_0^1 dx_1 dx_2 f_a(x_1, \mu_F^2) f_b(x_2, \mu_F^2) \cdot \hat{\sigma}_{ab \rightarrow X}(x_1, x_2, \alpha_s(\mu_R), \frac{Q^2}{\mu_F^2}, \frac{Q^2}{\mu_R^2})$$

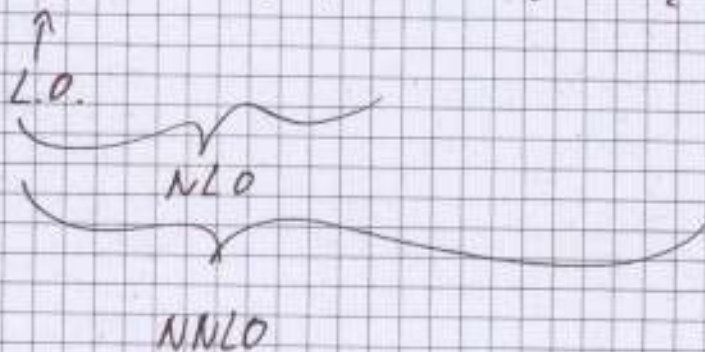
two ingredients:

1. P.D.F. (parton distribution function)

extracted from experiment, using evolution from theory

2. Short distance coefficients as an expansion in α_s

$$\hat{\sigma}_{ab \rightarrow X} = \sigma_0 + \alpha_s \sigma_1 + \alpha_s^2 \sigma_2 + \dots$$



etc.

The calculation of cross sections (or decay widths) involve integrations of high-dimensional integrals of very peaked functions:

$$\hat{\sigma} = \frac{1}{2s} \int d\Phi_n |M|^2, \text{ for } n \text{ final state particles}$$

The dimension of $d\Phi_n$ is $\dim[\Phi_n] = 3n - 4$

- Due to the high ^{number of} dimensions, a general and efficient way of determining the integration boundaries and P.S. parametrization are needed.
- Especially when cuts on the final state are applied, analytic methods become too complicated.

⇒ Numerical integration is the only way to continue

Setting up the phase-space parametrization (3)

$$d\Phi_n = \left[\prod_{i=1}^n \frac{d^3 p_i}{(2\pi)^3 (2E_i)} \right] (2\pi)^4 \delta^{(4)} \left(p_0 - \sum_{i=1}^n p_i \right)$$

(The overall energy-momentum p_0 are set by the integrals over x_1 and x_2)

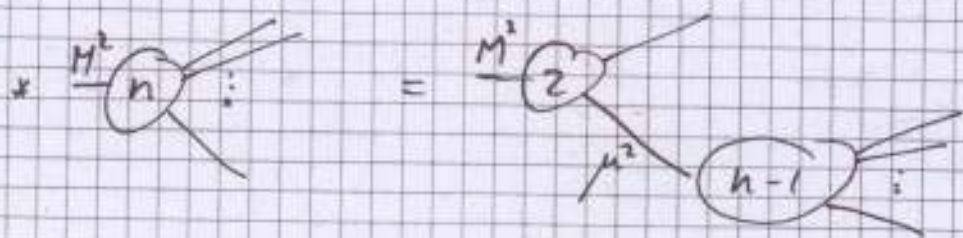
→ δ -function: not possible to integrate numerically:

- We need to do the δ -function integral analytically by choosing the set $\{p_i\}$ such that it is already satisfied

- Not so easy if you don't know where to start.

⇒ * Consider 2-body P.S.

$$d\Phi_2(M) = \frac{1}{8\pi} \frac{2p}{M} \frac{d\Omega}{4\pi}, \quad M \text{ is the total mass of the system}$$



$$\int_n d\Phi_n = \int_{n-1} \frac{1}{2\pi} \int_0^{M^2} d\mu^2 \int_2 d\Phi_2(M) d\Phi_{n-1}(\mu)$$

Integrals via M.C. techniques

(4)

$$I = \int_{x_1}^{x_2} dx f(x)$$

$$\Rightarrow I_N = (x_2 - x_1) \frac{1}{N} \sum_{i=1}^N f(x_i)$$

$$V = (x_2 - x_1) \int_{x_1}^{x_2} [f(x)]^2 dx - I^2$$

can be chosen randomly,
evenly spaced in $[x_1, x_2]$

variance

$$\Rightarrow V_N = (x_2 - x_1)^2 \frac{1}{N} \sum_{i=1}^N [f(x_i)]^2 - I_N^2$$

then an estimate of the integral I is:

$$I = I_N \pm \sqrt{V_N/N}$$

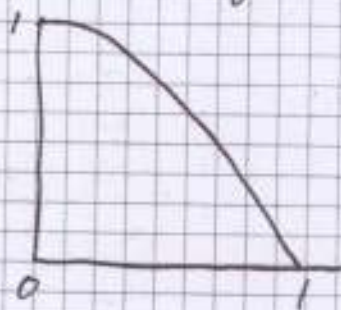
1. Convergence is slow $\frac{1}{\sqrt{N}}$, but uncertainty can easily be estimated.
2. Errors do not depend on the number of dimensions!
3. Improvement by minimizing V_N
 \rightarrow smaller number of sampling points N to obtain the same accuracy.
4. Optimal case: $f(x) = \text{constant} \Rightarrow V_N = 0$

Importance sampling

(5)

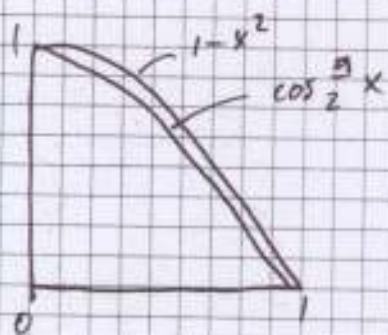
↳ how to minimize V_N ?

e.g. $I = \int_0^1 dx \cos \frac{\pi}{2} x$



$$I_N = 0.637 \pm 0.307/\sqrt{N}$$

The function $(1-x^2)$ is a good approximation of the function $\cos \frac{\pi}{2} x$:



$$I = \int_0^1 dx (1-x^2) \frac{\cos \frac{\pi}{2} x}{1-x^2} = \int_{\xi_1}^{\xi_2} d\xi \frac{\cos \frac{\pi}{2} x[\xi]}{1-x[\xi]^2}$$

Almost a constant

$$I_N = 0.637 \pm 0.031/\sqrt{N}$$

⇒ Gained a factor 100 in sampling points to get a result with the same accuracy

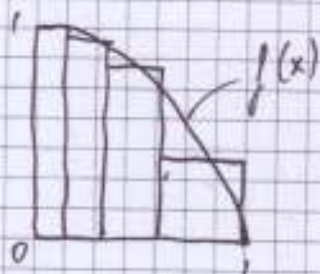
Adaptive importance sampling

(6)

Drawback of importance sampling as explained on the previous page is that you need to know a lot about the integrand before starting the integration

idea: learn during the integration about the integrand and use a step-function (numerically) that comes closer and closer to the true integrand.

⇓
VEGAS



(all bins have equal areas
→ many bins where $f(x)$ is large)

Given the bin-sizes Δx_i :
an approximation for $f(x)$ is

$$p(x) = \frac{1}{N_b \Delta x_i} \quad \text{for} \quad \begin{cases} x < x_i \\ x > x_i - \Delta x_i \end{cases}$$

total number of bins

bin size.

$$I = \int_0^1 dx f(x)$$

⇒

$$I = \int_0^1 dx p(x) \frac{f(x)}{p(x)}$$

→ close to a constant

absorbed in the "change of variables"
⇒ VEGAS weight (Jacobian)

Adaptive importance sampling in more dimensions

(7)

Adaptive importance sampling can easily be generalized to more dimensions:

$$\bar{p}(\vec{x}) = p_x(x) \cdot p_y(y) \cdot p_z(z) \dots$$

* All integration variables are treated independently by

→ peaks need to be aligned with
integration axes

→ correlations between integration variables
cannot be properly mapped

Multi-channel integration

8

Problems in particle physics are usually so complicated that not all peaks can be aligned with integration variables

→ solution: use different transformations for different peaks

⇒ many "integration channels"

$$p(x) = \sum_{i=1}^n \alpha_i p_i(x) \quad \text{with} \quad \sum_{i=1}^n \alpha_i = 1$$

where each $p_i(x)$ takes care of one peak at a given time

$$I = \int f(\vec{x}) d\vec{x} = \sum_{i=1}^n \alpha_i \int \left(\frac{f(\vec{x})}{p(\vec{x})} \right) p_i(\vec{x}) d\vec{x}$$

→ close to a constant

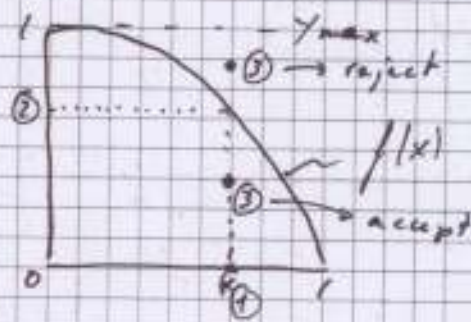
→ of course we need to know where the peaks are.

However each of them is treated "independently"
So much simpler as before.

Each sampling point (or Phase Space point) by a MC integration can be seen as an (collision) event in a virtual collider. However the events are not distributed as in nature, but carry a weight.

If we want to simulate a virtual collider, we need to "unweight" them:

1. pick x at random
2. calculate $f(x)$
3. pick $0 < y < y_{\max}$ (at random)



- 4 compare: if $f(x) > y$, accept event and give it weight equal to one
 if $f(x) < y$, throw the event away

* efficiency is $\frac{\text{accepted events}}{\text{total tries}}$

* Only works if $f(x)$ is bounded ($\int_0^1 dx \frac{1}{\sqrt{x}}$ does not work)
 → this is always the case at L.O.

* before: same number of events where $f(x)$ is small and $f(x)$ is large
 after: more events where $f(x)$ is large, less where $f(x)$ is small.

* Efficiency can be greatly improved by using ~~the~~ a change of variables such that the integrand becomes flat

* if $f(x)$ is constant → 100% efficiency.

Technical challenges in event generation

(10)

e.g. 3-jet production at the LHC:

① Identify all subprocesses (e.g. $q\bar{q} \rightarrow qg$, $gg \rightarrow gg$, etc.,

⇒ EASY

② For each of them, find an expression for the matrix elements, e.g. using Feynman diagrams:

$$|M|^2 = \left| \sum_i D_i(\{p\}, \{k\}, \{c\}) \right|^2$$

⇒ STRAIGHT-FORWARD

③ Integrate over the phase-space to obtain total rate and distributions

$$\hat{\sigma} = \frac{1}{2s} \int d\Phi_3 |M|^2$$

$$\sigma = \int_{x_1, x_2} f_a(x_1) f_b(x_2) \hat{\sigma}(x_1, x_2) dx_1 dx_2$$

⇒ DIFFICULT

④ For simulation of an collider, also need to do "unweighting" of the events

⇒ RELATIVELY EASY, if ③ was done well.

Summary of Event generation at L.O.

(11)

1. Monte Carlo matrix element integrators / event generators provide the first estimate of inclusive final states rates of
2. Extra radiation is included implicitly:
 - In the initial state in the definition of the PDF.
 - In the final state by the definition of assigning a parton to a "jet", and therefore represents all final state evolutions
3. Due to L.O. approximations, the rates (σ) have a strong dependence on the unphysical renormalization and factorization scales
4. Any tree-level calculation for a final state F can be straight-forwardly promoted to the exclusive $F+X$ through a parton shower